

Pablo Martínez Agulló  
PhD thesis defence  
March 11, 2024

### Supervisors

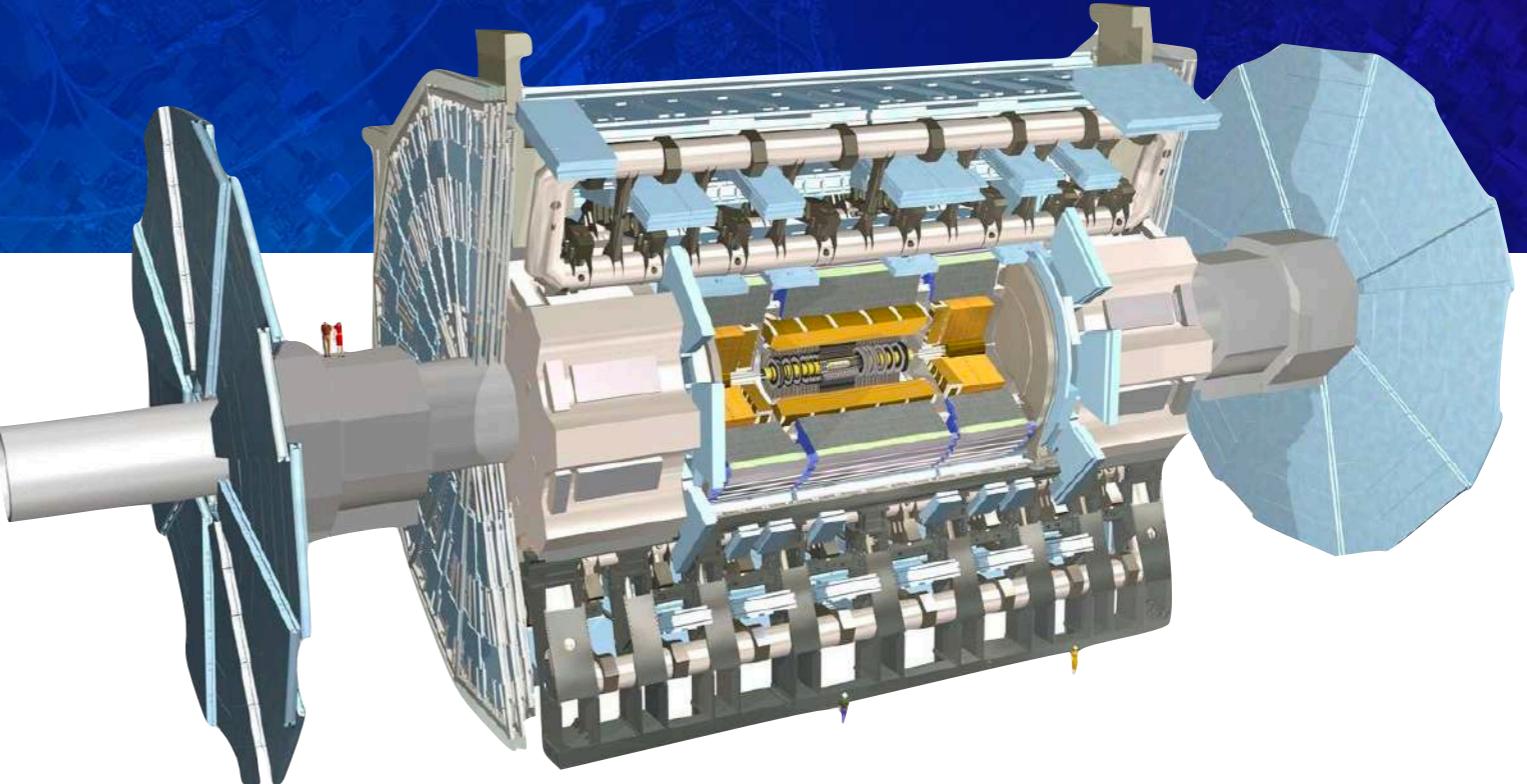
Carlos Escobar Ibáñez  
Susana Cabrera Urbán

# Search for the Higgs boson produced in association with a top quark using $\tau$ leptons with ATLAS

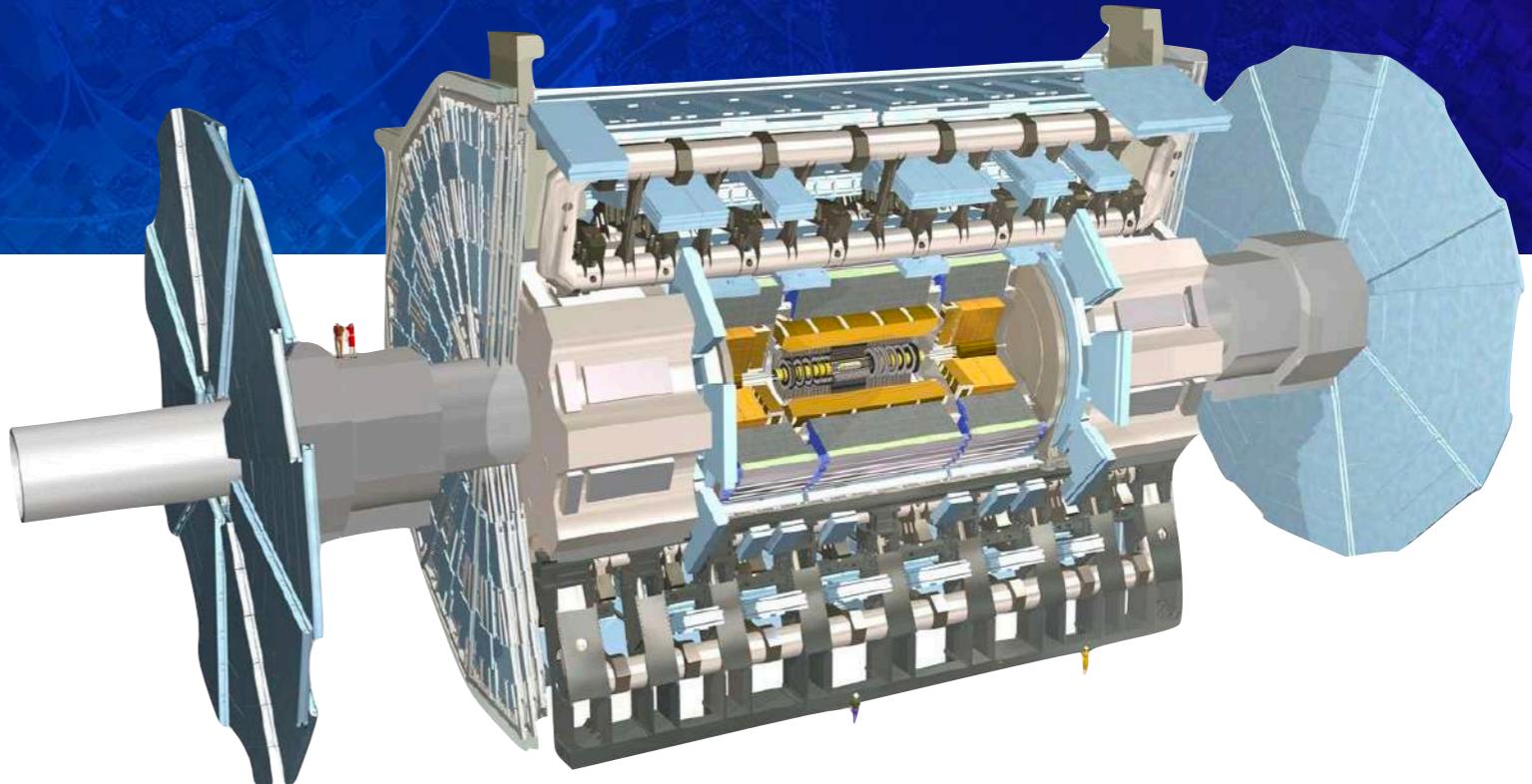


# Outline

1. Introduction
2. Experimental setup
3. Analysis & results
4. Summary & conclusions



# Outline



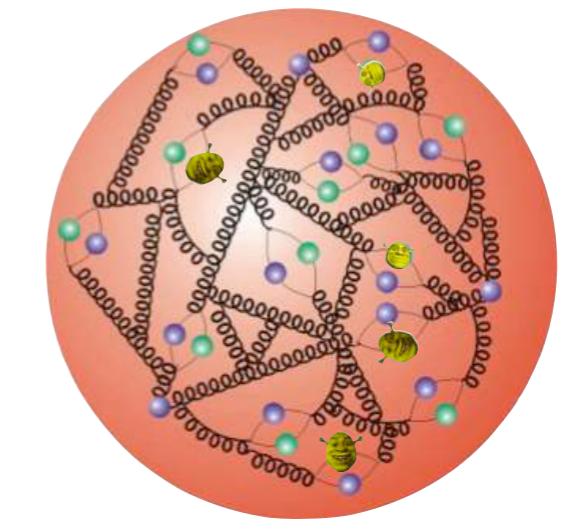
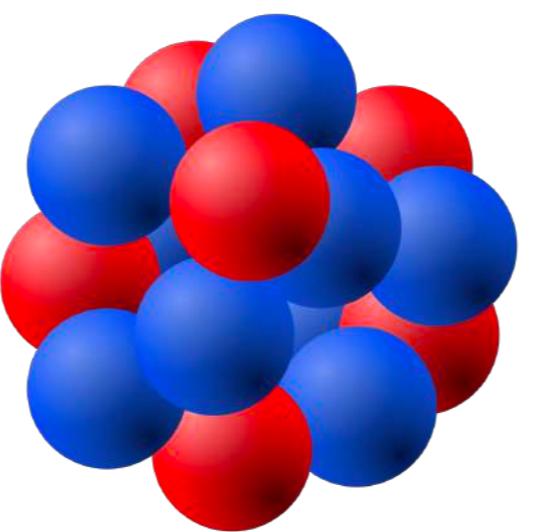
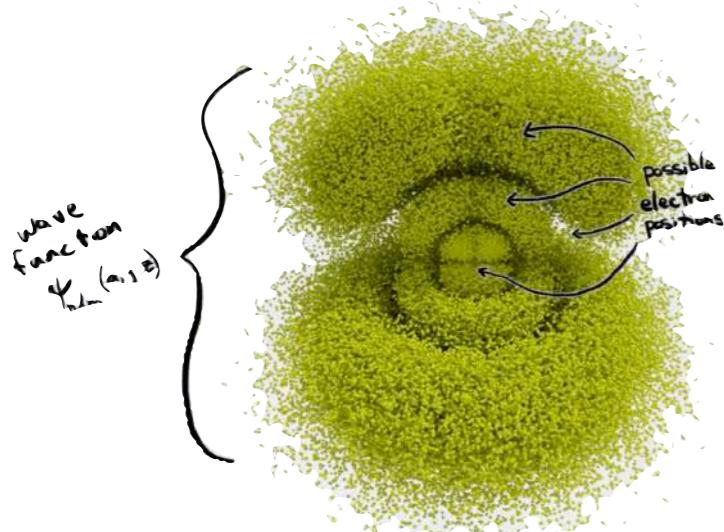
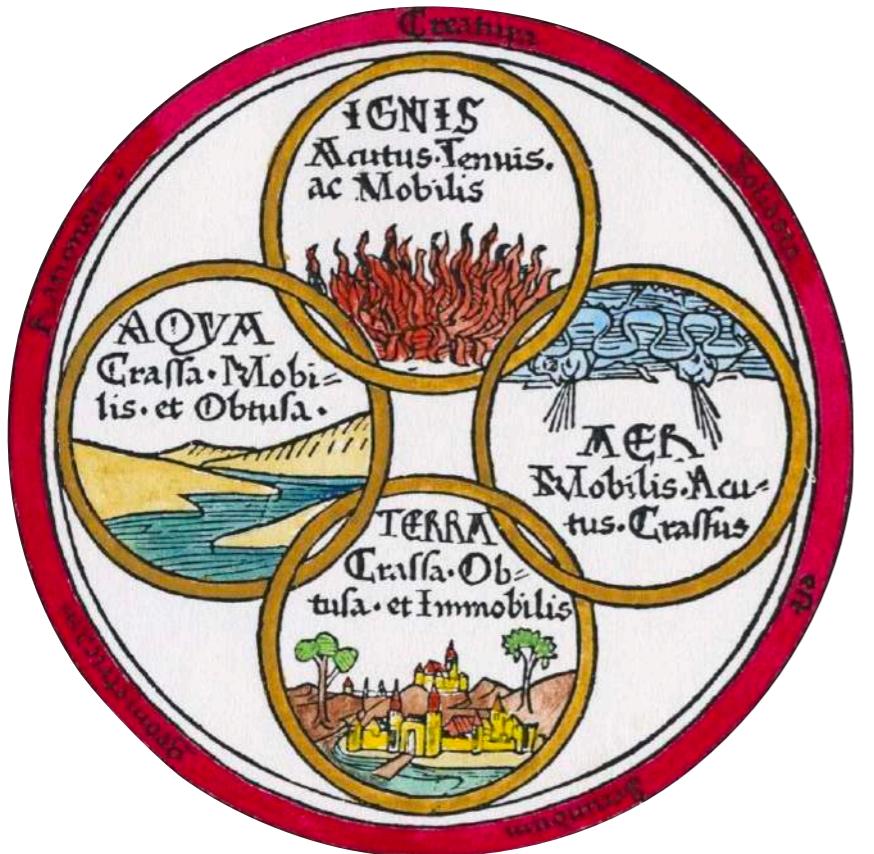
1. Introduction

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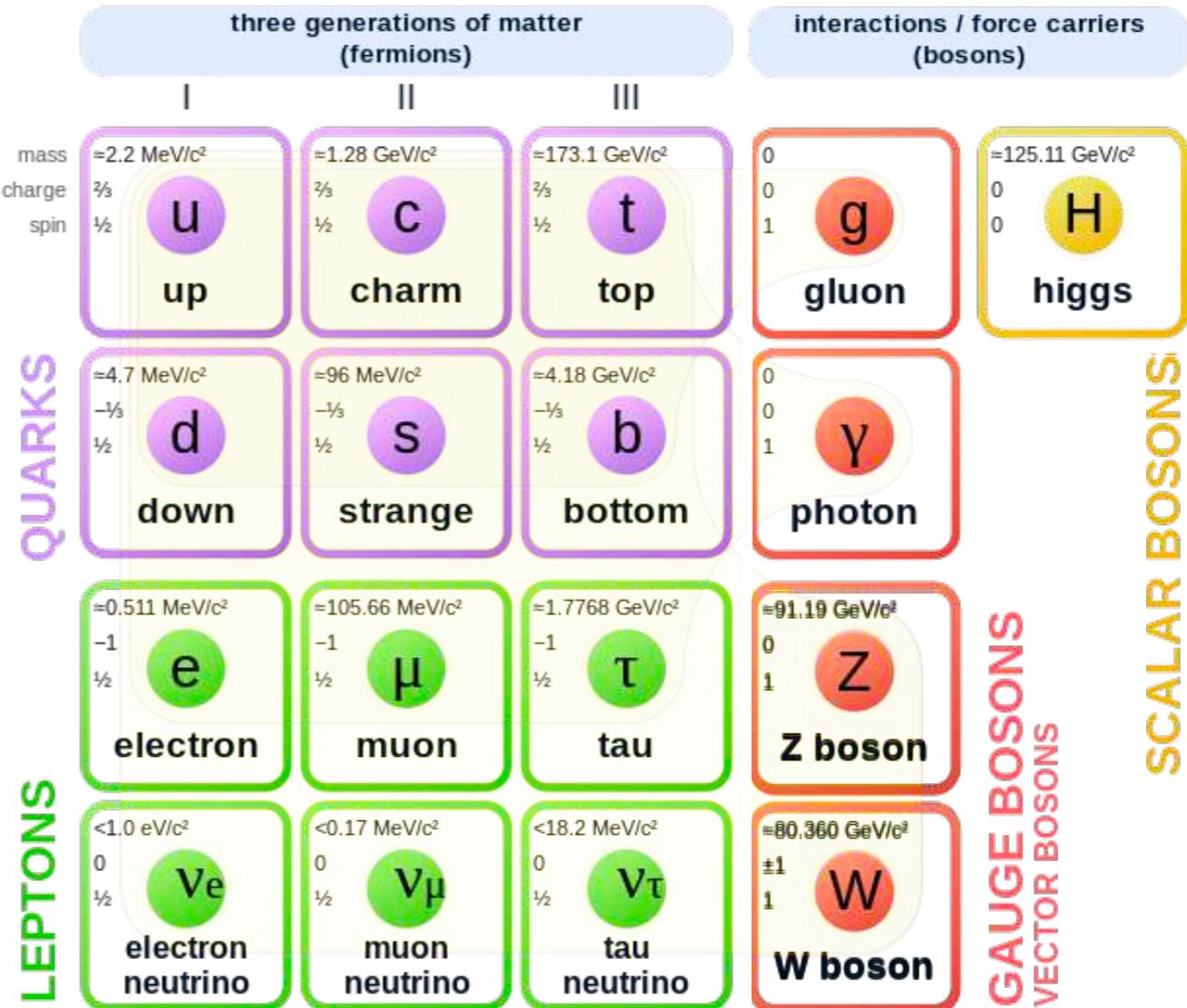
# The pursue of knowledge



# The Standard Model

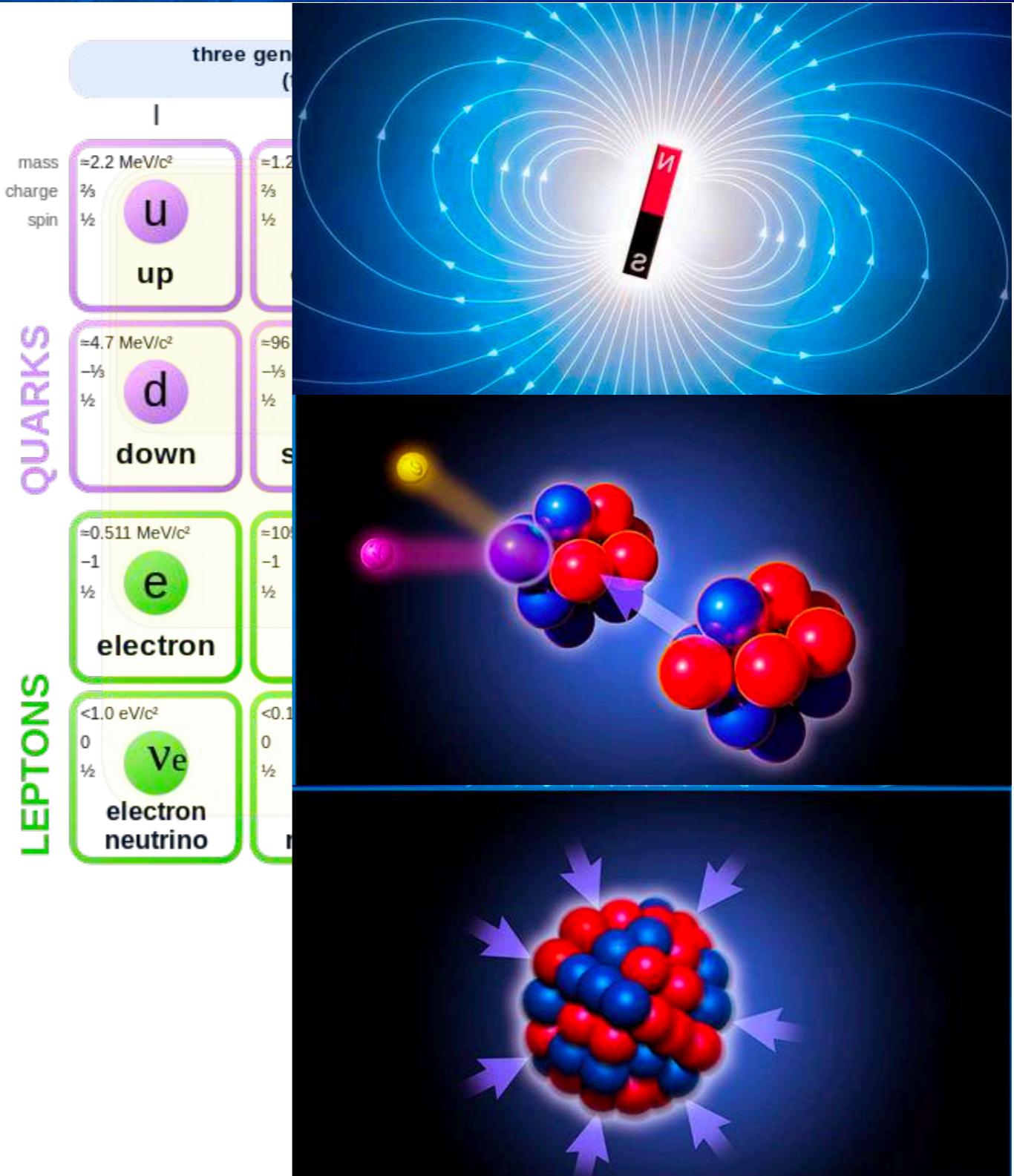
- Fundamental particles:

- Fermions <-- Matter
- Gauge bosons <-- Force carriers
- Higgs boson <-- Mass provider

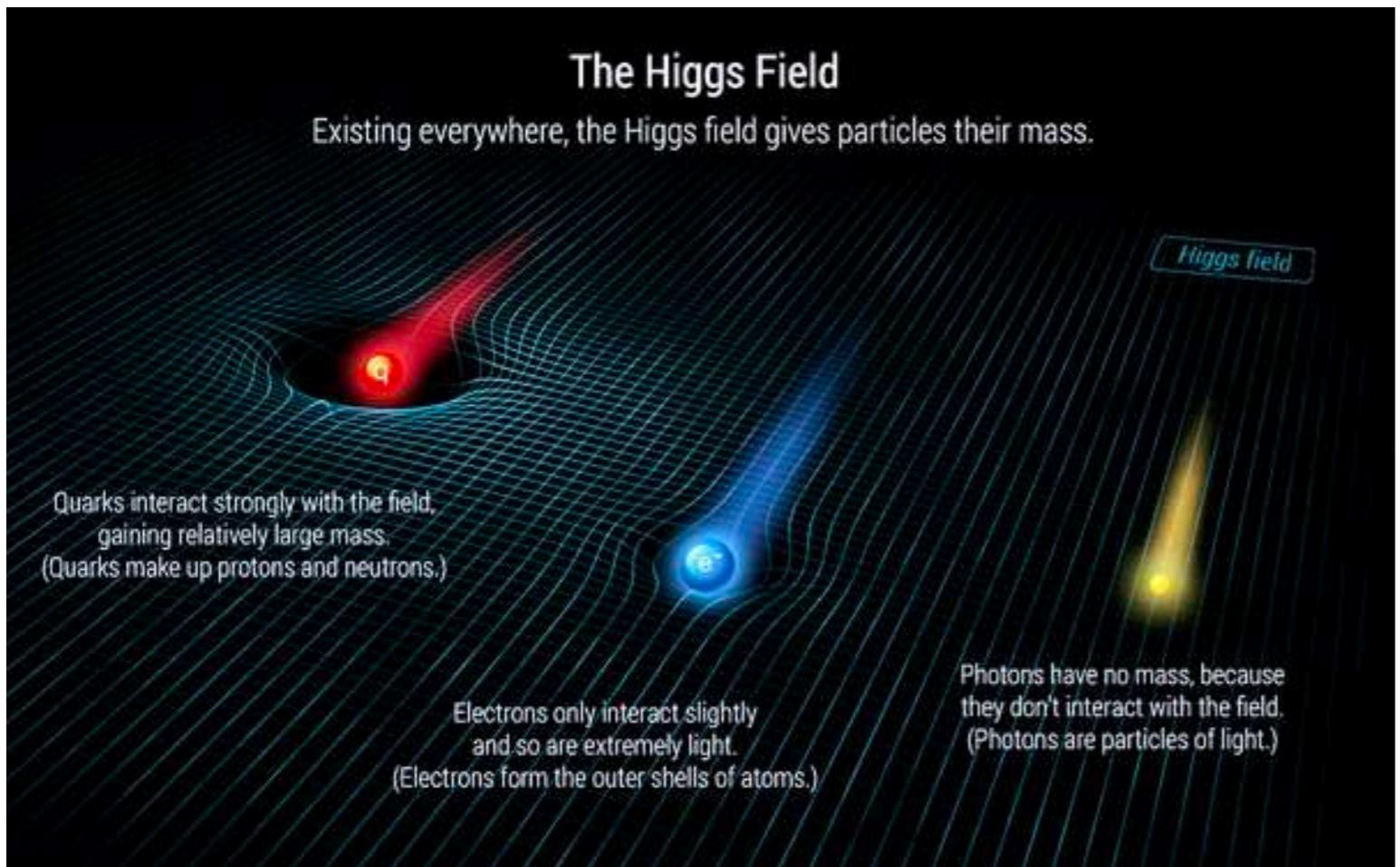


# The Standard Model

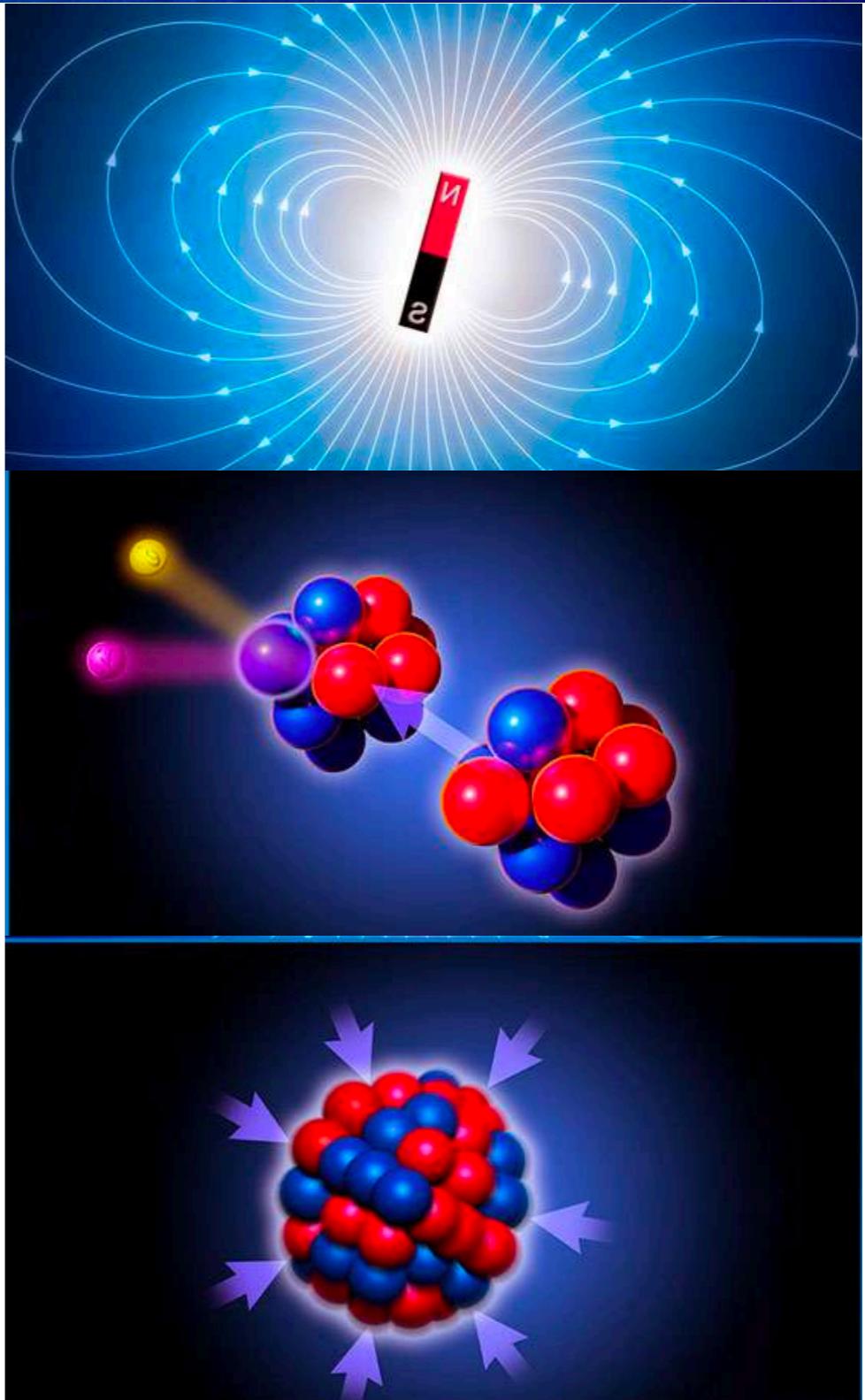
- Fundamental particles:
  - Fermions  $\leftarrow$  Matter
  - Gauge bosons  $\leftarrow$  Force carriers
  - Higgs boson  $\leftarrow$  Mass provider
- Describes all interactions except gravity
  - Electromagnetic  $\leftarrow \gamma$
  - Weak  $\leftarrow Z, W^\pm$
  - Strong  $\leftarrow g$



# The Standard Model



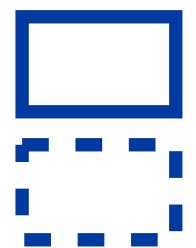
- Mass:
  - Higgs field



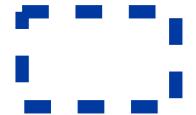
# Open question in the SM

Standard  
Model

# Open question in the SM



Phenomena not explained



Theoretical problems

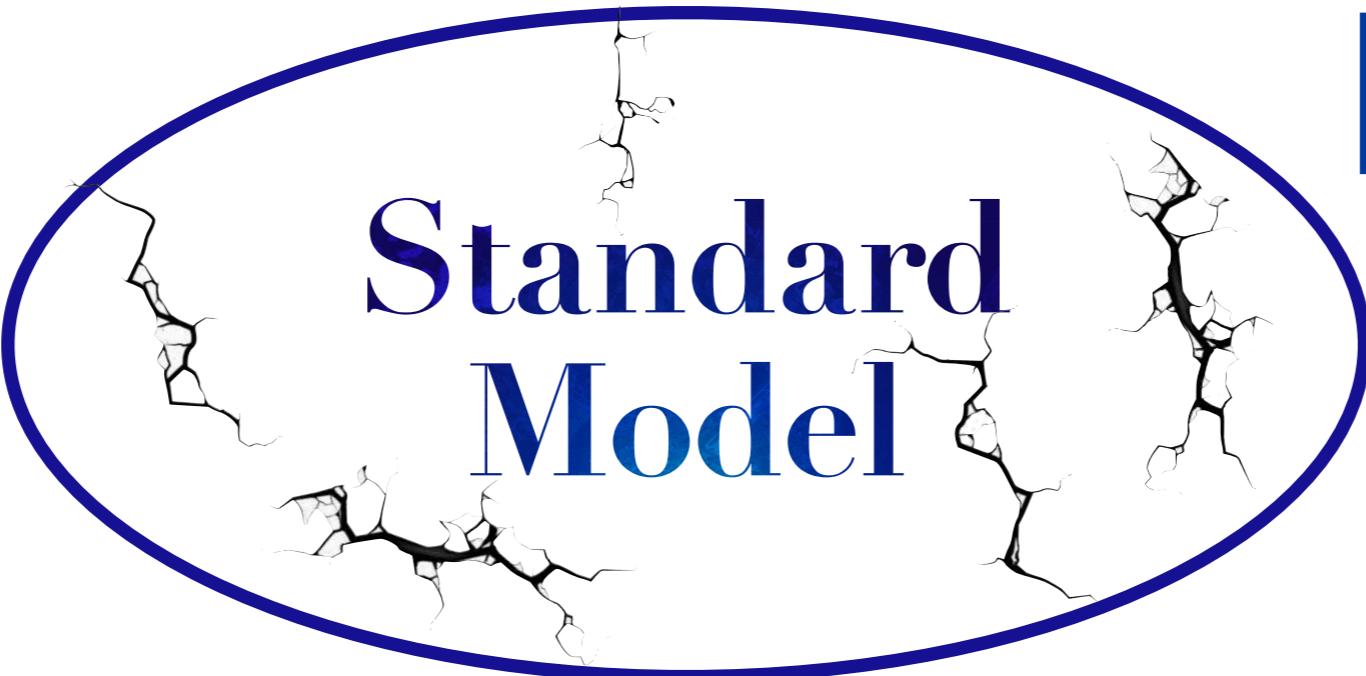
Neutrino masses  
and oscillations

Dark matter

Dark energy

Gravity

Standard  
Model



Matter-antimatter  
asymmetry

(CP violation)

Flavour puzzle

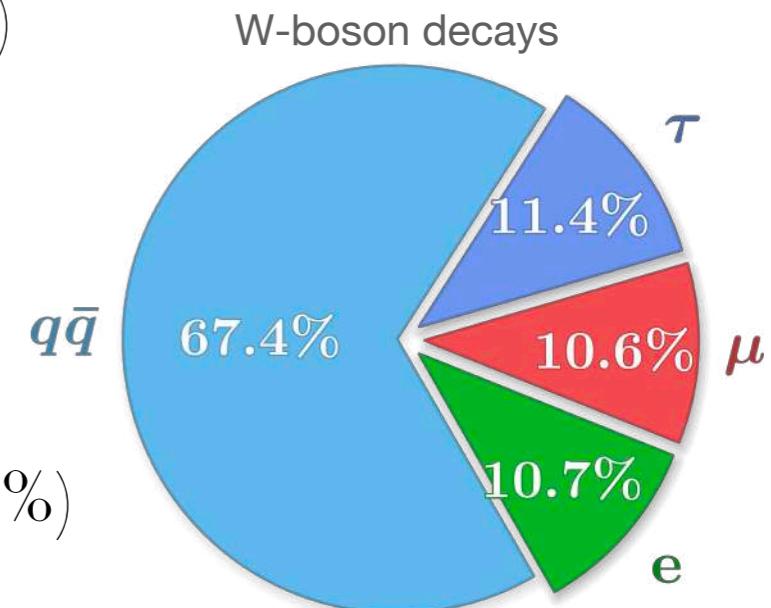
Hierarchy problem

Strong CP problem

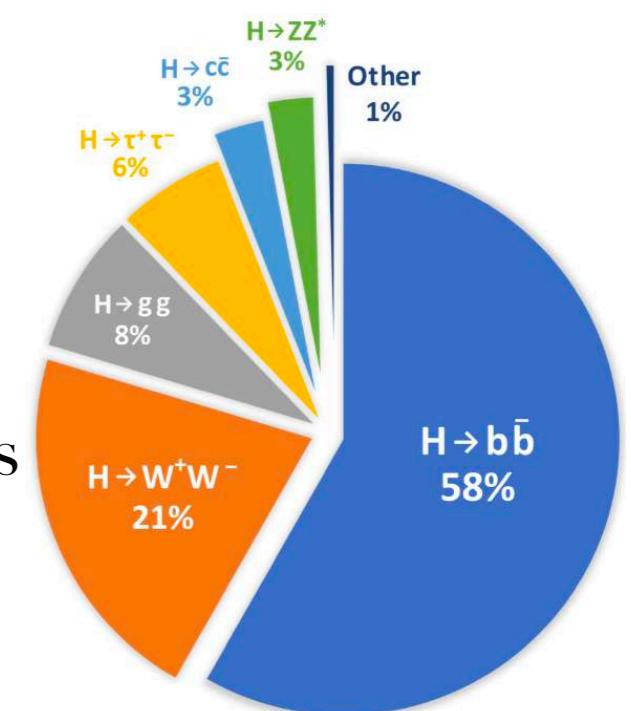
# Top quark and Higgs boson



- Discovered in 1995 at Tevatron (Fermilab)
- Heaviest known elementary particle
- Very short lifetime  $\tau_t = 5 \times 10^{-25} \text{ s}$ 
  - Decays before hadronising
  - Decays to  $W$  boson and a  $b$  quark (99%)
- Largest Yukawa coupling to Higgs boson



- Discovered in 2012 at LHC (CERN)
- Higgs field, SSB
- The mass elementary particles: Uniquely determined by its **coupling strength** to Higgs
- Short lifetime  $\tau_H = 1.6 \times 10^{-22} \text{ s}$



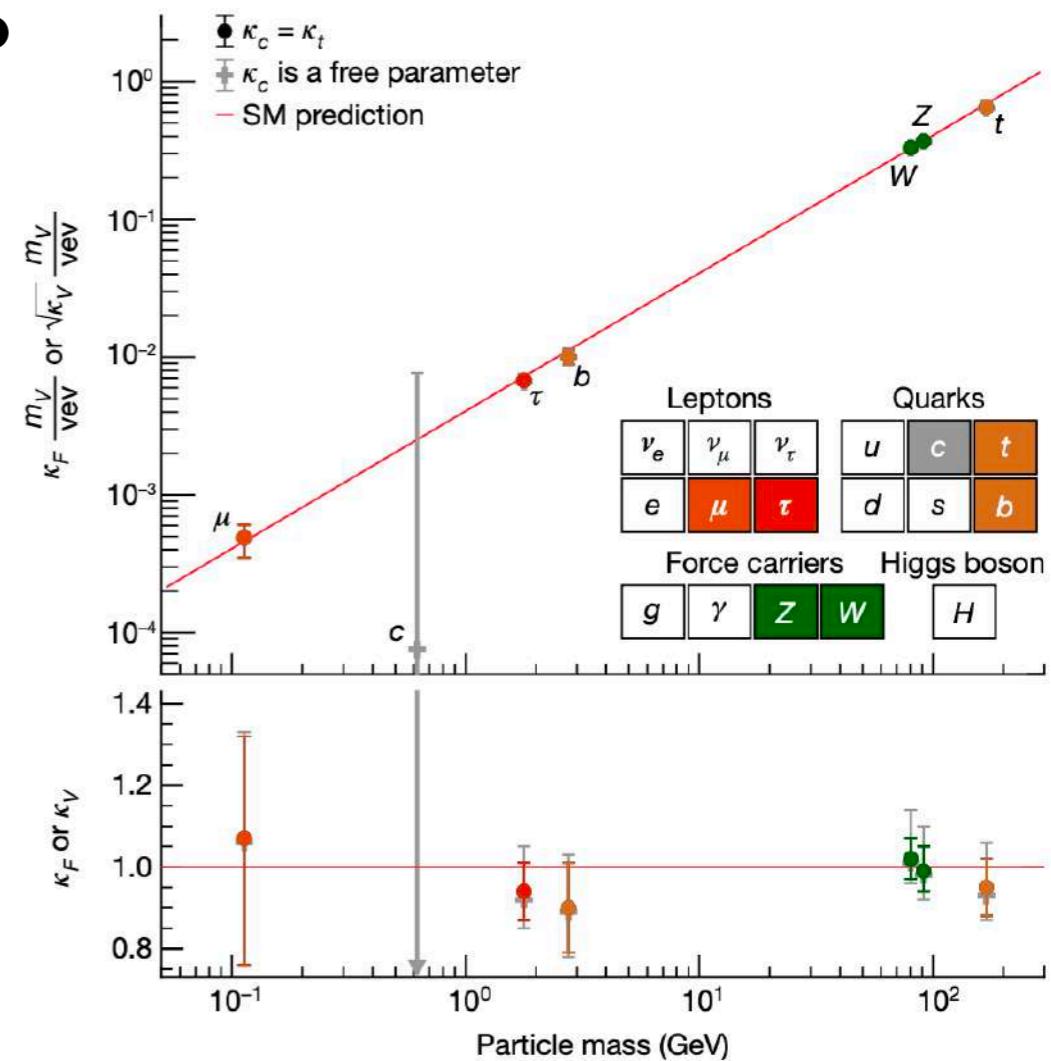
# Top-Higgs interplay

- The mass of elementary particles: Determined by its **coupling strength to Higgs**

- Particular interest: The **Yukawa coupling to top quarks** ( $y_t \simeq 1$ )

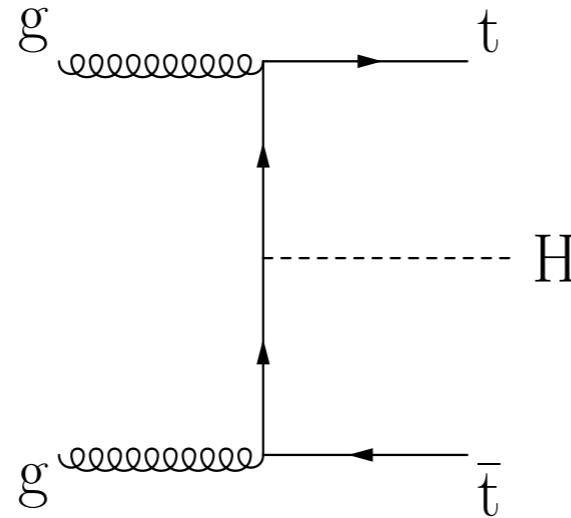
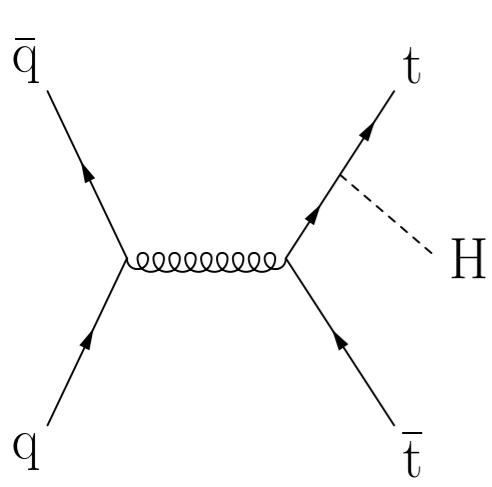
$$y_t = \frac{\sqrt{2}m_t}{v} \simeq 1$$

- Measurements of  $y_t$  via Higgs production with:
  - A pair of top quarks ( $t\bar{t}H$ )  
 $\sigma(t\bar{t}) \simeq 500$  fb  
 Sensitive to the **magnitude** of  $y_t$
  - A single top quark ( $tH$ )  
 $\sigma(tHq) \simeq 74$  fb  
 Sensitive to  $y_t$  **magnitude and sign**



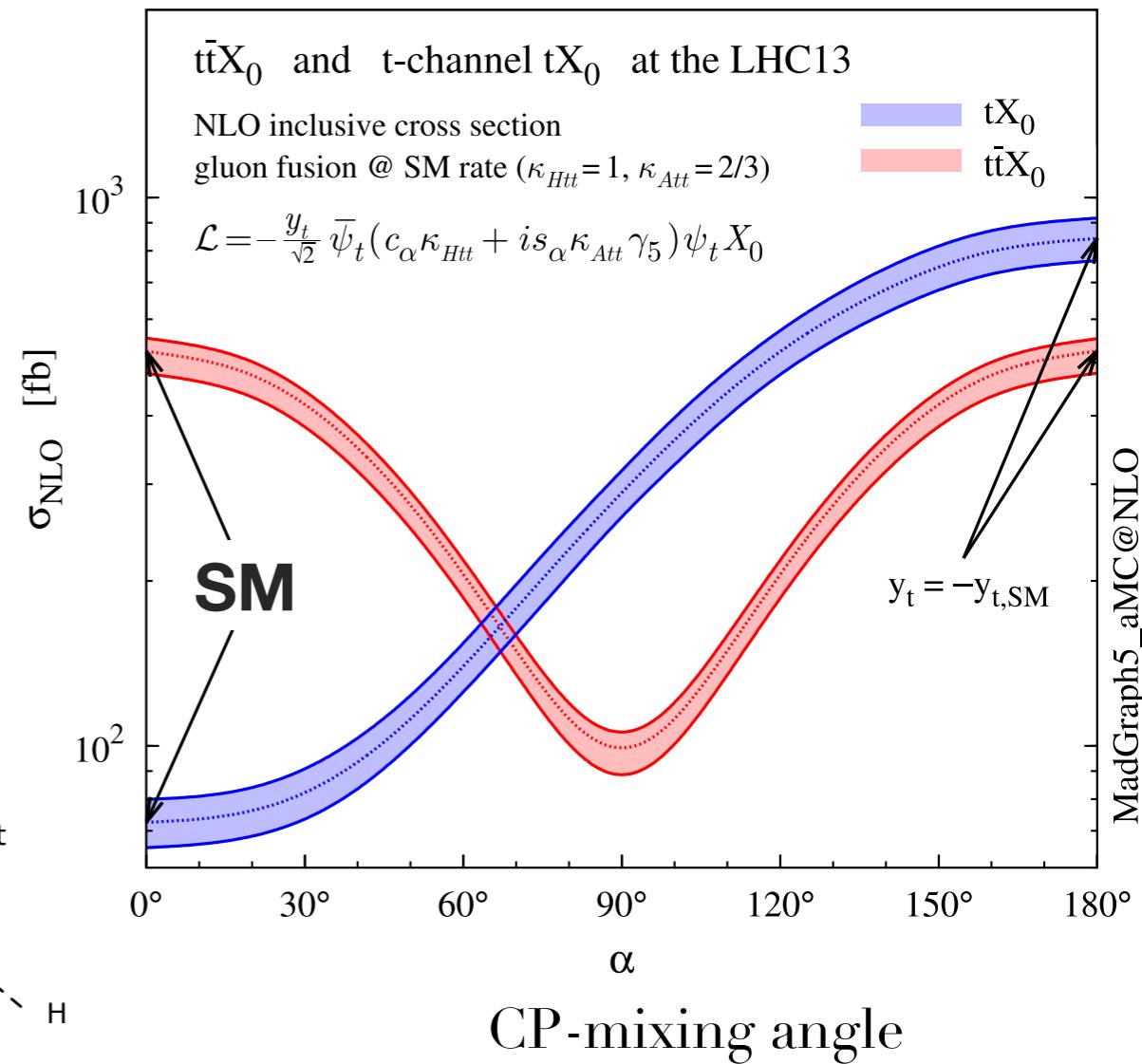
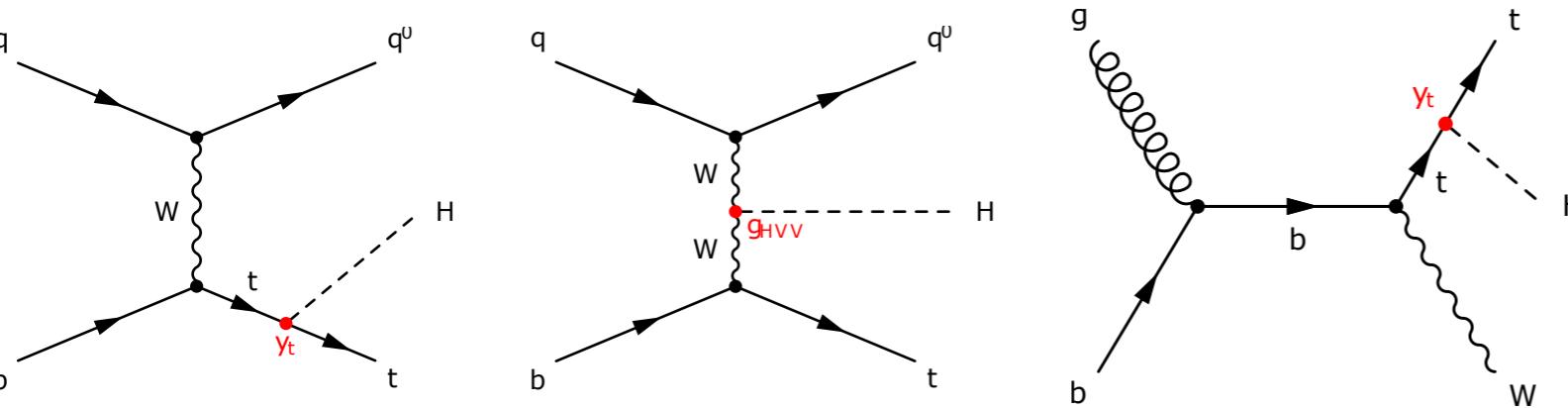
# Measuring the Yukawa coupling $y_t$

$$\sigma(t\bar{t}H) \propto |y_t|^2 \kappa^2 (A \cos^2 \alpha + B \sin^2 \alpha)$$



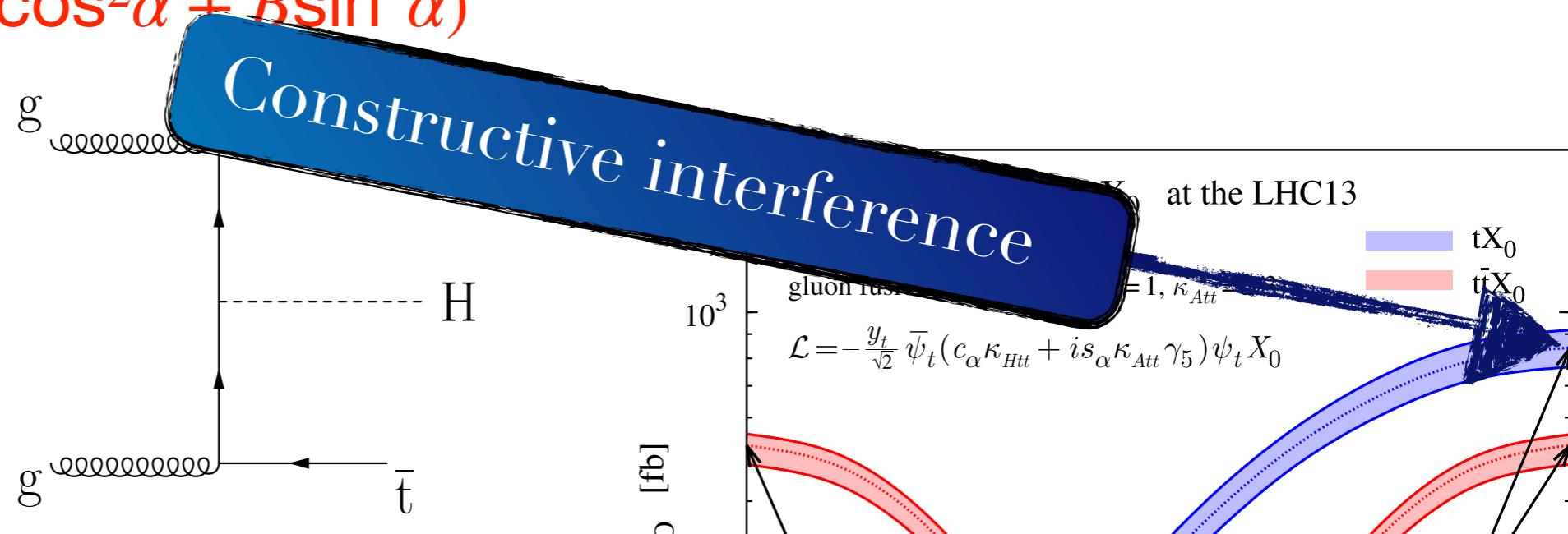
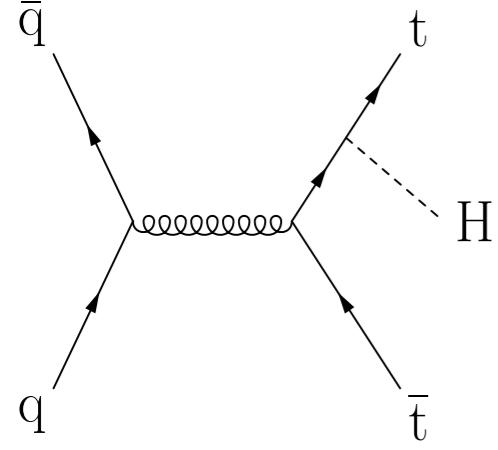
$$\sigma(tH) \propto |y_t|^2 \kappa^2 (A \cos^2 \alpha + B \sin^2 \alpha) +$$

$C y_t \kappa^2 \cos^2 \alpha + D y_t \kappa^2 \sin^2 \alpha + E \dots$



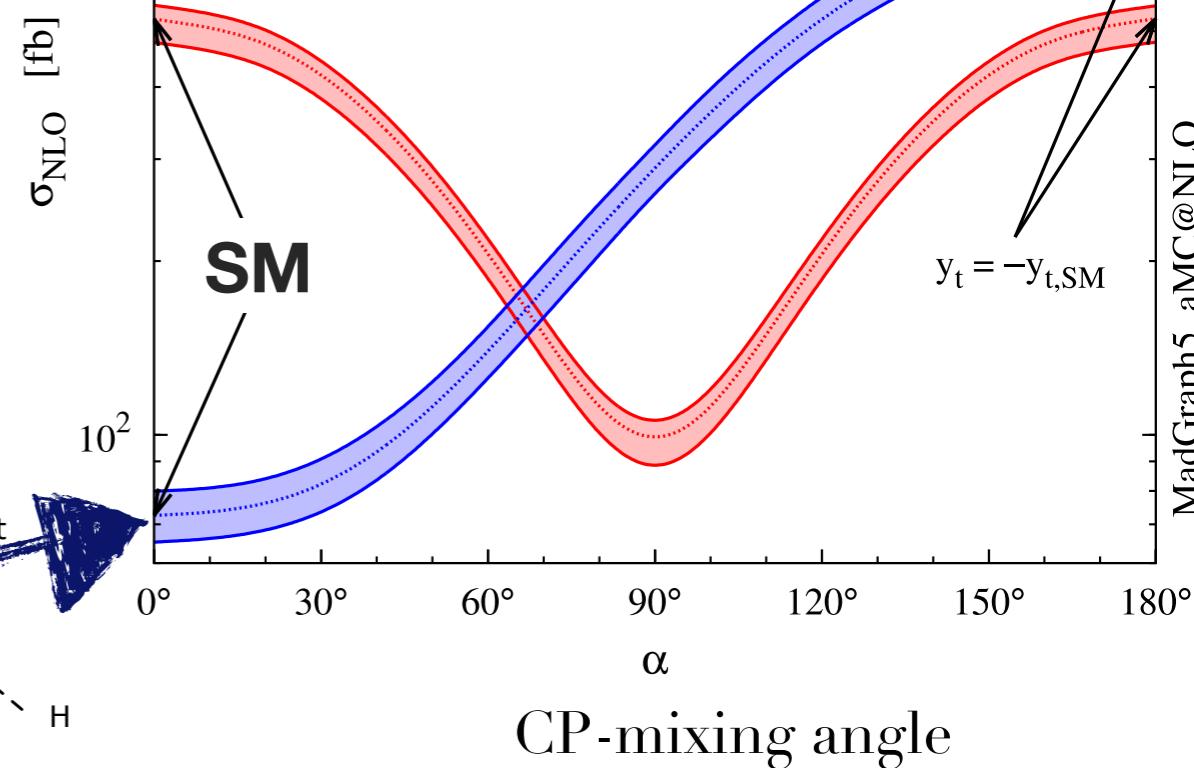
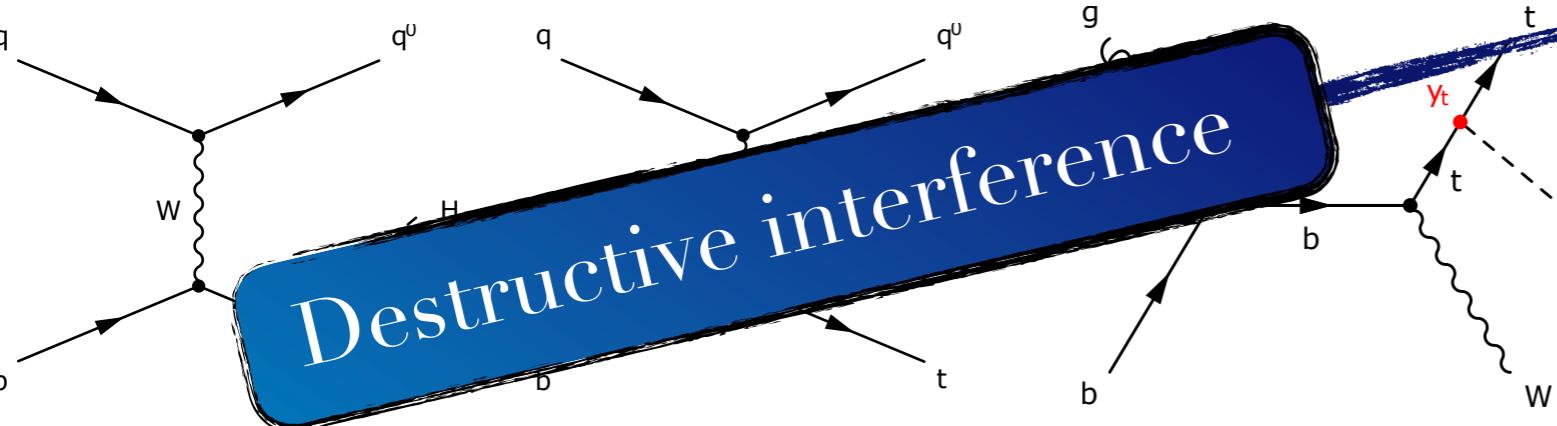
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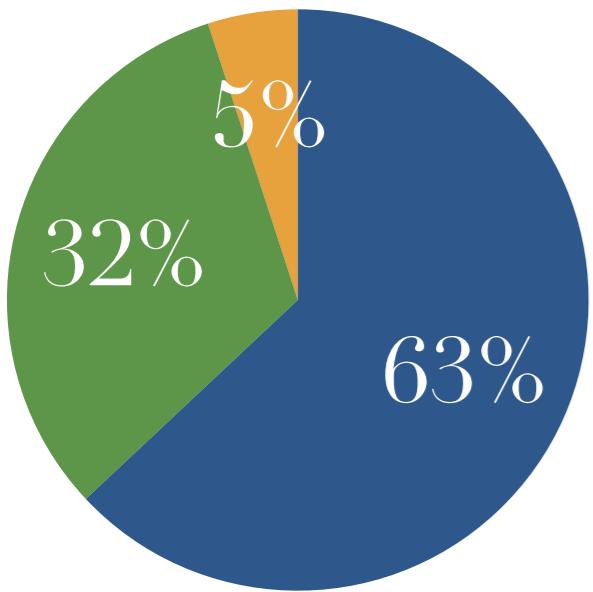
# Previous results

- Current experimental constraints favour the SM predictions but an opposite sign with respect to the expectations has not been excluded yet

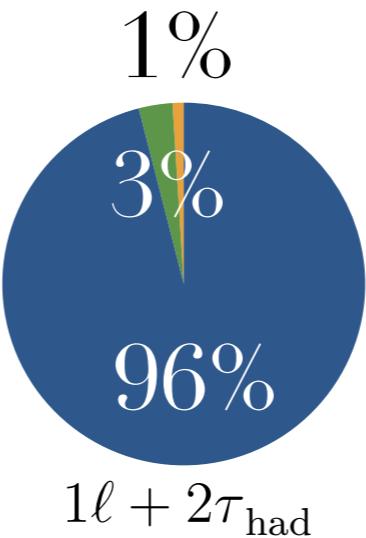
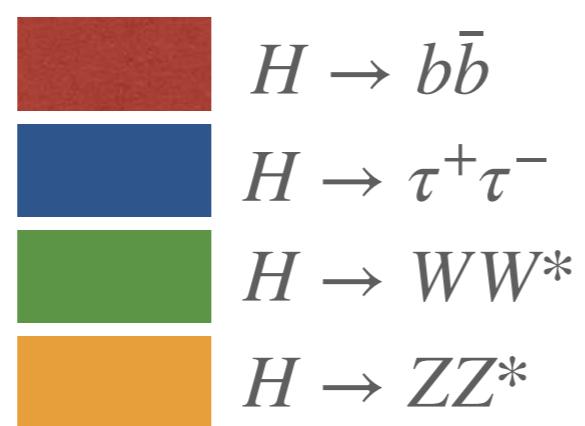
Analysis	Luminosity ( $\text{fb}^{-1}$ )	Collaboration	$\mu_{tH}$
$tH$ (2018)	35.9	CMS	limit $\sim 25$ (obs.) 12(exp.)
$t\bar{t}H/tH$ multilepton (2019)	137	CMS	$5.7^{+2.8}_{-2.7}$ (stat.) $\pm 3.0$ (syst.)
$t\bar{t}H/tH$ , $H \rightarrow \gamma\gamma$ (2020)	139	ATLAS	$0.85^{+3.13}_{-2.21}$ (stat.) $^{+0.97}_{-0.98}$ (syst.)
$t\bar{t}H/tH$ (2023)	138	CMS	limit <sub>95CL</sub> = 14.6(obs.) $19.3^{+9.2}_{-6.0}$ (exp.)

# Search channels

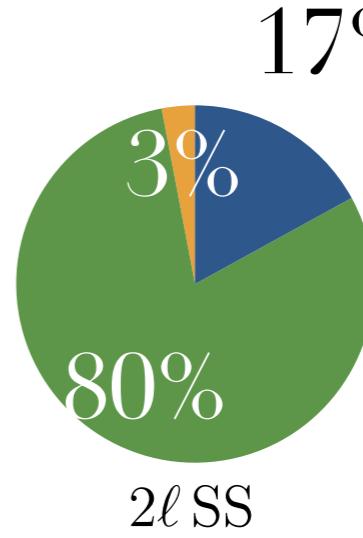
	0τ	1τ	2τ
1L	1ℓ		1ℓ + 2τ <sub>had</sub>
2L	2ℓ SS	2ℓ + 1τ <sub>had</sub>	
3L	3ℓ		



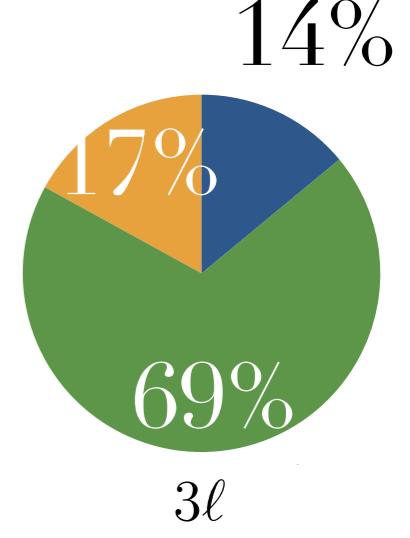
$2\ell + 1\tau_{\text{had}}$



1%  
3%  
96%  
 $1\ell + 2\tau_{\text{had}}$



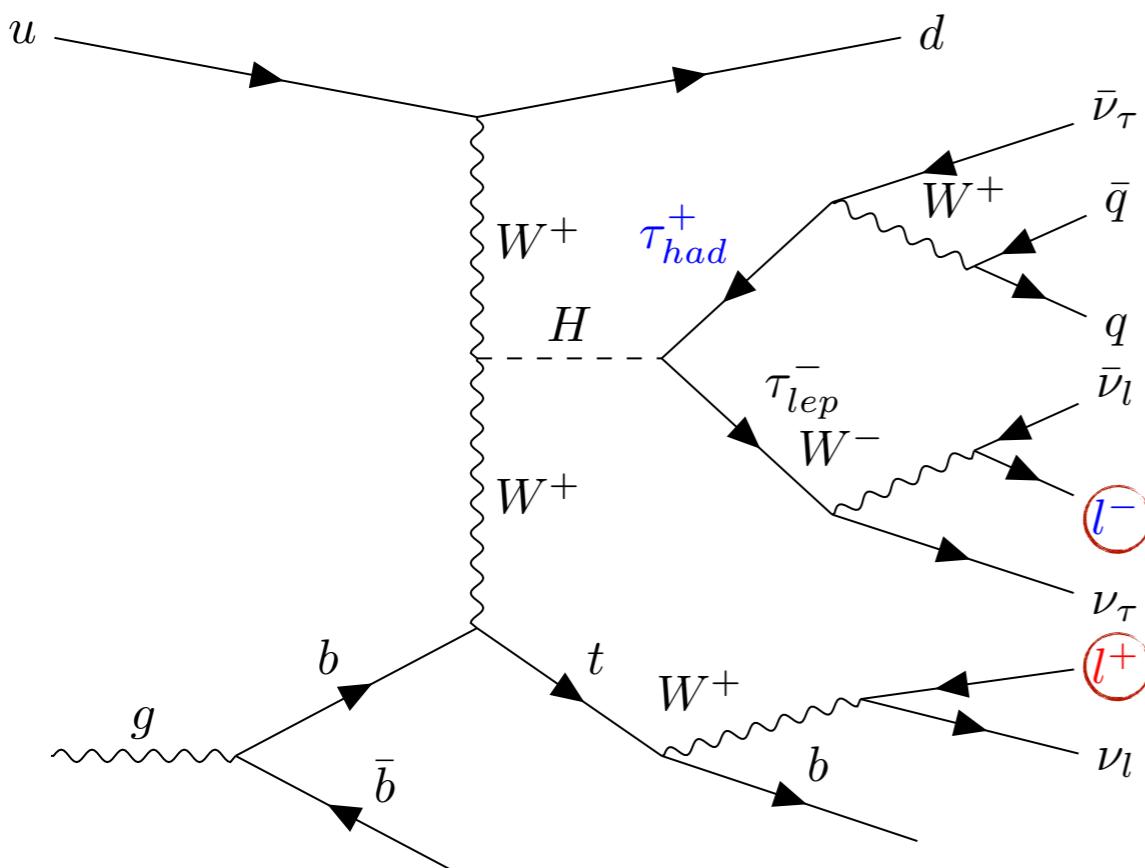
17%  
3%  
80%  
 $2\ell \text{ SS}$



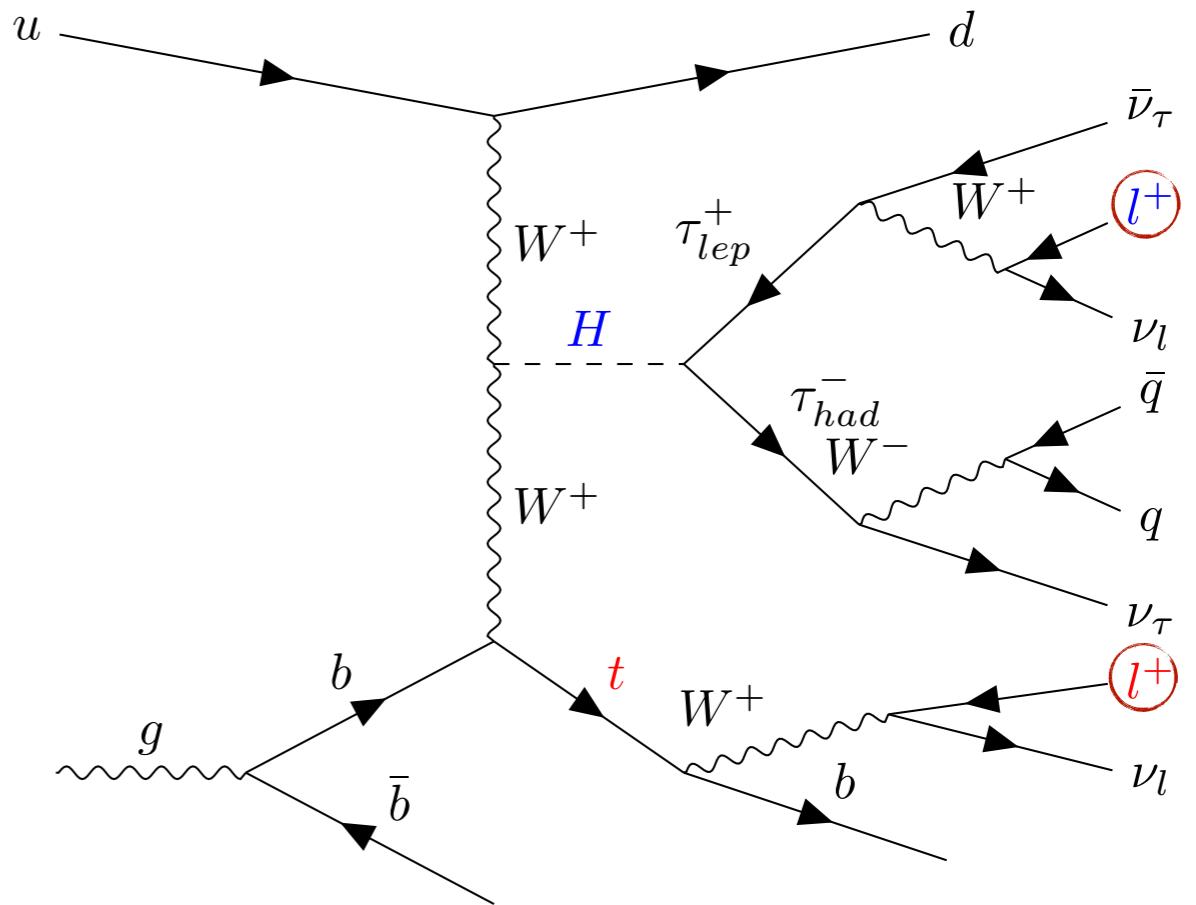
14%  
17%  
69%  
 $3\ell$

# $2\ell + 1\tau_{had}$ channel

- Signal divided due to different background contributions

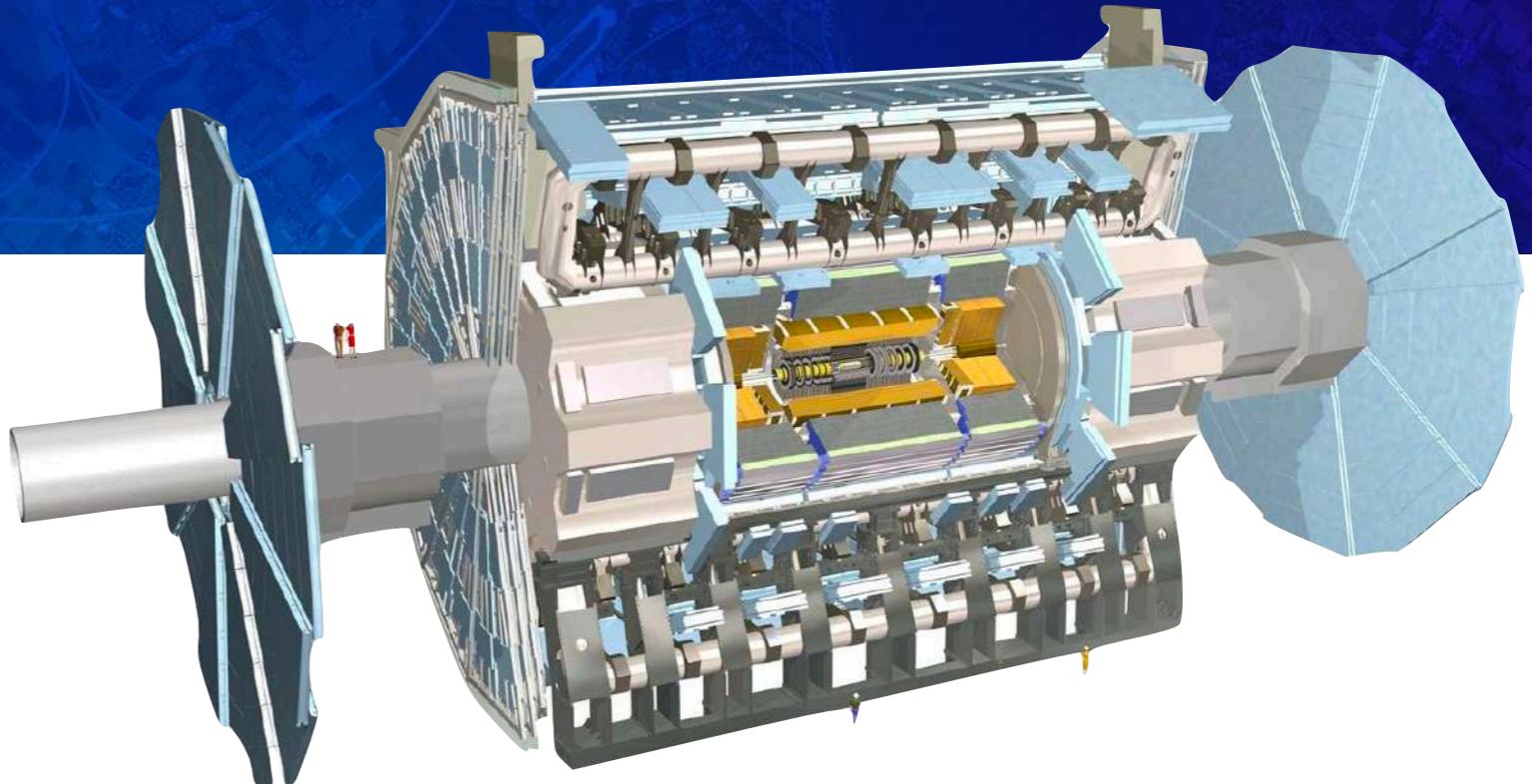


$2\ell OS + \tau_{had}$



$2\ell SS + \tau_{had}$

# Outline



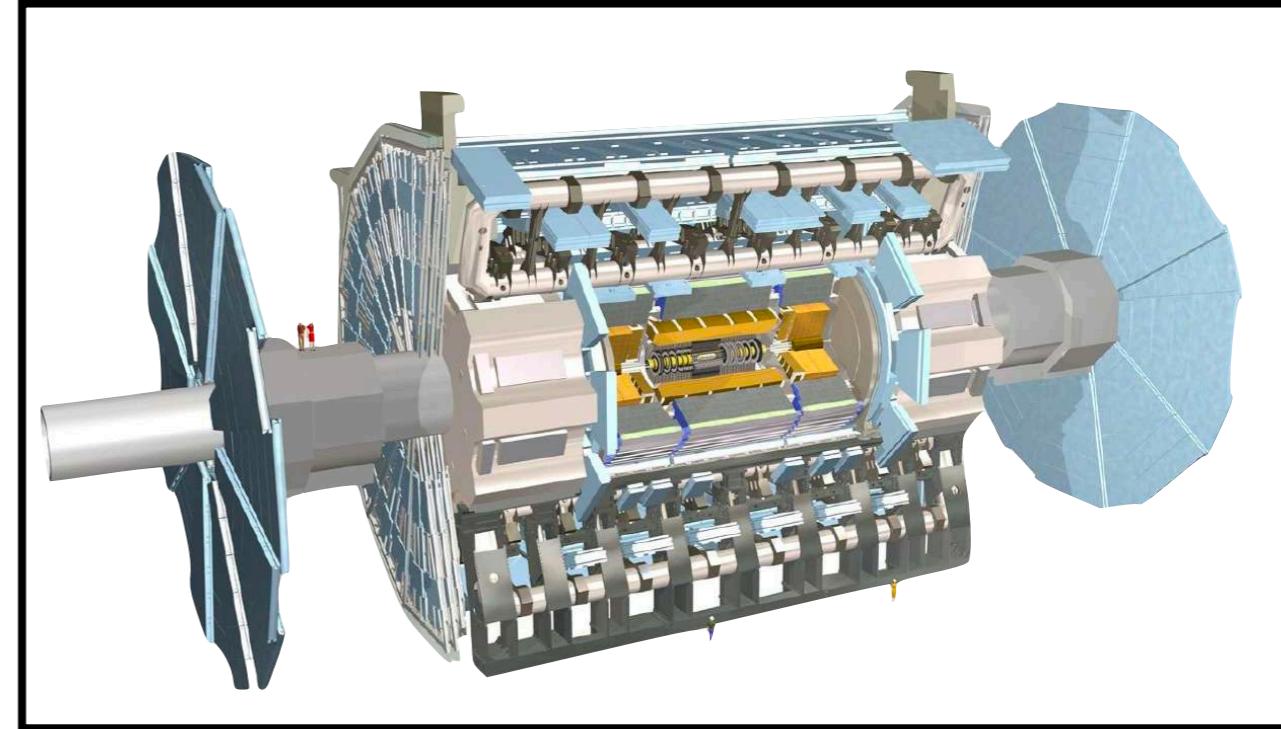
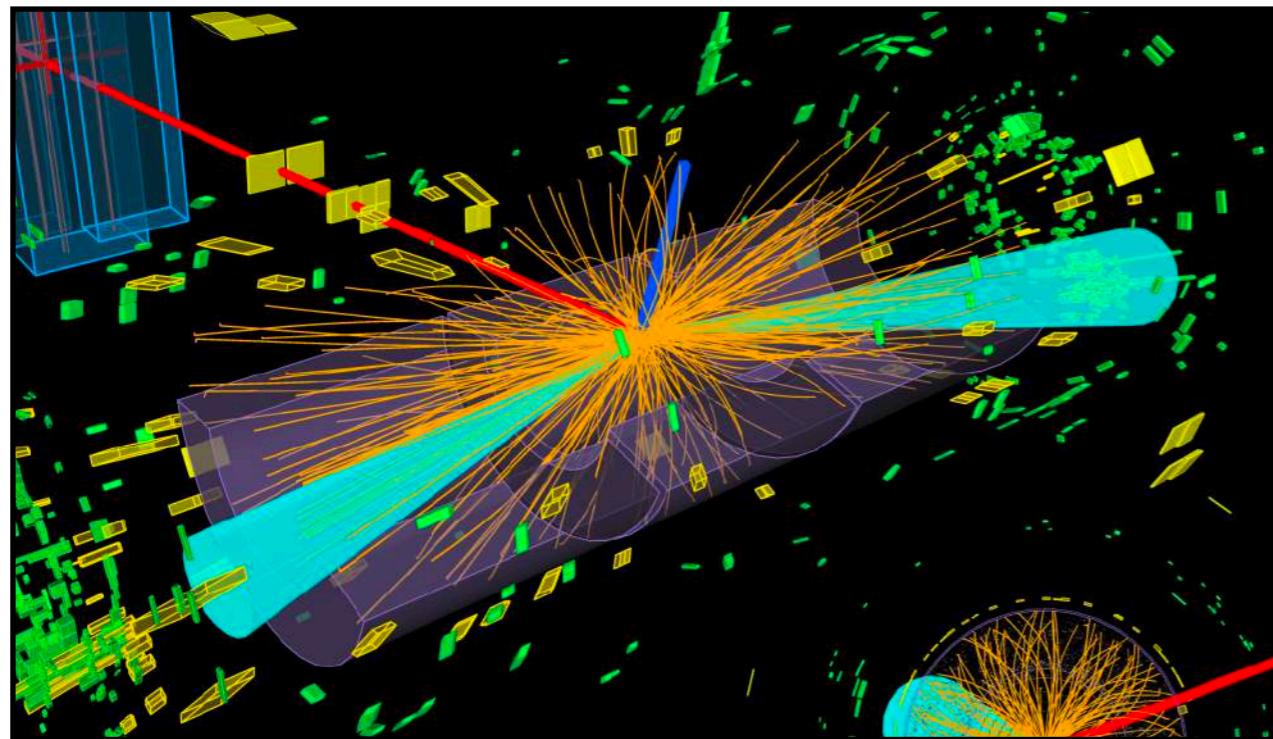
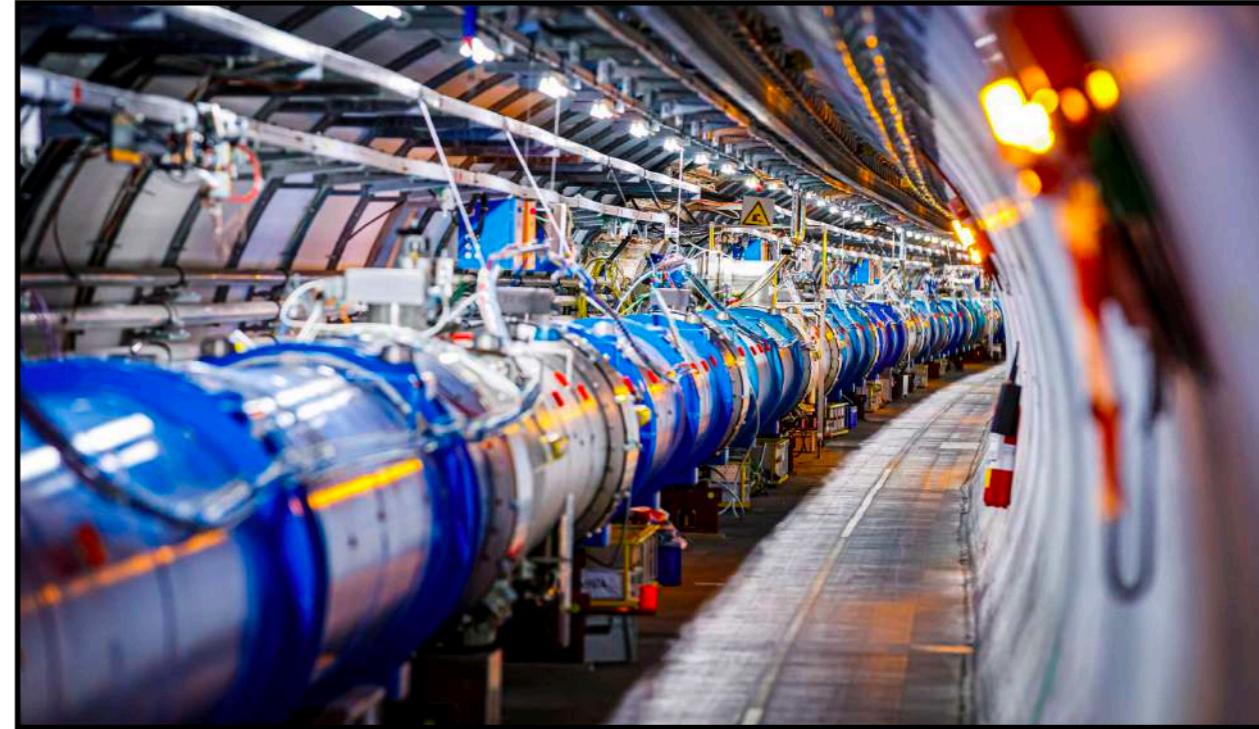
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# Experimental setup



