

These two chapters deal with file system and its implementation, which resides on the secondary storage (most commonly disk) and is designed to hold a large amount of data permanently.

## File

- A file is an abstract data type defined and implemented by the OS. It is a sequence of *logical records*. There are different **types** of files, and the type determines the **file structure**. For example a *text* file is a sequence of characters and a *source* file is a sequence of subroutines.
- A file commonly has following attributes: name, identifier, type, location, size, protection, time, date, and user identification. Operations on file include: create, write, read, reposition, delete, append, truncate, open(), close()
- The OS maintains a *system-wide table*, called the **open-file table** containing information about all open files in the system. Each entry in the open-file table corresponds to one open file, which might be shared/used by multiple processes. It contains information such as: file pointer, file-open count, file block location on the disk and access rights, and protection. The OS uses a **per-process open file table**, and each entry points to a corresponding entry in the system open-file table.
- A file can be accessed, **sequentially, directly** (randomly) or by **index**.
- **File-system mounting**: a file system must be mounted before it can be accessed, similar to the way that a file must be opened before it can be used. The OS is given the name of the device and the **mount point** – the location within the file structure where the file system is to be attached.

## ● Directory Structure

- **Storage structure**: A disk is divided into *partitions* (or *slices*). Each partition can be thought of as a *virtual disk*; each virtual disk has a directory that records information such as name, location, size and type for all files on the virtual disk.
- **Directory**: this is used to organize a file system with potentially large number of files. Logically, this translates a file name into a directory entry.
- **Directory operations**: search for a file, create a file, delete a file, list a directory, rename a file, and traverse the entire file system.
- **Directory structures**: single-level, two-level, tree-structured, acyclic-graph, and general graph directories. There are several factors that need to be considered in the design of a directory structure, naming convenience, efficiency of file search, grouping capability, and whether files or/and sub-directories can be shared.

## ● File System Design

- The user view: defining a file and attributes, operations allowed on the file, and directory structure for organizing the files.
- Algorithm and data structure to map the logical file system onto the physical

secondary-storage device.

- A file system can have different structures and algorithms. A VFS (virtual file system) layer allows the upper layers to deal with each file-system type in a uniform manner, even including remote file systems.

- **Layered File System**

- File systems are often implemented in a layered structure. The lower levels deal with the physical properties of storage devices, while the upper levels deal with symbolic file names and logical properties of files. Intermediate levels map the logical file concepts into physical device properties.
- *Device and I/O control*: transfer block between memory and disk. This deals with interrupt, device drivers (hardware specific instructions)
- *The basic file system*: issue generic commands to device driver to read/write physical blocks on the disk, e.g., drive 3, cylinder 60, track12, sector 10.
- *The file-organization module*: logical block to physical block translation and also manage free blocks on a disk
- *The logical file system*: includes file-system structure and manages directory structure. A file control block (FCB) contains information about the file.

- **Open()**

- Each file must be opened before it can be used. The open() instruction creates an entry (index) in per-process open-file table, which points to the corresponding entry in the system-wide open-file table. This enables any subsequent operations on the file (such as read and write) to easily access the file control block and data blocks within the file.

- **Directory Implementation**

- **Linear list**: a linear list of file names with pointers to the data blocks. This is simple to program but time-consuming to execute (searching a file requires linear search, caching the most recently used directory information can be useful as directory information is frequently used)
- **Hash Table**: A linear list stored the directory entries, but a hash is used which takes a value computed from the file name and return a pointer to the file name in the linear list. This greatly reduces the directory search time, also makes it easier for insertion and deletion. Collisions are possible, in which two or more file names are hashed to the same location. We can further use a linked list (with the same hashed values for different file names) instead of a single value to resolve the collision.

- **Allocation Methods (allocate disk space to files)**

- **Objective**: effective utilization of the disk space and efficient file access
- **Contiguous allocation**: simple, support both sequential and random access. It suffers several problems similar to contiguous memory allocation, how to find a contiguous space for a new file, dynamic storage allocation problem

(first fit or best fit), external fragmentation, difficult to grow the file size.

- **Linked allocation:** stores file by block with pointers to the first and last blocks. This solves size-declaration in contiguous allocation. It only allows sequential access to the file and pointers in each block needs extra space.
- A variation of the linked allocation is the use of **File Allocation Table (FAT)** (MS-DOS), in which the number of entries in FAT corresponds to the number of blocks in a file. The directory entry contains the block number of the first block of the file. The FAT (or the table) entry indexed by that block number contains the block number (disk address) of the next block allocated to the file.
- **Indexed allocation:** This solves the problem in the linked allocation by bringing all pointers into one location: the **index block**. Each file requires at least one index block with no external fragmentation. It supports direct access. The overhead is associated with index block. The size of index block is determined by the file size. For large files, multiple level index is needed.

- **Free-Space Management**

- **Bit Vector:** simplicity and efficiency in finding the first (n consecutive) free block(s). This must be kept in memory.
- **Linked list:** a block contains a pointer to the next free blocks. Traversing the linked list is inefficient; But we usually only need one (the first) free block