

# Neural networks: Assignment 1

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## Problem 1: Simulate a boolean function

### Neural Network

A neural network  $NN$  with four inputs  $(x_1, x_2, x_3, x_4)$  and one output is defined as follows:

$$NN(x_1, x_2, x_3, x_4) = 1 + x_1x_2 + x_2x_3 + x_3x_4 \pmod{2}$$

where  $x_1, x_2, x_3, x_4$  are binary variables, i.e.,  $x_i \in \{0, 1\}$  for every  $i = 1, 2, 3, 4$ .

The output of the neural network for all possible combinations of inputs is as follows:

$NN(0, 0, 0, 0) = 1$   
 $NN(0, 0, 0, 1) = 1$   
 $NN(0, 0, 1, 0) = 1$   
 $NN(0, 0, 1, 1) = 0$   
 $NN(0, 1, 0, 0) = 1$   
 $NN(0, 1, 0, 1) = 1$   
 $NN(0, 1, 1, 0) = 0$   
 $NN(0, 1, 1, 1) = 1$   
 $NN(1, 0, 0, 0) = 1$   
 $NN(1, 0, 0, 1) = 1$   
 $NN(1, 0, 1, 0) = 1$   
 $NN(1, 0, 1, 1) = 0$   
 $NN(1, 1, 0, 0) = 0$   
 $NN(1, 1, 0, 1) = 0$   
 $NN(1, 1, 1, 0) = 1$   
 $NN(1, 1, 1, 1) = 0$

## Problem 2: Equivalence of activations

(a) Recall the step function  $H(x) = 1(x \geq 0)$  and the sigmoid  $S(x) = \frac{1}{1+\exp(-x)}$ . For every  $\varepsilon > 0$ , describe a neural network  $NN$  with one input, one output, and sigmoid activations such that  $|NN(x) - H(x)| \leq \varepsilon$  for every  $x$  such that  $|x| > \varepsilon$ .

**Answer:**

The neural network is,

$$NN(x) = \frac{1}{1 + \exp(-kx)}$$

Let's prove that for every  $\varepsilon > 0$ , there exists a  $k$  such that  $|NN(x) - H(x)| \leq \varepsilon$  for every  $x$  such that  $|x| > \varepsilon$ .

$$|NN(x) - H(x)| = \left| \frac{1}{1 + \exp(-kx)} - 1(x \geq 0) \right|$$

Let's choose  $k = \frac{1}{\varepsilon}$ . Then, for  $|x| > \varepsilon$ :

$$\left| \frac{1}{1 + \exp\left(-\frac{x}{\varepsilon}\right)} - 1 \right| \leq \varepsilon$$

Now, since  $|x| > \varepsilon$ , we have  $\frac{x}{\varepsilon} > 1$ , which means  $\exp\left(\frac{x}{\varepsilon}\right) > \exp(1)$ . Therefore:

$$\begin{aligned} \frac{1}{1 + \exp(-kx)} &\leq \frac{1}{1 + \exp(-k\varepsilon)} \\ &= \frac{1}{1 + \exp\left(-\frac{\varepsilon}{\varepsilon}\right)} \\ &= \frac{1}{1 + \exp(-1)} \\ &= \frac{1}{1 + \frac{1}{e}} \\ &= \frac{e}{e + 1} \\ &\leq \varepsilon \end{aligned}$$

So,  $NN(x) = \frac{1}{1 + \exp(-kx)}$  satisfies the condition  $|NN(x) - H(x)| \leq \varepsilon$  for every  $x$  such that  $|x| > \varepsilon$ .

**(b)** Let  $f : [-1, 1] \rightarrow \mathbb{R}$  be a continuous function and  $\varepsilon > 0$ . Describe a neural network  $NN$  with one input, one output, using step and identity activations, such that for every  $-1 \leq x \leq 1$ ,

$$|NN(x) - H(x)| \leq \varepsilon$$

**Hint:** Note that for  $b_1 \leq b_2$ , we have  $H(x - b_1) - H(x - b_2) = 1$  if  $b_1 \leq x < b_2$ . **Answer:**

We have,

$$|NN(x) - f(x)| < \varepsilon \quad \text{for } x \in [-1, 1].$$

$$|f(x) - (H(x + 1) - H(x - 1))f(x)| < \varepsilon$$

$$\boxed{NN(x) = [H(x + 1) - H(x - 1)]f(x)}$$

## Problem 3: Gradients for one neuron

**a.** In order to find the partial derivative of the square loss with respect to  $w_i$  for the given ReLU neuron, we need to consider the chain rule.

Let's break down the expression:

$$f_R(x) = \text{ReLU}(w^T \cdot x + b)$$

$$C_{\text{sq}}(f_R(x), \bar{y}) = (f_R(x) - \bar{y})^2$$

Now, let's compute the partial derivative  $\frac{\partial}{\partial w_i} C_{\text{sq}}(f_R(x), \bar{y})$  using the chain rule

$$\frac{\partial}{\partial w_i} C_{\text{sq}}(f_R(x), \bar{y}) = 2(f_R(x) - \bar{y}) \cdot \frac{\partial}{\partial w_i} f_R(x)$$

Next, let's compute  $\frac{\partial}{\partial w_i} f_R(x)$ .

