

# BESIII Summer Collaboration Meeting

## Measurement of the CP even fraction $F_+$ in $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$

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15th June 2022



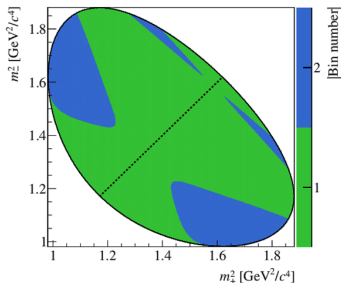
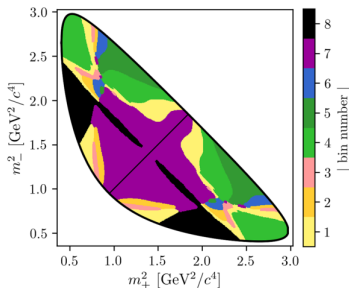
# Outline

- 1 Introduction and motivation
- 2 Strategy of strong-phase analysis
- 3 Fit of single tag yields
- 4 Fit of double tag yields
  - Fit strategy
  - CP tags
  - $K_{S,L}\pi\pi$  tags
- 5 Reweighting of  $KK\pi\pi$  model
- 6  $F_+$  combination
- 7 Summary and conclusion

- Perform strong-phase analysis of  $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$
- This analysis: Phase space integrated analysis
  - $2.93 \text{ fb}^{-1}$   $\psi(3770)$  data from 2010-2011
  - Measure CP even fraction  $F_+$
- Future analysis: Binned phase space analysis
  - Expect  $\approx 20 \text{ fb}^{-1}$   $\psi(3770)$  data from 2010-2011 and 2021-2023
  - Measure amplitude-averaged cosine and sine of strong-phase  $c_i$  and  $s_i$
  - Plan to analyse 2021-2022 data initially

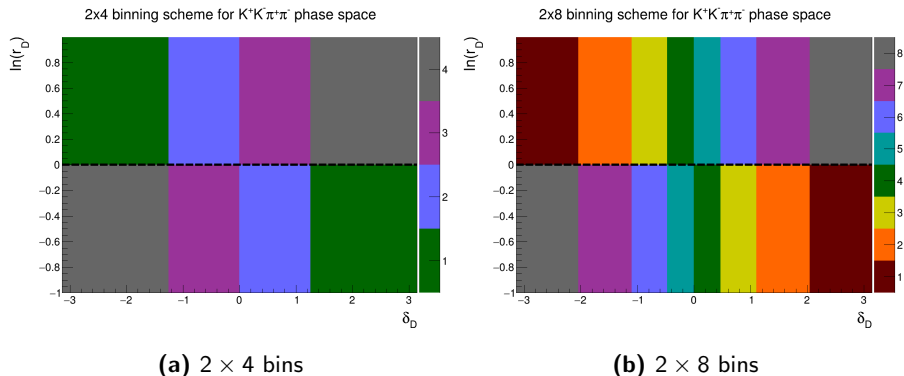
# Introduction to GGSZ analysis of $\gamma$

- Main motivation: Measure  $\gamma$  in  $B^\pm \rightarrow DK^\pm$  with self-conjugate multi-body  $D$  decay
  - Model independent measurement: Bins of  $D$  decay phase space
  - External inputs: Measure  $c_i$  and  $s_i$  at BESIII
  - Poor binning reduces statistical sensitivity  $\rightarrow$  No bias!
- J. High Energ. Phys. 2021, 169 (2021):  $B^\pm \rightarrow Dh^\pm$ ,  $D \rightarrow K_S^0 h^+ h^-$ 
  - Single most precise measurement:  $\gamma = (68.7_{-5.1}^{+5.2})^\circ$



# Introduction to GGSZ analysis of $\gamma$

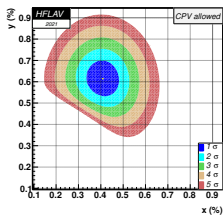
- Our aim: Analyse  $B^\pm \rightarrow [K^+ K^- \pi^+ \pi^-]_D h^\pm$  in bins of phase space
  - Develop binning scheme using LHCb model [JHEP 02 \(2019\) 126](#)
  - Simultaneously analyse  $c_i/s_i$  at BESIII and  $\gamma$  at LHCb
  - Expected precision  $\Delta\gamma \approx 12^\circ$  with LHCb Run 1+2



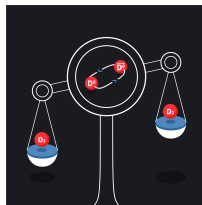
**Figure 1:** Binning scheme for  $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$

# Introduction to $D$ mixing and CP-violation

- $D \rightarrow KK\pi\pi$  can also be used to study  $D$ -mixing
- Phase space integrated analysis:
  - [Physical Review D, 91\(9\), 2015](#)
  - Measure mixing parameter  $y_{CP}$  and CP-violation parameter  $A_F$  in self-conjugate multi-body decays
- Analysis in bins of phase space:
  - [Phys. Rev. Lett. 127, 111801 \(2021\)](#)
  - Bin-flip analysis of  $D \rightarrow K_S\pi\pi$
  - Measure mixing parameters  $x, y$  and CP-violation parameters  $|q/p|, \varphi$



(a) Source: [HFLAV](#)



(b) Source: [LHCb outreach](#)