# The Large Hadron Collider

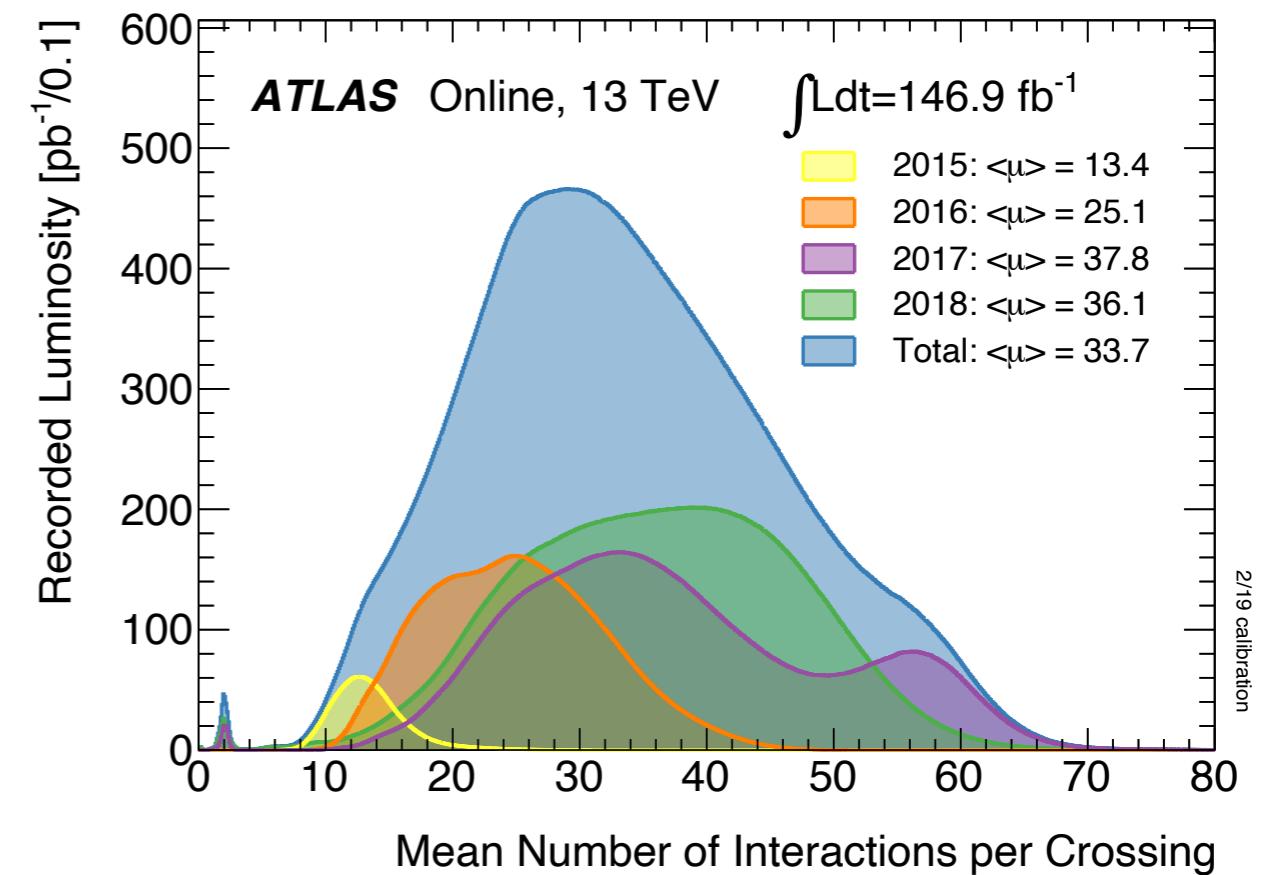
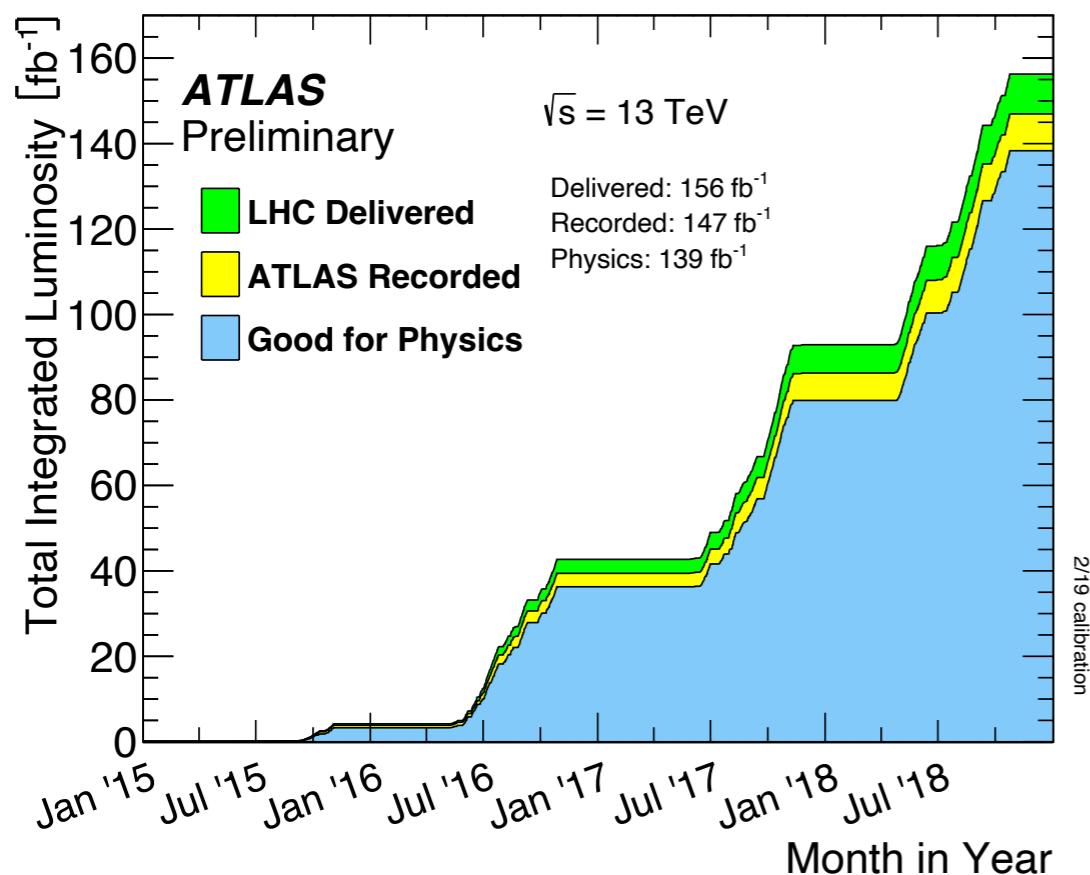
The LHC is the most powerful particle accelerator in the world: Up to 13.6 TeV

- Located at CERN, 100 m underground
- 27 km collider where two beams go in opposite directions
- Top quark factory



# Proton-proton collisions at LHC

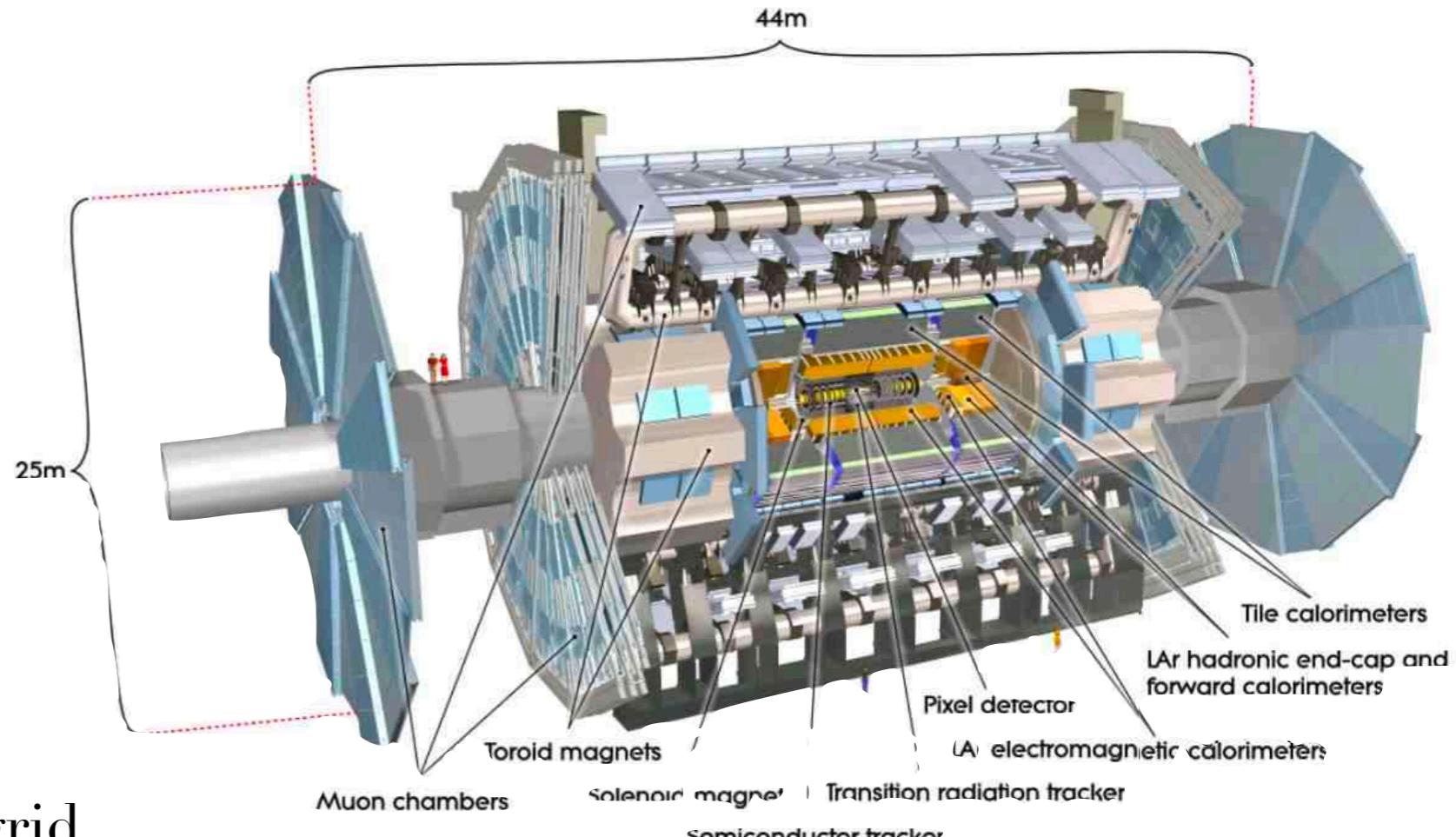
- During Run 2 (2015-2018) ATLAS recorded  $140 \text{ fb}^{-1}$  of  $pp$  collisions
  - This thesis uses the data collected by ATLAS during this period
  - Large pile-up :: 33.7



# The ATLAS detector

## A Toroidal LHC ApparatuS (ATLAS)

- Forward/backward-symmetric cylindrical detector covering almost the entire solid angle.
- Largest detector located at LHC: 44m length, 25m diameter and 7000 Tones
- Subdetectors:
  - Tracker
  - Calorimeters
  - Muon spectrometer
- Magnetic system
- Two level trigger system
  - 40 MHz  $\rightarrow$  1 kHz
- Worldwide LHC computing grid



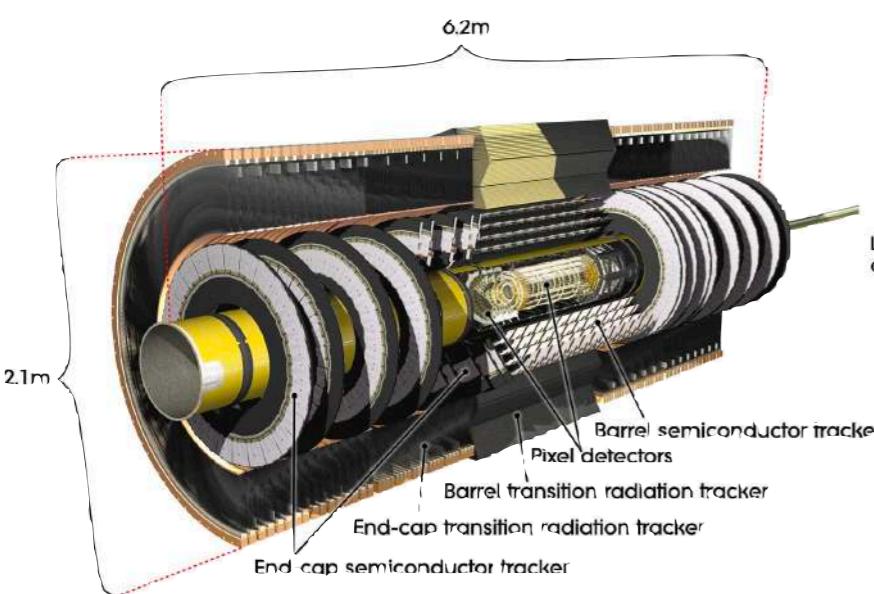
# The ATLAS detector

## Inner Detector (ID):

Reconstructs the tracks of the charged particles. Closest detector to the collision point. 2T axial magnetic field

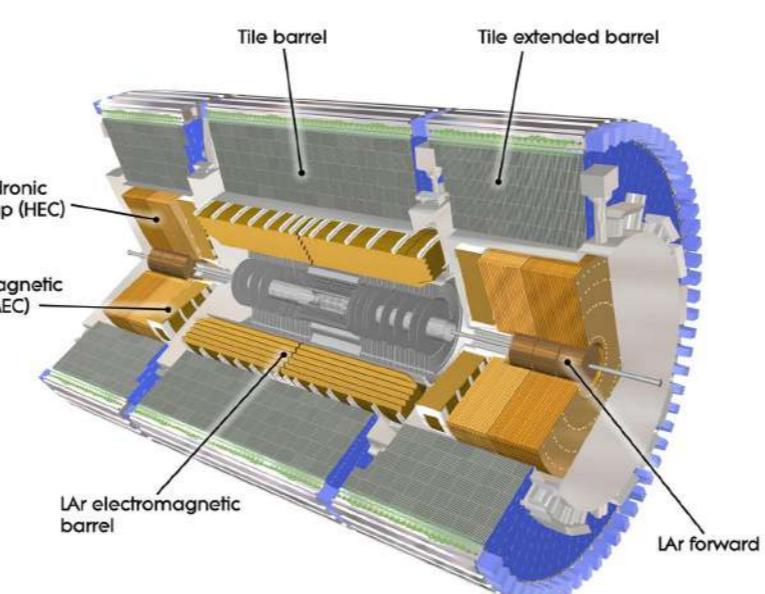
Made of:

- IBL+Pixel
- SCT
- TRT



## Calorimeters: Measures the energy of particles.

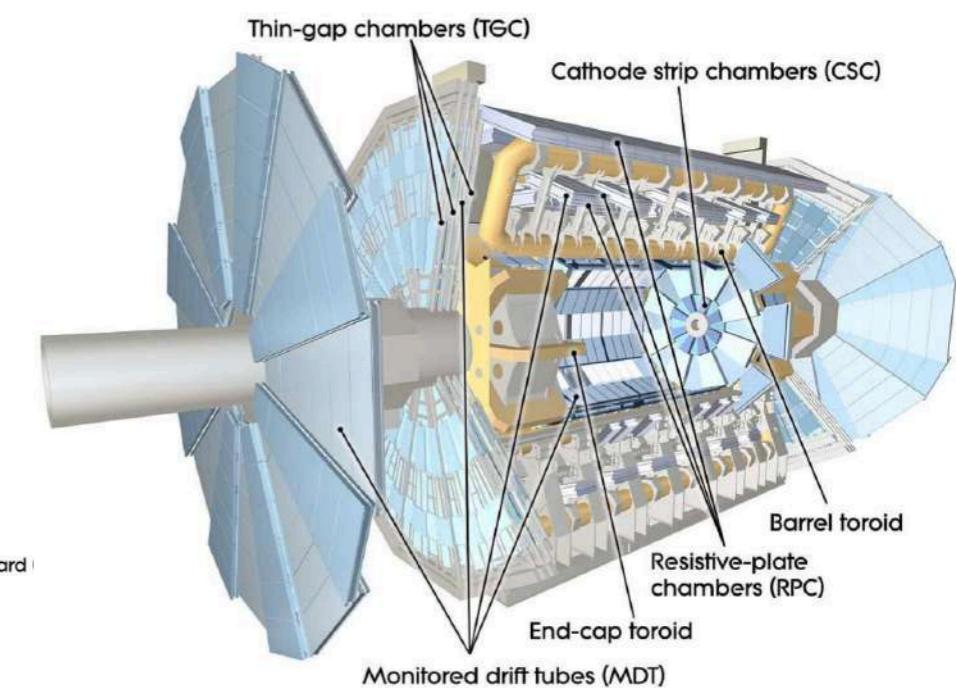
- ECAL: Electrons and photons. LAr
- HCAL: jets,  $\tau$ ,  $E_T^{miss}$ . Steel/Scintillator



## Muon Spectrometer:

Outermost layer. Tracks of muons. Up to 6T toroidal magnetic field.

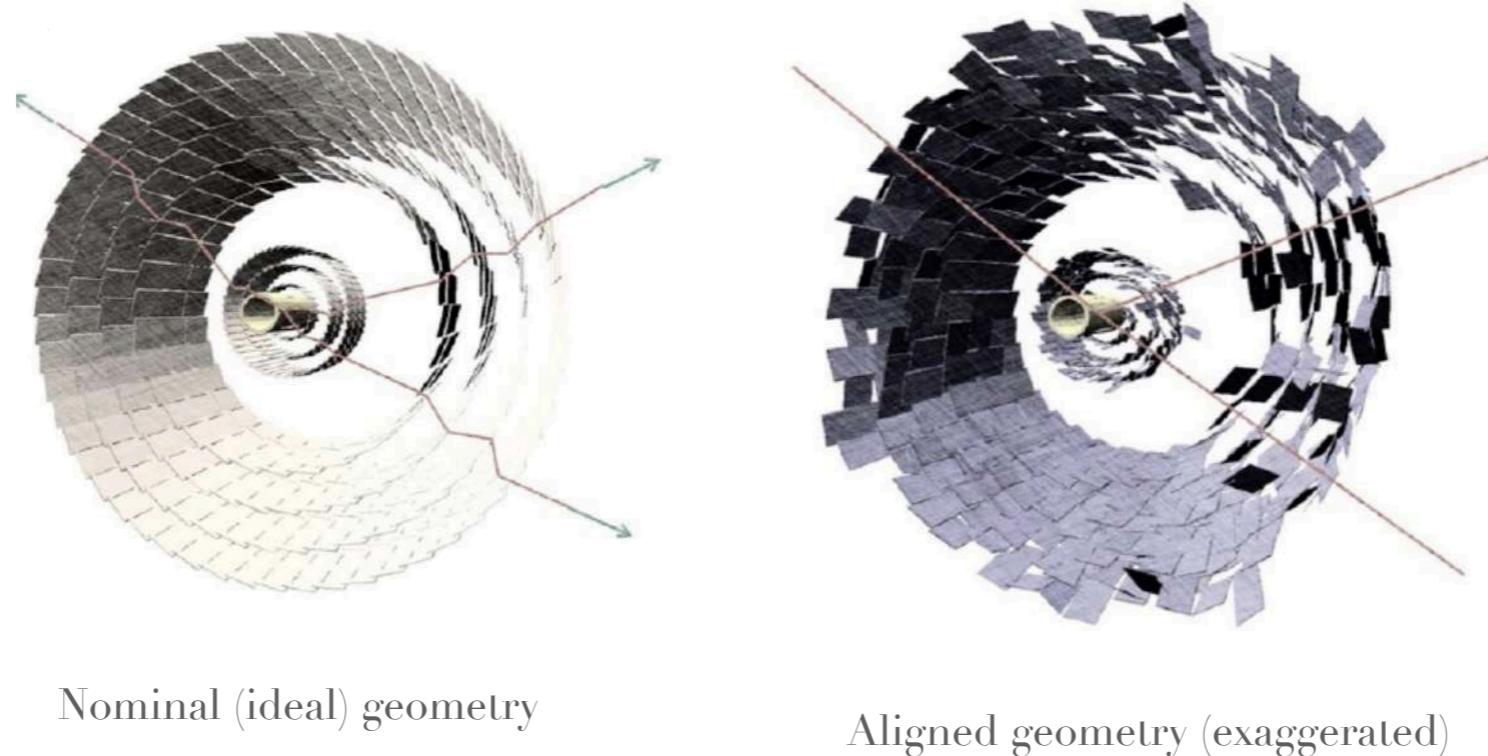
- MDT
- CSC
- RPC
- TGC



# Performance of the ATLAS detector

## Excellent detector performance

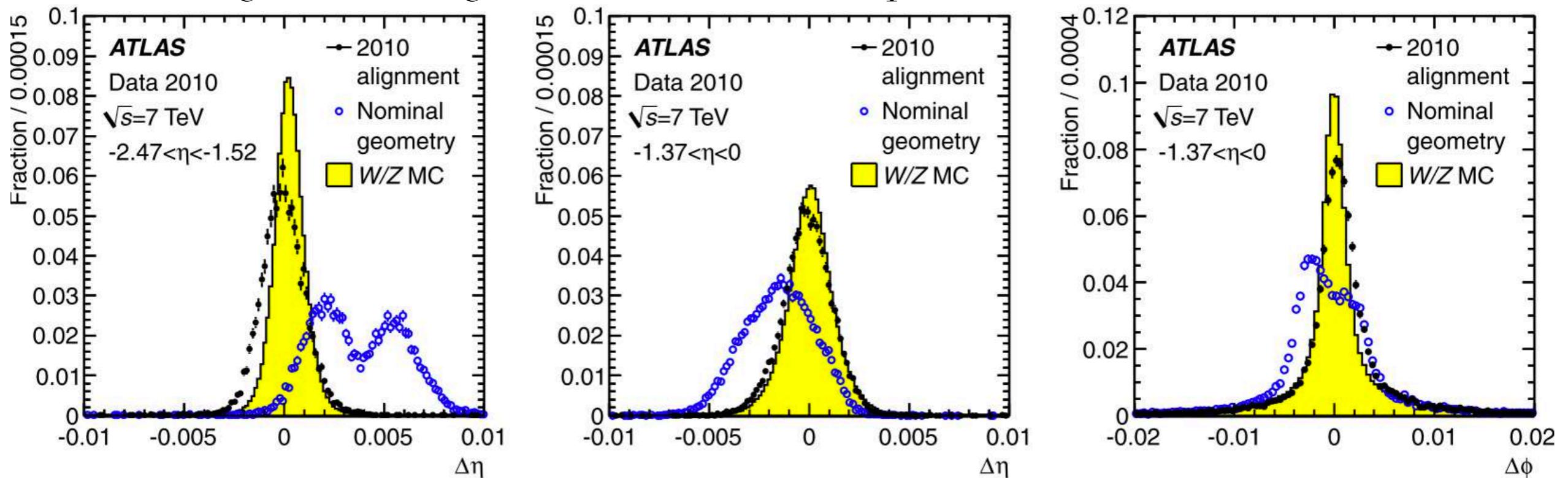
- A good performance of the track reconstruction is a key ingredient for the success of physics analysis
- ID Alignment is concerned with determining the actual geometry of the tracking system and following its eventual changes in time
- Limited knowledge of alignment parameters must not lead to significant degradation of the track params.



# Performance of the ATLAS detector

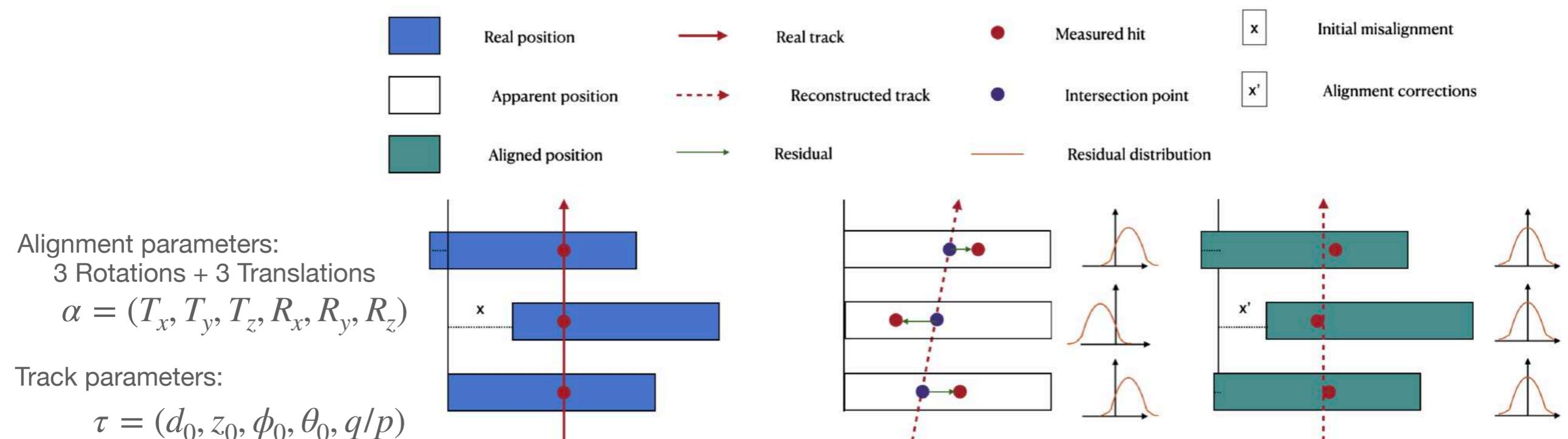
## Excellent detector performance

- A good performance of the track reconstruction is a key ingredient for the success of physics analysis
- ID Alignment is concerned with determining the actual geometry of the tracking system and following its eventual changes in time
- Limited knowledge of track parameters must not lead to significant degradation of the track params.



# Inner Detector alignment

- Track based alignment
- Track fit residuals are defined as the distance between the measured hits and the extrapolated fits
- The alignment consists on the minimisation of a global  $\chi^2$  function of residuals ( $r$ )
- $r_i \neq 0$  indicate displacements of the detector from the nominal geometry  $\longleftrightarrow$  Misalignment
- Done from large structures to modules



# ID Alignment Monitoring Web

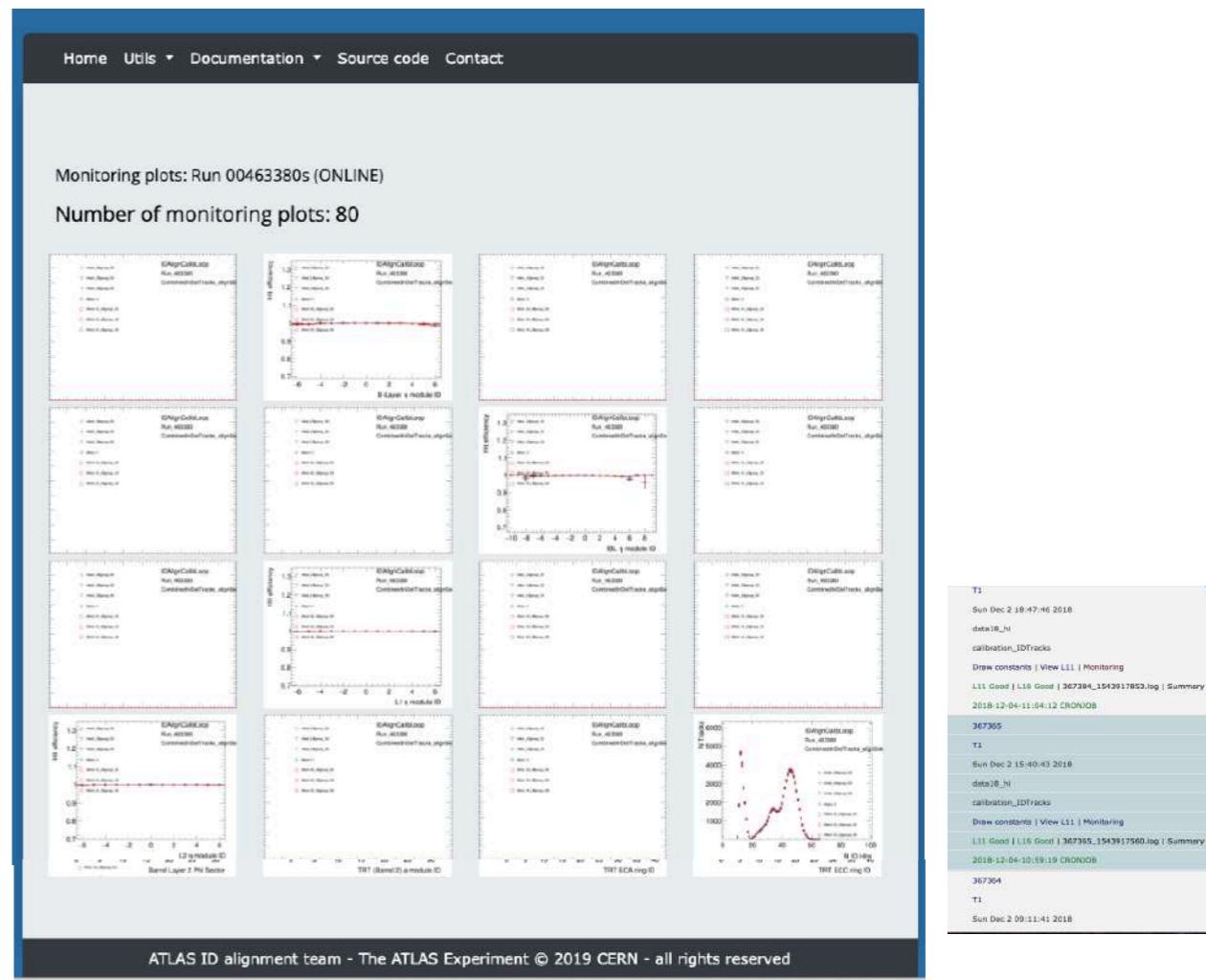
- The alignment provides the good performance that allow us to study the objects of our analysis
- ID Alignment Web Display
  - Monitors the alignment results obtained during the calibration loop
  - Allows to spot misalignments and take action asap.
- All detector levels

<https://atlasalignment-dev.cern.ch/webapp/>

The screenshot shows the homepage of the ID Alignment Monitoring Web Display. At the top, there is a navigation bar with links for Home, Utils, Documentation, Source code, and Contact. Below the navigation bar, a table lists 'Runs (110)' with columns for Run, Period, Run record date, Project, Stream, Alignment results, Monitoring plots, and DB upload. A 'Year' dropdown menu is present above the table. A 'Filter table...' button is located at the bottom right of the table. The table contains several rows of data, each corresponding to a run number and its details.

Run	Period	Run record date	Project	Stream	Alignment results	Monitoring plots	DB upload
462244	?	07/Oct/2023	data23_hi	express_express	Draw constants   View L11	Res.   Hits   Hitmaps   IBL Res.   Res. Maps   track:	L11 Good   L16 Good   462244_16f
462240	?	07/Oct/2023	data23_hi	express_express	Draw constants   View L11	Res.   Hits   Hitmaps   IBL Res.   Res. Maps   track:	L11 Good   L16 Good   462240_16f
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462149	?	07/Oct/2023	data23_hi	express_express	Draw constants   View L11	Res.   Hits   Hitmaps   IBL Res.   Res. Maps   track:	L11 ?   L16 ?   None
462145	?	05/Oct/2023	data23_hi	express_express	Draw constants   View L11	Res.   Hits   Hitmaps   IBL Res.   Res. Maps   track:	L11 Good   L16 Good   462145_16f
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461633	?	09/Oct/2023	data23_hi	express_express	Draw constants   View L11	Res.   Hits   Hitmaps   IBL Res.   Res. Maps   track:	L11 Good   L16 Good   461633_16f
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ATLAS ID alignment team - The ATLAS Experiment © 2019 CERN - all rights reserved



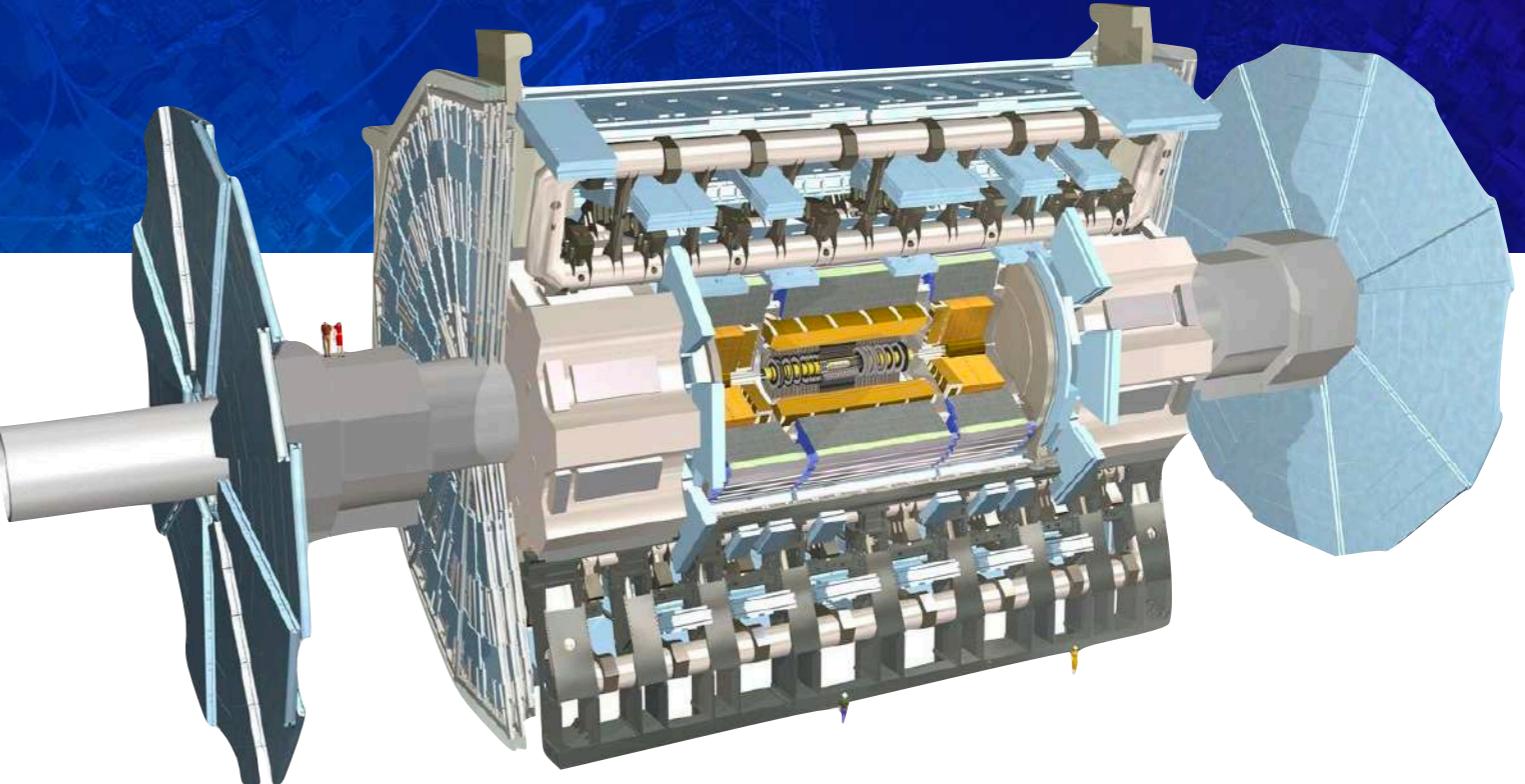
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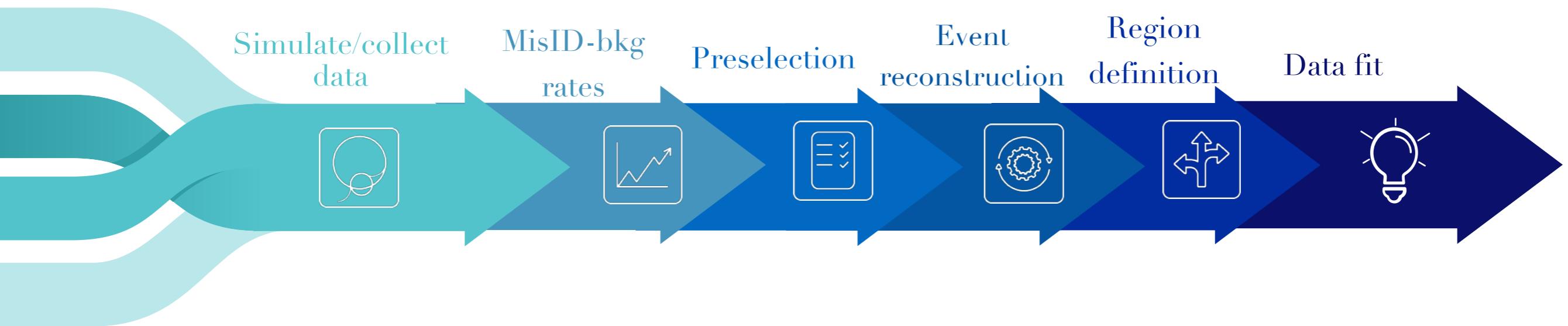
4. Summary & conclusions



# Steps of the search

## Steps

- Estimate the background contributions
- Apply preselection requirements
- Reconstruct the event
- Define regions for the analysis
- Fit to data

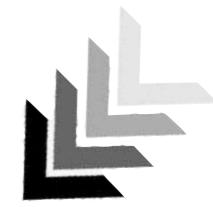
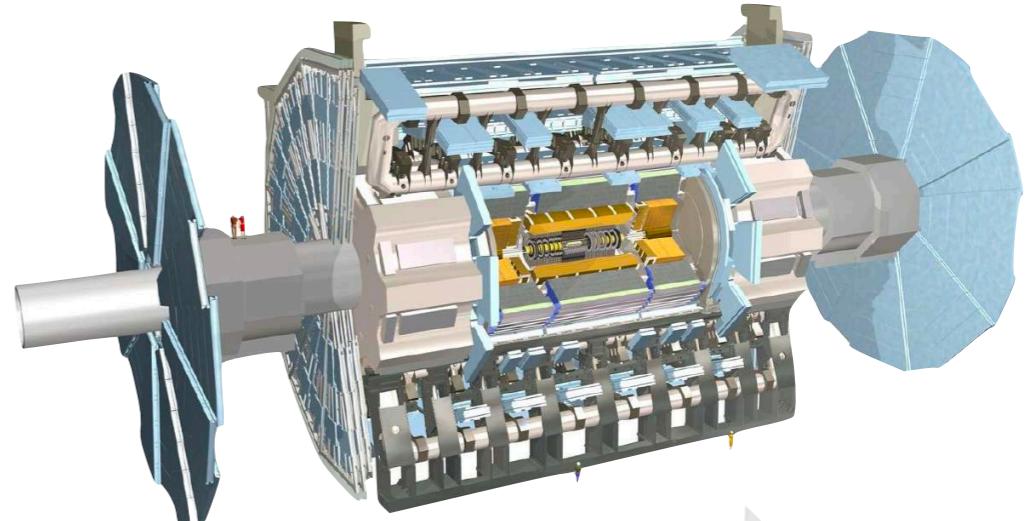


# Data collection

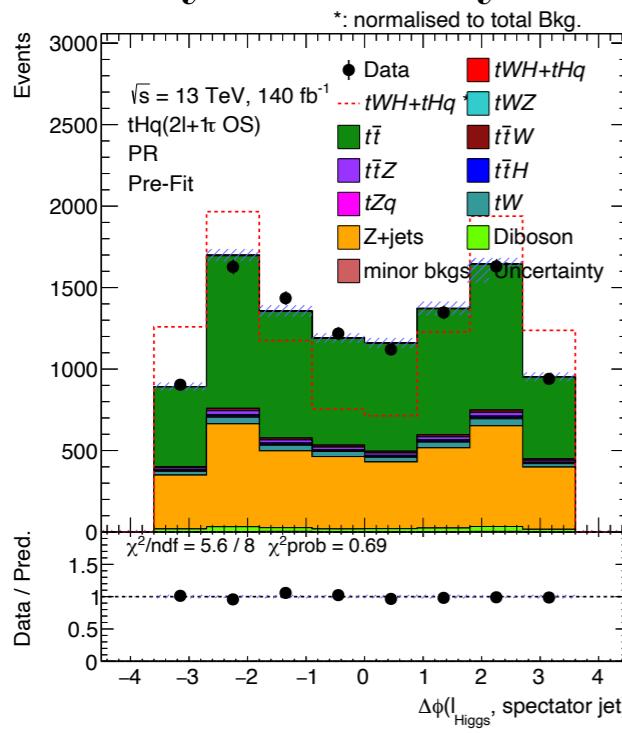
LHC real collision data



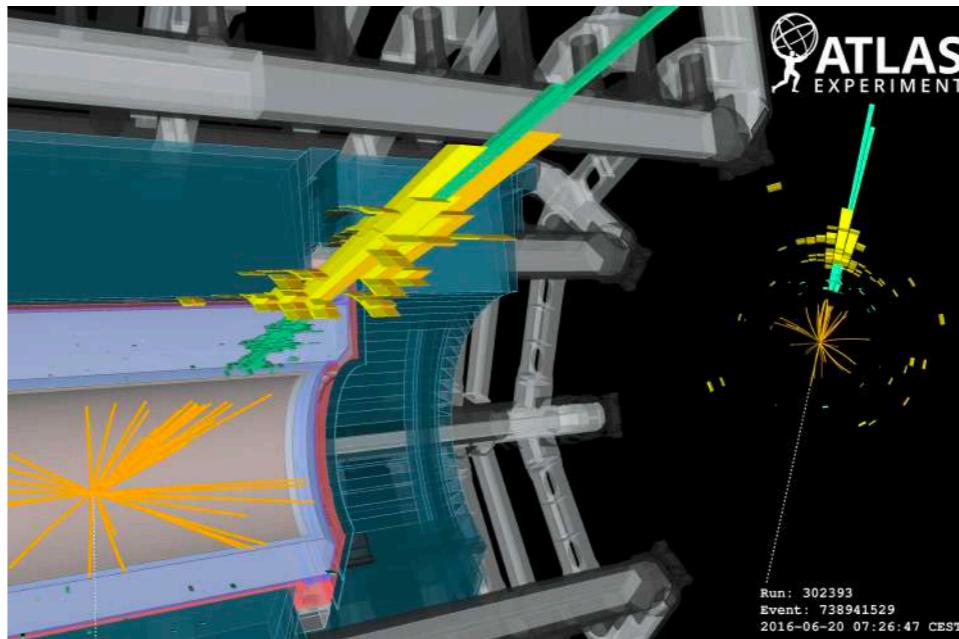
ATLAS detector



Physics analysis



Reconstruction of physic objects



# Data collection

LHC real collision data



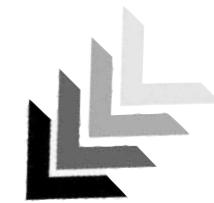
Data statistical uncertainty



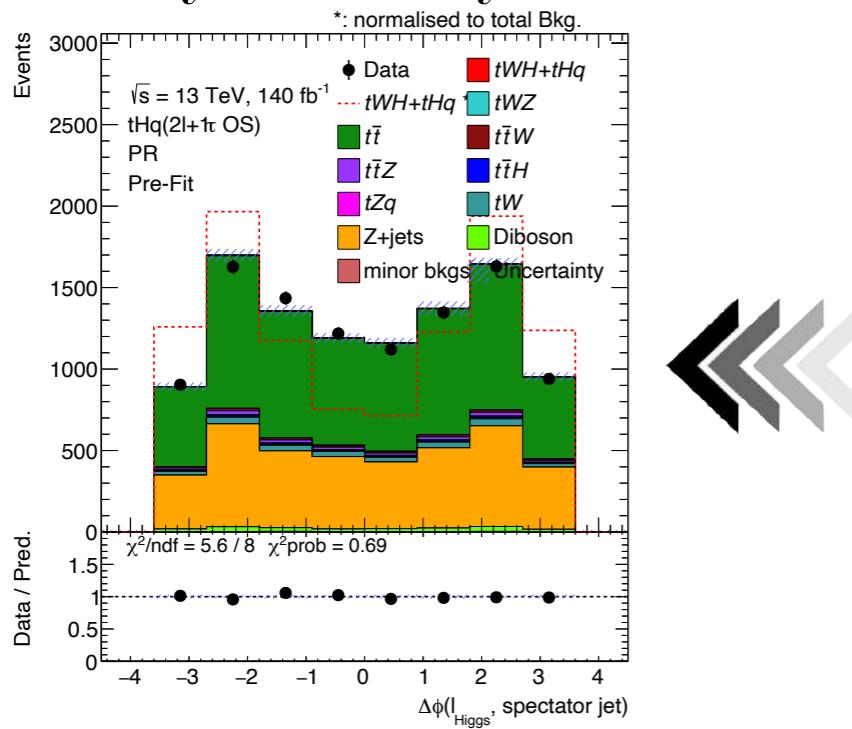
ATLAS detector



Instrumental uncertainty



Physics analysis

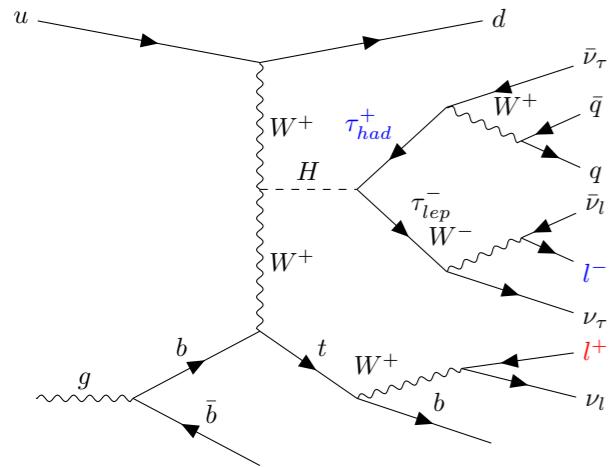


Reconstruction of physic objects

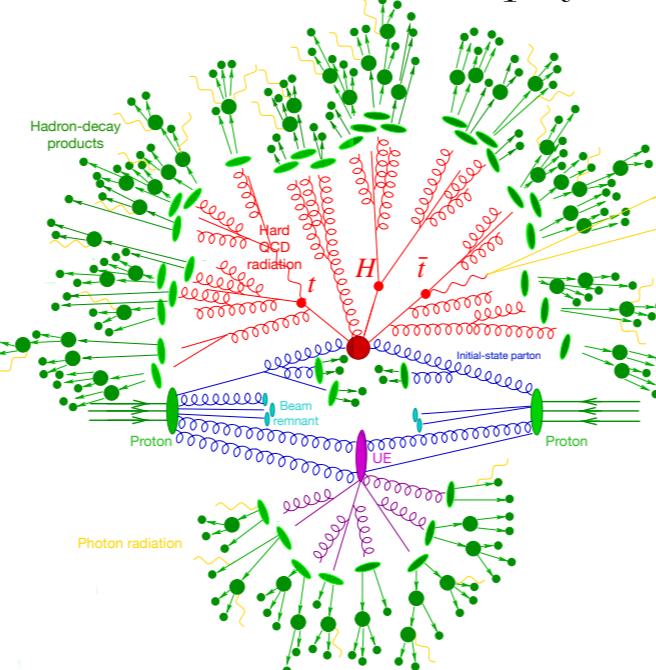


# Simulation workflow

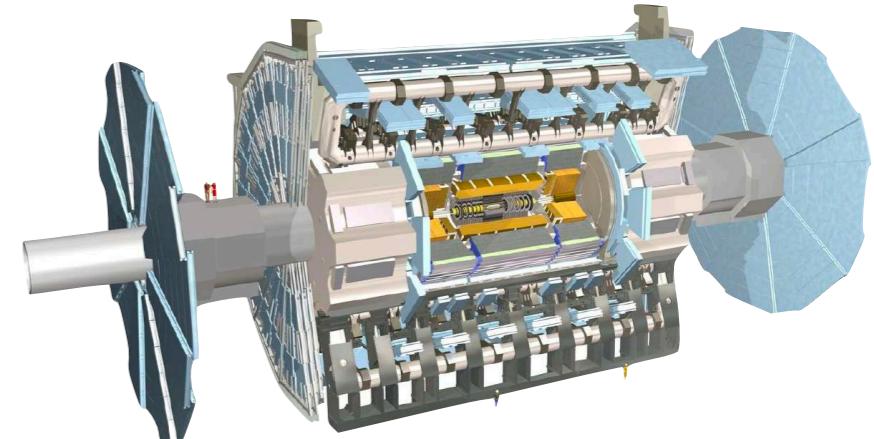
Simulation of the hard scattering process



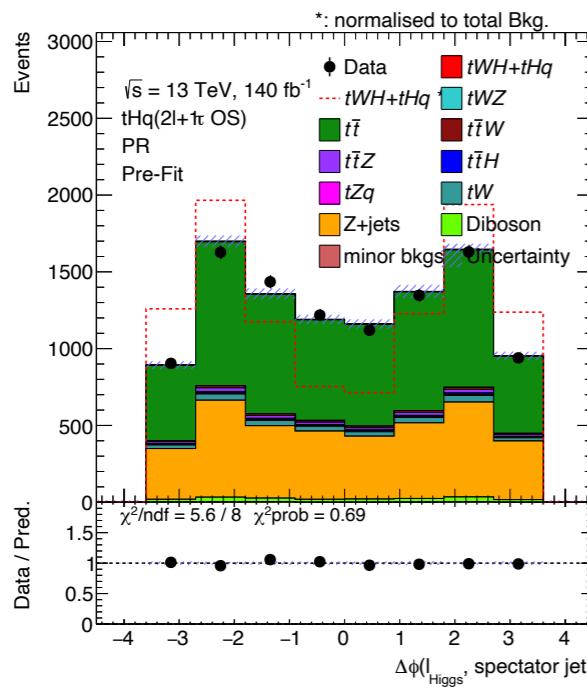
Simulation of soft physics



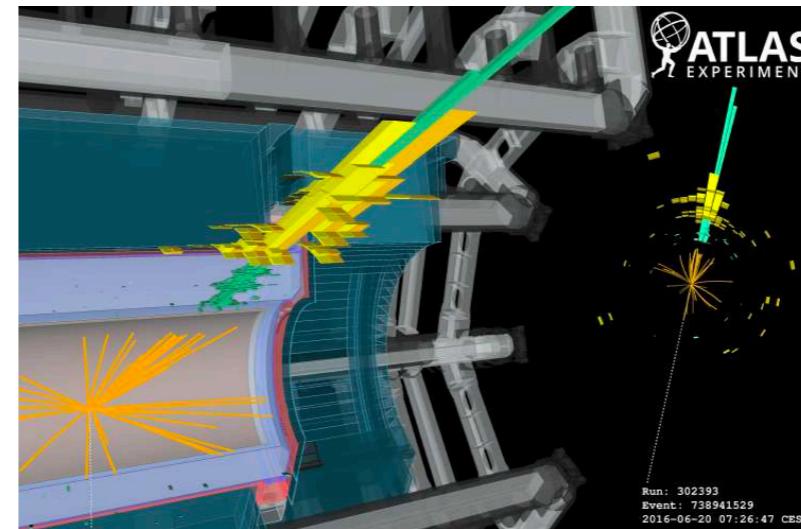
Simulation of the ATLAS detector



Physics analysis

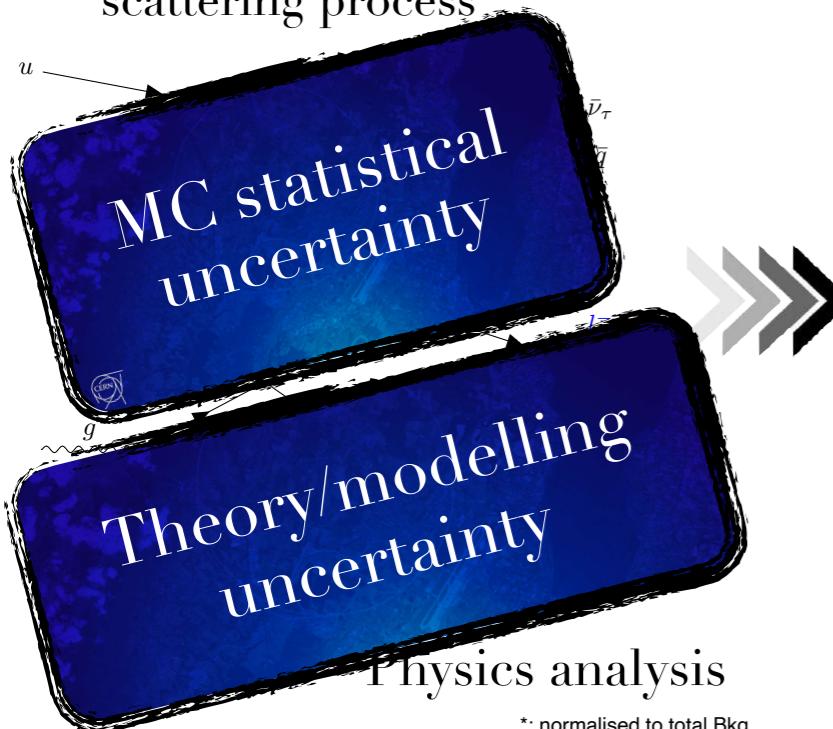


Reconstruction of physics objects



# Simulation workflow

Simulation of the hard scattering process



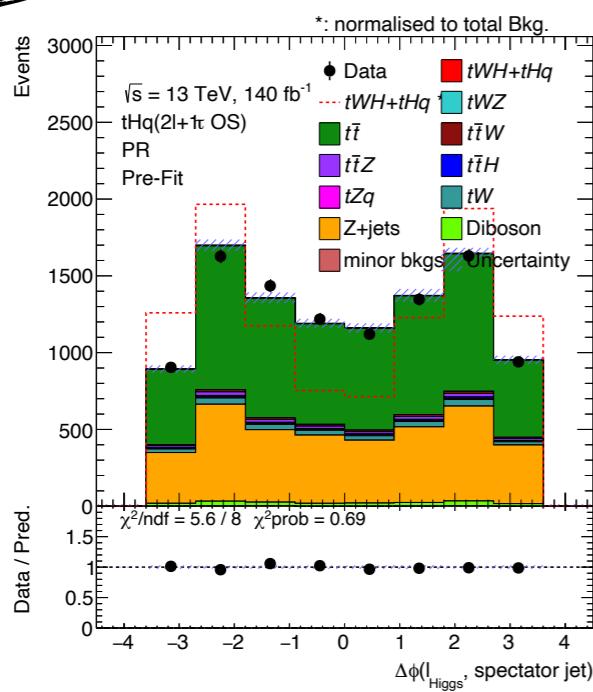
Simulation of soft physics



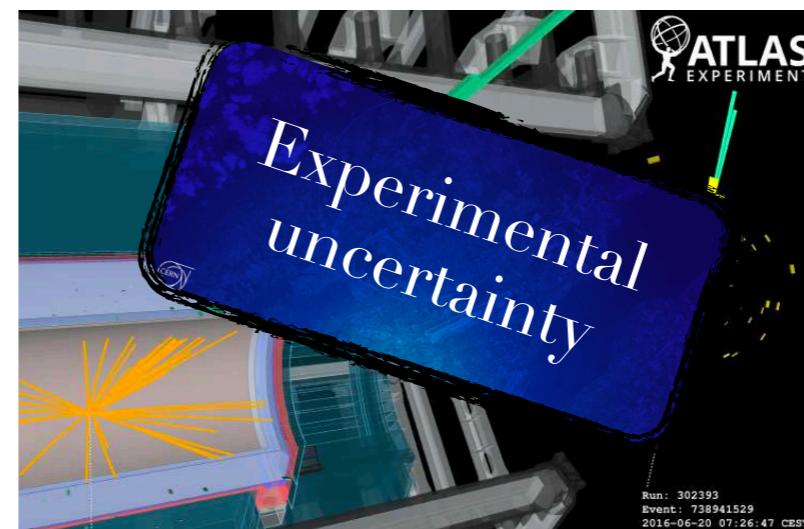
Simulation of the ATLAS detector



Physics analysis



Reconstruction of physics objects



# Analysis context

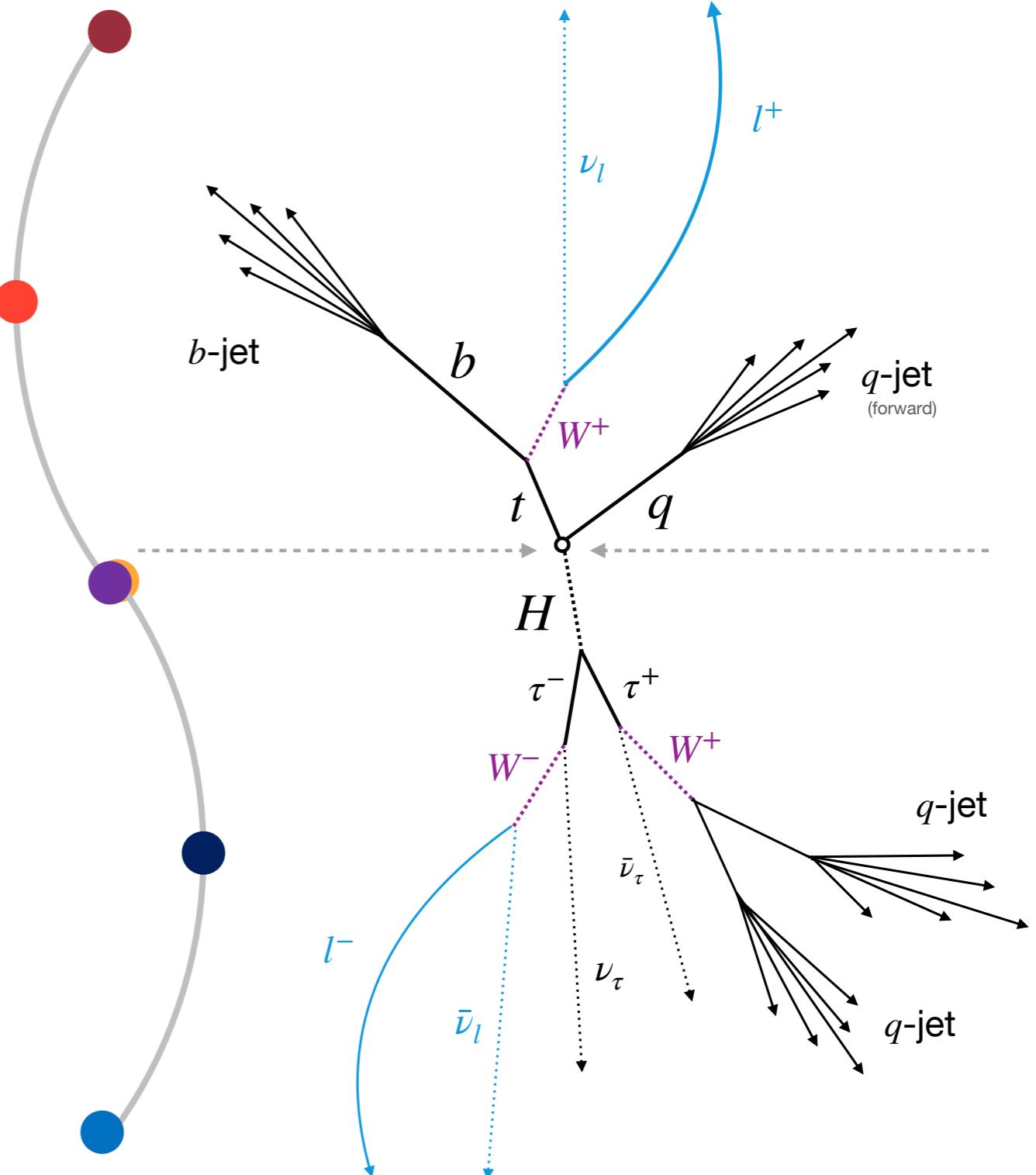
- Analysis performed in these two channels:  $2\ell\text{OS} + 1\tau_{had}$  and  $2\ell\text{SS} + 1\tau_{had}$
- Data: ATLAS Run 2  $140\text{ fb}^{-1}$  of  $pp$  collisions
- Simulated processes:

Process	Generator	Order (scheme)	PDF set	Parton shower	PDF set (tune)
Signal					
$tHq$	MADGRAPH5_AMC@NLO 2.6.2	NLO (4FS)	NNPDF3.0NLO nf4	PYTHIA 8.230	NNPDF2.3LO (A14 tune)
Backgrounds					
$t\bar{t}$	POWHEG Box v2	NLO (5FS)	NNPDF3.0NLO	PYTHIA 8.230	NNPDF2.3LO (A14 tune)
$V+\text{jets}$	SHERPA 2.2.1	NLO+LO	NNPDF3.0NNLO	-	-
Diboson	SHERPA 2.2.1-2	NLO+LO	NNPDF3.0NNLO	-	-
Triboson	SHERPA 2.2.2	NLO+LO	NNPDF3.0NNLO	-	-
$t\bar{t}Z$	MADGRAPH5_AMC@NLO 2.3.3	NLO	NNPDF3.0NLO	PYTHIA 8.210	NNPDF2.3LO (A14 tune)
$t\bar{t}W$	SHERPA 2.2.10	NLO	NNPDF3.0NNLO	-	-
$t\bar{t}H$	POWHEG Box v2	NLO (5FS)	NNPDF3.0NLO	PYTHIA 8.230	NNPDF2.3LO (A14 tune)
$t\text{-channel}$	POWHEG Box v2	NLO (4FS)	NNPDF3.0NLO nf4	PYTHIA 8.230	NNPDF2.3LO (A14 tune)
$tW$	POWHEG Box v2	NLO (5FS, DR)	NNPDF3.0NLO	PYTHIA 8.230	NNPDF2.3LO (A14 tune)
$s\text{-channel}$	POWHEG Box v2	NLO	NNPDF3.0NLO	PYTHIA 8.230	NNPDF2.3LO (A14 tune)
$tZq$	MADGRAPH5_AMC@NLO 2.3.3	NLO	NNPDF3.0NLO	PYTHIA 8.230	NNPDF2.3LO (A14 tune)
$tWH$	MADGRAPH5_AMC@NLO 2.8.1	NLO (5FS, DR)	NNPDF3.0NLO	PYTHIA 8.245p3	NNPDF2.3LO (A14 tune)
$tWZ$	MADGRAPH5_AMC@NLO 2.3.3	NLO	NNPDF3.0NLO	PYTHIA 8.212	NNPDF2.3LO (A14 tune)
$ttt$	MADGRAPH5_AMC@NLO 2.2.2	NLO	NNPDF3.1NLO	PYTHIA 8.186	NNPDF2.3LO (A14 tune)
$t\bar{t}t\bar{t}$	MADGRAPH5_AMC@NLO 2.3.3	NLO	NNPDF3.1NLO	PYTHIA 8.230	NNPDF2.3LO (A14 tune)
$ggH$	POWHEG Box v2	NLO	CT10	PYTHIA 8.210	CTEQ6L1 (AZNLO tune)
$qqH$	POWHEG Box v1	NLO	CT10	PYTHIA 8.186	CTEQ6L1 (AZNLO tune)
$WH$	PYTHIA 8.186	LO	NNPDF2.3LO	-	-
$ZH$	PYTHIA 8.186	LO	NNPDF2.3LO	-	-

# Preselection requirements

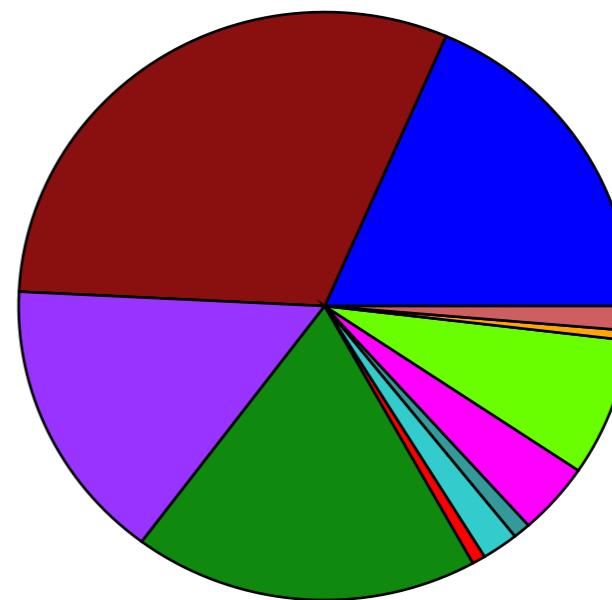
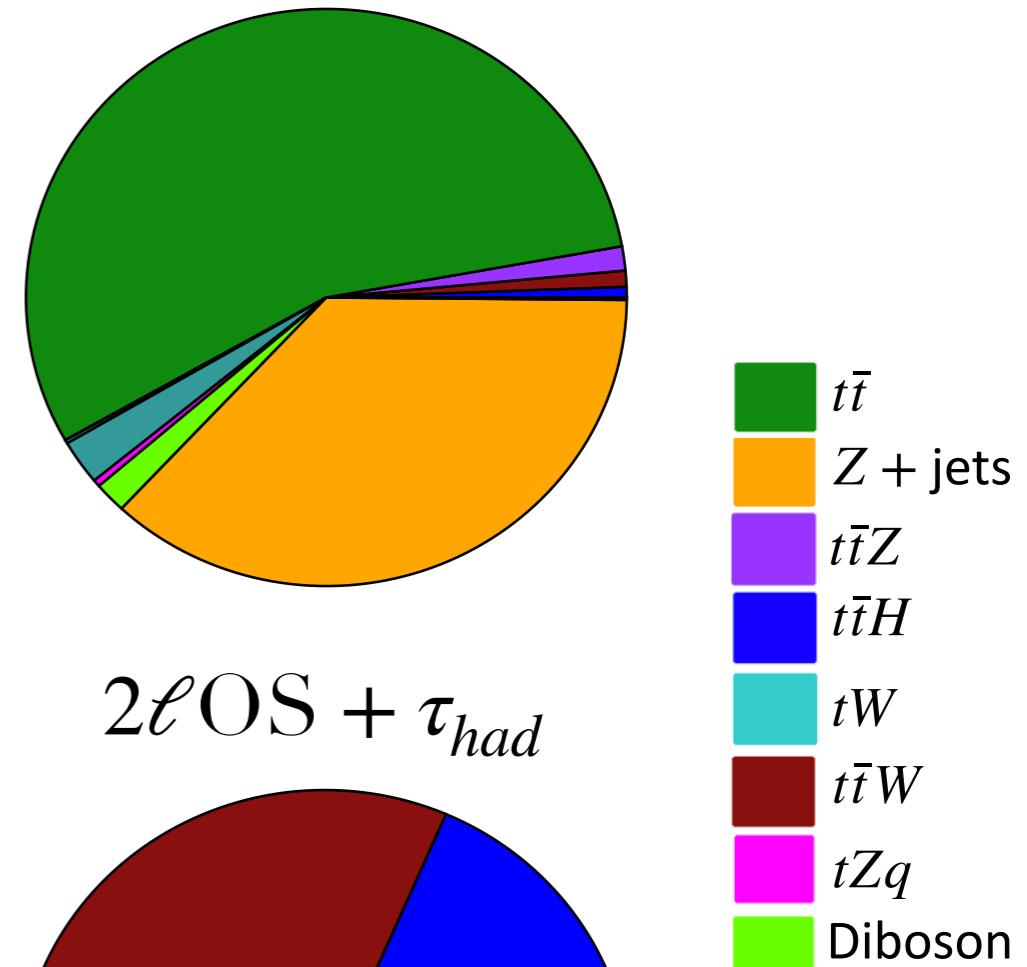
Year	Single-electron trigger	Single-muon trigger
2015	HLT_e24_lhmedium_L1EM20VH HLT_e60_lhmedium HLT_e120_lhloose	HLT_mu20_iloose_L1MU15 HLT_mu50
2016–2018	HLT_e26_lhtight_nod0_ivarloose HLT_e60_lhmedium_nod0 HLT_e140_lhloose_nod0	HLT_mu26_ivarmedium HLT_mu50

