Smart Sensor Networks:

Technologies and Applications for Green Growth

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FOREWORD

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SMART SENSOR NETWORKS: TECHNOLOGIES AND APPLICATIONS FOR GREEN GROWTH

Summary

Sensors and sensor networks have an important impact in meeting environmental challenges. Sensor applications in multiple fields such as smart power grids, smart buildings and smart industrial process control significantly contribute to more efficient use of resources and thus a reduction of greenhouse gas emissions and other sources of pollution.

This report gives an overview of sensor technology and fields of application of sensors and sensor networks. It discusses in detail selected fields of application that have high potential to reduce greenhouse gas emissions and reviews studies quantifying the environmental impact.

The review of the studies assessing the impact of sensor technology in reducing greenhouse gas emissions reveals that the technology has a high potential to contribute to a reduction of emissions across various fields of application. Whereas studies clearly estimate an overall strong positive effect in smart grids, smart buildings, smart industrial applications as well as precision agriculture and farming, results for the field of smart transportation are mixed due to rebound effects. In particular intelligent transport systems render transport more efficient, faster and cheaper. As a consequence, demand for transportation and thus the consumption of resources both increase which can lead to an overall negative effect.

This illustrates the crucial role governments have to enhance positive environmental effects. Increased efficiency should be paralleled with demand-side management to internalise environmental costs. Further, minimum standards in the fields of smart buildings and smart grids in regard to energy efficiency can significantly reduce electricity consumption and greenhouse gas emissions. Finally, this report also highlights that applications of sensor technology are still at an early stage of development. Government programmes demonstrating and promoting the use of sensor technology as well as the development of open standards could contribute to fully tap the potential of the technology to mitigate climate change.

Sensor technology for green growth

Environmental degradation and global warming are among the major global challenges facing us. These challenges include improving the efficient use of energy as well as climate change. ICTs and the Internet play a vital role in both, being part of the problem (they consume energy and are a source of pollution) and have the potential to provide important solutions to it (ICT applications in other sectors have major potential to improve environmental performance).

Various examples illustrate the role of ICTs as a provider of solutions to environmental challenges: Smart grids and smart power systems in the energy sector can have major impacts on improving energy distribution and optimising energy usage (Adam and Wintersteller, 2008). Smart housing can contribute to major reductions of energy use in hundreds of millions of buildings. Smart transportation systems are a powerful way of organising traffic more efficiently and reducing CO₂ emissions.

All these applications have one important attribute in common: They all rely on sensor technology and often on sensor networks. Because of the important impact of applications of sensors and sensor networks in meeting environmental challenges, this analysis has been developed in the context of OECD's work on ICTs and environmental challenges [see also DSTI/ICCP/IE(2008)3/FINAL and DSTI/ICCP/IE(2008)4/FINAL, and DSTI/ICCP/CISP(2009)2/FINAL for broadband investments in smart grids] and the WPIE's Programme of Work 2009-2010. It is also a direct follow-up to the Seoul Declaration for the Future of the Internet Economy, issued at the close of the OECD Ministerial Meeting in June 2008, which invited the OECD and stakeholders to explore the role of information and communication technologies (ICTs) and the Internet in addressing environmental challenges.

The report opens with some technological fundamentals in describing sensor technology and sensor networks. This is followed by an overview of different fields of application. Selected sensor and sensor network applications are discussed as well as their environmental impact.

Sensors, actuators and sensor networks – a technology overview

Sensors measure multiple physical properties and include electronic sensors, biosensors, and chemical sensors. This paper deals mainly with sensor devices which convert a signal detected by these devices into an electrical signal, although other kinds of sensors exist. These sensors can thus be regarded as "the interface between the physical world and the world of electrical devices, such as computers" (Wilson, 2008). The counterpart is represented by actuators that function the other way round, i.e. whose tasks consist in converting the electrical signal into a physical phenomenon (e.g. displays for quantities measures by sensors (e.g. speedometers, temperature reading for thermostats).

Table 1 provides examples of the main sensor types and their outputs. Further sensors include chemical sensors and biosensors but these are not dealt with in this report. Outputs are mainly voltages, resistance changes or currents. Table 1 shows that sensors which measure different properties can have the same form of electrical output (Wilson, 2008).

Table 1: Examples of sensor types and their outputs

Physical property	Sensor	Output
Temperature	Thermocouple	Voltage
	Silicon	Voltage/Current
	Resistance temperature detector (RTD)	Resistance
	Thermistor	Resistance
Force/Pressure	Strain Gauge	Resistance
	Piezoelectric	Voltage
Acceleration	Accelerometer	Capacitance
Flow	Transducer	Voltage
	Transmitter	Voltage/Current
Position	Linear Variable Differential Transformers (LVDT)	AC Voltage
Light Intensity	Photodiode	Current

Source: OECD based on Wilson, 2008.

Wireless sensor and actuator networks (WSANs) are networks of nodes that sense and potentially also control their environment. They communicate the information through wireless links "enabling interaction between people or computers and the surrounding environment" (Verdone et al., 2008). The data gathered by the different nodes is sent to a sink which either uses the data locally, through for example actuators, or which "is connected to other networks (e.g. the Internet) through a gateway (Verdone et al., 2008). Figure 1 illustrates a typical WSAN¹.

Sensor nodes are the simplest devices in the network. As their number is usually larger than the number of actuators or sinks, they have to be cheap. The other devices are more complex because of the functionalities they have to provide (Verdone *et al.*, 2008).

A sensor node typically consists of five main parts: one or more sensors gather data from the environment. The central unit in the form of a microprocessor manages the tasks. A transceiver (included in the communication module in Figure 2) communicates with the environment and a memory is used to store temporary data or data generated during processing. The battery supplies all parts with energy (see Figure 2). To assure a sufficiently long network lifetime, energy efficiency in all parts of the network is crucial. Due to this need, data processing tasks are often spread over the network, *i.e.* nodes co-operate in transmitting data to the sinks (Verdone *et al.*, 2008). Although most sensors have a traditional battery there is some early stage research on the production of sensors without batteries, using similar technologies to passive RFID chips without batteries.

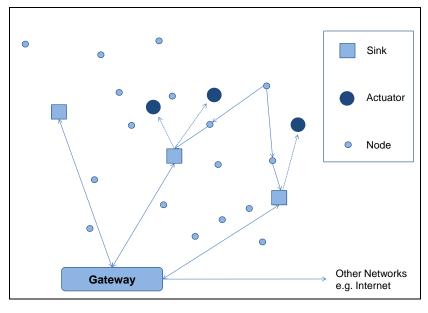


Figure 1: Typical wireless sensor and actuator network

Source: OECD based on Verdone et al., 2008.

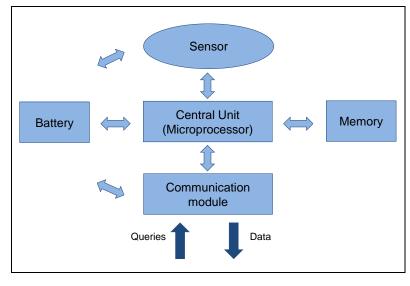


Figure 2: Architecture of a sensor node

Source: OECD based on Verdone et al., 2008.

Fields of application of wireless sensor networks

There are numerous different fields of application of sensor networks. For example, forest fires can be detected by sensor networks so that they can be fought at an early stage. Sensor networks can be used to monitor the structural integrity of civil structures by localising damage for example in bridges. Further, they are used in the health care sector to monitor human physiological data (Verdone et al., 2008). The following sections outline selected applications of wireless sensor networks.

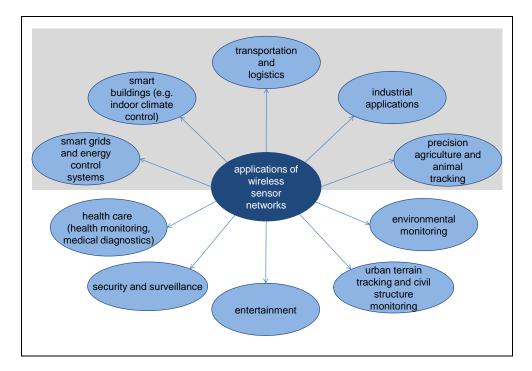


Figure 3: Fields of application of wireless sensor networks

Source: OECD based on Culler et al., Heppner, 2007, 2004, Verdone, 2008.

Figure 3 shows the most important fields of application. The upper part of Figure 3 shows fields of application discussed in more detail in this study as they have a high potential to tackle environmental challenges and reduce CO₂ emissions. The fields of application in the lower part of the figure are briefly discussed in Appendix A1 to give an overview of further interesting fields of application.

Selected applications and their environmental impact

Smart grids and energy control systems

Introduction, definition and main components

Coal power plants are responsible for "nearly 40% of electricity production worldwide", and electricity generation is thus responsible for a significant share of CO₂ emissions (Atkinson, Castro, 2008). To decrease emissions from the energy supply side, alternative clean technologies can be used to generate electricity or energy can be distributed in a more efficient way. In both cases, sensor networks contribute to better and more efficient processes.

On the generation side, sensor networks enable solar energy to be generated more efficiently. Standalone panels "do not always capture the sun's power in the most efficient manner" (Atkinson, Castro, 2008). Automated panels managed by sensors track sun rays to ensure that the sun's power is gathered in a more efficient manner. Such systems can also turn on and off automatically (Atkinson, Castro, 2008).

On the distribution side, energy is distributed in an often inefficient way in traditional grids. At the time when present girds were planned and extended, they had one single mission, namely "to keep the lights on" (DOE, 2008). As a consequence, these grids have several shortcomings: many systems are centralised and rely on important central power stations making it difficult to integrate distributed energy resources and microgrids (EU, 2006). They most often only support one-way power flow and communication from the utility to consumers. Further, utilities can barely track how energy is consumed across the grid (Atkinson and Castro, 2008) and, as a consequence, have no possibility to provide any pricing incentive to balance power consumption over time. As utilities can only accommodate increases in demand up to a certain level, they are forced to rely on additional peak load power plants to cope with unexpected demand increases (Climate Group, 2008). This is highly expensive and potentially polluting, particularly if plants use fossil fuels (Atkinson and Castro, 2008).

