

## Lecture 10: Pushdown Automata

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Every regular language is a CFL.

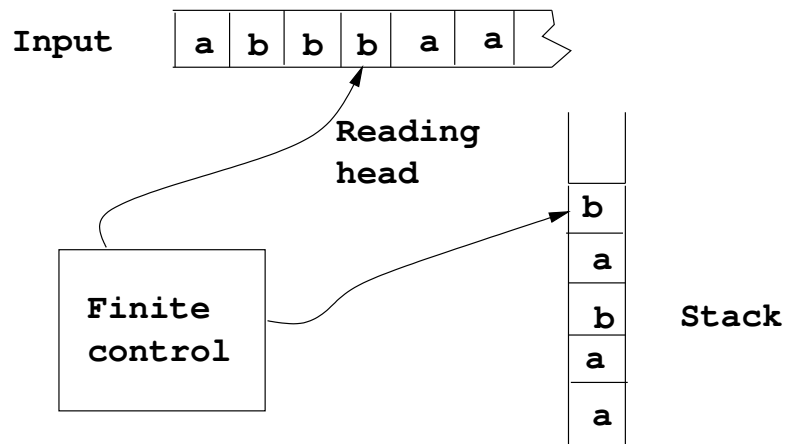
But some CFLs are nonregular.

Since  $\text{FA} \equiv \text{Regular languages}$ , some CFLs cannot be recognized by any FA.

Examples of CFLs that are nonregular:

$$\{a^n b^n : n \geq 0\}$$
$$\{ww^R : w \in \{a, b\}^*\}$$

We need to consider a more powerful computation model to recognize CFL – Pushdown Automata (PA). It is an automaton equipped with a stack.



## Formal definition of PA

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A pushdown automata is defined as a 6-tuple  $M = (K, \Sigma, \Gamma, \Delta, s, F)$  where

- $K$  is a finite, nonempty set of states,
- $\Sigma$  is an input alphabet,
- $\Gamma$  is a stack alphabet,
- $s \in K$  is the initial state,
- $F \subseteq K$  is a set of final state,
- $\Delta$  is a transition relation, a finite subset of  $(K \times (\Sigma \cup \{e\}) \times \Gamma^*) \times (K \times \Gamma^*)$ .

Note that  $\Delta$  is a relation, not a function, thus PAs are non-deterministic. Unlike FAs, deterministic pushdown automata are *not* equivalent in power with nondeterministic pushdown automata. Specifically, nondeterministic PA can recognize certain languages that are not recognizable by any deterministic pushdown automata (e.g.,  $\{ww^R : w \in \{a, b\}^*\}$ , but we will not prove this fact).

## Formal definition of PA

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A **transition**  $((p, a, \beta), (q, \gamma)) \in \Delta$  – intuitively, it means when in state  $p$ , read  $a$ , replace  $\beta$  on stack by  $\gamma$  (equivalently, pop  $\beta$ , then push  $\gamma$ ) and change state to  $q$ .

If  $\gamma$  or  $\beta$  is a string instead of a single character, then the *leftmost* symbol in  $\gamma$  or  $\beta$  is the *topmost* in the stack.

E.g.

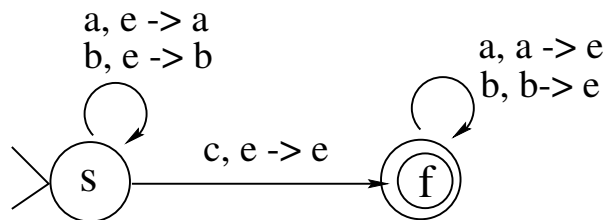
- $((p, a, b), (q, cb))$  — in state  $p$ , read  $a$ , pop  $b$ , push  $b$ , then push  $c$  (leftmost symbol is topmost in stack), and change state to  $q$ .
- $((p, b, e), (q, a))$  — in state  $p$ , read  $b$ , push  $a$ , change state to  $q$ .
- $((p, b, a), (q, e))$  — in state  $p$ , read  $b$ , pop  $a$ , change state to  $q$ .
- $((p, e, e), (q, e))$  — in state  $p$ , nondeterministically change state to  $q$  without reading anything or doing anything to stack.