Object	Multiplicity	Momentum
Light leptons	$n(e/\mu) = 2$	$p_T(e) > 14 \text{ GeV}$ $p_T(\mu) > 14 \text{ GeV}$
Hadronic tau	$n(\tau_{\text{had}}) = 1$	$p_T(\tau_{\text{had}}) > 20 \text{ GeV}$
Jets	$n(\text{jet}) = [2, 6]$	$p_T(\text{jet}) > 20 \text{ GeV}$
$b$ -tagged jets	$n(b\text{-jet}) = [1, 2]$	$p_T(b\text{-jet}) > 20 \text{ GeV}$
$E_T^{\text{miss}}$		$p_T(E_T^{\text{miss}}) \in [5, 800] \text{ GeV}$
		$p_T(\text{lep1}) > 27 \text{ GeV}$
		$p_T(\text{lep2}) > 20 \text{ GeV}$



# Preselection: $2\ell$ OS/SS + $1\tau_{had}$

Process	$2\ell$ OS + $1\tau_{had}$	$2\ell$ SS + $1\tau_{had}$
$tHq$	$1.93 \pm 0.11$	$1.22 \pm 0.09$
$tWH$	$2.4 \pm 1.1$	$0.95 \pm 0.22$
$t\bar{t}$	$5690 \pm 160$	$24.8 \pm 1.7$
$Z + \text{jets}$	$3800 \pm 400$	$0.7 \pm 0.4$
$t\bar{t}H$	$61 \pm 13$	$25.2 \pm 1.3$
$t\bar{t}W$	$92 \pm 23$	$42 \pm 9$
$t\bar{t}Z$	$139 \pm 26$	$21.2 \pm 1.0$
$tWZ$	$19 \pm 10$	$2.6 \pm 1.7$
$tZq$	$40 \pm 6$	$5.4 \pm 0.9$
$tW$	$260 \pm 40$	$1.2 \pm 1.0$
Diboson	$180 \pm 130$	$10 \pm 6$
minor bkgs	$14 \pm 8$	$1.8 \pm 1.0$
Total background	$10300 \pm 500$	$137 \pm 12$
Data	10221	102
$S/B$ (%)	0.019	0.89
Significance	0.019	0.104



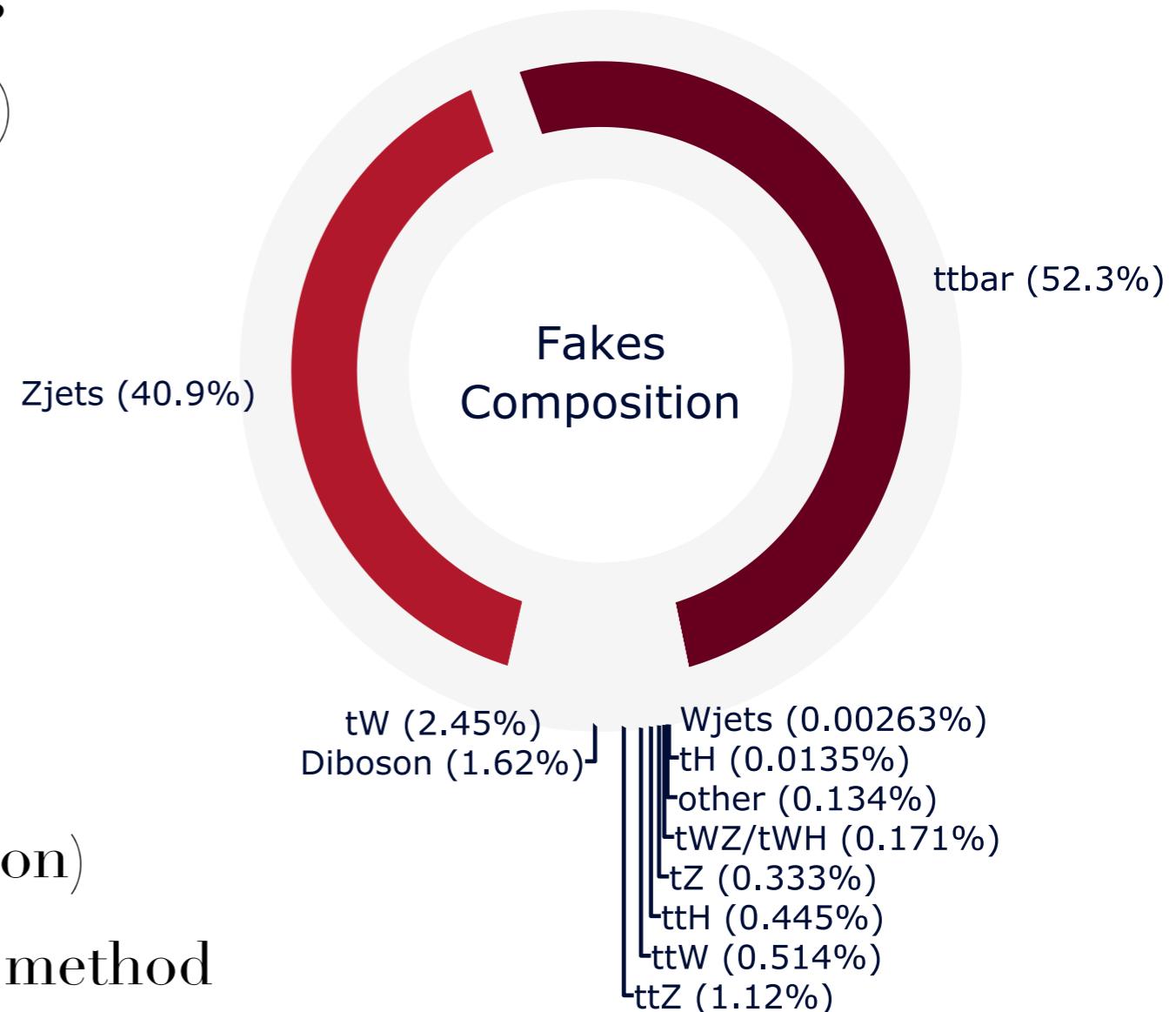
# Background contribution

Two kinds of background processes according to their sources

- Irreducible: Same final-state objects
- Reducible: Arising from misidentification of physical objects
  - Misidentification of light leptons ( $e/\mu$ )
  - Misidentification of  $\tau_{had}$

# Estimation of $\tau_{had}$ misidentification

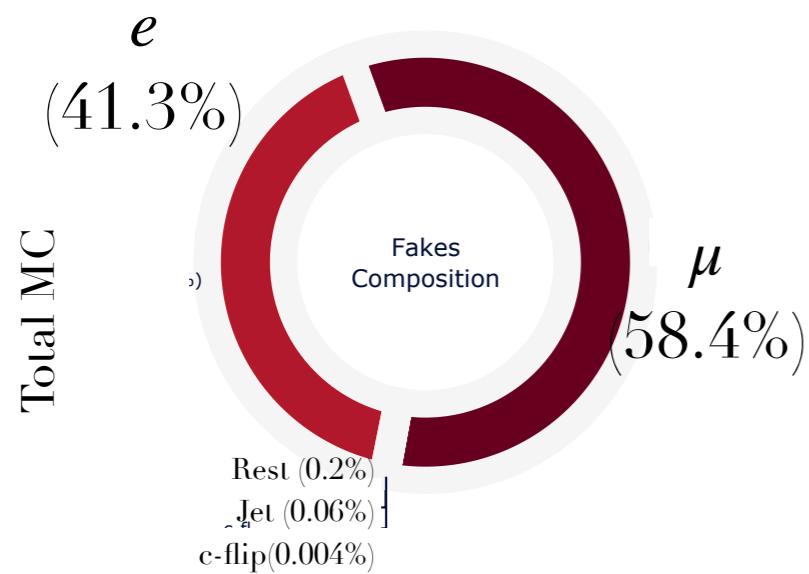
- Our MC simulations do not describe the misidentification rates
- Template fit method  $\rightarrow$  Scale Factors
- MisId regions: Fail medium RNN( $\tau$ -ID)
- Templates separated by
  - $p_T$  bins
  - $b$ -jet multiplicity (1 or 2)
  - Prongness (1-prong, 3-prong)
- Fit to
  - JetTrackWidth (1  $b$ -jet region)
  - LightGBM BDT score (2  $b$ -jet region)
- Derive Scale Factors with template fit method
- Uncertainty  $\rightarrow$  Counting method



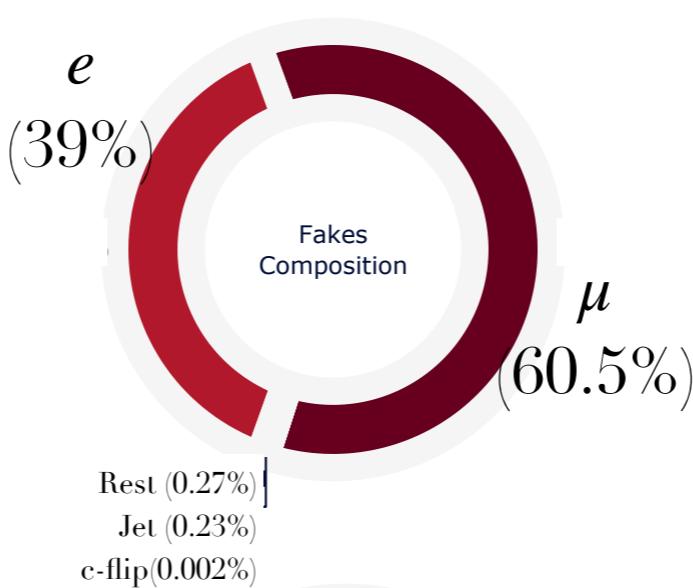
# Estimation of $\tau_{had}$ misidentification

- All  $2\ell + \tau_{had}$

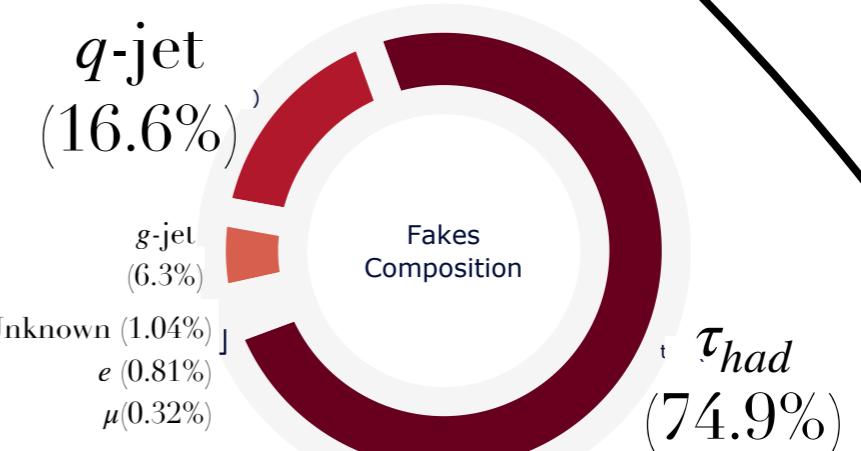
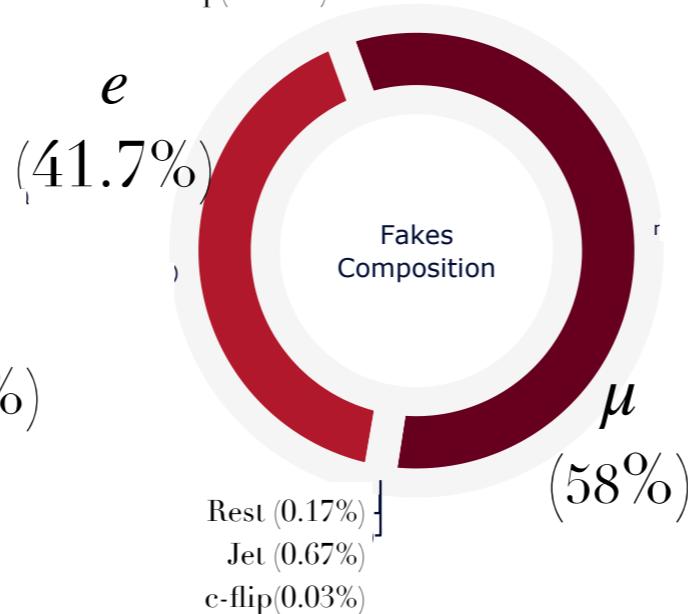
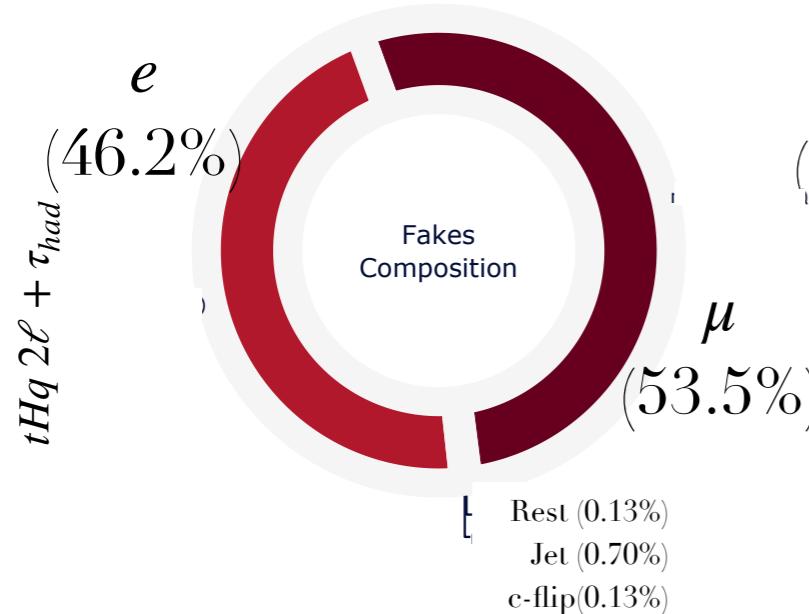
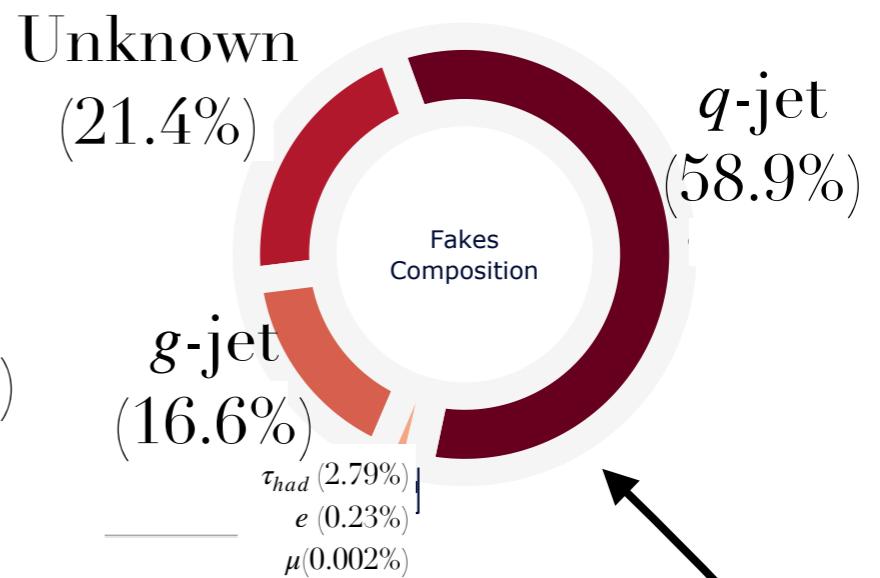
Leading lep.



Subleading lep.



$\tau_{had}$

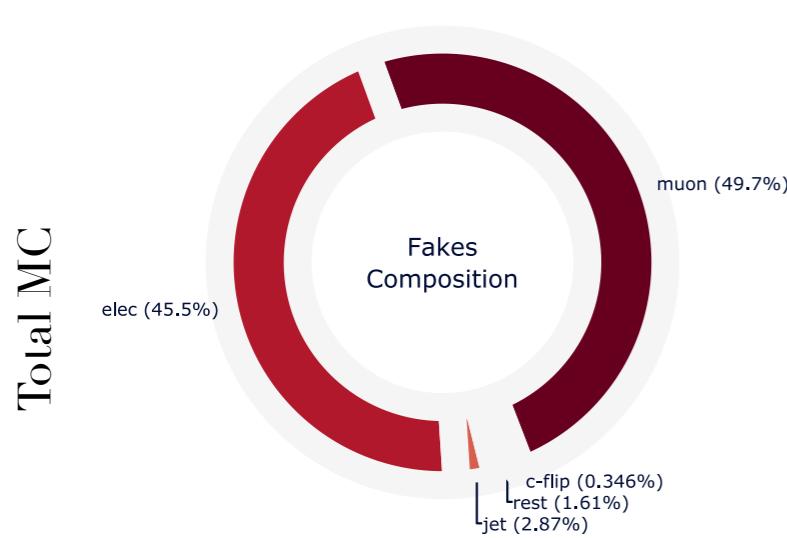


- Jets faking  $\tau_{had}$  dominate the background

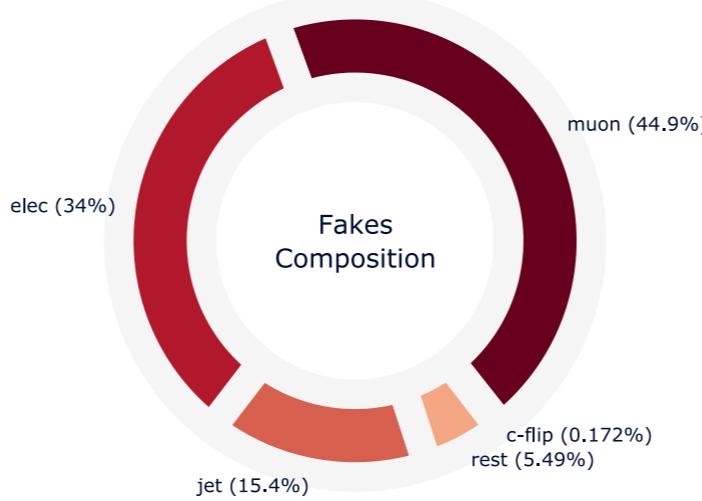
# Estimation of $\tau_{had}$ misidentification

- $2\ell SS + \tau_{had}$  channel

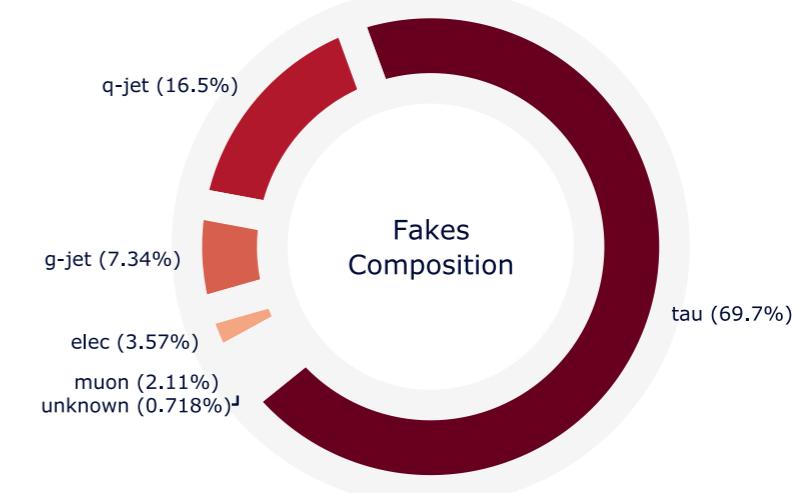
Leading lep.



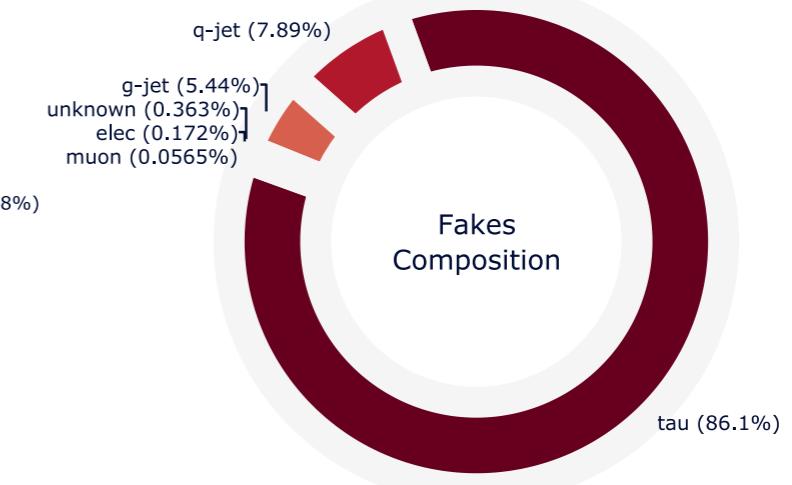
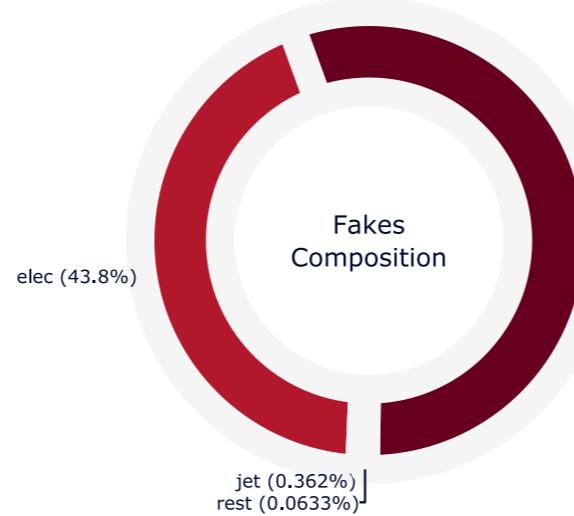
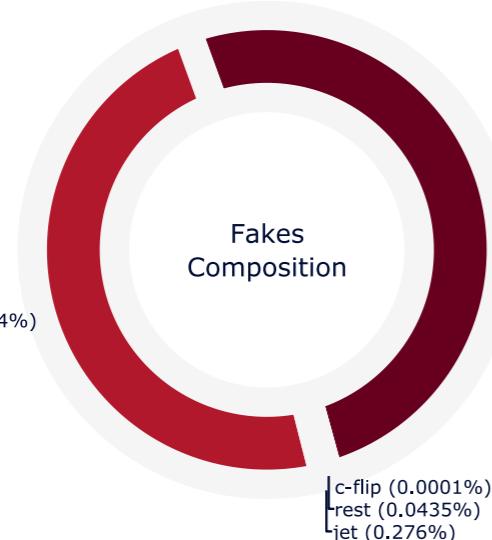
Subleading lep.



$\tau_{had}$

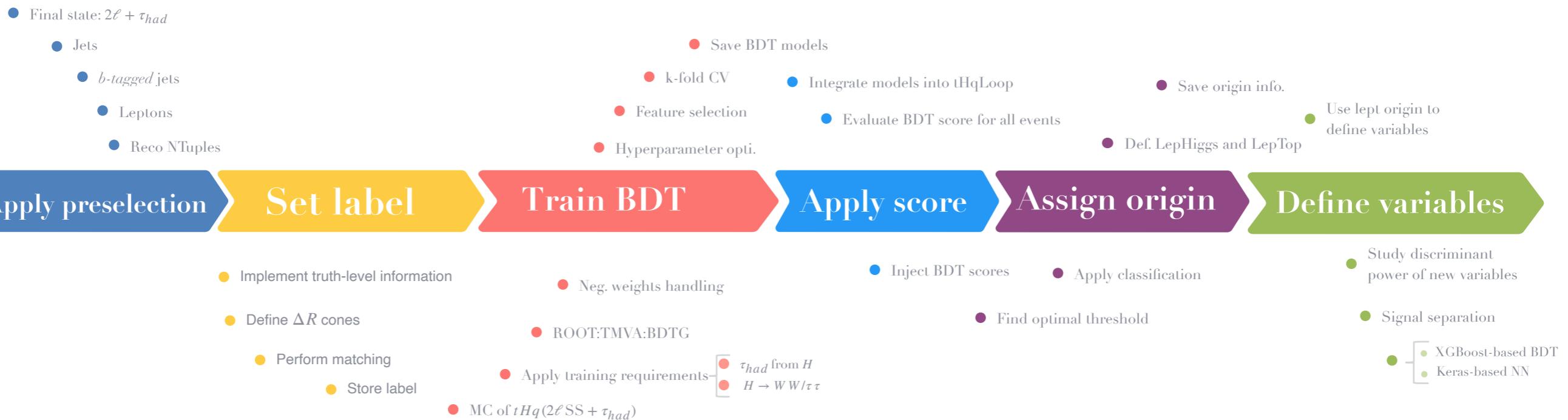
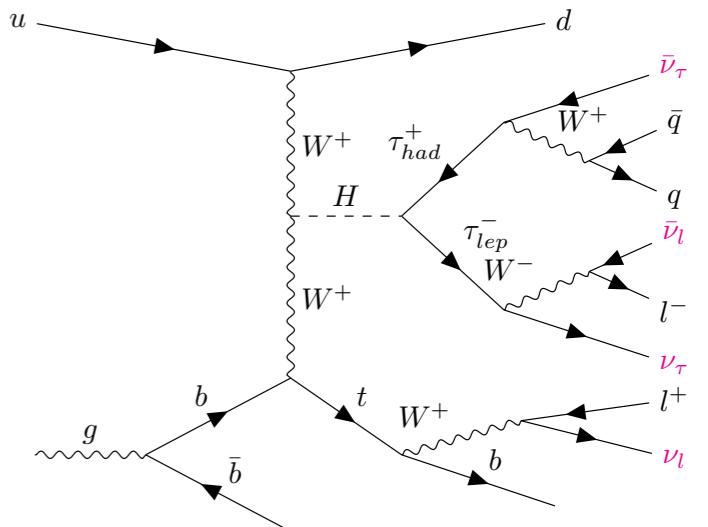


$tHq 2\ell SS + \tau_{had}$



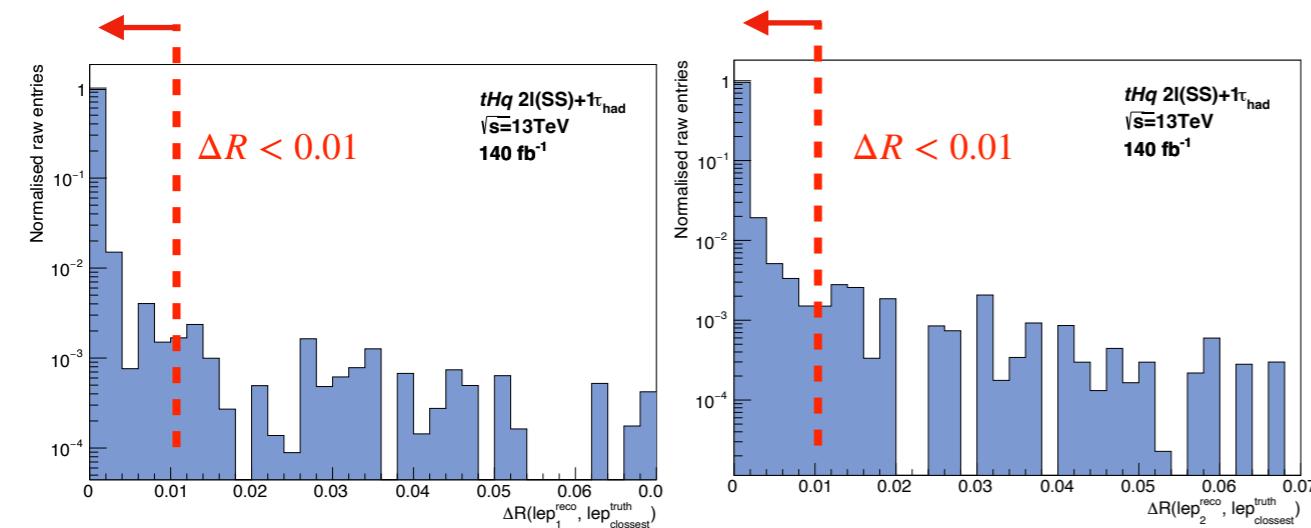
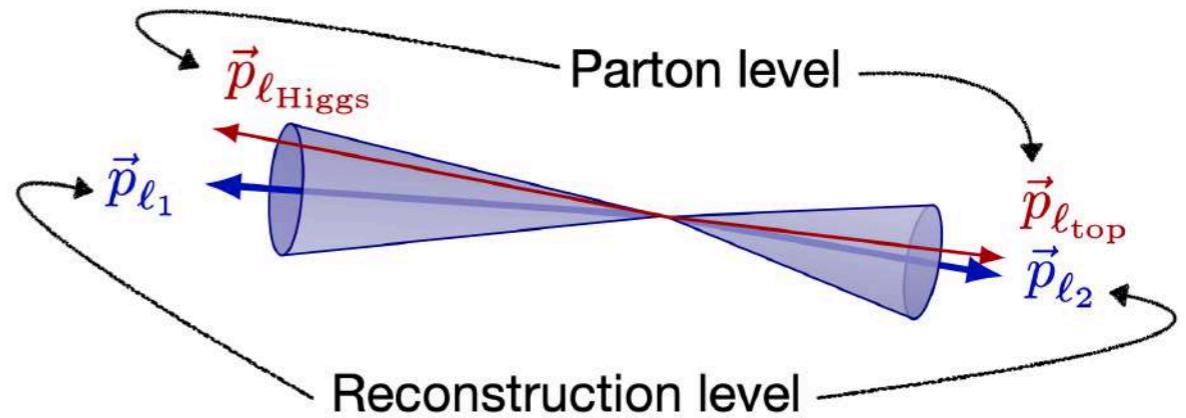
# Event reconstruction

- Reconstructing the four momentum of  $H$  and  $t$  can be used to suppress background.
- Difficult: at least **four neutrinos** in the final state
- First step: Assign the origin of light leptons:
  - Trivial for the  $2\ell\text{OS} + \tau_{had}$  channel
  - MVA method for the  $2\ell\text{SS} + \tau_{had}$  channel



# Assignment lepton origin - Label

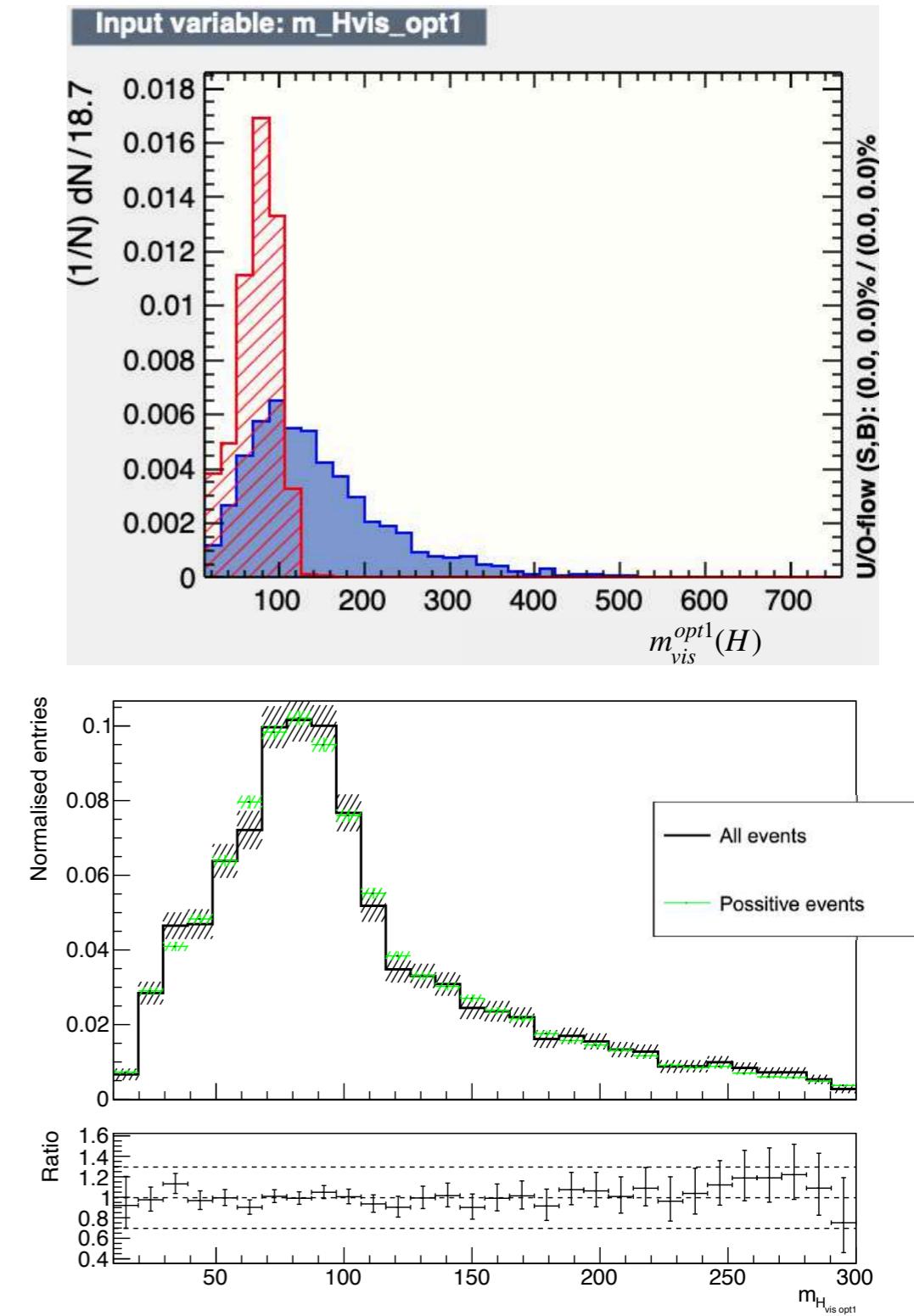
- Label the date comparing the parton-level with reco-level in simulation:
  - **Parton-level:** Before the detector simulation. The origin of the particles is known.
  - **Reconstruction-level:** Objects as identified by the detector. Origin of particles unknown
- Requirements:
  - $2\ell \text{SS} + \tau_{had}$
  - $\tau_{had}$  from Higgs
  - $H \rightarrow \tau\tau/WW$
- Draw  $\Delta R < 0.01$  cones around the of the leptons.



- Two categories:
- Type 1:  $\ell_1^{\text{reco}} = \ell_t$  and  $\ell_2^{\text{reco}} = \ell_H$ : 61.1%
  - Type 2:  $\ell_1^{\text{reco}} = \ell_H$  and  $\ell_2^{\text{reco}} = \ell_t$ : 38.9%

# BDT lepton origin - Configuration

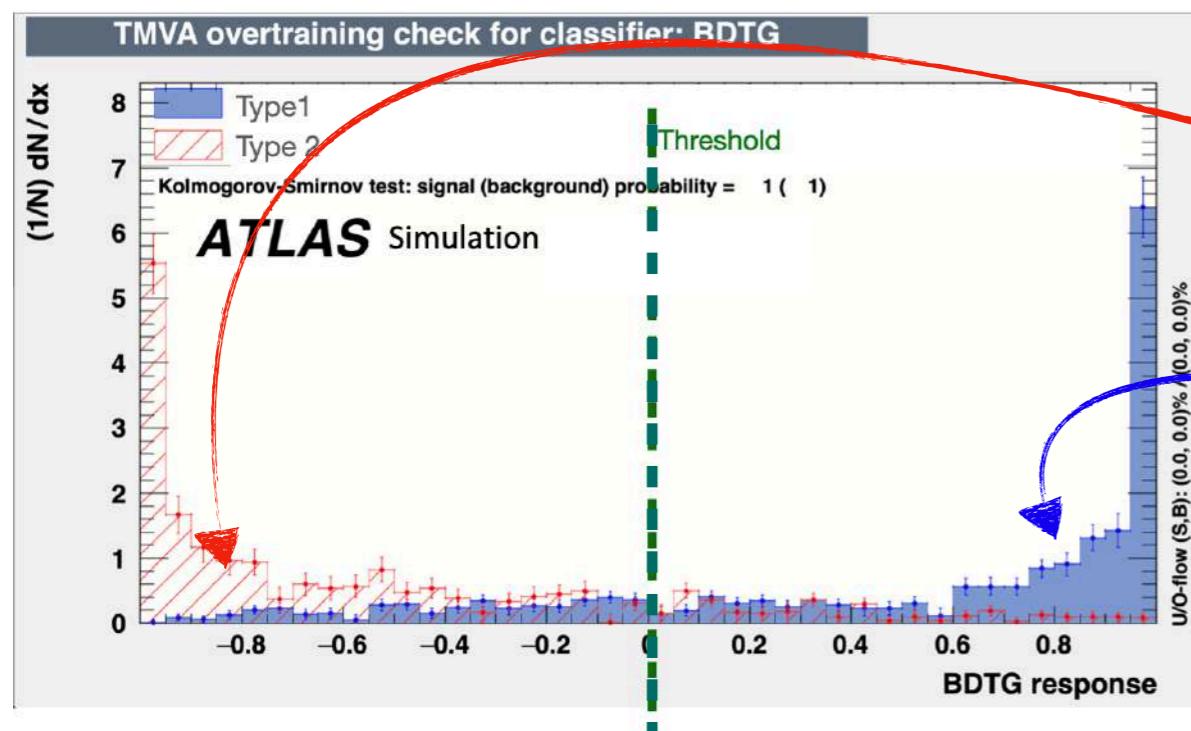
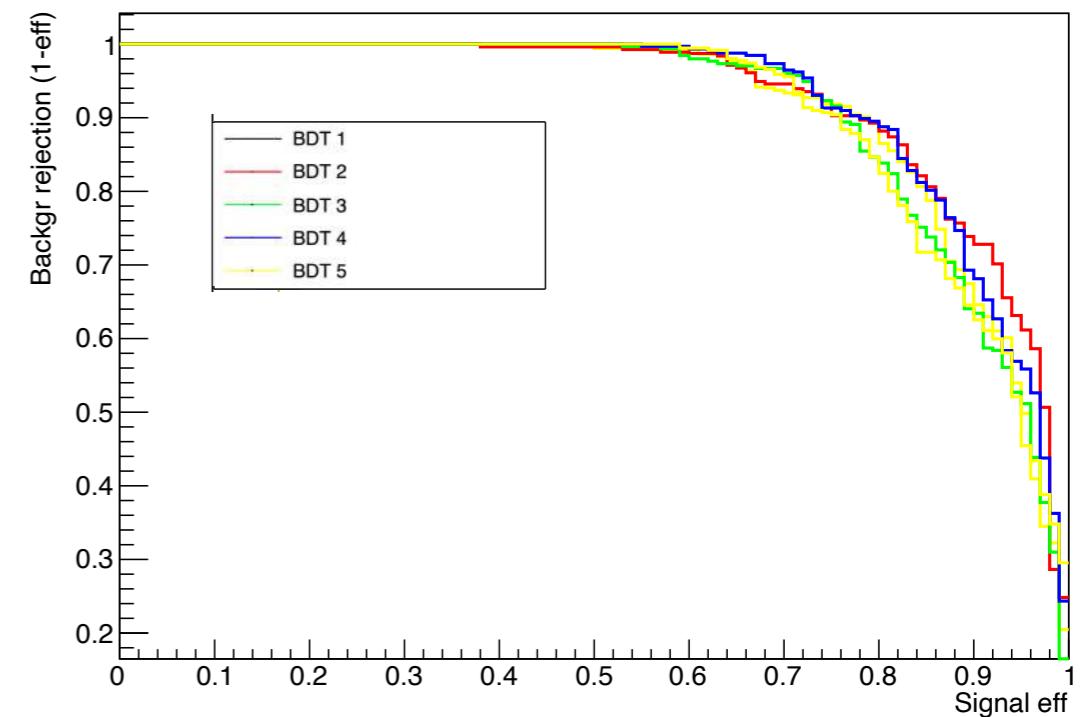
- Truth info used to obtain the label
- TMVA-based Gradient BDT: One score
  - Variable optimisation
  - Negative weight strategy ( $\sim 36\%$  of neg)
    - Same profiles (all vs pos-only)? Yes
    - Same fraction of negative weights in both categories? Yes
- Hyperparameter optimisation: Grid search
- $k$ -folding for training ( $k = 5$ )



# BDT lepton origin - Model

## Ranked variables

- |                                     |  |
|-------------------------------------|--|
| 1. $m_{vis}^{opt1}(H)$              | 6. $\Delta R(\text{leading } b\text{-tagged jet}, \ell_1)$   |
| 2. $\Delta\eta(\tau_{had}, \ell_1)$ | 7. $m_{pred}^{opt2}(t)$                                      |
| 3. $m_{vis}^{opt2}(H)$              | 8. $\Delta R(b\text{-tagged jet}, \ell_2)$                   |
| 4. $\Delta\eta(\tau_{had}, \ell_2)$ | 9. $\Delta\eta(\text{closest } b\text{-tagged jet}, \ell_1)$ |
| 5. $m_{pred}^{opt1}(t)$             |  |



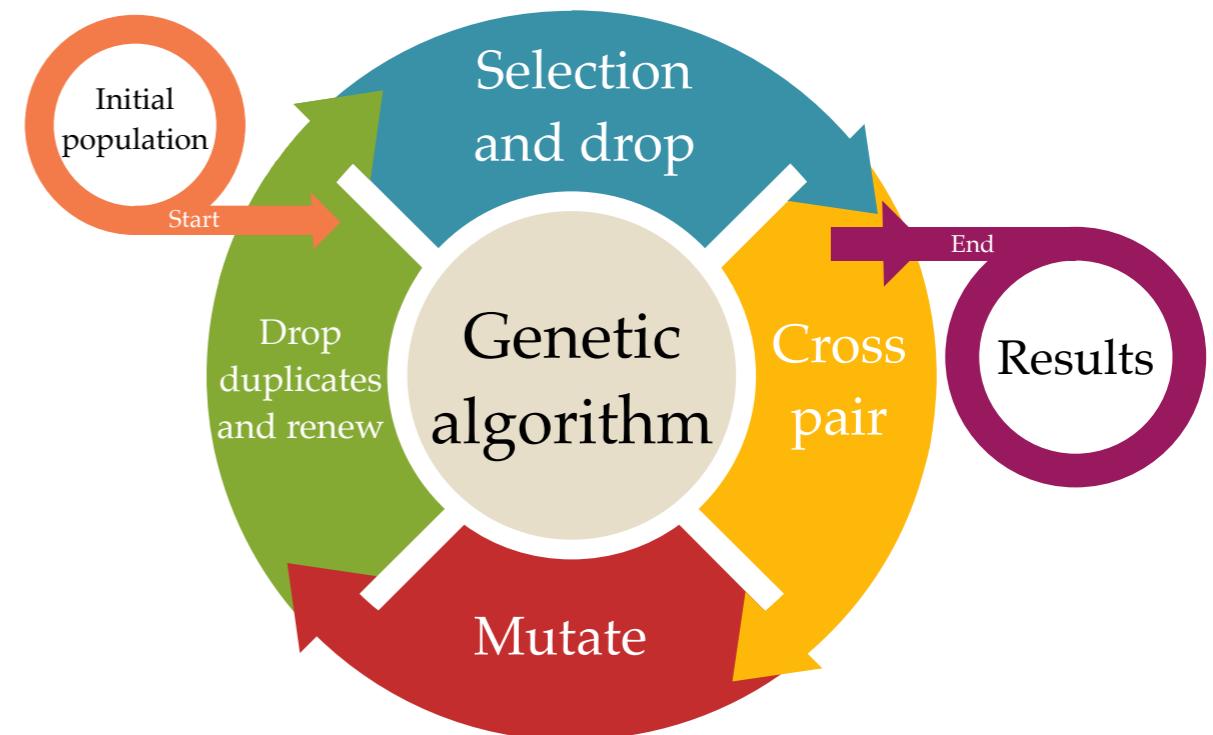
- Optimised threshold

$\ell_1$  from  $H$  and  $\ell_2$  from  $t$

$\ell_1$  from  $t$  and  $\ell_2$  from  $H$

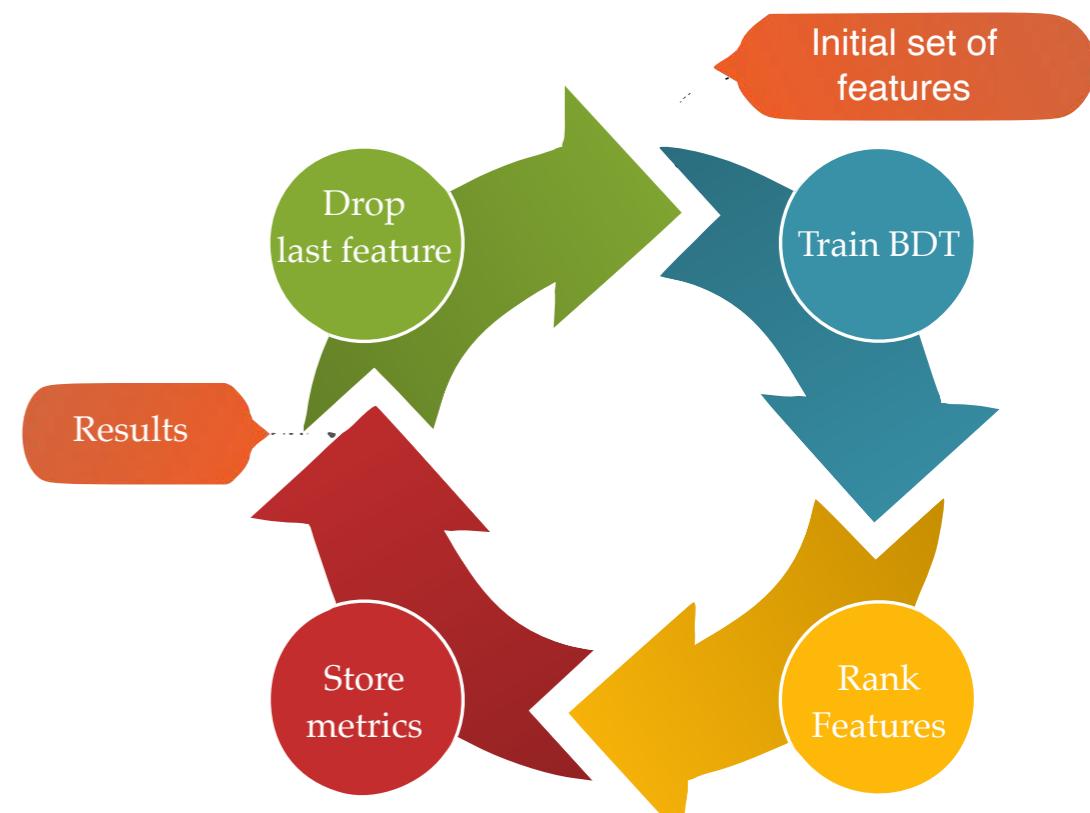
# BDTs for process separation

- Improve signal sensitivity using MVA method
- The XGBoost classifier has been used to enhance the signal-to-background separation due to its high performance.
  - $k$ -folding method for training ( $k = 5$ )
  - Negative weights strategy: Only positive or Absolute weights
  - Iterative algorithm for variable optimisation
  - Genetic algorithm for hyperparameter optimisation
- Trained on events that pass the PR requirements.
- Three different binary BDTs are used:
  - $\text{BDT}(tHq | \text{OS})$
  - $\text{BDT}(t\bar{t} | \text{OS})$
  - $\text{BDT}(tHq | \text{SS})$



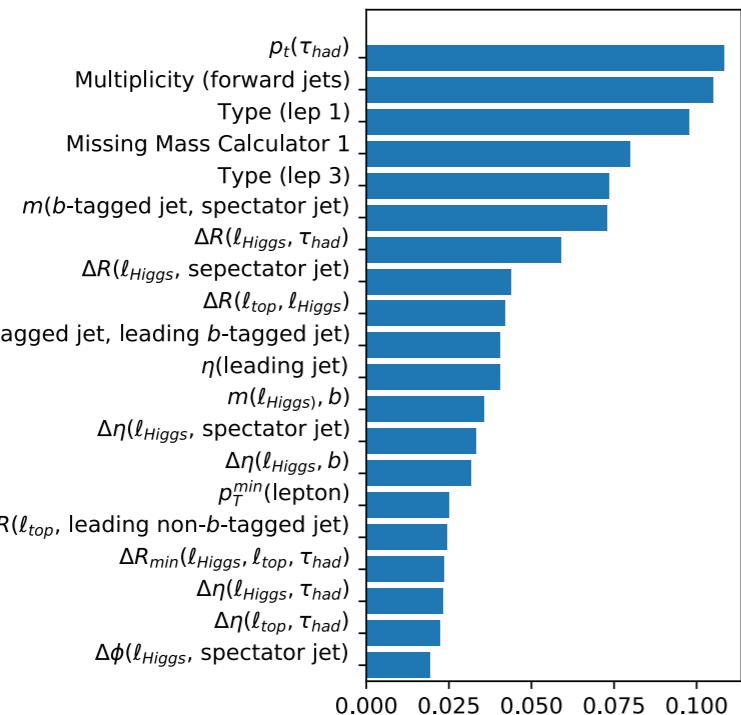
# BDTs for region def - Variables

Variable name	BDT( $tHq _{OS}$ )	BDT( $t\bar{t} _{OS}$ )	BDT( $tHq _{SS}$ )
$\Delta\eta(\ell_{Higgs}, \tau_{had})$	✓	-	✓
$\Delta R(\ell_{top}, \text{leading non-}b\text{-tagged jet})$	✓	-	-
$\Delta\eta(\text{forward non-}b\text{-tagged jet, leading }b\text{-tagged jet})$	✓	-	✓
Visible mass (top quark)	-	✓	-
$p_T(b\text{-tagged jet})$	-	✓	-
$m(\ell_{Higgs}, b\text{-tagged jet})$	✓	✓	-
Missing Mass Calculator 1	✓	-	-
$p_T(\ell_{top})$	-	-	✓
$E(\ell_{top})$	-	-	✓
$\Delta R_{min}(\ell_{Higgs}, \ell_{top}, \tau_{had})$	✓	-	-
$m(b\text{-tagged jet, spectator jet})$	✓	-	-
$\Delta\eta(\text{forward non-}b\text{-tagged jet, subleading }b\text{-tagged jet})$	-	✓	-
DL1R calibrated score of subleading $b$ -tagged jet	-	✓	-
DL1R calibrated score of leading $b$ -tagged jet	-	✓	-
$\Delta\phi(\ell_{Higgs}, \text{spectator jet})$	✓	-	✓
$\Delta R(\ell_{top}, \ell_{Higgs})$	✓	-	✓
Multiplicity (forward jets)	✓	-	-
$\Delta\eta(\text{leading lepton, closest }b\text{-tagged jet})$	-	✓	-
$\Delta\eta(\ell_{top}, \ell_{Higgs})$	-	✓	✓
$\Delta R(\ell_{Higgs}, \tau_{had})$	✓	-	-
$p_T^{\min}(\text{lepton}) \parallel p_T \text{ of the softest lepton}$	✓	-	-
$\Delta R(\ell_{Higgs}, \text{spectator jet})$	✓	-	-
Type ( $\ell_1$ )	✓	-	-
Type ( $\ell_3$ )	✓	-	-
$m_T(\ell_{top} + \text{leading }b\text{-tagged jet})$	-	-	✓
$\Delta\eta(\ell_{top}, \text{leading non-}b\text{-tagged jet})$	-	-	✓
$\Delta\eta(\ell_{Higgs}, \text{leading }b\text{-tagged jet})$	✓	-	-
$m(\ell_{Higgs} + \ell_{top})$	-	✓	-
$p_T(\tau_{had})$	✓	-	✓
$p_T(\text{jet 3})$	-	✓	-
$\eta(\text{leading jet})$	✓	-	-
$\Delta\eta(\ell_{Higgs}, \text{spectator jet})$	✓	-	-
$E_T^{\text{miss}}$	-	✓	-
$\Delta\eta(\ell_{top}, \tau_{had})$	✓	-	-
Multiplicity central jets	-	-	✓

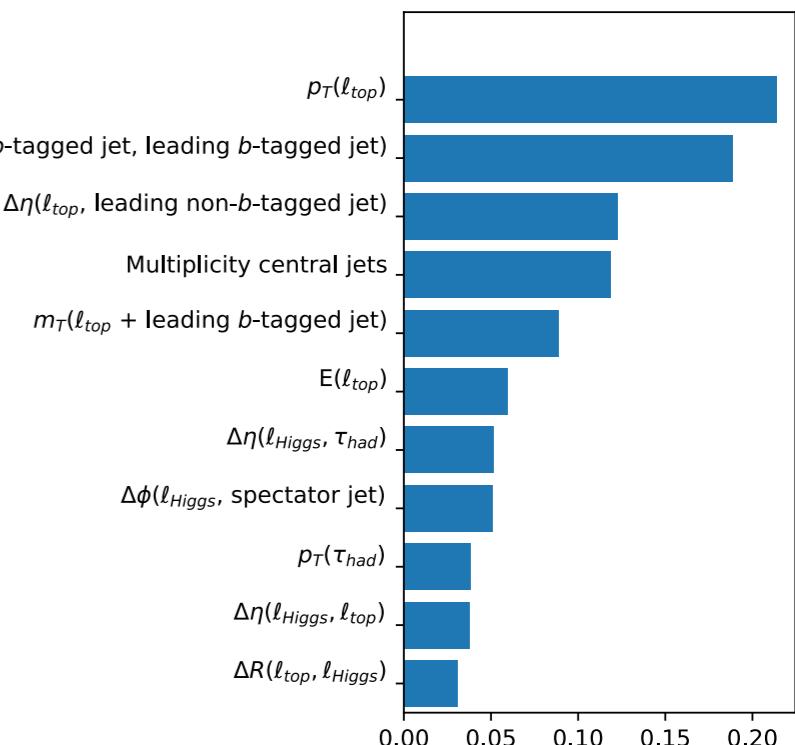


# BDTs for region def - Variables

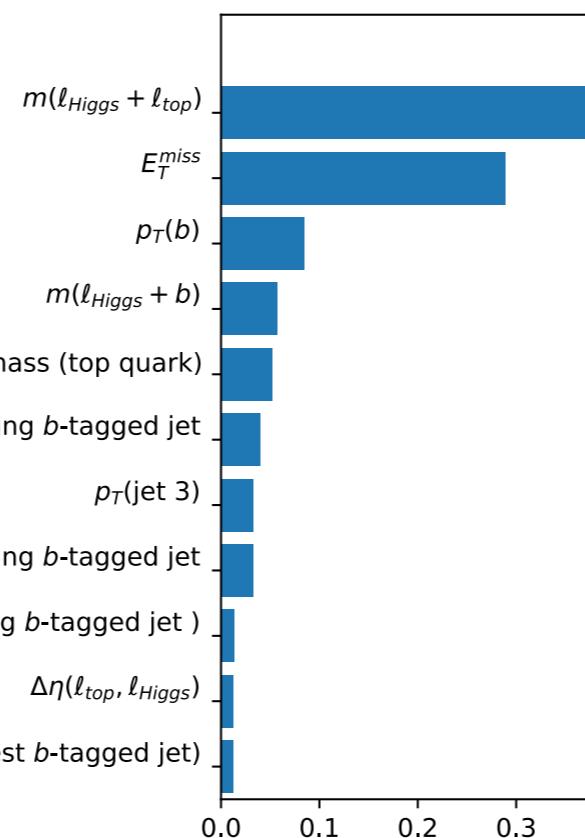
BDT( $tHq | OS$ )



BDT( $tHq | SS$ )



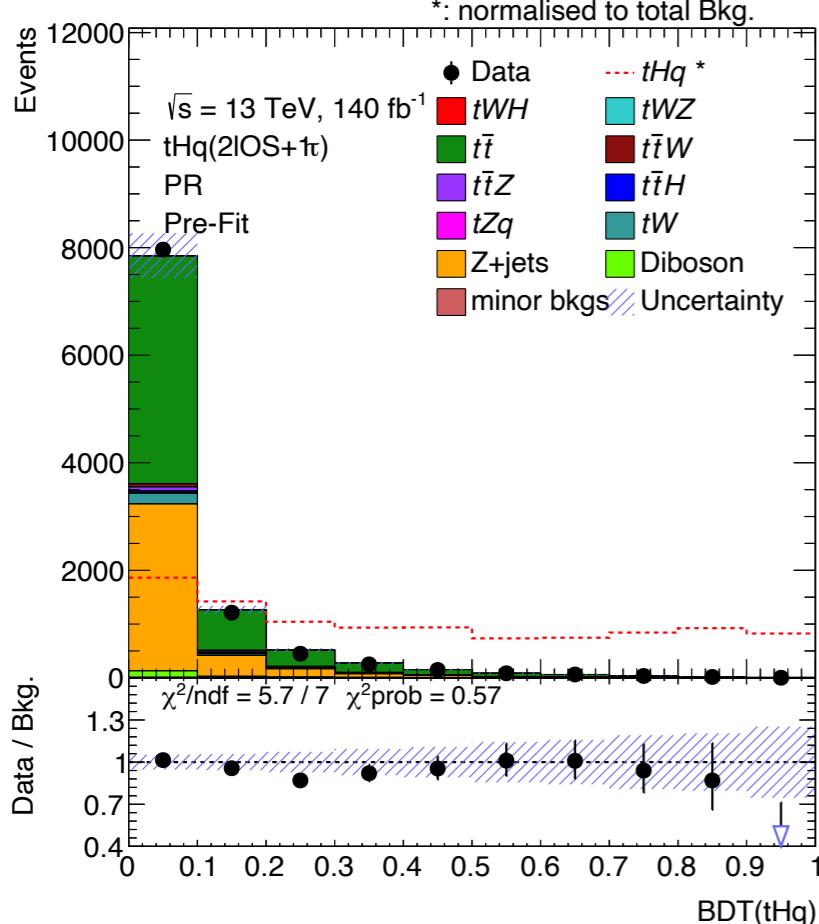
BDT( $t\bar{t} | OS$ )



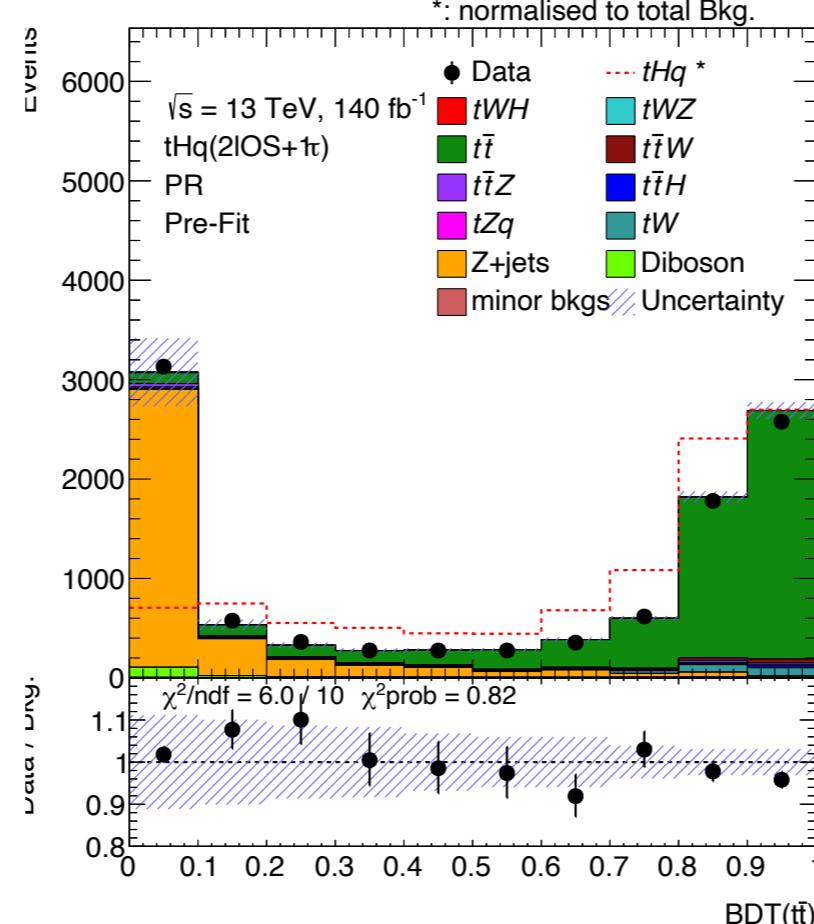
Ranking allows for interpretability

# BDTs for region def - Scores

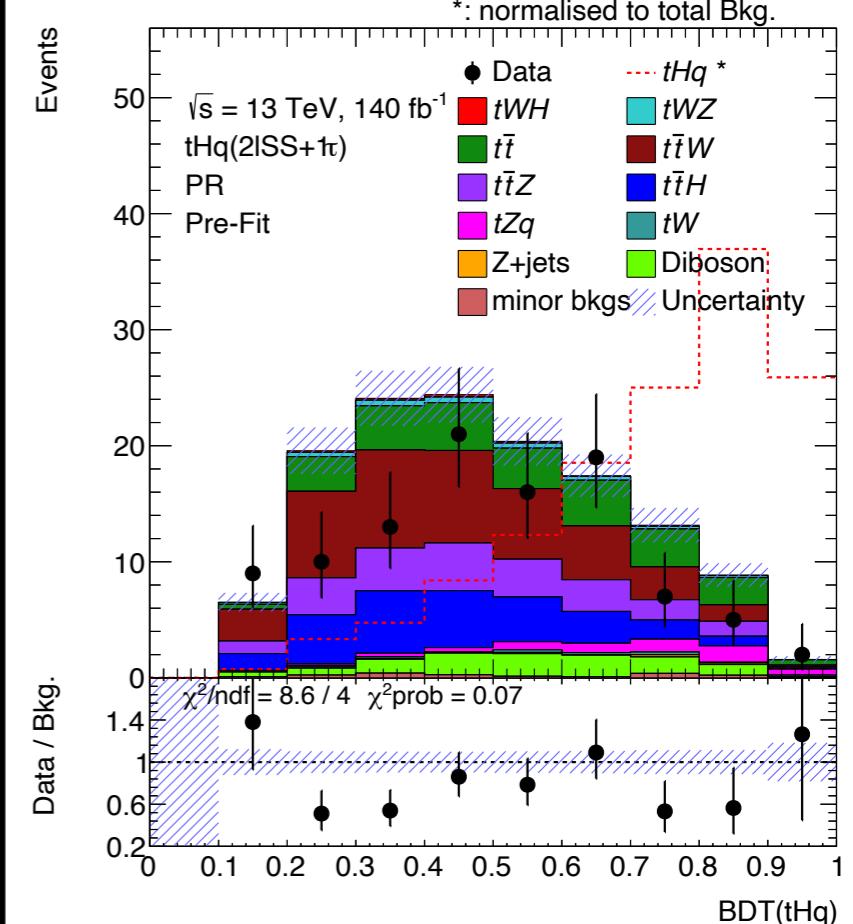
BDT( $tHq$  | OS)



BDT( $t\bar{t}$  | OS)



BDT( $tHq$  | SS)

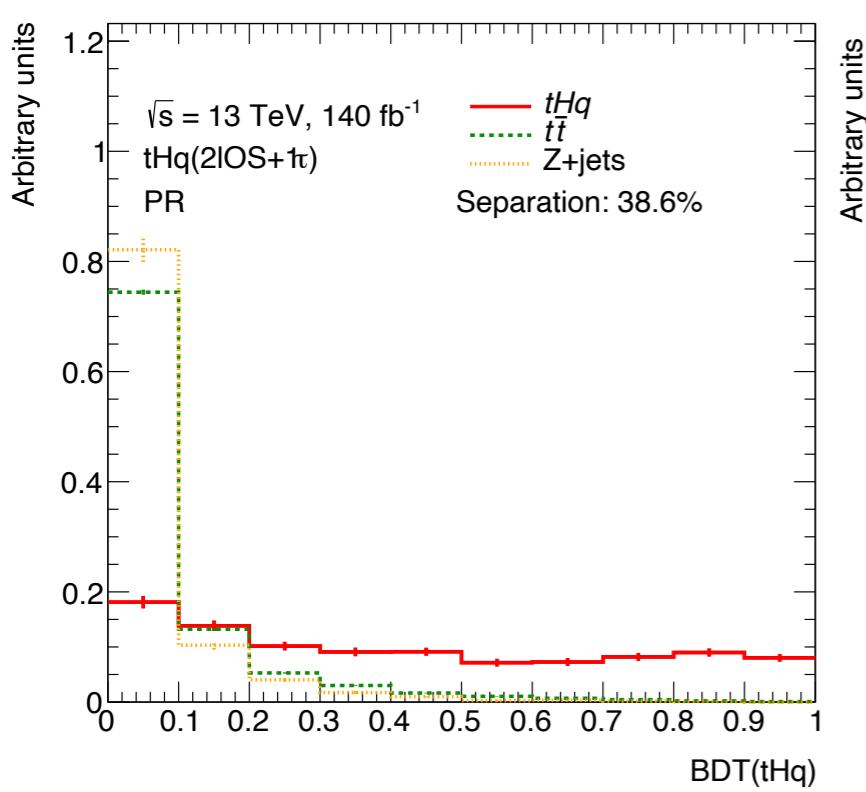


$2\ell\text{OS} + \tau_{had}$

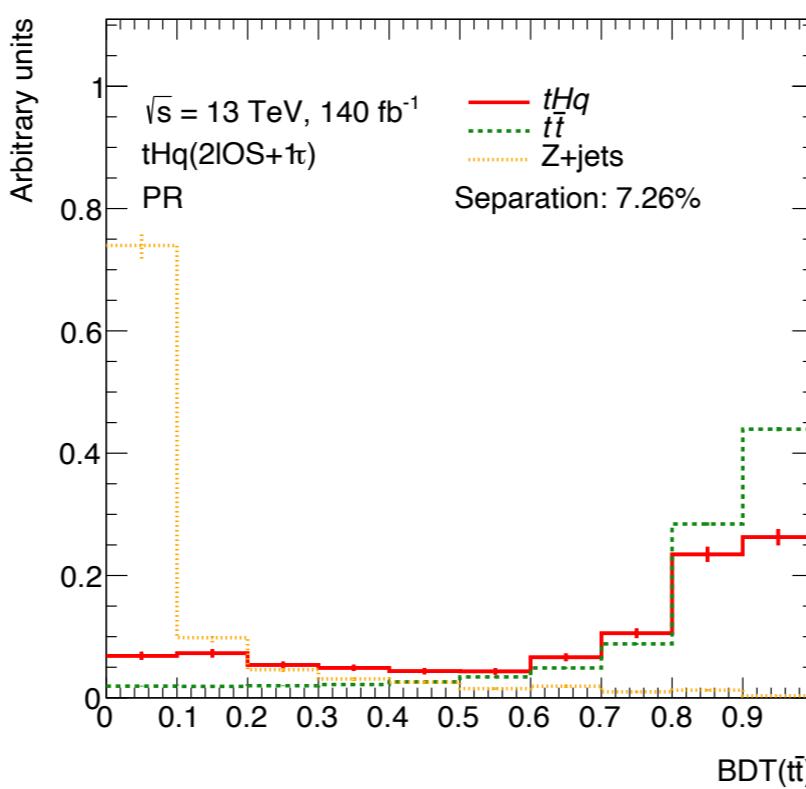
$2\ell\text{SS} + \tau_{had}$

# BDTs for region def - Scores

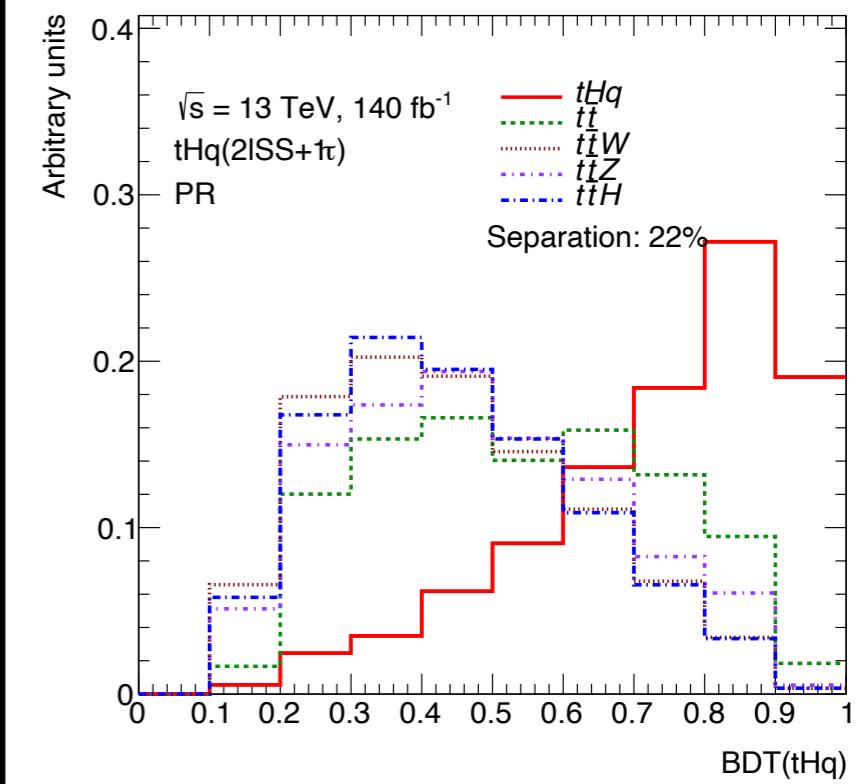
$\text{BDT}(tHq | \text{OS})$



$\text{BDT}(t\bar{t} | \text{OS})$



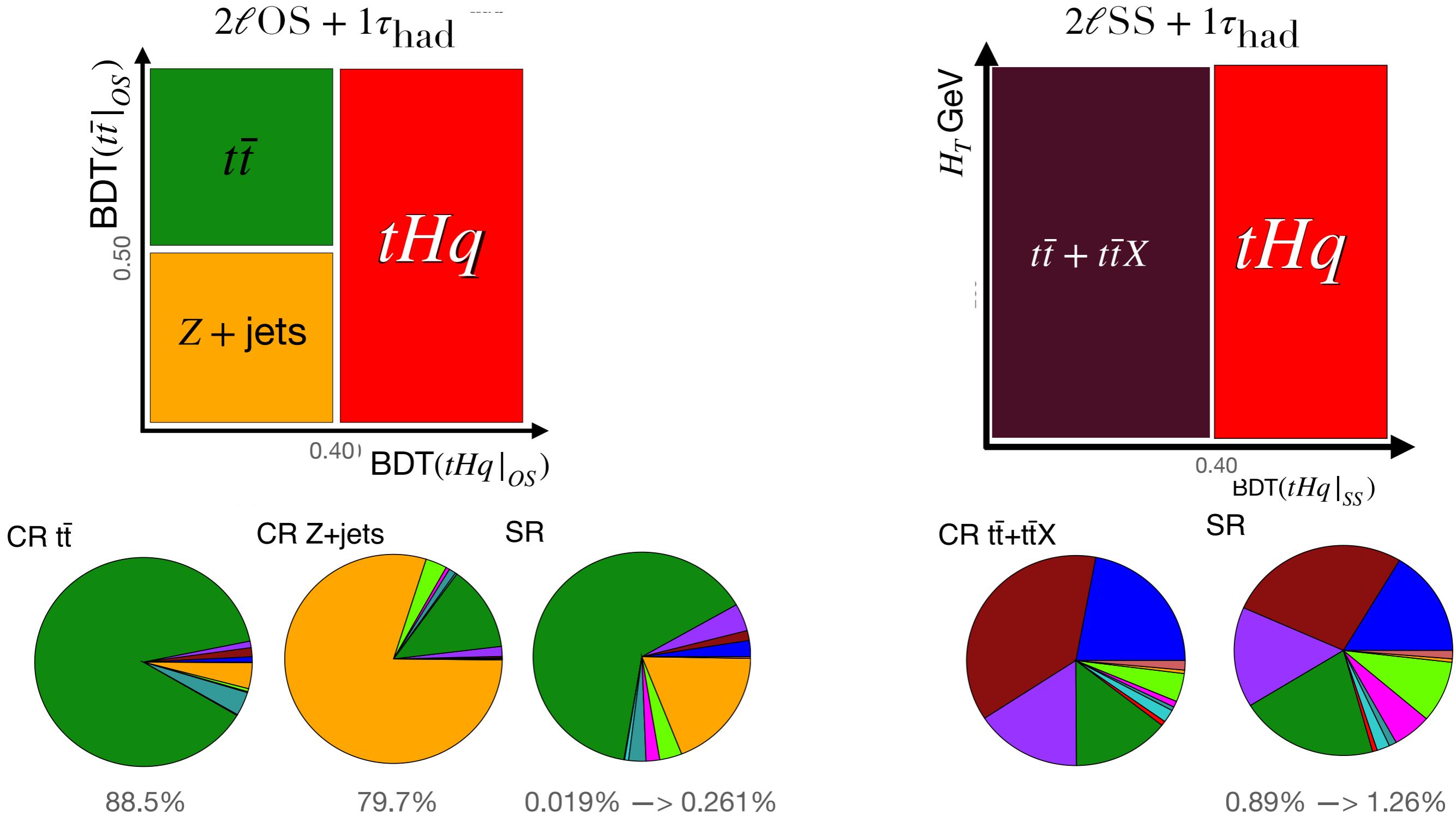
$\text{BDT}(tHq | \text{SS})$



$2\ell\text{OS} + \tau_{had}$

$2\ell\text{SS} + \tau_{had}$

# Region definition



# The Binned Profile Likelihood fit

- Profile likelihood binned fit to test the experimental confirmation of the  $tHq$  process
- Shape analysis, based on distributions of continuous observables
- Signal and background predictions depend on parameters:  $\mu(tHq)$  and  $k_p$
- Build a global likelihood function: 
$$L(\vec{n}|\mu, \vec{\theta}) = \prod_{i \in \text{bins}} \mathcal{P}\left(n_i^{\text{obs}} | \mu \cdot s_i^{\text{exp}}(\vec{\theta}) + b_i^{\text{exp}}(\vec{\theta})\right) \times \prod_{j \in \text{syst}} \mathcal{G}(\theta_j^0 | \theta_j, \Delta\theta_j) \times \prod_{s \in \gamma} \mathcal{P}(\theta_s^0 | \theta_s, \Delta\theta_s)$$
- Solving for
  - Parameters of interest:  $\mu_{tHq}^{2\ell OS+1\tau}$  and  $\mu_{tHq}^{2\ell SS+1\tau}$
  - Free-floating normalisations:  $k_{t\bar{t}}^{2\ell OS+1\tau}$ ,  $k_{Z+jets}^{2\ell OS+1\tau}$  and  $k_{t\bar{t}+t\bar{t}X}^{2\ell SS+1\tau}$
- All systematics included
- Systematic pruning: shape 1%, normalisation 0.5%