As demand rises and additional power from distributed resources is fed into the grid, important changes must be made. The smart grid is an innovation that has the potential to revolutionise the transmission, distribution and conservation of energy. It employs digital technology to improve transparency and to increase reliability as well as efficiency. ICTs and especially sensors and sensor networks play a major role in turning traditional grids into smart grids. However, they are only one group of key components of the smart grid. The following section gives an extensive overview of the concept of the smart grid and its key components beyond a pure discussion of sensors and sensor networks as major benefits only arise from the interaction between these components.

Defining the smart grid in a concise way is not an easy task as the concept is relatively new and as various alternative components build up a smart grid. Some authors even argue that it is "too hard" to define the concept (Miller, 2008). Looking at different definitions reveals that the smart grid has been defined in different ways by different organisations and authors. Table 2 gives an overview of selected definitions. It shows two different approaches to define the smart grid: it is either defined from a solution perspective ("What are the main advantages of the grid?") or from a components' perspective ("Which components constitute the grid?").

Table 2: Selected Smart Grid Definitions

Organisation/ author	Grid/ concept	Definition
Climate Group (2008)	Smart Grid	A "smart grid" is a set of software and hardware tools that enable generators to route power more efficiently, reducing the need for excess capacity and allowing two-way, real time information exchange with their customers for real time demand side management (DSM). It improves efficiency, energy monitoring and data capture across the power generation and T&D network.
Adam and Wintersteller (2008)	Smart Grid	A smart grid would employ digital technology to optimise energy usage, better incorporate intermittent "green" sources of energy, and involve customers through smart metering.
Miller (2008)	Smart Grid	The Smart Grid will:
		Enable active participation by consumers
		Accommodate all generation and storage options
		Enable new products, services and markets
		Provide power quality for the digital economy
		Optimise asset utilisation and operate efficiently
		Anticipate and respond to system disturbances (self-heal)
		Operate resiliently against attack and natural disaster
Franz et al., (2006)	eEnergy	Convergence of the electricity system with ICT technologies
EPRI (2005)	Intelli-Grid	The IntelliGrid vision links electricity with communications and computer control to create a highly automated, responsive and resilient power delivery system.
DOE (2003)	Grid 2030	Grid 2030 is a fully automated power delivery network that monitors and controls every customer and node, ensuring a two-way flow of electricity and information between the power plant and the appliance, and all points in between. Its distributed intelligence, coupled with broadband communications and automated control systems, enables real-time market transactions and seamless interfaces among people, buildings, industrial plants, generation facilities, and the electric networks.

From a solution perspective, the smart grid is characterised by:

- More efficient energy routing and thus an optimised energy usage, a reduction of the need for excess capacity and increased power quality and security
- Better monitoring and control of energy and grid components
- Improved data capture and thus an improved outage management
- Two-way flow of electricity and real-time information allowing for the incorporation of green energy sources, demand-side management and real-time market transactions
- Highly automated, responsive and self-healing energy network with seamless interfaces between all parts of the grid.

From a technical components' perspective, the smart grid is a highly complex combination and integration of multiple digital and non-digital technologies and systems. Figure 4 provides an overview of the main component of a smart grid: *i)* new and advanced grid components, *ii)* smart devices and smart

metering, iii) integrated communication technologies, iv) programmes for decision support and human interfaces, v) advanced control systems. These individual grids do not need to be centralised, but can have more control stations and be more highly integrated. The integration of many grids including countryspanning ones provides economic advantages, but there are challenges regarding security if they become too centralised and interconnected.

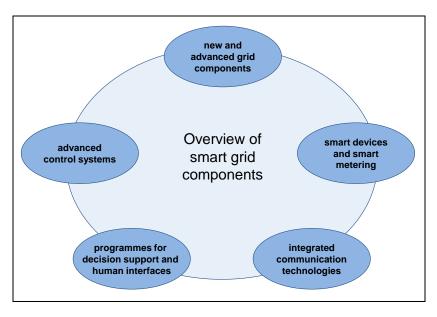


Figure 4: Main components of a smart grid

Source: OECD based on SAIC, 2006, DOE, 2003, EPRI, 2006.

New and advanced grid components

New and advanced grid components allow for a more efficient energy supply, better reliability and availability of power. Components include, for example, advanced conductors and superconductors, improved electric storage components, new materials, advanced power electronics as well as distributed energy generation. Superconductors are used in multiple devices along the grid such as cables, storage devices, motors and transformers (DOE, 2003). The rise of new high-temperature superconductors allows transmission of large amounts of power over long distances at a lower power loss rate. New kinds of batteries have greater storage capacity and can be employed to support voltage and transient stability (SAIC, 2006). Distributed energy is often generated close to the customer to be served which improves reliability, can reduce greenhouse gas emissions and at the same time expand efficient energy delivery (DOE, 2008). Furthermore, most of these alternative energy generation technologies close to customers such as solar panels and wind power stations are renewable energy sources. These technologies, e.g. solar panels, small hydro-electric and small hydro-thermals can be operated by consumers, or small providers.

Smart devices and smart metering

Smart devices and smart metering include sensors and sensor networks. Sensors are used at multiple places along the grid, e.g. at transformers and substations or at customers' homes (Shargal and Houseman, 2009b). They play an outstanding role in the area of remote monitoring and they enable demand-side management and thus new business processes such as real-time pricing.

Spread over the grid, sensors and sensor networks monitor the functioning and the health of grid devices, monitor temperature, provide outage detection and detect power quality disturbances. Control centres can thus immediately receive accurate information about the actual condition of the grid. Consequently, maintenance staff can maintain the grid just-in-time in the case of disruptions rather than rely on interval-based inspections.

Smart meters at customers' homes play a crucial role. They allow for real-time determination and information storage of energy consumption and provide "the possibility to read consumption both locally and remotely" (Siderius and Dijkstra, 2005). Further, they also provide the means to detect fluctuations and power outages, permit remote limitations on consumption by customers and permit the meters to be switched off. This results in important cost savings and enables utilities to prevent electricity theft.²



Figure 5: Smart meter

Source: Siemens, 2008.

Electricity providers get a better picture of customers' energy consumption and obtain a precise understanding of energy consumption at different points in time. As a consequence, utilities are able to establish demand-side management (DSM) and to develop new pricing mechanisms. Energy can be priced according to real-time costs taking peak power loads into account and price signals can be transmitted to home controllers or customers' devices which may then evaluate the information and power accordingly (DOE, 2003). Customers thus become more interactive with suppliers and "benefit from an increased visibility into their energy consumption habits" (IBM, 2007). They are aware of actual power costs rather than only obtaining a monthly or even yearly electricity bill. To date, a number of OECD countries (Italy, Norway, Spain, Sweden and the Netherlands) have mandated the use of smart meters.

Integrated communication technologies

Information provided by smart sensors and smart meters needs to be transmitted via a communication backbone. This backbone is characterized by a high-speed and two-way flow of information. Different communication applications and technologies form the communication backbone. These can be classified into communication services groups (EPRI, 2006). Figure 6 provides an overview of these groups as well as brief descriptions and examples.

An expanded view of different smart grid communication applications and technologies protocols needed to provide interoperable connectivity in a Core networking e.g. HTTP, TCP network that may vary greatly in topology and bandwidth security measures for consumer portal communications as Security e.g. IPSec, HTTPS portals directly deal with consumer information and billing standard technologies for collecting statistics, alarms and status e.g. Basic IP, information on the communications network itself SNMP management "meta-data" for formally describing and exchanging how devices Data structuring e.g. HTML, XML are configured and how they report data and presentation several of the key applications for portals involve integration with e.g. DNP 3 distribution system operations, such as outage detection and operations power quality monitoring electrical metering and various aspects of building automation Consumer e.g. ANSI/IEEE C12 applications Network technologies the problem of how to reach the consumer site represents the WAN technologies e.g. DSL, Cellular most rapidly-changing area of portal technology, and the one that will have the most impact on its commercial viability technology making a portal distinct from being just a "smart LAN technologies e.g. Ethernet, Wi-Fi meter" or "smart thermostat" is its ability to network with other

Figure 6: Overview of smart grid communication applications and technologies

Source: OECD based on EPRI. 2006 and SAIC. 2006.

Utilities have the choice between multiple and diverse technologies in the area of communication network technologies. Usually, several network technologies are deployed within a smart grid. The following paragraphs provide an overview of different wide-area networks (WAN) and local-area networks (LAN). The distinction between WAN and LAN technologies is made in this context to differentiate between networks used to reach the customer and those at customer sites (EPRI, 2006).

Wide-area network technologies provide a means for a two-way information flow in the smart grid. Multiple technologies are available which provide both broadband and narrowband solutions for the smart grid, resulting in a highly fragmented market. Table 3 presents the main WAN technologies as well as their strengths and weaknesses for their deployment in the smart grid.

The choice of WAN technologies will depend on factors such as reliability, low-cost, security and the network infrastructure that is already available. It is likely that utilities will rely on several network technologies when they build smart grids as they have to cope with differences in geography, population densities as well as availability and competition of different network technologies in their services areas. Some of these will require broadband, some will not.

Table 3: Strengths and weaknesses of different WAN technologies

WAN tec	hnology	Strengths	Weaknesses
ADSL (Asymmetric Digital Subscriber Line)		 high availability consistent bandwidth regardless of number of users and use in time 	decreasing bandwidth with distance
Cable modem		high bandwidthhigh availability	inconsistent bandwidth depending on number of users and time of day
FTTH (Fiber to the Home)		scalabilityhigh bandwidthplanned security measures	relatively high costsno deployment in rural areas
WiMAX (IEEE 802.16)	 does not require deployment of a costly wired infrastructure 	early stage of deployment, uncertain whether the technology will meet its range targets
BPL (broadband over power line) Narrowband PLC (e.g. IEC 61 334-5 PLC)		 existing wired infrastructure (particular advantage in rural areas) 	 cost of deployment³ BPL not suited for particular applications as it is dependent on current on the power line mostly proprietary
		field-proven in Europeinternational standards (mostly European)	 cost of deployment⁴ not suited for particular applications as it is dependent on current on the power line
Cellular	Services	high coverage areapotentially low costs	 fast development of new technology (danger of being tied to one provider) some packet-switched services not very reliable security concerns some systems may not transmit unsolicited data
Satellite Services		 universally available, regardless of concrete location 	 high costs low effective bandwidth additional security measures required low reliability during bad weather conditions
Paging S	• ubiquity • low costs • reliability		 low bandwidth and thus only support of a few applications such as simple emergency alerts

Source: OECD adapted from EPRI, 2006.