## Pushdown Automata

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Example:

$$L = \{wcw^R : w \in \{a, b\}^*\}$$



$\sigma, \beta \rightarrow \gamma$  means the symbol read is  $\sigma$ , pop  $\beta$ , push  $\gamma$ .

Consider *abbcbbba*:

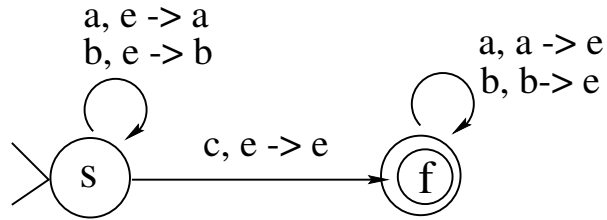
Unread string	abbcbbba	bcbcbba	bcbbba	cbba	bba	ba	a	e
Stack:	<div style="border: 1px solid black; height: 100px; width: 40px; margin: 0 auto; position: relative;"><div style="position: absolute; bottom: 0; background-color: #e0e0ff; padding: 2px;">a</div></div>	<div style="border: 1px solid black; height: 100px; width: 40px; margin: 0 auto; position: relative;"><div style="position: absolute; bottom: 0; background-color: #e0e0ff; padding: 2px;">a</div><div style="position: absolute; bottom: 10px; background-color: #e0e0ff; padding: 2px;">b</div></div>	<div style="border: 1px solid black; height: 100px; width: 40px; margin: 0 auto; position: relative;"><div style="position: absolute; bottom: 0; background-color: #e0e0ff; padding: 2px;">a</div><div style="position: absolute; bottom: 10px; background-color: #e0e0ff; padding: 2px;">b</div><div style="position: absolute; bottom: 20px; background-color: #e0e0ff; padding: 2px;">b</div></div>	<div style="border: 1px solid black; height: 100px; width: 40px; margin: 0 auto; position: relative;"><div style="position: absolute; bottom: 0; background-color: #e0e0ff; padding: 2px;">a</div><div style="position: absolute; bottom: 10px; background-color: #e0e0ff; padding: 2px;">b</div><div style="position: absolute; bottom: 20px; background-color: #e0e0ff; padding: 2px;">b</div><div style="position: absolute; bottom: 30px; background-color: #e0e0ff; padding: 2px;">b</div></div>	<div style="border: 1px solid black; height: 100px; width: 40px; margin: 0 auto; position: relative;"><div style="position: absolute; bottom: 0; background-color: #e0e0ff; padding: 2px;">a</div><div style="position: absolute; bottom: 10px; background-color: #e0e0ff; padding: 2px;">b</div></div>	<div style="border: 1px solid black; height: 100px; width: 40px; margin: 0 auto; position: relative;"><div style="position: absolute; bottom: 0; background-color: #e0e0ff; padding: 2px;">a</div></div>	<div style="border: 1px solid black; height: 100px; width: 40px; margin: 0 auto; position: relative;"><div style="position: absolute; bottom: 0; background-color: #e0e0ff; padding: 2px;">a</div></div>	<div style="border: 1px solid black; height: 100px; width: 40px; margin: 0 auto; position: relative;"></div>
Current state:	s	s	s	s	f	f	f	f

- A string is said to be accepted by  $M$  if, when the input is *completely read*,  $M$  is *at a final state* and *the stack is empty*. (see formal definition of string acceptance in slide 6).

## Example

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$$L = \{wcw^R : w \in \{a, b\}^*\}$$



Representing the PA as a 6-tuple:

