

# Line of Sight MIMO

## Marsona Panci

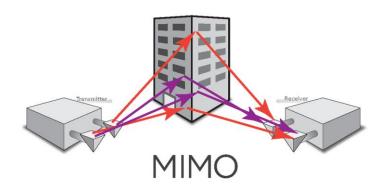
Mathematical Engineering - Statistical Learning

Information Theory 2023/24

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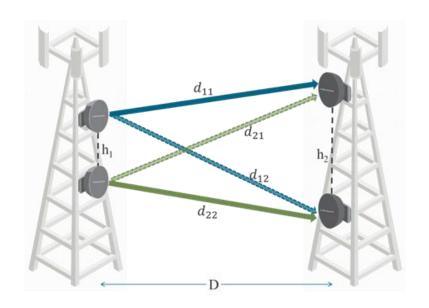
## Introduction



A **communication system** with  $n_t$  transmitting antennas and  $n_r$  receiving antennas is commonly known as a multiple-input multiple-output (MIMO) system.

However, traditional MIMO techniques, which rely on the scattering of propagation parameters between multiple paths in non-line-of-sight conditions, are not applicable for microwave point-to-point radio links. These classical techniques will not be discussed here.

## Introduction



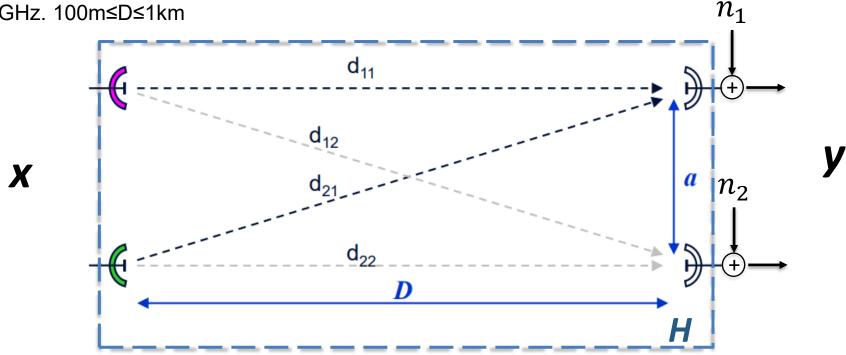
For microwave point-to-point radio links, the emphasis is on Line of Sight (LoS) MIMO:

- The transmitting and receiving stations must have direct visibility.
- The propagation channel consists of a single dominant path.

This specific focus is crucial for the effective application of MIMO in microwave point-to-point links.

## **LoS MIMO in Microwave Point-to-Point Links**

- Study capacity of each LoS MIMO of size N as a function of antennas spacing (a < 10 m) and hop Length (D) for these carrier frequencies (f):
  - f=18GHz. 5km≤D≤20km
  - f=38GHz. 2km≤D≤10km
  - f=80GHz. 1km≤D≤5km
  - f=115GHz. 500m≤D≤2km
  - f=170GHz. 100m≤D≤1km



## **Mathematical Model**

Channel equation:

$$y = Hx + n$$

where:

$$y \in C^{n_r};$$
  
 $x \in C^{n_t},$   
 $n \sim \mathcal{CN}(\mathbf{0}, I\sigma_n^2)$ 

$$H = \begin{bmatrix} e^{-jkd_{1,1}} & \cdots & e^{-jkd_{1,n_t}} \\ \vdots & \ddots & \vdots \\ e^{-jkd_{n_t,1}} & \cdots & e^{-jkd_{n_r,n_t}} \end{bmatrix}$$

## **Mathematical Model**

## Channel Capacity:

$$C = log_2 \left( \det \left( I_{n_r} + \frac{\rho}{n_t} H H^H \right) \right)$$

 $n_t$  = number of transmitting antennas  $n_r$  = number of receiving antennas  $\rho$  = average received signal-to-noise ratio (SNR) at the input of the receiver

Where trasmitted power is equally divided among trasmitting antennas

## **Mathematical Model**

$$HH^{H} = \begin{bmatrix} n_{t} & \cdots & \sum_{m=0}^{n_{t}-1} e^{-jk(d_{1,m}-d_{n_{r},m})} \\ \vdots & \ddots & \vdots \\ \sum_{m=0}^{n_{t}-1} e^{-jk(d_{n_{r},m}-d_{1,m})} & \cdots & n_{t} \end{bmatrix}$$

#### **Minimum capacity**

- Minimum capacity is obtained for  $HH^H=n_t \mathbb{1}_{n_r}$ 
  - $1_{n_r}$  all ones  $n_r \times n_t$  matrix.
  - This corresponds to an entirely correlated (rank-one)
     MIMO channel.

