

[illegible]

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I declare that the answers submitted for
this examination are my own work.

I understand that sanctions will be
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Problem 1: [10 pts] Consider a game of throwing two fair dice. You lose one dollar if the two dice have different number of dots on top. You win d dollars if both dice have d dots on top. Let X denotes the amount of dollars you win.

- (a) What is the expected value of X if you play this game once?
- (b) What is the variance if you play this game once?
- (c) What is the expected value of X if you play this game n times?
- (d) What is the variance of X if you play this game n times?

Explain how your answers are derived.

- Answer:**
- (a) The probability that you lose in the game is $5/6$, you win 1 dollar in the game is $1/36$ as well as you win 2, 3, 4, 5 and 6 dollar. Thus $E(X) = (-1)(5/6) + 1/36 + 2/36 + 3/36 + 4/36 + 5/36 + 6/36 = (21 - 30)/36 = -1/4$
 - (b) $V(X) = 5(-1+1/4)^2/6 + (1+1/4)^2/36 + (2+1/4)^2/36 + (3+1/4)^2/36 + (4+1/4)^2/36 + (5+1/4)^2/36 + (6+1/4)^2/36 = 475/144$
 - (c) By the linearity of expectation, the expected value is $-n/4$.
 - (d) The n trials are independent, thus the variance is $475n/144$

Problem 2: [10 pts]

Let a , m and n be three positive integers that are larger than 1. Is each of the following statements true or false? If it is true, give a proof. If it is false, give a counterexample.

- (a) $(a \bmod mn) \bmod n = a \bmod n$.
- (b) $(a \bmod mn) \bmod n = a \bmod m$.
- (c) If $a \bmod n = 1$, then $\gcd(a, n) = 1$.
- (d) If $\gcd(a, n) = 1$, then $a \bmod n = 1$.

Answer: (a) This is true. According to Euclid's Division Theorem, there exist q_1 and r_1 such that

$$a = q_1 mn + r_1, \quad 0 \leq r_1 < mn,$$

where $r_1 = (a \bmod mn)$. Similarly, there exist q_2 and r_2 such that

$$r_1 = q_2 n + r_2, \quad 0 \leq r_2 < n,$$

where $r_2 = r_1 \bmod n = (a \bmod mn) \bmod n$. Combining the two equations, we get

$$a = (q_1 m + q_2) n + r_2, \quad 0 \leq r_2 < n.$$

Hence, $a \bmod n = r_2$. Consequently,

$$(a \bmod mn) \bmod n = r_2 = a \bmod n.$$

(b) This is false. Let $a = 8$, $m = 2$ and $n = 3$. We have

$$(a \bmod mn) \bmod n = (8 \bmod 6) \bmod 3 = 2,$$

$$a \bmod m = 8 \bmod 2 = 0.$$

- (c) This is true. $a \bmod n = 1$ implies that there exist q such that $a = qn + 1$, or $a - qn = 1$. The latter equation implies that any common divisor of a and n must divide 1, hence must be 1. Therefore, $\gcd(a, n) = 1$.
- (d) This is false. For example, $\gcd(5, 3) = 1$, but $5 \bmod 3 = 2$.

Problem 3: [12 pts]

Consider the following simplified version of the RSA algorithm for public cryptography:

- (i) Bob's public key is a pair (n, e) , where n is a prime number and e is a positive integer that is smaller than n and is relatively prime with $n - 1$.
- (ii) Bob's private key is $d = e^{-1} \bmod (n - 1)$.
- (iii) Alice encrypts a message m ($0 < m < n - 1$) by calculating $c = m^e \bmod n$, and sends the ciphertext c to Bob.
- (iv) Bob decrypts the ciphertext c by calculating $c^d \bmod n$.

Suppose $n = 251$ and $e = 137$.

- (a) Calculate d using the extended GCD algorithm. Show the computational steps.
- (b) Suppose $m = 200$. Calculate $c = m^e \bmod n$ using repeated squaring. Show the computational steps.
- (c) Is the system secure? Explain why or why not.