# Motivation for $F_+$ measurement

- $F_+$  describes the CP content of a self-conjugate multi-body decay
  - $F_+ = 1$  (0) for CP even (odd) final states
- $F_+$  can be measured with current  $3 \text{ fb}^{-1}$  dataset
  - First model independent measurement of  $F_+^{KK\pi\pi}$ !
  - Useful to test agreement with LHCb model prediction:  $F_+ = 0.736$
- Important input to quasi-GLW analysis of the CKM angle  $\gamma$ 
  - Current GLW modes:  $KK$ ,  $\pi\pi$ ,  $\pi\pi\pi\pi$
  - Minimal effort to include  $KK\pi\pi$  in GLW analyses  $\implies$  More statistics
- Other  $F_+$  measurements:
  - $D^0 \rightarrow \pi^+\pi^-\pi^+\pi^-$  [JHEP 01 \(2018\) 144](#)
  - $D^0 \rightarrow K_S\pi^+\pi^-\pi^0$  [JHEP 01 \(2018\) 82](#)
  - $D^0 \rightarrow h^+h^-\pi^0$  [Physics Letters B 747 \(2015\)](#)
  - Measurements are from CLEO-c, BESIII analyses ongoing

# Strategy for strong-phase analysis

- 1 Select double tags of  $KK\pi\pi$  vs flavour, CP and self-conjugate tags
- 2 Normalise double tag yields by the corresponding single tag yields
- 3 Measure flavour tag yields  $K_i$
- 4 Fit  $c_i$  with CP tags and  $c_i + s_i$  with self-conjugate tags:

## $c_i/s_i$ analysis: Bins of $KK\pi\pi$ phase space

$$\text{CP: } M_i \propto (K_i + K_{-i} - 2c_i\sqrt{K_i K_{-i}}(2F_+^{\text{tag}} - 1))$$

$$\text{Self-conjugate: } M_{ij} \propto (K_i K'_j + K_{-i} K'_j - 2\sqrt{K_i K_{-i} K'_j K'_{-j}}(c_i c'_j + s_i s'_{-j}))$$

## $F_+$ analysis: Sum over all $KK\pi\pi$ phase space bins

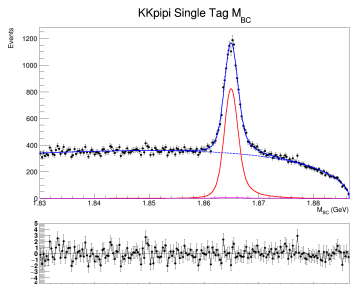
$$\text{CP: } M \propto (1 - 2(2F_+^{KK\pi\pi} - 1)(2F_+^{\text{tag}} - 1))$$

$$\text{Self-conjugate: } M_j \propto (K'_j + K'_{-j} - 2c'_j\sqrt{K'_j K'_{-j}}(2F_+^{KK\pi\pi} - 1))$$

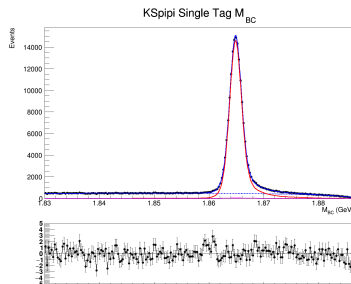


# Single tag fits

- Fit strategy: Fit  $m_{BC}$
- Fit model:
  - Signal: PDF from signal MC, convoluted with single or double Gaussian
  - Flat background: Argus PDF
  - Peaking background shape and yield fixed
    - Fit shape to dedicated MC samples
    - Calculate yield from ratios of efficiencies and branching fractions



(a)  $KK\pi\pi$

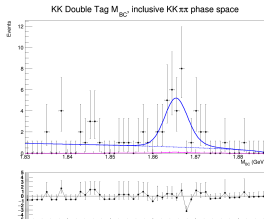


(b)  $K_S\pi\pi$

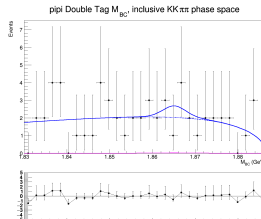
# Double tag fits

- Fit strategy:
  - Fully reconstructed tags: Only fit signal  $m_{BC}$  because of low statistics
  - Partially reconstructed tags: Fit missing mass squared  $m_{\text{miss}}^2$
- Fit model:
  - Signal: PDF from signal MC, convoluted with single Gaussian
  - Background: Argus PDF
  - Peaking backgrounds fixed, with quantum correlation accounted for
  - Simple sideband subtraction for correct signal but wrong tag event
- For tags with multiple bins, perform a simultaneous fit of all bins
  - Shape is floated and shared across all bins
  - Yield of signal and combinatorial background is floated in each bin