# Systematics

Detector uncertainties:

- Luminosity, Pile-up rew., Electron, Muon, Tau, Jet [JES, JER, FTAG, JVT, fJVT], MET

Modelling Uncertainties:

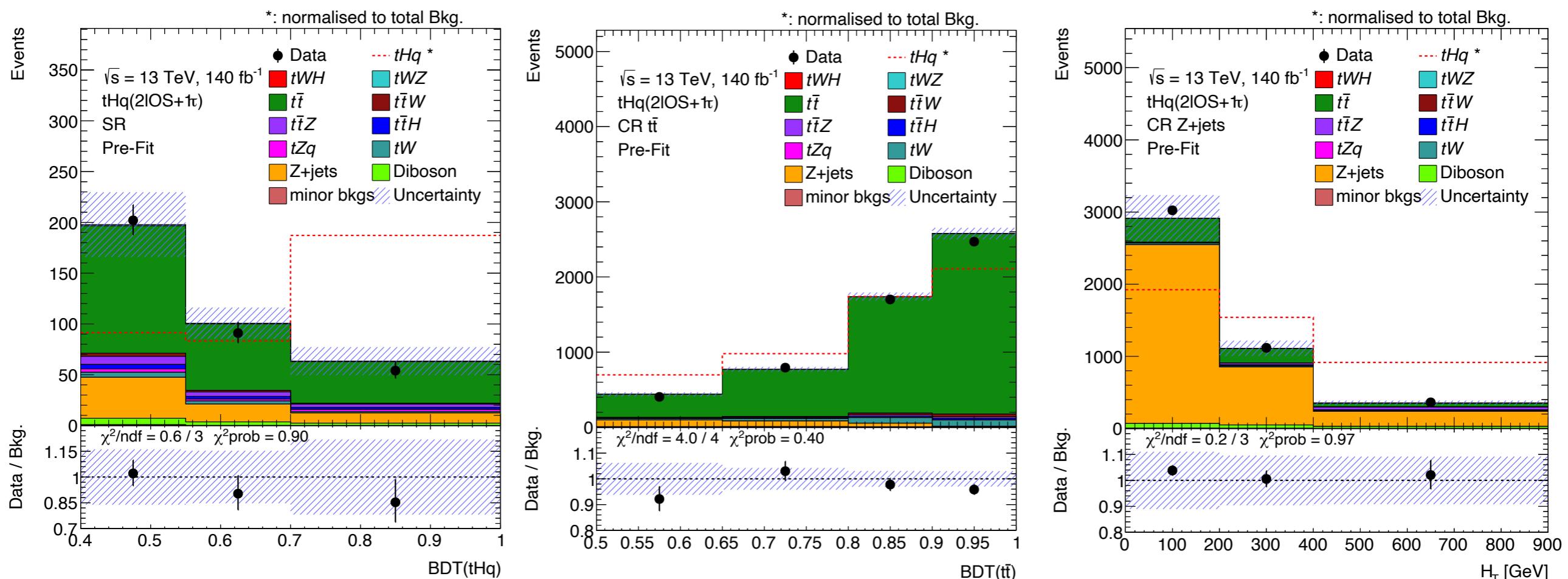
- $tHq$ : PS
- $t\bar{t}$ : ME, PS, ISR, FSR, hdamp
- Single top-quark: ME, PS, ISR, FSR
- $t\bar{t}H$ : ME, PS, ISR, FSR
- $tZq$ ,  $ttZ$ ,  $ttW$ : PS, ME, ISR
- Diboson: MEPS
- $tWH$ : PS
- $\mu_R$  and  $\mu_F$  variations for  $tHq$ ,  $t\bar{t}$ ,  $t\bar{t}H$ ,  $t\bar{t}V$ ,  $tZq$ ,  $tWH$ , diboson,  $V+$ jets
- Cross-section uncertainty for all processes (except for processes being fitted)
- PDF uncertainties:  $tHq$ ,  $t\bar{t}$ ,  $t\bar{t}H$ ,  $t\bar{t}V$ ,  $tZq$ ,  $tWH$  and diboson

Light lepton fakes and Tau fakes (template fit / counting method)

# The Binned Profile Likelihood fit

$2\ell\text{OS} + 1\tau_{\text{had}}$

Floating normalisations:  $\mu_{tHq}^{2\ell\text{OS}+1\tau}$   $k_{t\bar{t}}^{2\ell\text{OS}+1\tau}$   $k_{Z+\text{jets}}^{2\ell\text{OS}+1\tau}$



SR

- $\text{BDT}(tHq) \geq 0.4$

CR( $t\bar{t}$ )

- $\text{BDT}(tHq) < 0.4$
- $\text{BDT}(t\bar{t}) \geq 0.5$

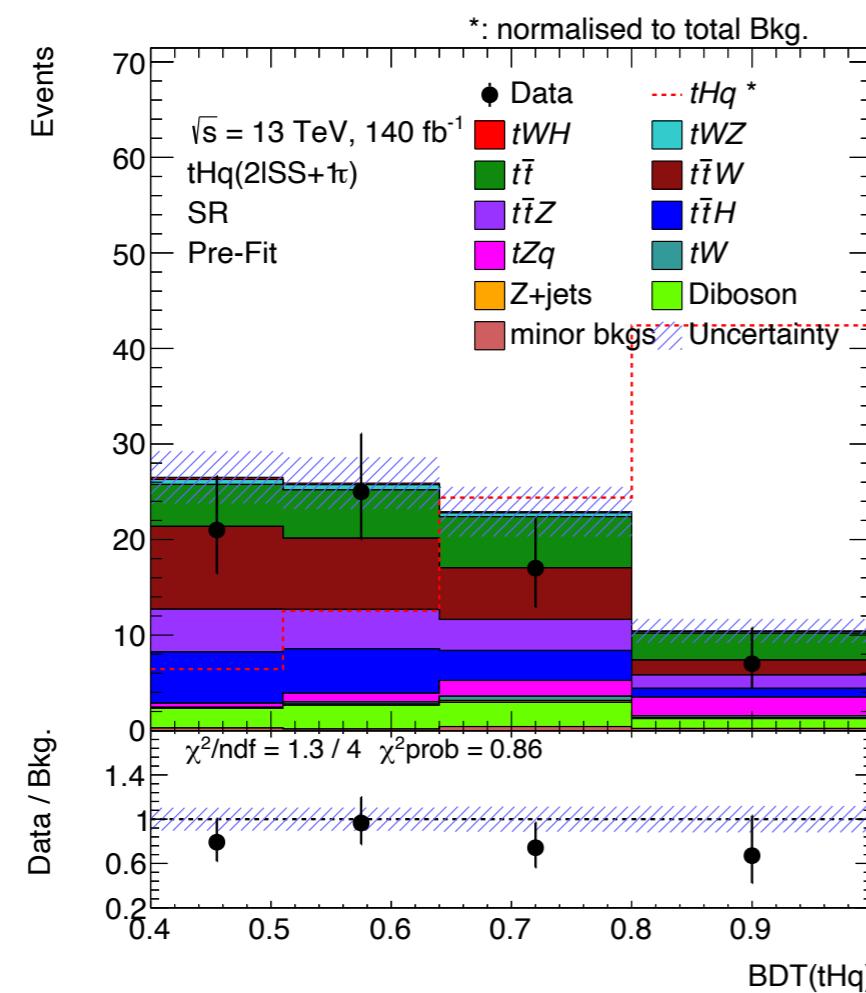
CR( $Z+\text{jets}$ )

- $\text{BDT}(tHq) < 0.4$
- $\text{BDT}(t\bar{t}) < 0.5$

# The Binned Profile Likelihood fit

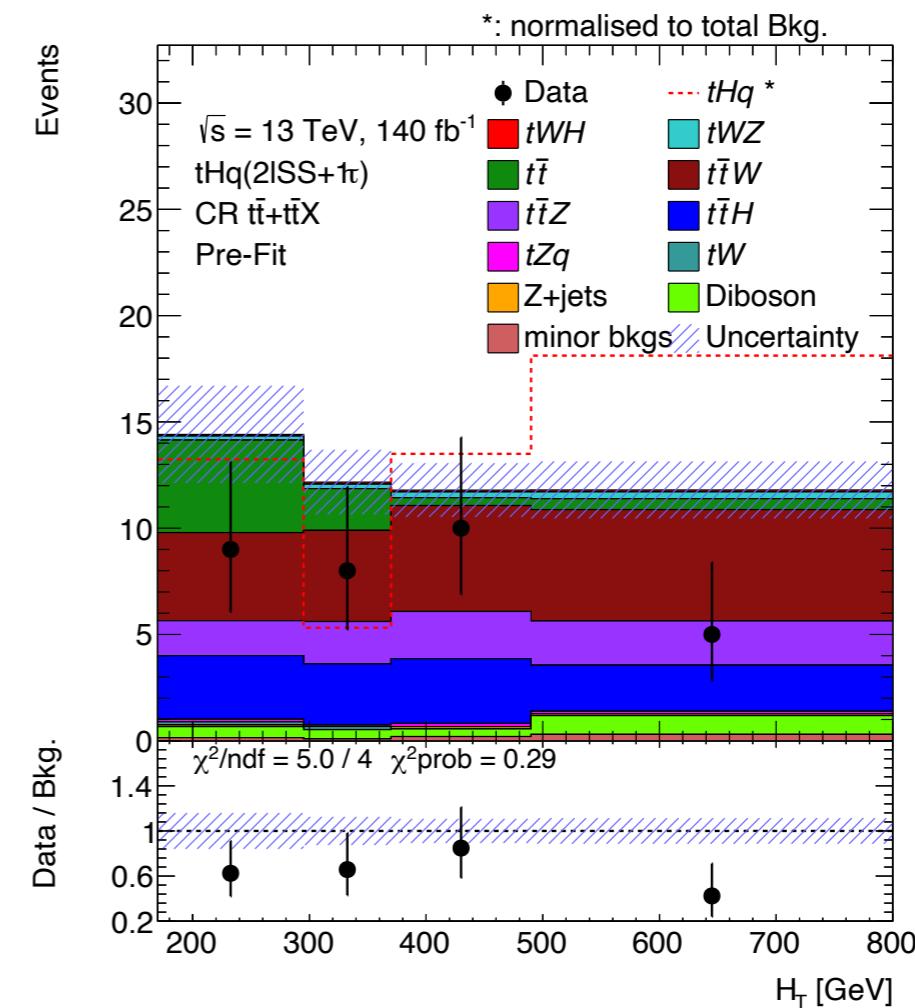
$2\ell SS + 1\tau_{\text{had}}$

Floating normalisations:  $\mu_{tHq}^{2\ell SS+1\tau}$   $k_{t\bar{t}+t\bar{t}X}^{2\ell SS+1\tau}$



SR

- $\text{BDT}(tHq) \geq 0.4$



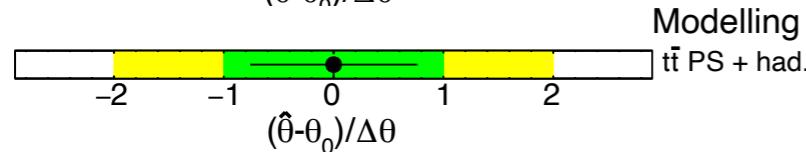
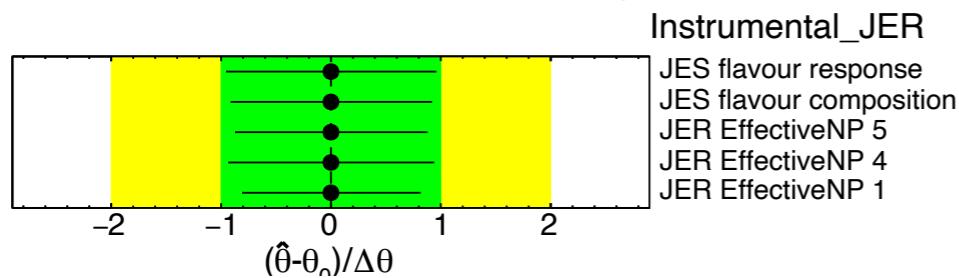
CR( $t\bar{t} + t\bar{t}X$ )

- $\text{BDT}(tHq) < 0.4$

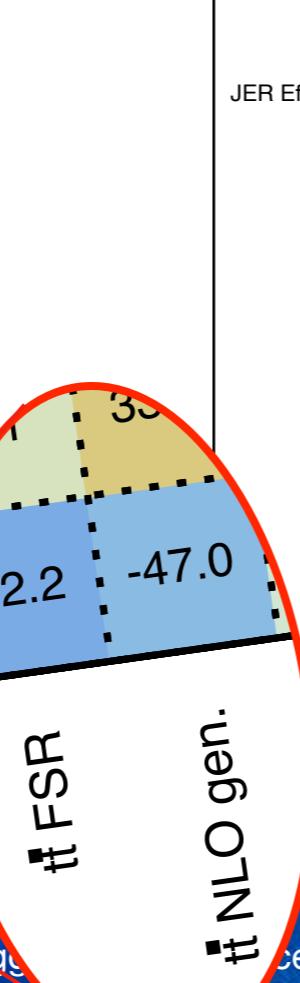
# Asimov Fit - NPs

$2\ell\text{OS} + 1\tau_{\text{had}}$

- No significant constraints except:  
JES/JER ||  $t\bar{t}$  Modelling

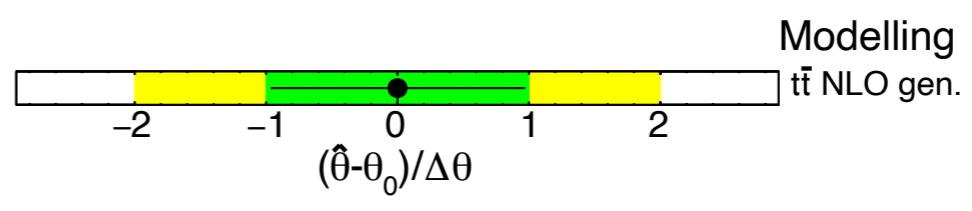


	Electron ID eff.	0.1	0.0	0.0	0.6	1.1	0.3	0.4	0.2	0.6	0.0	0.1	0.3	0.1	0.1	0.6	0.9	0.8	0.0	7.1	28.1	1.8
Electron isol. eff. (syst)	-0.1	0.0	0.0	0.0	0.2	0.2	0.0	0.3	0.3	0.0	0.1	0.3	0.1	0.1	0.4	0.3	0.4	0.1	6.8	24.0	0.7	
b-tag Eigenvar. 0	0.0	0.0	0.0	0.0	0.2	0.2	0.2	0.5	0.8	0.4	0.1	0.1	0.0	0.0	0.1	0.0	0.0	0.1	1.6	31.3	0.3	
c-tag Eigenvar. 0	0.0	0.0	0.0	0.1	0.2	1.5	0.7	0.0	0.5	0.5	0.2	0.5	0.0	0.0	0.1	0.0	0.2	0.0	0.1	2.0	28.2	0.2
light-tag Eigenvar. 0	0.0	0.0	0.0	0.1	0.1	1.1	0.5	0.3	0.1	0.5	0.3	0.7	0.0	0.0	0.1	0.0	0.2	0.3	0.1	2.9	28.7	0.1
JER DataVsMC MC16	0.6	0.2	0.2	0.2	0.1	1.0	0.3	0.6	0.7	0.1	0.3	0.3	0.1	0.1	0.2	0.6	0.8	0.0	1.6	2.9	1.9	
JER EffectiveNP 1	-1.1	0.2	0.2	1.5	1.1	15.3	1.0	0.0	2.3	9.0	4.2	9.3	-0.6	-0.8	-0.2	-0.7	2.4	0.2	3.2	5.4	8.3	0.2
JER EffectiveNP 3	0.3	0.0	-0.2	0.7	0.5	6.0	2.3	1.0	0.0	0.0	0.2	0.3	0.1	0.1	0.1	0.1	0.1	0.1	2.3	3.1	2.1	1.4
JER EffectiveNP 4	-0.4	-0.3	-0.5	0.0	0.3	4.7	9.0	5.3	10.0	18.1	8.2	-0.4	1.0	0.6	0.4	1.3	0.3	0.4	5.0	3.8	3.0	1.6
JER EffectiveNP 5	0.2	0.3	0.8	0.5	0.1	6.7	4.2	1.8	18.1	10.0	8.2	0.3	-0.7	-2.0	-0.2	3.9	0.1	0.9	7.1	7.9	5.1	0.4
JES flavour composition	-0.6	0.0	-0.4	-0.5	-0.5	3.1	9.3	-0.7	-0.2	6.2	10.0	6.3	-12.4	-2.0	-0.3	2.8	0.2	0.9	0.4	-1.8	0.8	2.4
JES pileup offset NPV	0.0	0.1	0.1	-0.2	-0.3	0.3	0.6	-1.2	-0.4	0.3	1.0	0.0	0.0	0.1	0.8	0.2	0.4	2.1	0.9	4.7	2.1	16.8
JES pileup p topology	0.1	0.3	0.1	-0.5	-0.7	2.3	7.8	0.7	1.0	0.7	12.4	5.3	10.0	1.0	0.2	1.7	0.4	1.2	2.9	0.0	0.7	2.8
JES effective NP modeling 1	-0.3	0.1	0.0	0.0	0.0	0.0	1.7	2.2	0.3	0.6	2.0	2.0	0.8	0.1	0.0	0.1	0.1	0.1	3.1	5.6	0.9	0.2
Muon isol. eff. (syst)	-0.1	0.1	0.0	0.0	0.0	0.4	0.7	0.2	0.4	0.2	0.3	0.1	0.2	0.2	0.0	0.0	0.1	0.5	0.6	0.6	0.1	10.0
Pile-up rew.	0.3	0.1	0.1	0.1	0.1	2.2	2.4	0.3	1.3	3.9	2.8	0.8	1.7	1.0	0.2	1.0	0.2	0.0	0.1	2.6	0.7	0.1
Luminosity	-0.1	0.0	0.0	0.0	0.0	0.1	0.2	0.1	0.3	0.1	0.2	0.4	0.1	0.0	0.1	0.0	0.3	0.3	0.2	0.6	24.3	
Diboson NNPDF30 37	-0.1	0.1	0.0	0.2	0.2	0.3	0.2	1.6	0.4	0.9	0.9	0.4	1.2	0.1	0.1	0.3	0.3	0.3	0.3	0.8	31.1	0.5
$\eta$ FSR	0.6	0.4	0.1	-0.3	-1.2	5.4	2.3	5.0	7.1	0.4	2.1	2.9	0.1	0.5	0.1	0.3	0.3	0.2	0.2	0.4	2.2	32.2
$\eta$ NLO gen.	-0.9	0.3	0.1	0.2	0.2	5.6	8.3	3.1	0.8	7.9	-1.8	-0.9	0.0	0.1	0.6	0.6	0.6	0.1	0.1	2.0	3.0	47.0
$\eta$ PS + had.	0.8	0.4	0.1	0.2	0.1	2.8	0.2	2.1	0.0	0.1	0.7	0.5	0.3	0.4	0.4	0.4	0.4	0.2	0.2	0.4	0.3	3.8
$\eta$ hadm-p+stop	0.0	-0.1	0.1	0.3	0.3	1.0	4.3	2.3	1.6	0.4	2.4	2.1	2.8	0.9	0.1	0.2	0.2	0.8	2.4	3.0	0.2	2.0
$\eta$ Z+jets	-7.1	8.8	16.8	26.2	28.1	1.6	12.4	6.4	6.4	6.9	2.0	20.0	16.8	15.2	10.0	18.1	8.8	31.1	8.2	9.0	6.0	34.8
$\eta$ t̄t	98.1	94.0	91.3	9.3	26.7	2.9	2.0	5.7	10.8	0.5	1.0	0.9	22.5	0.2	27.2	31.3	24.3	0.3	2.1	5.4	3.4	94.8
$\mu(tHq)$	1.8	0.7	0.3	0.2	0.1	1.9	19.8	1.4	9.4	2.5	7.8	3.1	4.9	2.0	1.4	1.0	0.5	0.5	22.2	4.0	21.2	20.0



$2\ell\text{SS} + 1\tau_{\text{had}}$

- No constraints



	JER DataVsMC MC16	-0.2	-0.0	-0.0	-0.1	-0.0	-0.1	0.0	15.4	-1.4
JER EffectiveNP 1	-0.2	100.0	0.1	-0.2	-0.6	-0.3	-0.4	-0.2	19.7	-5.0
JER EffectiveNP 10	-0.0	0.1	100.0	0.0	0.3	0.2	0.2	0.1	15.2	-0.0
JER EffectiveNP 12restTerm	-0.0	-0.2	0.0	100.0	-0.1	-0.1	-0.1	-0.0	15.2	-2.3
JER EffectiveNP 3	-0.1	-0.6	0.3	-0.1	100.0	-0.3	-0.4	-0.1	18.4	-4.3
JER EffectiveNP 4	-0.0	-0.3	0.2	-0.1	-0.3	100.0	-0.2	-0.1	17.5	-2.9
JER EffectiveNP 5	-0.1	-0.4	0.2	-0.1	-0.4	-0.2	100.0	-0.1	16.2	-1.0
JER EffectiveNP 9	0.0	-0.2	0.1	-0.0	-0.1	-0.1	-0.1	100.0	15.2	-2.2
$k(t\bar{t}+t\bar{t}X)$	15.4	19.7	15.2	15.2	18.4	17.5	16.2	15.2	100.0	-35.7
$\mu(tHq)$	-1.4	-5.0	-0.0	-2.3	-4.3	-2.9	-1.0	-2.2	-35.7	100.0

	JER DataVsMC MC16	JER EffectiveNP 1	JER EffectiveNP 10	JER EffectiveNP 12restTerm	JER EffectiveNP 3	JER EffectiveNP 4	JER EffectiveNP 5	JER EffectiveNP 9	$k(t\bar{t}+t\bar{t}X)$	$\mu(tHq)$
JER DataVsMC MC16										
JER EffectiveNP 1										
JER EffectiveNP 10										
JER EffectiveNP 12restTerm										
JER EffectiveNP 3										
JER EffectiveNP 4										
JER EffectiveNP 5										
JER EffectiveNP 9										
$k(t\bar{t}+t\bar{t}X)$										
$\mu(tHq)$										

# Asimov fit - Grouped impact

$2\ell\text{OS} + 1\tau_{\text{had}}$

Expected

Uncertainty source	Grouped impact
MC uncertainty	$\pm 7.845$
<b>Modelling</b>	
Theoretical uncertainties	$\pm 11.917$
PDF uncertainty	$\pm 7.895$
<b>Experimental</b>	
Instrumental	$\pm 4.917$
Flavour tagging	$\pm 0.439$
JES/JER	$\pm 10.851$
NormFactors	$\pm 10.493$
<b>Total systematic uncertainty</b>	$\pm 28.511$

$2\ell\text{SS} + 1\tau_{\text{had}}$

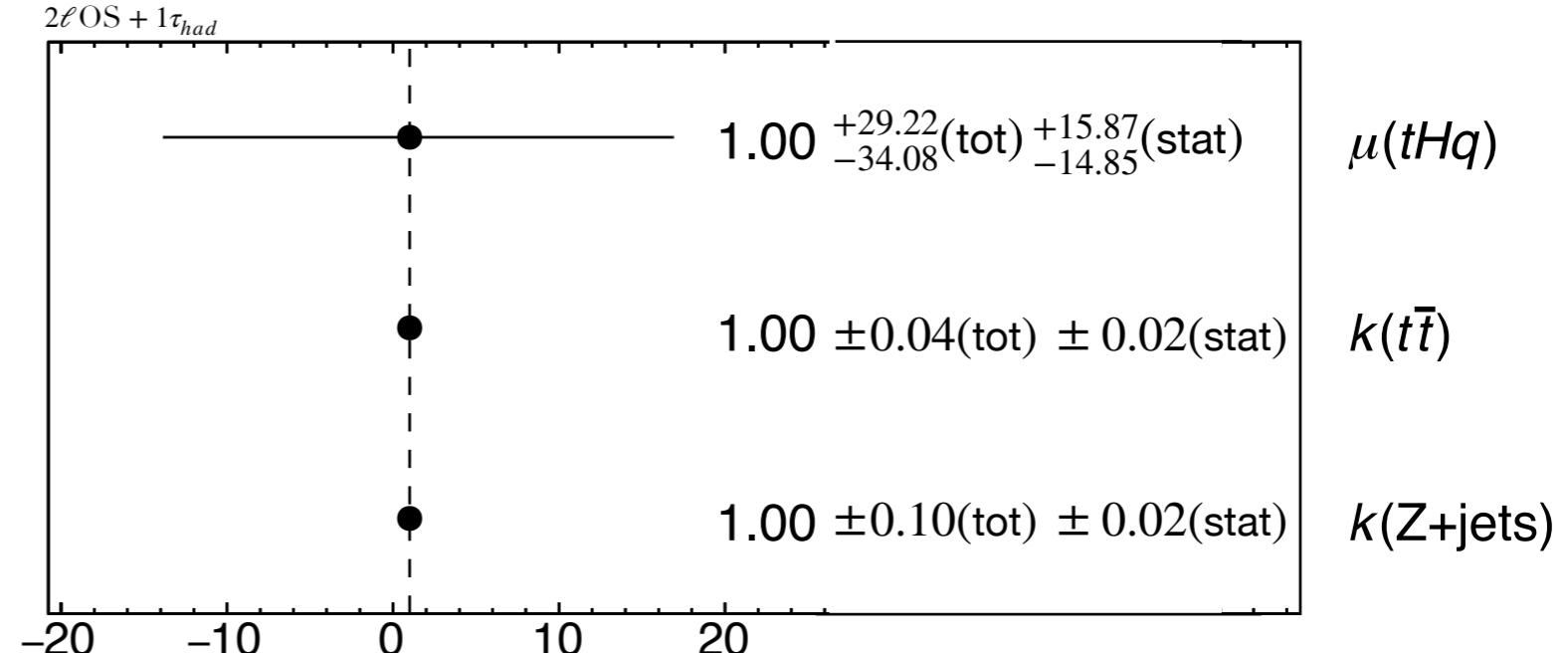
Expected

Uncertainty source	Grouped impact
MC uncertainty	$\pm 1.376$
<b>Modelling</b>	
Theoretical uncertainties	$\pm 1.262$
PDF uncertainty	$\pm 0.839$
<b>Experimental</b>	
Instrumental	$\pm 0.793$
Flavour tagging	$\pm 0.163$
JES/JER	$\pm 2.877$
NormFactors	$\pm 2.725$
<b>Total systematic uncertainty</b>	$\pm 4.614$

# Asimov fit - Expected sensitivity

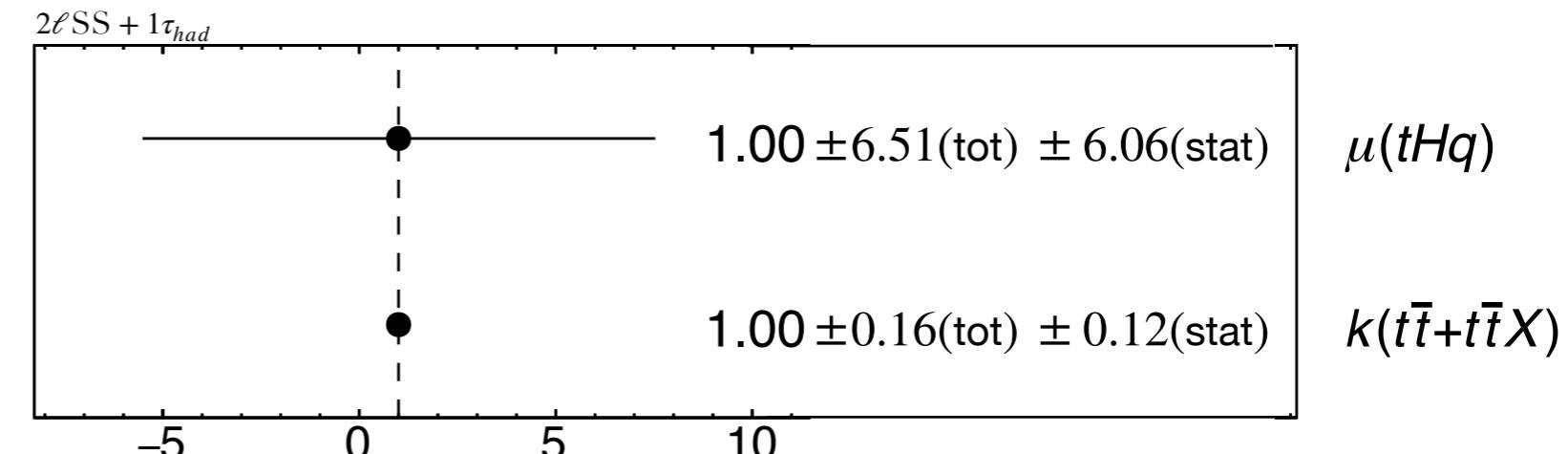
$2\ell\text{OS} + 1\tau_{had}$

- Dominated by systematic uncertainty



$2\ell\text{SS} + 1\tau_{had}$

- Dominated by statistical uncertainty
- Most sensitive

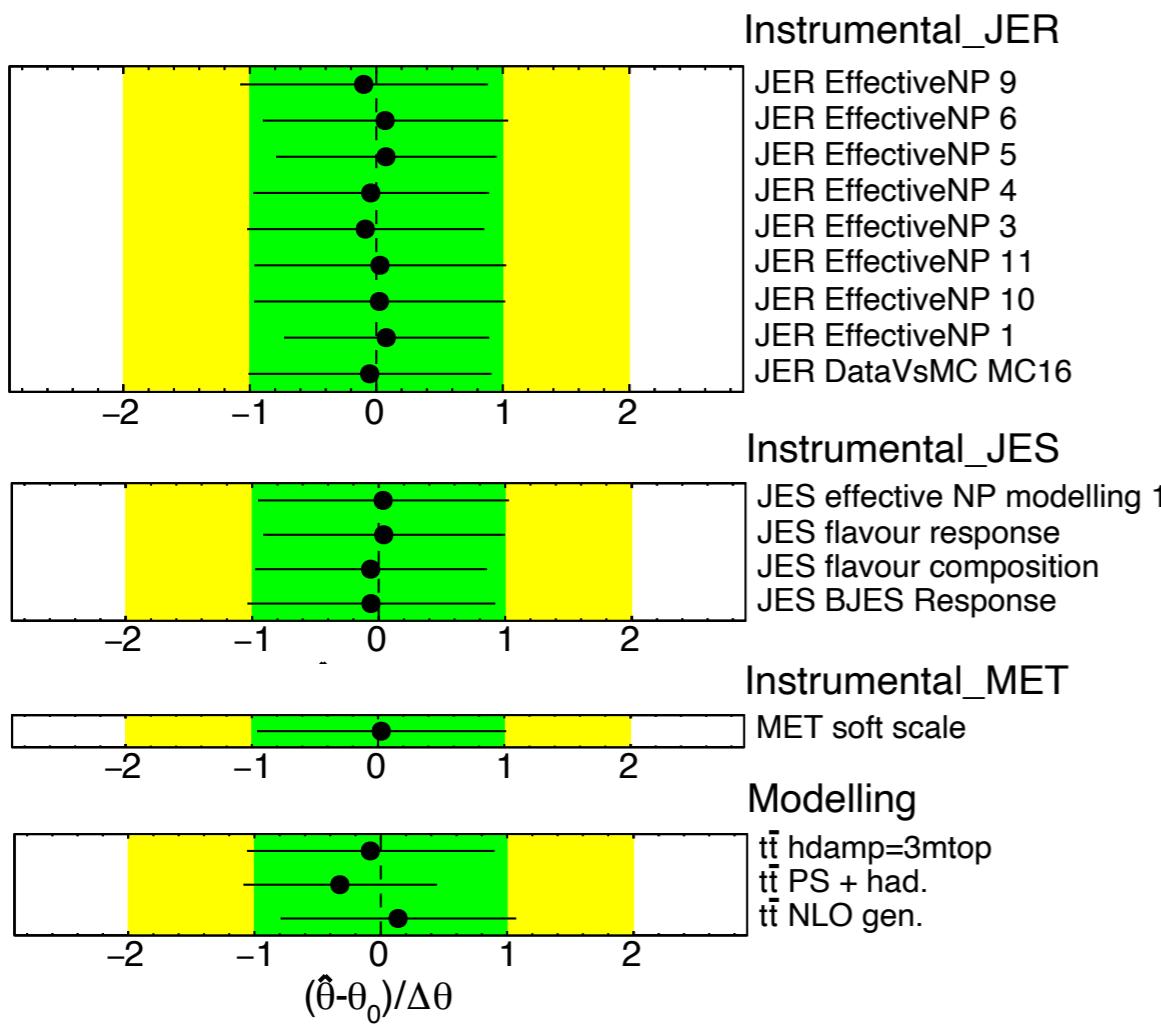


$$\mu_{tHq} = \frac{\sigma_{tHq}}{\sigma_{tHq}^{SM}}$$

# Fit - Pulled NPs

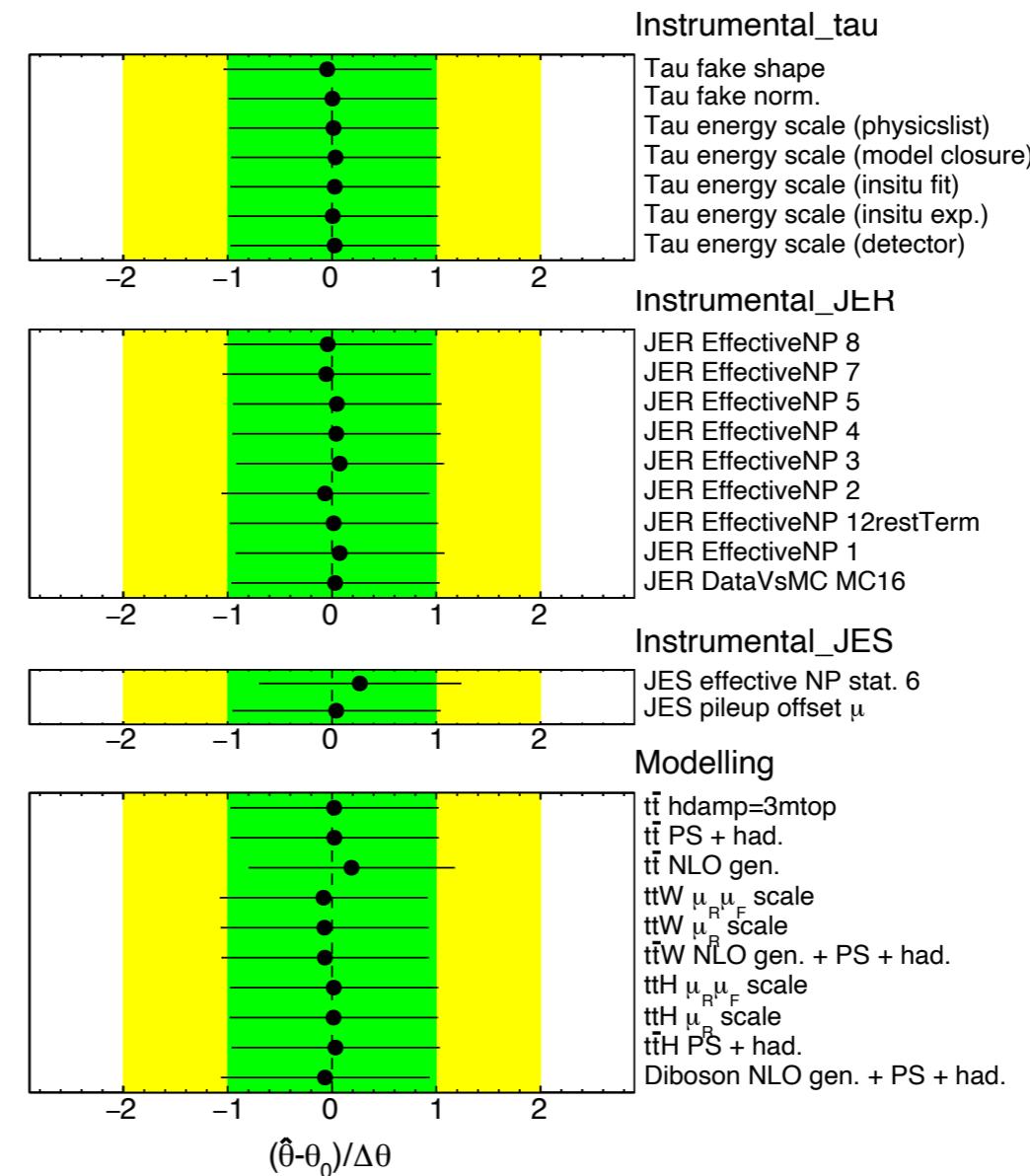
$2\ell\text{OS} + 1\tau_{\text{had}}$

- No significant pulls except:
  - $t\bar{t}$  Modelling, JES/JER



$2\ell\text{SS} + 1\tau_{\text{had}}$

- No significant constraints except:
  - $t\bar{t}$  Modelling, JES/JER,  $\tau$ -misID



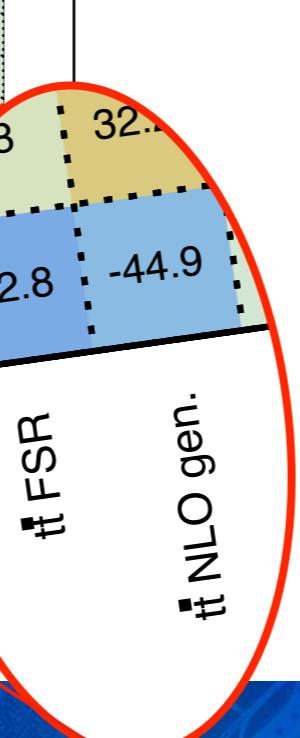
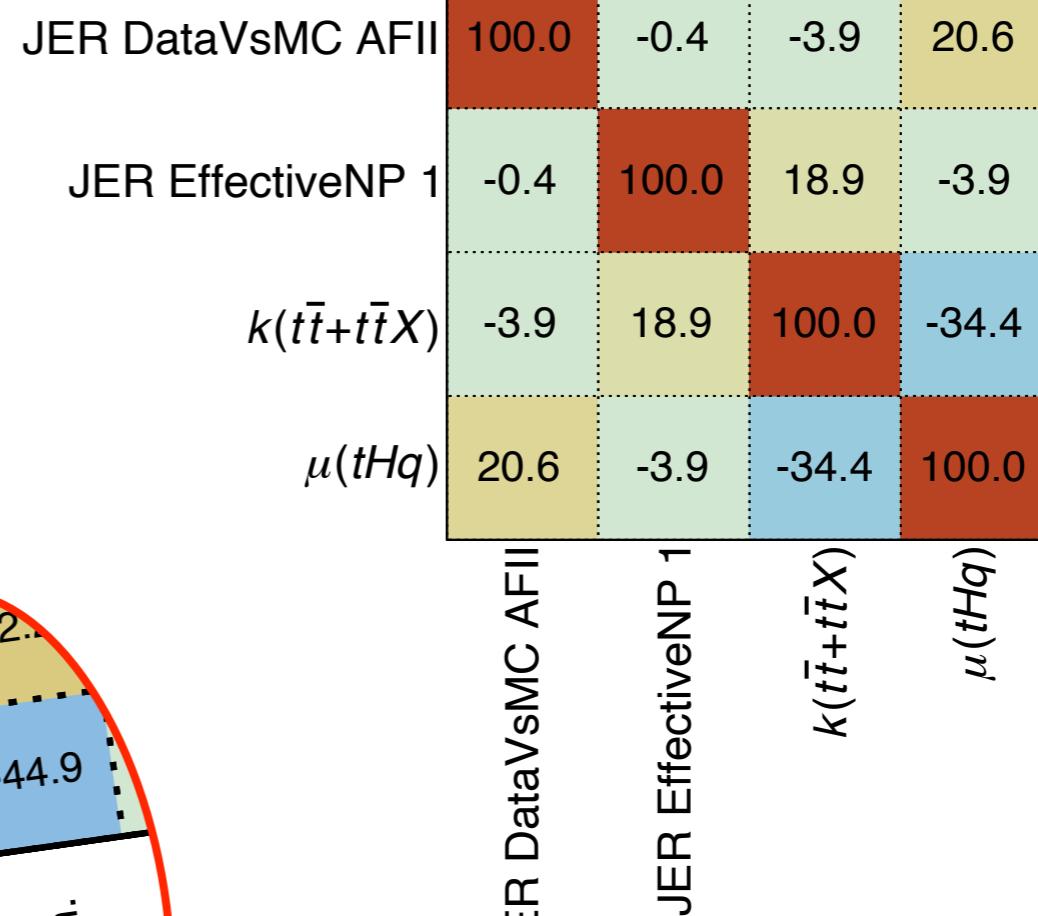
# Fit - Correlation matrices

$2\ell\text{OS} + 1\tau_{\text{had}}$

- $t\bar{t}$  modelling with  $\mu_{tHq}$

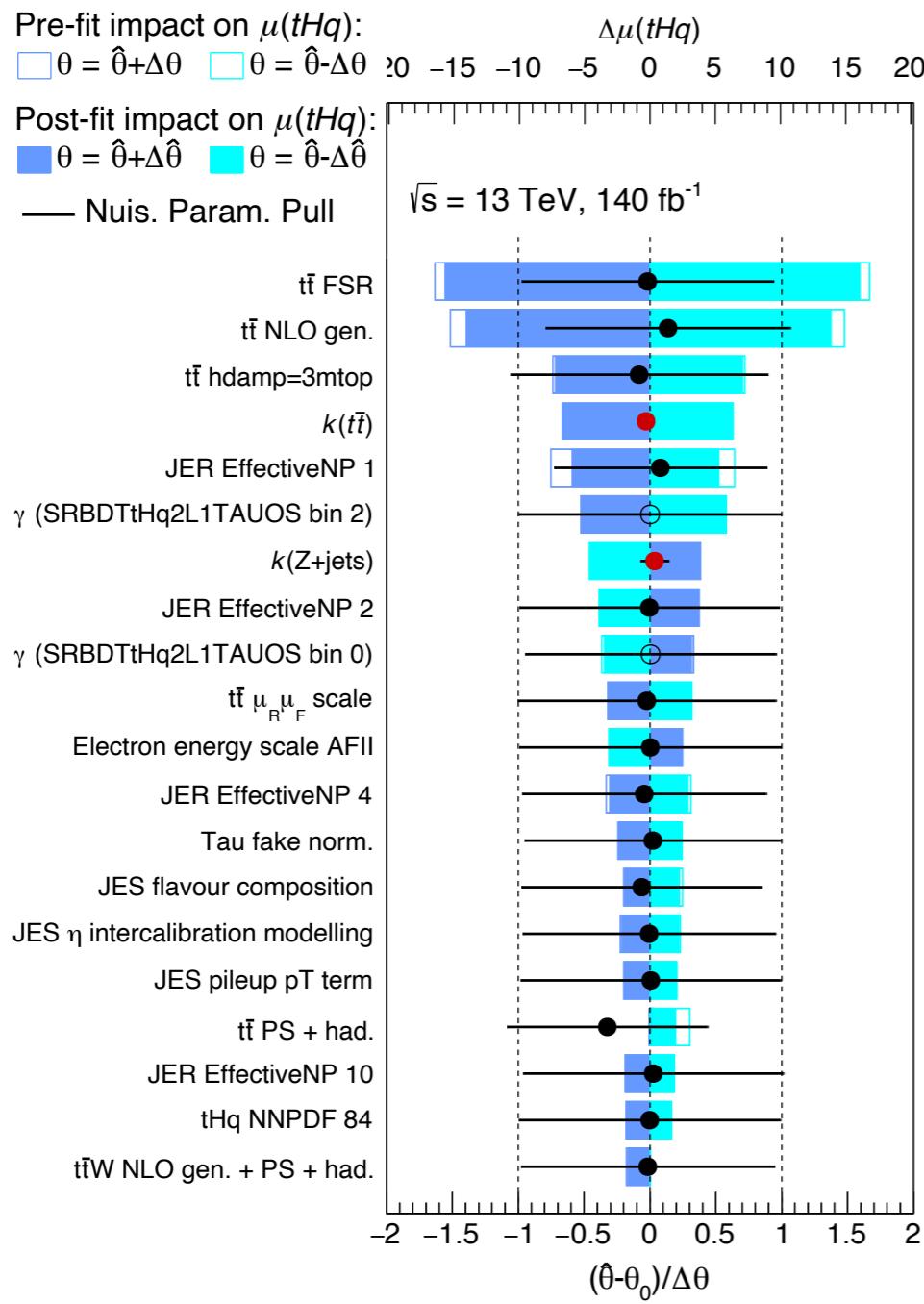
	Electron ID eff.	-0.1	-0.0	0.0	0.6	-1.1	0.3	-0.4	0.1	-0.6	0.0	0.1	-0.3	-0.1	0.3	-0.1	-0.1	0.7	-0.8	0.8	0.0	-7.2	28.0	-0.9	
Electron isol. eff. (syst)	-0.1	100.0	-0.0	0.0	0.0	0.2	-0.2	0.0	-0.3	0.2	-0.0	0.1	0.3	-0.1	-0.1	0.1	-0.0	-0.1	0.4	-0.3	0.4	-0.1	-6.9	-23.9	-0.1
b-tag Eigenvar. 0	-0.0	-0.0	100.0	-0.0	-0.0	-0.2	0.1	-0.1	-0.5	0.9	-0.4	-0.1	-0.1	0.0	-0.0	-0.1	0.0	0.1	0.0	0.1	0.2	0.1	17.1	31.2	-0.5
c-tag Eigenvar. 0	-0.0	0.0	-0.0	100.0	-0.1	0.2	-1.5	0.8	0.1	0.5	-0.6	-0.2	-0.5	0.0	-0.0	-0.1	0.0	0.3	0.0	0.1	0.2	0.3	26.6	-0.3	-0.2
light-tag Eigenvar. 0	0.0	0.0	-0.0	-0.1	100.0	0.2	-1.1	0.6	0.4	0.1	-0.6	-0.3	-0.7	0.0	0.0	-0.1	0.0	0.3	-0.3	0.2	-0.2	0.3	29.5	26.6	0.1
JER DataVsMC MC16	0.6	0.2	-0.2	0.2	0.2	100.0	15.1	-6.1	-4.4	6.6	3.4	0.1	2.2	1.7	0.4	-2.4	0.1	-0.5	-1.4	5.8	-2.8	-1.0	-1.7	3.2	-1.9
JER EffectiveNP 1	-1.1	-0.2	0.1	-1.5	-1.1	15.1	100.0	23.4	9.4	-4.5	-9.6	-0.6	-7.6	-2.0	-0.6	2.4	0.2	3.0	5.6	-7.8	1.0	4.4	-12.3	-1.7	-18.2
JER EffectiveNP 3	0.3	0.0	-0.1	0.8	0.6	-6.1	23.4	100.0	-5.5	2.0	-0.5	-1.3	0.6	0.3	0.2	0.2	-0.1	-1.6	-2.4	3.1	-2.0	-2.3	6.4	5.9	-1.5
JER EffectiveNP 4	-0.4	-0.3	0.5	0.1	0.4	-4.4	9.4	-5.5	100.0	17.5	-5.2	-0.6	1.0	0.7	-0.3	1.3	-0.3	0.4	4.6	3.8	3.5	1.8	6.3	11.0	10.0
JER EffectiveNP 5	0.1	0.2	0.9	0.5	0.1	6.6	-4.5	2.0	17.5	100.0	6.3	0.4	-0.8	-2.1	0.2	4.0	0.1	-0.9	-6.5	-7.9	5.9	0.7	7.2	-0.2	1.8
JES flavour composition	-0.6	-0.0	-0.4	-0.6	-0.6	3.4	-9.6	-0.5	-5.2	6.3	100.0	-6.6	-12.9	-2.1	-0.3	2.9	0.2	0.8	0.6	-2.0	0.7	2.5	-29.0	-1.3	-6.9
JES pileup offset NPV	0.0	0.1	-0.1	-0.2	-0.3	0.1	-0.6	-1.3	-0.6	0.4	-6.6	100.0	-5.3	-0.8	0.1	0.8	0.2	0.5	-2.2	-1.1	4.6	2.1	-16.9	0.7	-3.1
JES pileup $\rho$ topology	0.1	0.3	-0.1	-0.5	-0.7	2.2	-7.6	0.6	1.0	-0.8	-12.9	-5.3	100.0	-1.0	0.2	1.8	0.4	0.9	-2.8	-0.2	0.5	2.9	-37.8	-22.5	-5.2
JES effective NP modelling 1	-0.3	-0.1	0.0	0.0	0.0	1.7	-2.0	0.3	0.7	-2.1	-2.1	-0.8	-1.0	100.0	-0.2	1.0	-0.1	-0.1	0.0	-3.0	6.0	1.1	-15.5	0.3	-2.3
Muon isol. eff. (syst)	-0.1	-0.1	-0.0	-0.0	0.0	0.4	-0.6	0.2	-0.3	0.2	-0.3	0.1	0.2	-0.2	100.0	0.2	-0.0	-0.1	0.5	-0.6	0.5	-0.1	-10.1	-27.0	-0.5
Pile-up rew.	0.3	0.1	-0.1	-0.1	-0.1	-2.4	2.4	0.2	-1.3	4.0	2.9	0.8	1.8	1.0	0.2	100.0	0.1	0.3	-0.0	2.5	-0.5	0.2	-19.6	31.3	-1.4
Luminosity	-0.1	0.0	-0.0	0.0	0.0	0.1	0.2	0.1	0.3	0.1	0.2	0.2	0.4	-0.1	0.0	0.1	100.0	-0.1	0.4	-0.3	0.3	-0.1	-8.7	-24.2	0.1
Diboson NNPDF30 37	-0.1	-0.1	0.1	0.3	0.3	-0.5	3.0	-1.6	-0.4	-0.9	0.8	0.5	0.9	-0.1	-0.1	0.3	-0.1	100.0	0.2	-0.2	0.4	0.8	-29.6	0.5	-0.5
$t\bar{t}$ FSR	0.7	0.4	0.0	0.0	-0.3	-1.4	5.6	-2.4	4.6	-6.5	0.6	-2.2	-2.8	-0.0	0.5	-0.0	0.4	0.2	100.0	-1.1	6.8	2.1	7.7	1.1	-1.1
$t\bar{t}$ NLO gen.	-0.8	-0.3	0.1	0.1	0.2	5.8	-7.8	3.1	3.8	-7.9	-2.0	-1.1	-0.2	-3.0	-0.6	2.5	-0.3	-0.2	-1.1	100.0	-19.3	2.8	0.7	1.1	-1.1
$t\bar{t}$ PS + had.	0.8	0.4	0.2	0.2	-0.2	-2.8	1.0	-2.0	-3.5	5.9	0.7	4.6	-0.5	6.0	0.5	-0.5	0.3	-0.4	6.8	19.3	100.0	-2.8	-9.0	0.1	-0.1
$t\bar{t}$ hdamp=3mstop	-0.0	-0.1	0.1	0.3	0.3	-1.0	4.4	-2.3	-1.8	0.7	2.5	2.1	2.9	1.1	-0.1	0.2	-0.1	-0.8	2.1	2.8	-2.8	100.0	-6.2	-0.2	-0.2
$k(t\bar{t})$	-7.2	-6.9	17.1	26.6	29.5	-1.7	-12.3	6.4	6.3	7.2	-29.0	-16.9	-37.8	-15.5	-10.1	-19.6	-8.7	-29.6	7.7	0	9.0	6.2	100.0	0.5	-0.5
$k(Z+jets)$	-28.0	-23.9	31.2	-0.3	26.6	3.2	-1.7	5.9	11.0	-0.2	-1.3	0.7	-22.5	0.3	-27.0	-31.3	-24.2	0.5	1.8	32.2	4.5	3.3	35.4	0.1	-0.1
$\mu(tHq)$	-0.9	-0.1	-0.5	-0.2	0.1	-1.9	-18.2	-1.5	-10.0	1.8	-6.9	-3.1	-5.2	-2.3	-0.5	-1.4	0.1	-0.3	32.8	-4.9	3.1	-23.7	13.9	0.1	-0.1

- No significant correlation

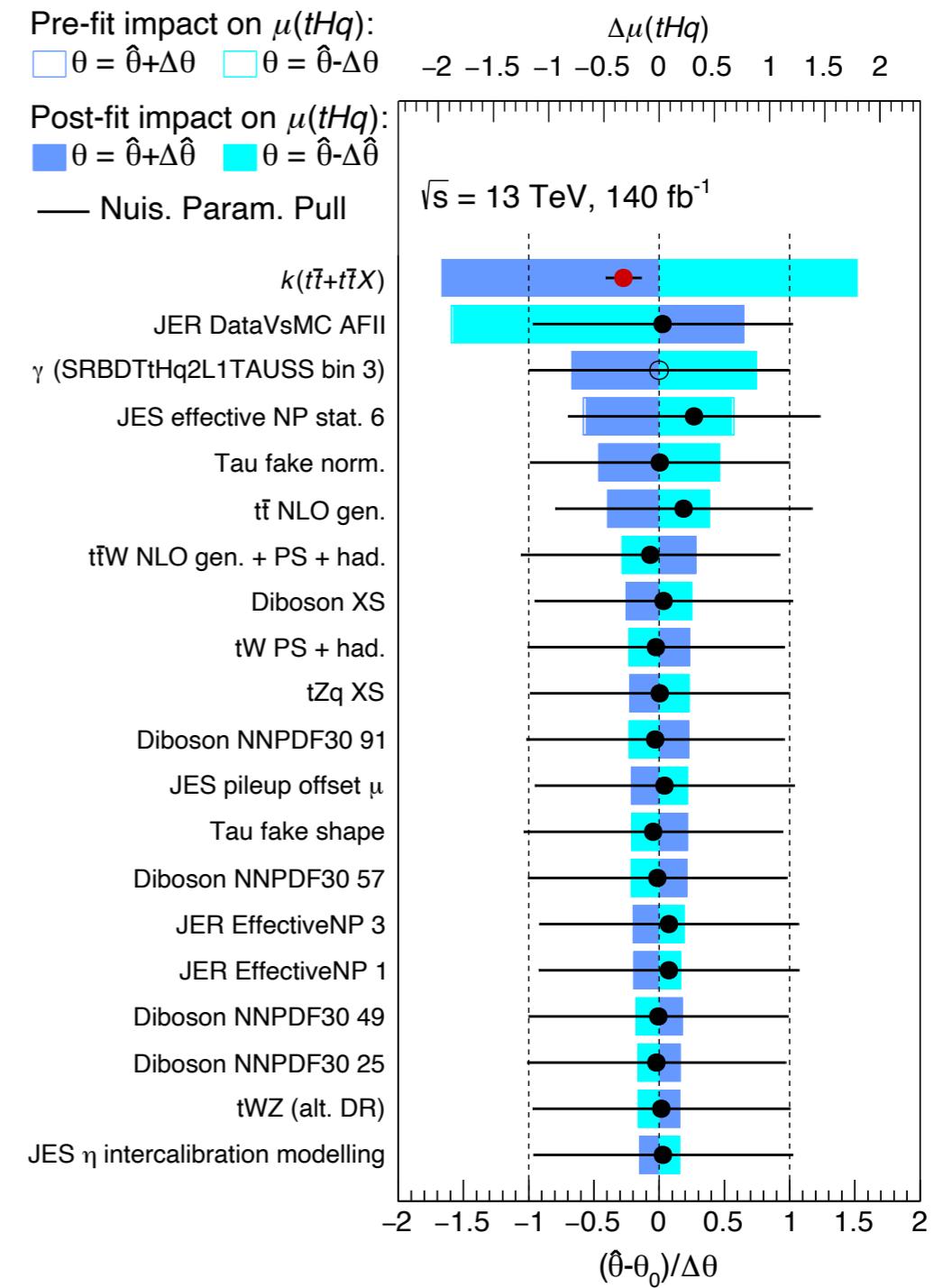


# Fit - Ranking

$2\ell\text{OS} + 1\tau_{\text{had}}$



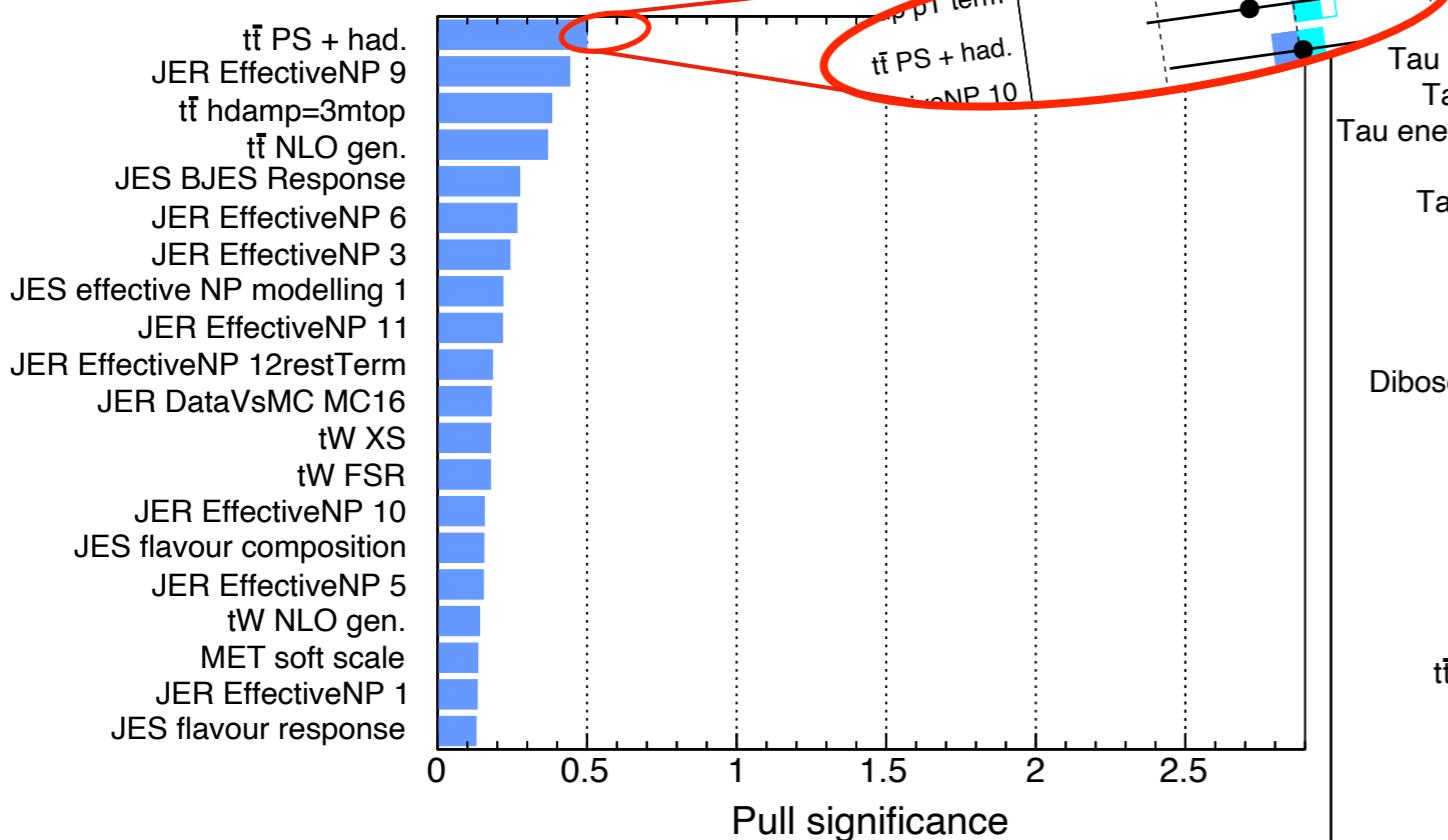
$2\ell\text{SS} + 1\tau_{\text{had}}$



# Fit - Pulls

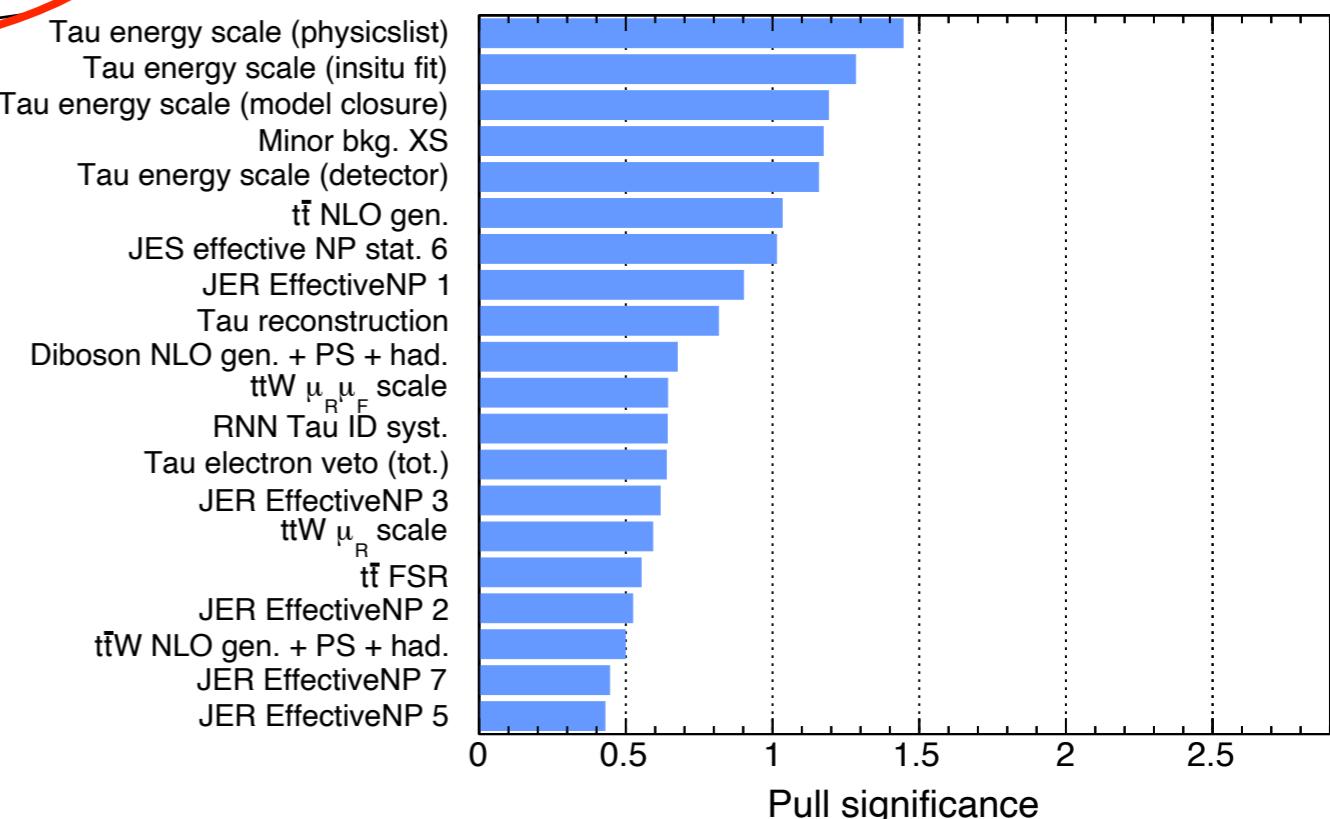
$2\ell\text{OS} + 1\tau_{had}$

- Most significant:  $t\bar{t}$  Modelling



$2\ell\text{SS} + 1\tau_{had}$

- Most significant:  $\tau$  energy scale



$$\text{pull significance} = \frac{|\text{post fit value}|}{\sqrt{1 - (\text{postfit error})^2}}$$

# Fit - Grouped impact

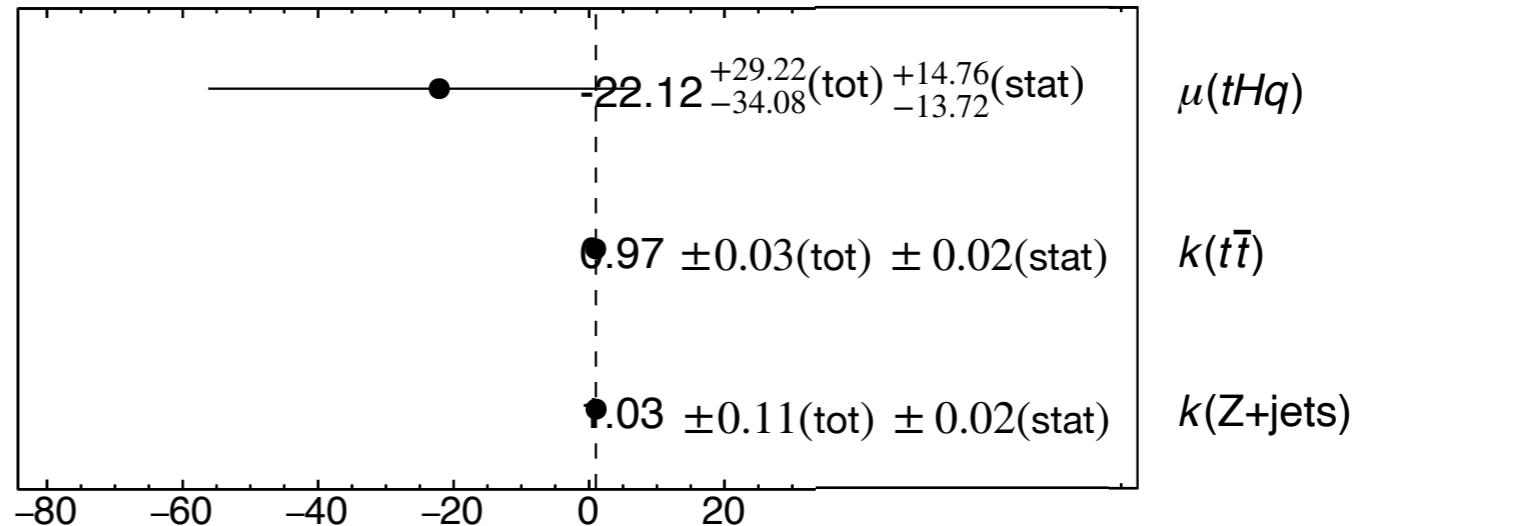
	$2\ell\text{OS} + 1\tau_{had}$	$2\ell\text{SS} + 1\tau_{had}$
<b>Uncertainty source</b>	Grouped impact	Grouped impact
MC uncertainty	$\pm 7.136$	$\pm 0.983$
<b>Modelling</b>		
Theoretical uncertainties	$\pm 24.041$	$\pm 1.105$
PDF uncertainty	$\pm 9.624$	$\pm 0.817$
<b>Experimental</b>		
Instrumental	$\pm 5.408$	$\pm 0.716$
Flavour tagging	$\pm 0.455$	$\pm 0.126$
JES/JER	$\pm 11.377$	$\pm 2.727$
NormFactors	$\pm 10.527$	$\pm 2.235$
<b>Total systematic uncertainty</b>	$\pm 28.543$	$\pm 4.081$

# Fit - Results

$2\ell\text{OS} + 1\tau_{had}$

Observed

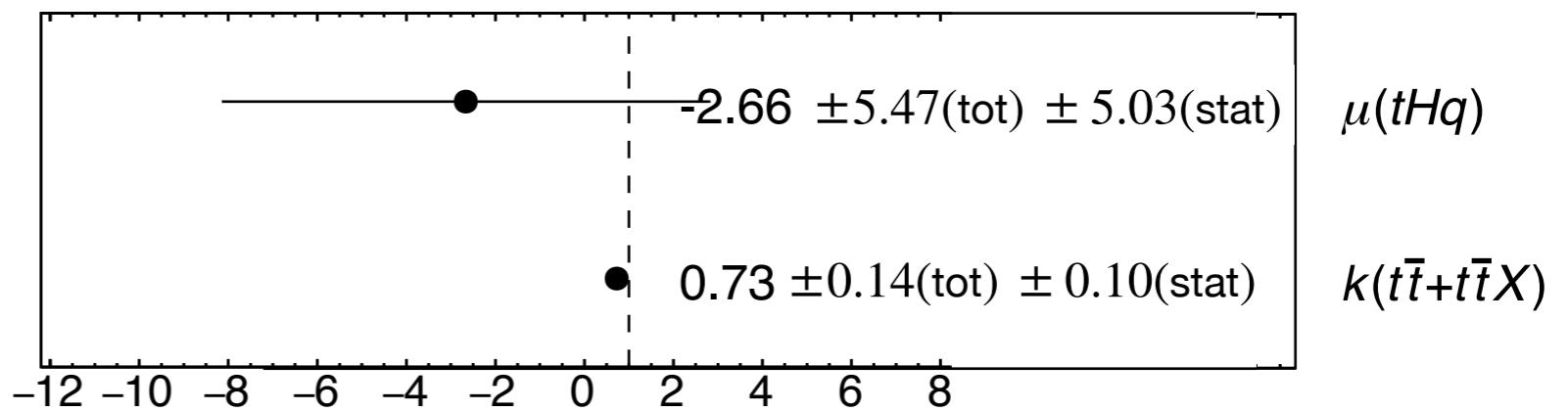
- Compatible with the SM
- Dominated by systematic uncertainty:  $t\bar{t}$  modelling



$2\ell\text{SS} + 1\tau_{had}$

Observed

- $\mu_{tHq}$  compatible with the SM
- $k_{t\bar{t}+t\bar{t}X}$  not compatible with the SM within 1std
- Systematics for template fit to be derived
- The  $\tau$  SFs are known to not be fully correct
- $t\bar{t}W$  mild tension in  $t\bar{t}W$  xsec measurements

