

# Processes and Threads

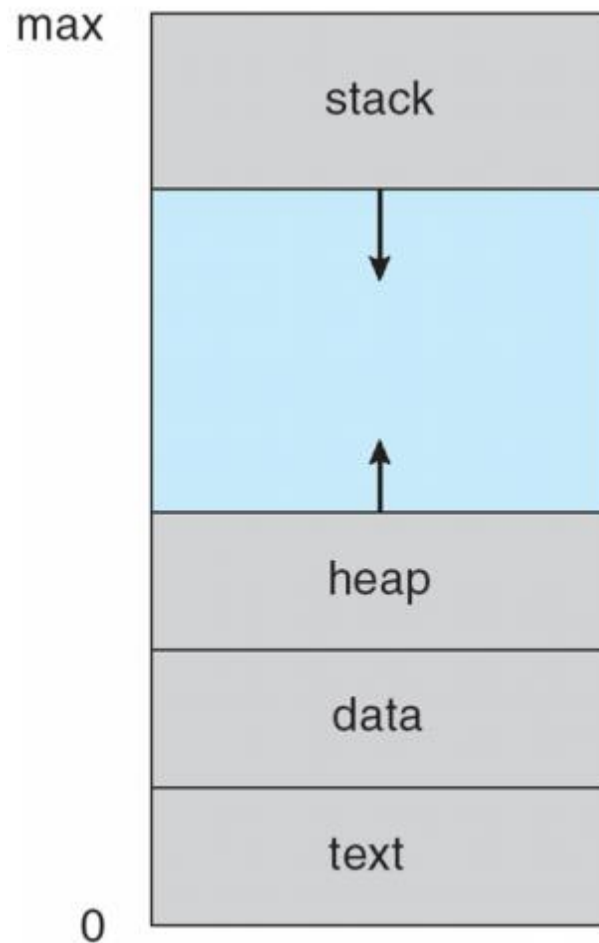
**Processes:** definition, states, process life cycle, Process Control Block (PCB), process queues and context switching.

**Threads:** motivations, definition, benefits.

# What is a process?

- A process is a program in execution
  - It contains
    - Program code — **text section**
    - **Data**
      - **Data section** -- static variables and global variables
      - **Stack** –Temporary data (method parameters, return addresses and local variables)
      - **Heap** – dynamical memory allocation
    - The contents of the various **CPU registers**
      - **Data/address/general purpose registers**
      - **Special purpose registers**
        - **Program counter** – indicates what instruction the process is to execute next.
    - **State**