[MORE SPACE ON NEXT PAGE]

Answer: (a) Repeatedly using Euclid's Division Theorem, we get

$$\begin{aligned}
 250 &= 1 \cdot 137 + 113 \\
 137 &= 1 \cdot 113 + 24 \\
 113 &= 4 \cdot 24 + 17 \\
 24 &= 1 \cdot 17 + 7 \\
 17 &= 2 \cdot 7 + 3 \\
 7 &= 2 \cdot 3 + 1
 \end{aligned}$$

Rolling back, we get

$$\begin{aligned}
 1 &= 7 - 2 \cdot 3 \\
 &= 7 - 2 \cdot (17 - 2 \cdot 7) = 5 \cdot 7 - 2 \cdot 17 \\
 &= 5 \cdot (24 - 17) - 2 \cdot 17 = 5 \cdot 24 - 7 \cdot 17 \\
 &= 5 \cdot 24 - 7 \cdot (113 - 4 \cdot 24) = 33 \cdot 24 - 7 \cdot 113 \\
 &= 33 \cdot (137 - 113) - 7 \cdot 113 = 33 \cdot 137 - 40 \cdot 113 \\
 &= 33 \cdot 137 - 40 \cdot (250 - 137) = 73 \cdot 137 - 40 \cdot 250.
 \end{aligned}$$

Therefore, $d = 73$.

[WORK SPACE FOR PROBLEM 3]

(b) Observe that $137 = 2^7 + 2^3 + 2^0$. Using repeated squaring, we get

$$\begin{aligned}I_0 &= 200 \\I_1 &= 200 \cdot 200 \bmod 251 = 91 \\I_2 &= 91 \cdot 91 \bmod 251 = 249 \\I_3 &= 249 \cdot 249 \bmod 251 = 4 \\I_4 &= 4 \cdot 4 \bmod 251 = 16 \\I_5 &= 16 \cdot 16 \bmod 251 = 5 \\I_6 &= 5 \cdot 5 \bmod 251 = 25 \\I_7 &= 25 \cdot 25 \bmod 251 = 123\end{aligned}$$

So,

$$c = I_7 \cdot I_3 \cdot I_0 \bmod 251 = 123 \cdot 4 \cdot 200 \bmod 251 = 8.$$

(c) The system is not secure because the adversary can easily compute the private key d from the public key (n, e) .

Problem 4: [10 pts]

In this question we consider the following modular equations:

$$\begin{aligned}x \bmod m &= a \\ x \bmod n &= b\end{aligned}$$

For each of the following parts, does there exist an integer x , $0 \leq x < mn$ that satisfies the two equations?

- (a) $m = 15$, $n = 16$, $a = 5$, $b = 2$.
 (b) $m = 30$, $n = 16$, $a = 5$, $b = 2$.

If yes, find the solution and show the computational steps. Otherwise, prove by contradiction that there does not exist a solution.

In this question, it is up to you whether to use the extended GCD algorithm to find multiplicative inverses. If you choose to use it, there is no need to show the steps.

[MORE SPACE ON NEXT PAGE]

Answer: (a) In this case, m and n are relatively prime. So, there is a solution. To find the solution, first compute multiplicative inverses:

$$\bar{m} \quad s.t. \quad m \cdot \bar{m} = 1 \bmod n,$$

$$\bar{n} \quad s.t. \quad n \cdot \bar{n} = 1 \bmod m.$$

It turns out that $\bar{m} = 15$ and $\bar{n} = 1$. Now we can find the solution:

$$\begin{aligned}x &= (an\bar{n} + bm\bar{m}) \bmod mn \\ &= (5 \cdot 16 \cdot 1 + 2 \cdot 15 \cdot 15) \bmod 15 \cdot 16 \\ &= 50\end{aligned}$$

- (b) Assume there is a solution x . Then there exist integers q_1 and q_2 such that

$$\begin{aligned}x &= q_1 \cdot 30 + 5, \\ x &= q_2 \cdot 16 + 2.\end{aligned}$$

Hence we have

$$q_2 \cdot 16 - q_1 \cdot 30 = 5 - 2 = 3.$$

This equation cannot be satisfied because the left hand side is divisible by 2, while the right hand side is not. Therefore, there is no solution.

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[WORK SPACE FOR PROBLEM 4]

Problem 5: [10 pts] For each of the following pairs of logic statements, either prove that the two statements are logically equivalent, or give a counterexample. In your proof, you may use either a truth table or logic laws. A counterexample should consist of a truth setting of the variables and the truth values of the statements under the setting.