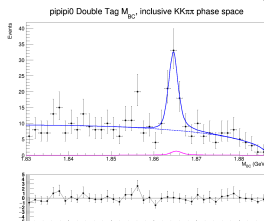
# Double tag fits



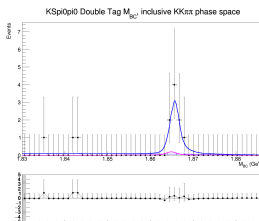
(a) KK



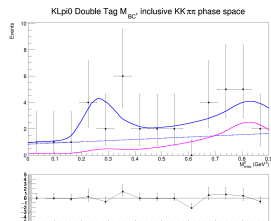
(b)  $\pi\pi$



(c)  $\pi\pi\pi^0$



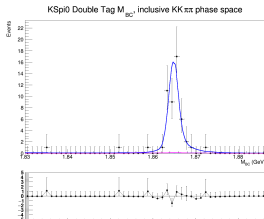
(d)  $K_S\pi^0\pi^0$



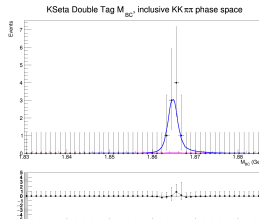
(e)  $K_L\pi^0$

**Figure 4: Double tag fits of CP even tags**

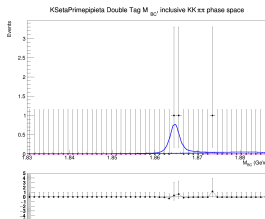
# Double tag fits



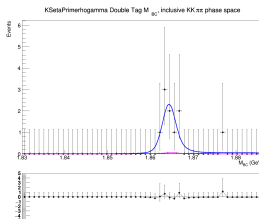
(a)  $K_S\pi^0$



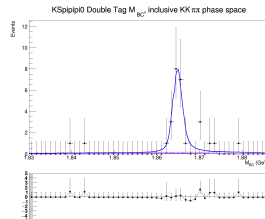
(b)  $K_S\eta$



(c)  $K_S\eta'(\pi\pi\eta)$



(d)  $K_S\eta'(\rho\gamma)$



(e)  $K_S\omega$

**Figure 5:** Double tag fits of CP odd tags

# CP double tag yields and efficiencies

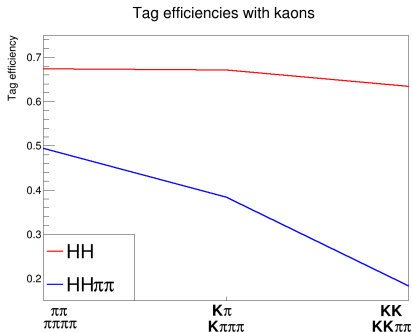
Tag mode	Double tag yield	Double tag efficiency (%)
$K^+K^-$	$28 \pm 10$	$14.52 \pm 0.06$
$\pi^+\pi^-$	$2 \pm 4$	$15.02 \pm 0.06$
$K_S\pi^0$	$48 \pm 7$	$6.87 \pm 0.04$
$K_S\pi^0\pi^0$	$8.0 \pm 2.8$	$2.873 \pm 0.026$
$K_L\pi^0$	$7 \pm 5$	$5.29 \pm 0.04$
$K_S\eta$	$8.9 \pm 3.0$	$5.72 \pm 0.04$
$K_S\eta'_{\pi\pi\eta}$	$2.2 \pm 1.6$	$2.024 \pm 0.021$
$K_S\eta'_{\rho\gamma}$	$8.7 \pm 3.0$	$3.295 \pm 0.027$
$\pi^+\pi^-\pi^0$	$53 \pm 10$	$7.66 \pm 0.04$
$K_S\omega$	$9 \pm 3$	$2.234 \pm 0.022$

# $F_+$ measurement with $K_{S,L}\pi\pi$ tags

- With  $K_S\pi\pi$ , increase sensitivity through binning of  $K_S\pi\pi$  phase space

$$M_j \propto (K'_j + K'_{-j} - 2\sqrt{K'_j K'_{-j}} c'_j (2F_+^{KK\pi\pi} - 1))$$

- Problem:  $KK\pi\pi$  reconstruction efficiency is too low  $\rightarrow$  Low yields!



- Likely explanation: Softer kaons  $\rightarrow$  Kaons get stuck inside tracker

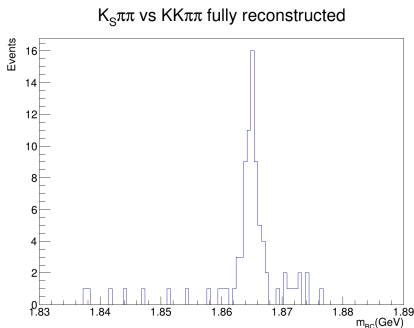
# $F_+$ measurement with $K_{S,L}\pi\pi$ tags

- Solution: Partially reconstructed  $KK\pi\pi$
- Strategy:
  - ① Reconstruct  $D \rightarrow K_S\pi\pi$
  - ② Require 3 remaining good tracks consistent with  $K\pi\pi$
  - ③ Use missing mass to reconstruct missing kaon

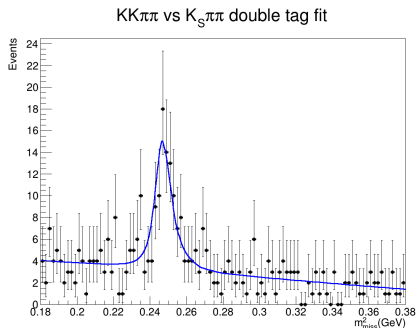
Mode	Inclusive yield	Double tag efficiency
$K_S\pi\pi$ (fully reconstructed)	$69 \pm 9$	$6.56 \pm 0.04$
$K_S\pi\pi$ (partially reconstructed)	$91 \pm 15$	$7.01 \pm 0.04$
$K_L\pi\pi$ (partially reconstructed)	$158 \pm 15$	$7.25 \pm 0.04$

# Partially reconstructed $KK\pi\pi$ vs $K_S\pi\pi$

- Main challenge with partially reconstructed  $KK\pi\pi$ :  $K\pi\pi\pi\pi^0$
- Require no  $\pi^0$  candidates