LAN technologies connect different smart devices at customers' sites. These technologies can be classified into three main groups: wireless IEEE standards 802.x, wired Ethernet, as well as in-building power line communications (EPRI, 2006).

Wireless IEEE standards include Wi-Fi (IEEE 802.11), WiMAX⁵ (IEEE 802.16), ZigBee (IEEE 802.15.4) and Bluetooth (IEEE 802.15.1). Based on EPRI (2006), Table 4 shows how these standards can be employed for different applications at customers' sites and it provides a short description of strengths and weaknesses of these standards.

Table 4: Overview of IEEE standards

IEEE standard	Applications	Strengths	Weaknesses
Wi-Fi (IEEE 802.11)	 connecting equipment at customer' site access between WAN networks and customers' site 	easy deploymentfalling costs	 only useful within the customer site additional security layers required
ZigBee (IEEE 802.15.4)	 drive-by meter reading user interface at customers' site connection of sensors and other equipment in a customer LAN 	 low power requirements low implementation cost good scalability (many devices can be connected) particularly designed for use in industrial and home automation or security applications 	 limited range relatively low data rates (but probably sufficient) possibly more secure than other standards
Bluetooth (IEEE 802.15.1)	 drive-by meter reading user interface at customers' site connection of sensors and other equipment in a customer LAN 	 more mature than ZigBee many products already available permits higher data rates than ZigBee 	 so far, most equipment does not have Bluetooth implementation limited maximum number of devices in a network security vulnerabilities

Source: Based on EPRI, 2006.

Wired Ethernet is the prevalent LAN technology today. Customers' sites can be connected via Ethernet with WAN or other networks. Due to its wide use, it has important market support, multiple different products are available and costs are relatively low (EPRI, 2006). However, it is a local area network technology only.

In-building power line communications: The two most common technologies in this area are Home Plug and X10 (EPRI, 2006). Home Plug is a broadband over power line (BPL) system that provides a bit rate of approximately 14 Mbps (The Power Alliance, 2009b). It is suited for applications requiring Quality of Service (QoS) with four different levels of priority. Further, encryption mechanisms are available. It can be deployed to connect equipment at customers' site. Newer versions also support advanced portal applications such as entertainment delivery (EPRI, 2006). Strengths of the Home Plug network include connectivity to home wiring and QoS features (EPRI, 2006). The main shortcoming is the lack of standards both at the national and international level. Currently, the Home Plug Alliance that promotes Home Plug works together with the ZigBee Alliance and EPRI define a Smart Energy Standard for consumer applications (The Home Plug Alliance, 2009a).

X10 "is the earliest, and probably the most popular, power-line carrier system for home automation" and a "convenient mechanism for a portal to control load equipment (e.g. thermostats, pool pumps)" (EPRI, 2006). Strengths include the common use implying that multiple equipments are compatible with X10 and low implementation costs if devices already use power lines (EPRI, 2006). However, it cannot be used as a general purpose LAN. Further, it is a de facto standard only and there is no open access to the protocol (EPRI, 2006).

Overall, defining a smart grid's communication backbone at the early stage including different network technologies is paramount for the interoperability of different devices. If not done properly at an early stage, sub-projects "may have to be retrofitted later to accommodate the eventual communication standards, adding greatly to time and expense" (Shargal and Houseman, 2009a). At this stage of development, a compilation of information regarding the success or failure of electricity service providers in their choices for the communication backbone to their smart grid will be invaluable. This will, for example, help telecommunications regulators to work out what kind of investment in national broadband infrastructures will help to achieve the aims of building smart infrastructures.⁶

Programmes for decision support and human interfaces

Another key component area of the smart grids comprises programmes for decision support and human interfaces. The data volume in smart grids will increase tremendously compared to traditional grids. As Houseman and Shargal (2009) suggest, "a utility with five million customers [...] will have more data from their distribution grid than Wal-Mart gets from all of its stores, and Wal-Mart manages the world's largest data warehouse". One of the main challenges of utilities is thus on the one hand the integration and management of the generated data and on the other hand making the data available to grid operators and managers in a user-friendly manner to support their decisions.

Tools and applications include systems based on artificial intelligence and semi-autonomous agent software, visualisation technologies, alerting tools, advanced control and performance review applications (SAIC, 2006) as well as data and simulation applications and geospatial information systems (GIS). Artificial intelligence methods as well as semi-autonomous agent software, for example, contribute to minimise data volume "and to create a format most effective for user comprehension" whereby the software has features that learn from input and adapts (SAIC, 2006). New methods of visualisation enable integration of data from different sources, providing information on the status of the grid and power quality and rapid information on instabilities and outages. Finally, geographic information systems provide geographic, spatial and location information and tailor this information to the specific requirements for decision support systems along the smart grid.

Advanced control systems

Advanced control systems constitute the last group of the smart grids' key components. They monitor and control essential elements of the smart grid. Computer-based algorithms allow efficient data collection and analysis, provide solutions to human operators and are also able to act autonomously (SAIC, 2006). For example, new substation automation systems have been developed that provide local information and that can also be monitored remotely. Whereas the substation information is only available locally in traditional smart grids, new developed subsystems are capable of making this information available in the whole grid and thus provide better power management. Faults can be detected much faster than in traditional grids and outage times can be reduced.

The environmental impact of smart grids

Studies which aim at quantifying the environmental impacts of smart grids typically only quantify positive impacts. Currently there is a lack of data which quantifies the negative footprint of ICT infrastructure involved in smart grids. In the following section three studies that examine the CO_2e (CO_2 equivalent)⁷ abatement potential of smart grids are discussed (see Table 5).

Table 5: Comparison of the GeSI, EPRI and IPTS studies

	GeSI (2008)	EPRI (2008)	IPTS (2004)
Title	Smart 2020: Enabling the Low Carbon Economy in the Information Age	The Green Grid Energy Savings and Carbon Emissions Reductions Enabled by a Smart Grid	The Future Impact of ICTs on Environmental Sustainability
Time horizon	2020	2030	2020
Geographical coverage	World	US	Europe
Considered smart grid levers for the Reduction of CO₂e emissions	 Reduced transmission and distribution (T&D) losses Integration of renewable energy sources Reduced consumption through user information Demand side management 	 Continuous commissioning for commercial buildings Reduced line losses Enhanced demand response and (peak) load control Direct feedback on energy usage Enhanced measurement and verification capabilities Facilitation of integration of renewable resources Facilitation of plug-in hybrid electric vehicle (PHEV) market penetration 	Renewable energy sources
Considered impacts	 Positive impacts Negative footprint: no consideration on the smart grid level (overall ICT level) 	Positive impactsNo consideration of negative footprints	 Positive impacts Negative impact considered but not on the smart grid level
Rebound effects	 Only discussed in a qualitative way 	 Only discussed in a qualitative way 	 Quantification of the rebound effect
Methodology	 Expert interviews Literature review: publicly available studies, academic literature Information provided by partner companies Case studies Quantitative analysis (models based on the McKinsey cost curve and McKinsey emission factors) 	 Calculations draw on data from single cases Simple assumption are made to calculate impacts 	 Screening and scoping Literature analysis Interviews Policy-integrated scenarios Modelling Validation workshops Reviews and policy recommendations (Source: Erdmann, 2009)
Scenario	BAU (Business as usual)	No concrete scenarios (only ranges of savings are shown which depend on different market penetration rates)	Three scenarios: Technology Government First Stakeholder democracy

Table 6: Comparison of the GeSI, EPRI and IPTS studies (cont'd)

	GeSI (2008)	EPRI (2008)	IPTS (2004)
Plausibility	 Use of CO₂e emission data from IPCC (2007) with higher CO₂e emission prospects than prospects provided by the IEA Possible overestimation of the positive impacts due to some assumptions Overall, use of good data 	 Possible overestimation of some effects due to some assumptions Partially very simple assumptions and calculations 	 Consideration of various effects (e.g. rebound effects) Most holistic approach Only report with validation methods
Stakeholders	 Involvement of industry stakeholders Commissioned by GeSI (ICT industry association) 	EPRI: research institute of the power industry	 Research institutes, scientific report Involvement of scientific and industry stakeholders Source: Erdmann, 2009.

The GeSI study (2008) evaluates the opportunity of smart grids by both presenting a case study for India and by quantifying global positive impacts. According to the study, power losses in India accounted for 32% of total power production in 2007. Currently, utilities are not able to detect where the losses occur in the traditional grids. ICT platforms with remote control systems, energy accounting and smart meters could have tremendous effects as they would allow utilities to track the sources of losses. Further, India mainly relies on coal-based energy supply to meet increasing demand. Decentralised energy generated by renewable energy sources could be integrated in a smart grid. Smart grids would thus help to address two major needs of Indian energy providers: stemming losses and reducing carbon intensity.

For the quantification of the positive impact, the study assesses four levers that have the potential to reduce CO₂e emissions: *i)* reduced transmission and distribution (T&D) losses, *ii)* integration of renewable energy sources, *iii)* reduced consumption through user information, and iv) demand side management (DSM). The study identifies total emission savings of 2.03 GtCO₂e in 2020 in a "Business as Usual" (BAU)⁸ scenario. Figure 7 shows the contribution of each lever as well as the assumptions behind the calculations. Assumptions are based on expert interviews. It should be noted that the GeSI estimates of the overall CO₂e emissions for the year 2020 are based on the global CO₂e emission data published by the IPCC (Intergovernmental Panel on Climate Change)⁹ which are higher than IEA estimates, for example.