- (a) $(p \wedge \neg q) \Rightarrow (r \wedge \neg r)$ and $p \Rightarrow q$
 (b) $\exists x \in U \ p(x) \Rightarrow \exists x \in U \ q(x)$ and $\exists x \in U (p(x) \Rightarrow q(x))$
 (c) $\exists x \in U \ p(x) \Rightarrow \forall y \in V \ q(y)$ and $\forall x \in U \ \forall y \in V (p(x) \Rightarrow q(y))$

Answer: (a) Equivalent.

$$\begin{aligned}
 (p \wedge \neg q) \Rightarrow (r \wedge \neg r) &\equiv \neg(p \wedge \neg q) \vee (r \wedge \neg r) \\
 &\equiv \neg p \vee q \vee F \\
 &\equiv \neg p \vee q \\
 &\equiv p \Rightarrow q
 \end{aligned}$$

(b) Not equivalent. Counterexample:

- U : All animals; $p(x)$: x can fly; $q(x)$: x can fly airplane.
- LHS is false because there are animals that can fly, but no animal can fly airplane.
- RHS is true because there are animals that cannot fly and hence $p(x) \Rightarrow q(x)$ is true.

(c) Equivalent.

$$\begin{aligned}
 \exists x \in U p(x) \Rightarrow \forall y \in V q(y) &\equiv \neg(\exists x \in U p(x)) \vee (\forall y \in V q(y)) \\
 &\equiv (\forall x \in U \neg p(x)) \vee (\forall y \in V q(y)) \\
 &\equiv \forall x \in U \forall y \in V (\neg p(x) \vee q(y)) \\
 &\equiv \forall x \in U \forall y \in V (p(x) \Rightarrow q(y))
 \end{aligned}$$

Problem 6: [10 pts]

Prove the following statements. You may use a direct proof, a proof by contraposition or a proof by contradiction as appropriate.

- (a) $\sqrt{3}$ is an irrational number.
- (b) If m and n are integers such that mn is even, then m is even or n is even.

Answer: (a) Suppose $\sqrt{3}$ is rational. Then there are integers m and n with no common factors so that $\sqrt{3} = m/n$. Squaring both sides gives $3 = \frac{m^2}{n^2}$, or $m^2 = 3n^2$. This implies that 3 divides m^2 . Together with the fact that 3 is prime, we have 3 divides m . Let $m = 3k$ for some integer k . Substituting into $3n^2 = m^2$, we have $3n^2 = (3k)^2$, thus $n^2 = 3k^2$, which implies 3 divides n^2 . Therefore, 3 divides n . Hence both m and n have a common factor of 3. But this contradicts the supposition that m and n have no common factors.

Another way to argue for contradiction: m^2 has even number of factors in its prime factorization, while $3n^2$ has odd number of factors. Therefore, they cannot be equal.

- (b) Suppose m and n are both odd. $m = 2k + 1$ for some integer k and $n = 2h + 1$ for some integer h . Then $mn = (2k + 1)(2h + 1) = 2(2kh + k + h) + 1$, which is odd.

Problem 7 : [8 pts] Let n be a positive integer. Use induction to prove that, for any non-negative integer m , there exist integers q and r such that

$$m = qn + r, \quad 0 \leq r < n. \quad (1)$$

• **Proof by weak induction:**

Base Case: If $m < n$, we set $q = 0$ and $r = m$. Then (1) is true.

Induction Hypothesis: Assume (1) is true for the case of $m - 1$. That is, there exist q' and r' such that

$$m - 1 = q'n + r', \quad 0 \leq r' < n.$$

Inductive Step: Now consider the case of m . There are two cases:

(a) $r' < n - 1$. In the case, we set $q = q'$ and $r = r' + 1$. Then (1) is true.

(b) $r' = n - 1$. In the case, we set $q = q' + 1$ and $r = 0$. Then (1) is true.

By the principle of Mathematical Induction, we conclude that (1) is true for all non-negative integers m .

• **Proof by strong induction:**

Base Case: If $m < n$, we set $q = 0$ and $r = m$. Then (1) is true.

Induction Hypothesis: Assume (1) is true for any non-negative integer w such that $n \leq w < m$.

Inductive Step: Now consider the case of $n \leq m$. Let $w = m - n$. By the induction hypothesis, there exist q' and r' such that

$$w = q'n + r', \quad 0 \leq r' < n.$$

Hence,

$$m = w + n = (q' + 1)n + r'.$$

So, if we set $q = q' + 1$ and $r = r'$, then (1) is true.

By the principle of Mathematical Induction, we conclude that (1) is true for all non-negative integers m .

Problem 8: [10 pts]

Let a be a real number such that $a > 1$. Consider a function $T(n)$ over integers defined as follows

$$\begin{aligned} T(0) &= 1, \\ T(n) &= aT(n-1) + n, \quad \text{for } n > 0. \end{aligned}$$

Prove that $T(n) = \Theta(a^n)$.