(a) Fully reconstructed

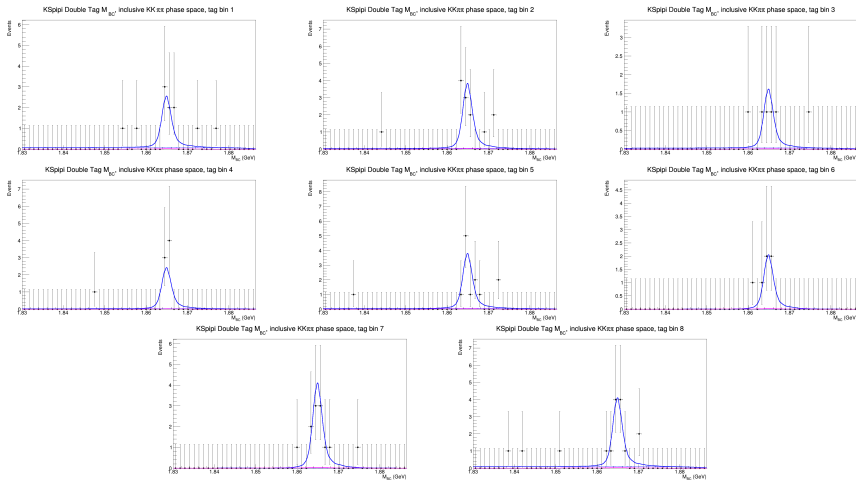


(b) Partially reconstructed

**Figure 6:**  $KK\pi\pi$  vs  $K_S\pi\pi$



# Double tag fits



**Figure 7:**  $KK\pi\pi$  vs  $K_5\pi\pi$  simultaneous fit

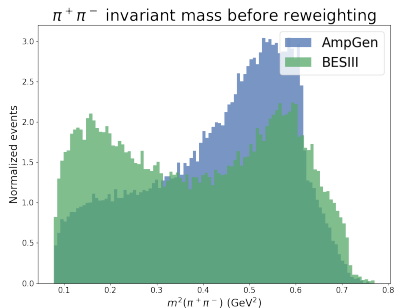
# Efficiency corrections

- All yields must be corrected for efficiency
- Problem: BESIII simulation uses a very old  $KK\pi\pi$  model in EvtGen
- Solution: Reweight BESIII simulation to look like the LHCb model
  - Use Python `hep_ml` Gradient Boosted Reweighter
  - Variables:
    - 1  $m^2(K^+K^-)$
    - 2  $m^2(K^+\pi^-)$
    - 3  $m^2(K^-\pi^+)$
    - 4  $m^2(\pi^+\pi^-)$
    - 5  $m^2(K^+K^-\pi^+)$

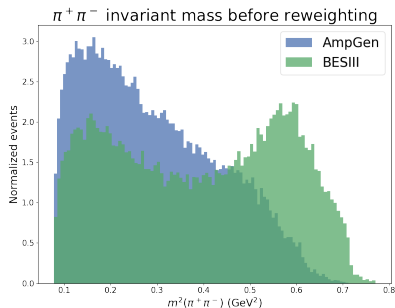
# Quantum correlated LHCb model

- Problem with naive reweighting:
  - LHCb model assumes a pure  $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$  decay
  - No quantum correlations
  - Example: If tag is  $D \rightarrow KK$ , the  $D \rightarrow KK\pi\pi$  decay will be CP odd!
  - Quantum correlations will affect phase space distribution  $\implies$  Efficiencies could change
- Solution: Separate reweighters for CP even/odd  $D \rightarrow K^+ K^- \pi^+ \pi^-$ 
  - CP even tags: Use efficiencies after reweighting to CP odd model
  - CP odd tags: Use efficiencies after reweighting to CP even model
  - $K_{S,L}\pi\pi$  tags: Do a weighted average of the two efficiencies