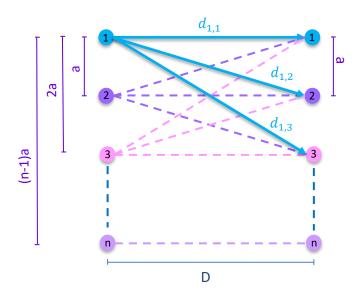
#### **Maximum capacity**

- Maximum capacity is obtained for  $HH^H=n_tI_{n_r}$ 
  - $I_{n_r}$  diagonal  $n_r \times n_t$  matrix.
  - This corresponds to a perfectly orthogonal MIMO subchannels.

# Symmetrical N x N model

To get the maximum capacity:

$$\langle h_k, h_l \rangle = \sum_{m=0}^{n-1} e^{-j\frac{2\pi}{\lambda}(d_{k,m} - d_{l,m})} = 0$$



• Distance between transmitting and reciving antennas:

$$d_{t,r} = \sqrt{D^2 + (t-r)^2 a^2}$$

- t = index of the transmitting antenna
- r = index of the receiving antenna
- Since we are in the situation of a << D we can expand with Taylors series:

$$d_{t,r} \approx D + \frac{(t-r)^2 a^2}{2D}$$

• Which leads to:

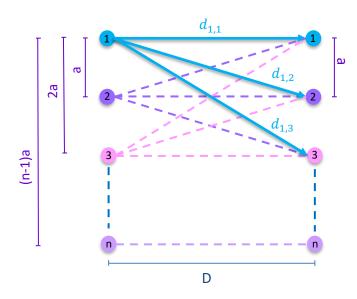
$$d_{k,m} - d_{l,m} \approx \frac{(k-m)^2 a^2}{2D} - \frac{(l-m)^2 a^2}{2D} \approx \frac{m(k-l)a^2}{D} = (k-l)(d_{1,m} - d_{2,m})$$

Ignoring the terms that are constant in the sum.

# Symmetrical N x N model

To get the maximum capacity:

$$\langle h_k, h_l \rangle = \sum_{m=0}^{n-1} e^{-j\frac{2\pi}{\lambda}(d_{k,m} - d_{l,m})} = 0$$



• Finnaly to get the first maximum we impose:

$$\frac{2\pi}{\lambda} (d_{1,m} - d_{2,m}) n - \frac{2\pi}{\lambda} (d_{1,m+1} - d_{2,m+1}) n = 2\pi$$

which leads to:

$$a^2 = \frac{\lambda D}{n}$$

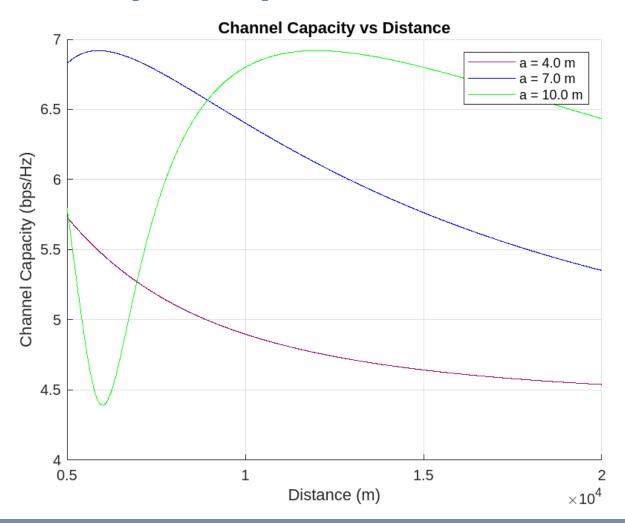
• The condition relates wave length  $(\lambda)$ , distance (D) and antenna spacing (a).

## **Computational Model**

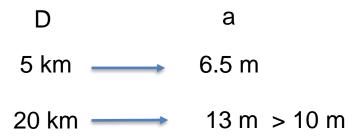
## **Assumptions:**

- The system used for the graphs is a 2x2 MIMO, which does not lose generality.
- The average SNR (ρ) is fixed at 10 dB.
- Antenna spacing (a) is less than 10 meters.