Whereas the GeSI Smart Grid study assesses the positive environmental impact on a global level in 2020, EPRI (2008) focuses on the positive environmental impacts on a national level in the United States for the year 2030. Another difference is the extended view on levers leading to a positive impact: overall, the study evaluates seven levers: *i*) continuous commissioning for commercial buildings, *ii*) reduced line losses, *iii*) enhanced demand response and (peak) load control, *iv*) direct feedback on energy usage, *v*) enhanced measurement and verification capabilities, *vi*) facilitation of integration of renewable resources, and *vii*) facilitation of plug-in hybrid electric vehicle (PHEV) market penetration. PHEV are "hybrid electric vehicles that can be plugged into electrical outlets for recharging" (EPRI, 2008). As PHEV allow for CO₂ emission savings, ¹⁰ the study attributes 10-20% of these savings to the smart grid as the smart grids allow charging of vehicles over night. However, R&D on PHEV is still at an early stage. As the study evaluates CO₂e emission savings for a longer time horizon than the GeSI study, the inclusion of PHEV can be regarded as useful. However, the percentage of emission savings from PHEV attributed to smart grids seems potentially overstated.

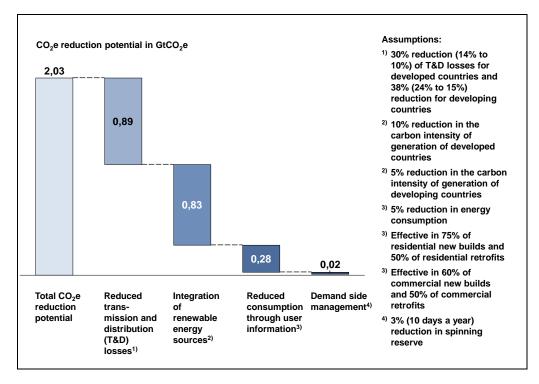


Figure 7: Positive environmental impact of smart grids

Note: taken from GeSI 2008

For each level, the study develops different market penetration ranges and thus obtains evaluations for low and high market penetration. Overall, the EPRI study estimates CO₂e energy saving ranging from 60-211 million metric tons. Figure 8 provides a detailed overview of CO₂e savings which arise from the seven levers.

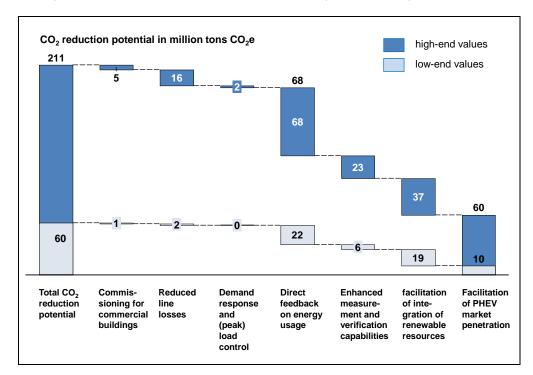


Figure 8: Positive environmental impact of smart grids according to EPRI (2008)

Note: taken from GeSI 2008

Overall, the impact range is between the low-end value of 60 million tons and the high-end value of 211 million tons. CO₂e emission savings vary considerably. This is due to different shares of market penetration. Furthermore, estimations are partially based on simple assumptions as well as on data from single cases.

The third study discussed in this section assesses both positive and negative impacts of ICTs on environmental sustainability (IPTS, 2004). As opposed to the above studies, it studies one important lever: the contribution of renewable energy sources to a reduction of CO₂ emissions and especially the impact of ICTs on the share of renewable energy sources. ICTs facilitate the integration of energy which was generated by renewable energy sources. According to the authors, the use of ICTs in the smart grid increases the total share of renewable energy sources in the range of 2-7% in 2020. The range is due to best and worst-case values for three different scenarios as shown in Table 6. A total reduction in GHG emissions (measured in CO₂e) due to the use of ICTs in energy supply ranges from 1.5% in the worst case and 3.1% in the best case.

Table 7: Impacts of ICTs in smart grids for different scenarios

	Scenario A			Scenario B			Scenario C		
	worst	mean	best	worst	mean	best	worst	mean	best
Renewable energy sources share in electricity	1.9 %	2.9 %	4.2 %	1.9 %	2.9 %	4.5 %	3.0 %	4.6 %	6.7 %
Total GHG emissions	-1.5 %	-1.9 %	- 2.8 %	-1.5 %	-2.1 %	-3.1 %	-1.6 %	-2.3 %	-3.0 %
Scenario description									
Technology regulation	Incentives for innovation		Government intervention			Stakeholder approach			
Attitudes to ICT	Moderate, conservative		Receptive			Highly receptive			
ICT in business	High level of cooperation			High level of competition			Between A and B		
Attitudes to the environment	Moderate	e/controve	rsial	High awareness and interest		High awareness and interest			

Source: Erdmann, 2009.

As a consequence, the electricity mix changes which directly affects overall CO₂e emissions. The authors also argue that ICTs enhance combined heat and power generation which further leads to a decreased use of fossil fuel. According to the study, the impact of smart grid ICTs will be 1.5-3.1% of total CO₂e reductions in 2020. Rebound effects which arise from a higher efficiency in energy supply are included in the IPTS study, which is not the case for the GeSI and EPRI studies. In terms of scenario building, validation measures and the integration of positive and negative effects, the IPTS study is the most sophisticated presented in this section. It is also the only study which integrates rebound effects.

Comparing actual CO₂e emission values is a difficult task as the conception of these studies differs significantly. They assess different smart grid levels on different continents for differing time horizons. Overall, all studies emphasise that fully and properly deployed smart grids could have an important and strong potential to reduce future CO2e emissions. The GeSI study, for example, which assesses the environmental impacts of several smart (sensor) applications, attributes the highest potential to smart grids to reduce CO₂e emissions.

However, it may be also necessary to investigate the potential negative environmental impact associated with the deployment of smart grids, for example, the amounts of additional hardware needed to support and improve the electric transmission grid. These new activities may also require new rights of way and distribution systems with possible negative impacts on wildlife and ecosystems.

Because of the potential positive impacts of smart grids, many OECD countries have emphasised the transformation of actual grids into smart grids. For example, the provisions of the U.S. stimulus bill signed in February 2009 include USD 11 billion for "smart grid" investments. Furthermore, some OECD countries (Italy, Norway, Spain, Sweden and the Netherlands) have already issued mandates for smart metering, and the EU Communication on ICTs and the environment (13 March 2009) emphasises the role of smart metering.

One of the main questions for successful implementation of smart grids will be whether energy suppliers can agree on working together to adopt industry-wide solutions and developing and adopting open standards (Adam, Wintersteller, 2008).

Smart buildings

Introduction, definition and main components

Smart buildings are a field closely linked to smart grids. Smart buildings rely on a set of technologies that enhance energy-efficiency and user comfort as well as the monitoring and safety of the buildings. Technologies include new, efficient building materials as well as information and communication technologies (ICTs). An example of newly integrated materials is a second façade for glass sky scrapers. The headquarters of the New York Times Company has advanced ICT applications as well as a ceramic sunscreen consisting of ceramic tubes which reflect daylight and thus prevent the skyscraper from collecting heat (see Box 1).

ICTs are used in: *i)* building management systems which monitor heating, lighting and ventilation, *ii)* software packages which automatically switch off devices such as computers and monitors when offices are empty (SMART, 2020) and *iii)* security and access systems. These ICT systems can be both found at household and office level. Furthermore, according to Sharpels *et al.*, (1999), first-, second- and third-generation smart building systems can be distinguished. First-generation smart buildings are composed of many stand-alone self-regulating devices which operate independently from each other. Examples include security and HVAC systems. In second-generation smart buildings, systems are connected via specialised networks which allow them to be controlled remotely and "to facilitate some central scheduling or sequencing" (Sharpels *et al.*, 1999), *e.g.* switching off systems when rooms and offices are not occupied. Third-generation smart building systems are capable of learning from the building and adapting their monitoring and controlling functions. This last generation is at an early stage.

Sensors and sensor networks are used in multiple smart building *applications*. These include:

- Heating, ventilation, and air conditioning systems (HVAC)
- Lightning
- Shading
- Air quality and window control
- Systems switching off devices
- Metering (covered in the section on smart grids)
- Standard household applications (e.g. televisions, washing machines)
- Security and safety (access control).

Box 1: The New York Times Building - a Smart Building

The headquarters of the New York Times is an example of how different smart building technologies can be combined to reduce energy consumption and to increase user comfort. Overall, the building consumes 30% less energy than traditional office skyscrapers.



Opened in November 2007 and designed by Renzo Piano, the building has a curtain wall which serves as a sunscreen and changes colour during the day. This wall consists of ceramic rods, "a supporting structure for the screen and an insulated window unit" (Hart, 2008).

The building is further equipped with lighting and shading control systems based on ICT technologies. The lighting system ensures that electrical light is only used when required. Further daylighting measures include a garden in the centre of the ground floor which is open to the sky as well as a

large area skylight. The electrical ballasts in the lighting system are equipped with chips that allow each ballast to be controlled separately. The shading system tracks the position of the sun and relies on a sensor network to automatically actuate the raising and lowering of the shades. Experience had shown that if it were up to employees sitting next to the windows to control the shades, "the shades would likely be down most of the time since occupants" were "often too busy to manage the shades" (LBNL, 2009).

The high-tech HVAC system is equipped with sensors that measure the temperature. It is further able to rely on free air cooling, i.e. fresh air on cool mornings is brought into the HVAC system. An automated building system monitors in parallel "the air conditioning, water cooling, heating, fire alarm, and generation systems" (Siemens, 2008). The system relies on a large-scale sensor network composed of different kinds of sensors which deliver real-time information. Consequently, energy can be saved as only as few systems are turned on as needed.

Sources: Hart (2008), Siemens (2008), The New York Times Building (2009), LBNL (2009).

Sensors embedded in HVAC systems, for example, monitor the temperature and the status of parts of the buildings such as open or closed windows. In the field of air quality, new gas sensors, micro electricalmechanical systems (MEMS), measure the content of CO₂ in rooms. These relatively new types of sensors are made of "silicon chips and an oxidizing layer" (Siemens, 2008c). Overall, different types of sensors for smart buildings include:

- Temperature sensors and heat detectors
- Light level detectors
- Movement and occupancy sensors
- Smoke and gas detectors
- Status sensors (e.g. air quality, open windows)
- Glass break sensors

Table 7 cross-tabulates applications and typical sensor types used for these applications.