# Before weighting to CP even/odd models



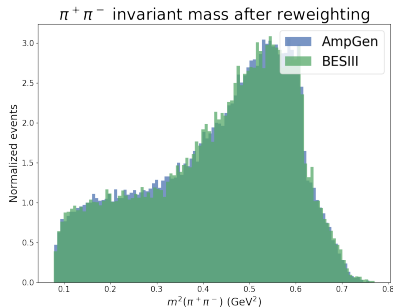
(a) CP even



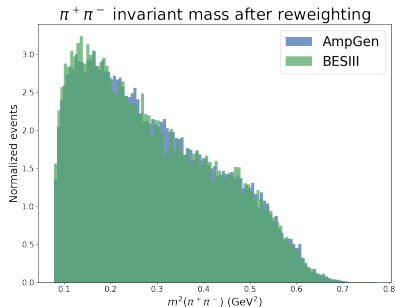
(b) CP odd

**Figure 8:**  $m^2(\pi^+\pi^-)$  before reweighting

# After weighting to CP even/odd models



(a) CP even

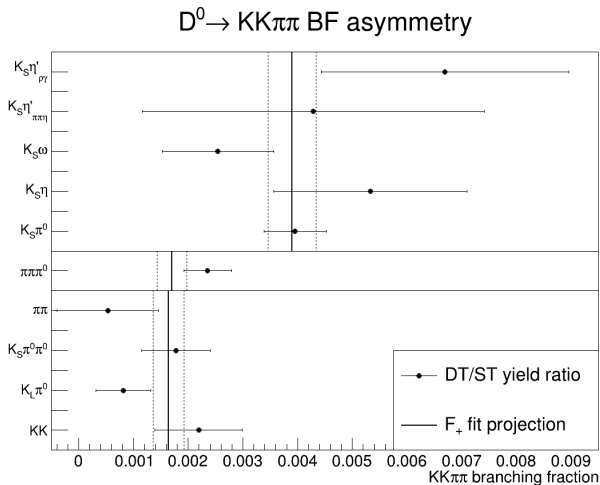


(b) CP odd

**Figure 9:**  $m^2(\pi^+\pi^-)$  after reweighting

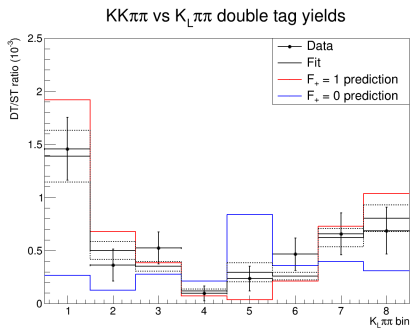
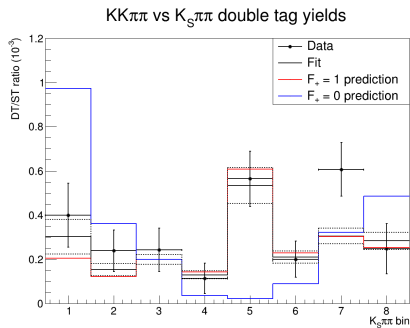
No reweighting	Naive reweighting	CP even model	CP odd model
18.0%	19.0%	18.1%	21.9%

# $F_+$ measurement with CP tags



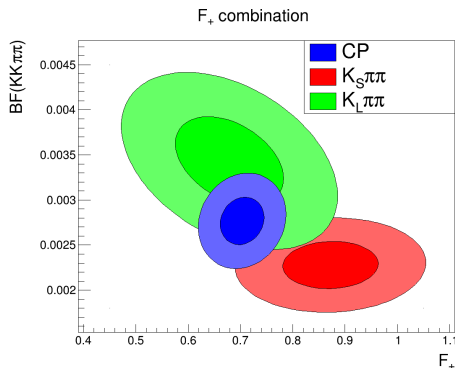
**Figure 10:**  $F_+$  combination of CP tags  
Fit result:  $F_+ = 0.703 \pm 0.042$ ,  $\chi^2 = 1.4$

# $F_+$ measurement with $K_{S,L}\pi\pi$ tags



**(a)** Result:  $F_+ = 0.872 \pm 0.091$ ,  $\chi^2 = 1.3$     **(b)** Result:  $F_+ = 0.679 \pm 0.103$ ,  $\chi^2 = 0.8$

**Figure 11:**  $F_+$  combination of  $K_S\pi\pi$  (left) and  $K_L\pi\pi$  (right)



**Figure 12:**  $F_+$  combination

- Observe large anti-correlation in  $K_L\pi\pi$  because  $F_+^{K_L\pi\pi} \approx 0.354$ 
  - Yield of  $K_L\pi\pi$  is twice as large as that of  $K_S\pi\pi$
  - Fractional bin yields and total yield contains information about  $F_+$
  - When  $K_L\pi\pi$  BF is available, combine all tags!



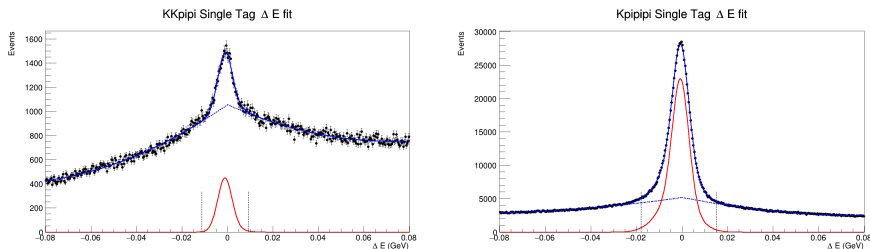
- First model-independent measurement of CP even fraction in  $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$ 
  - $F_+ = 0.730 \pm 0.040 \pm 0.017$
  - Statistics dominated!
  - Very consistent with model prediction:  $F_+ = 0.736$
- Valuable input to:
  - $\gamma$  measurement with GLW method
  - $D$ -mixing and CPV analyses
- Future  $\psi(3770)$  data will allow us to perform a binned analysis

Thank you!

Backup

# Selection

- Selection of charged and neutral particles follow standard track and shower requirements
- Require flight significance  $> 2$  for  $K_S$
- $K_S$  veto for  $KK\pi\pi$  and  $\pi\pi\pi^0$  tags
- $\Delta E$  cut of  $3\sigma$
- $\Delta E$  fit for 4-body modes allows a non-smooth background at  $\Delta E = 0$



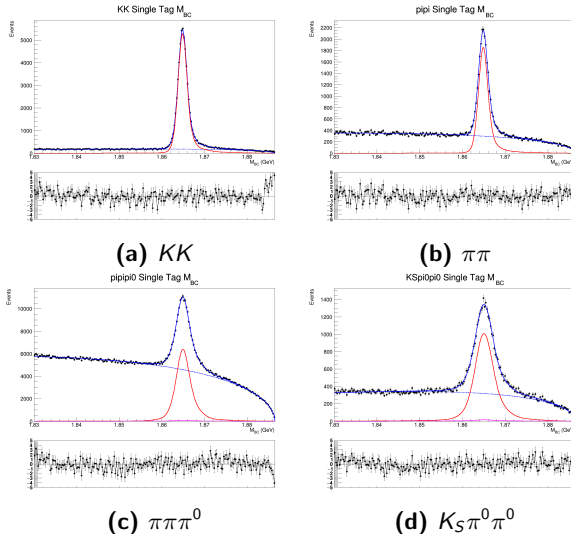
**Figure 13:** Double Gaussian signal and Chebychev polynomial background