#### A. 18GHz – [5km, 20km]

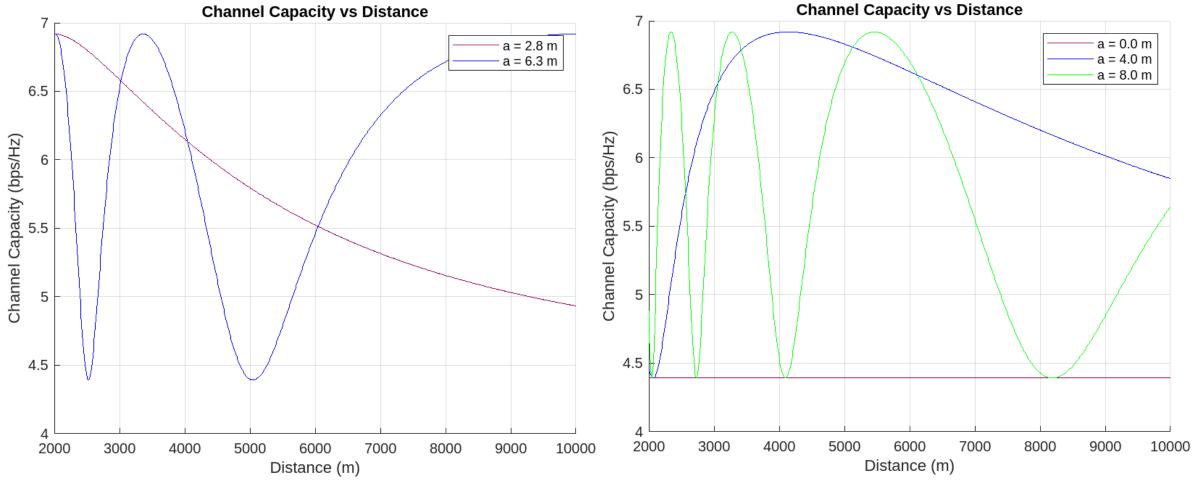


Analytical maximum of capacity in function of distance and antenna spacing:

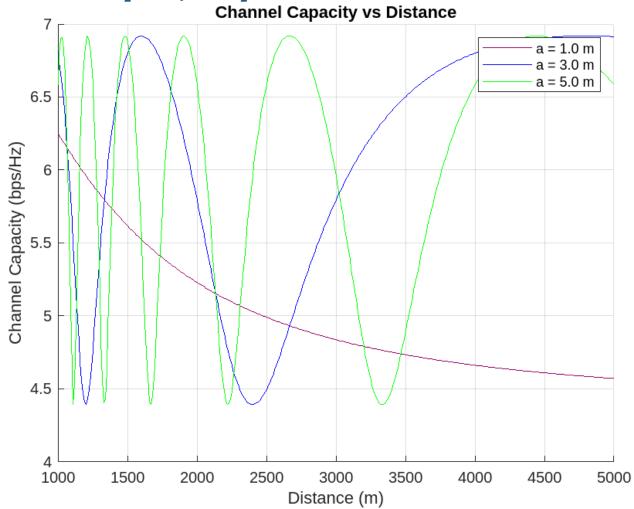


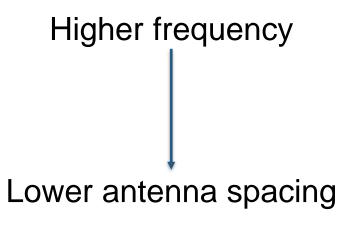
- If antenna spacing is in the analytical range we are able to reach maximum capacity
- Else rule of thumb: lower the distance



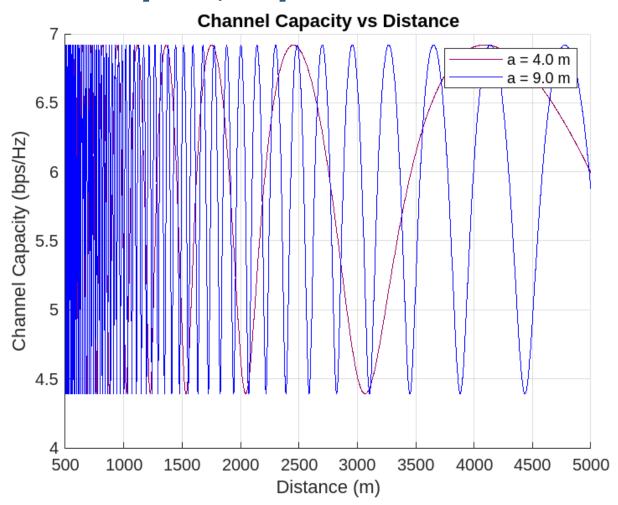








#### D. 115GHz - [0.5km, 2km]



Analytical maximum of capacity in function of distance and antenna spacing:

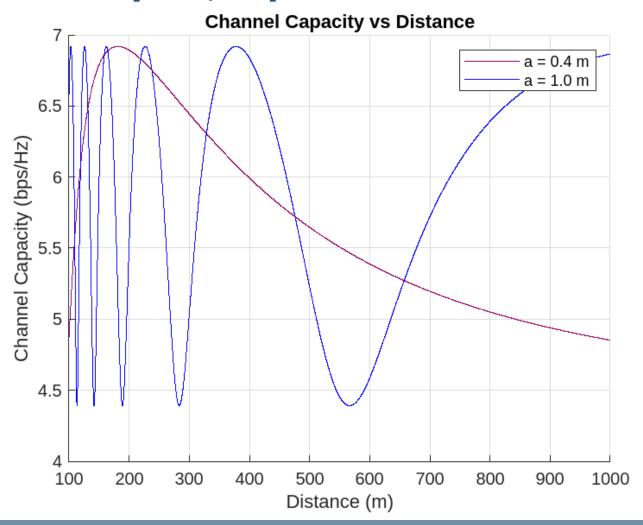
D a

0.5 km 
0.8 m

2 km 
1.6 m

Higher Increasing instability

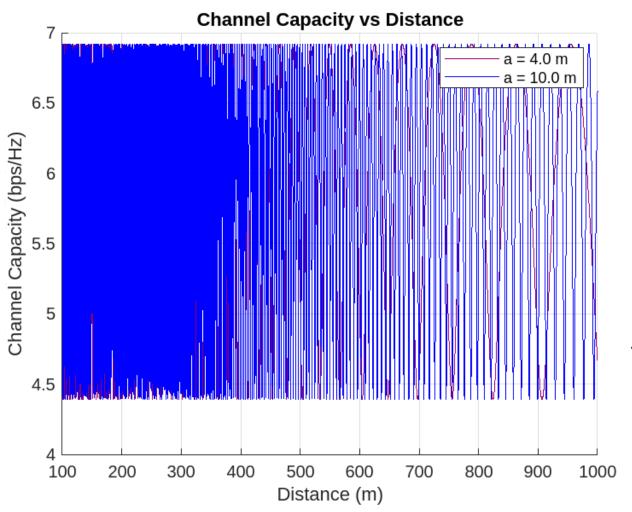
#### E. 170GHz – [0.1km, 1km]



Analytical maximum of capacity in function of distance and antenna spacing:

D a  $0.1 \text{ km} \longrightarrow 0.3 \text{ m}$   $1 \text{ km} \longrightarrow 0.9 \text{ m}$ 

#### E. 170GHz – [0.1km, 1km]



Analytical maximum of capacity in function of distance and antenna spacing:

D a  $0.1 \text{ km} \longrightarrow 0.3 \text{ m}$   $1 \text{ km} \longrightarrow 0.9 \text{ m}$ 

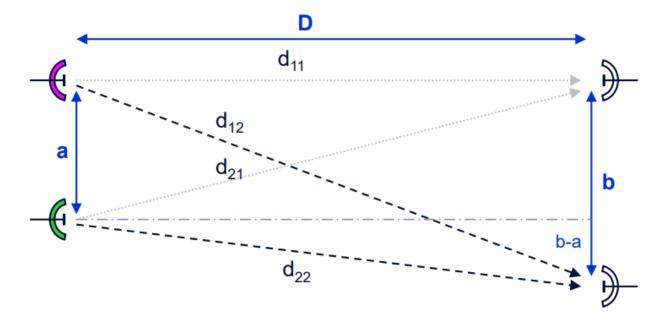
The channel capacity exhibits high sensitivity to variations in both the distance D and the antenna spacing a

## **Line of Sight MIMO in Microwave Point-to-Point Links**

Due to the installation constraints the antenna spacing on the two sites can be different (a,b):

Study capacity of each Line-of-Sight MIMO of size N as a function of antennas spacing (a < 10 m and b < 10 m) and hop Length (D) for these carrier frequencies (f):

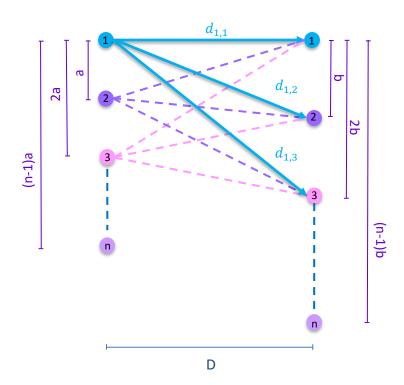
- A. f=18GHz. 5km≤D≤20km
- B. f=80GHz. 1km≤D≤5km
- C. f=170GHz. 100m≤D≤1km



# Asymmetrical N x N model – Different antenna spacing

To get the maximum capacity:

$$\langle h_k, h_l \rangle = \sum_{m=0}^{n-1} e^{-j\frac{2\pi}{\lambda}(d_{k,m} - d_{l,m})} = 0$$