Table 8: Cross-tabulated smart building applications and sensors

	HVAC	Lighting	Shadin g	Air quality and window control	Systems switch- ing off devices	standard HH appli- cations	Security and safety
Temperature and heat detectors	•						•
Light level detectors		•	•				
Movement and occupancy sensors	•	•	•	•	•	•	•
Smoke and gas detectors				•		•	•
Status sensors		•	•	•	•	•	•
Glass break sensors						•	•

According to Siemens (2008c), sensors and sensor networks in smart building systems significantly contribute to energy reduction. They estimate the energy savings due to more precise climate, air quality and occupancy sensors at 30% compared to buildings with traditional automation technology. The following section provide an overview of different impact studies focusing on total smart building and facility management systems and their energy consumption and emissions.

The environmental impact of smart buildings

Only a few studies on the environmental impact of smart buildings cover more than single applications and more than one country. In the following studies, GeSI (2008) focuses on positive impacts, whereas the IPTS study (2004) covers both positive and negative impacts (see Table 5).

According to GeSI estimations (2008), buildings will emit 11.7 GtCO₂e worldwide in 2020 which equals 22.5% of total emissions. This includes private households, public buildings and offices. The study identifies an ICT-enabled abatement potential of 1.68 GtCO₂e in a BAU scenario. This abatement potential results both from levers that can be attributed to sensors and sensor networks and further levers. Figure 9 provides an overview of impacts. Levers which include a positive impact of sensors and sensor technology are highlighted in blue solid shading. These levers account for 59.5% of total CO₂e savings. Most important impact levers include savings due to efficient building management systems and savings resulting from voltage optimisation as well as HVAC systems. Other impacts which cannot directly be attributed to sensors have diagonal lines.

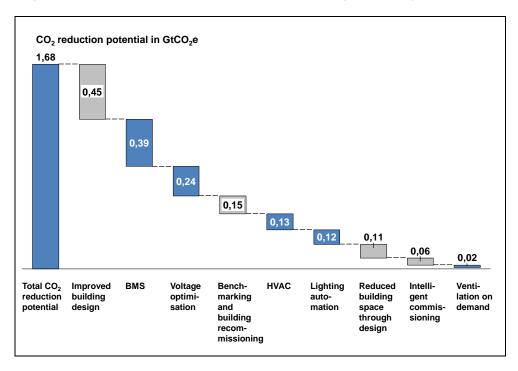


Figure 9: Positive environmental impact of smart buildings according to GeSI (2008)

Note: taken from GeSI 2008

Table 8 provides an overview of underlying assumptions based on expert interviews. Overall, important savings can be obtained by intelligent commissioning of buildings, i.e. "ensuring the building's systems are used as specified" (GeSI, 2008). It thus not only depends on the ICT technology and its sophistication but also on a proper use of these systems. As for the smart grid calculations, the GeSI estimates for the year 2020 are mainly based on the global CO₂e emission data by the IPCC.

Table 9: Assumptions underlying the calculations of positive impacts

Lever	Assumptions for the calculations					
Improved building design	40% reduction in retail buildings and 30% in others Implementation: 60% new buildings, 15% of retrofits (except 0% for residential)					
12% less in residential and retail buildings, 7% in warehouse and 36% in office a emissions Implementation: 40% new offices and retail, 25% retrofits; 33% all other new an retrofits						
Voltage optimisation	10% reduction in heating/cooling and appliance consumption Implementation: 80% new buildings, 30% commercial retrofits and 20% residential retrofit					
HVAC	13% reduction in HVAC consumption (except warehouses) Implementation: 40% for new retail and offices, 33% for remaining new, 25% for all retrofits					
Benchmarking and building recommissioning	35% reduction in current commercial building (except warehouses) heating/cooling emissions Implementation: 25% of new builds and 50% of retrofits					
Lighting automation	16% reduction in lighting Implementation: 40% for new retail and offices, 33% for remaining new, 50% for commercial retrofits and 25% for residential retrofits					
Reduces building space through design	25% reduction in retail and warehouse space Implementation: 60% of new buildings and 20% of retrofits					
Intelligent commissioning	15% reduction in commercial building (except warehouses) heating/cooling emissions Implementation: 60% of new builds					
Ventilation on demand	4% reduction in heating/cooling emissions in commercial buildings except warehouses Implementation: 60% of new builds and 25% of retrofits					

Note: Based on GeSI 2008

Source: OECD, based on GeSI, 2008.

The IPTS study (2004) covers the environmental impact of smart buildings in the field of facility management where ICT contributes to energy savings. Facility management "targets space heating, water heating, cooling, lighting, cooking and electrical appliances" (IPTS, 2004).

Results of the IPTS study are illustrated in Table 9. With the projected development of ICT, reductions in energy consumption range from 3.5% in the worst case to 7.1% in the best case in 2020. Consequently, ICT in facility management contributes to CO₂e emissions savings ranging from 3.5% in the worst case to 6.5% in the best case. Overall, an important reduction of energy consumption as well as CO₂e emissions is observed in all scenarios with scenario B having the highest energy and emission savings.

Table 10: Impacts of ICTs in facility management for different scenarios

	Scenario A			Scenario B			Scenario C			
	worst	mean	best	worst	mean	best	worst	mean	best	
Total energy consumption	-3.5 %	-4.3 %	-5.2 %	-4.2 %	-5.4 %	-7.1 %	-3.5 %	-4.4 %	-5.8 %	
Total GHG emissions	-3.5 %	-4.6 %	-5.8%	-4.2 %	-5.4 %	-7.1 %	-3.6 %	-4.7 %	- 6.5 %	
Scenario description										
Technology regulation	Incentives for innovation			Government intervention			Stakeholder approach			
Attitudes to ICT	Moderate, conservative			Receptive			Highly receptive			
ICT in business	High level of cooperation			High level of competition			Between A and B			
Attitudes to the environment	Moderate	Moderate/controversial			High awareness and interest			High awareness and interest		

Source: Erdmann, 2009.

Both studies emphasise the pivotal role of governments in attaining significant reductions in both energy consumption and greenhouse gas emissions. They recommend different measures to promote the use of ICTs in smart buildings. These measures include demonstration projects with best practice examples, minimum standards of energy efficiency for existing and new buildings, economic incentives, investments in R&D as well as providing a setting where governments and other stakeholders exchange results on different energy-efficiency measures.

To date, several programmes have already been set up to promote increased energy efficiency in buildings such as CASBEE (Japan) or LEED in the United States. Furthermore, the IEA aims at constructing "the world's leading database on efficiency codes and standards for buildings" for comparison purposes (IEA G8 Gleneagles programme, 2008).

Transport and logistics

Introduction and overview of applications

Information and communication technologies (ICTs) and sensor networks in particular have the potential to contribute to increased efficiency in both freight and passenger transport as well as a potential reduction of overall transportation. On the one hand, increased use of ICTs can avoid freight and passenger transport through a higher degree of virtualisation, digitisation and teleworking. Digital content is delivered electronically and virtual conferences and teleworking reduce passenger transport. On the other hand, increased use of ICTs can contribute to better management of transport routes and traffic, higher safety, time and cost savings as well as reductions of CO₂ emissions.

Sensors and sensor networks play a vital role in the increase of transport efficiency. For example, sensor technology contributes to better tracking of goods and vehicles which might result in lower level of inventories and thus energy savings from less inventory infrastructure as well as a reduced need for transportation (Atkinson, Castro, 2008). Furthermore, sensors and sensor networks are pivotal parts of many intelligent transportation systems (ITS).

An intelligent transportation system (ITS) can be defined as "the application of advanced and emerging technologies (computers, sensors, control, communications, and electronic devices) in transportation to save lives, time, money, energy and the environment" (ITS Canada, 2009). The ITS can be categorised into *intelligent infrastructure* and *intelligent vehicles* (RITA, 2009). Figure 10 gives an overview of different ITS applications for both intelligent infrastructure and intelligent vehicles as well as some examples for each application.

Many of these applications are based on sensors and sensor networks. In the field of *intelligent infrastructure* sensors in pavements are used for road traffic monitoring systems to measure the intensity and fluidity of traffic (vehicle count sensors) and to provide information for traffic lights which are then controlled. These sensors are further able to detect whether, for example, public buses are approaching so that the green phase of traffic lights can be extended, allowing buses to keep their schedules (Veloso, Bento, Câmara Pereira, 2009). They also transmit information to update public transport panels. New sensor applications include intermittent bus lanes (see Box 3). In addition, sensors are used for motorway tolling purposes where they detect vehicle RFID tags and retrieve the required information (Veloso, Bento, Câmara Pereira, 2009). Sensors also monitor the state of physical infrastructures such as bridges by detecting "vibrations and displacements" (Veloso, Bento, Câmara Pereira, 2009).

Figure 10: Overview of ITS applications and examples

Intelligent Transportation Systems Intelligent Infrastructure								
 Traffic Signal Control, Lane Management 	Warning SystemsPedestrian Safety							
Surveillance, Enforcement								
Emergency Management	Electronic Payment and Pricing	Roadway Operations						
 Hazardous Material Management 	 Toll Collection 	 Asset Management 						
 Emergency Medical Services 	 Multi-Use Payment 	 Work Zone Management 						
Transit Management	Traveller Information	Road Weather Information						
 Operations and Fleet Management 	 Pre-trip and En-Route 	 Surveillance and Prediction 						
 Transportation Demand 	Information	 Traffic Control 						
Management	Tourism and Events							
Information Management	Commercial Vehicle Operations	Intermodal Freight						
 Information Warehousing 	 Carrier Operations, Fleet 	Freight and Asset Tracking						
Services	Management	 International Border Crossing 						
Archived Data Management	Credentials Administration							
	Intelligent Vehicles							
Collision Avoidance	Driver Assistance	Collision Notification						
 Obstacle Detection 	 Navigation, Route Guidance 	 Advanced Automated Collision 						
 Collision-Avoidance Sensor 	 On-Board Monitoring 	Notification						
Technologies		 In-Vehicle Crash Sensors 						

Source: OECD based on RITA, 2009 and Alberta Transportation, 2009.