# Tag modes

- Flavour tags:
  - $K\pi$ ,  $K\pi\pi^0$ ,  $K\pi\pi\pi$ ,  $Ke\nu$
- CP even tags:
  - $KK$ ,  $\pi\pi$ ,  $\pi\pi\pi^0$  (mostly CP even),  $K_S\pi^0\pi^0$ ,  $K_L\pi^0$
- CP odd tags:
  - $K_S\pi^0$ ,  $K_S\eta$ ,  $K_S\omega$ ,  $K_S\eta'_{\pi\pi\eta}$ ,  $K_S\eta'_{\rho\gamma}$
- Self-conjugate tags:
  - $K_S\pi\pi$ ,  $K_L\pi\pi$

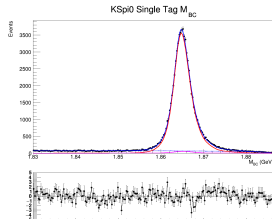
Underlined tags have not been finalized yet

# Single tag fits

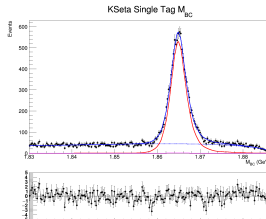


**Figure 14:** Single tag fits of CP even tags

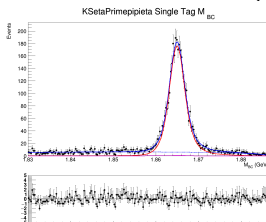
# Single tag fits



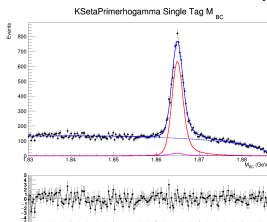
(a)  $K_S\pi^0$



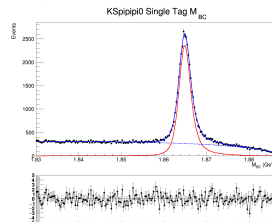
(b)  $K_S\eta$



(c)  $K_S\eta'(\pi\pi\eta)$



(d)  $K_S\eta'(\rho\gamma)$



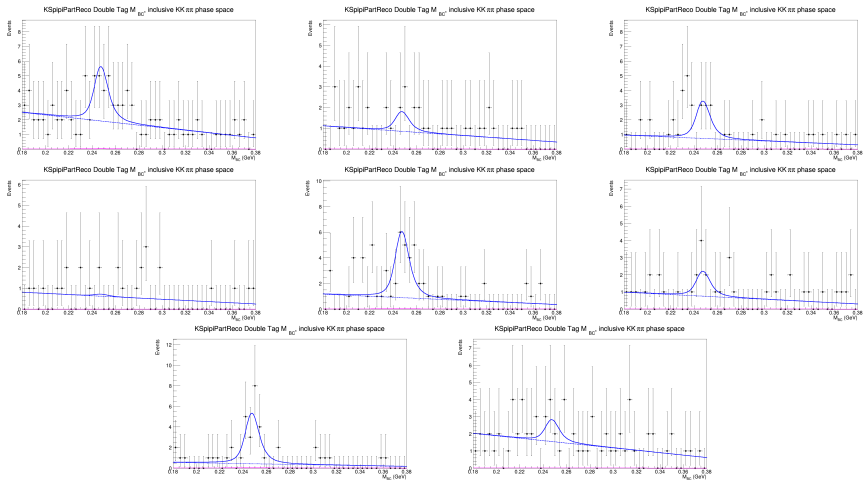
(e)  $K_S\omega$

**Figure 15:** Single tag fits of CP odd tags

# Single tag yields and efficiencies

Tag mode	Single tag yield	Single tag efficiency (%)
$K^+ K^- \pi^+ \pi^-$	$10642 \pm 156$	$19.02 \pm 0.09$
$K^+ K^-$	$56303 \pm 262$	$63.41 \pm 0.11$
$\pi^+ \pi^-$	$20386 \pm 179$	$67.41 \pm 0.10$
$K_S \pi^0$	$67876 \pm 278$	$38.18 \pm 0.11$
$K_S \pi^0 \pi^0$	$22392 \pm 229$	$14.35 \pm 0.08$
$K_L \pi^0$	$47595 \pm 1653$	$27.83 \pm 0.23$
$K_S \eta$	$9308 \pm 113$	$31.78 \pm 0.10$
$K_S \eta'_{\pi\pi\eta}$	$3213 \pm 62$	$12.81 \pm 0.07$
$K_S \eta'_{\rho\gamma}$	$8283 \pm 116$	$20.80 \pm 0.09$
$\pi^+ \pi^- \pi^0$	$107504 \pm 602$	$36.65 \pm 0.11$
$K_S \omega$	$22068 \pm 217$	$14.50 \pm 0.08$
$K_S \pi^+ \pi^-$	$161914 \pm 440$	$36.40 \pm 0.11$
$K_S \pi^+ \pi^-$ part. reco.	$161914 \pm 440$	$36.40 \pm 0.11$
$K_L \pi^+ \pi^-$	$223141 \pm 2146$	$46.1 \pm 0.3$