• We should modify our distance introducing b (reciving antenna spacing a < 10m)

$$d_{t,r} = \sqrt{D^2 + ((t-1)a - (r-1)b)^2}$$

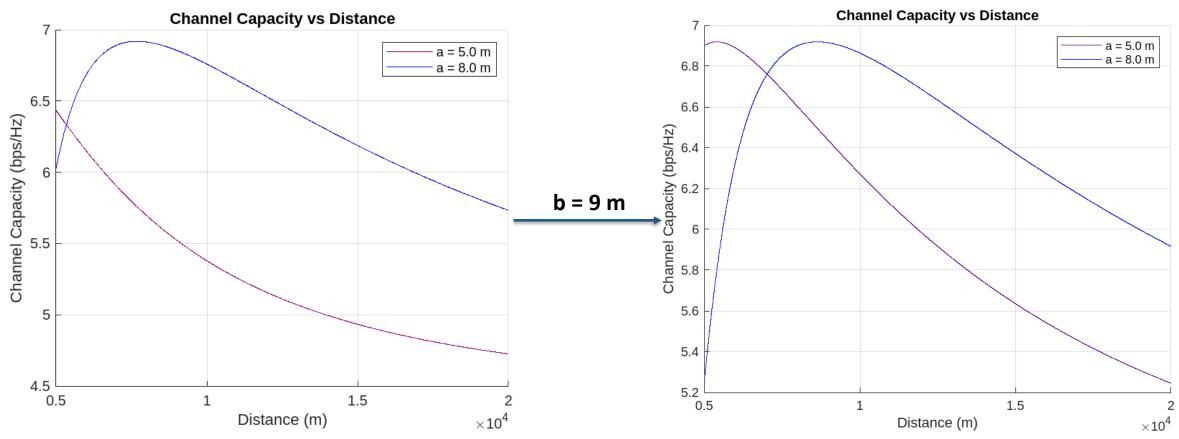
which leads to:

$$a \cdot b = \frac{\lambda D}{n}$$

• The condition relates wave length, distance and antenna spacing of both trasmitter and reciver.

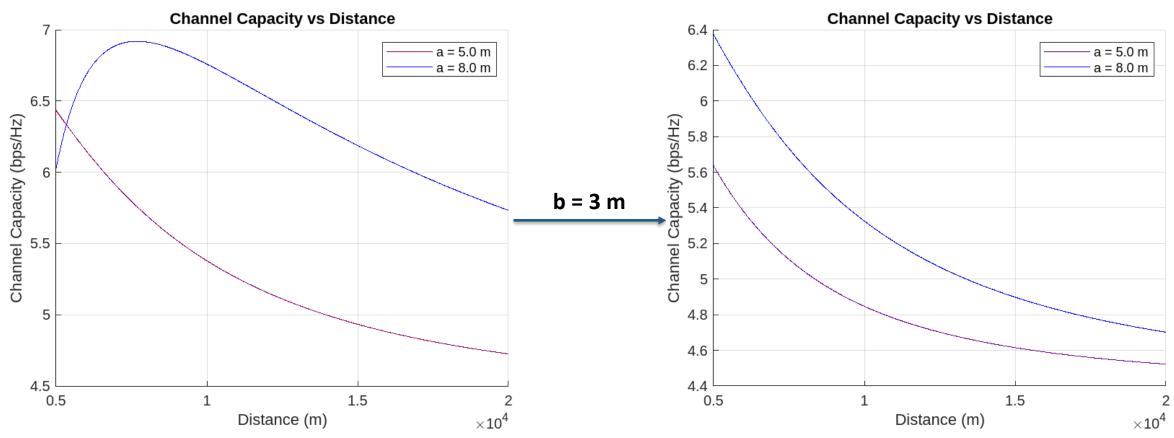
#### A. 18GHz – [5km, 20km]





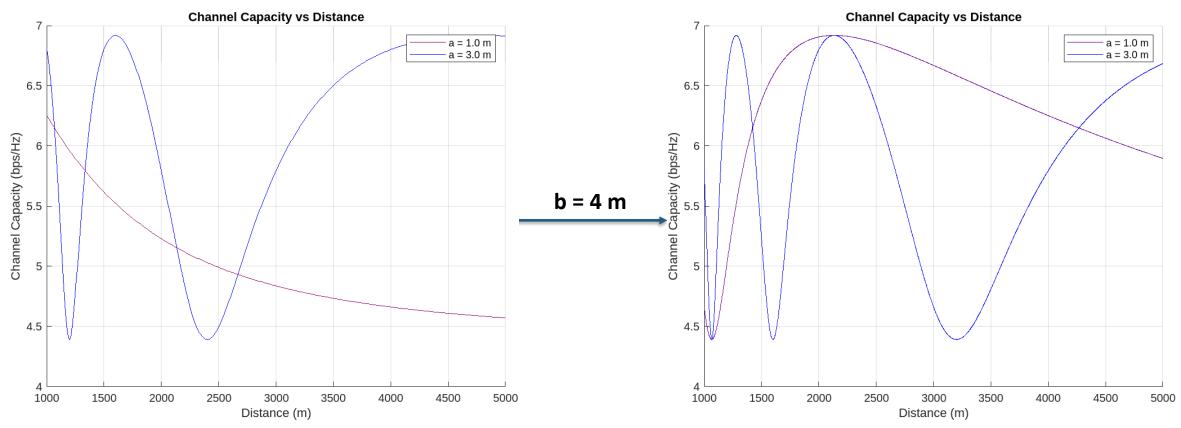
#### A. 18GHz – [5km, 20km]