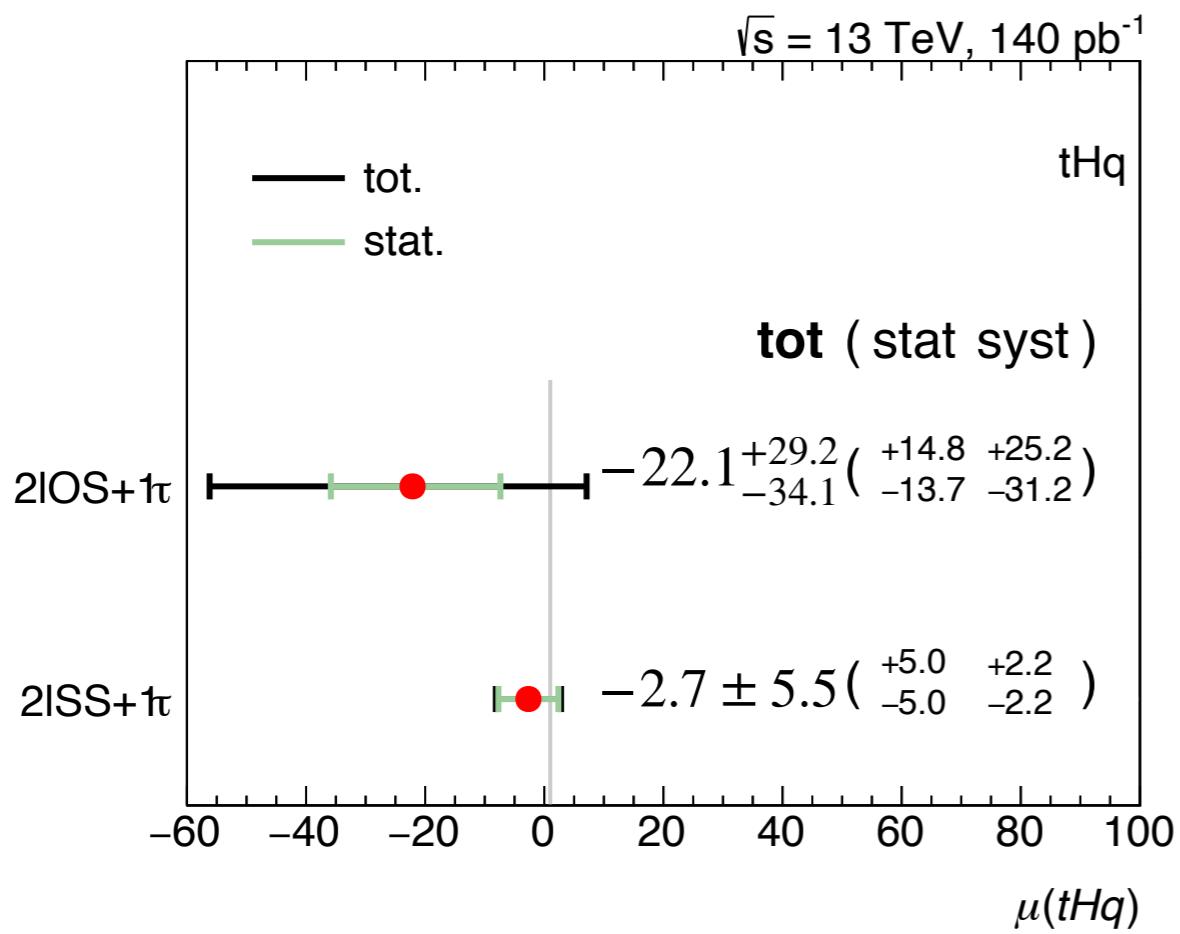


$$\mu_{tHq} = \frac{\sigma_{tHq}}{\sigma_{tHq}^{SM}}$$

# Fit - Results

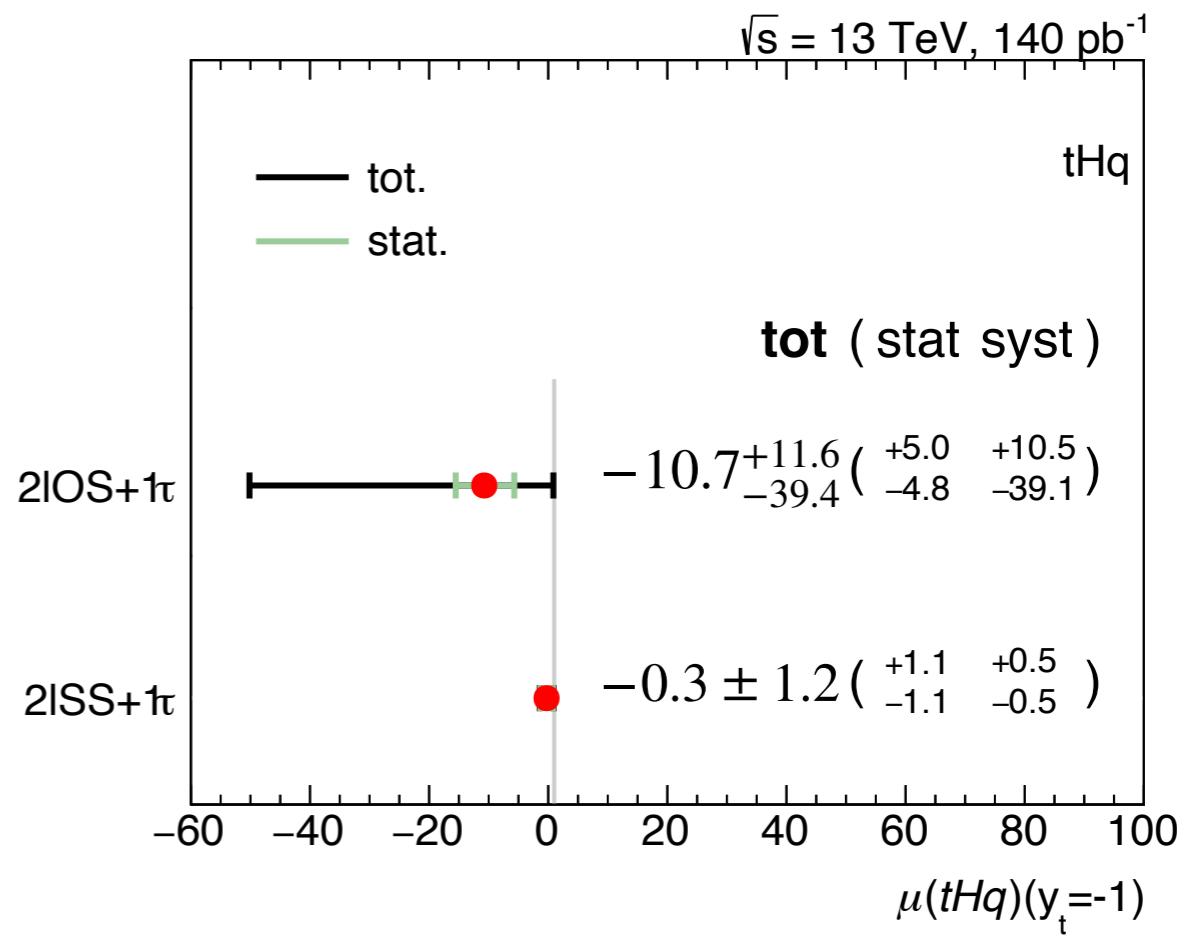
## Standard model

- Compatible with SM
- The  $2\ell\text{SS} + 1\tau_{had}$  is the most sensitive channel



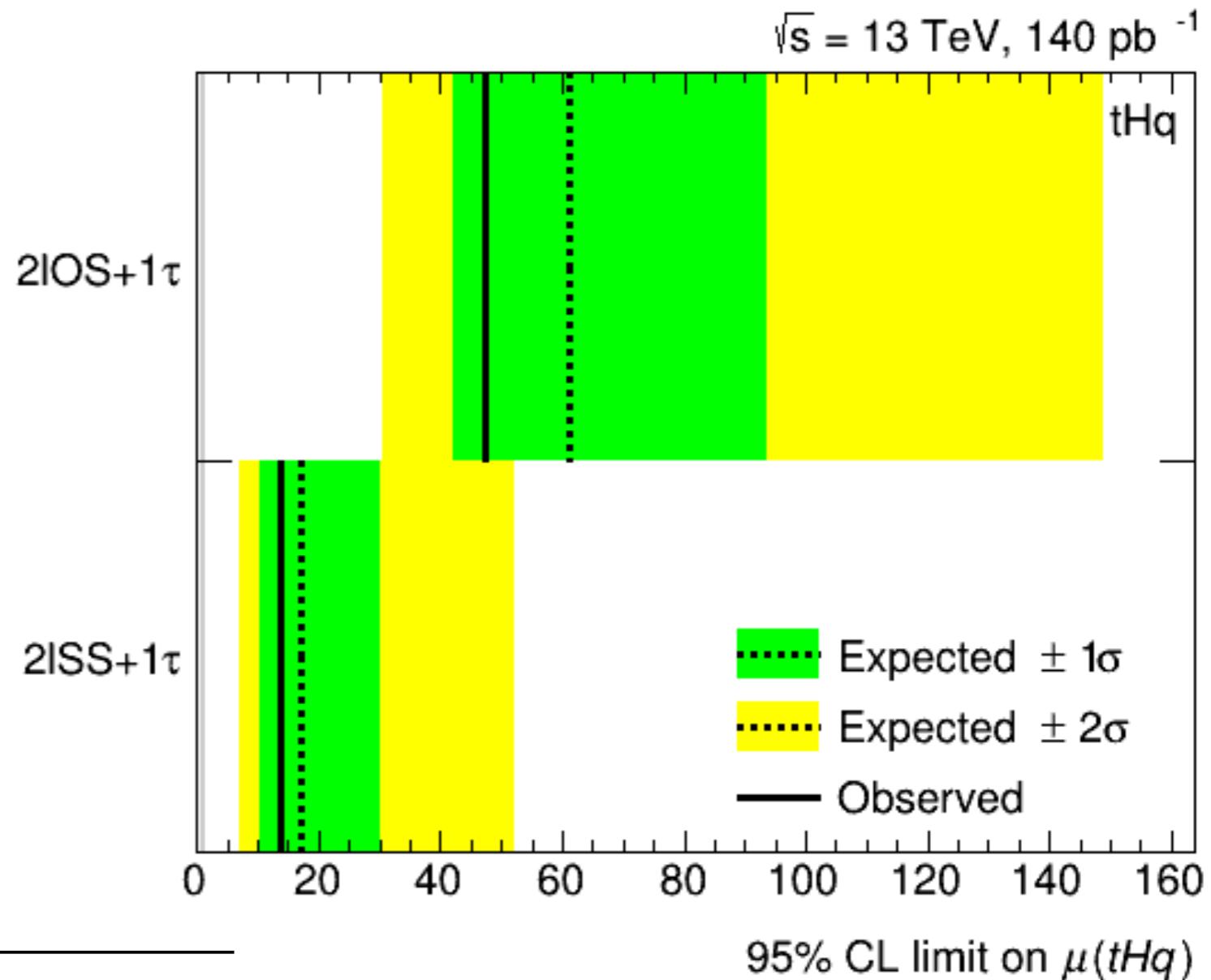
$y_t = -y_t^{\text{SM}}$

Alternative signal samples simulated using  $y_t = -y_t^{\text{SM}}$



# Upper limits on $\mu_{tHq}$

When the cross section is not measured, upper exclusion limits are set using the CL method



Channel	Observed	Expected
$2\ell \text{ OS} + 1\tau_{\text{had}}$	47.51	61.2
$2\ell \text{ SS} + 1\tau_{\text{had}}$	13.83	16.94

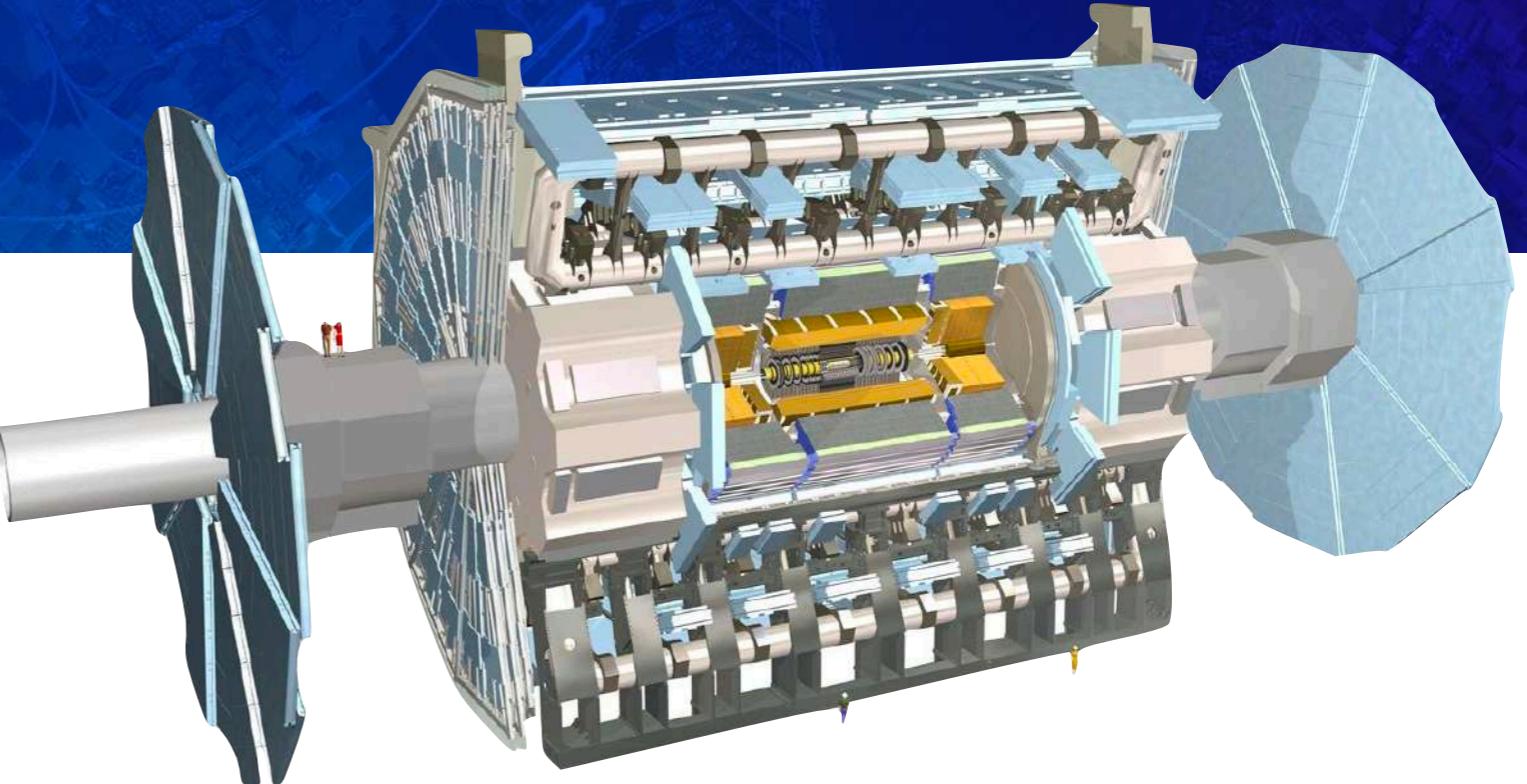
# Outline

1. Introduction

2. Experimental setup

3. Analysis & results

4. Summary & conclusions



# Conclusion

- Contributed to detector performance (ID alignment monitoring web page)
- The  $tHq$  process is an excellent test of the SM and for the search of new physics
- Performed a dedicated search for the  $tHq$  process in two final states:  $2\ell OS + 21\tau_{had}$  and  $2\ell SS + 21\tau_{had}$
- Successful MVA strategy for lepton-origin assignment (Labels def., High acc)
- Successful MVA strategy for region definition:
  - Feature and hyperparameter optimisation algorithms
  - Significant improvement in the  $S/B$  ratio in the SRs
- The observed  $\mu_{tHq}$  is compatible with the SM
- Dominated by systematic uncertainty in the  $2\ell OS + 1\tau_{had}$  and stat uncertainty in the  $2\ell SS + 1\tau_{had}$

# Conclusion

- Exclusion upper limits are established
- No exclusion of CP violation
- Prospects:
  - Extend signal to  $tH = tHq + tWH$
  - Combine results with other  $tH$  channels
  - First publication of a dedicated  $tH$  search with the full Run 2 data
- Improvements:
  - Increment of luminosity in Run 3
  - Improvement on the simulation of  $t\bar{t}W$
  - Improvement on the derivation of SF for misID

Channel	Observed	Expected
$2\ell$ OS + 1 $\tau_{\text{had}}$	47.51	61.2
$2\ell$ SS + 1 $\tau_{\text{had}}$	13.83	16.94

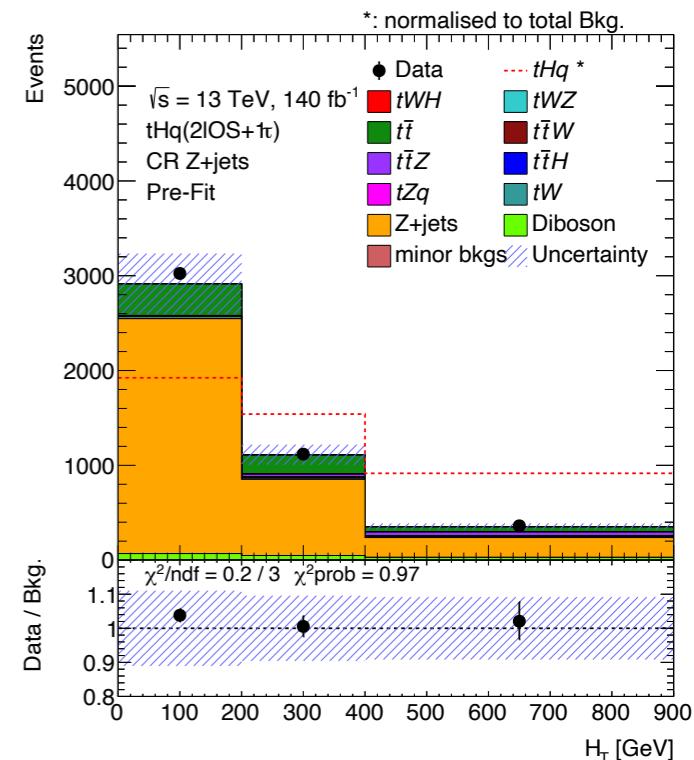
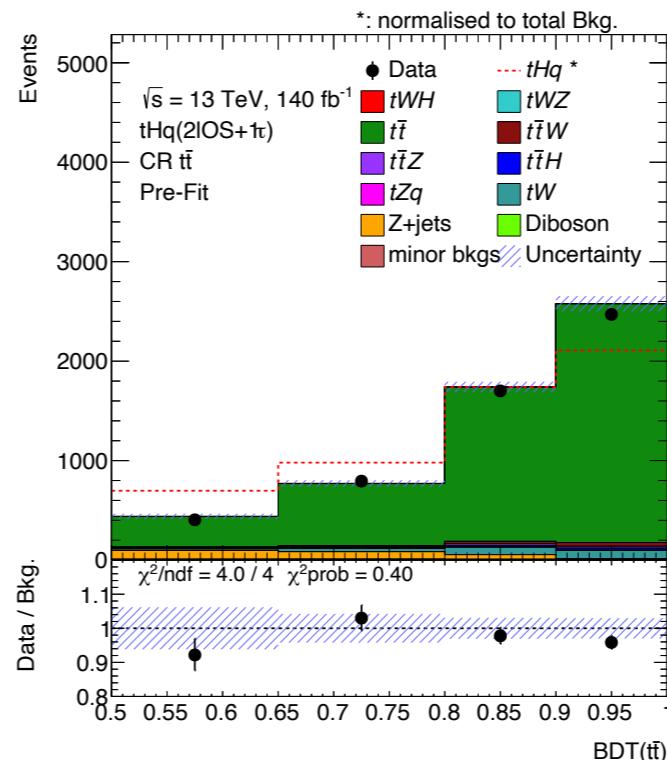
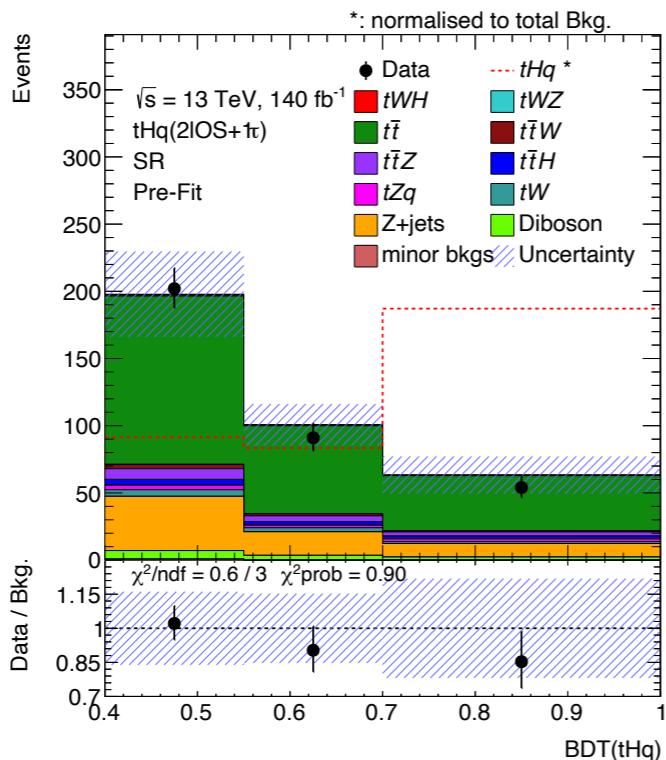
Gràcies

# Backup

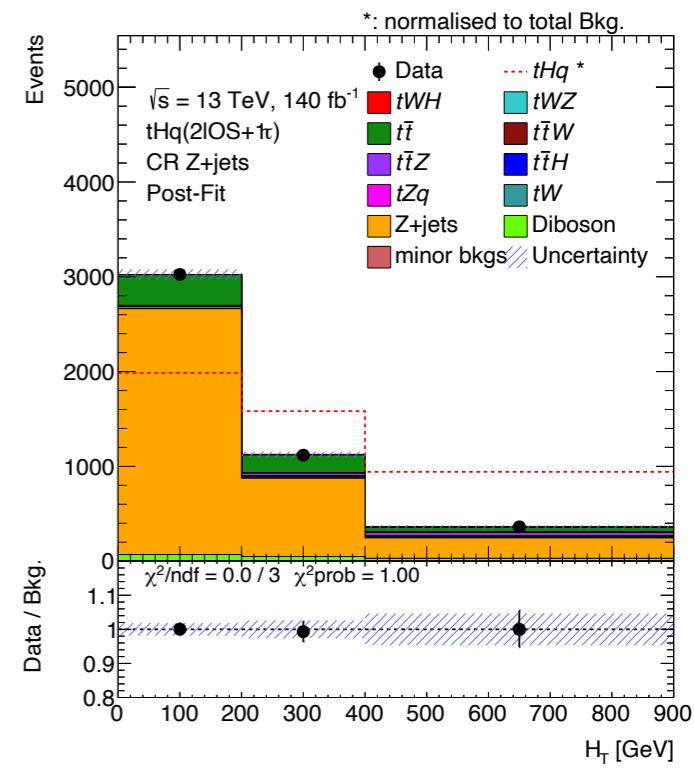
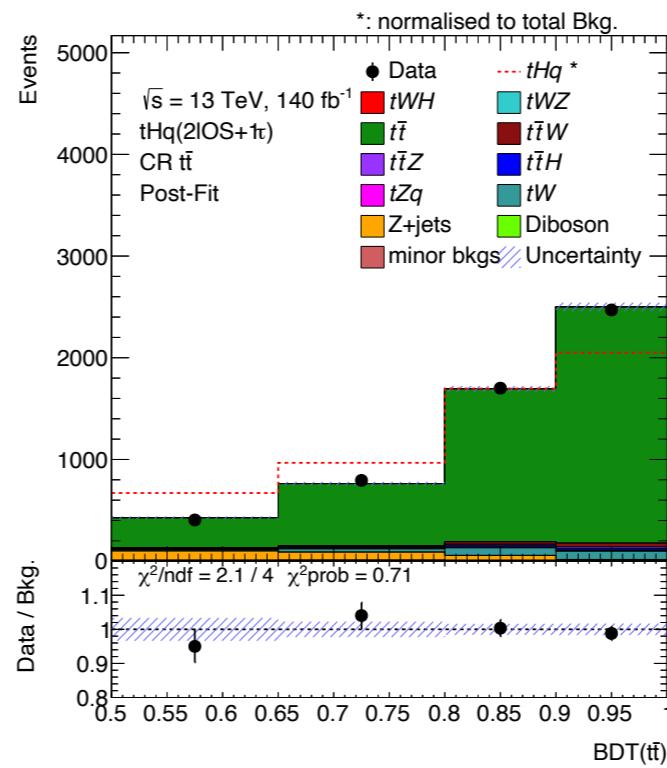
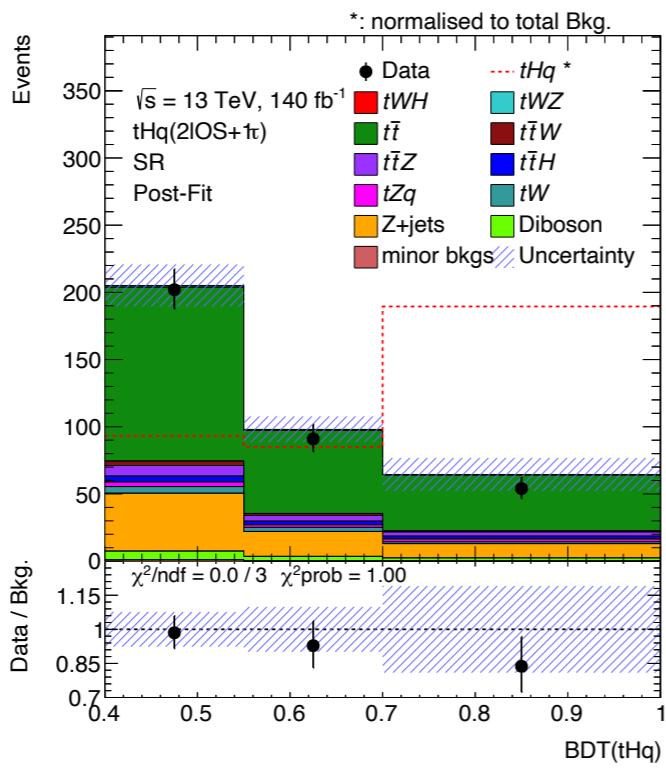
# Pre- and post-fit distributions

2 $\ell$ OS + 1 $\tau_{had}$

Pre-fit



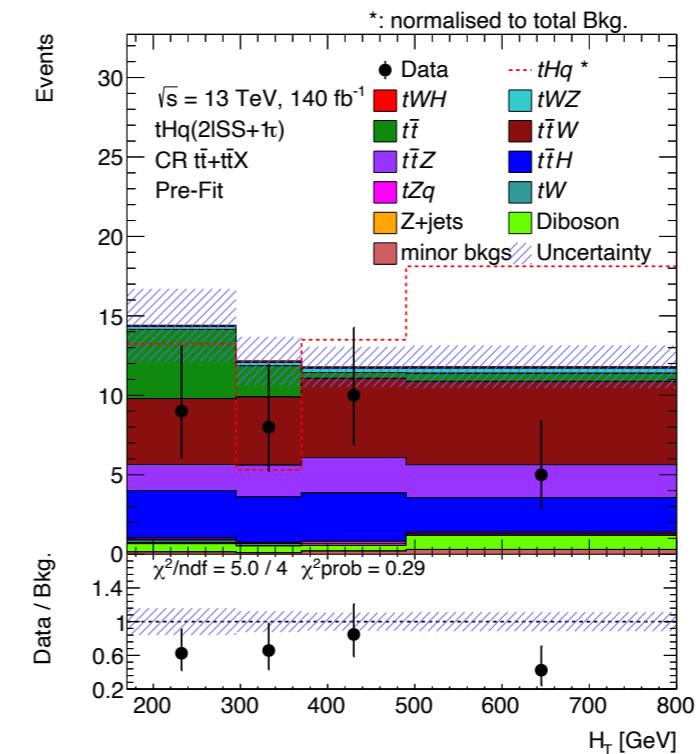
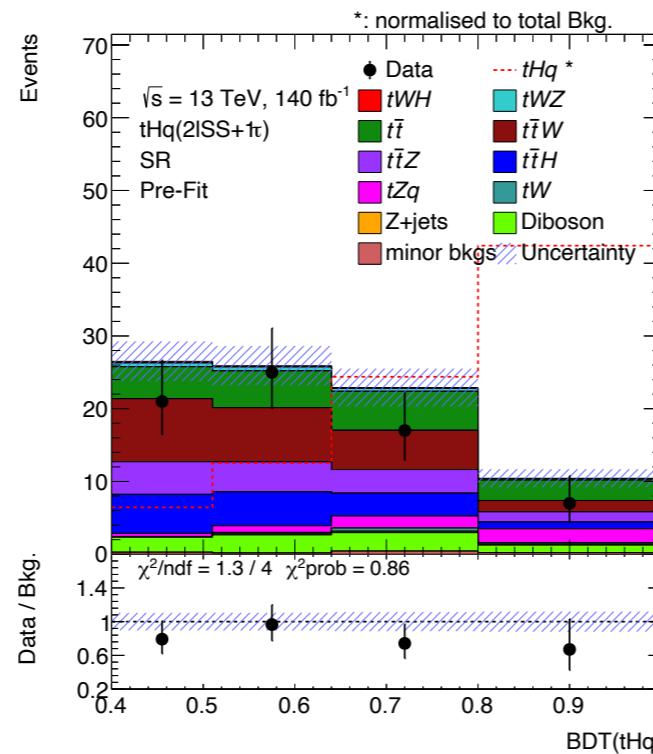
## Post-fit



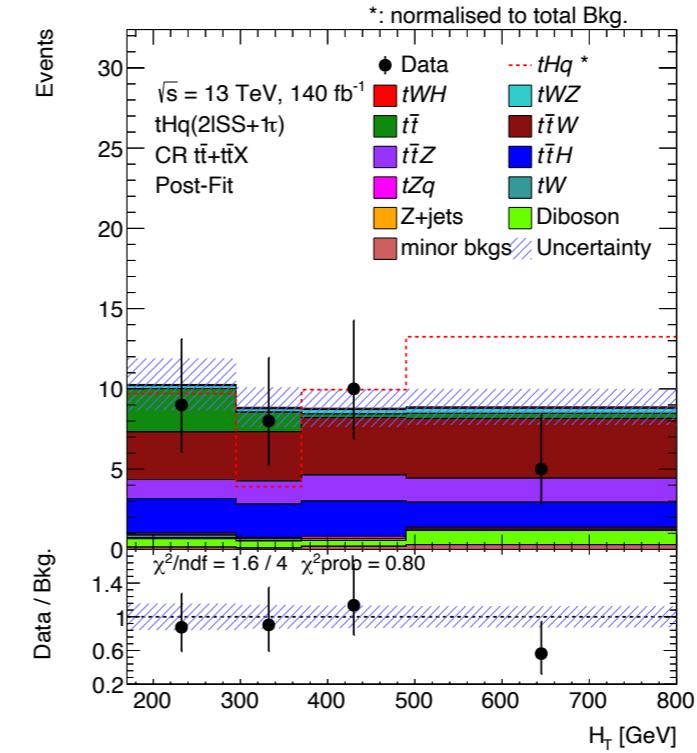
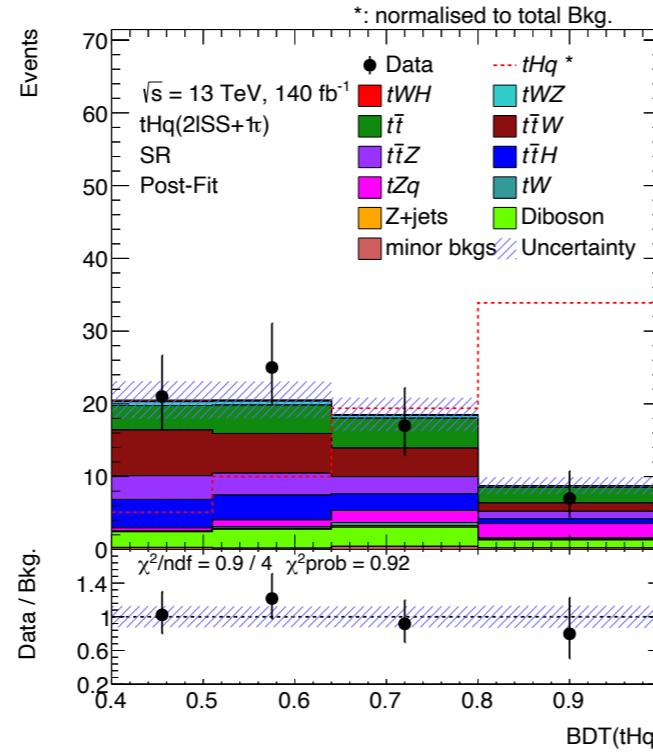
# Pre- and post-fit distributions

$2\ell SS + 1\tau_{had}$

Pre-fit

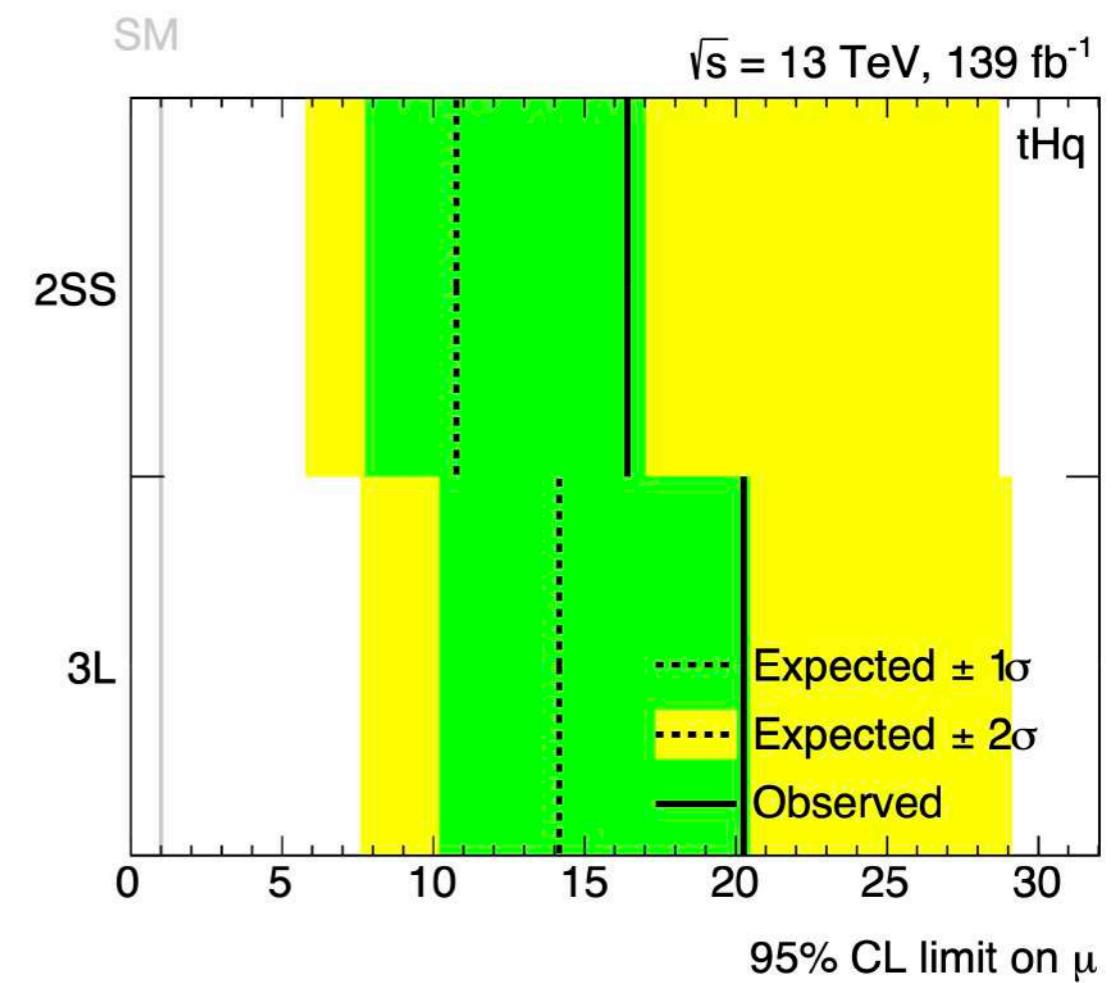
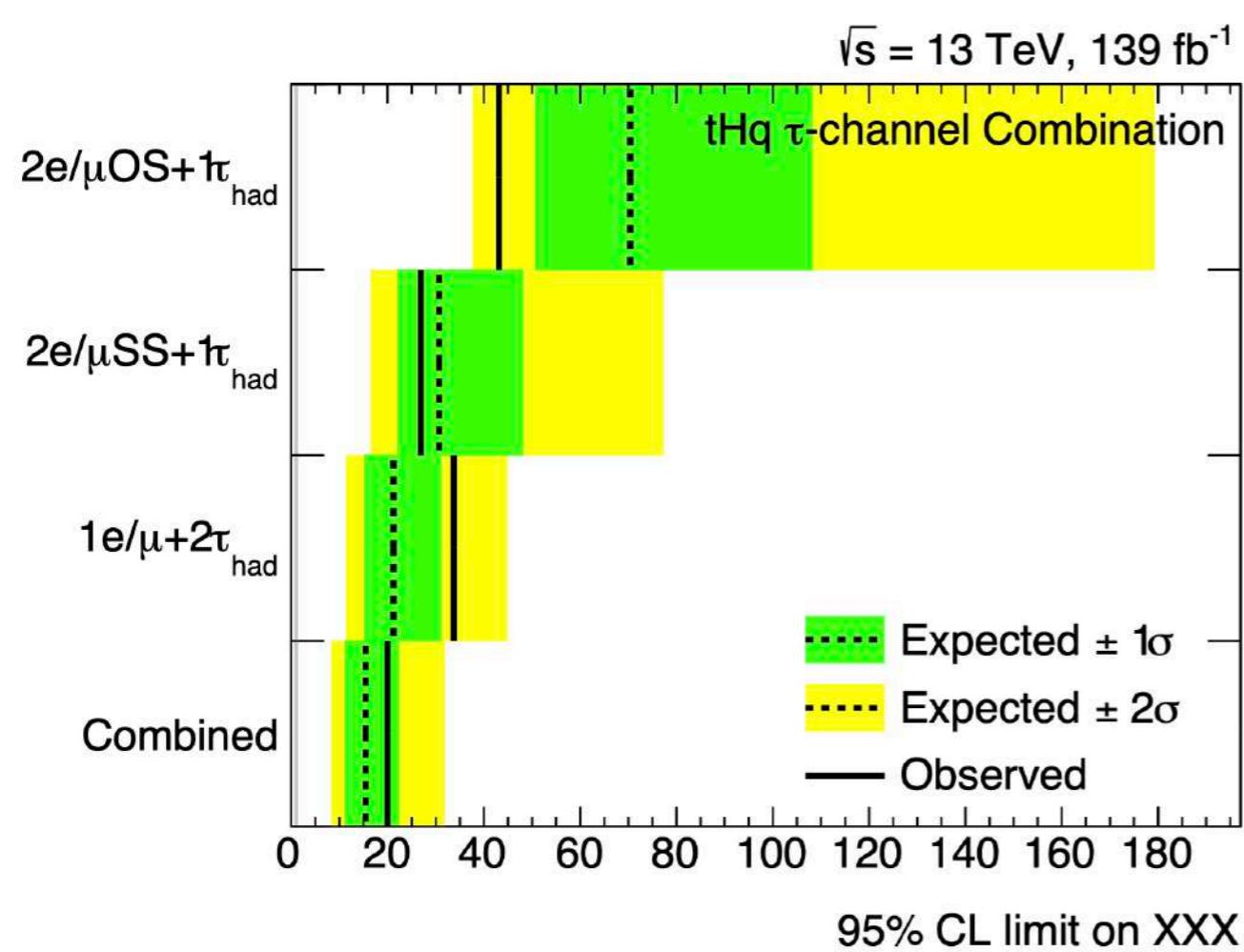


Post-fit



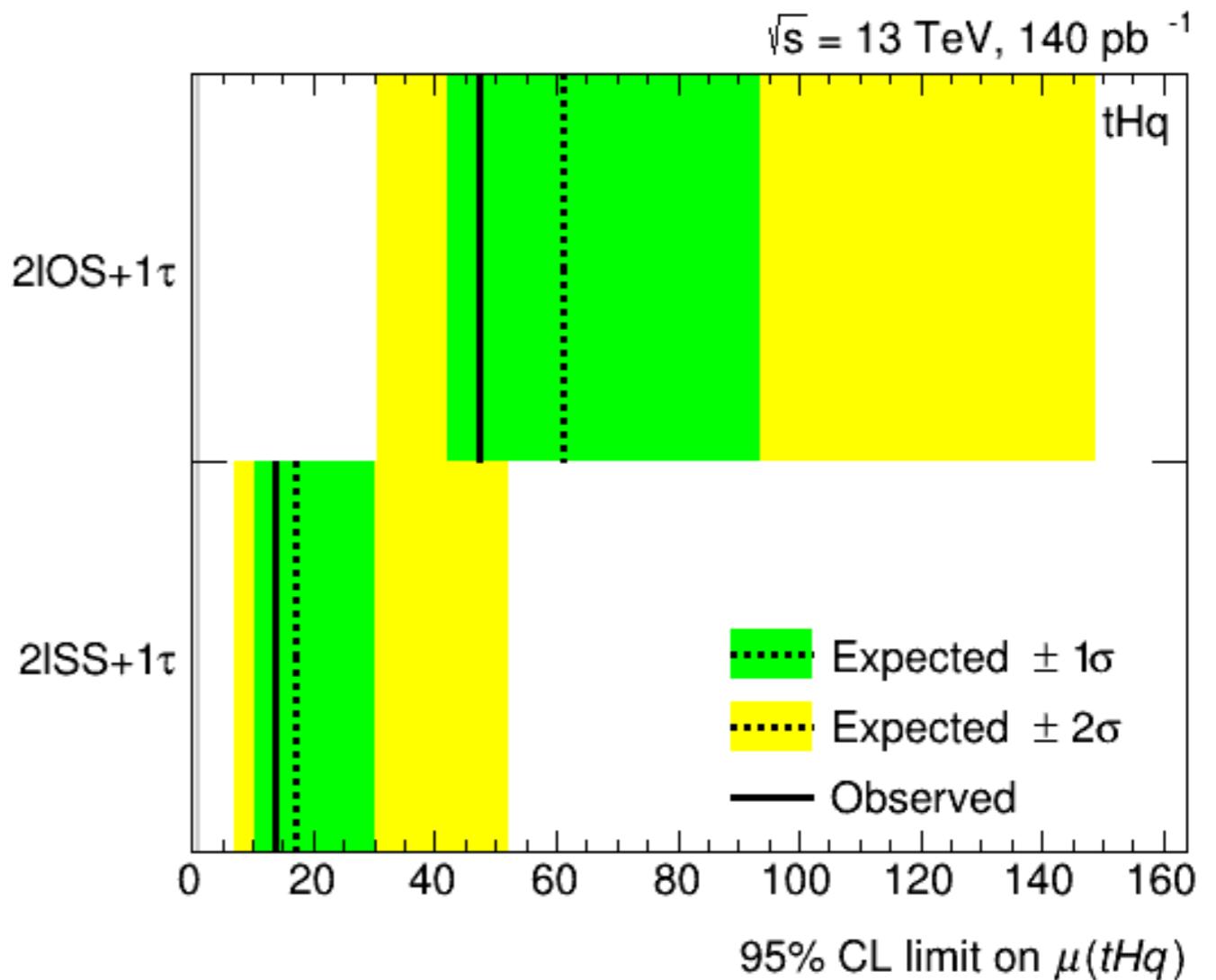
# Upper limits on $\mu_{tHq}$

- Other expulsion limits in the  $tHq$  multileptonic channels



# Upper limits on $\mu_{tHq}$

When the cross section is not measured, upper exclusion limits are set using the 95% CL method

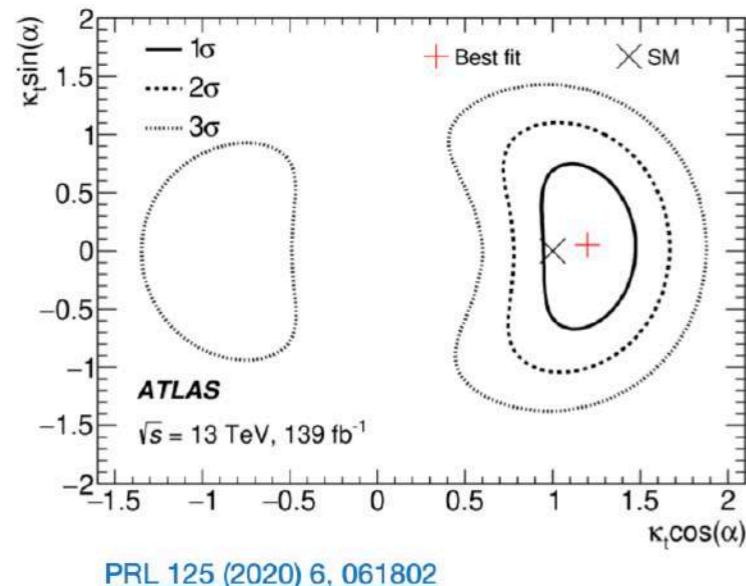


Channel	Observed	Expected	$1\sigma \text{ CL}_{95}$	$2\sigma \text{ CL}_{95}$
$2\ell \text{ OS} + 1\tau_{\text{had}}$	47.51	61.2	[41.95, 93.48]	[30.56, 148.6]
$2\ell \text{ SS} + 1\tau_{\text{had}}$	13.83	16.94	[10.33, 30.22]	[6.991, 52.24]
$2\ell \text{ OS} + 1\tau_{\text{had}} (y_t = -y_t^{\text{SM}})$	77.95	119.6	[46.97, 284.9]	—
$2\ell \text{ SS} + 1\tau_{\text{had}} (y_t = -y_t^{\text{SM}})$	20.79	24.07	[7.478, 112.5]	[3.197, 342.4]

# Previous results on $\mu_{tH}$

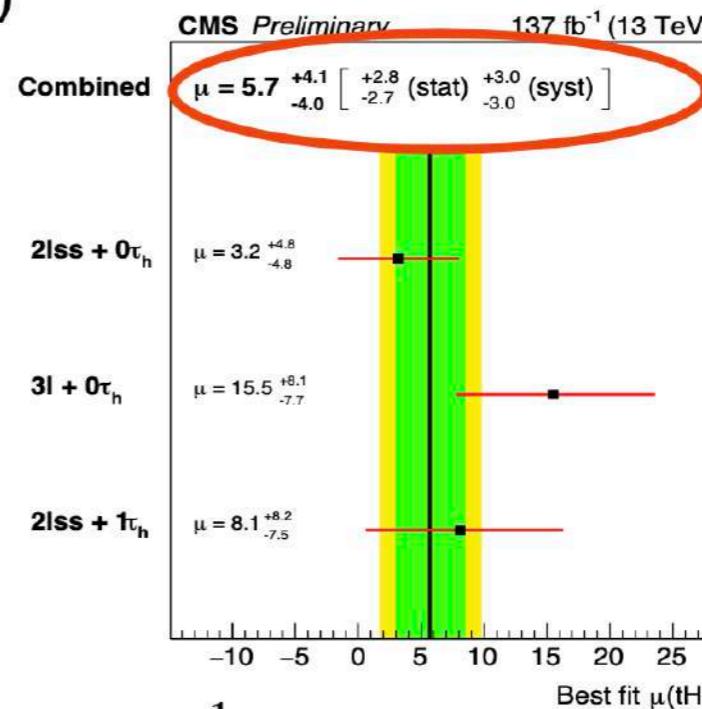
## Latest results from ATLAS

- $H \rightarrow \gamma\gamma$  ( $139 \text{ fb}^{-1}$ ) [ATLAS-CONF-2020-026](#)
  - $\sigma(tH) < 8 \times \sigma_{SM}(tH)$  (@ 95% CL)

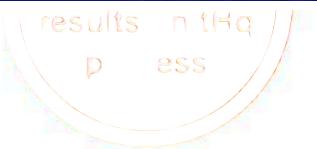


## Latest results from CMS:

- ttH / tH multilepton ( $137 \text{ fb}^{-1}$ ) [CMS-PAS-HIG-19-008](#)
  - $-0.7 < y_t < -0.9$  or  $0.7 < y_t < 1.1$  (@ 95 % CL)

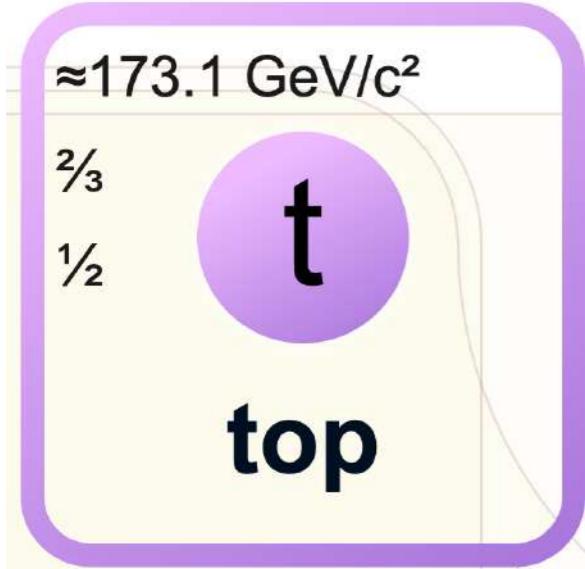


- tH ( $36 \text{ fb}^{-1}$ ) [CMS PAS HIG-18-009](#):
  - $\sigma(tH) < 14 \times \sigma_{SM}(tH)$  (@ 95% CL)
- $H \rightarrow bb$  ( $138 \text{ fb}^{-1}$ ) [CMS-PAS-HIG-19-001](#)
  - $\sigma(tH) < 14.6 \times \sigma_{SM}(tH)$  (@ 95% CL)

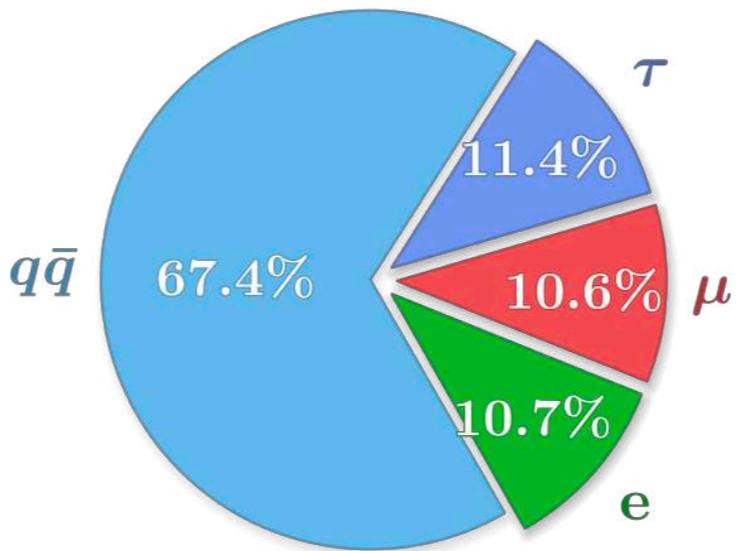
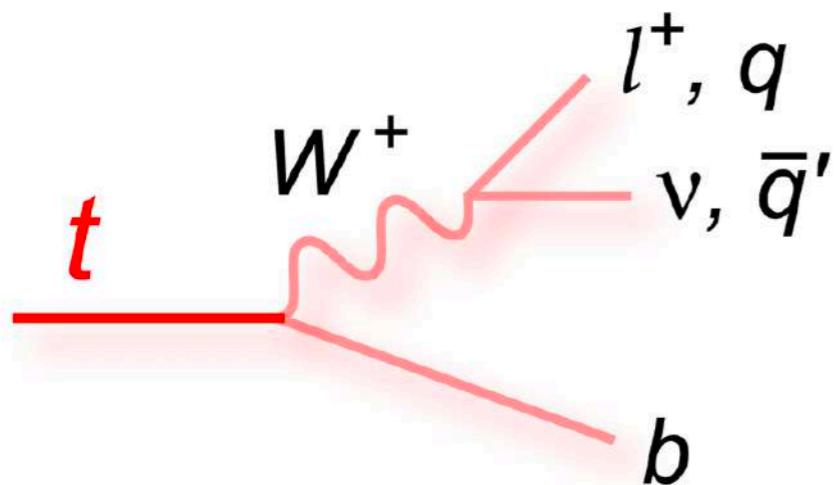


# Top quark

$W^+ \rightarrow e^+ \nu_e$	$(10.71 \pm 0.16)\%$ ,
$W^+ \rightarrow \mu^+ \nu_\mu$	$(10.63 \pm 0.15)\%$ ,
$W^+ \rightarrow \tau^+ \nu_\tau$	$(11.38 \pm 0.21)\%$ ,
$W^+ \rightarrow q\bar{q}$ (hadrons)	$(67.41 \pm 0.27)\%$ ,
$W^+ \rightarrow$ invisible	$(1.4 \pm 2.9)\%$ .



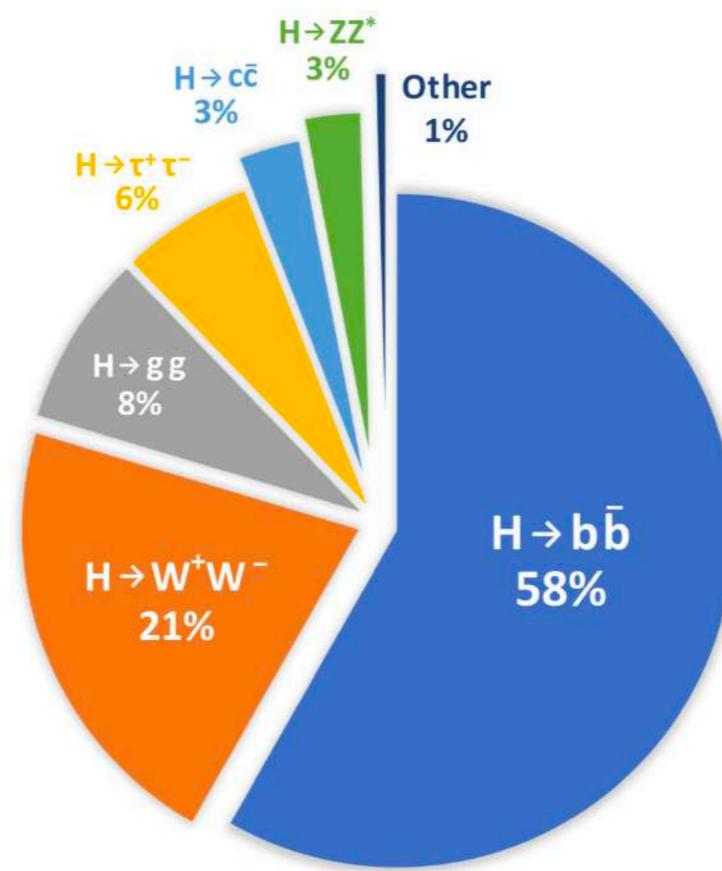
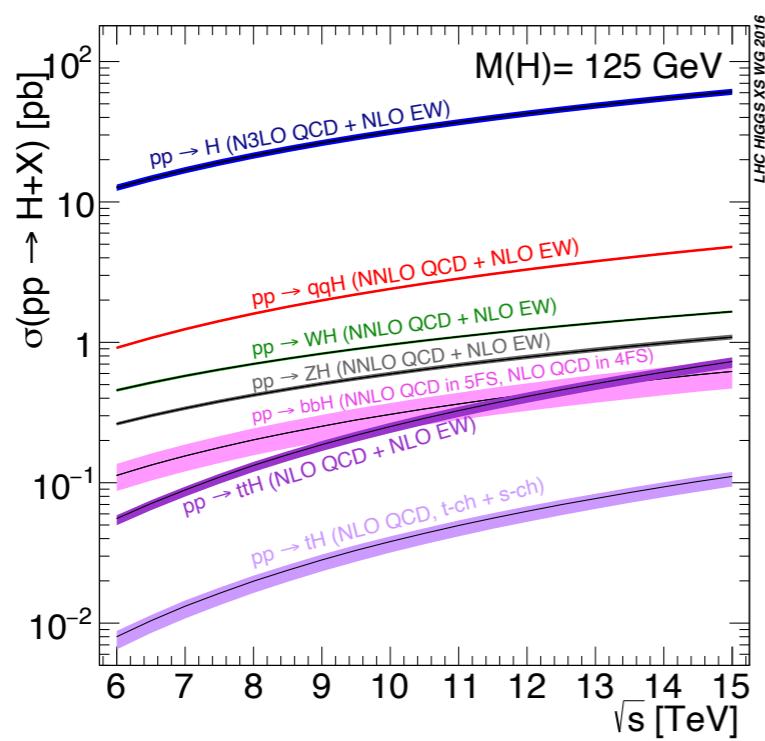
- Discovered in 1995 at Tevatron
- Heaviest known elementary particle
- Very short lifetime
  - Decays before hadronising
  - Decays almost exclusively to a  $W$  boson and a  $b$  quark
  - Largest Yukawa coupling to Higgs boson



# Higgs boson

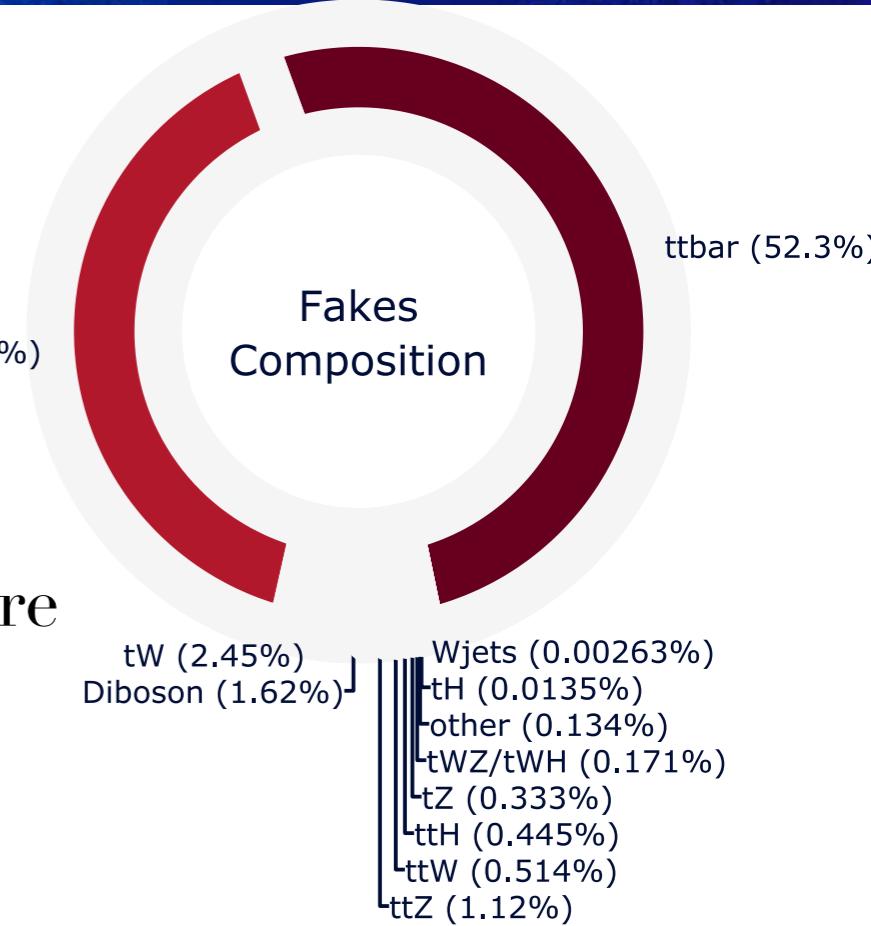


- Discovered in 2012 at CERN
- Higgs field, SSB
- The mass elementary particles: Uniquely determined by its coupling strength to Higgs
- Short lifetime  $\tau_{\text{Higgs}} = 1.6 \times 10^{-22} \text{ s}$



# Template fit metod

- The anti-tau-ID :: Not pass medium RNN(tauID) score
- Fit in two variables:
  - 1 b-jet region: JetTrackWidth
  - 2 b-jet region: LightGBM BDT
    - JetTrackWidth+JetCaloWidth+RNN(tauID) score
- Templates
  - $G(x)$ : Quark-initiated jet template
  - $Q(x)$ : Gluon-initiated jet template
  - $S(x)$ : other templates (tau, electron, and unknown)
- $M(x) = f_S \cdot S(x) + (1 - f_S) \cdot f_q \cdot Q_x + (1 - f_q) \cdot G_X]$
- $f \leftarrow \text{SFs}$



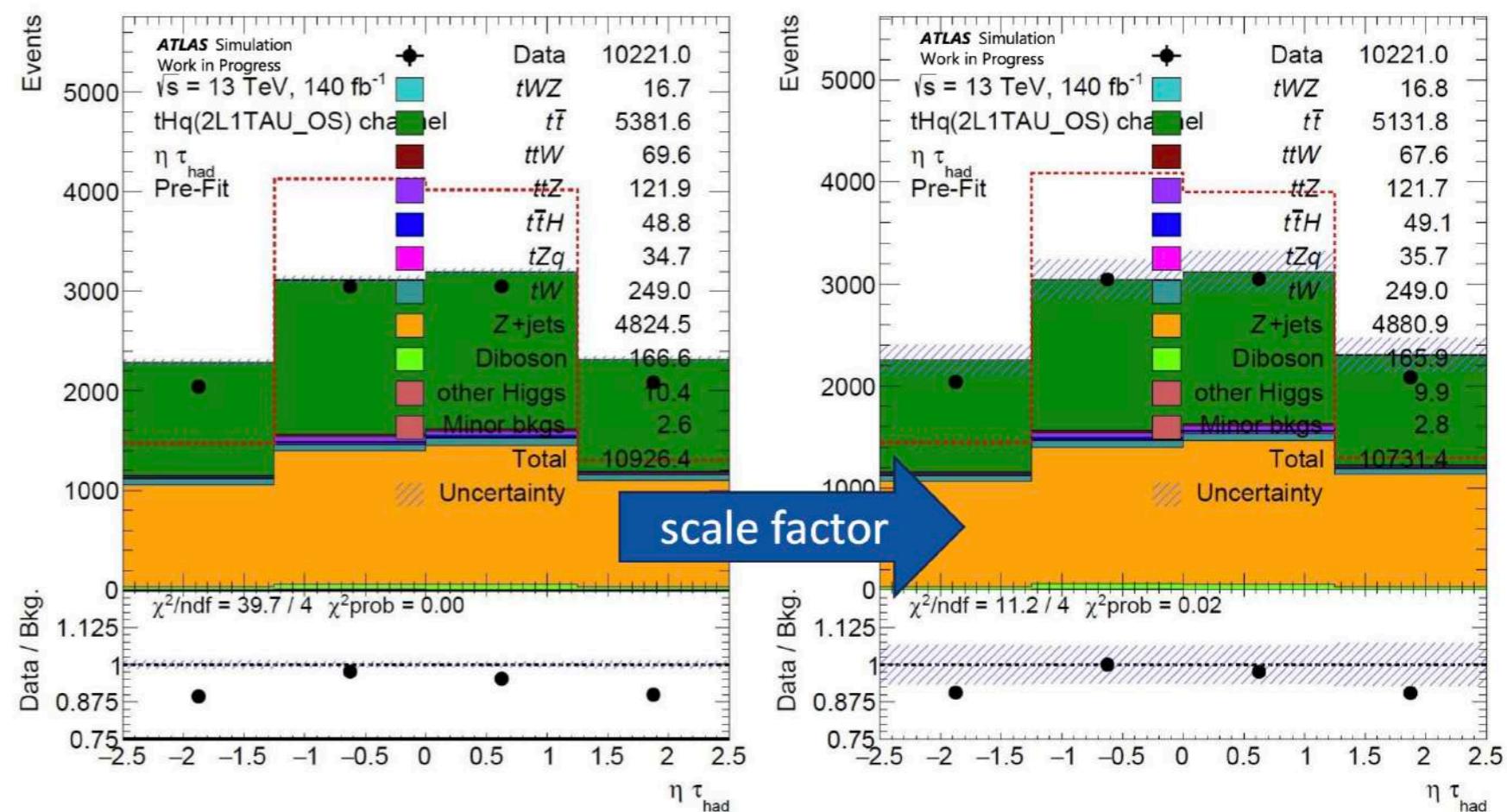
This is the current method.  
In the NTuples used for my thesis  
just the JetTrackWidth is used

# Template fit method

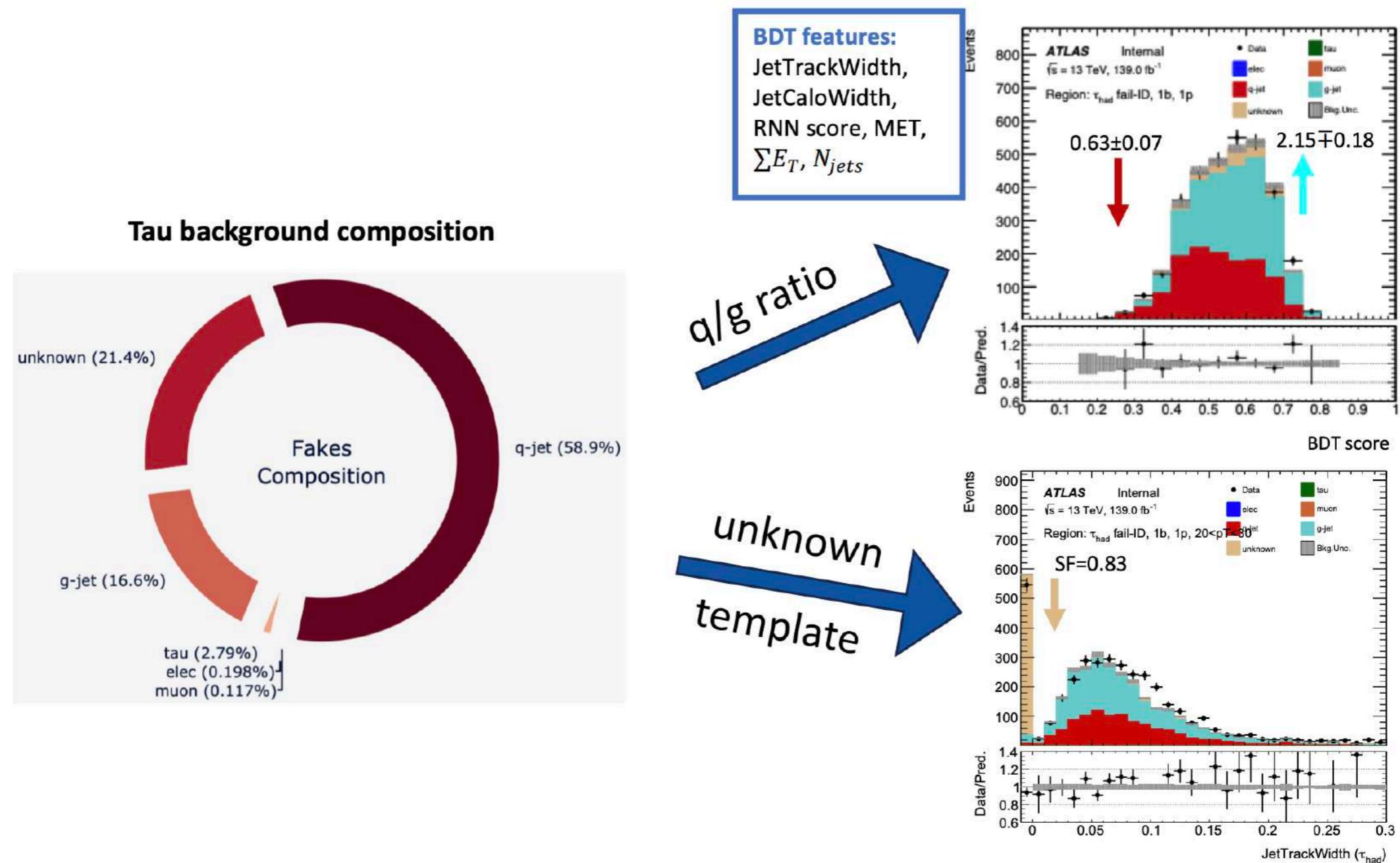
- $\tau$  fakes  $\rightarrow$  primary cause of reducible backgrounds
- Assumption: MC & data disagreement from  $\tau$  fakes
- Obtain scale factor in fake enriched control region (inverted RNN cuts) using template fit
- For all channels, we use the template Fit method

Definitions of control regions:

- $\tau_{had}$ : Loose!Medium RNN score
- $e$  or  $\mu$ : fail ID and Isolation



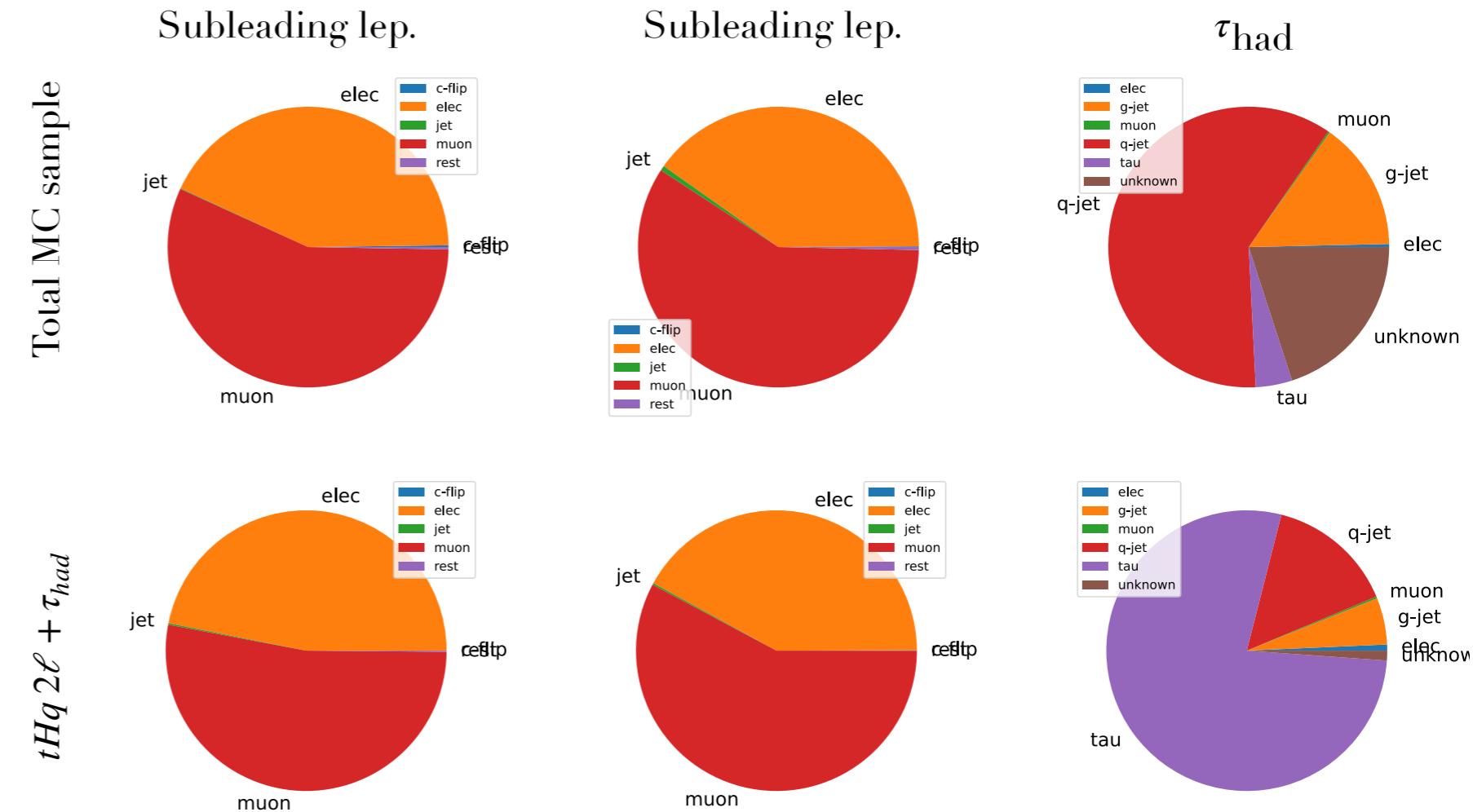
# Template fit method



# Estimation of $\tau_{had}$ misidentification

Two types of backgrounds:

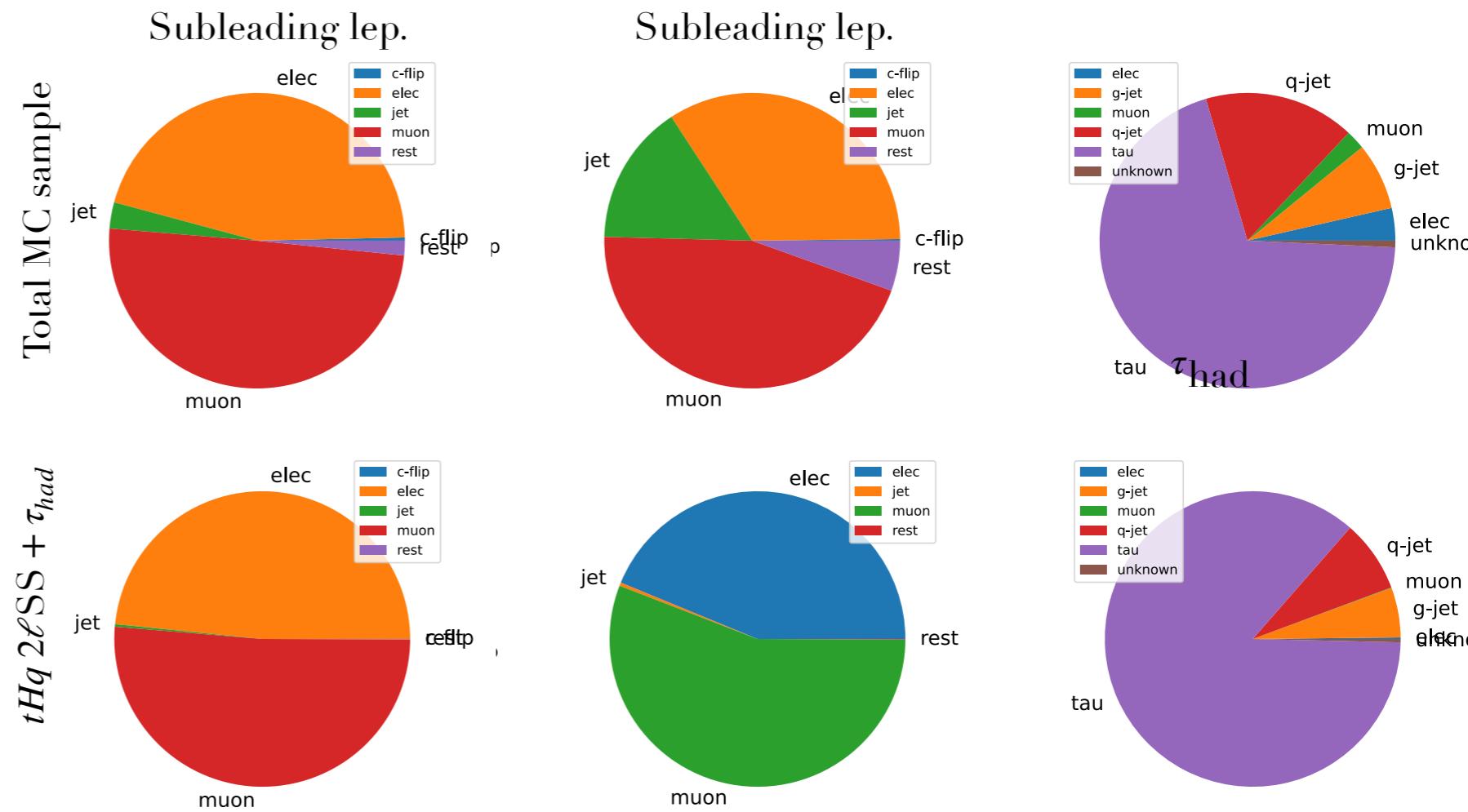
- Irreducible: Same signature as signal process
- Reducible: Misidentification leads to same signature as signal process



- Jet faking taus dominate the background.
- Fake rates are difficult to simulate
- Data driven method
- Estimation of fakes = to scale MC templates to match data in CRs

# Estimation of $\tau_{had}$ misidentification

- Jet faking taus dominate the background.
- Fake rates are difficult to simulate
- Data driven method
- Estimation of fakes = to scale MC templates to match data in CRs



Contar xq se necesita estimar esto: MC no describe el fake rate  
Decir qué templates se usan: Separar por pt, N-bjets, pongoes

Fitamos una BDT(Tau\_Id) distribution en unra region de control rica en fakes

Counting method para asses the uncertainty of this method

# Estimation of light-lepton fakes

- Two light leptons. We define the following regions

- $[L_1, L_2]$     $T = \text{pass tight criteria}$
- $[T, nT]$     $nT = \text{fail to pass this criteria}$
- $[nT, T]$
- $[T, nT]$
- $[nT, nT]$

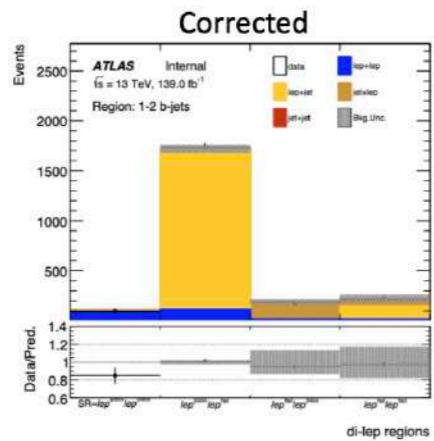
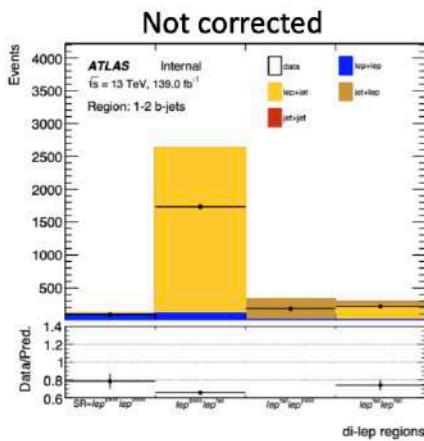
## $2l1\tau$ SS - Estimating fakes

### Tau fakes

- adjusting the inclusive jet template to data yields in tau CR
- bins:  $N_{\text{tracks}}$ ,  $p_T(\tau_{\text{had}})$

### Lepton fakes

- estimated by fitting **(sim,jet)**, **(jet,sim)** & **(jet,jet)** templates in 3 control regions to data, inclusively.
- corrections: fake SFs and statistical uncertainties indicated in tables.