Intelligent vehicles are equipped with sensors for multiple purposes. Examples for different kinds of vehicles include: i) Trains in metros, especially driverless systems, use sensors to control the velocity and location of trains as well as stops at metro stations (Veloso, Bento, Câmara Pereira, 2009). ii) Buses rely on

door sensors to detect whether doors are open to better locate them. Further applications include environmental sensors on buses and tramways that detect weather conditions, analyse traffic conditions and give alerts via on-board mini-computers (MORYNE FP6 Project, 2008); for applications in cars iii), current research projects focus on vehicle-to-vehicle communication based on data gathered by sensors (see for example EU projects such as Coopers and PReVent). These (environmental) sensors collect information, for instance, on the location of the car, the speed and road and weather conditions. As cars pass each other, they are able to exchange the summarised information. Based on a detailed description of the environment, cars obtain traffic information and drivers are able to plan their routes more efficiently. In addition, trajectories of vehicles can be predicted resulting in a sophisticated risk assessment and thus increased traffic safety as drivers can be warned of dangerous driving conditions. A further example of car sensors is a tyre pressure monitoring system which delivers real time tyre pressure information to the driver (Intelligent Car Initiative, 2008). Besides improving safety, the system helps to "reduce the amount of emissions released into the atmosphere" (Intelligent Car Initiative, 2008).

Box 3: Intermittent bus lanes

To allow a better flow and speed of public transport, many cities rely on special lanes for buses, taxis and emergency vehicles. However, this system can be further optimised as, at times, the lane is empty and could instead be used for general traffic, especially in heavy traffic situations. The idea of the optimised solution is to normally open the bus lane for general traffic and to reserve it only when public transport is approaching and when the general traffic is slower than the normal speed of public transport.

Researchers in Portugal have developed a wireless sensor network system which has been tested in Lisbon. Installed lights in the tarmac separate the bus lane from other lanes and are only turned on when a bus is approaching. The presence of public transport in the bus lane is detected by sensors in the ground and can be supported by additional information such as data from public transport fleet management systems. This information is processed by a control station installed near traffic lights. In recent systems, the in-pavement components are wirelessly connected to each other and to the control station to reduce installation costs. Each module is battery powered and the batteries are charged by pavement-embedded solar panels (see Silva Girão et al. (2006)). Communication is assured via RF transmitters and receivers. Overall, the results of trials in Lisbon are encouraging as the bus speed could be increased and the negative impact on the general traffic flow was low. The researchers have recently also worked on upgrades of the system such as the detection of intrusion of private transport in the bus lane when the lane is reserved for public transport, and the incorporation of cameras for law enforcement.

Sources: Viegas, Lu, 2005, Silva Girão et al., 2006.

Overall, ITS systems make public and private transport more efficient, and potentially cheaper which may increase transport volumes (rebound effect) and the environmental impact might thus be negative. Results of studies analysing the environmental impact of smart transportation are mixed due to this effect, in contrast to other fields of application. The following paragraphs discuss different results from the GeSI (2008) and IPTS study (2004) as well as their underlying assumptions. As for smart buildings, the GeSI study (2008) concentrates on the positive impacts whereas the IPTS study (2004) covers positive and negative effects, including rebound effects (see Table 5 for a description of the studies).¹²

The environmental impact of smart transportation

The GeSI study (2008) estimates an abatement potential of 1.52 GtCO₂e worldwide in the field of smart transport (see Figure 11). As for the field of smart buildings, levers that can be attributed to sensors and sensor networks and further levers constitute the overall abatement potential. Levers that can include a positive impact of sensors and sensor networks are marked in blue solid shading in Figure 11, other levers have diagonal lines. According to GeSi (2008), the most important levers include the optimisation of logistic networks and optimised collection and delivery planning.

Table 10 provides an overview of the underlying assumptions for the calculation of the abatement potential of 1.52 GtCO₂e. Some assumptions can be regarded as ambitious as they assume reductions of over 20%. Furthermore, the study does not cover rebound effects.

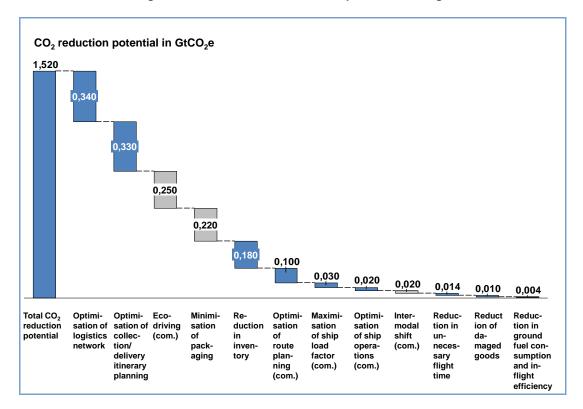


Figure 11: Positive environmental impact of smart logistics

Note: taken from GeSI 2008

The IPTS study (2004) assesses the impact of ITS on passenger and freight transport.¹³ In contrast to the GeSI study, the authors find a significant increase of both passenger and freight transport volume across all scenarios with a low data uncertainty and a negative impact of ICTs in the field of ITS. This results in an increase of CO₂e emissions due to the use of ICT in transportation across all scenarios and best and worst case situations. Table 11 illustrates the results of the study. With the projected development of ICTs compared to a situation without this projected development, an increase in CO₂e emissions ranges from 1.9% in the best case and 2.7% in the worst case scenario.

Table 11. Table 12. Table 13: Assumptions underlying the calculations of positive impacts

Lever	Assumptions for the calculations					
Optimisation of logistics network	14% reduction in road transport					
	1% reduction in other modes of transport					
Intermodal shift	1% reduction in road transport owing to shift towards rail- and waterborne transport					
Reduction in inventory	24% reduction in inventory levels					
	100% of warehouses and 25% of retail are assumed to be used for storage					
Optimisation of collection/delivery itinerary planning	14% reduction in road transportation					
Optimisation of truck route planning	5% reduction in carbon intensity of road transport owing to avoidance of congestion					
Eco-driving	12% reduction in carbon intensity owing to improved driving style					
In-flight fuel efficiency	1% reduction in fuel consumption achievable for 80% of t-km flown					
Reduction in ground-fuel consumption	32% reductions in ground fuel consumption achievable for 80% of flights					
consumption	Impact calculated for average European fleet					
Reduction in unnecessary flight time	1% reduction in fuel consumption achievable for 80% of t-km flown					
(comm.)	32% reduction in ground fuel consumption achievable for 80% of flights					
Reduction in unnecessary flight time	3% reduction in flight time achievable for 80% of flights					
Maximisation of ship load factor	4% reduction in marine transport owing to improved utilisation of ships					
Optimisation of ship operations	3% increase in fuel efficiency, e.g. by adjusting ballasts and optimising speed					
Minimisation of packaging	5% reduction in packaging material, leading to a 5% reduction in all transports and in storage					
Reduction of damaged goods	0.2 % reduction in damaged goods achievable through better tracking (e.g. RFID) and conditions monitoring (e.g. bio-sensors)					

Note: taken from GeSI 2008

Table 14: Impacts of Intelligent Transportation Systems (ITS) for different scenarios

	Scenario A			Scenario B			Scenario C		
	worst	mean	best	worst	mean	best	worst	mean	best
Freight transport tkm ¹⁴	13.3 %	13.4 %	13.5 %	27.3 %	27.8 %	28.2 %	12.4 %	12.5 %	12.6 %
Passenger transport pkm ¹⁵	5.5 %	5.3 %	5.2 %	6.1 %	6.1 %	6.1 %	5.6 %	5.7 %	5.7 %
Total energy consumption	1.9 %	2.1 %	1.9 %	2.6 %	2.8 %	2.5 %	1.9 %	2.0 %	1.9 %
Total GHG emissions	1.9 %	2.0 %	1.9 %	2.6 %	2.7 %	2.6 %	1.9 %	2.0 %	2.0 %
Scenario description									
Technology regulation	Incentives for innovation			Government intervention			Stakeholder approach		
Attitudes to ICT	Moderate, conservative			Receptive			Highly receptive		
ICT in business	High level of cooperation			High level of competition			Between A and B		
Attitudes to the environment	Moderate/controversial			High awareness and interest			High awareness and interest		

Source: Erdmann, 2009.

ITS render transport faster, more efficient and flexible and, as a consequence, cheaper, "leading to a full rebound effect" (IPTS 2004). The demand for transportation increases and creates more transport leading to higher consumption of energy and to growing greenhouse gas emissions. According to the authors "higher transport efficiency is the key ICT effect increasing freight transport in 2020. This increase is in the range of 12% to 28%" (IPTS, 2004) for freight transport and of 5-7% for passenger transport. Although the increasing impact of ITS with the projected development of ICTs is significantly higher for scenario B, the absolute freight transport volume is the lowest one in this scenario as environmental costs are already internalised (see the fourth IPTS interim report (Hilty *et al.*, 2004)).

In the field of passenger transport, the increased time efficiency of passenger transport implies that a higher passenger transport volume can be attained in the same time which raises traffic performance. However, "ICT can slow the growth of private car passenger transport, avoiding 10-19% of future car traffic, despite the fact that it stimulates the growth of total passenger transport" (IPTS, 2004) due to better time utilisation. This time utilisation effect is supposed to increase the use of public transport in the modal split as ICTs can contribute to a more effective use of travel time to work. The attractiveness of public transport can thus be increased and promote a shift from private cars to public transport. However, this effect also "relaxes the time budget and therefore enables more traffic" (IPTS, 2004).

In the field of freight transport, the full rebound effect which results from cheaper transport shows that freight transport is "highly sensitive to fuel prices". Raising fuel prices and thus internalising environmental costs could thus reduce demand significantly.