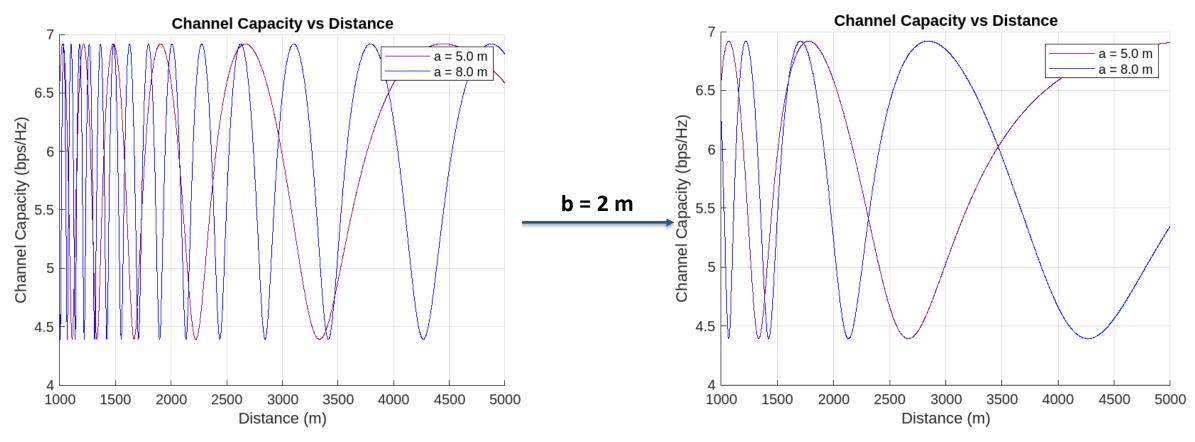
#### B. 80GHz - [1km, 5km]





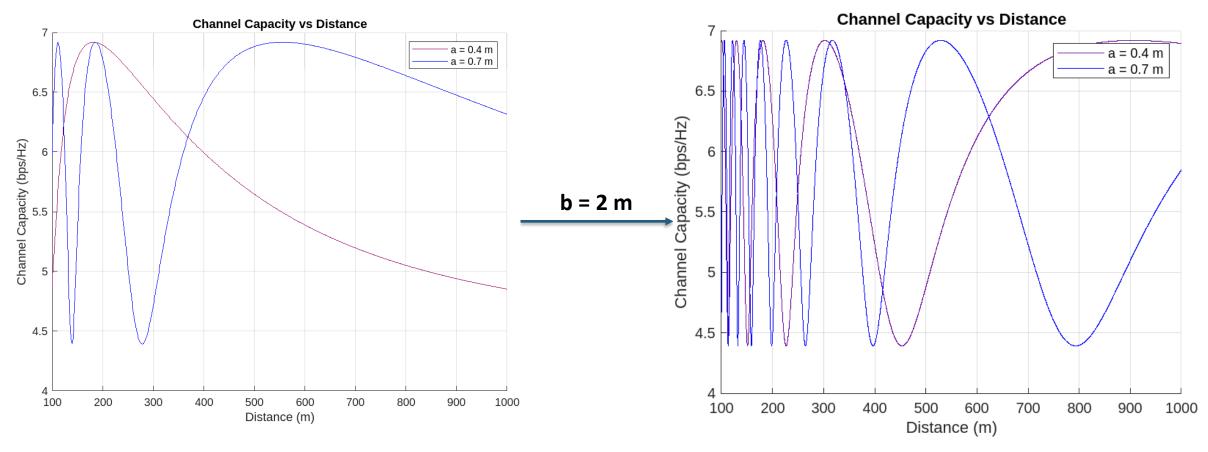
#### B. 80GHz – [1km, 5km]

a = b



#### C. 170GHz – [0.1km, 1km]

a = b

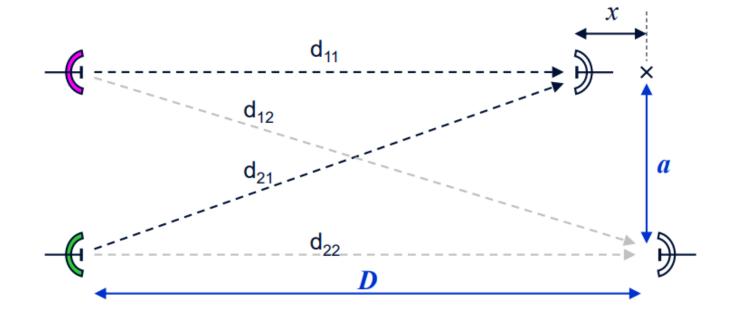


## **Line of Sight MIMO in Microwave Point-to-Point Links**

Oscillations of the antenna tower induced by wind can result in a relative displacement x of the two MIMO antennas along the direction of propagation.