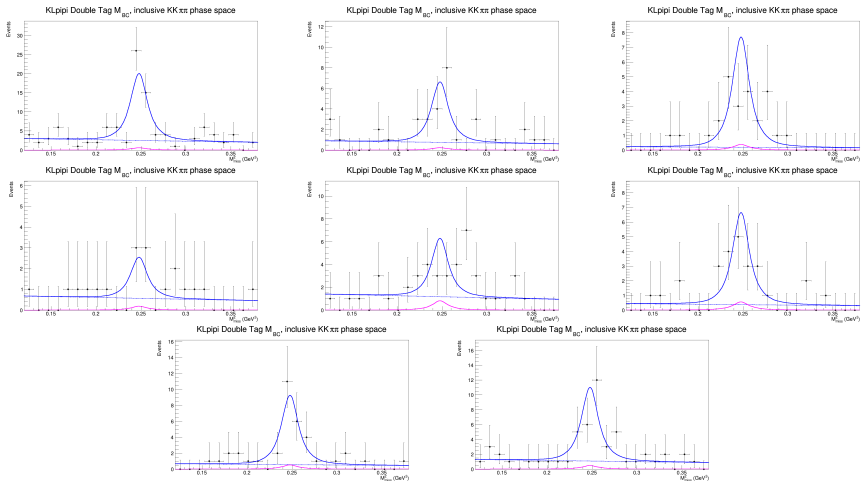
# Double tag fits



**Figure 16:** Partially reconstructed  $KK\pi\pi$  vs  $K_5\pi\pi$  simultaneous fit



# Double tag fits

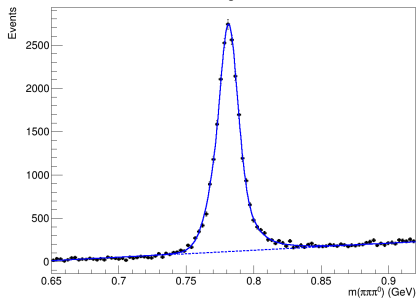


**Figure 17:**  $KK\pi\pi$  vs  $K_L\pi\pi$  simultaneous fit

# Non-resonant background in $K_S\omega$

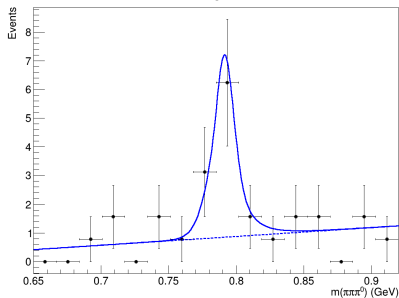
- $K_S\omega$  has CP-even contamination from non-resonant  $K_S\pi\pi\pi^0$ 
  - $F_+(K_S\pi\pi\pi^0) = 0.238 \pm 0.012 \pm 0.012$  from CLEO
- From  $m_{BC}$  fit, subtract flat background using sPlot and fit  $\pi\pi\pi^0$

Single tag  $K_S\omega$ ,  $\omega$  mass fit



(a) Single tag

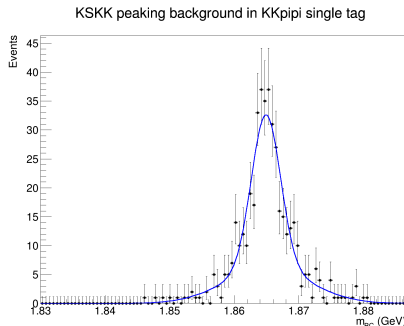
Double tag  $K_S\omega$ ,  $\omega$  mass fit



(b) Double tag

# Peaking backgrounds

- Strategy for fixing peaking backgrounds:
  - 1 Generate dedicated MC sample
  - 2 Obtain retention rate of peaking background
  - 3 Fit background with appropriate shape (Gaussian, Crystal Ball, ...)
  - 4 Use BFs from PDG to fix background-to-signal ratio

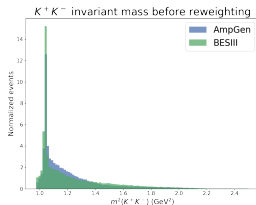


**Figure 19:** Double Gaussian fit of  $K_S KK$  background in  $KK\pi\pi$  single tag fit

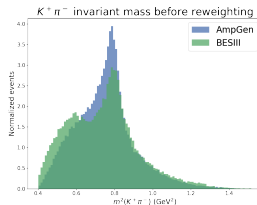
# Quantum correlation in peaking backgrounds

- Strategy for peaking backgrounds with different CP:
  - Correct using  $F_+^{KK\pi\pi}$  from LHCb model
- Strategy for  $K_S KK$  background in  $KK\pi\pi$ 
  - $F_+^{K_S KK} = 0.524 \pm 0.018$  from [Phys. Rev. D \*\*102\*\*, 052008](#)
  - Use dedicated MC to find retention in each  $K_S KK$  bin
  - $K_S$  veto removes more  $K_S \phi$  than  $K_S a(980)^0 \Rightarrow$  Calculate effective  $F_+$  for  $K_S KK$  to  $KK\pi\pi$  background