A PA accepting  $L$  is  $M = (K, \Sigma, \Gamma, \Delta, s, F)$  where

$$K = \{s, f\}$$

$$\Sigma = \{a, b, c\}$$

$$\Gamma = \{a, b\}$$

$$F = \{f\}$$

$$\Delta = \{$$

$$((s, a, e), (s, a)),$$

$$((s, b, e), (s, b)),$$

$$((s, c, e), (f, e)),$$

$$((f, a, a), (f, e)),$$

$$((f, b, b), (f, e))\}$$

## Computation

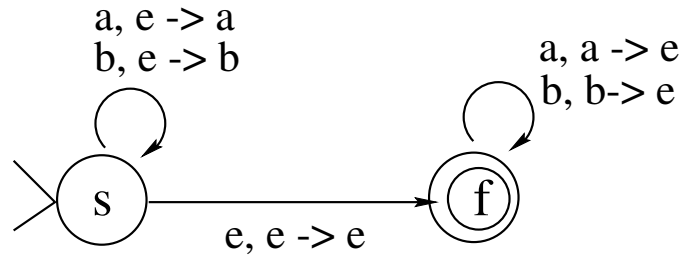
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- A **configuration**,  $(p, w, \beta)$  is a member of  $K \times \Sigma^* \times \Gamma^*$ 
  - $p$  is the current state
  - $w$  is the remaining input to be read
  - $\beta$  is the current content of stack from top to bottom (leftmost is topmost).
- Example:  
 $(s, abcba, e) \vdash_M (s, bcba, a) \vdash_M (s, cba, ba) \vdash_M (f, ba, ba) \vdash_M (f, a, a) \vdash_M (f, e, e)$
- $\vdash_M$  means ‘**yields** in one step’
- $\vdash_M^*$  means ‘yields in 0, 1 or more step’
- $M$  **accepts**  $w$  if
$$(s, w, e) \vdash_M^* (q, e, e) \text{ for some } q \in F$$
  - finish reading the input string
  - at a final state
  - the stack is empty
- The language accepted by  $M$ ,  $L(M)$ , is the set of all strings accepted by  $M$ .

## More example

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$$L = \{ww^R : w \in \{a, b\}^*\}$$



A PA that accepts  $L$  is  $M = (K, \Sigma, \Gamma, \Delta, s, F)$  where

$$K = \{s, f\}, \Sigma = \Gamma = \{a, b\}, F = \{f\}$$

$$\Delta = \{$$

$$((s, a, e), (s, a)),$$

$$((s, b, e), (s, b)),$$

$$((s, e, e), (f, e)),$$

$$((f, a, a), (f, e)),$$

$$((f, b, b), (f, e))\}$$

$$(s, abba, e) \vdash_M (s, bba, a) \vdash_M (s, ba, ba) \vdash_M (f, ba, ba) \vdash_M$$

$$(f, a, a) \vdash_M (f, e, e) - \text{accept}$$

$$(s, abba, e) \vdash_M (f, abba, e) - \text{fail}$$

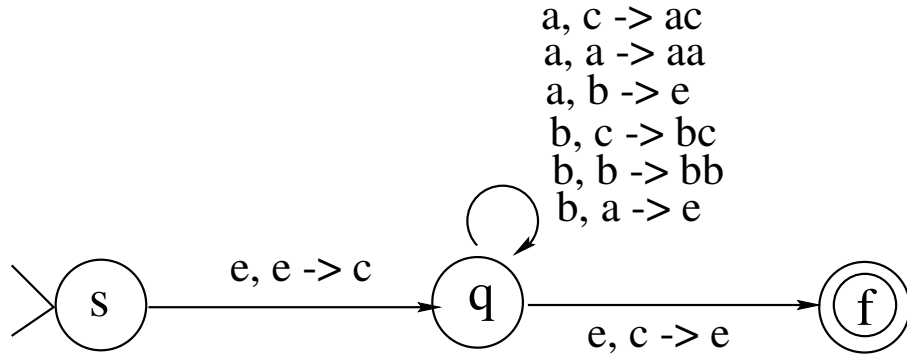
$$(s, abba, e) \vdash_M (s, bba, a) \vdash_M (f, bba, a) - \text{fail}$$

Try to verify that  $aabba \notin L$ ,  $abbaa \notin L$ .

## More example

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$$L = \{w \in a, b^* : w \text{ has the same number of } a\text{'s and } b\text{'s}\}$$



- $K = \{s, q, f\}$ ,  $\Sigma = \{a, b\}$ ,  $\Gamma = \{a, b, c\}$ ,  $F = \{f\}$ .
- $\Delta = \{ ((s, e, e), (q, c)),$   
 $((q, a, c), (q, ac)),$   
 $((q, a, a), (q, aa)),$   
 $((q, a, b), (q, e)),$   
 $((q, b, c), (q, bc)),$   
 $((q, b, b), (q, bb)),$   
 $((q, b, a), (q, e)),$   
 $((q, e, c), (f, e)) \}$

$$(s, abbbbaa, e) \vdash_M \dots$$