The ReLU activation function has a piecewise definition,

$$\text{ReLU}(z) = \begin{cases} z, & \text{if } z > 0 \\ 0, & \text{otherwise} \end{cases}$$

So, the partial derivative of  $\text{ReLU}(w^T \cdot x + b)$  with respect to  $w_i$  is follow

$$\frac{\partial}{\partial w_i} f_R(x) = \begin{cases} x_i, & \text{if } w^T \cdot x + b > 0 \\ 0, & \text{otherwise} \end{cases}$$

Hence,

$$\boxed{\frac{\partial}{\partial w_i} C_{\text{sq}}(f_R(x), \bar{y}) = 2(f_R(x) - \bar{y}) \cdot \begin{cases} x_i, & \text{if } w^T \cdot x + b > 0 \\ 0, & \text{otherwise} \end{cases}}$$

**b.** Consider a sigmoid neuron  $f_S = S(w^T \cdot x + b)$  and the cross-entropy loss function  $C_{\text{cross}}(y, f_S(x)) = -\bar{y} \ln(f_S) - (1 - \bar{y}) \ln(1 - f_S)$  for  $0 \leq y \leq 1$ .

We want to calculate the partial derivative of the cross-entropy loss with respect to the weight  $w_i$ , denoted as  $\frac{\partial C_{\text{cross}}(f_S(x), \bar{y})}{\partial w_i}$ .

**Answer:**

**The cross-entropy loss function,**

$$C_{\text{cross}}(f_S(x), \bar{y}) = -\bar{y} \ln(f_S(x)) - (1 - \bar{y}) \ln(1 - f_S(x))$$

**Let's apply the chain rule,**

$$\frac{\partial C_{\text{cross}}}{\partial w_i} = \frac{\partial C_{\text{cross}}}{\partial f_S(x)} \cdot \frac{\partial f_S(x)}{\partial (w_i \cdot x)} \cdot \frac{\partial (w_i \cdot x)}{\partial w_i}$$

- $\frac{\partial C_{\text{cross}}}{\partial f_S(x)} = -\frac{y}{f_S(x)} + \frac{1-y}{1-f_S(x)}$
- $\frac{\partial f_S(x)}{\partial (w_i \cdot x)} = f_S(x) \cdot (1 - f_S(x))$
- $\frac{\partial (w_i \cdot x)}{\partial w_i} = x_i$

**Substitute these into the chain rule equation, we get**

$$\boxed{\frac{\partial C_{\text{cross}}}{\partial w_i} = \left( -\frac{y}{f_S(x)} + \frac{1-y}{1-f_S(x)} \right) \cdot (f_S(x) \cdot (1 - f_S(x))) \cdot x_i}$$

**c.**

- Let  $f_T(x) = \tanh(w^T \cdot x + b)$  be a neuron with activation  $\tanh(x) = \frac{\exp(2x)-1}{\exp(2x)+1}$ , and
- let  $C_{\text{hinge}}(f_T(x), \bar{y}) = \max(0, 1 - \bar{y} \cdot f_T(x))$  be the hinge loss for  $\bar{y} \in \{-1, 1\}$ .

We want to calculate  $\frac{\partial}{\partial w_i} C_{\text{hinge}}(f_T(x), \bar{y})$ .

**Answer:**

Let's calculate the partial derivative  $\frac{\partial}{\partial w_i} C_{\text{hinge}}(f_T(x), y)$ , we need to apply the chain rule.

$$\frac{\partial}{\partial w_i} C_{\text{hinge}}(f_T(x), y) = \frac{\partial C_{\text{hinge}}}{\partial f_T(x)} \cdot \frac{\partial f_T(x)}{\partial w_i}$$

First, let's find  $\frac{\partial f_T(x)}{\partial w_i}$ :

$$\frac{\partial f_T(x)}{\partial w_i} = \frac{\partial}{\partial w_i} \tanh(w^T \cdot x + b)$$

The derivative of the hyperbolic tangent function  $\tanh(x)$  is  $1 - \tanh^2(x)$ . Therefore,

$$\frac{\partial f_T(x)}{\partial w_i} = x_i(1 - \tanh^2(w^T \cdot x + b))$$

The derivative of the hinge loss  $\frac{\partial C_{\text{hinge}}}{\partial f_T(x)}$  with respect to  $f_T(x)$  is a piecewise function:

$$\frac{\partial C_{\text{hinge}}}{\partial f_T(x)} = \begin{cases} -\bar{y} & \text{if } 1 - \bar{y}f_T(x) > 0 \\ 0 & \text{otherwise} \end{cases}$$

Now, we put them all together:

$$\boxed{\frac{\partial}{\partial w_i} C_{\text{hinge}}(f_T(x), \bar{y}) = \begin{cases} -\bar{y} \cdot x_i(1 - \tanh^2(w^T \cdot x + b)) & \text{if } 1 - \bar{y}f_T > 0 \\ 0 & \text{otherwise} \end{cases}}$$

## Problem 4: Accuracy at initialization

Assume there is a dataset with  $M$  points and labels  $x^{(i)} \in \mathbb{R}^d$ ,  $y^{(i)} \in \{0, 1, 2, 3\}$  for  $i = 1, \dots, M$ . What accuracy can you expect for this dataset at initialization?

**Answer:**

In a classification task with  $C$  classes, the random accuracy can be calculated as follows:

$$\text{Accuracy} = \frac{1}{C}$$

Since we have  $C = 4$  classes (0, 1, 2, 3), the accuracy would be  $\frac{1}{4} = 0.25$  or 25%.

$$\boxed{\text{Accuracy} = 25\%}$$