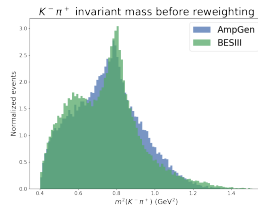
# Naive efficiency correction



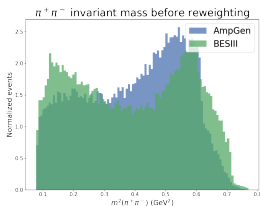
(a)  $m^2(K^+ K^-)$



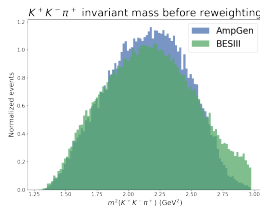
(b)  $m^2(K^+ \pi^-)$



(c)  $m^2(K^- \pi^+)$



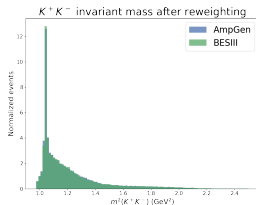
(d)  $m^2(\pi^+ \pi^-)$



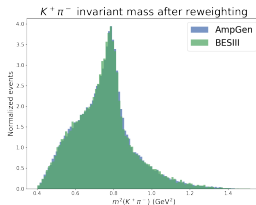
(e)  $m^2(K^+ K^- \pi^+)$

**Figure 20: Before reweighting**

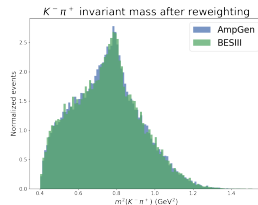
# Naive efficiency correction



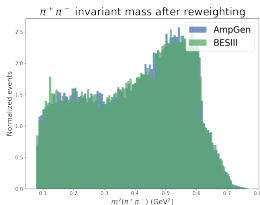
(a)  $m^2(K^+ K^-)$



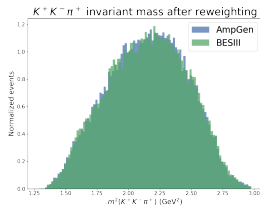
(b)  $m^2(K^+ \pi^-)$



(c)  $m^2(K^- \pi^+)$



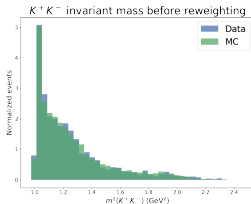
(d)  $m^2(\pi^+ \pi^-)$



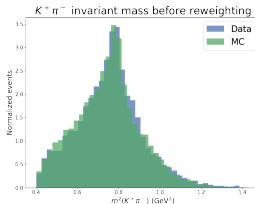
(e)  $m^2(K^+ K^- \pi^+)$

**Figure 21: After reweighting**

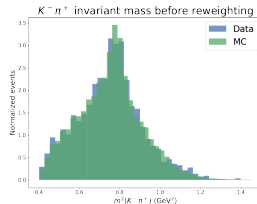
# Does the naive reweighting work?



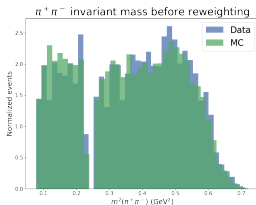
(a)  $m^2(K^+ K^-)$



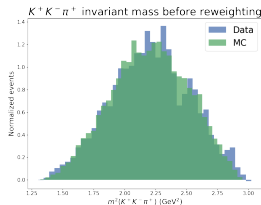
(b)  $m^2(K^+ \pi^-)$



(c)  $m^2(K^- \pi^+)$



(d)  $m^2(\pi^+ \pi^-)$

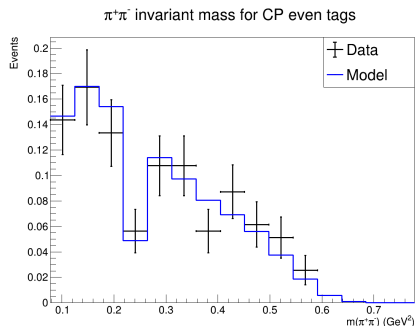


(e)  $m^2(K^+ K^- \pi^+)$

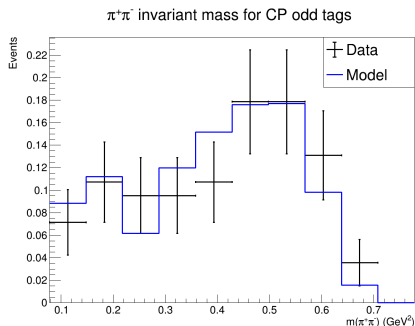
**Figure 22:** Single tag  $D \rightarrow KK\pi\pi$  in data and MC after reweighting

# Agreement between quantum correlated data and model

- Note: LHCb model knows nothing about quantum correlations
- $D^0/\bar{D}^0$  amplitudes simply combined to obtain CP even/odd models
- Important question: Can the model describe quantum correlated double tag data at all? Answer: Yes!



(a) Double tags of  $KK\pi\pi$  vs CP even



(b) Double tags of  $KK\pi\pi$  vs CP odd

**Figure 23:**  $m^2(\pi^+\pi^-)$  in double tags, compared with CP even/odd LHCb models



Tag-specific systematic uncertainties, in units of  $10^{-2}$

Source	CP tags	$K_{S,L}\pi\pi$ tags
Efficiency	0.1	0.4
External inputs	0.3	0.8
Peaking backgrounds	0.2	0.3
$K_L^0\pi^0$ ST yield	2.1	N/A
Efficiency factorisation	0.6	N/A
Total	2.2	0.9

Common systematic uncertainties, in units of  $10^{-2}$

Source	Common systematic
Efficiency reweighting	1.5
$K_S^0$ veto	0.8