You might need the fact that, for any $x \neq 1$,

$$\sum_{i=1}^n ix^i = \frac{nx^{n+2} - (n+1)x^{n+1} + x}{(1-x)^2}.$$

Answer: By iterating the recurrence, we get

$$\begin{aligned} T(n) &= aT(n-1) + n \\ &= a^2T(n-2) + a(n-1) + n \\ &= a^3T(n-3) + a^2(n-2) + a(n-1) + n \\ &\quad \dots \\ &= a^n + \sum_{i=1}^n a^{n-i}i \end{aligned}$$

Hence, we have

$$\begin{aligned} T(n) &= a^n + \sum_{i=1}^n a^{n-i}i \\ &= a^n + a^n \sum_{i=1}^n a^{-i}i \\ &= a^n + a^n \frac{n(\frac{1}{a})^{n+2} - (n+1)(\frac{1}{a})^{n+1} + (\frac{1}{a})}{(1-a)^2} \\ &= \Theta(a^n). \end{aligned}$$

Problem 9: [10 pts]

Consider a function $T(n)$ defined on integers n that are powers of 2. Suppose

$$\begin{aligned} T(1) &= 1, \\ T(n) &= 5T(n/2) + n^2, \quad \text{for } n > 1. \end{aligned}$$

Iterate the recurrence or use a recursion tree to find a closed-form expression for $T(n)$. Then simplify the closed-form expression using the big Θ notation.

Answer: [pts]

Let $n = 2^i$. Iterating the recurrence, we get

$$\begin{aligned} T(n) &= T(2^i) \\ &= 5T(2^{i-1}) + 2^{2i} \\ &= 5[5T(2^{i-2}) + 2^{2(i-1)}] + 2^{2i} \\ &= 5^2T(2^{i-2}) + \frac{5}{4}2^{2i} + 2^{2i} \\ &= 5^3T(2^{i-3}) + \left(\frac{5}{4}\right)^2 2^{2i} + \frac{5}{4}2^{2i} + 2^{2i} \\ &\quad \vdots \\ &= 5^iT(2^{i-i}) + \left(\frac{5}{4}\right)^{i-1} 2^{2i} + \dots + \frac{5}{4}2^{2i} + 2^{2i} \\ &= 5^i + 2^{2i} \frac{\left(\frac{5}{4}\right)^i - 1}{\frac{5}{4} - 1} \\ &= 5 \cdot 5^i - 4 \cdot 4^i \\ &= 5 \cdot n^{\log_2 5} - 4n^{\log_2 4} \\ &= \Theta(n^{\log_2 5}). \end{aligned}$$

Problem 10: [10 pts]

A computer system considers a string of digits to be a valid code word if and only if it contains an even number of 0's. For instance, 1203045 is valid, whereas 780900 is not. Let V_n be the number of valid code words of length n .

It is clear that $V_1 = 9$ because "1", "2", ..., "9" are valid code words of length 1, while "0" is an invalid code word of length 1.

For $n > 1$, find a recurrence for V_n by determining how it is related to V_{n-1} . Solve the recurrence to get a closed-form formula for V_n .

Answer: A valid length n code can be obtained

- From a valid length $n - 1$ code by appending "1", "2", ..., or "9" to the end, or
- From an invalid length $n - 1$ code by appending "0" to the end.

The total number of length $n - 1$ codes is 10^{n-1} . Hence the number of invalid length $n - 1$ codes is $(10^{n-1} - V_{n-1})$. So, we have

$$V_n = 9V_{n-1} + (10^{n-1} - V_{n-1}) = 8V_{n-1} + 10^{n-1}.$$

Iterating the recurrence, we get

$$\begin{aligned}
 V_n &= 8V_{n-1} + 10^{n-1} \\
 &= 8(8V_{n-2} + 10^{n-2}) + 10^{n-1} \\
 &= 8^2V_{n-2} + 8 \times 10^{n-2} + 10^{n-1} \\
 &= \dots \\
 &= 8^{n-1}V_1 + 8^{n-2} \times 10 \dots + 8 \times 10^{n-2} + 10^{n-1} \\
 &= 9 \times 8^{n-1} + 8^{n-2} \times 10 \times \left(1 + \frac{10}{8} + \dots + \left(\frac{10}{8}\right)^{n-2} + \left(\frac{10}{8}\right)^{n-2}\right) \\
 &= 9 \times 8^{n-1} + 8^{n-2} \times 10 \times \frac{\left(\frac{10}{8}\right)^{n-1} - 1}{\frac{10}{8} - 1} \\
 &= 9 \times 8^{n-1} + \frac{10^n - 10 \times 8^{n-1}}{2} \\
 &= \frac{1}{2}10^n + \frac{1}{2}8^n.
 \end{aligned}$$

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Scrap Paper 3