Study capacity of each Line-of-Sight MIMO of size N as a function of antennas spacing (a < 10 m), hop Length (D) and displacement (x < 1 m), for these carrier frequencies (f):

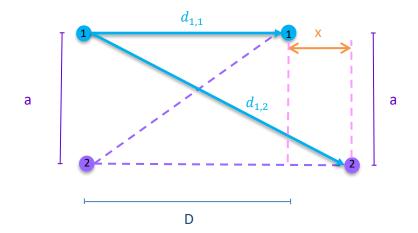
- A. f=18GHz. 5km≤D≤20km
- B. f=80GHz. 1km≤D≤5km
- C. f=170GHz. 100m≤D≤1km



# Asymmetrical 2 x 2 model – Displacement of antenna

To get the maximum capacity:

$$\langle h_k, h_l \rangle = \sum_{m=0}^{1} e^{-j\frac{2\pi}{\lambda}(d_{k,m} - d_{l,m})} = 0$$



• The distance will be (x = displacement < 1m)

$$d_{t,r} = \sqrt{(D + (r - 1)x)^2 + (t - r)^2 a^2}$$

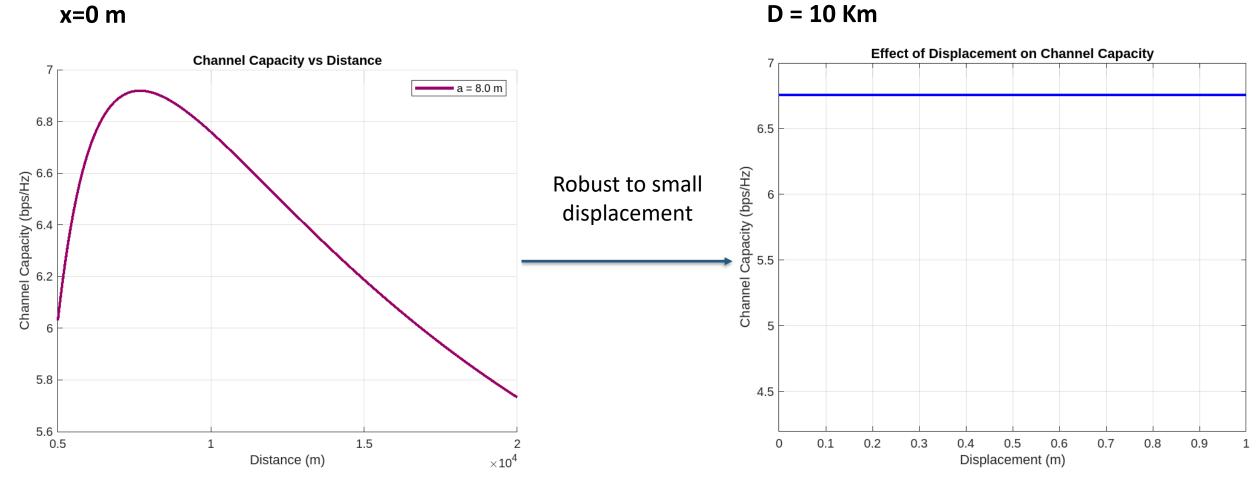
Which leads to:

$$a^2 = \frac{\lambda (D + x)}{n}$$

• The condition relates wave length, distance, antenna spacing and displacement.

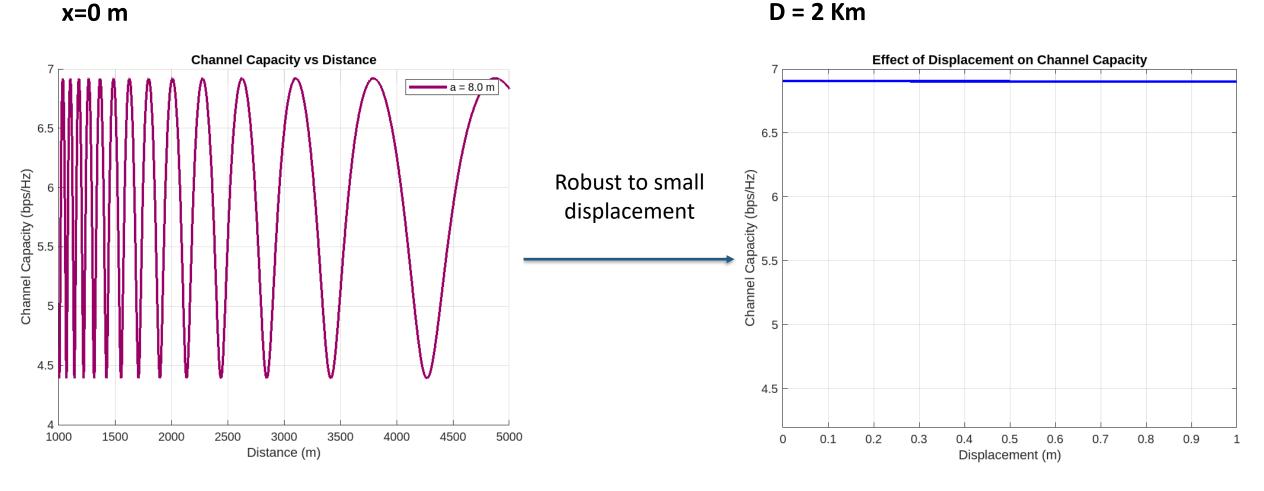
#### A. 18GHz - [5km, 20km]