Overall, this overview of the GeSI and the IPTS studies shows mixed results of the impact of intelligent transport systems due to rebound effects. These effects also highlight that governments can have a crucial role in the field of smart transportation. As the IPTS study shows, increased efficiency of transportation should be paralleled with demand-side management. Internalisation of environmental effects

by raising energy and fuel prices or the inclusion of transportation in emission trading could reduce demand for transport and thus reduce CO₂e emissions (IPTS, 2004). Furthermore, governments can make use of ITS in public transport to render it more attractive and promote a modal shift from private cars to public transport. Measures not only include promoting a better working environment during travel through ICTs but also better services such as real-time time-table information and optimised route planning.

Industrial applications

Introduction and application examples

The industry sector is an important emitter of greenhouse gas emissions. According to GeSi (2008), it was responsible for 23% of total emissions in 2002 and used nearly half of all global electricity. Sensors and especially sensor networks are used in multiple ways in industrial applications. They enable real-time data sharing on industrial processes, on the "health state" of equipment and the control of operating resources to increase industrial efficiency, productivity and reduce energy usage and emissions.

As the variety of different sensor applications is immense across industry sectors, ¹⁶ this section describes three examples of industrial fields of application of sensors for: i) process control, ii) control of (physical) properties during the production process, and iii) equipment management and control.

In the field of process control, sensor and sensor networks deliver real-time data on the production process and are able to detect in situ variations in the process. Control can thus be moved from the finished product after the completed production run to the production process itself (DOE, 2007). Faults can be minimised reducing the percentage of deficient and reprocessed goods. Furthermore, a continuous monitoring of processes allows for efficient use of energy during production processes. An application example in the field of process control is an on line laser-ultrasonic thickness gauge which measures the thickness of steel tube walls under harsh conditions in mills. During production, it ensures that "tube walls are uniform and reduces the need to remove excess material from the walls of the tubes". As a consequence, product consistency can be improved and material saved while "reducing the time and energy used during production" (DOE, 2004).

In the field of the control of physical properties during production processes, sensors and sensor networks measure different properties as well as the amount of available resources during production. This allows them to be employed in an efficient and thus precise manner resulting in energy savings and the reduction of pollutants. Examples are sensors measuring the temperature and composition of combustion gases and sensors measuring the concentration of hydrogen gas (DOE, 2007).

In the third field, equipment management and control, sensors monitor the "health of machines" as well as their usage. Sensors installed on different machines measure physical properties such as temperature, pressure, humidity or vibrations (Verdone, 2008). The sensor nodes are able to communicate between each other and send data to the network where the data is processed. When critical values are achieved, the system immediately sends signals making predictive maintenance possible. This intelligent maintenance monitors the functionality of parts and ensures that they are replaced based on a degradation assessment rather than on replacement rules. Besides health monitoring, sensors also control motors during usage. Motors running at full capacity regardless of load can be inefficient and waste energy (GeSi, 2008). Sensors allow the motor to adjust the power usage according to the required output. Wireless networks that link different sensors make machine-to-machine communication possible and have the potential to increase energy efficiency in whole factories (GeSi, 2008).

As the examples have shown, many specific and niche sensor applications are used in factories. Consequently, interoperability of different systems becomes a crucial issue to connect different sensor systems and to maximise efficiency and energy savings. Some standards have already been launched such as the interface IEEE 1451 group of standards which aims at enabling plug-and-play of different sensors and sensor networks (Chong, Kumar, 2003).

An example of the environmental impact of smart industrial applications

There is so far little information on the overall environmental impact of sensors and sensor networks across different fields of industrial applications. GeSi (2008) assessed the impact of one major industrial field of application: smart motor systems. The study focuses on positive impacts (for a description of the study, see Table 5). In the following, the results of this analysis are discussed to give an example of the environmental impact of smart industrial applications.

According to GeSi (2008) motor systems account for 65% of total energy use by industry. Smart motors which adjust power consumption to outputs can have an important role in reducing this demand. The authors of the study estimate a worldwide abatement potential of 970 MtCO₂e in a BAU scenario (see Figure 12). This is, on the one hand, due to an optimisation of motors' speed (abatement potential of 680 MtCO₂e) and, on the other hand, to ICT-driven automation (abatement potential of 290 MtCO₂e).

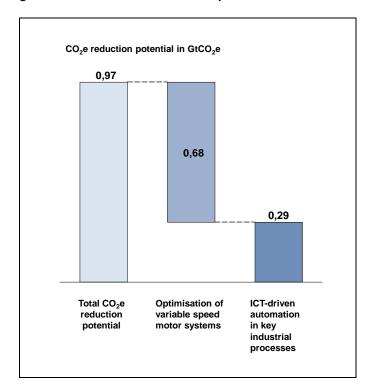


Figure 12: Positive environmental impact of smart motors

Note: taken from GeSI 2008

Table 12 summarises the key underlying assumptions for the calculation of the abatement potential. Overall, the authors assume a penetration rate of motor system optimisation technology of 60% which is relatively high compared to the assumed penetration rate of process optimisation technology of 33%.

Table 15: Assumptions underlying the calculations of positive impacts

Lever	Assumptions for the calculations
Optimisation of variable speed of motor systems	30% increase in efficiency of industrial motor systems through optimisation 60% penetration of motor system optimisation technology
ICT-driven automation in key industrial processes	15% decrease in total electricity consumption 33% penetration of process optimisation technology

Note: taken from GeSI 2008

The discussed example highlights that sensor technology has an important impact on energy and greenhouse gas emission savings for industrial automation and control. These savings can be especially high when different sensors and sensor networks communicate with each other. Besides the use of sensor technology, sound process planning, for example with process optimisation tools, also play an important role. Various initiatives have been created such as Motor Decision Matters, 17 and Work Energy Smart 18 which not only focus on technology but also on process planning and improvement.

Precision agriculture and animal tracking

Sensors and sensor networks are important components of precision agriculture which aims at "maximum production efficiency with minimum environmental impact" (Taylor and Whelan, 2005). Land over-exploitation, one of the major concerns of intensive agriculture, leads to problems such as soil compaction, erosion, salinity and declining water quality (Wark et al., 2007). Sensors and sensor networks play a critical role in measuring and monitoring the health of the soil and water quality at various stages, from pre- to post-production. In the field of animal tracking, the movement of herds, the health of animals and the state of the pasture can be controlled via sensor networks. So far a number of sensor network systems have been developed and trials and field experiments are under way. However, concrete applications are at an early stage. This section briefly describes applications of sensor networks in precision agriculture and animal production. Subsequently, environmental impacts are presented qualitatively rather than quantitatively due to the early application stage.

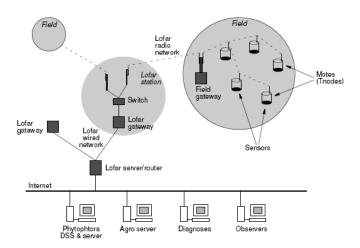
In precision agriculture, sensor networks can be used for: i) plant/crop monitoring, ii) soil monitoring, iii) climate monitoring and iv) insect-disease-weed monitoring.

In the field of plant/crop monitoring, wireless sensors have been developed to gather, for example, data on leaf temperature, chlorophyll content and plant water status. Based on these data, farmers are able to detect problems at an early stage and implement real-time solutions. The health and moisture of soil is a basic prerequisite for efficient plant and crop cultivation. Sensors contribute to real-time monitoring of variables such as soil fertility, soil water availability and soil compaction. Further, sensor nodes which communicate with radio or mobile network weather stations provide climate and micro-climate data. Sensors registering the temperature and relative humidity can contribute to detect conditions under which disease infestation is likely to occur (see Box 2).

Box 2: Monitoring micro-climates in a crop field

The LOFAR (Low Frequency Array) Agro Project has measured the micro-climate in a potato field to provide information on how a fungal disease, phytophtora, can be combated. Its development highly depends on the climatological conditions within the field.

150 sensor nodes have been deployed in the field for the experiment. These nodes are equipped with sensors which measure both temperature and relative humidity (see Figure). Additional sensors are deployed in the soil to monitor soil humidity. A weather station "registering the luminosity, air pressure, precipitation, wind strength and direction" (Baggio, 2005) complements the setting.



Sensor nodes send the gathered data via a wireless connection every 10 minutes to field gateways which send it to an ordinary PC for data logging (the Lofar gateway in the figure). The data is further transmitted to other servers for data analysis via a wired Internet connection. A decision support system maps the temperature distribution together with other information. Based on this information, farmers can take different actions and vary the amount of fertilizer and pesticide used.

Source: Baggio (2005). Note that most such projects have not yet been scaled-up.

The health of pastures can also be evaluated through high-resolution remote sensing tools. Healthy pastures usually "have a consistent cover of evenly dispersed perennial vegetation" (Ludwig *et al.*, 2008). Remotely sensed satellite maps depict the location of persistent vegetation cover. Based on this information and information on the three dimensional shape of the landscape, Australian scientists calculate leakiness values and their changes over time. As a result, conditions of pastures can be measured and problematic areas detected (Ludwig *et al.*, 2008).

Wireless sensors are further used for precision irrigation, and systems developed for remotely controlled, automatic irrigation. Sensors assume, for example, the tasks of irrigation control and irrigation scheduling using sensed data together with additional information, *e.g.* weather data (Evans and Bergman, 2003). Finally, sensors are used to assist in precision fertilisation. Based on sensor data, decision support systems calculate the "optimal quantity and spread pattern for a fertilizer" (Wang *et al.*, 2006).

Wireless sensor networks also contribute to a better understanding of the behaviour of cattle, such as their grazing habits, herd behaviour and the interaction with the surrounding environment (Wark *et al.*, 2007). The information provided by these sensors helps famers to understand the state of the pasture and to find optimal ways to use these resources. To test sensor applications for cattle management, Wark *et al.*, (2007) attached sensor nodes to cattle collars. Sensors communicated in a peer-to-peer fashion. Cattle

collars pinged each other "with each ping containing an animal's GPS position and time of each ping transmission" (Wark et al., 2007). Based on the positioning data of each node and inertial information, the cattle's individual and herd behaviour could be modelled and more general models could be developed. As a result, farmers are able to optimally manage environmental resources and plan grazing areas to prevent environmental problems such as overgrazing and land erosion. Current work focuses on the integration of sensor networks and radio frequency technology (RFID) as a significant number of cattle are equipped with RFID tags to record their ID as well as information such as cattle characteristics and food information.