### Fake tau SFs

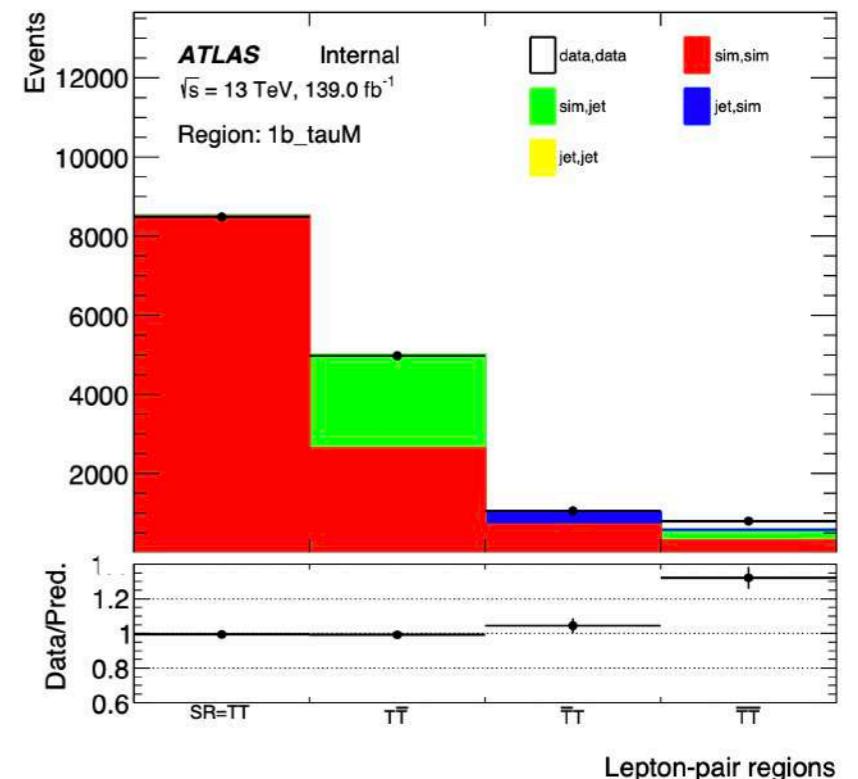
$p_T(\tau_{\text{had}})$ bin [GeV]	1-prong	3-prong
20-30	$3.6 \pm 1.2$	$1.1 \pm 0.7$
30-40	$1.7 \pm 1.0$	$3.2 \pm 2.3$
>40	$1.6 \pm 0.8$	$0.1 \pm 1.0$

MC  $\pm 100\%$

### Fake lep-pair SFs

Template	1+2 b-jet
lep+jet	$0.64 \pm 0.01$
jet+lep	$0.52 \pm 0.04$
jet+jet	$6.42 \pm 3.35$

-> No SF applied



# Assignment of the lepton origin

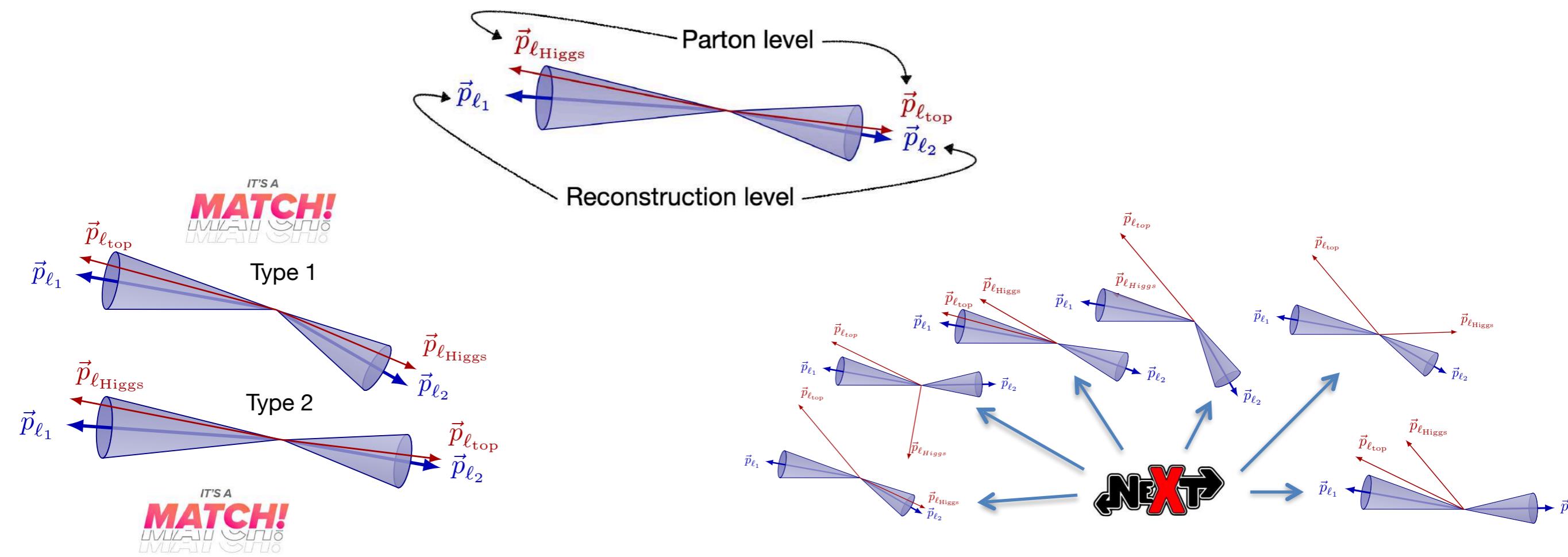
- Starting from the entire  $tHq$  MC sample, as conditions are imposed, the number of entries decrease
- $\tau_{had}$  from Higgs so that it makes sense to talk about  $\ell_H$  and  $\ell_t$

Stage	Entries	Requirements:
Total $tHq$ ( $2\ell + 1\tau_{had}$ ) sample	43922	• $2\ell SS + \tau_{had}$
$2\ell SS + 1\tau_{had}$ sample	18140	• $\tau_{had}$ from Higgs
Selection: $H \rightarrow \tau_{lep}\tau_{had}/W^+W^-$ , $W \rightarrow e/\mu/\tau_{lep}$ $\Delta R(\ell_1^{reco}, \ell_{closest}^{truth}) < 0.01$ and $\Delta R(\ell_2^{reco}, \ell_{closest}^{truth}) < 0.01$	15446	• $H \rightarrow \tau\tau/WW^*$
	14680	• Min $\Delta R(\text{truth, reach})$

# Lepton origin assignment - Label

- Label the date comparing the truth-level with reco-level:
  - **Parton-level:** Before the detector simulation. The origin of the particles is known.
  - **Reco-level:** Objects as identified by the detector. Origin of particles unknown

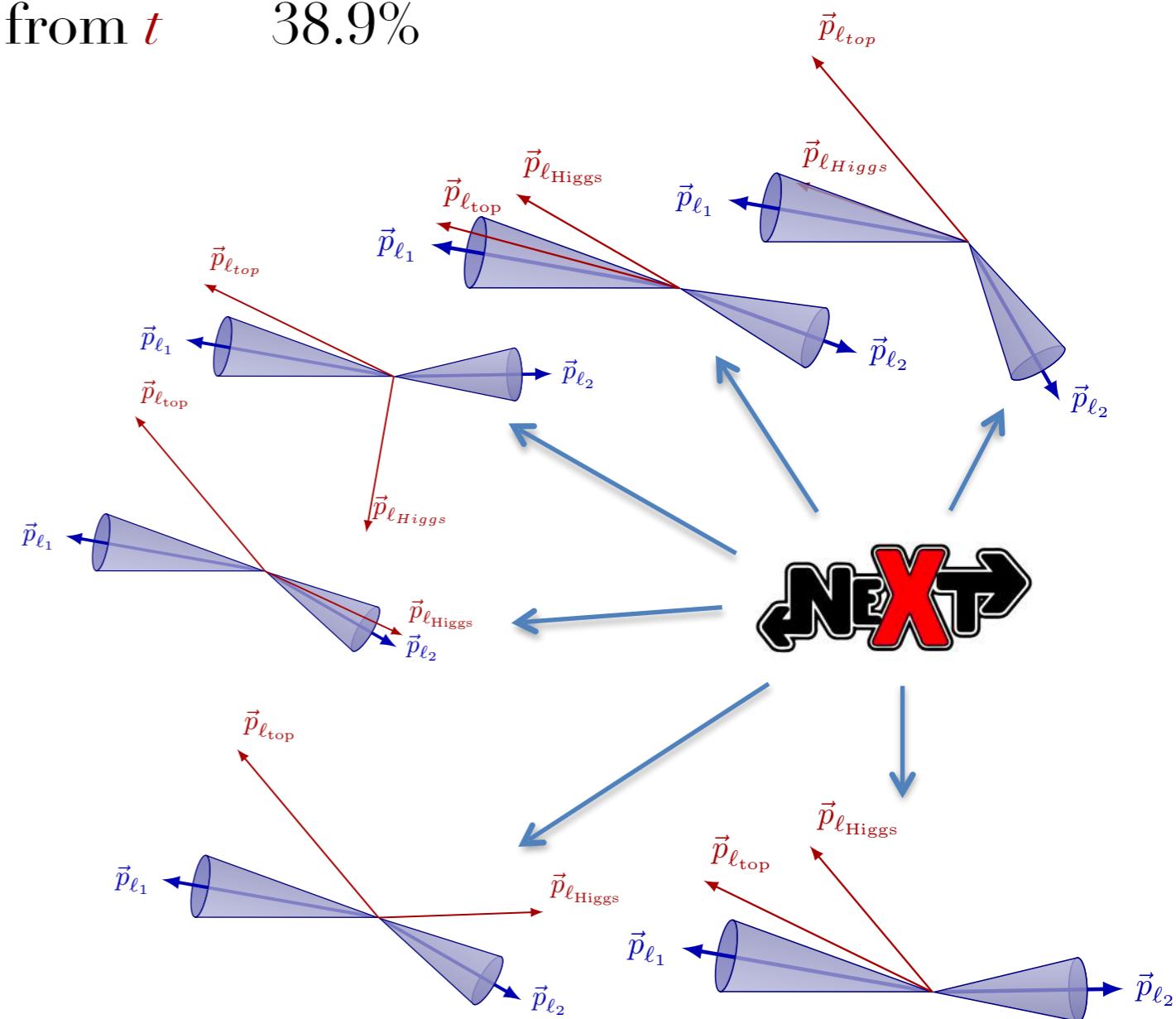
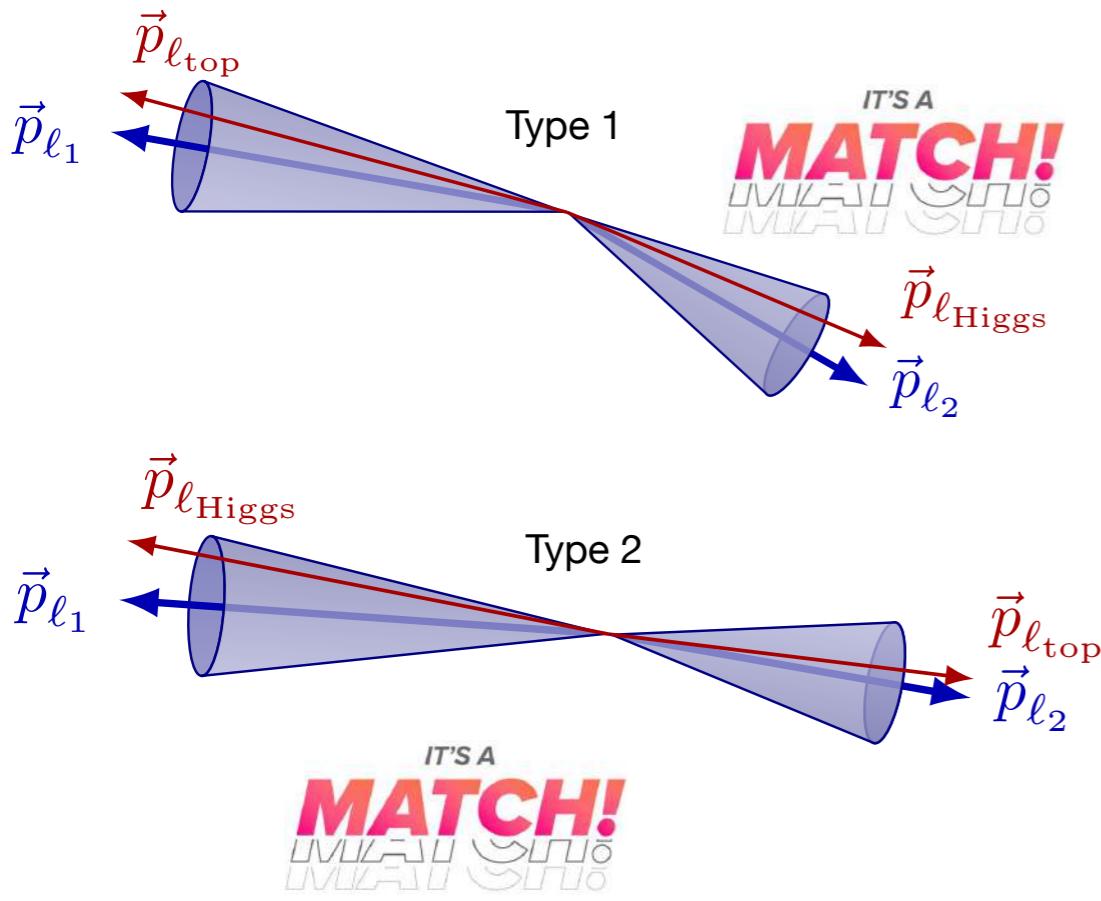
Draw  $\Delta R < 0.1$ cones around the of the leptons.



# Assignment of the lepton origin

- Two categories:

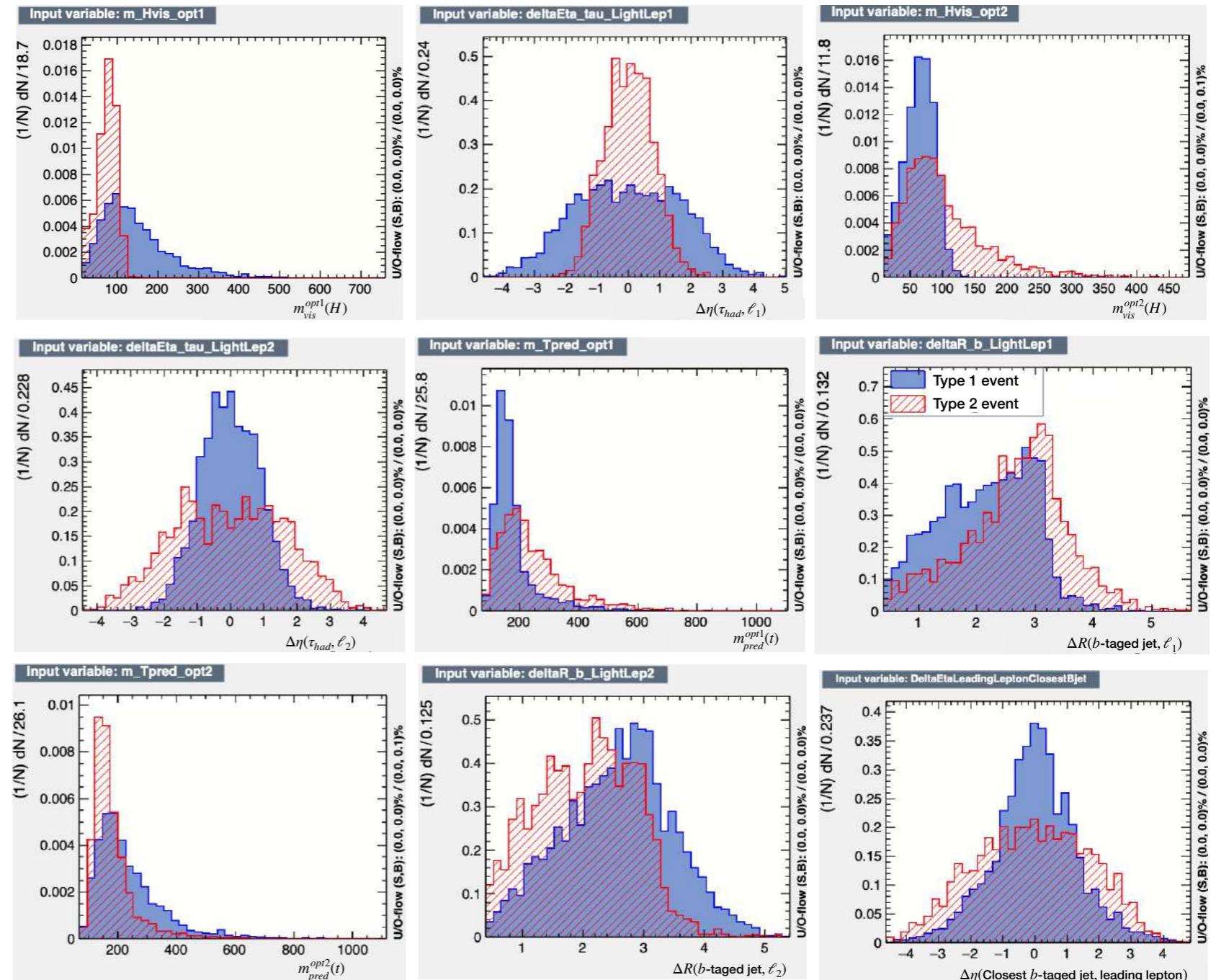
- Type 1: Leading lepton ( $\ell_1^{\text{reco}}$ ) from  $t$  and subleading ( $\ell_2^{\text{reco}}$ ) from  $H$  61.1%
- Type 2:  $\ell_1^{\text{reco}}$  from  $H$  and  $\ell_2^{\text{reco}}$  from  $t$  38.9%



# BDT lepton origin - Variables

## Ranked variables

1.  $m_{vis}^{opt1}(H)$
2.  $\Delta\eta(\tau_{had}, \ell_1)$
3.  $m_{vis}^{opt2}(H)$
4.  $\Delta\eta(\tau_{had}, \ell_2)$
5.  $m_{pred}^{opt1}(t)$
6.  $\Delta R(\text{leading } b\text{-tagged jet}, \ell_1)$
7.  $m_{pred}^{opt2}(t)$
8.  $\Delta R(b\text{-tagged jet}, \ell_2)$
9.  $\Delta\eta(\text{closest } b\text{-tagged jet}, \ell_1)$

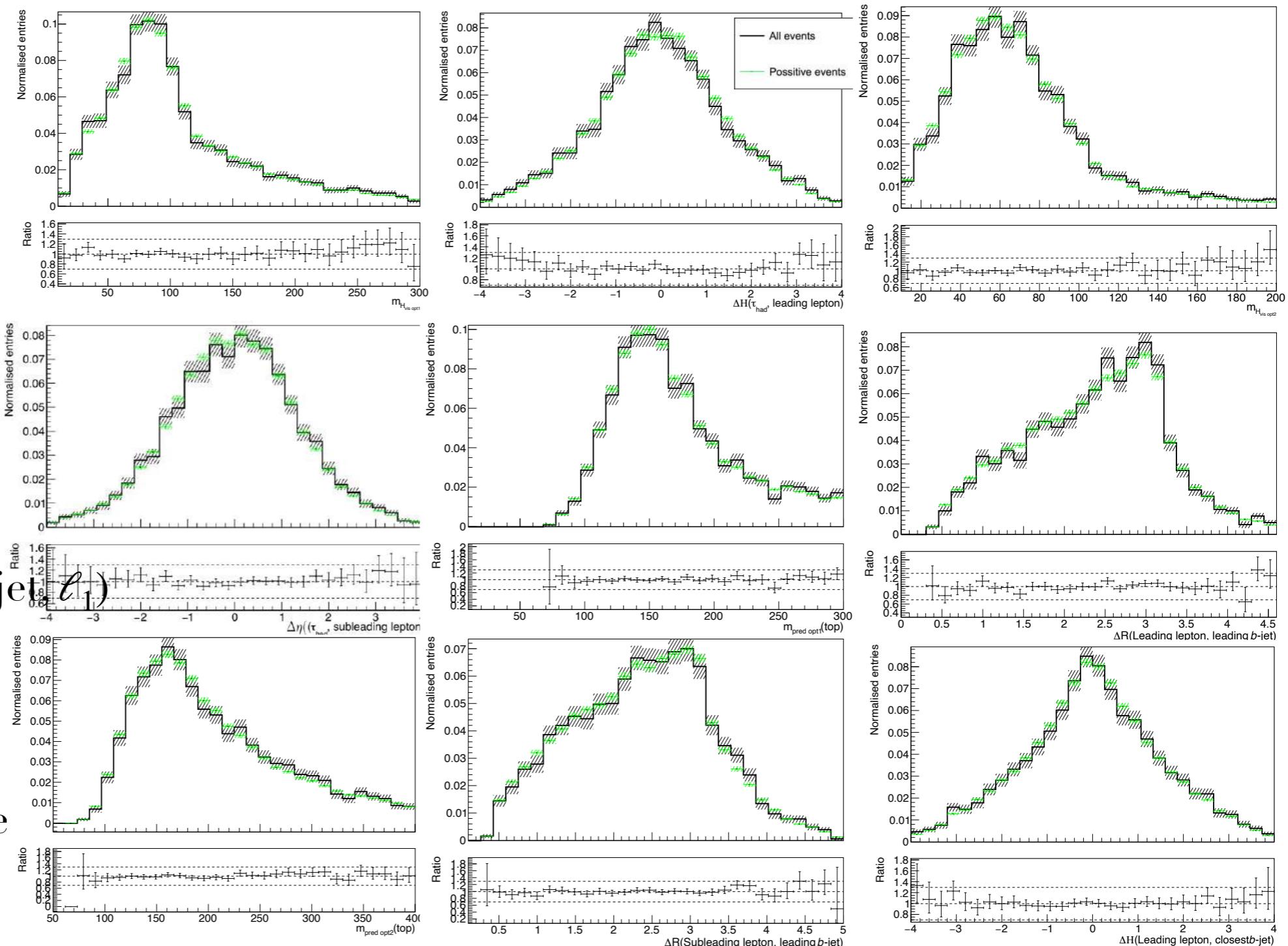


# BDT lepton origin - Variables

- The distributions with negative weights have the same distribution as with all the weights

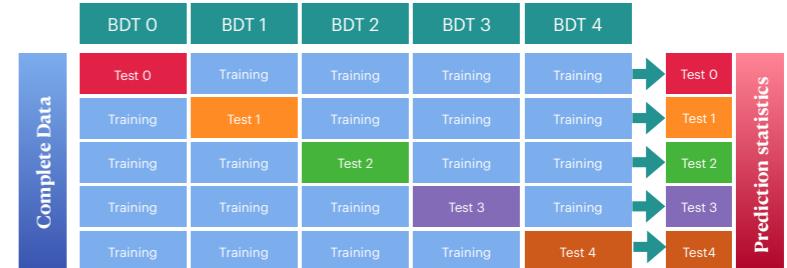
Ranked variables

- $m_{vis}^{opt1}(H)$
- $\Delta\eta(\tau_{had}, \ell_1)$
- $m_{vis}^{opt2}(H)$
- $\Delta\eta(\tau_{had}, \ell_2)$
- $m_{pred}^{opt1}(t)$
- $\Delta R(\text{leading } b\text{-tagged jet}, \ell_1)$
- $m_{pred}^{opt2}(t)$
- $\Delta R(b\text{-tagged jet}, \ell_2)$
- $\Delta\eta(\text{closest } b\text{-tagged jet})$



# BDT lepton origin - Training

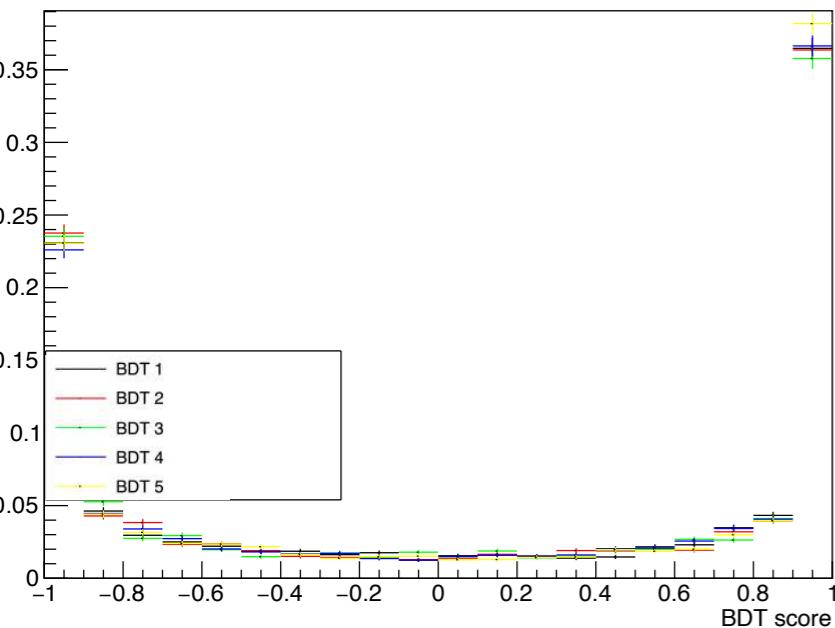
Hyperparam.	Value	Meaning
Type	Gradient	Boosting type for the trees.
MaxDepth	3	Maximum depth of cell tree.
Shrinkage	0.2	Learning rate for GradBoost algorithm.
NTrees	$10^3$	Number of trees in method.
nCuts	40	Number of grid points in variable range used in finding optimal cut in node splitting.



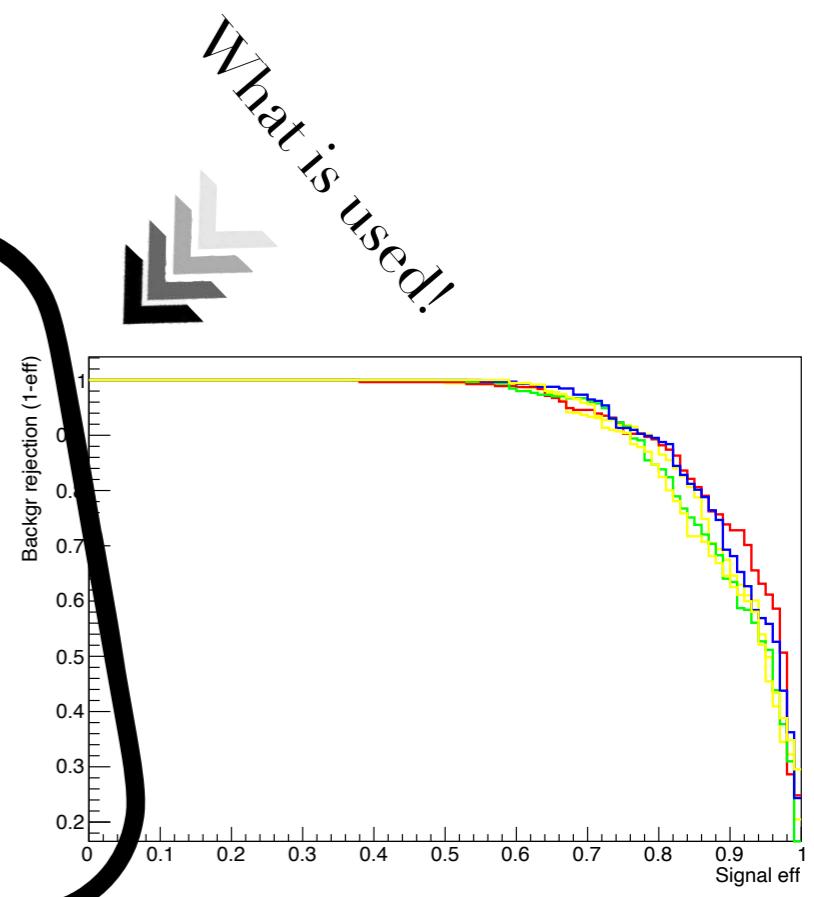
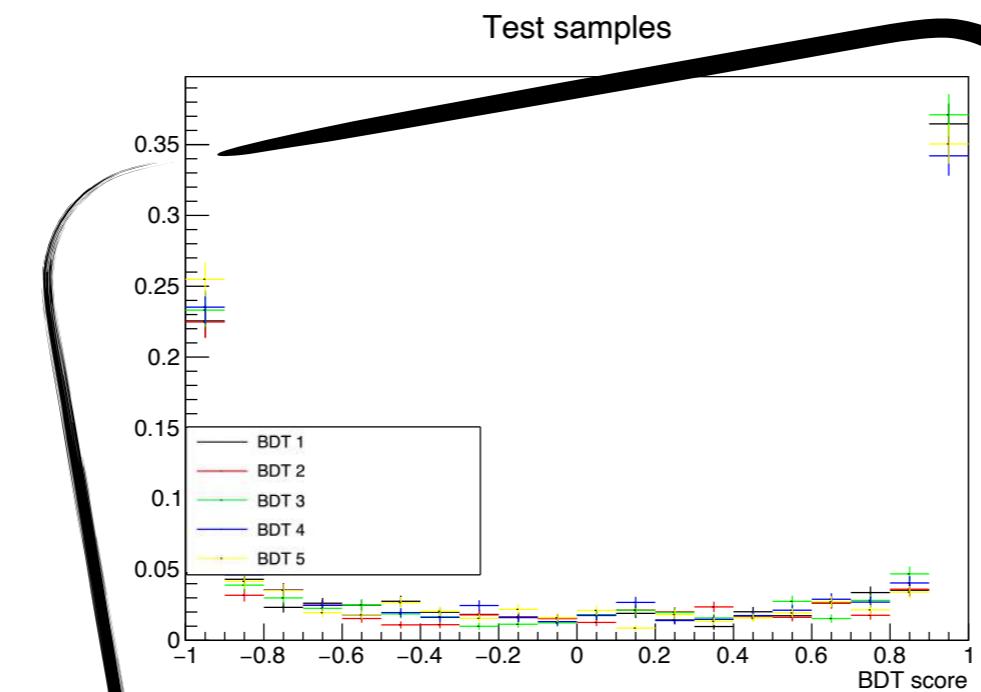
The split expression uses the event number

- BDT score distributions for the different models (split is a model)
- Only the scores corresponding to test samples are applied
- The model does not seem to be affected by which folds are used <--general

Train samples

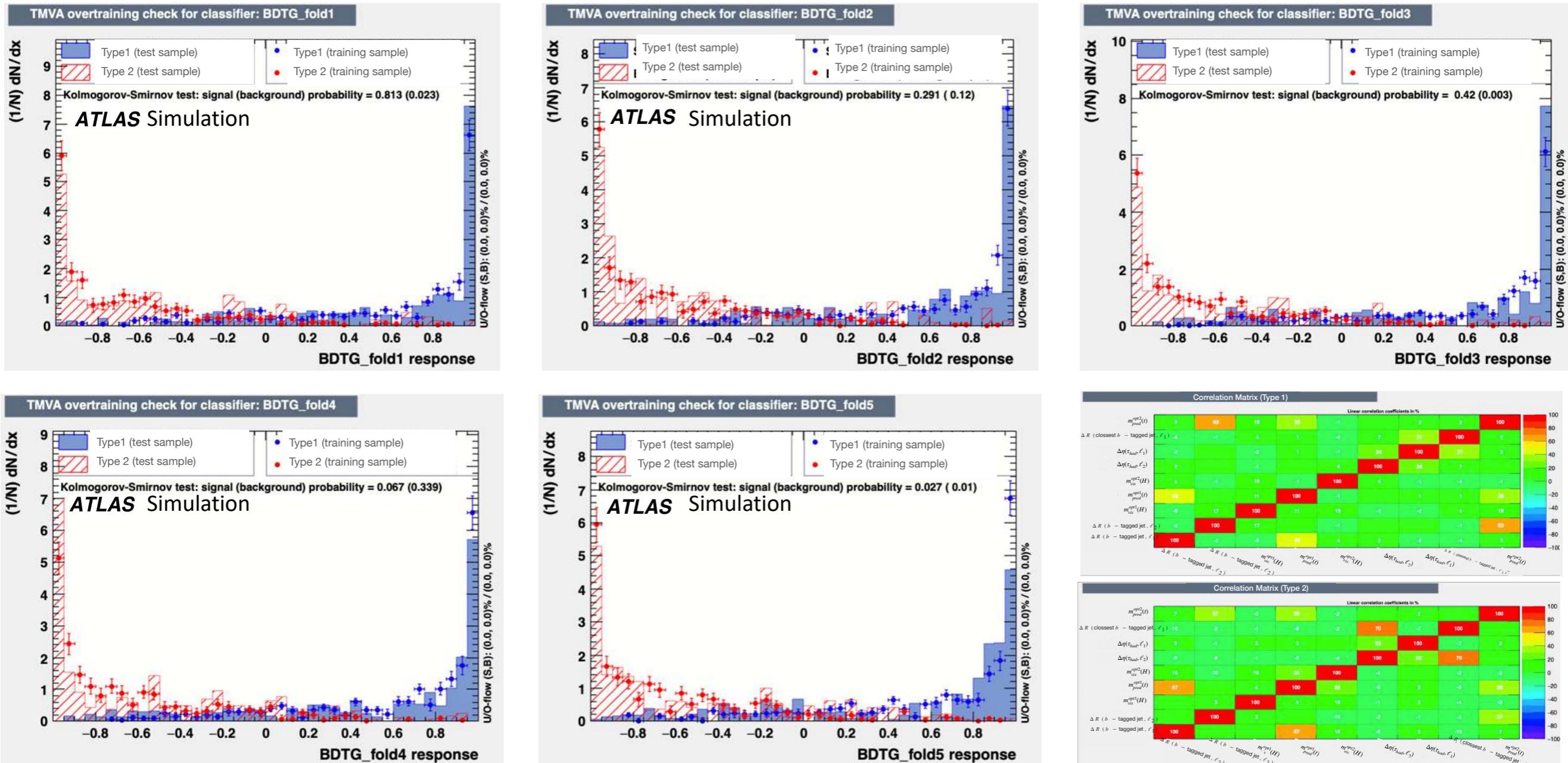


Test samples



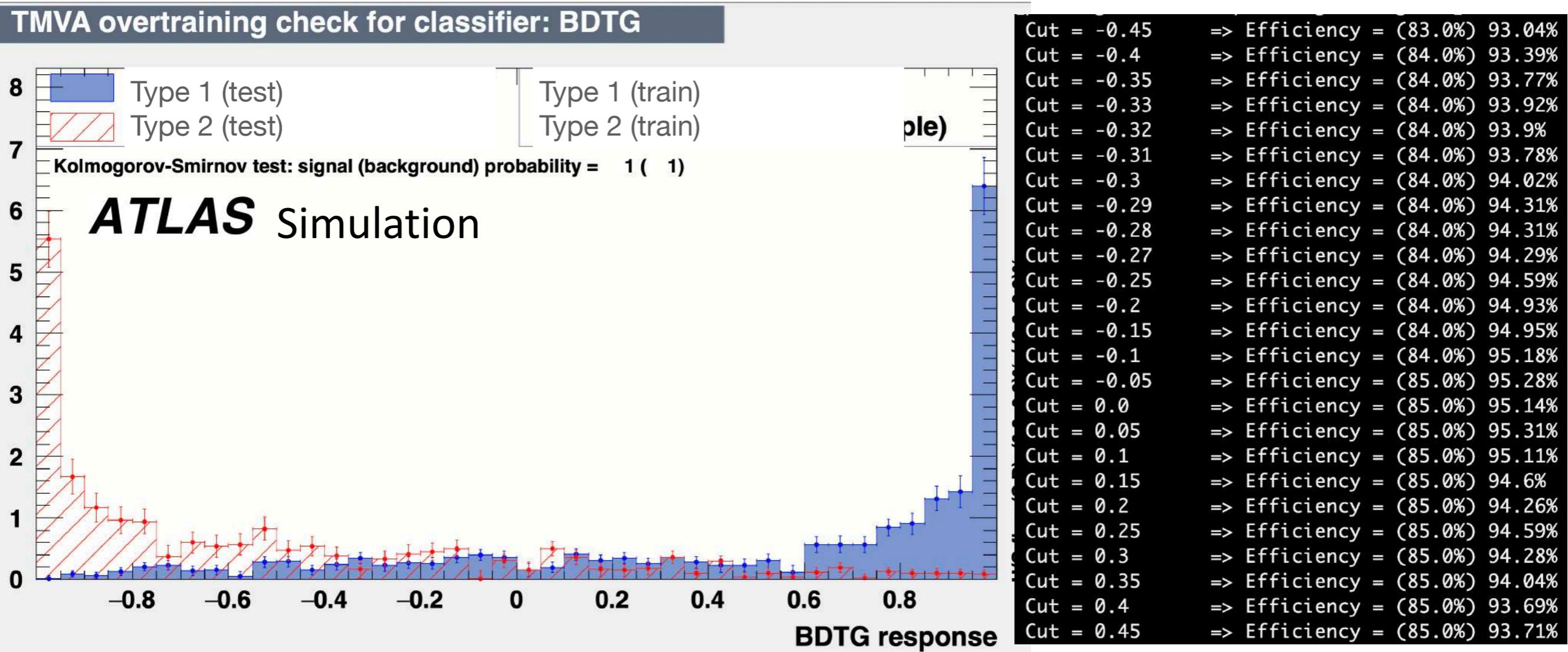
# BDT lepton origin - Models

The 5-folds result in 5 BDTS. Each with its own train (80%) and test (20%) samples.



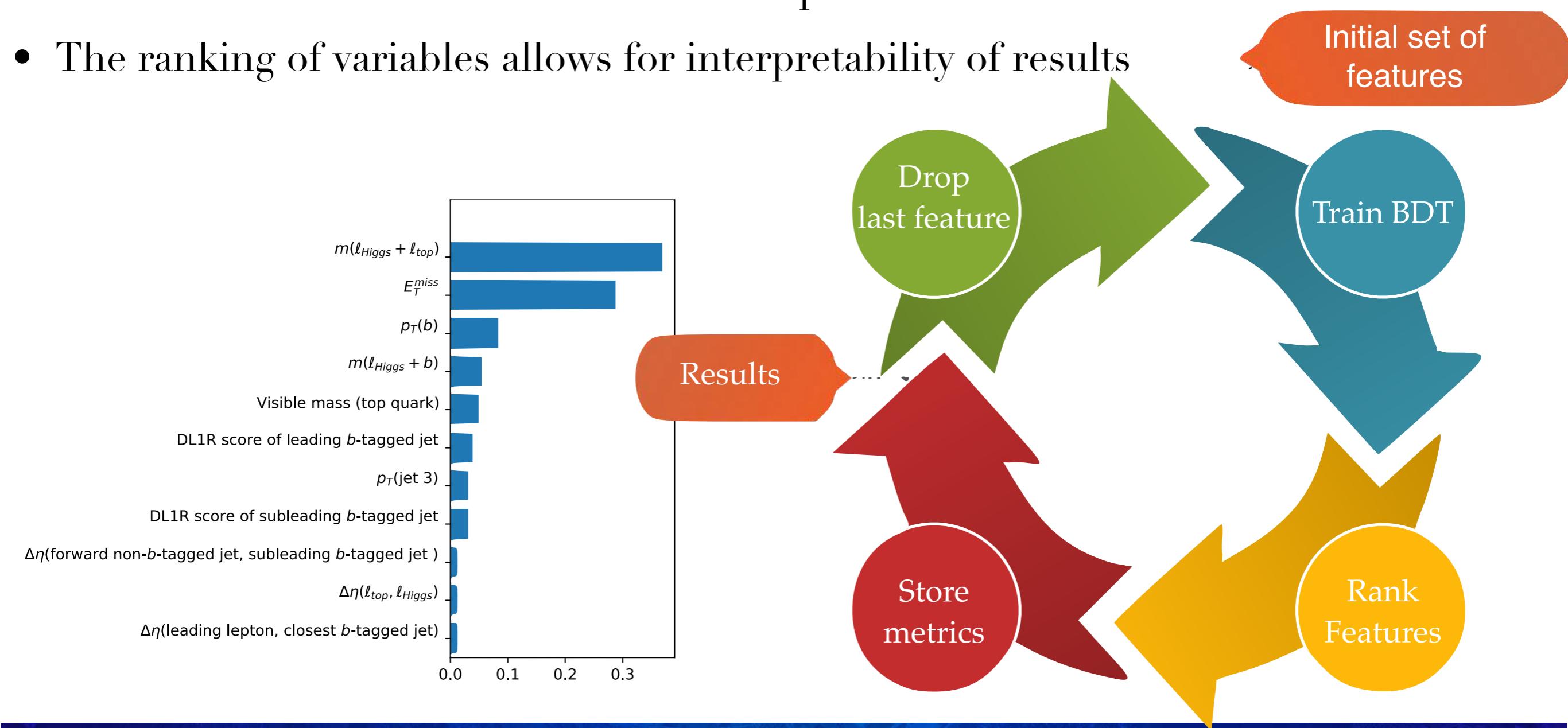
# BDT lepton origin - Thereshold

Combining the 5 BDTS:



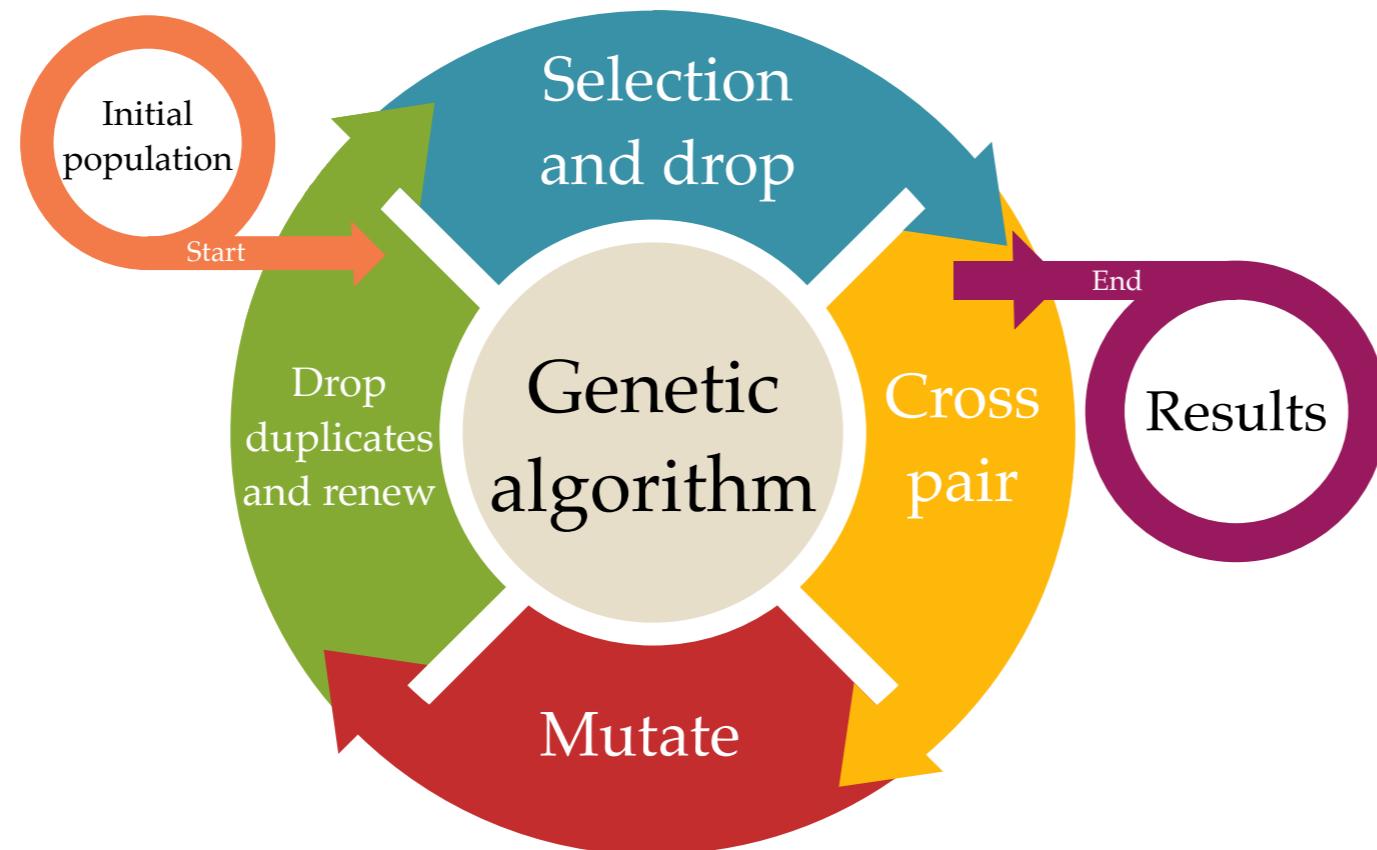
# BDT - Variables Optimisation

- Preliminar set of input variables selected from distributions
- Iterative algorithm to rank variables according to its impact
- Most discriminant variables selected as input variables
- The ranking of variables allows for interpretability of results



# BDT - Hyperparam - GA

- The genetic algorithm is inspired in the concept of natural selection



Hyperparameter	BDT( $tHq _{OS}$ )	BDT( $t\bar{t} _{OS}$ )	BDT( $tHq _{SS}$ )
Maximum depth	4	4	4
Learning rate	0.1237	0.0334	0.04
Number of estimators	1500	1500	1500
Minimum child weigh	0.52	0.077	0.026
Scale of positive weights	268.838	0.36	83.21
Neg. weight strategy	Only positive	Only positive	Absolute values

**Inject initial population**  
A collection of sets of hyperparameters is injected to the GA.

**Selection and drop**  
A model is trained for each set and these are ranked. The worst half is dropped.

**Cross pair**  
Randomly interchange values of some hyperparameters between two sets.

**Mutate**  
Randomly mutate the values of some hyperparameters.

**Drop duplicates and renew**  
Remove the duplicates and add the new sets to reach the initial population.

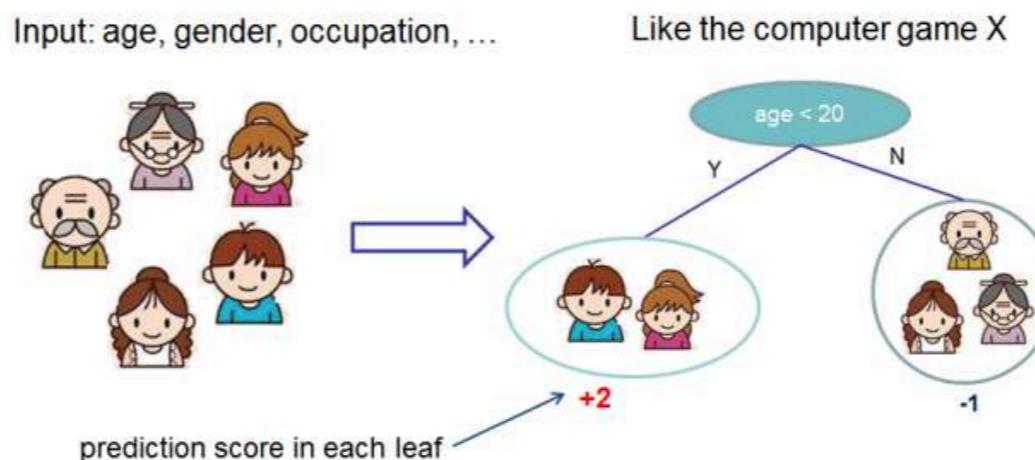
**Results**  
To watch carefully and attentively in order to learn or gain information.

# Boosted Decision Trees

## What is a BDT?

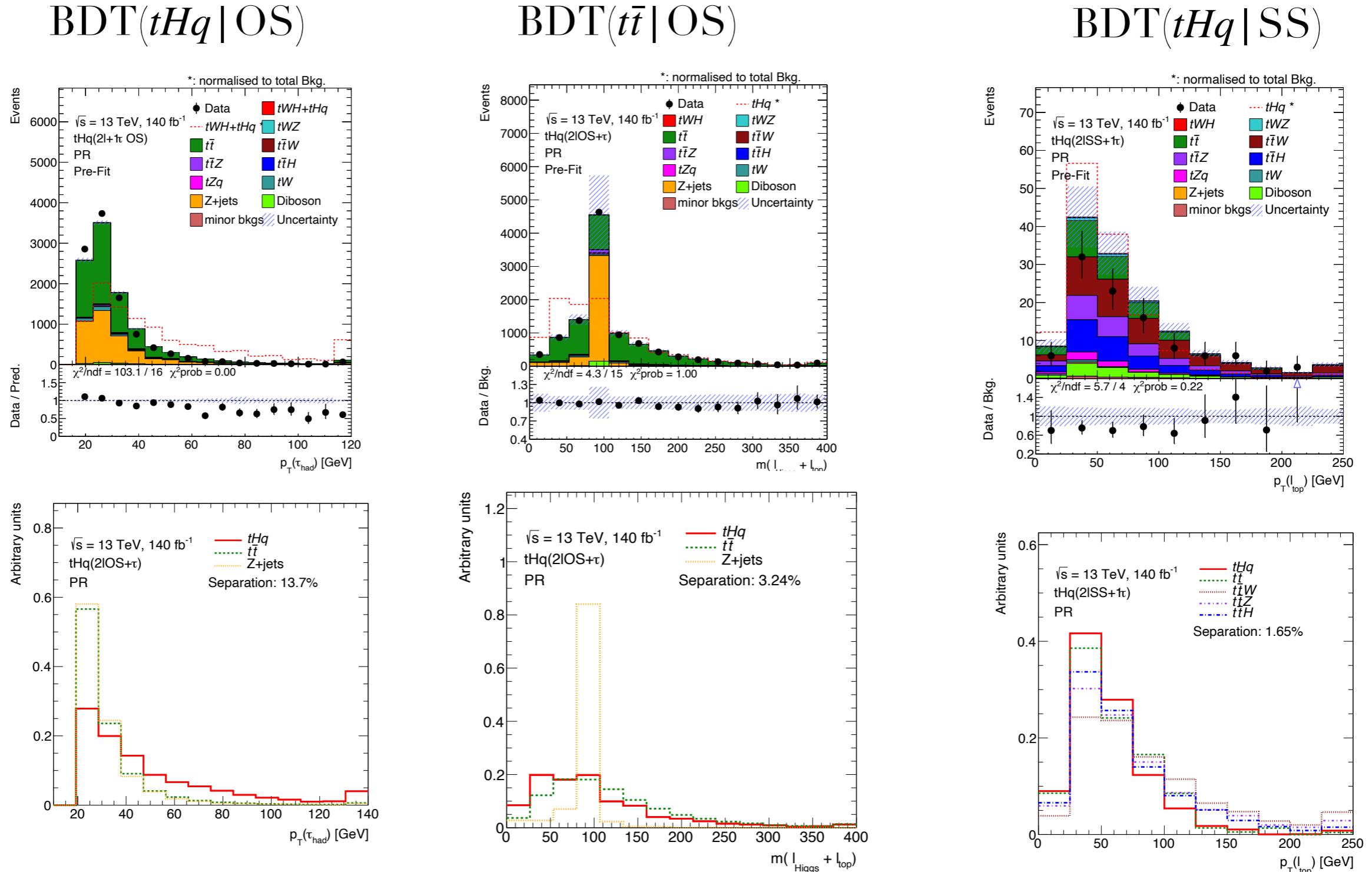
- A BDT (Boosted Decision Tree) is a type of supervised machine learning algorithm
- Given a set of input variables, decide whether an event is signal or background
- Conceptually, nothing more than a complicated sequence of cuts

*dmlc*  
**XGBoost**



- Here use XGBoost → fast, robust performance without much hyperparameter tuning needed

# BDTs for region def - Variables



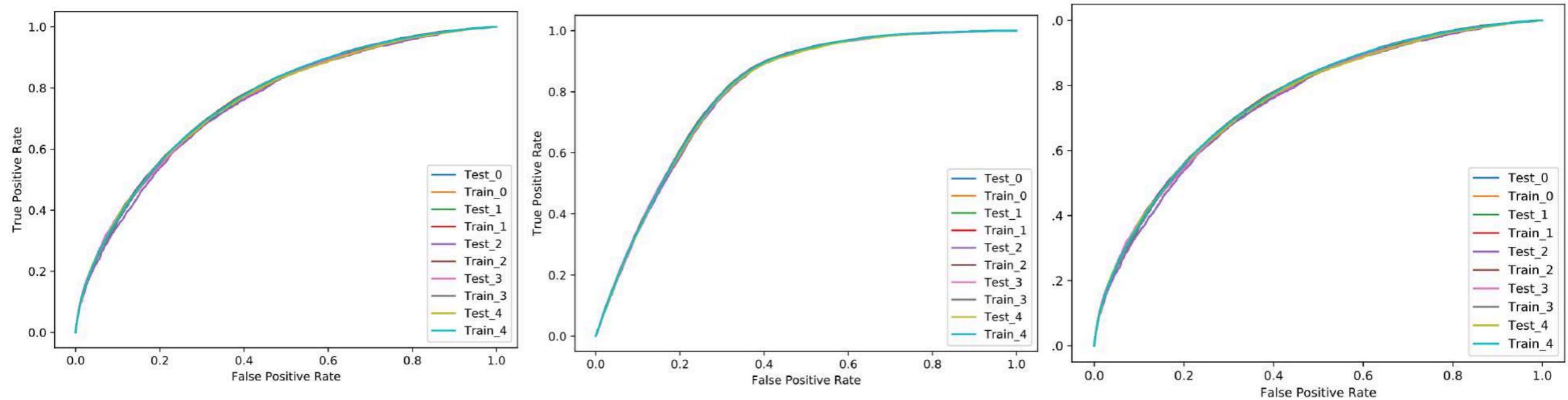
# Variables, hyperparam and performance

Variable name	BDT( $tHq _{OS}$ )	BDT( $t\bar{t} _{OS}$ )	BDT( $tHq _{SS}$ )
$\Delta\eta(\ell_{\text{Higgs}}, \tau_{\text{had}})$	✓	-	✓
$\Delta R(\ell_{\text{top}}, \text{leading non-}b\text{-tagged jet})$	✓	-	✓
$\Delta\eta(\text{forward non-}b\text{-tagged jet}, b\text{-tagged jet})$	✓	-	✓
$\Delta R(\text{leading non-}b\text{-tagged jet}, b\text{-tagged jet})$	-	-	✓
Visible mass (top quark)	-	✓	-
$p_T(b\text{-tagged jet})$	-	✓	-
$m(\ell_{\text{Higgs}}, b\text{-tagged jet})$	✓	✓	-
$\Delta R(\ell_{\text{Higgs}}, \text{leading non-}b\text{-tagged jet})$	-	-	✓
Missing Mass Calculator 1	✓	-	-
$p_T(\ell_{\text{top}})$	-	-	✓
$E(\ell_{\text{top}})$	-	-	✓
$\Delta R_{\min}(\ell_{\text{Higgs}}, \ell_{\text{top}}, \tau_{\text{had}})$	✓	-	-
$m(b\text{-tagged jet, spectator jet})$	✓	-	-
$\Delta\eta(\text{forward non-}b\text{-tagged jet, subleading }b\text{-tagged jet})$	-	✓	-
DL1R calibrated score of subleading $b$ -tagged jet	-	✓	-
DL1R calibrated score of leading $b$ -tagged jet	-	✓	-
$\Delta\phi(\ell_{\text{Higgs}}, \text{spectator jet})$	✓	-	✓
$\Delta R(\ell_{\text{top}}, \ell_{\text{Higgs}})$	✓	-	✓
Multiplicity (forward jets)	✓	-	-
$\Delta\eta(\text{leading lepton, closest }b\text{-tagged jet})$	-	✓	-
$\Delta\eta(\ell_{\text{top}}, \ell_{\text{Higgs}})$	-	✓	✓
$\Delta R(\ell_{\text{Higgs}}, \tau_{\text{had}})$	✓	-	-
$p_T^{\min}(\text{lepton}) \parallel p_T$ of the softest lepton	✓	-	-
$\Delta R(\ell_{\text{Higgs}}, \text{spectator jet})$	✓	-	-
Type ( $\ell_1$ )	✓	-	-
Type ( $\ell_3$ )	✓	-	-
$m_T(\ell_{\text{top}}, b\text{-tagged jet})$	-	-	✓
$\Delta R(\text{spectator jet, leading }b\text{-tagged jet})$	-	-	✓
$\Delta\eta(\ell_{\text{top}}, \text{leading non-}b\text{-tagged jet})$	-	-	✓
$\Delta\eta(\ell_{\text{Higgs}}, b\text{-tagged jet})$	✓	-	-
$m(\ell_{\text{Higgs}} + \ell_{\text{top}})$	-	✓	-
$p_T(\tau_{\text{had}})$	✓	-	✓
$p_T(\text{jet 3})$	-	✓	-
$\eta(\text{leading jet})$	✓	-	-
$\Delta\eta(\ell_{\text{Higgs}}, \text{spectator jet})$	✓	-	-
$E_T^{\text{miss}}$	-	✓	-
$\Delta R(\ell_{\text{Higgs}}, b\text{-tagged jet})$	-	-	✓
$\Delta\eta(\ell_{\text{top}}, \tau_{\text{had}})$	✓	-	-
Multiplicity central jets	-	-	✓

Hyperparameter	BDT( $tHq _{OS}$ )	BDT( $t\bar{t} _{OS}$ )	BDT( $tHq _{SS}$ )
Maximum depth	4	4	4
Learning rate	0.1237	0.0334	0.04
Number of estimators	1500	1500	1500
Minimum child weigh	0.52	0.077	0.026
Scale of positive weights	268.838	0.36	83.21
Neg. weight strategy	Only positive	Only positive	Absolute values

Fold	BDT( $tHq _{OS}$ )		BDT( $t\bar{t} _{OS}$ )		BDT( $tHq _{SS}$ )	
	AUC	Log Loss	AUC	Log Loss	AUC	Log Loss
0	0.6334	0.1940	0.7315	0.1940	0.6685	0.588
1	0.6339	0.1979	0.7419	0.1979	0.6702	0.591
2	0.6365	0.1958	0.7257	0.1958	0.6705	0.595
3	0.6438	0.1958	0.7321	0.1958	0.6717	0.600
4	0.6352	0.1971	0.7268	0.1971	0.6723	0.599
Mean	$0.637 \pm 0.004$	$0.1961 \pm 0.0013$	$0.730 \pm 0.003$	$0.4381 \pm 0.0006$	$0.6706 \pm 0.0013$	$0.595 \pm 0.004$

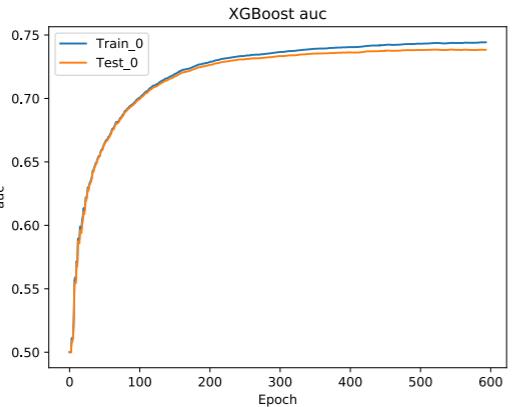
# BDT - Performance



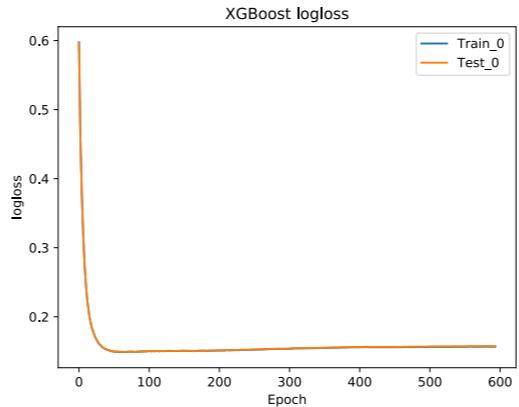
	BDT( $tHq _{OS}$ )		BDT( $t\bar{t} _{OS}$ )		BDT( $tHq _{SS}$ )	
Fold	AUC	LogLoss	AUC	LogLoss	AUC	LogLoss
0	0.6334	0.1940	0.7315	0.4378	0.6685	0.306
1	0.6339	0.1979	0.7419	0.4381	0.6702	0.307
2	0.6365	0.1958	0.7257	0.4374	0.6705	0.309
3	0.6438	0.1958	0.7321	0.4374	0.6717	0.311
4	0.6352	0.1971	0.7268	0.4391	0.6723	0.311
Mean	$0.637 \pm 0.004$	$0.1961 \pm 0.0013$	$0.730 \pm 0.003$	$0.4381 \pm 0.0006$	$0.6706 \pm 0.0013$	$0.308 \pm 0.002$

# BDT - Performance

BDT( $tHq$  | OS $\Sigma^{\pm}$ )

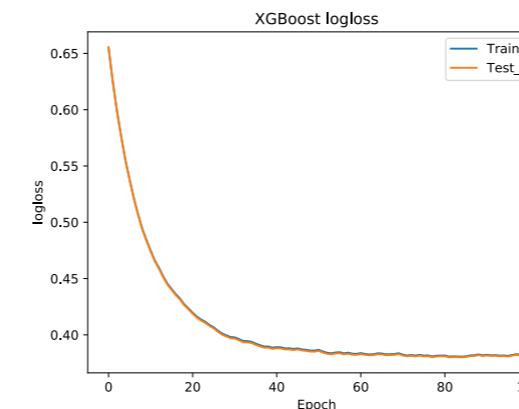
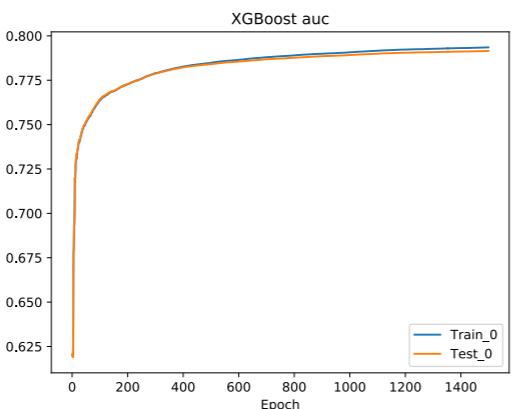


(a) Area under the ROC curve.

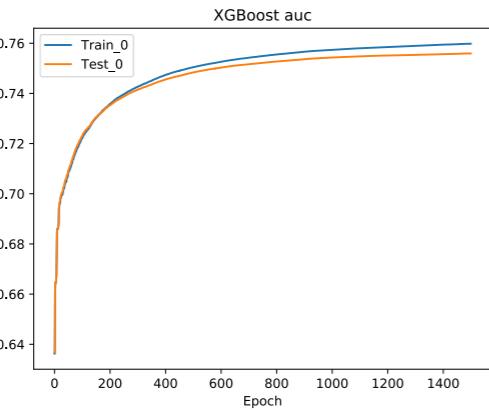


(b) Logarithm of the loss function.

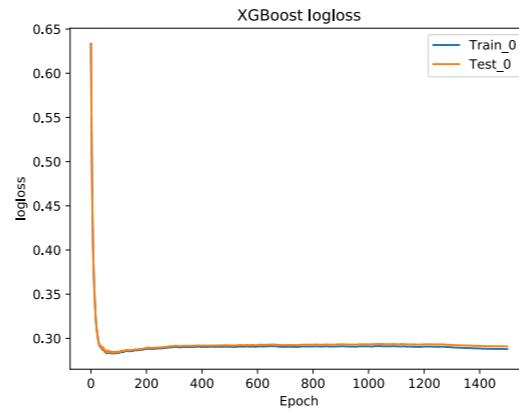
BDT( $t\bar{t}$  | OS)



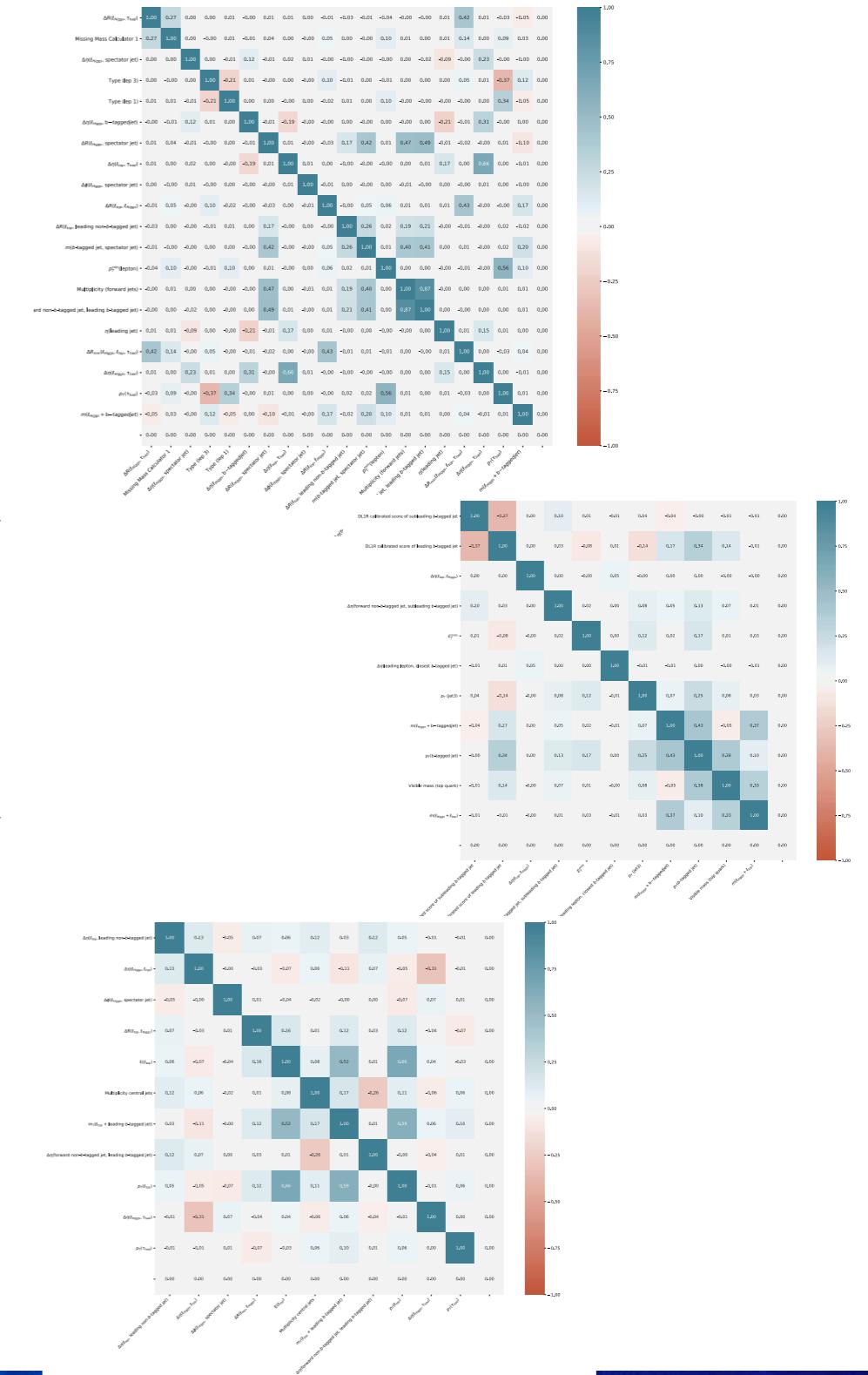
BDT( $tHq$  | SS<sub>auc</sub>)



(a) Area under the ROC curve.



(b) Logarithm of the loss function.

