

## Lecture 18. Universal Turing Machines

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- So far, each Turing machine appears to be specialized at solving one particular problem.
- Can we ‘program’ a Turing machine to obtain a general-purpose programmable Turing machine?  
Yes, such a machine is called a Universal Turing machine; they can be *programmed* to solve any problem that can be solved by specialized Turing machines.
- In fact, the universal Turing machine played an important early role in stimulating the development of stored-program computers.

### Universal Turing Machine, $U$

Input to  $U$ :

The encoding of a TM  $M$ , denoted as “ $M$ ”, and  
the encoding of a string  $w \in \Sigma^*$ , denoted as “ $w$ ”.

Behavior:

$U$  halts on input “ $M$ ” “ $w$ ” iff  $M$  halts on  $w$ .  
( $U$  emulates the running of  $M$  on  $w$ ).

## Encoding Turing machines and their input strings

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How do we encode a Turing machine as a string?

Example:

$M = (K, \Sigma, \delta, s, H)$  where

$K = \{s, q, h\}$

$\Sigma = \{\sqcup, \triangleright, a\}$

$H = \{h\}$

$\delta :$

State	Symbol	$\delta$
$s$	$a$	$(q, \sqcup)$
$s$	$\sqcup$	$(h, \sqcup)$
$s$	$\triangleright$	$(s, \rightarrow)$
$q$	$a$	$(s, a)$
$q$	$\sqcup$	$(s, \rightarrow)$
$q$	$\triangleright$	$(q, \rightarrow)$

**Encode the states:**

$s \quad \text{---} \quad q00$

$q \quad \text{---} \quad q01$

$h \quad \text{---} \quad q10$

The start state is always encoded as  $q00\dots 0$ .

How to distinguish the halt states from other states? Since the transition function  $\delta$  is defined only on  $(K - H) \times \Sigma$ , any state for which  $\delta$  is not defined is a halting state. If  $H = \{y, n\}$ , we adopt the convention that  $y$  is the lexicographically smallest state and  $n$  is the second smallest.

**Encode the symbols:**  $\Sigma \cup \{\rightarrow, \leftarrow\}$

$\sqcup$	—	$a000$
$\triangleright$	—	$a001$
$\leftarrow$	—	$a010$
$\rightarrow$	—	$a011$
$a$	—	$a100$

**Encode the transitions:**

Encode each transition as a quadruple.

$\delta(s, a) = (q, \sqcup)$	—	$(q00, a100, q01, a000)$
$\delta(s, \sqcup) = (h, \sqcup)$	—	$(q00, a000, q10, a000)$
$\delta(s, \triangleright) = (s, \rightarrow)$	—	$(q00, a001, q00, a011)$
$\delta(q, a) = (s, a)$	—	$(q01, a100, q00, a100)$
$\delta(q, \sqcup) = (s, \rightarrow)$	—	$(q01, a000, q00, a011)$
$\delta(q, \triangleright) = (q, \rightarrow)$	—	$(q01, a001, q01, a011)$

## Encode a TM $M$ and a string $w$ :

$$\begin{aligned} \text{"}M\text{"} = & (q00, a100, q01, a000)(q00, a000, q10, a000) \\ & (q00, a001, q00, a011)(q01, a100, q00, a100) \\ & (q01, a000, q00, a011)(q01, a001, q01, a011) \end{aligned}$$

Note: It is sufficient to encode only the transition function, rather than also lists the encodings of the states and the input alphabet. We will show that such an encoding of a TM is sufficient for a UTM to simulate the behavior of the TM (on an input string  $w$ ).

$$\text{"}w\text{"} = \text{"}aa \sqcup a\text{"} = a001a100a100a000a100$$

That is, a Turing machine is encoded as a string over the alphabet  $\{q, a, 0, 1, (, ), , \}$ , and a input of a TM is encoded as a string over the alphabet  $\{a, 0, 1\}$ .

The input to a UTM is  $\text{"}M\text{"} \text{"}w\text{"}$ .

For example,

$$\begin{aligned} \text{"}M\text{"} \text{"}w\text{"} = & (q00, a100, q01, a000)(q00, a000, q10, a000) \\ & (q00, a001, q00, a011)(q01, a100, q00, a100) \\ & (q01, a000, q00, a011)(q01, a001, q01, a011) \\ & a100a100a000a100 \end{aligned}$$

In general:

Let  $M = (K, \Sigma, \delta, s, H)$  be a TM.

1. Choose  $i$  such that  $2^i \geq |K|$ .
2. Choose  $j$  such that  $2^j \geq |\Sigma| + 2$ .
3. Encode each state in  $K$  as:  $q$  followed by a binary string of length  $i$ .

$$s \text{ --- } q0^i$$

4. Encode each symbol in  $\Sigma \cup \{\leftarrow, \rightarrow\}$  as:  $a$  followed by a binary string of length  $j$ .

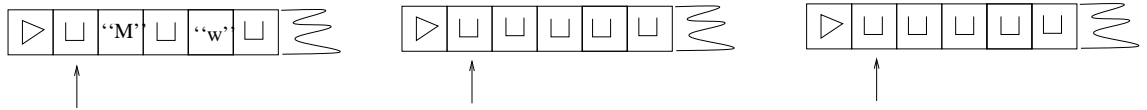
$$\begin{array}{ll} \sqcup & \text{--- } a0^j \\ \triangleright & \text{--- } a0^{j-1}1 \\ \leftarrow & \text{--- } a0^{j-2}10 \\ \rightarrow & \text{--- } a0^{j-2}11 \\ & \vdots \end{array}$$

## Universal Turing Machine

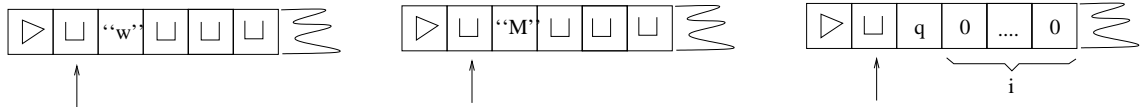
Instead of describing a UTM that has only one tape, we describe a UTM  $U$  that has 3 tapes.

$U$  halts on input “ $M$ ” “ $w$ ” iff  $M$  halts on  $w$ .

- Initially, Tape 1 contains “ $M$ ” “ $w$ ”. Tape 2 and 3 are blank.



- Move “ $M$ ” onto Tape 2, and shift “ $w$ ” down to the left end of Tape 1. Write the encoding of the initial state of  $M$  on Tape 3.



3. Scan Tape 2 to search for a quadruple  $(x, y, z, t)$  where  $x$  matches the current encoded state on Tape 3 and  $y$  matches the current encoded symbol scanned from Tape 1. If found,
- write  $z$ , the encoding of the next state, to Tape 3,
  - perform the action (a write or a movement of head) indicated by  $t$  on Tape 1.

If not found, the current state must be a halt state, so  $U$  halts.