The environmental impact of precision agriculture and animal tracking

Through the monitoring of the soil, climate and plants, a precise irrigation rate can be determined which may lead to a reduced consumption of water. Usually, fields are irrigated with uniform amounts of water. However, the variability in a field requires different amounts for different areas due to the combination of different crops and soil types (USDA, 2007). Various projects have been conducted to measure the extent of water savings. Damas et al., (2001), for example, tested an automated irrigation system for a 1500 ha area in Spain, with water savings of 30-60%. According to the USDA, another study found water savings of 5.7 million gallons on 279 acres in 2002 (USDA, 2007). One study by King et al. (2006) showed no significant water savings for a variable rate irrigation system. However, the spatial variability in available water holding capacity (AWHC) of the soil was considered the main determinant influencing crop yield and the basis for a site-specific irrigation management (SSIM) system. The authors acknowledge that the "results from this study and others collectively suggest that AWHC may not be the best or only parameter to consider in delineating irrigation management zones. A systems approach to SSIM will likely be required that takes into account all known factors affecting yield [...]" (King et al., 2006). Overall, the majority of studies showed a reduced consumption of water, but that deployment should be based on a thorough analysis of the area being irrigated and a comprehensive consideration of different factors which affect site-specific irrigation. Sensors and sensor networks can significantly contribute to this analysis by providing the required data.

Further important benefits of precision agriculture are reductions of fertilisers and pesticides. Both fertiliser and pesticide applications affect surface and groundwater quality, the quality of crops, soil properties and non-target species. Through monitoring the soil, the micro-climate and crops, it is possible to apply only the fertilisers and the pesticides crops need. Rates can be varied in real-time within fields based on different field and plant properties. Additionally, applications can be more precisely controlled in environmentally sensitive areas (USDA, 2007). Finally, a more targeted application of pesticides can reduce problems of pesticides resistances.

In the field of animal tracking, farmers are able to manage grazing areas based on information on herd behaviour. As a consequence, overgrazing of pastures as well as land erosion can be avoided. Limited pasture resources can thus be effectively managed.

Overall, sensors and sensor networks significantly contribute to a more sustainable use of natural resources. However, development of sensors and sensor networks for precision agriculture is in an early stage and sensor applications tend to be expensive. To date, farmers only take economic benefits into consideration when deciding on whether they should rely on precision agriculture (USDA, 2007). Governments can help farmers to recognise the environmental dimension by pointing out the economic benefits of improved soil and pasture quality as well as reduced applications of fertilisers and pesticides. Further, precision agriculture can be encouraged through technical assistance and conservation programmes.

Conclusion

This report gives an overview of sensor and sensor networks applications and their impact on the environment. It discusses selected fields of application which have a high potential to tackle environmental challenges and reduce greenhouse gas emissions.

A review of different studies assessing the environmental impact of ICTs and especially sensor and sensor networks reveals that these technologies can contribute significantly to more efficient use of resources and an important reduction of greenhouse gas emissions. Government policies and initiatives are crucial in fostering the positive environmental effects of the use of sensors and sensor networks in different fields and are an essential part of strategies to radically improve environmental performance (see also DSTI/ICCP/IE(2008)3/FINAL). However, rebound effects have to be taken into account, and increased efficiency due to the use of sensor technology should be paralleled with demand-side management which internalises environmental costs, for example by raising $\rm CO_2$ -intensive energy and fuel prices. In the field of smart buildings, minimum standards of energy efficiency can be a major factor in reducing electricity use and greenhouse gas emissions.

In general many applications in promising fields are still at an early stage of development. Joint R&D programmes and implementation projects can promote the use of sensor technology and contribute to industry-wide solutions and the development of open standards. Finally, the use of ICTs and especially sensor technology is sometimes relatively expensive, for example in the agriculture and farming sector in terms of farmers' economic considerations. Governments can encourage the use of ICTs and sensor technology through conservation programmes and by accentuating the environmental dimension of ICTs in agriculture and farming.

NOTES

- 1 Wireless networks have several advantages over wired networks: for instance, installation and maintenance costs tend to be lower, replacement and upgrading is easier for wireless networks, the flexibility of wireless systems is higher and (more recently developed) wireless networks have the capability to simply organise and configure themselves into effective communication networks (see also DOE, 2002).
- 2 Note that customer power inputs into the power system require a separate inverter module and input meter.
- 3 Costs are dependent on the technical infrastructure: e.g. the signal must bypass the final transformer from the utility to costumers' site. In the United States, bypassing the final transformer is, for example, much more expensive than in Europe as only a small number of customers are connected to one final transformer (EPRI, 2006).
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- 5 WiMAX can be both grouped to LAN and WAN technologies. It is further discussed in the paragraphs on wide area networks.
- 6 See also DSTI/ICCP/CISP(2009)2/FINAL for broadband investments in smart grids.
- 7 A measure often used in quantifying emissions is the measure carbon dioxide-equivalent (CO2e) emissions. Different emissions vary in their warming influence, the radiative forcing, on the climate. The common metric which is used in many studies is the radiative forcing of CO2. "The equivalent CO2 emission is obtained by multiplying the emission of a GHG by its Global Warming Potential (GWP) for the given time horizon." (IPCC)
- 8 Business as usual (BAU) scenario: a baseline scenario that examines the "consequences of continuing current trends in population, economy, technology and human behaviour" (European Environment Agency, 2009).
- IPCC(2007)
- 10 The extent of the savings depends on the carbon-intensity of overall generated electricity.
- 11 The authors use the term "intelligent buildings". For this report, smart buildings and intelligent buildings are treated as synonyms.
- 12 The section on the impact of smart logistics does not cover the impacts of dematerialisation and virtualisation as sensor and sensor networks play a minor role in these fields.
- 13 The IPTS study also analyses the impact of teleshopping, telework, virtual meeting and virtual goods on passenger and freight transport. This is not discussed in this study as sensor and sensor networks have a minor impact in these fields.

- The freight transport volume is measured in tons x kilometres.
- The passenger transport volume is measured in the number of passengers x kilometres.
- For an introduction to applications related to sustainable manufacturing see DSTI/IND(2008)16/REV1.
- www.motorsmatter.org/index.html.
- www.energysmart.com.au/wes/displayPage.asp?flash=-1.

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ANNEX A1. OTHER FIELDS OF SENSOR AND SENSOR NETWORK APPLICATIONS

Environmental monitoring

As multiple different applications exist, the following section will present some examples of environmental monitoring in the following areas: water pollution, air pollution, analysis of global warming, as well as facilitated recycling (Atkinson, Castro, 2008).

Sensor networks are deployed in waters to monitor the level of pollution as well as the state of marine life. Along the entire Hudson River in New York, scientists are installing sensors nodes which will partially be suspended from buoys. Data is transmitted wirelessly and provides information about current pollution levels.

For air pollution monitoring, sensor networks are deployed within cities in order to detect specific times and locations when pollution peaks. Engineers from Harvard University deployed 100 general purpose nodes onto streetlights to cover the city of Cambridge, L. A. aiming at measuring the amount of particles in the air and collecting weather data. The sensors are directly powered by the city streetlights and communicate via Wi-Fi radios (Greene, 2007).

The analysis and assessment of global warming requires sophisticated IT technology to understand to which extent and why the climate has changed. Some applications involve sensor networks. For instance, a plane which is deployed in the arctic by the National Oceanic and Atmospheric Administration researchers is equipped with 30 airborne sensors and collects "data that can be used to produce a detailed simulation of the chain of chemical reactions that arctic pollution cause and that increase ice melting" (Atkinson, Castro, 2008). Furthermore, a worldwide sensor network, the Global Earth Observation System of Systems (GEOSS) is currently being developed with the aim to collect data relating to climate change and more generally air pollution. Currently, 76 countries and the European Union participate in the project (GEO, 2008).

The final application presented here in the section of environmental monitoring is dealing with the collection and recycling of waste. Automatic sorting machines are not only equipped with magnets to sort out metal objects but also with optical sensors. These sensors identify different kinds of plastics and paper allowing them to be put in different bins. Furthermore, RFID tags with integrated sensors on private households' bins measure the weight of the waste. Costs are then allocated according to the weight of the waste during the year.

Urban terrain tracking and civil structure monitoring

This field of application covers the structural health monitoring of large civil or urban structures. One prominent example is the Ben Franklin Bridge. A network of ten sensors monitors the strain of the bridge structure when trains are crossing the bridge. Two different operation modes reduce the required power: a low-power sampling mode checks if any trains are passing. If this is the case, the strain increases and leads the system to switch to a second mode in which samples are collected at a higher pace to monitor precisely changes in the strain.

Entertainment

Multiple different and heterogeneous applications are conceivable in the entertainment area. According to Verdone *et al.* (2008), there are application scenarios in which live TV shows react to user (emotional) feedback. This enables viewers to get more involved in the shows and the provider to adapt the shows more to the viewers' needs. Further examples include applications in the games area: Via sensor networks, game players are able to project their moods and gestures in the virtual world (Verdone *et al.* 2008).

Security and surveillance

Security and surveillance sensor networks are used in the military and defence area, for example for the surveillance of borders. In this case, different kinds of sensors are used, ranging from sensors monitoring temperature to sensors monitoring light to acoustic sensors. Further, they are also employed for civil structure, for instance for fire detection systems in buildings.

Health care

Sensor networks can be and are currently used in multiple ways in the healthcare sector. Applications cover telemonitoring of patients' state of health, tracking and monitoring the movements of patients and doctors, drug administration and diagnostic applications (Heppner, 2007, Verdone, 2008). In the field of patients' state of health, sensor networks are particularly useful for patients under medical observation. Sensors communicate gathered data to a telecommunication device such as a mobile phone, which further transmits the data to nurses' or doctors' rooms in case of dangerous changes of the state of health. It is also possible to carry out medication control via these sensors (Heppner, 2007). Sensor networks and location-based services allow doctors to be quickly tracked in hospitals in case of emergency. The same principle is applicable to their patients. Furthermore, wireless sensors are developed for implants such as glaucoma sensors or intra-cranial pressure sensor systems (Healthy Aims, 2008).