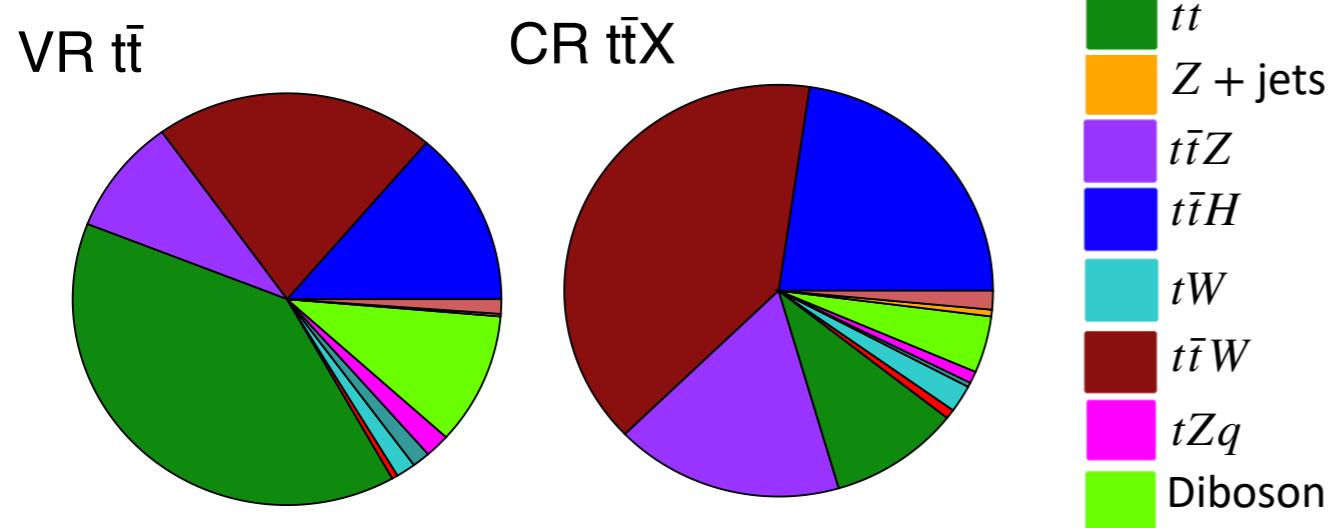


# Fit strategies for the $2\ell\text{SS} + \tau_{had}$

- Different fit configurations explored
- Baseline is the one used in the thesis
- In alternatives 1 and 2 the CR(All Bkgs) is split in CR( $t\bar{t}$ ) and CR( $t\bar{t}X$ )

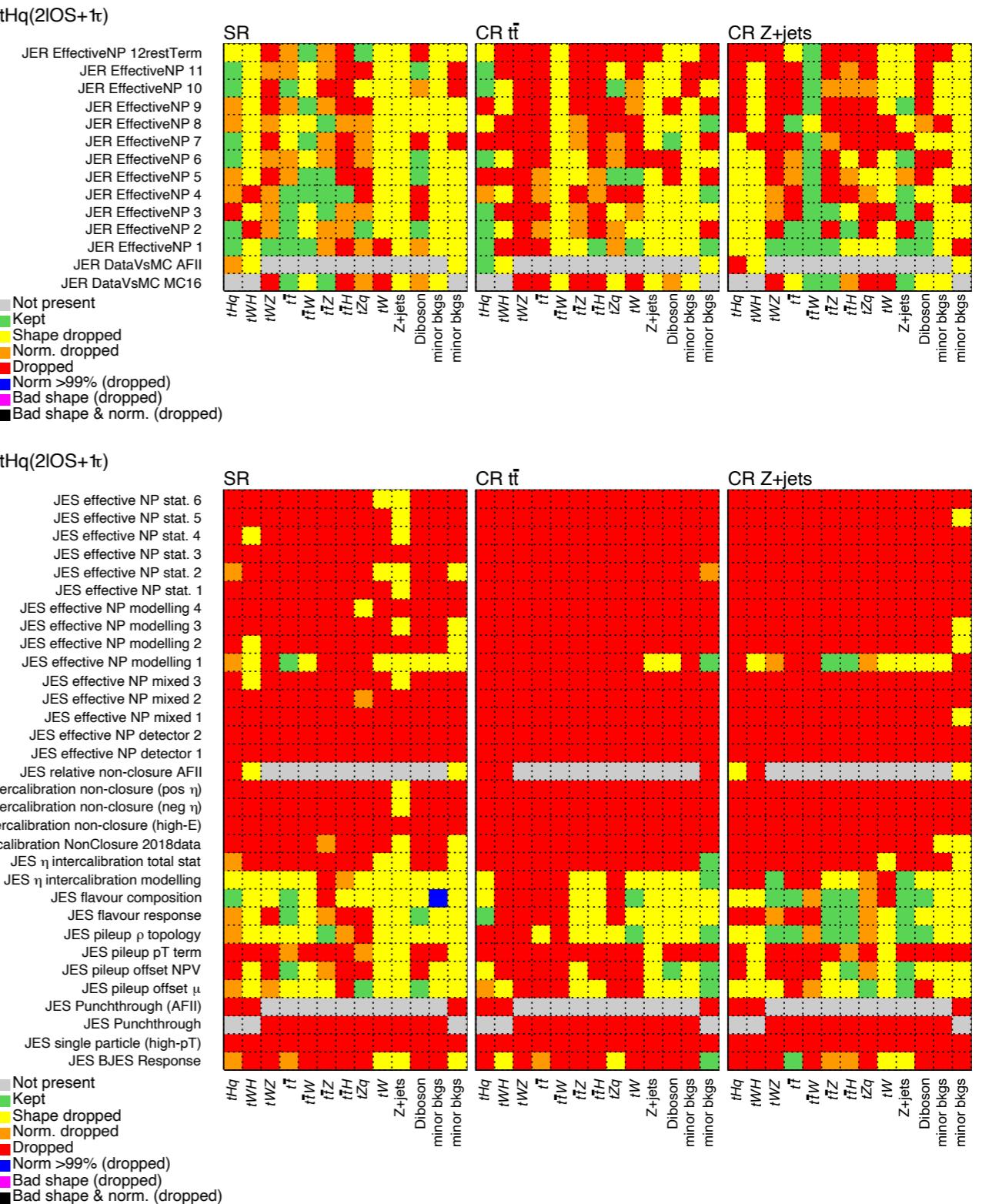
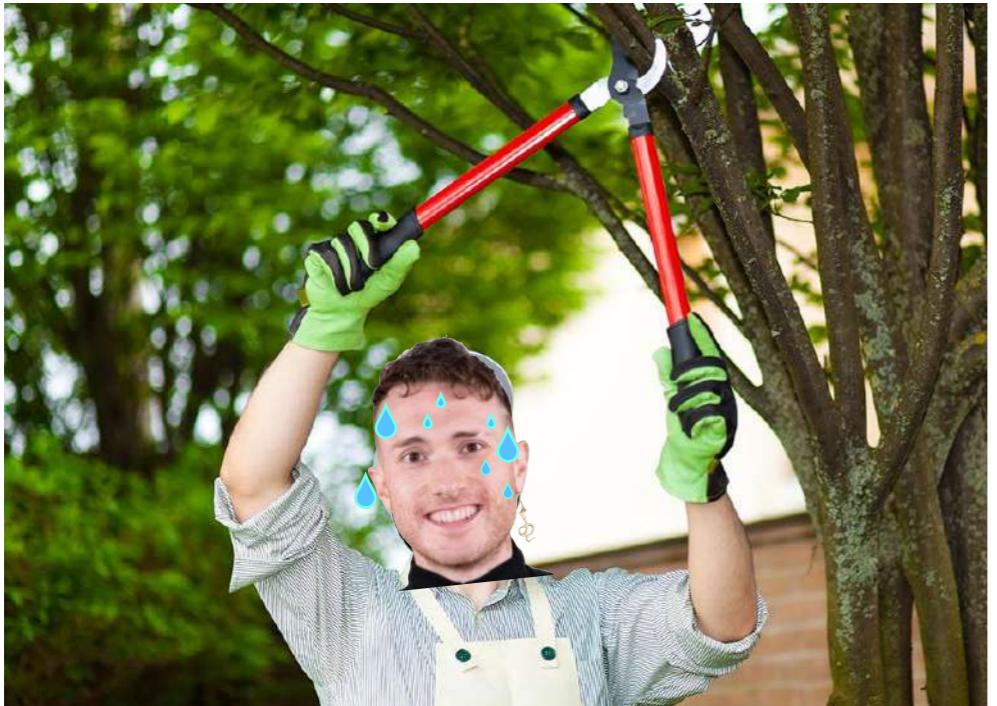
	CRs	Free $k_p$
Baseline	All bkg	$k_{t\bar{t}, t\bar{t}X}$
Alternative 1	$t\bar{t}$ , $t\bar{t}X$	$k_{t\bar{t}}, k_{t\bar{t}W}$
Alternative 2	$t\bar{t}$ , $t\bar{t}X$	$k_{t\bar{t}}, k_{t\bar{t}X}$
Alternative 3	$t\bar{t}$ , $t\bar{t}X$	$k_{t\bar{t}}, k_{t\bar{t}W}, k_{t\bar{t}H}, k_{t\bar{t}Z}$

- Due to low statistics, a single CR yielded the best results

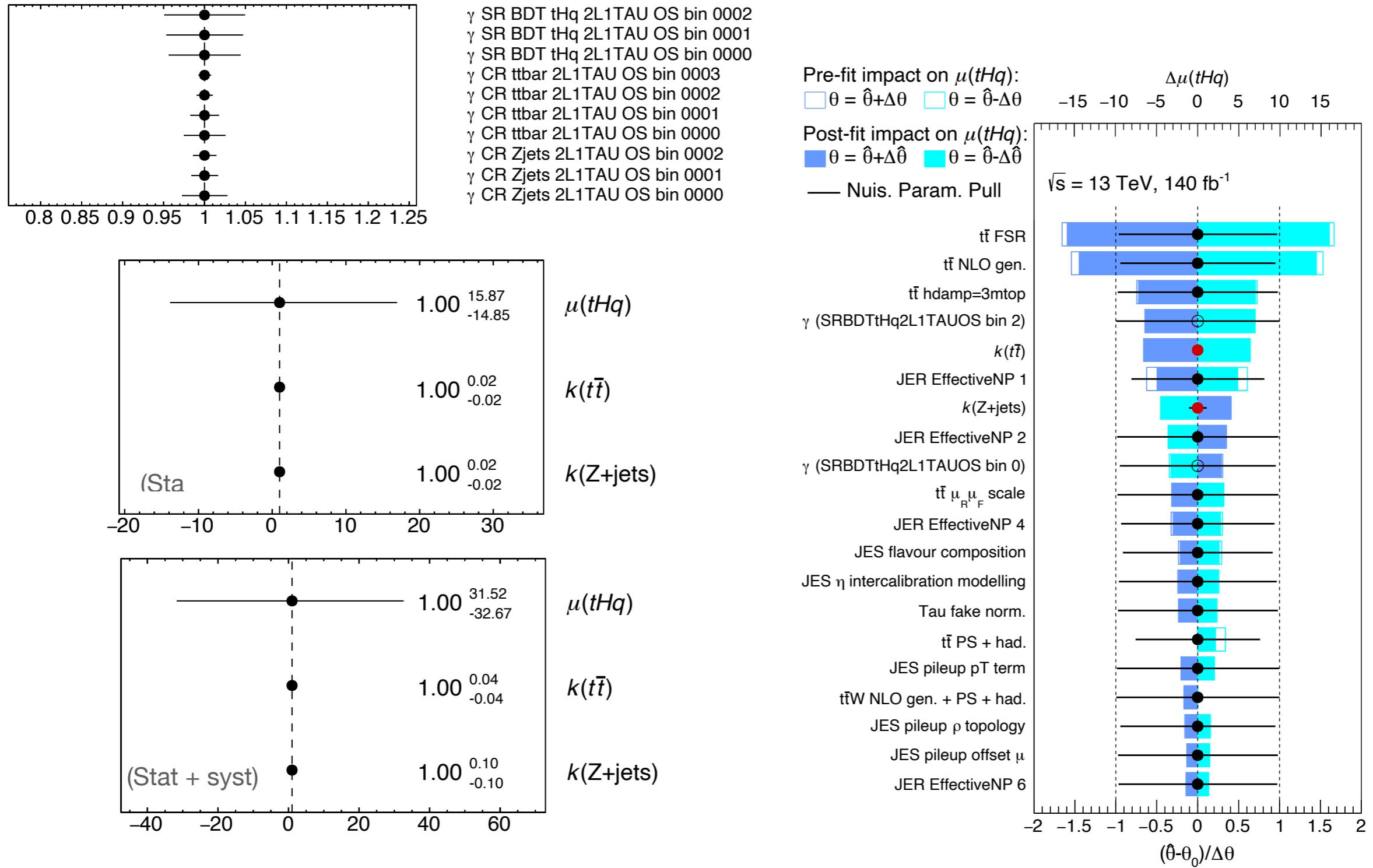


# Pruning of NPs

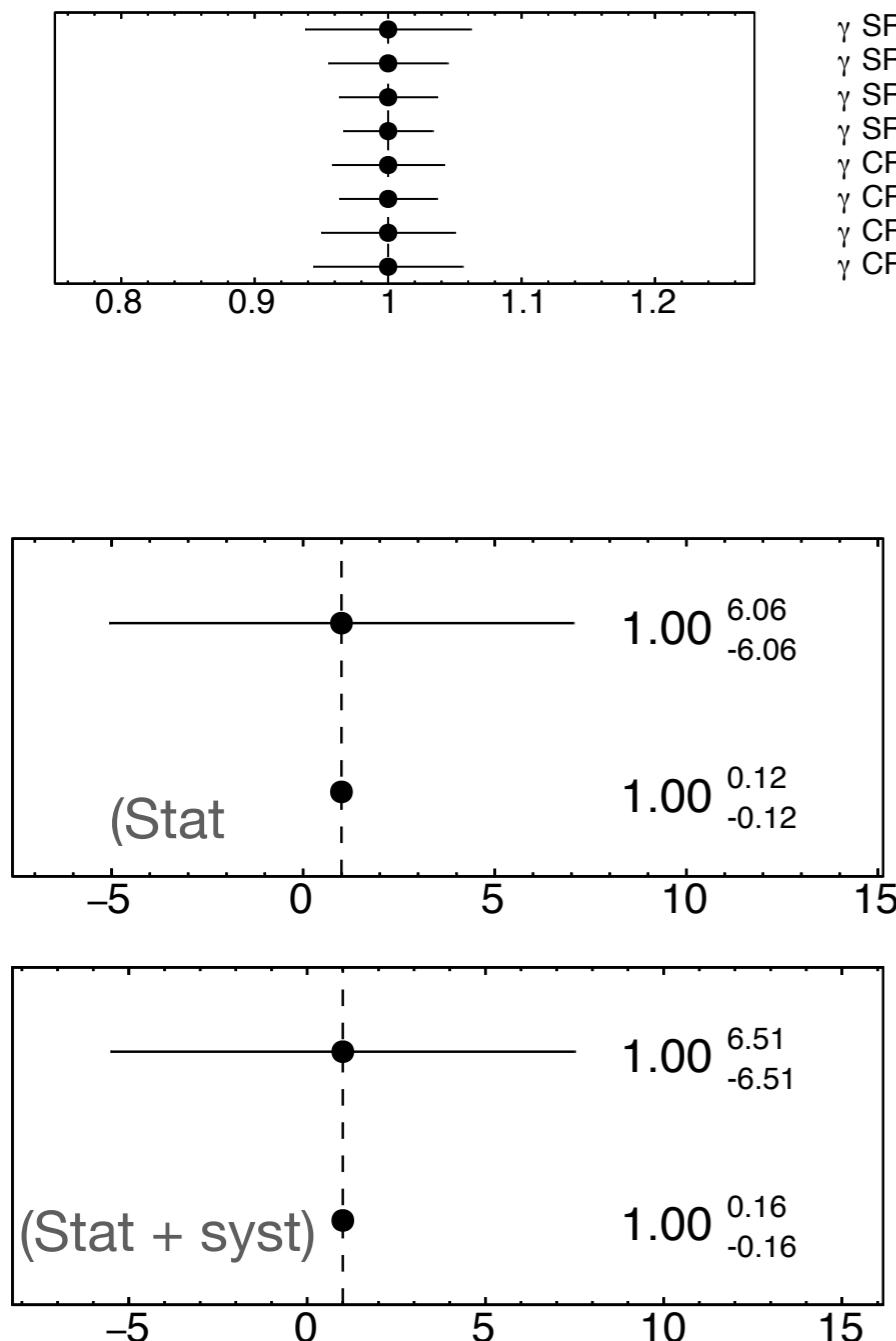
- If a NP has little impact on the fit, it can be ignored in the calculations
- Pruning: Removing the NPs that do not affect the fit
  - Shape: 1%
  - Normalisation: 0.5%
- Explored for each process and region



# Asimov fit - $2\ell\text{OS} + \tau_{had}$



# Asimov fit - $2\ell SS + \tau_{had}$



$\gamma$  SR BDT tHq 2L1TAU SS bin 0003  
 $\gamma$  SR BDT tHq 2L1TAU SS bin 0002  
 $\gamma$  SR BDT tHq 2L1TAU SS bin 0001  
 $\gamma$  SR BDT tHq 2L1TAU SS bin 0000  
 $\gamma$  CR Bkg 2L1TAU SS bin 0003  
 $\gamma$  CR Bkg 2L1TAU SS bin 0002  
 $\gamma$  CR Bkg 2L1TAU SS bin 0001  
 $\gamma$  CR Bkg 2L1TAU SS bin 0000

Pre-fit impact on  $\mu(tHq)$ :

$\square \theta = \hat{\theta} + \Delta\theta$   $\square \theta = \hat{\theta} - \Delta\theta$

Post-fit impact on  $\mu(tHq)$ :

$\blacksquare \theta = \hat{\theta} + \Delta\hat{\theta}$   $\blacksquare \theta = \hat{\theta} - \Delta\hat{\theta}$

— Nuis. Param. Pull

$k(t\bar{t}+t\bar{t}X)$   
 $\gamma$  (SRBDTtHq2L1TAUSS bin 3)

JES effective NP stat. 6

$t\bar{t}$  NLO gen.

JER DataVsMC AFII

Tau fake norm.

$t\bar{t}W$  NLO gen. + PS + had.

JES pileup offset  $\mu$

JER EffectiveNP 1

Tau fake shape

Diboson XS

$tW$  PS + had.

Diboson NNPDF30 91

JER EffectiveNP 3

$tZq$  XS

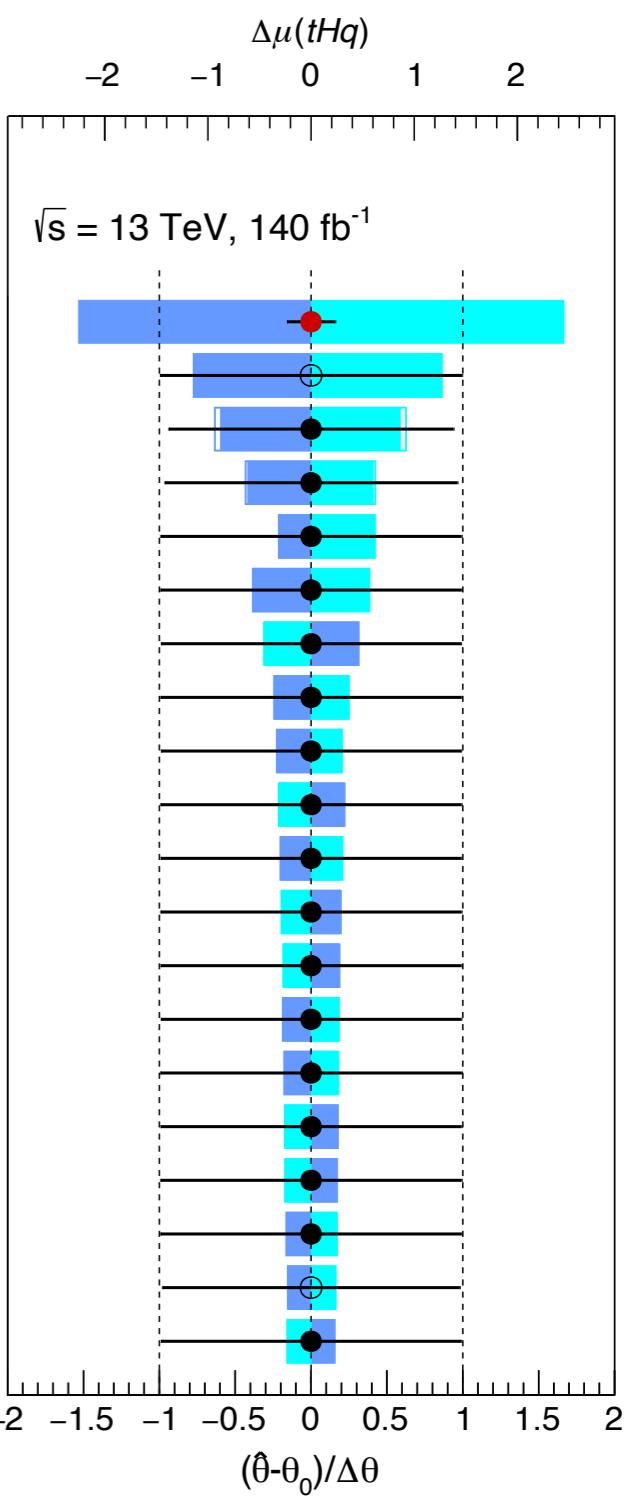
Diboson NNPDF30 57

$t\bar{t}H$  NLO gen.

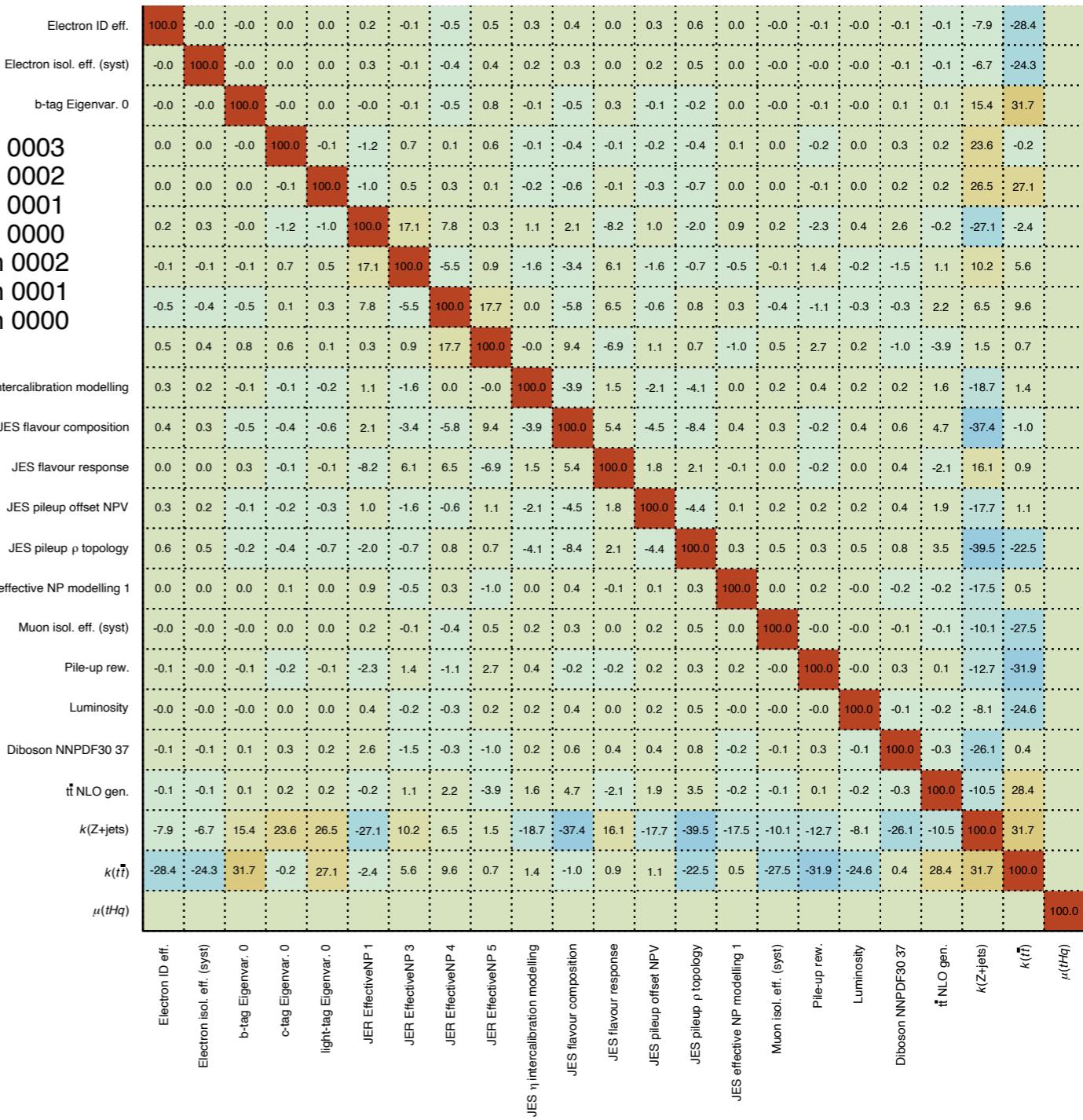
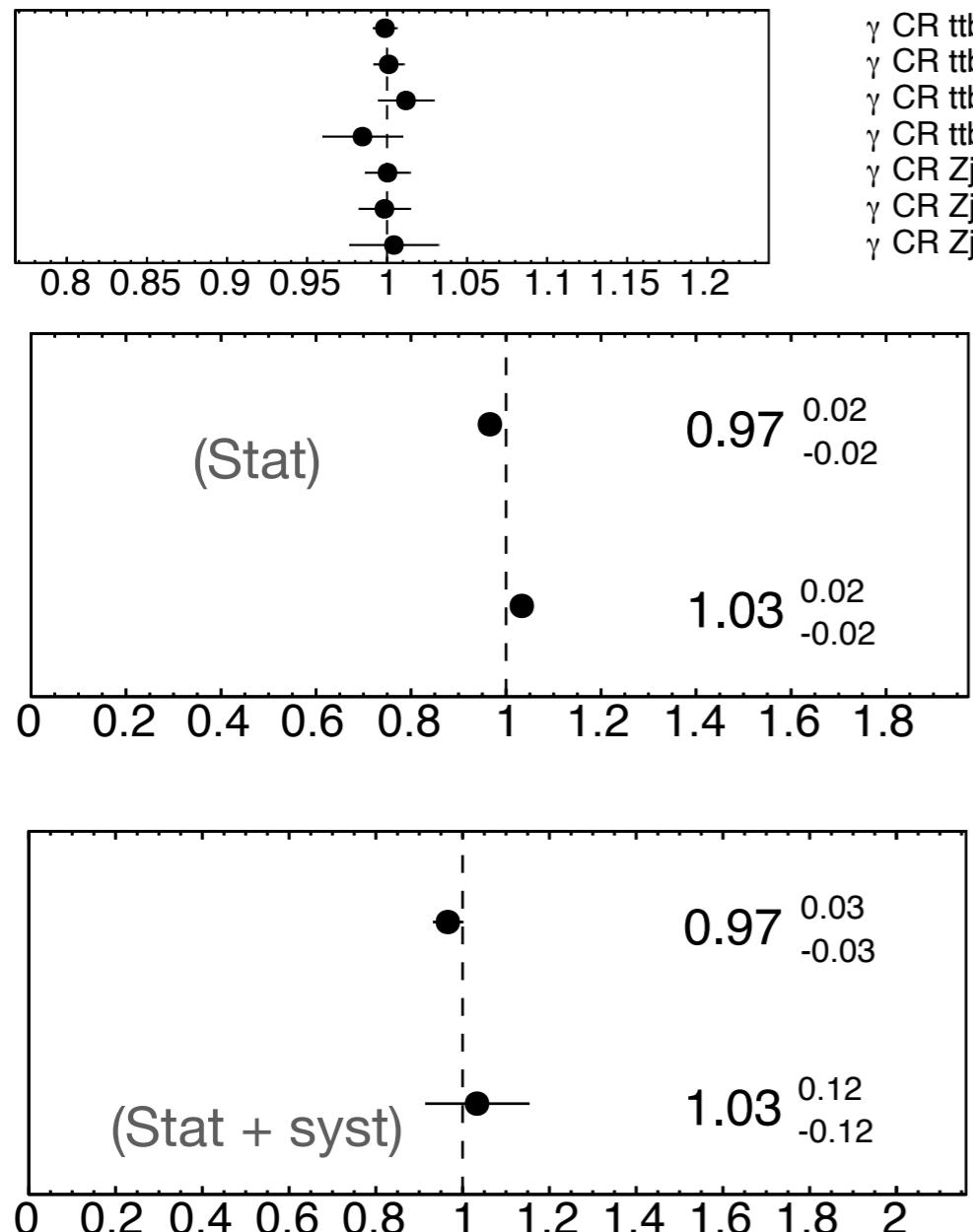
JES  $\eta$  intercalibration modelling

$\gamma$  (SRBDTtHq2L1TAUSS bin 2)

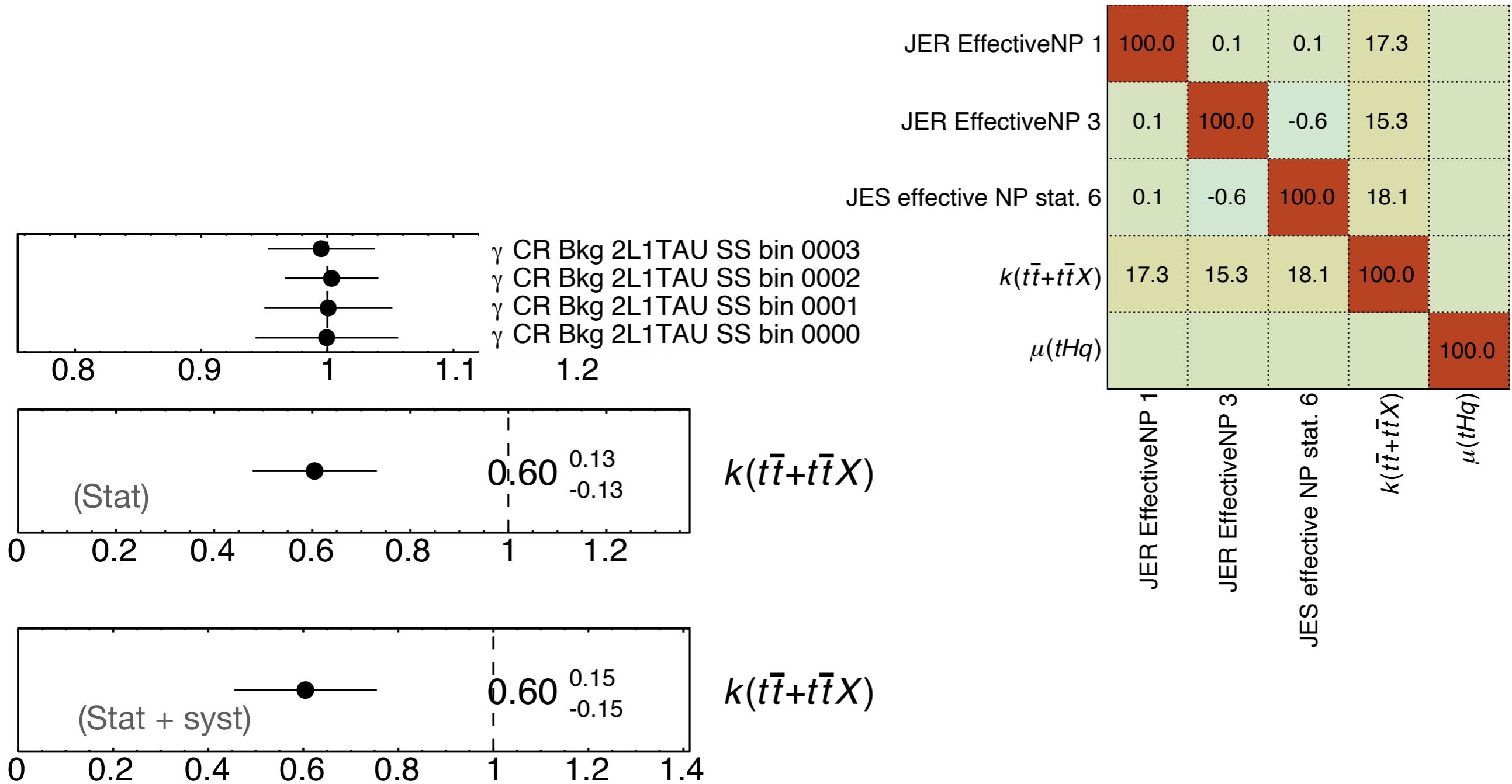
$t\bar{t}$  FSR



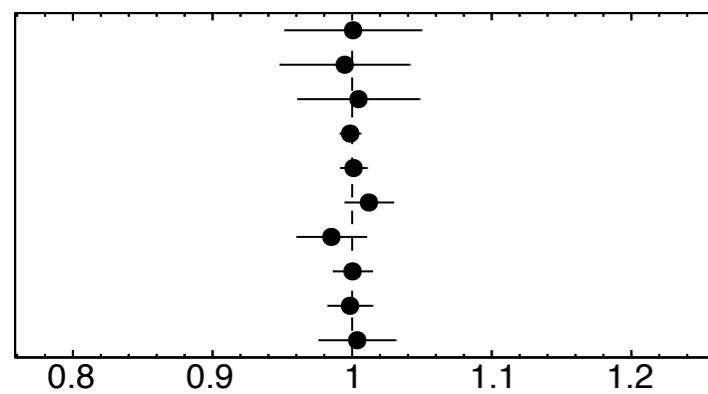
# CR-only Bkg-only fit - $2\ell OS + \tau_{had}$



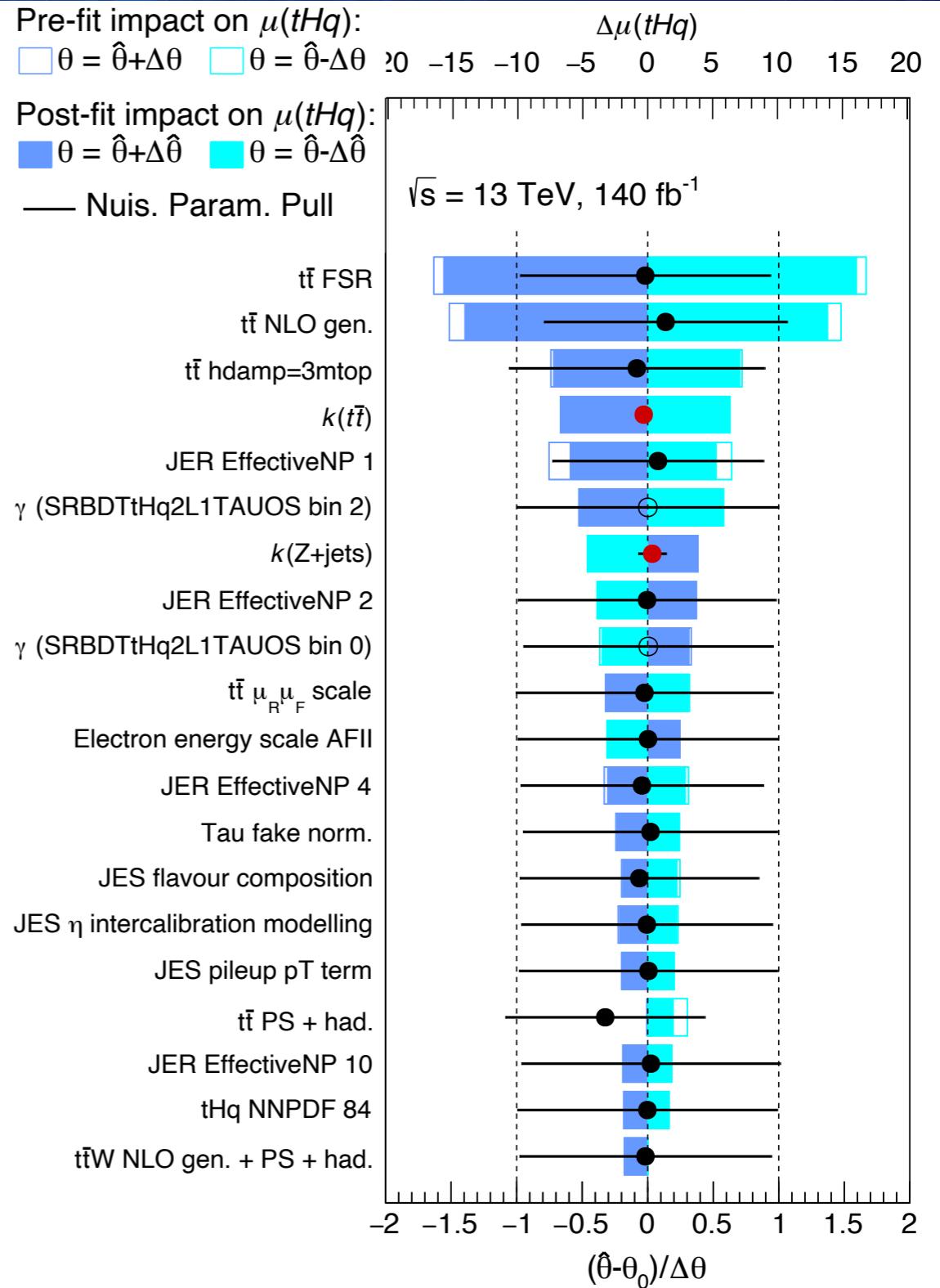
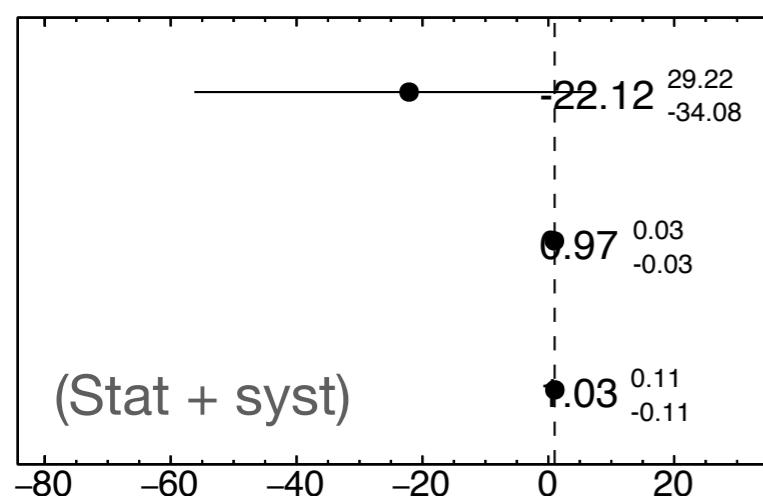
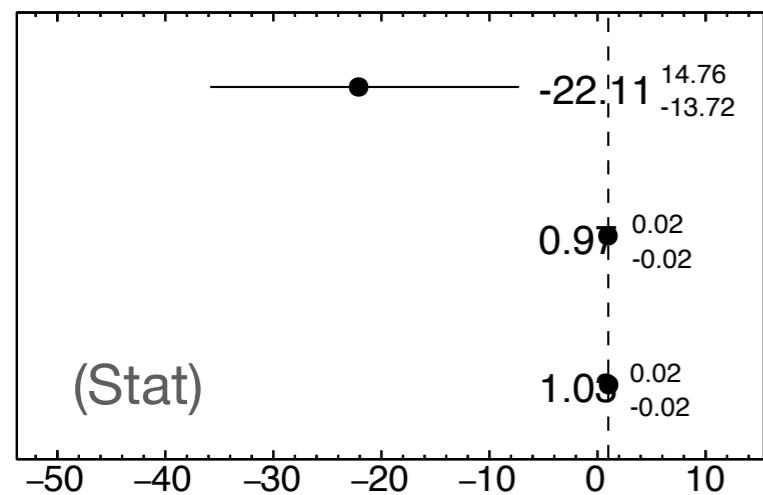
# CR-only Bkg-only fit - $2\ell SS + \tau_{had}$



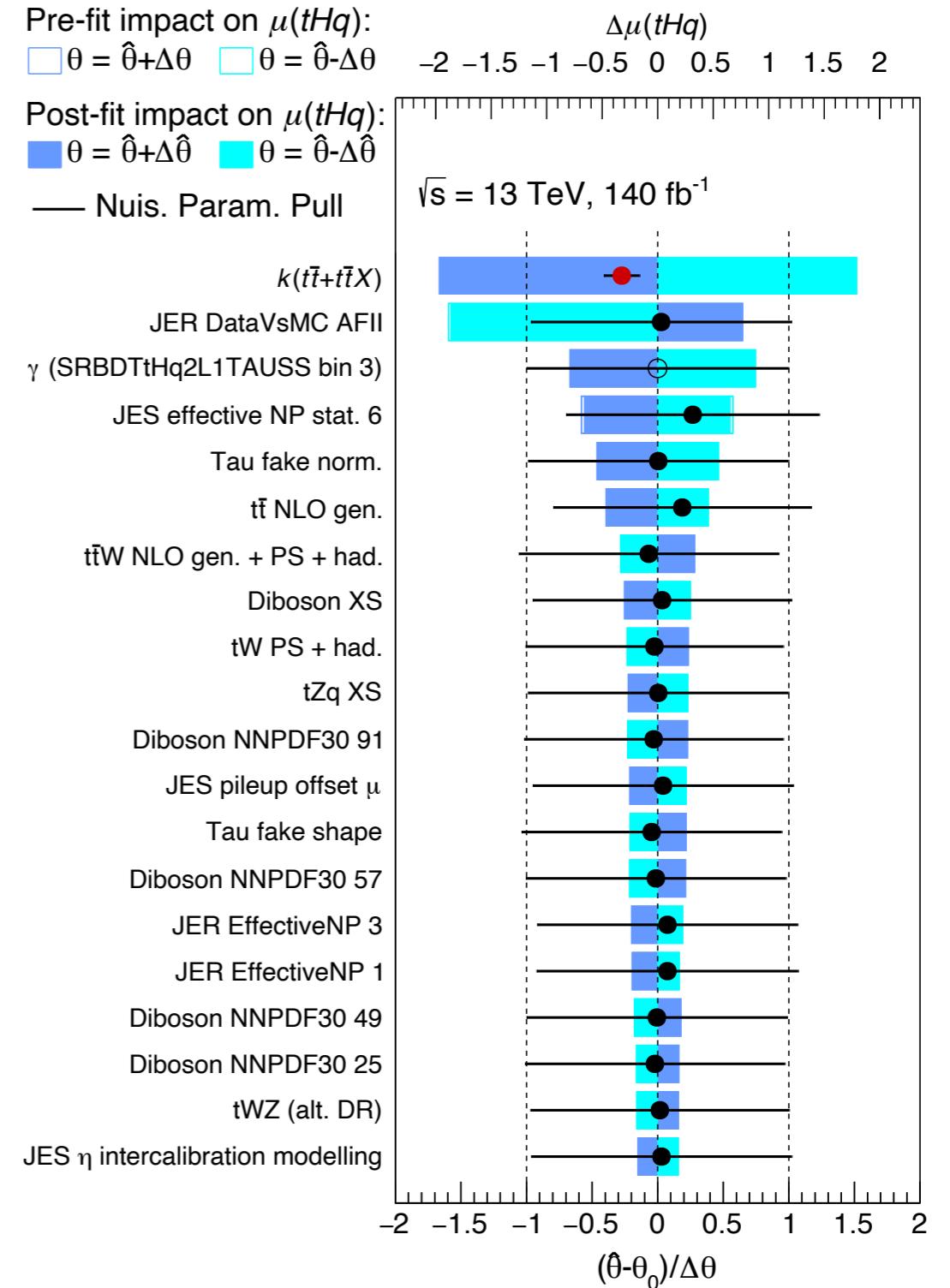
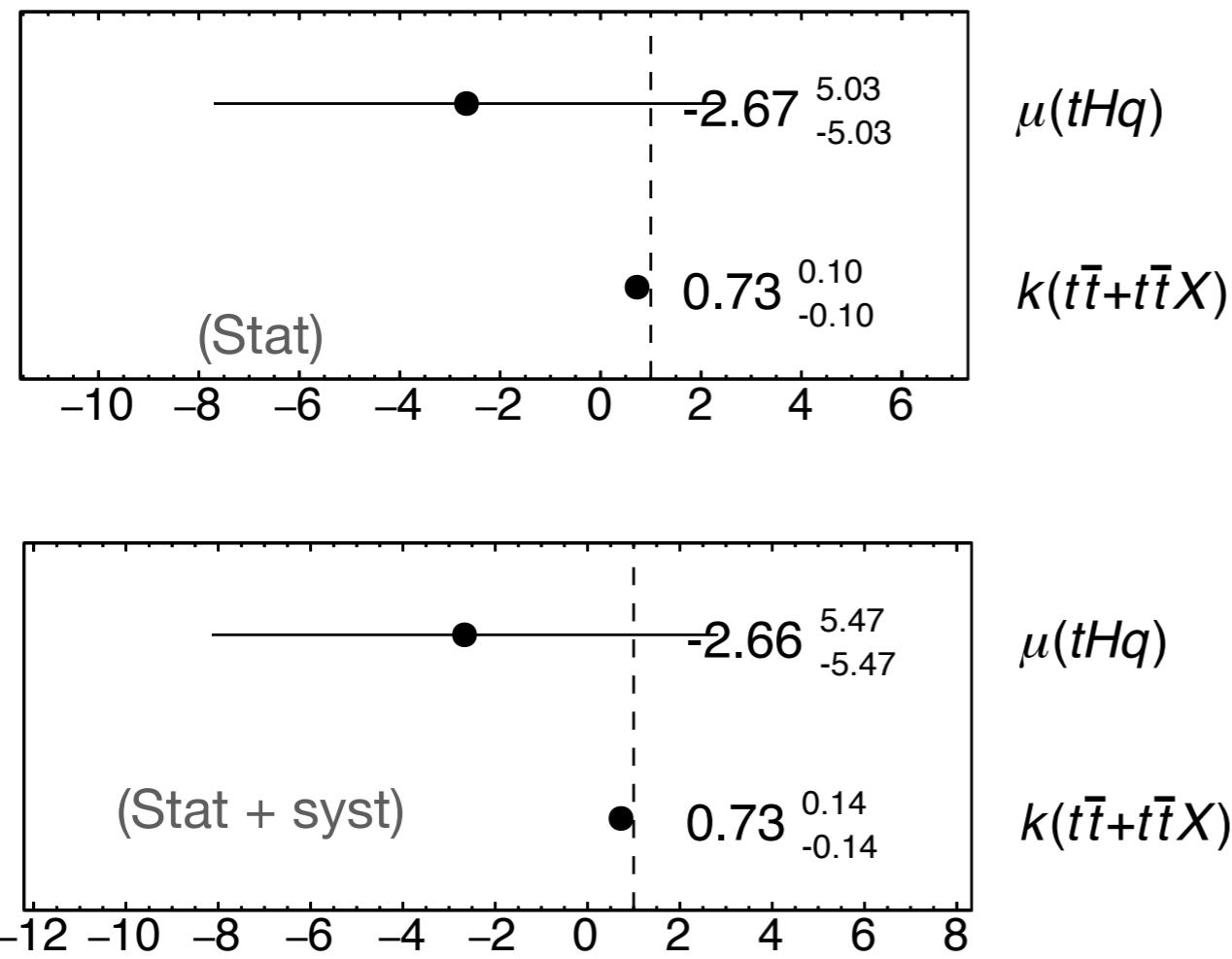
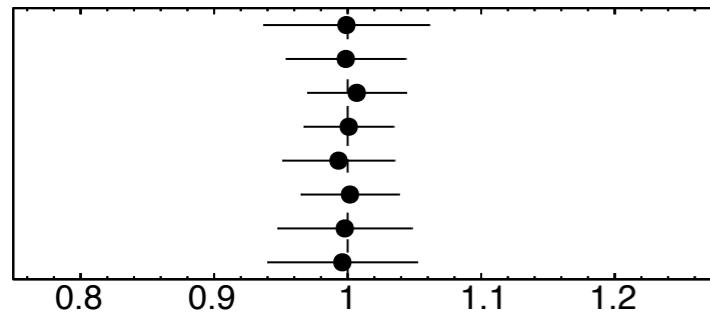
# Full data fit - $2\ell$ OS + $\tau_{had}$



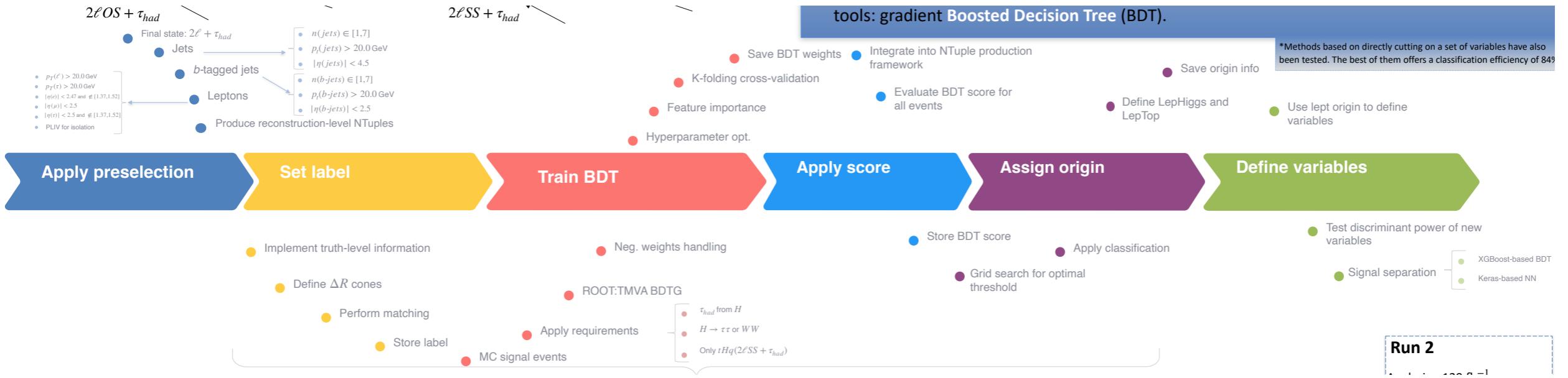
$\gamma$  SR BDT tHq 2L1TAU OS bin 0002  
 $\gamma$  SR BDT tHq 2L1TAU OS bin 0001  
 $\gamma$  SR BDT tHq 2L1TAU OS bin 0000  
 $\gamma$  CR ttbar 2L1TAU OS bin 0003  
 $\gamma$  CR ttbar 2L1TAU OS bin 0002  
 $\gamma$  CR ttbar 2L1TAU OS bin 0001  
 $\gamma$  CR ttbar 2L1TAU OS bin 0000  
 $\gamma$  CR Zjets 2L1TAU OS bin 0002  
 $\gamma$  CR Zjets 2L1TAU OS bin 0001  
 $\gamma$  CR Zjets 2L1TAU OS bin 0000



# Full data fit - $2\ell SS + \tau_{had}$



# Search channels



## Labelling the light leptons using truth information

Before performing a supervised training it is necessary to **label the leptons** in the events. To do so, the **truth-level** and **reconstruction-level** information of the events is compared to determine a correspondence between the leading ( $\ell_1^{\text{reco}}$ ) and subleading ( $\ell_2^{\text{reco}}$ ) leptons and the lepton from Higgs boson ( $\ell_{\text{Higgs}}^{\text{truth}}$ ), and the lepton from the top quark ( $\ell_{\text{Top}}^{\text{truth}}$ ):

1. Access to parton-level information. At parton level the **origin** of the lepton is **obviously known**.
  - Requirements:  $\tau_{had}$  from the Higgs decay and  $H \rightarrow \tau\tau$  or  $H \rightarrow WW$ . The  $H \rightarrow ZZ$  is neglected.
2. Define  $\Delta R < 0.1$  cones around momentum of the the reconstructed lepton ( $\vec{p}_{\ell_1^{\text{reco}}}$ ).
3. Look for a parton level lepton ( $\vec{p}_{\ell^{\text{reco}}}$ ) within each cone.
4. Perform matching:
  - Both cones are required to have one and only one lepton of each level.
5. Define type of events:
  - **Type1:** Leading lepton from the top quark and subleading from the Higgs boson (61.1%).
  - **Type2:** Leading lepton from the Higgs boson and subleading from top quark (38.9%).

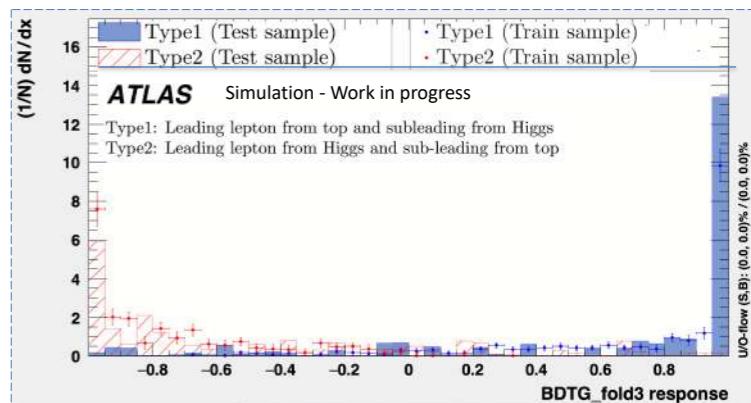


## This poster

## Training, optimisation and evaluation of the BDT

After labelling the signal samples, a BDT can be trained to identify the origin of the light leptons:

1. Select events for training:
  - i. Signal events only: The process to reconstruct is the  $tHq(2\ell + \tau_{had})$ .
  - ii.  $2\ell SS + \tau_{had}$
  - iii. Demand  $\tau_{had}$  from Higgs boson.
  - iv. Requiere  $H \rightarrow \tau\tau$  or  $WW$ .
2. Ignore negatively weighted events.
3. Rank features: Optimised by ROOT.TMVA.
4. Optimise hyperparameters: Grid serach.
5. Use k-folding for cross-validation (k=5)
  - i. Avoids overtraining
  - ii. Mitigates the effects of low statistics.
  - iii. Results in 5 different BDTs
6. Implement and evaluate the BDT: Implemented in the NTuple software framework where the BDT score is calculated for each event.
7. Once the BDT score is saved, the optimal threshold for classification is found through a scan. Best point around 0.0



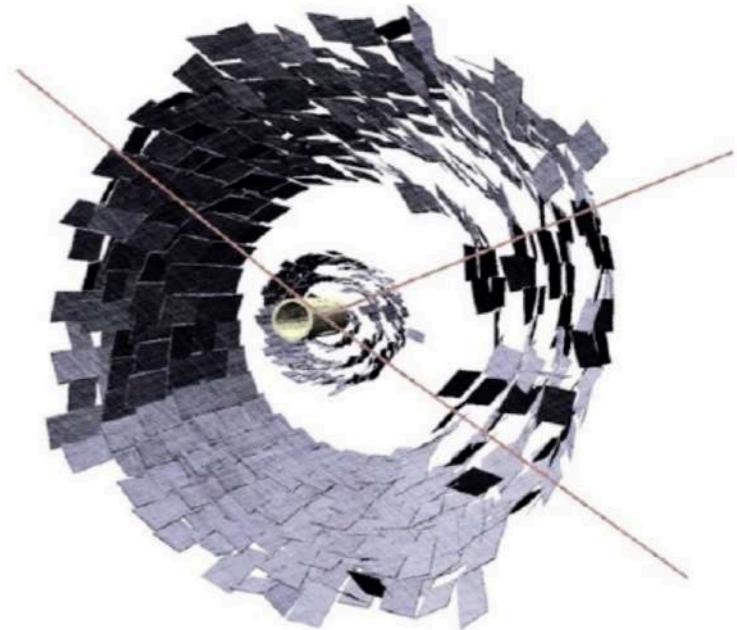
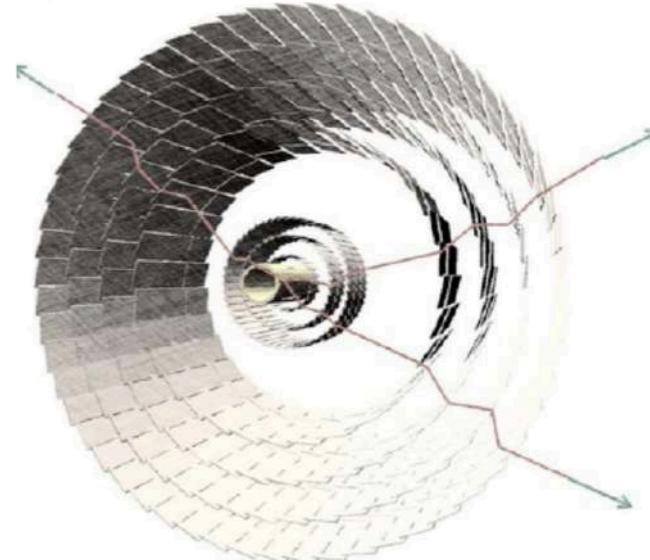
$$\text{Efficiency} = \frac{\text{Events properly assigned}}{\text{Total events}} \geq 95\%$$

# Performance of the ATLAS detector

- What is the maximum allowed degradation?

	Pixel		SCT	
	barrel	Endcap	barrel	Endcap
$r\Phi(\mu\text{m})$	7	7	12	12
$z(\mu\text{m})$	20	100	50	200

Maximum allowed random misalignment of the silicon modules to keep degradation below the 20% of the resolution.



# Inner Detector alignment

$$\chi^2 = \sum_e \sum_t \mathbf{r}^T \Omega^{-1} \mathbf{r},$$

- $\Omega$  is the covariance matrix of the corresponding measurements
- Must find the tracking parameters ( $\alpha$ ) that minimise  $\chi^2$

$$\frac{d\chi^2}{d\boldsymbol{\alpha}} = \sum_e \sum_t \left[ \left( \frac{d\mathbf{r}}{d\boldsymbol{\alpha}} \right)^T \Omega^{-1} \mathbf{r} \right]^T + \sum_e \sum_t \left[ (\mathbf{r}^T \Omega^{-1} \left( \frac{d\mathbf{r}}{d\boldsymbol{\alpha}} \right)) \right] = 0$$
$$\left[ \sum_e \sum_t \left( \frac{d\mathbf{r}}{d\boldsymbol{\alpha}_0} \right)^T \Omega^{-1} \left( \frac{d\mathbf{r}}{d\boldsymbol{\alpha}_0} \right) \right] \delta\boldsymbol{\alpha} + \sum_e \sum_t \left( \frac{d\mathbf{r}}{d\boldsymbol{\alpha}_0} \right)^T \Omega^{-1} \mathbf{r}(\boldsymbol{\tau}_0, \boldsymbol{\alpha}_0) = 0$$

Alignment corrections

# Inner Detector alignment

- The ATLAS alignment proceeds from large structures to module level with increasing granularity of structures and number of degrees of freedom

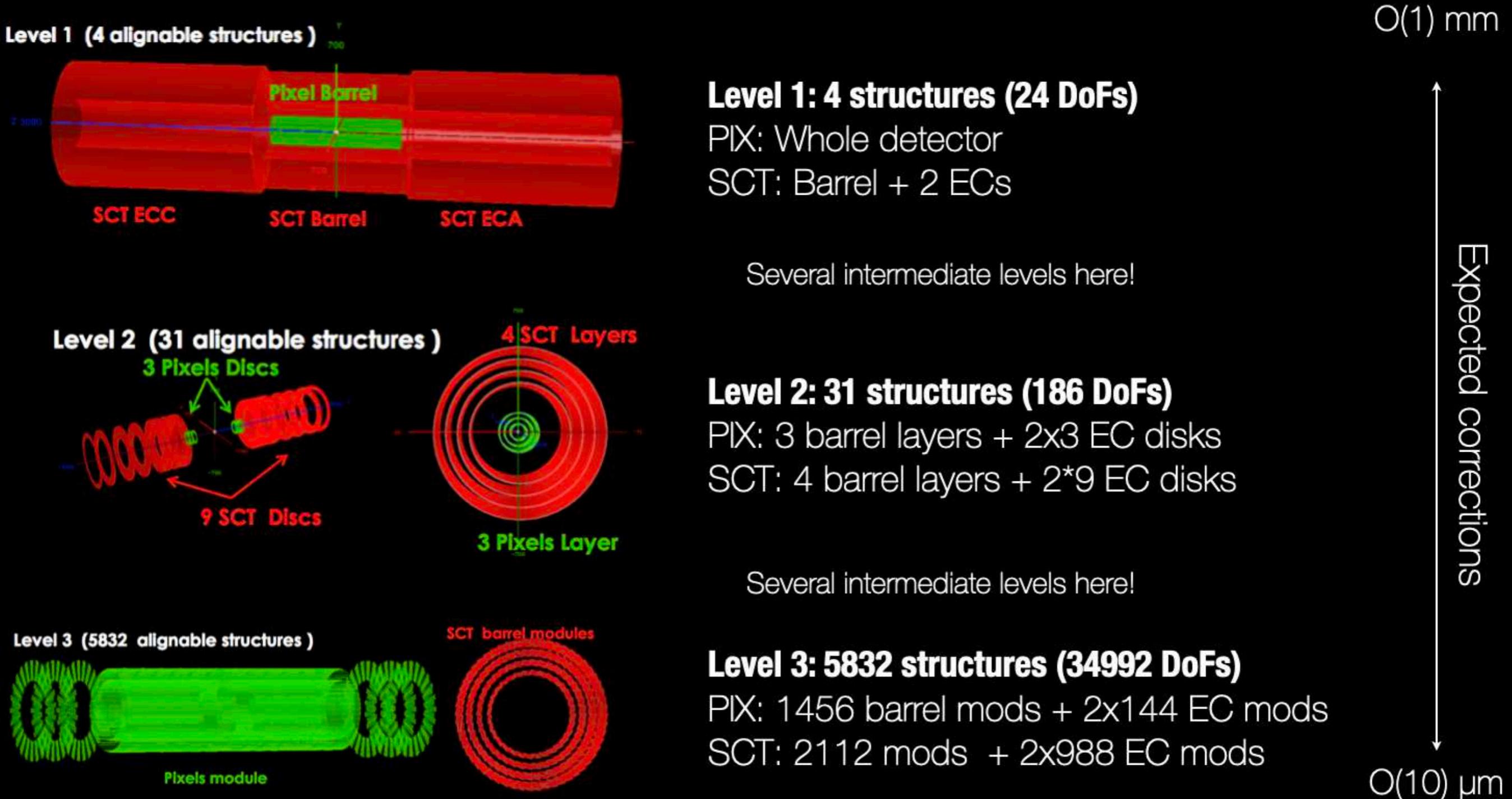
levels	structures			Corr. Size
	pixel	SCT	TRT	μm
Level 1	1+1	3	3	1000
Level 2	10	22	96	100
Level 3	2024	4088	350848	10

	structures			DoFs
	IBL	Pixels	SCT	#
Level 1	1	1	3	30+1
Level 2	1	9	22	192
Level 3	280	1744	4088	36672

	TRT		DoFs
	Barrel	End-cap	#
Level 1	1	2	18
Level 2	96	80	1056
straw	105088	245760	701696

- Real data tracks used for the alignment
- Iteratively produced at different stages
  - Prompt calibration feedback <24h (structure movements)
  - Off-line alignment: from large structures to individual modules
  - Commissioning with cosmic rays
- Six DoFs for detector location and orientations. +Extra DoFs for deformations

# Inner Detector alignment



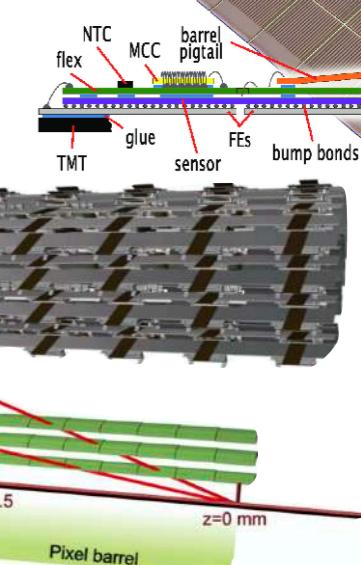
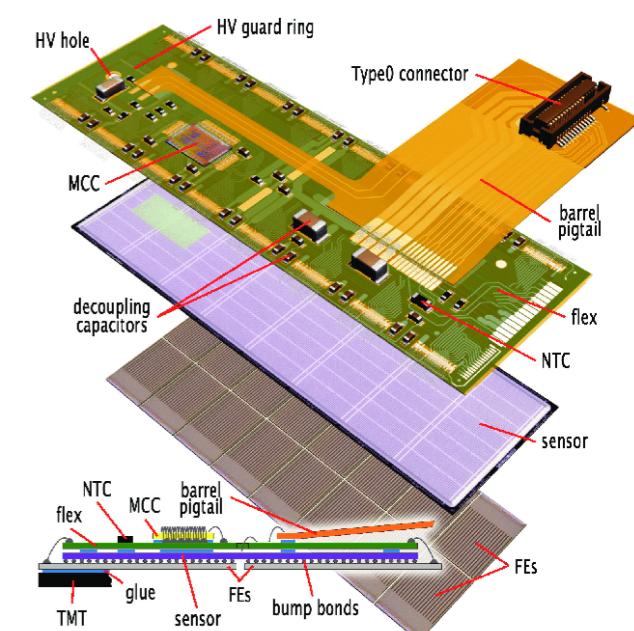
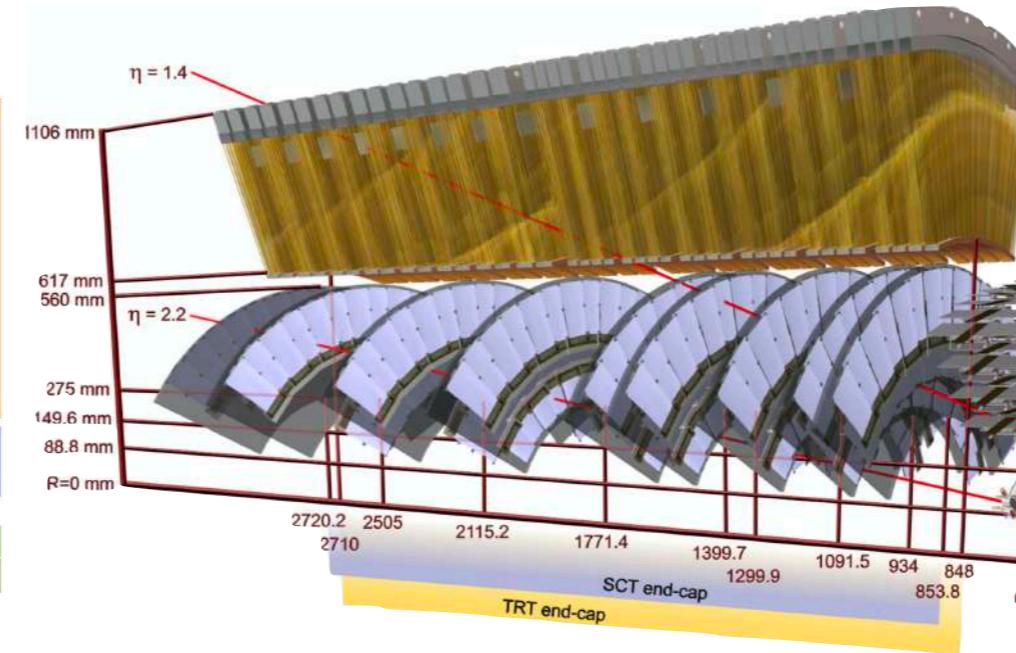
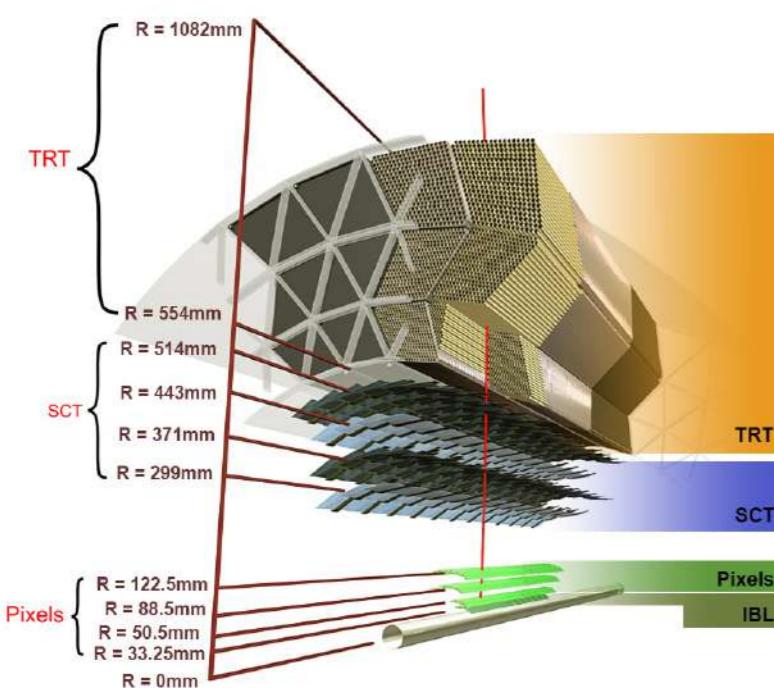
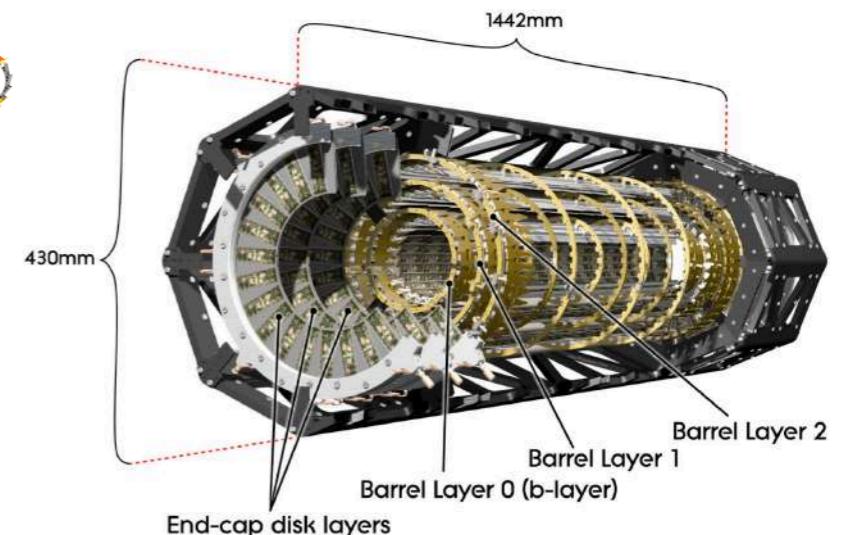
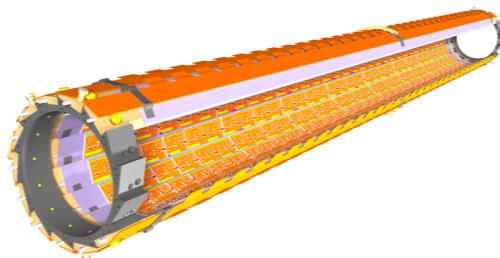
# ID Alignment Monitoring Web

- The ID Alignment Monitoring Web Display is an application intended for monitoring the track-based alignment results obtained at the calibration loop for the ID. It helps to evaluate the computed alignment corrections as well as many graphical distributions directly related to the performance.
- The web application is structured with a server overseen by the ATLAS Distributed Computing. It incorporates a suite of backend scripts designed for generating distributions, refreshing the data, and managing HTTP requests from the users. The frontend allows to the user to interact with the application by requesting alignment-related information. This tool is integrated within Athena, the established software framework for ATLAS.
- Developed both: front-end and back-end

# The ATLAS detector - ID

**Inner Detector (ID):** Reconstructs the tracks of the charged particles. Closest detector to the collision point.  
Characterised by its resolution

Subdetector	Element size ( $\mu\text{m}$ )	Intrinsic resolution ( $\mu\text{m}$ )
IBL	$50 \times 250$	$8 \times 40$
Pixel	$50 \times 400$	$10 \times 115$
SCT	80	$17 \times 580$
TRT	4000	130



Pixel barrel

# The ATLAS detector - Calorimeters

Calorimeters: Measures the energy of particles.

- ECAL:

- Detects: Electrons and photons.