x=0 m



#### B. 80GHz - [1km, 5km]

x=0 m



D = 400 m

#### C. 170GHz – [0.1km, 1km]

x=0 m

100

200

300

**Effect of Displacement on Channel Capacity Channel Capacity vs Distance** a = 0.4 m 6.5 6.5 Robust to small Channel Capacity (bps/Hz) Channel Capacity (bps/Hz) displacement 4.5 4.5 0.4 0.5 0.6 0.1 0.2 0.3 0.7 8.0 0.9

400

600

700

800

900

1000

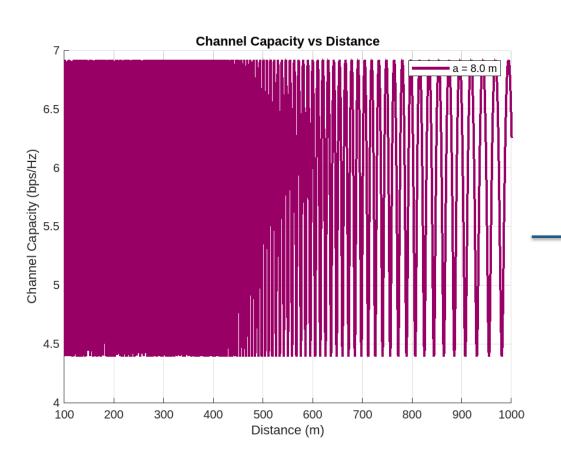
500

Distance (m)

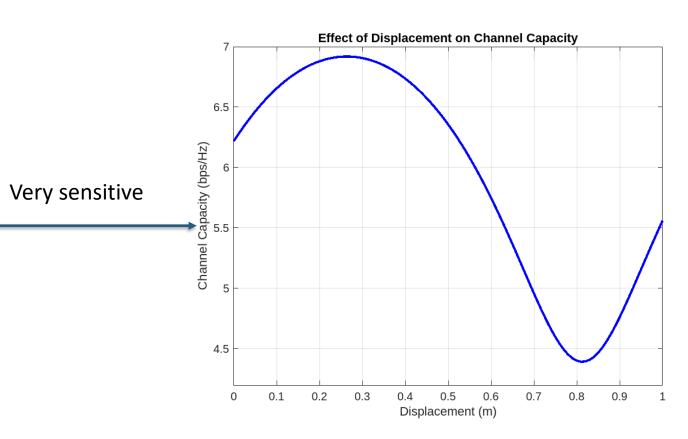
Displacement (m)

#### C. 170GHz – [0.1km, 1km]

x=0 m



D = 200 m



## **Conclusions**

#### Low frequencies:

- Requires high antenna spacing at both the transmitting and receiving antennas to achieve optimal capacity;
- Capacity can be insensitive to differences in spacing of the receiving antenna on the order of meters;
- Cover wide distances with constant capacity;
- Are robust to antenna displacements.

#### High frequencies:

- Must have low antenna spacing at both the transmitting and receiving antennas to avoid high volatility in capacity;
- Capacity can be very sensitive to differences in spacing of the receiving antenna on the order of meters;
- Cover smaller distances with constant capacity;
- can be sensitive to antenna displacements.

## References

- Larsson P. Lattice array receiver and sender for spatially orthonormal MIMO communication, In Vehicular Technology Conference, volume 1, pages 192196, 2005.
- D. Gesbert, H. Bölcskei, D. A. Gore, and A. J. Paulraj. Outdoor MIMO wireless channels: Models and performance prediction. IEEE Trans. on Communications, 50(12):19261934, Dec 2002.
- P. F. Driessen and G. Foschini. On the capacity formula for multiple input-multiple output wireless channels: A geometric interpretation. IEEE Trans. Commun, 47(2):173 176, Feb 1999.
- Design and Performance Assessment of High-Capacity MIMO Architectures in the Presence of a Line-of-Sight Component. IEEE Trans. on Vehicular Technology, Vol. 56, No. 4, JULY 2007



# THANK YOU FOR THE ATTENTION!

If you have any question don't esitate to contact me: marsona.panci@mail.polimi.it