- Made of liquid Argon

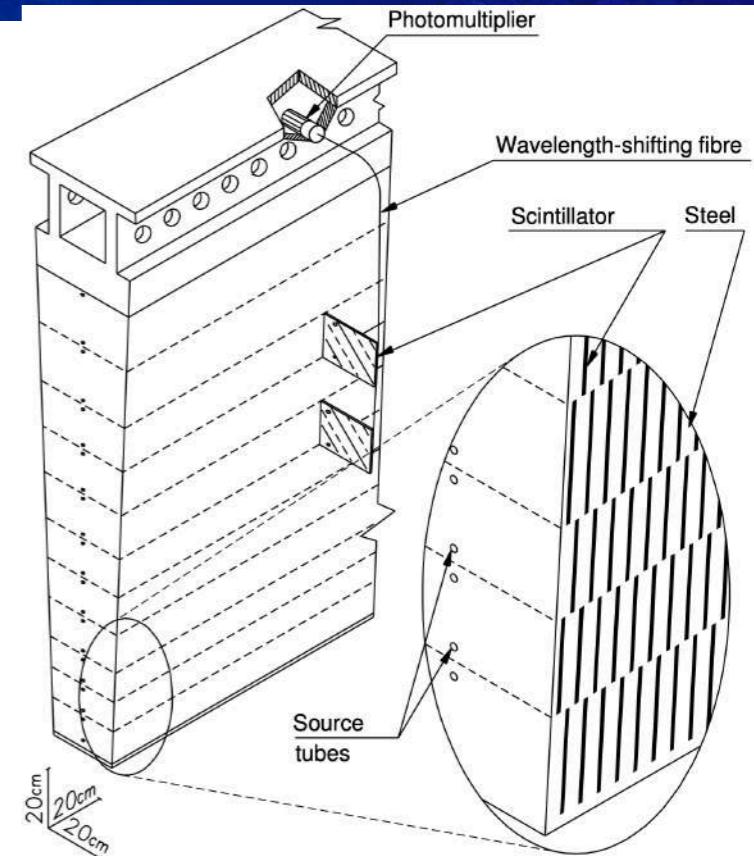
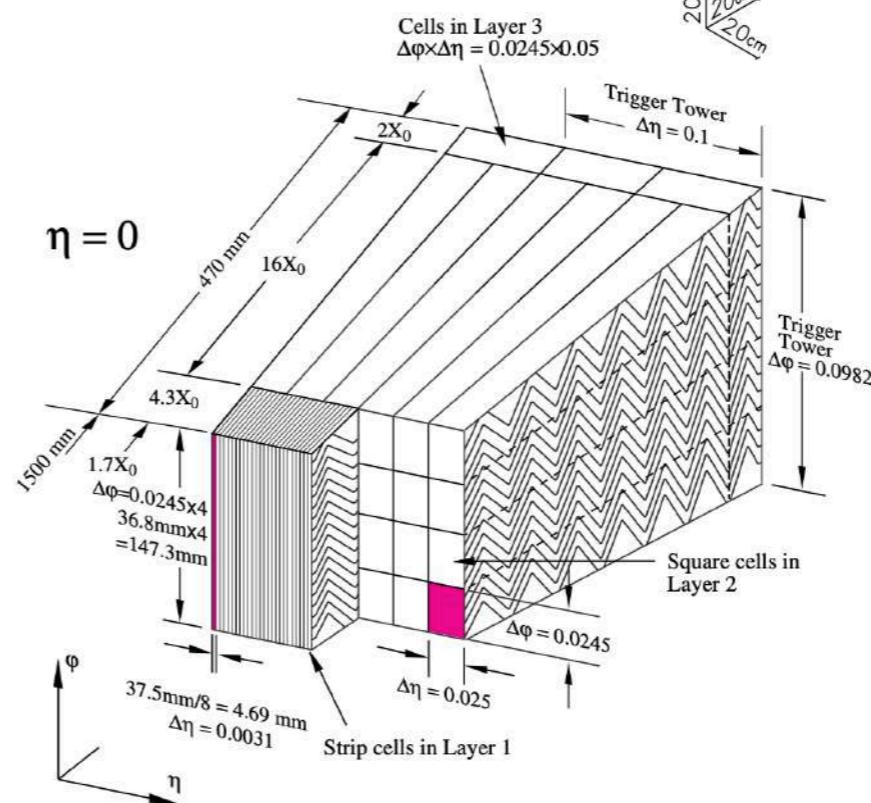
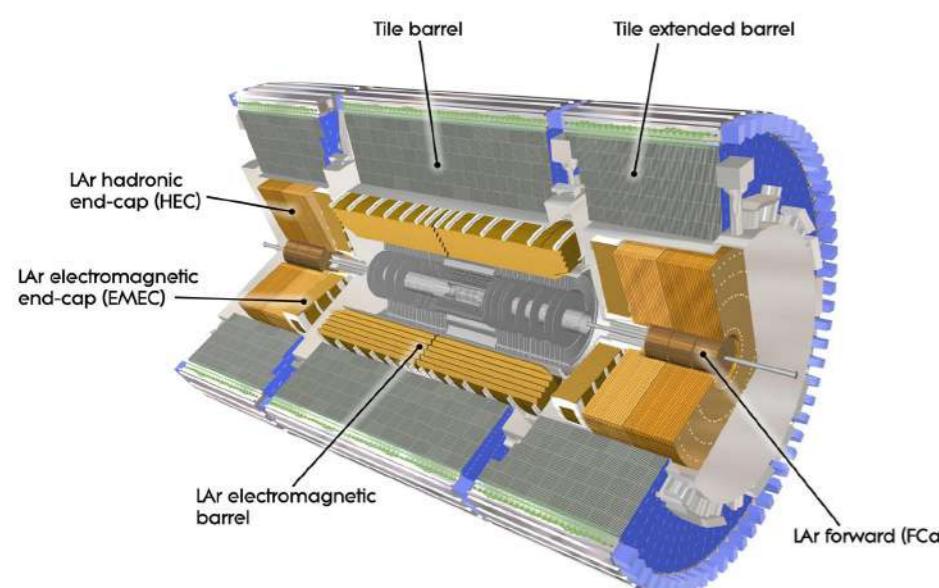
$$\frac{\sigma_E}{E} = \frac{10\%}{\sqrt{E}} \oplus \frac{170 \text{ MeV}}{E} \oplus 0.7\%$$

- HCAL:

- Detects: Hardons

$$\frac{\sigma_E}{E} = \frac{5.9\%}{\sqrt{E}} \oplus 5.7\%$$

- Made of steel/scintillator layers

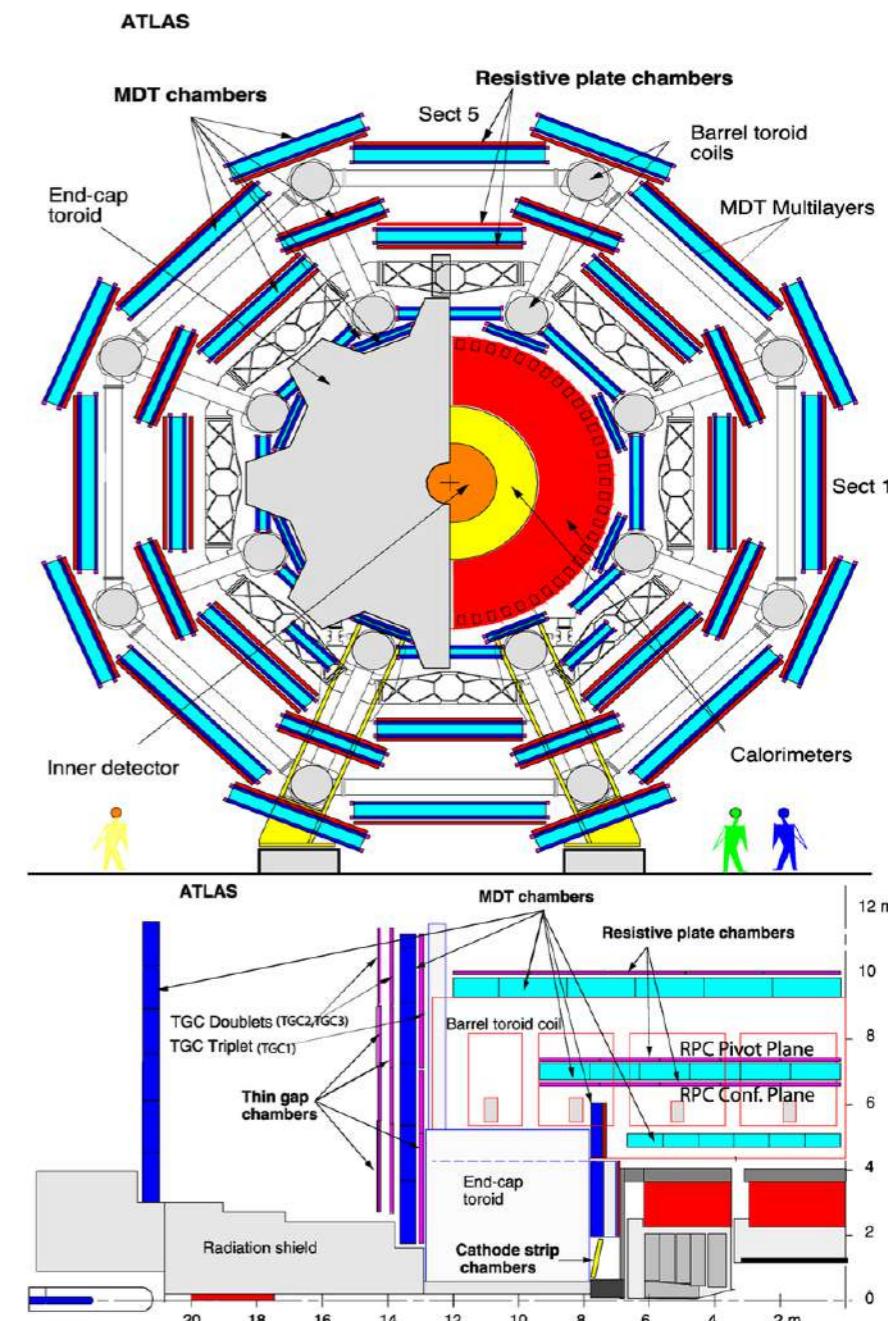
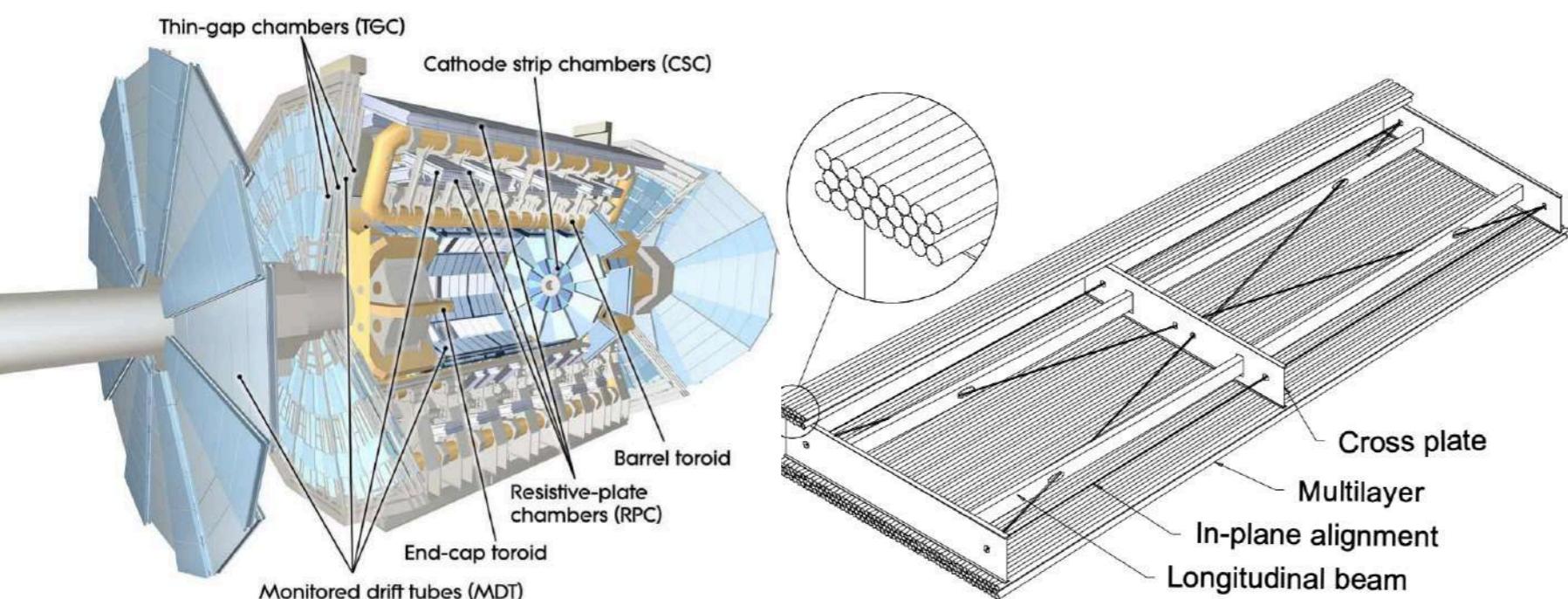


# The ATLAS detector - Muon

**Muon Spectrometer:** Outermost layer. Detects muon. Uses the toroidal magnets to bend the path of muons.

Subdetectors:

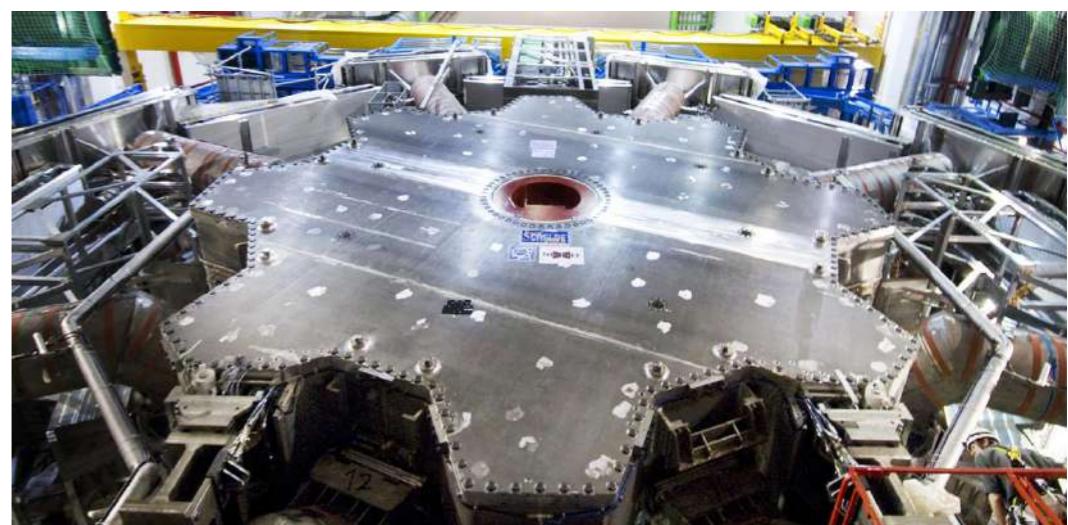
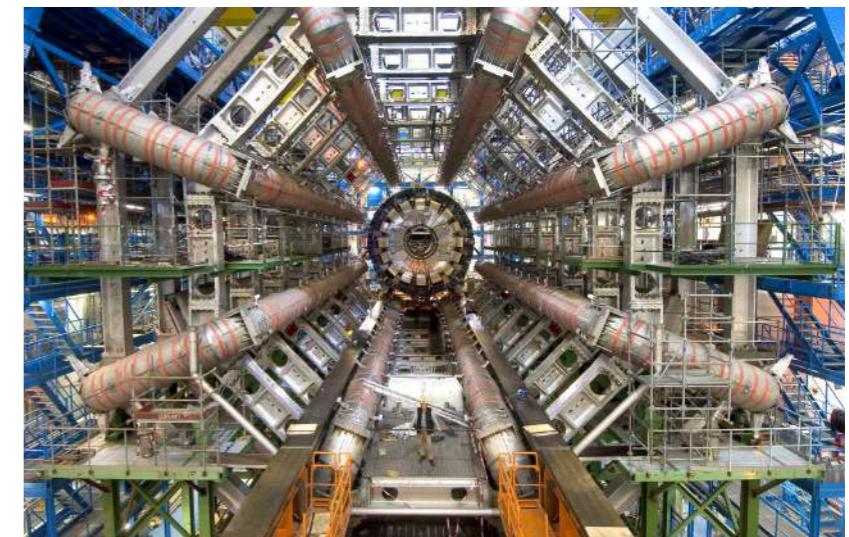
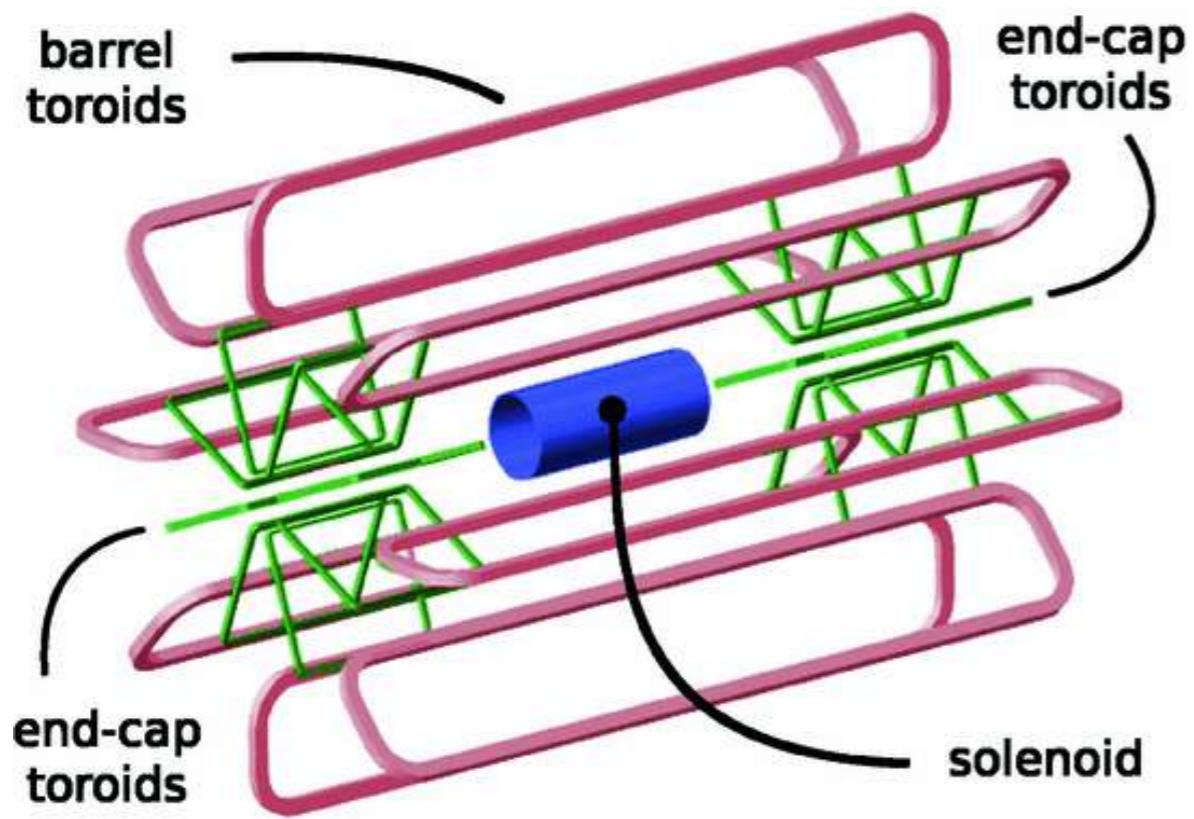
Type	Purpose	Location	Coverage
MDT	Tracking	Barrel + end-cap	$0.0 <  \eta  < 2.0$
CSC	Tracking	End-cap layer 1	$2.0 <  \eta  < 2.7$
RPC	Trigger	Barrel	$0.0 <  \eta  < 1.0$
TGC	Trigger	End-cap	$1.0 <  \eta  < 2.4$



# The ATLAS detector - Magnet

**Magnet system:** Bends the particles, allowing us to measure its momentum.

- Central solenoid: Outside the ID. Field parallel to beam axis that curves particles that move through the ID.
- Toroidal magnet: Specially designed for the muon spectrometer
  - End-cap toroids
  - Barrel toroids



# The ATLAS trigger system

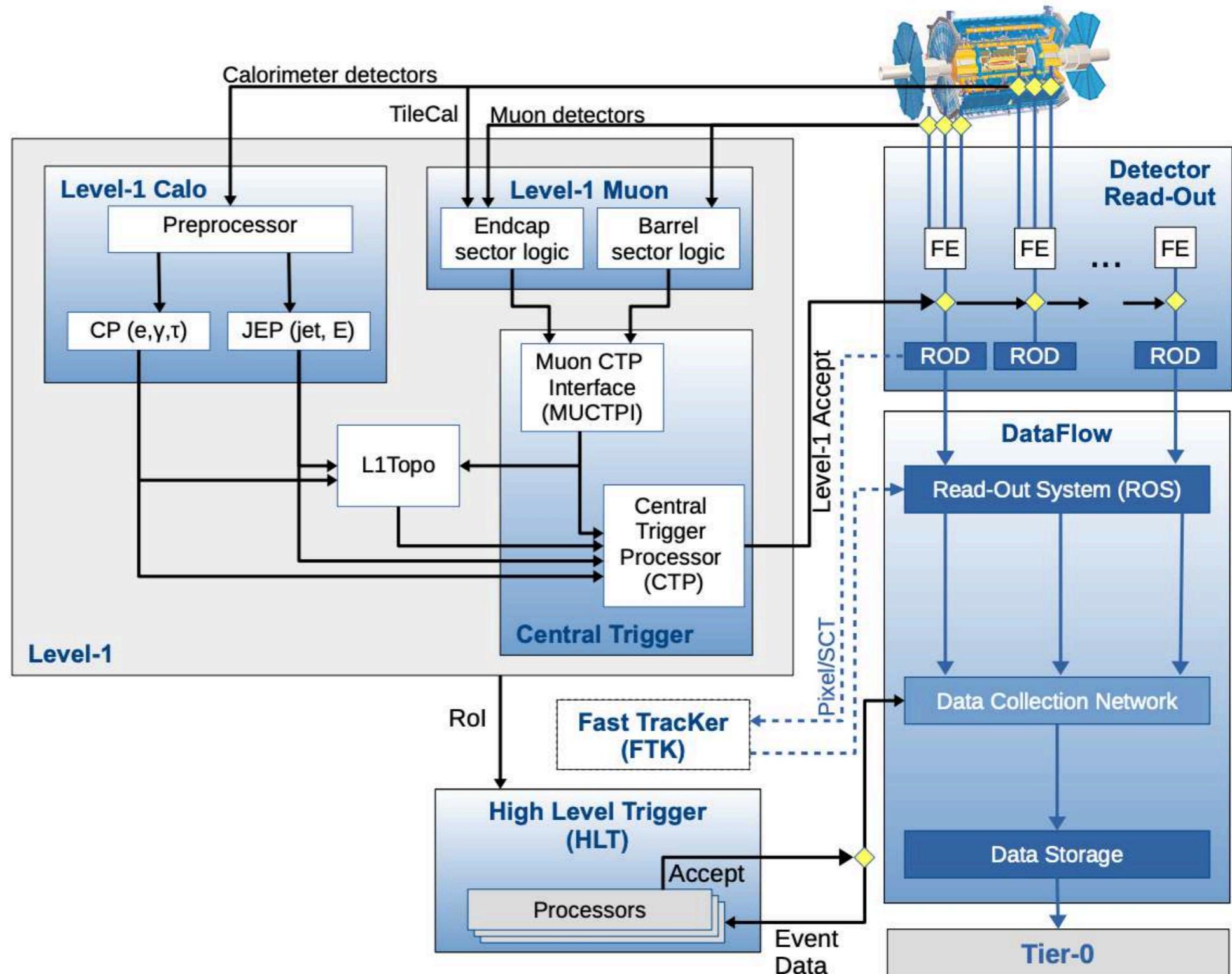
- 2 level trigger to record data at an average of 1 kHz from physics collisions starting from a bunch crossing rate of 40 MHz
  - L1: hardware-based system that uses custom electronics to trigger on reduced granularity information from the calorimeter and muon detectors. From 40 MHz to 100 kHz
  - HLT: Software based. Athena. From 100 kHz to 1 kHz
- For Run 2, 1500 individual event selections were included in a trigger menu
  - Specific physics signatures
  - Different selection algorithms for data taking
- a
- Trigger and DAQ: TDAQ  $\leftarrow$  Responsible for online processing, selecting and storing events of interest for offline analysis

# The ATLAS trigger system

- TDAQ in Run 2

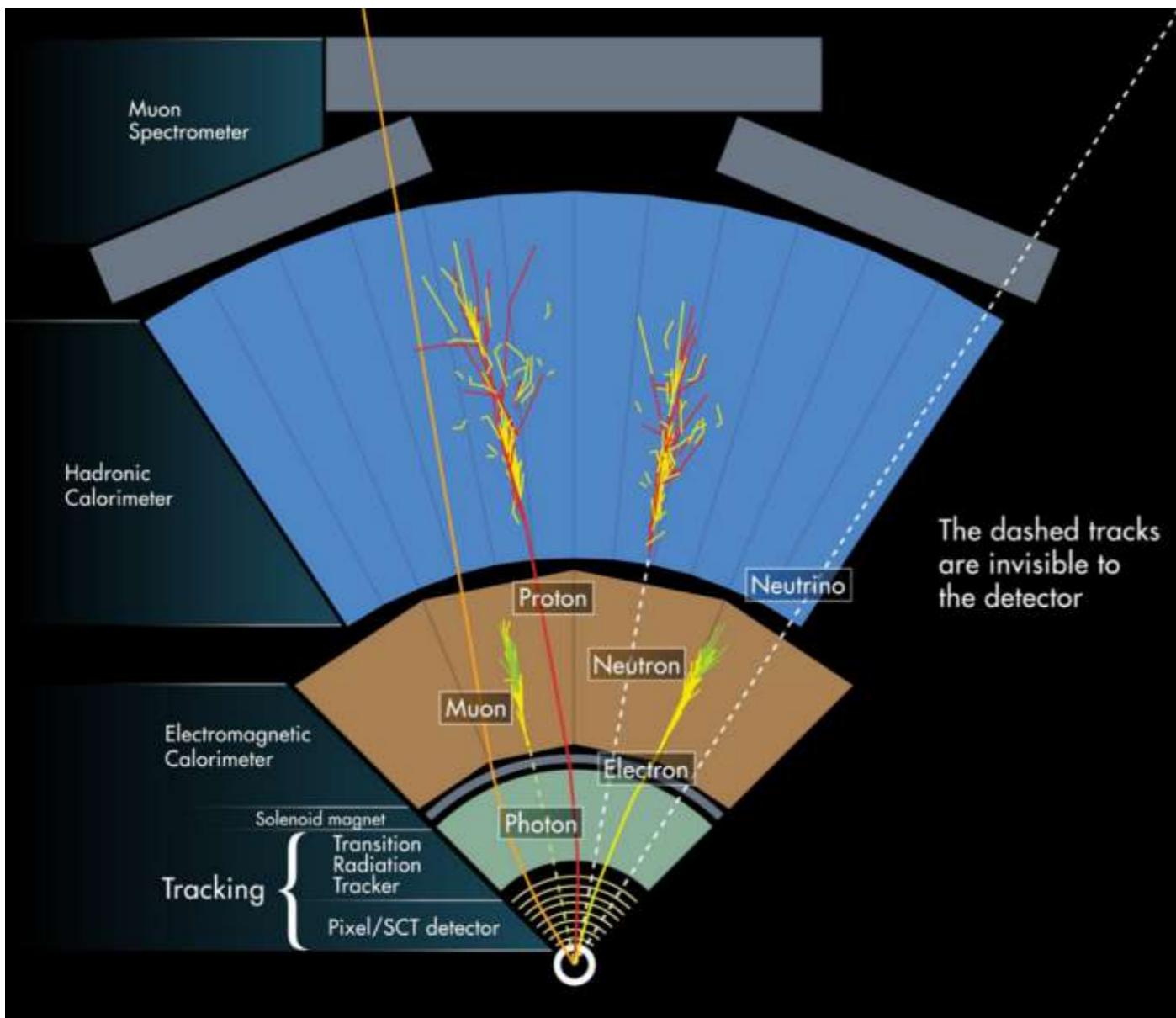
- L1

- HLT



# Particle reconstruction with ATLAS

Different types of particles leave different types of signal in the detectors



- Electrons: ID tracks + ECAL deposit
- Photon: ECAL only
- Muons: MS+ID
- Jets: HCal with anti- $k_t$ . ID
- MET: Momentum imbalance
- $\tau_{had}$ : Characteristic narrow jets consisting in one or three tracks. ID+HCAL. Reconstructed using MVA algorithms
- b-quarks: Long lifetime = displaced from PV. ID for secondary vertices.

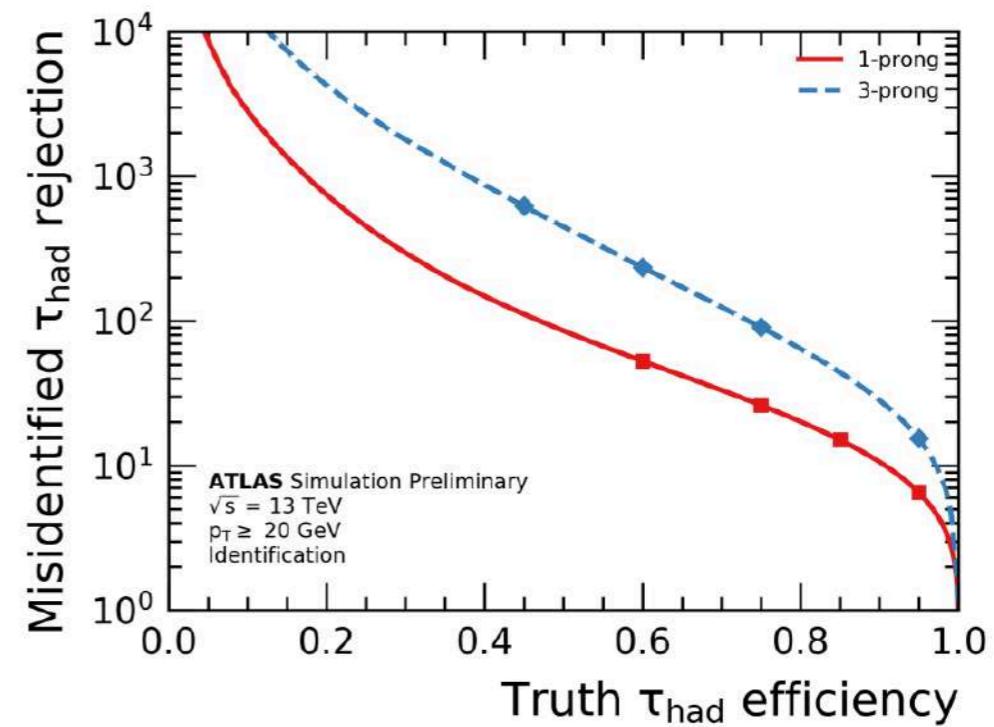
# Hadronic tau identification

- Based on MVA methods
- The  $\tau_{had}$  candidates are seeded using the anti- $k_t$  algorithm
  - anti- $k_t$  is a jet clustering algorithm that operates by iteratively clustering particles into jets based on their relative distances in the momentum space. In  $\tau_{had}$  reconstruction, the parameters that sets the size of the jet ( $R$ ) is set to  $R=0.4$
- TopoClusters used as input for the jet algorithm. A topocluster is a 3D cluster of calorimeter cells
- As  $\tau$  leptons travel away from the PV before decaying, a dedicated  $\tau$  vertex association algorithm (TJVA) is employed
- Tracks are associated with the  $\tau_{had}$  in they are  $\Delta R < 0.25$  around it and satisfy some hit criteria in the ID
- The number and charge of the decay products of a  $\tau$  are classified using a RNN (Run3) or BDT (Run2): Tau tracks, Conversion tracks, Isolation tracks and Fake tracks

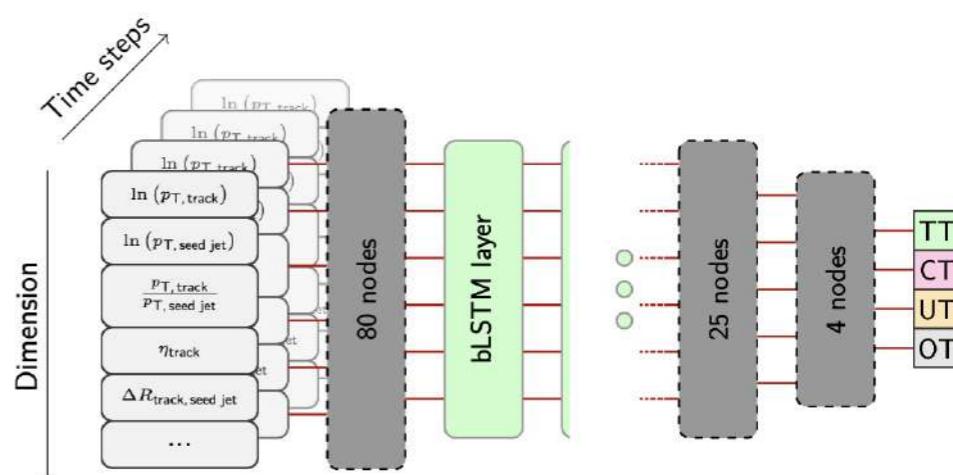
# Hadronic tau reconstruction

- Keras+TensorFlow RNN used to separate real from misidentified  $\tau_{had}$ . The RNN is trained comparing the truth and reach level simulations of  $\gamma \rightarrow \tau\tau$
- The performance of the RNN for  $\tau_{had}$  is evaluated using  $\gamma \rightarrow \tau\tau$  as signal and dijet as background.
- Three WP defined (Figure)

Working point	Truth $\tau_{had}$ efficiency	
	1-prong	3-prong
Tight	60%	45%
Medium	75%	60%
Loose	85%	75%
Very Loose	95%	95%

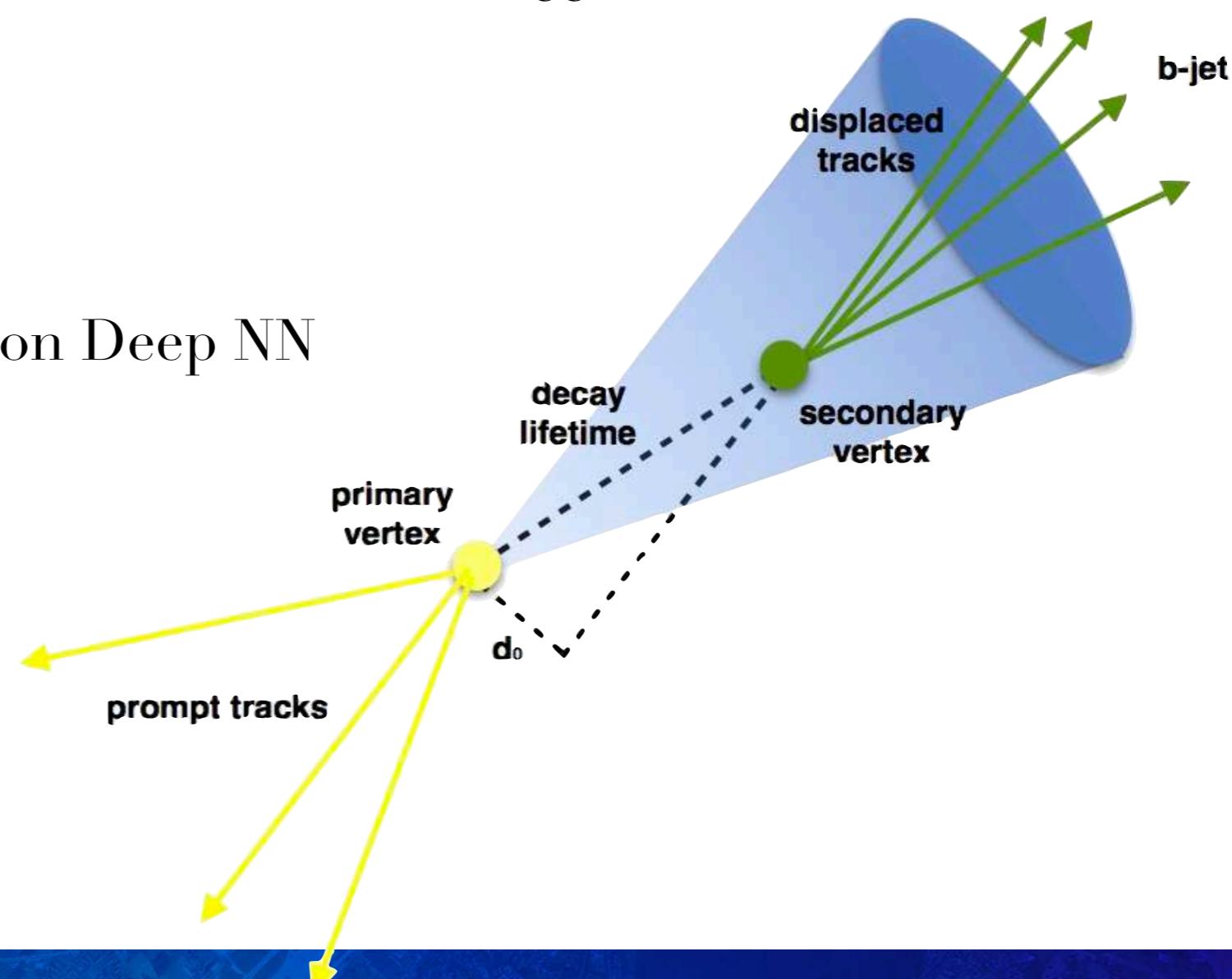


- BDT-based eVeto algorithm used to prevent an electron from being identified as  $\tau_{had}$  with 1-prong
- Boosted Regression Tree used to reconstruct the tau energy scale



# *b*-jet identification

- Tracks: ID. Consider  $p_T > 500$  MeV for *b*-tag
- Primary Vertex: Reconstructed for every event. Defines the reference point for the displaced vertex. At least one PV required
- Hadronic jets: From topoclusters with antikt. JetVertexTagger. Produces a MVA discriminant.
- Track-jet matching
- Jet-flavour label
- Identification DL1 algorithm based on Deep NN



# ID Alignment Monitoring Web

- Old display

**Old display**

## ID Alignment

Plots ATLAS conTzole Documentation Twiki Source code BS Hand shake Upload DB folder status

### 326 runs

Last update of server\_runinfo2018.db was Mon, 04/Nov/19 00:05:56

Run 2018	Period	Date	Project	Stream	Alignment results	Monitoring plots	DB upload	BS Handshake
367384	?	02/Dec/2018	data18_hi	calibration_IDTracks	<a href="#">Draw constants</a>   <a href="#">View L11</a>	<a href="#">Residuals</a>   <a href="#">Hits</a>   <a href="#">Hit Maps</a>   <a href="#">IBL</a> <a href="#">Res.</a>   <a href="#">Residual Maps</a>	<a href="#">L11 Good</a>   <a href="#">L16 Good</a>   2018-12-04-11:04:13   Summary File <a href="#">367384_1543917853.log</a>	2018-12-04-11:04:12 CRONJOB
367365	?	03/Dec/2018	data18_hi	calibration_IDTracks	<a href="#">Draw constants</a>   <a href="#">View L11</a>	<a href="#">Residuals</a>   <a href="#">Hits</a>   <a href="#">Hit Maps</a>   <a href="#">IBL</a> <a href="#">Res.</a>   <a href="#">Residual Maps</a>	<a href="#">L11 Good</a>   <a href="#">L16 Good</a>   2018-12-04-10:59:20   Summary File <a href="#">367365_1543917560.log</a>	2018-12-04-10:59:19 CRONJOB
367364	?	02/Dec/2018	data18_hi	calibration_IDTracks	<a href="#">Draw constants</a>   <a href="#">View L11</a>	<a href="#">Residuals</a>   <a href="#">Hits</a>   <a href="#">Hit Maps</a>   <a href="#">IBL</a> <a href="#">Res.</a>   <a href="#">Residual Maps</a>	<a href="#">L11 Good</a>   <a href="#">L16 Good</a>   2018-12-02-21:35:35   Summary File <a href="#">367364_1543782935.log</a>	2018-12-02-21:35:34 CRONJOB
367363	?	01/Dec/2018	data18_hi	calibration_IDTracks	<a href="#">Draw constants</a>   <a href="#">View L11</a>	<a href="#">Residuals</a>   <a href="#">Hits</a>   <a href="#">Hit Maps</a>   <a href="#">IBL</a> <a href="#">Res.</a>   <a href="#">Residual Maps</a>	<a href="#">L11 Good</a>   <a href="#">L16 Good</a>   2018-12-02-09:35:00   Summary File <a href="#">367363_1543739700.log</a>	2018-12-02-09:35:00 CRONJOB
367321	?	01/Dec/2018	data18_hi	calibration_IDTracks	<a href="#">Draw constants</a>   <a href="#">View L11</a>	<a href="#">Residuals</a>   <a href="#">Hits</a>   <a href="#">Hit Maps</a>   <a href="#">IBL</a> <a href="#">Res.</a>   <a href="#">Residual Maps</a>	<a href="#">L11 Good</a>   <a href="#">L16 Good</a>   2018-12-02-06:41:12   Summary File <a href="#">367321_1543729272.log</a>	2018-12-02-06:41:12 CRONJOB
367318	?	01/Dec/2018	data18_hi	calibration_IDTracks	<a href="#">Draw constants</a>   <a href="#">View L11</a>	<a href="#">Residuals</a>   <a href="#">Hits</a>   <a href="#">Hit Maps</a>   <a href="#">IBL</a>	<a href="#">L11 Good</a>   <a href="#">L16 Good</a>   2018-12-02-06:37:07	CRONJOB

Contact

2018 Go!

Search

Run:

Period:

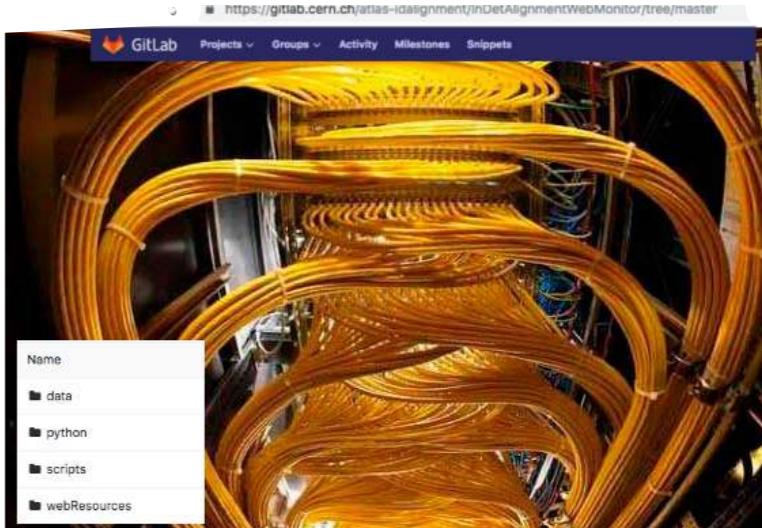
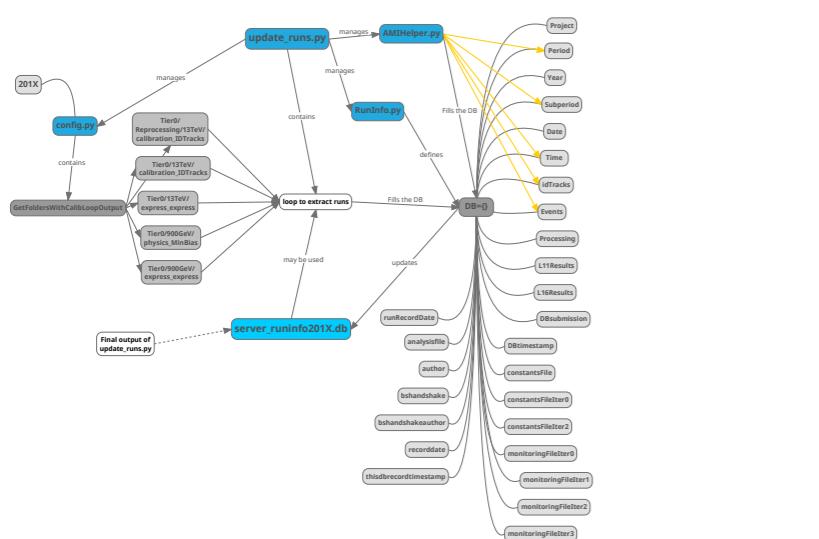
Min. number of Events:

Min. number of ID Tracks:

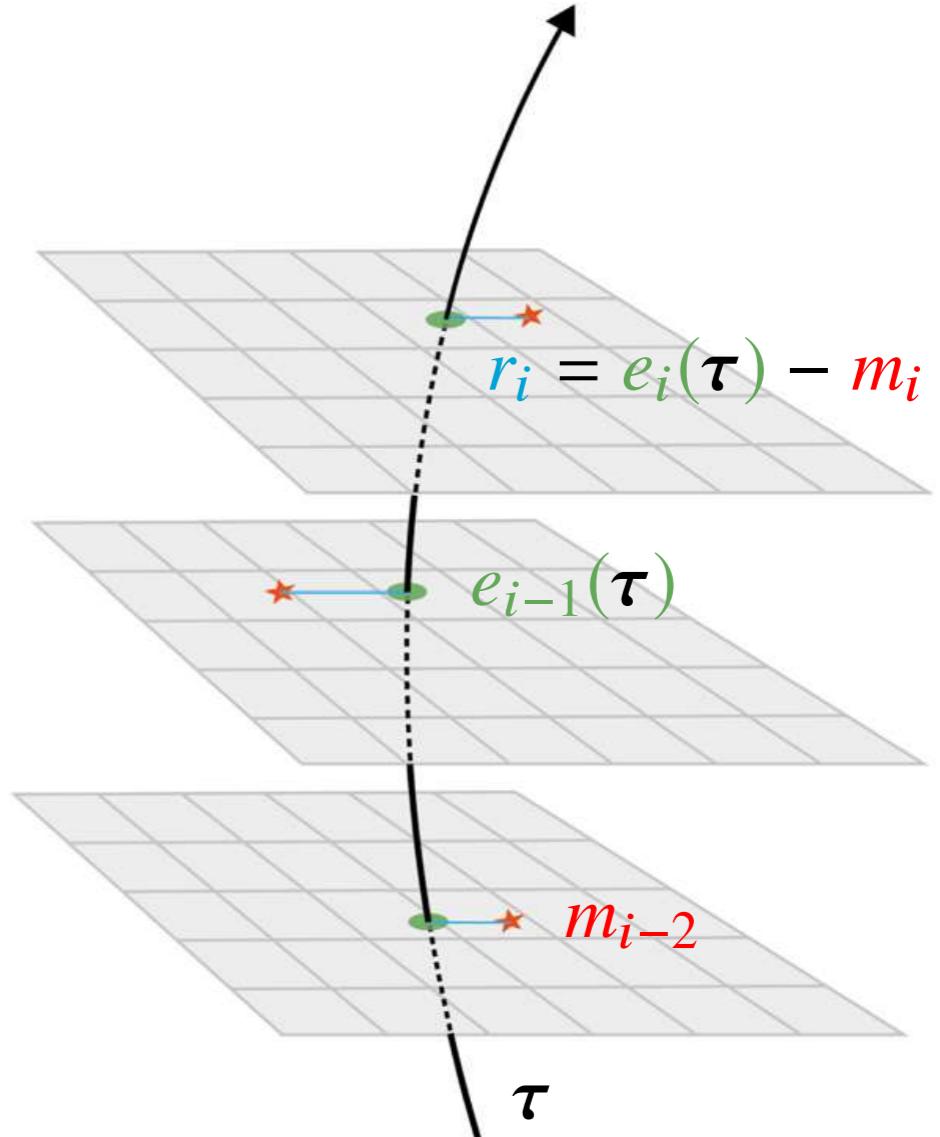
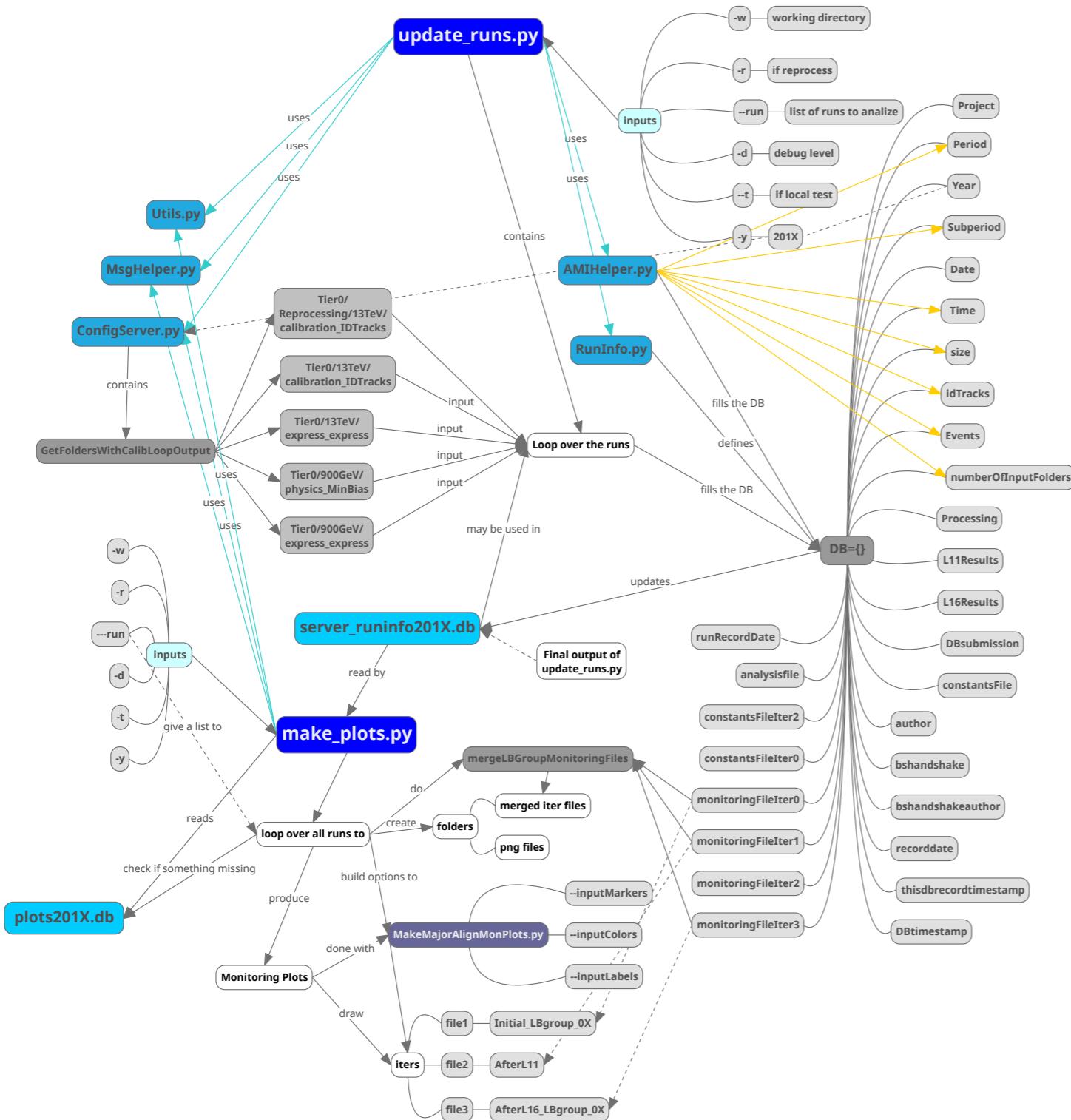
submit  
reset

Name

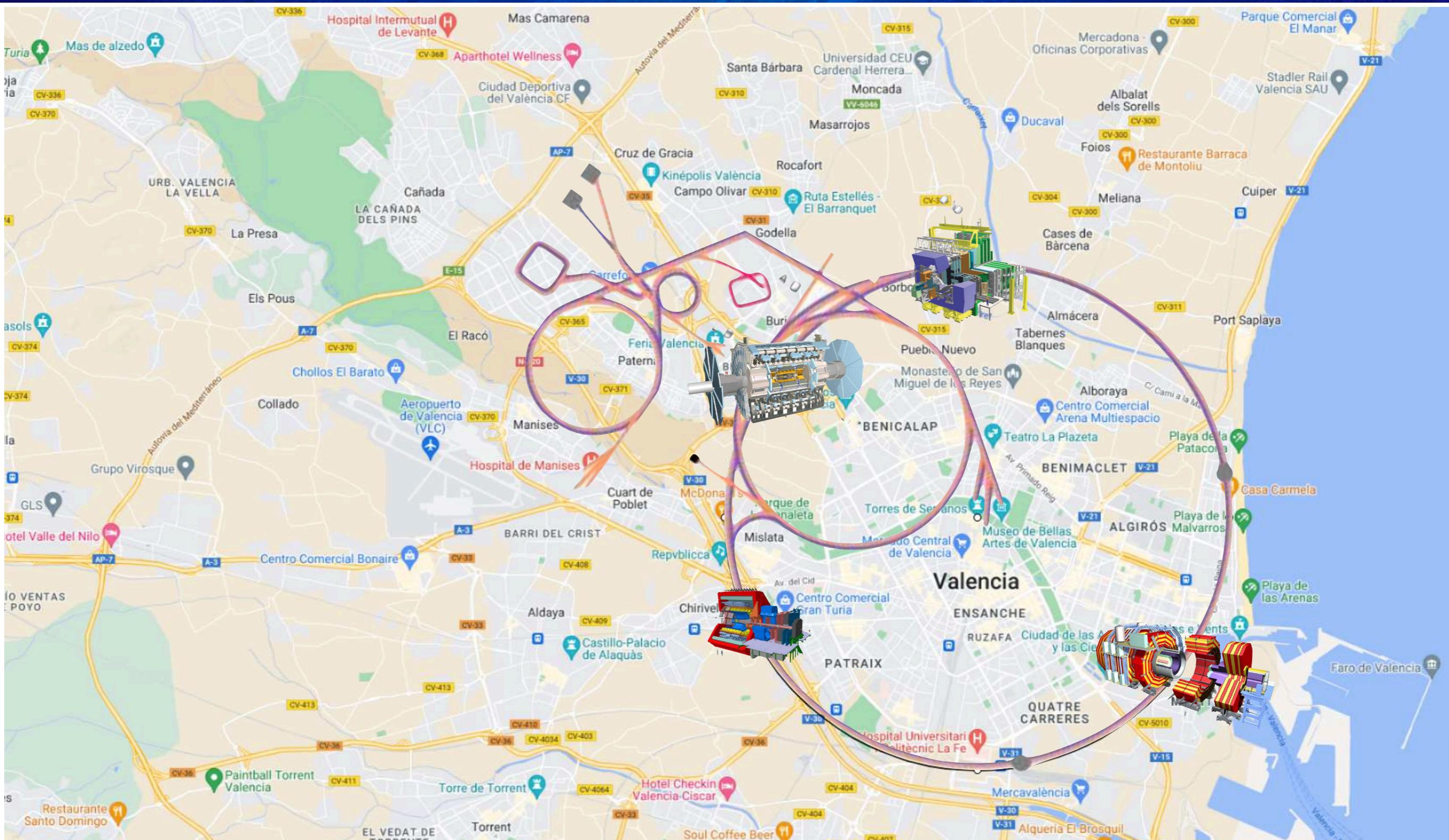
- Monitor
- [cp\\_server\\_runinfo2012.db](#)
- [fromDBtoHuman.s?](#)
- [make\\_plots.s?](#)
- [ManageOutputs\\_AMI.D](#)
- [plot\\_server](#)
- [rootsetup.s?](#)
- [server\\_runinfo2012.d?](#)
- [server\\_runinfo2013.d?](#)
- [server\\_runinfo2016.db](#)
- [serverManageOutputs2011.p?](#)
- [serverManageOutputs2012.p?](#)
- [serverManageOutputs2015.p?](#)
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- [serverManageOutputs2017.p?](#)
- [test\\_environment.s?](#)
- [update\\_runs.s?](#)



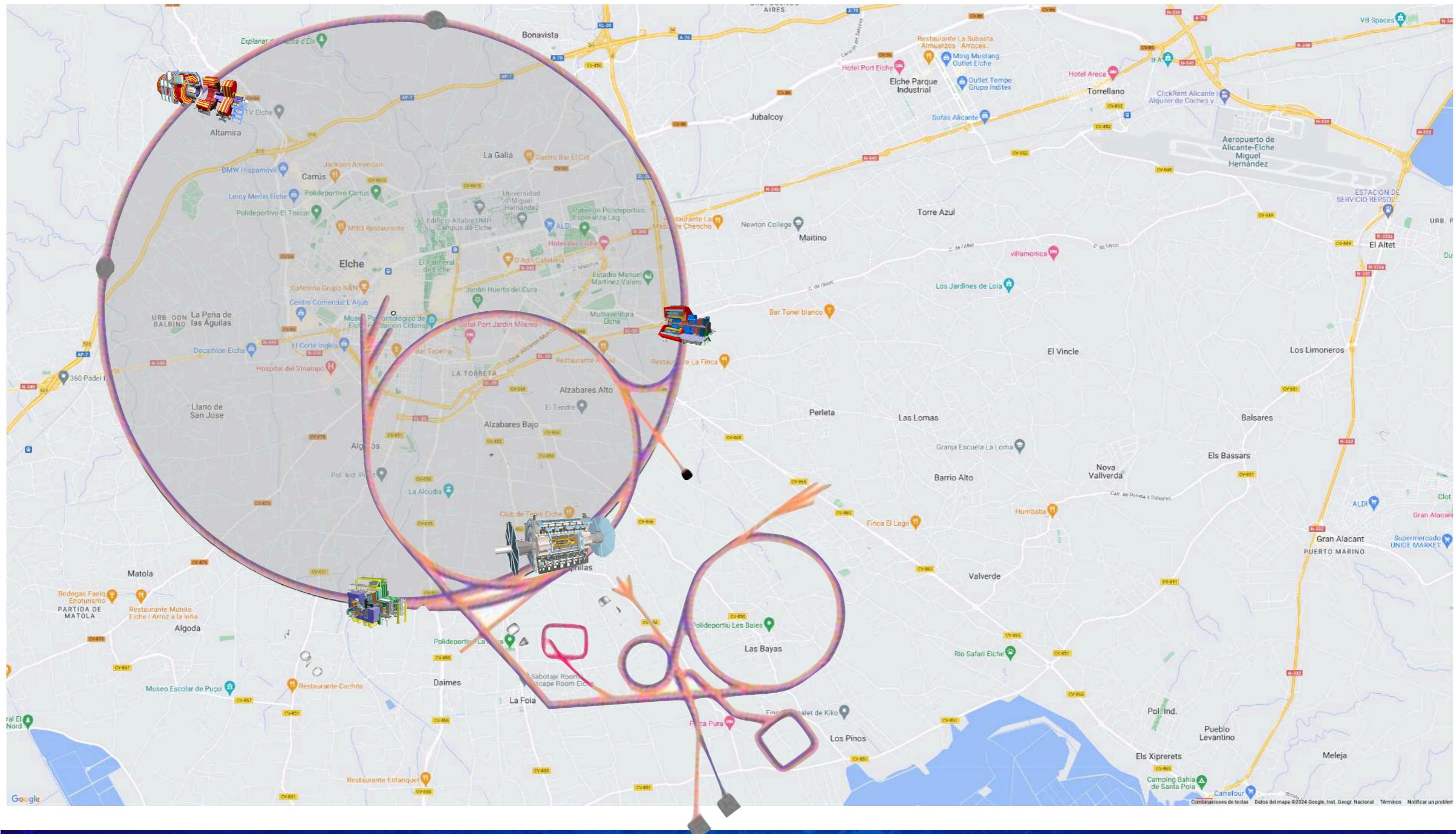
# ID Alignment Monitoring Web



# The Large Hadron Collider



# The Large Hadron Collider

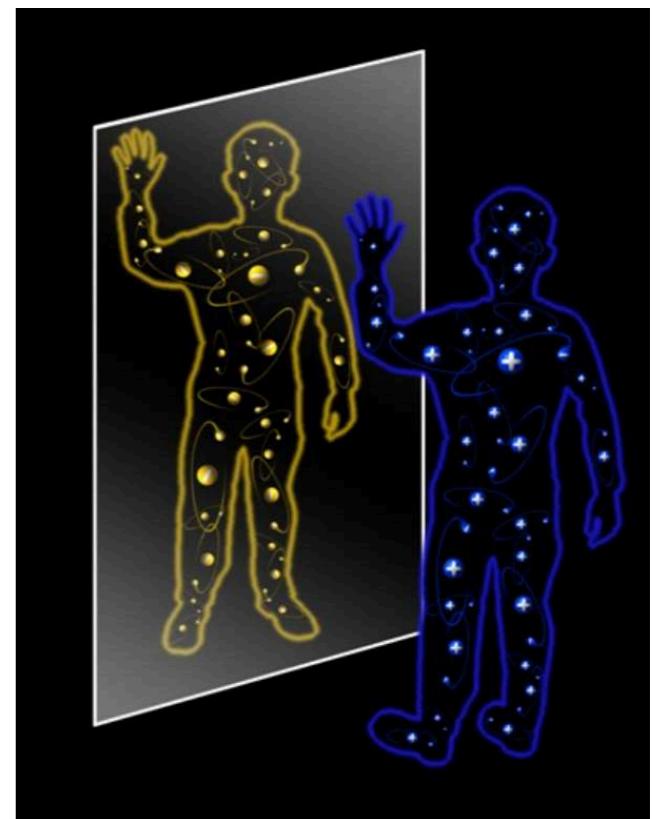
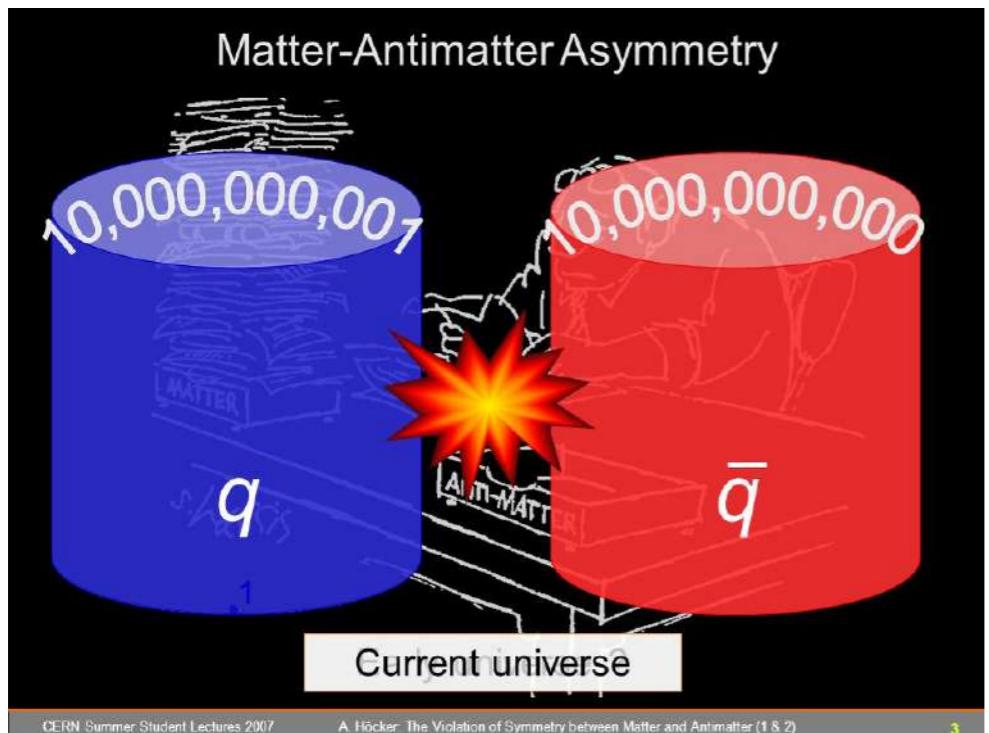


# The ATLAS detector



# The matter-antimatter asymmetry problem

- Known as baryon asymmetry problem
- In principle, matter and antimatter should have been created in equal quantities
- As the universe cooled, particles and antiparticles would annihilate each other, releasing energy.
- If matter = antimatter  $\leftarrow$  The annihilation would have left photons but not matter
- But our universe consists on matter



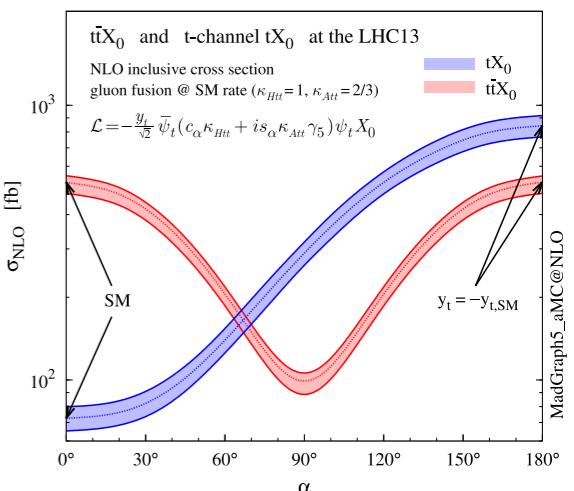
# The matter-antimatter asymmetry problem

- In 1967, Sakharov outlined three conditions to this asymmetry to arise:
  - **Baryon Number Violation:** Processes must exist that result in a net change in the number of baryons
  - **C and CP Violation:** Conservation of the C symmetry and the combined CP symmetry must be violated. These violations allow for processes that can distinguish between matter and antimatter
  - **Departure from Thermal Equilibrium:** These processes must occur out of thermal equilibrium because, in thermal equilibrium, matter-antimatter creation and annihilation rates would be balanced.



# The matter-antimatter asymmetry problem

- While the SM of particle physics allows for some degree of CP violation, it is insufficient to account for the observed level of matter-antimatter asymmetry
- This inadequacy suggests the need for physics beyond the SM.
- Experimental efforts continue to measure CP violation with greater precision and to search for baryon number-violating processes
- Sources of CP violation:
  - The CP-violating phase in the CKM
  - QCD Lagrangian  $\theta$  term could lead to CP violation
  - CP violations on neutrino oscillations
  - Some SUSY or extradim models often include CP-violating phases
- The SM predicts the Higgs to be a CP-even scalar particle (i.e. no CP violation) but a CP-odd contribution has not been discarded yet



# Alternative BDT Models

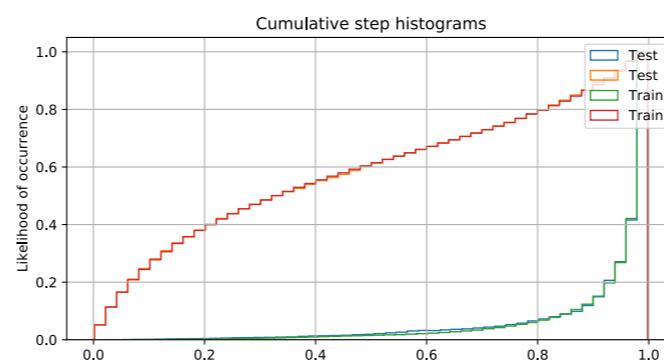
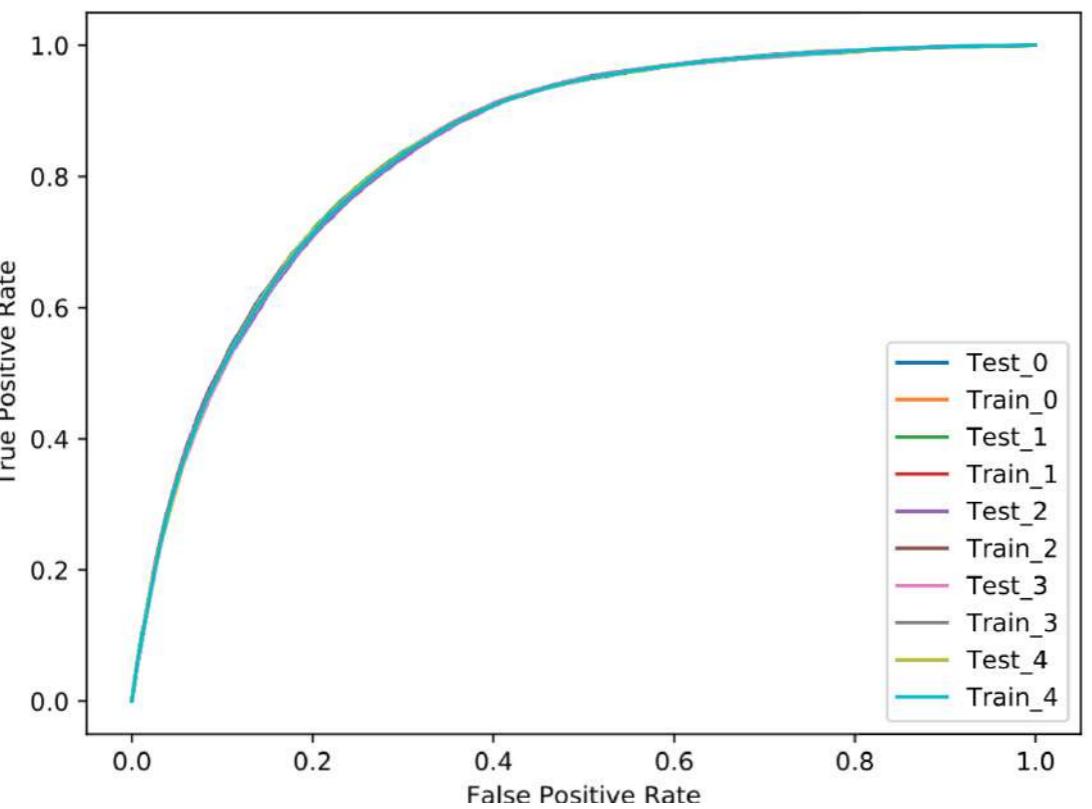
- Apart from the BDT models used in the analysis, several other models have been trained as an alternative approach to the ones that have been ultimately used in the thesis:
  - Alternative BDT for lepton assignment
  - $\text{BDT}(\text{Z+jets} \mid \text{OS})$
  - $\text{BDT}(tWH \mid \text{OS}) \text{ // } \text{BDT}(tH \mid \text{OS})$
  - $\text{BDT}(tWH \mid \text{SS}) \text{ // } \text{BDT}(tH \mid \text{SS})$
  - $\text{BDT}(t\bar{t} \mid \text{SS})$
  - $\text{BDT}(t\bar{t}W \mid \text{SS})$
  - $\text{BDT}(t\bar{t}X \text{SS})$
- Some of these models are used in the ongoing ATLAS analysis
- Some other have been discarded due to their performance

# Alternative Models - Lep. Assignment

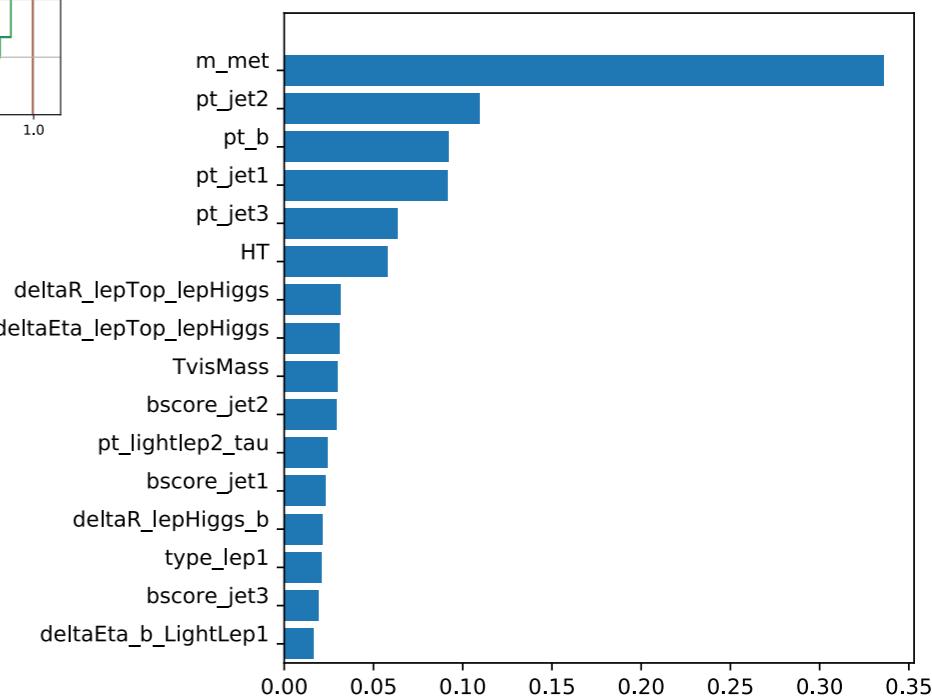
- Within the gradient BDTs, different configurations are explored regarding the number of folds, the hyperparameters and the collection of input variables. Several of these offer a similar performance to the chosen BDT<sup>Lepton Assignment</sup>. Besides the BDTs, other algorithms such as NN and random forests have been teste and, although they showed promising performances, none of them are adopted in the final analysis.

# Alternative Models - BDT( $Z+jets | OS$ )

- The production of  $Z$  bosons in association with jets is, along with  $t\bar{t}$ , the most important background process for the OS channel.
- To better discriminate the  $Z+jets$  background, a dedicated BDT model was trained.
- Discarded because it does the same as the model trained to discriminate  $t\bar{t}$



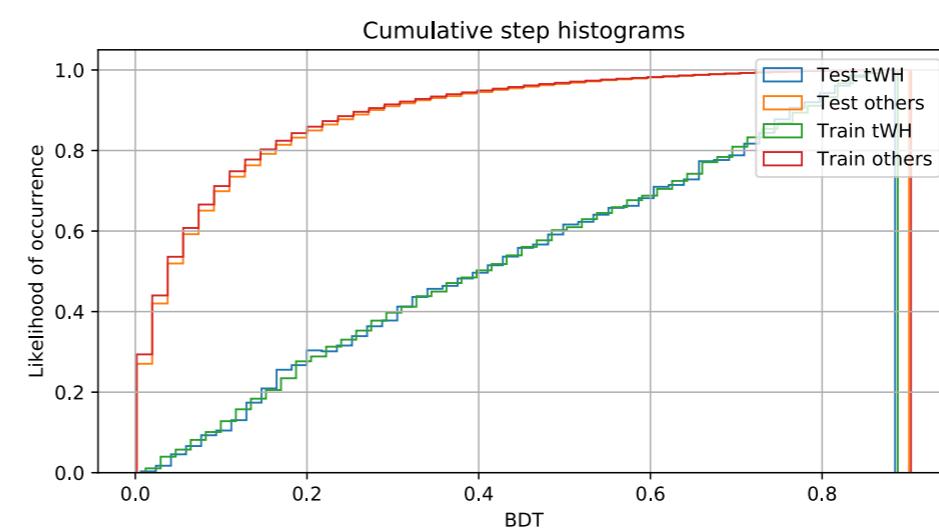
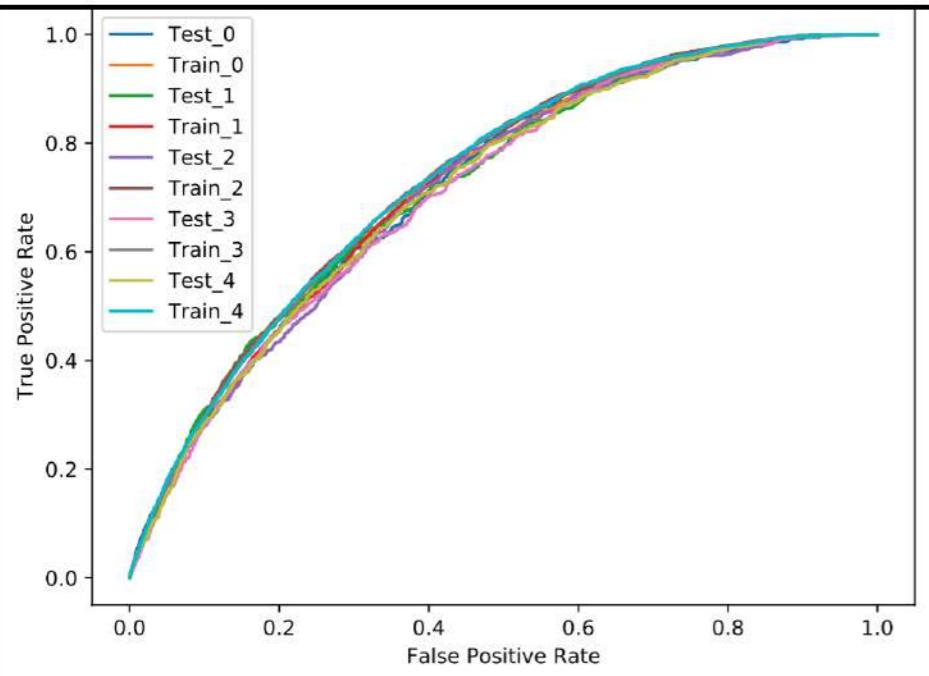
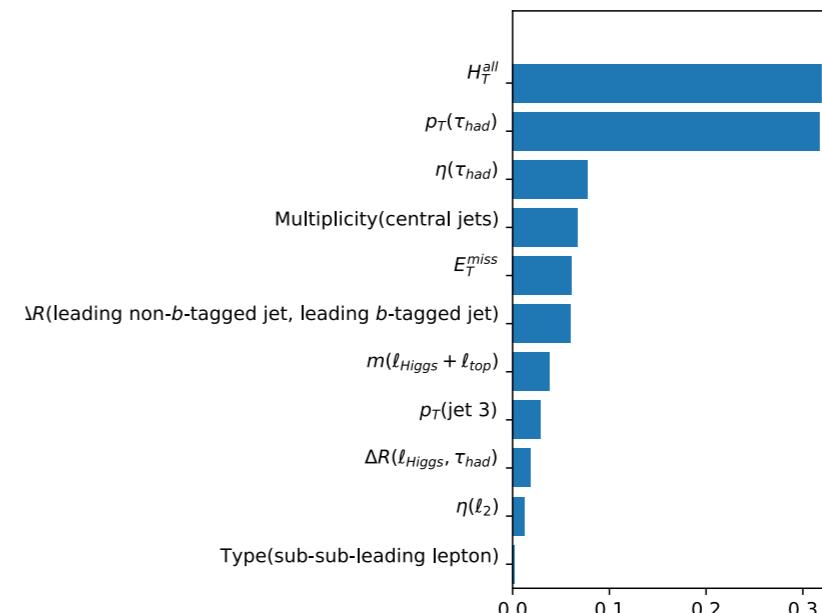
Hyperparameter	BDT( $tWH _{OS}$ )
Maximum depth	5
Learning rate	0.05
Number of estimators	2500
Minimum child weight	0.7
Scale of positive weights	12
Neg. weight strategy	Pos. only



# Alternative Models - BDT( $tWH|_{OS}$ )

- Trained to perform the inclusive  $tH$  search
- In the ongoing ATLAS analysis a BDT targeting  $tHq + tWH$  simultaneously is used

Hyperparameter	BDT( $tWH _{OS}$ )
Maximum depth	4
Learning rate	0.15
Number of estimators	1000
Minimum child weight	1.3
Scale of positive weights	400
Neg. weight strategy	All events



(b) Cumulative distribution