# Process in Memory



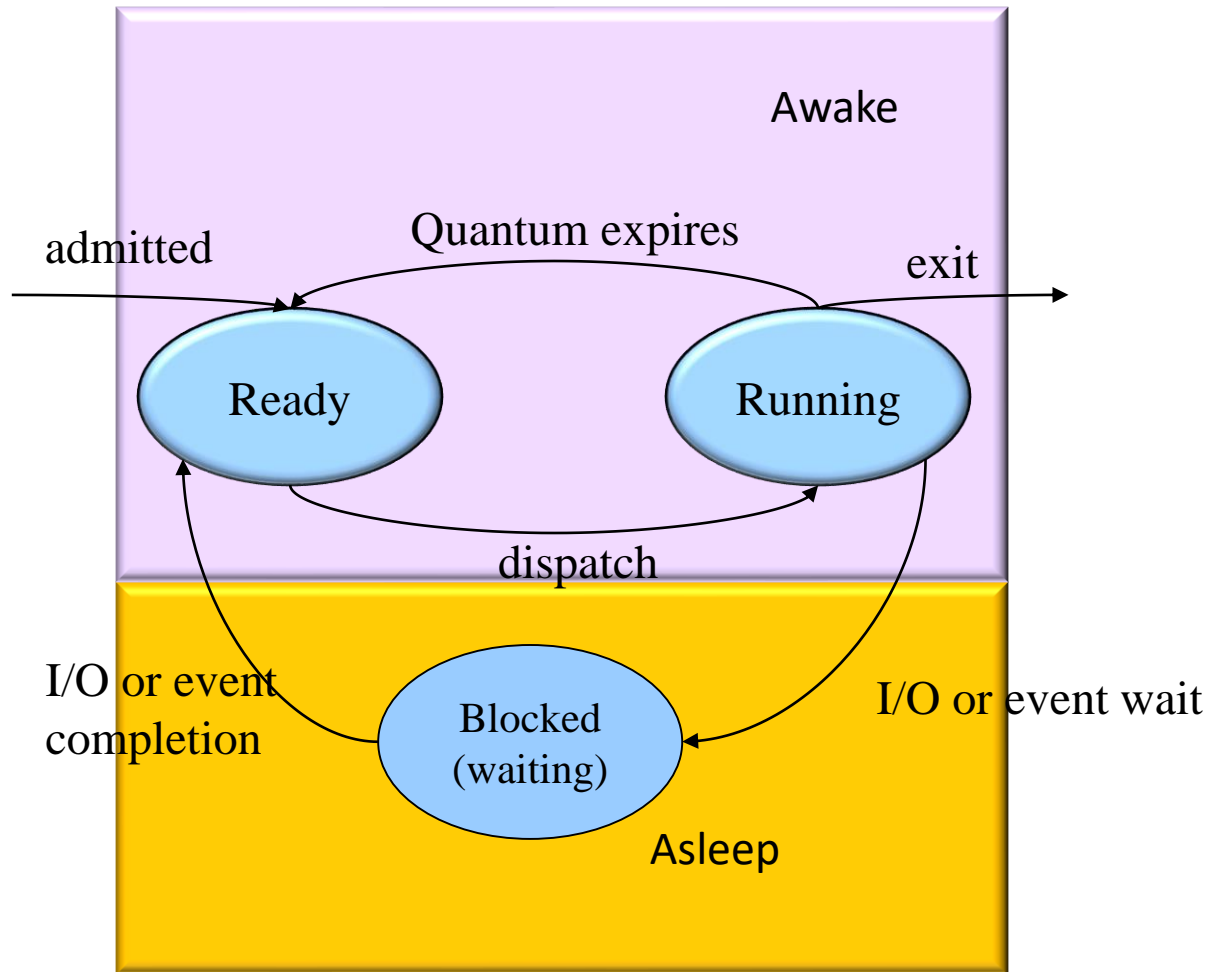
# Process States

- Normally there are more processes than CPUs in a system
  - At any given time, only one process can be running on a CPU.
- OS uses an **interrupt clock (interval timer)** to prevent any monopolization of resources
  - It allows a process to run for a specific time interval or **quantum**

# Process States

- A process moves through a series of discrete process states
  - **Running** State – the process is executing on a CPU
  - **Ready** State – the process could execute on a CPU when one is available
    - A ready queue for ready processes
  - **Blocked (waiting)** State – the process is waiting for some event to happen before it can proceed.
    - device queues for blocked processes
  - Also others: **new** (being created), **terminated** (finished execution), ...

# The Life Cycle of a Process



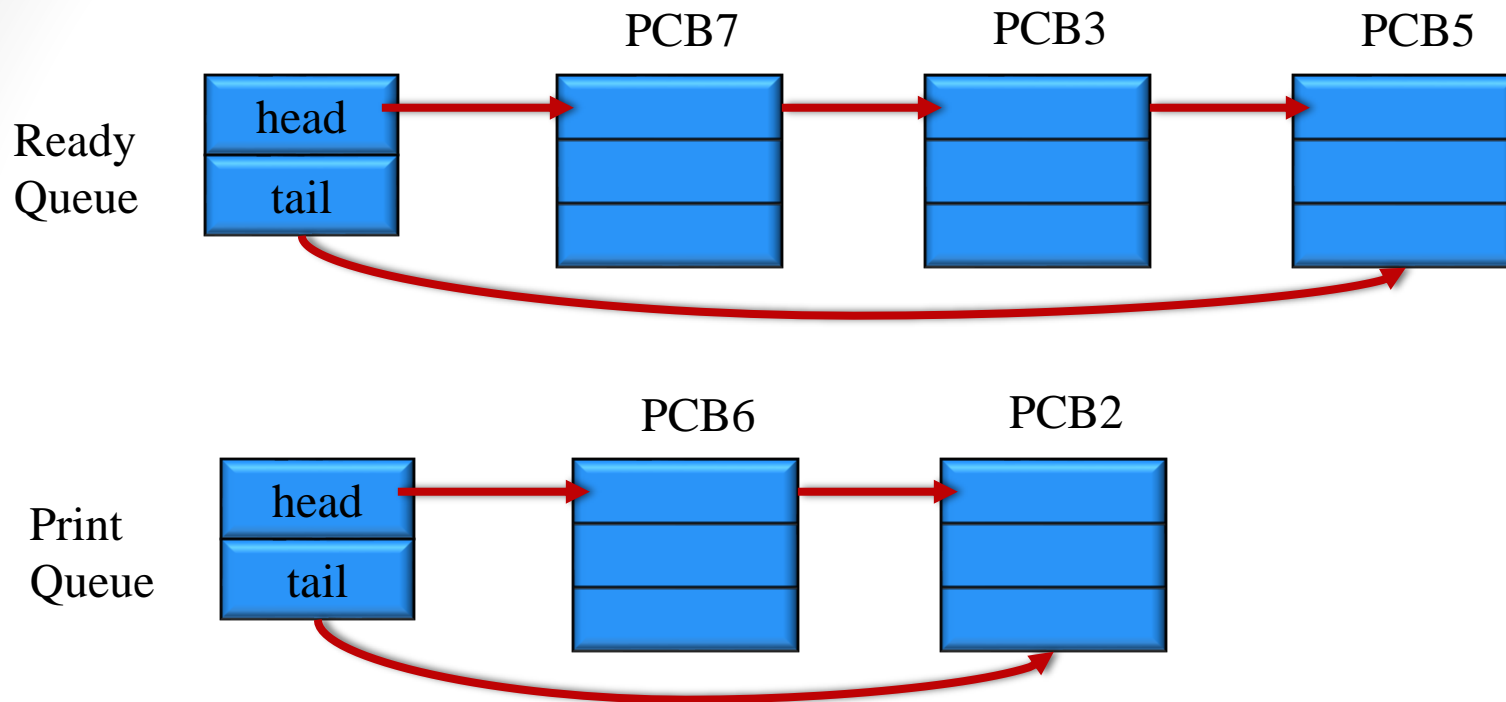
# Process Control Block (PCB)

- Each process is represented in the OS by a PCB.
- PCBs typically include
  - Process state
  - Process ID
  - The **values of the CPU registers**
    - Program counter
    - Stack pointers
    - General purpose registers
    - Other registers
  - Memory-management information
    - Base and limit registers
  - Scheduling and resource allocation information
    - Open files

- **Ready queue:** a list of processes that reside in memory and are ready and waiting to execute
- **Device queue:** a list of processes waiting for a particular I/O device. Each device has its own device queue

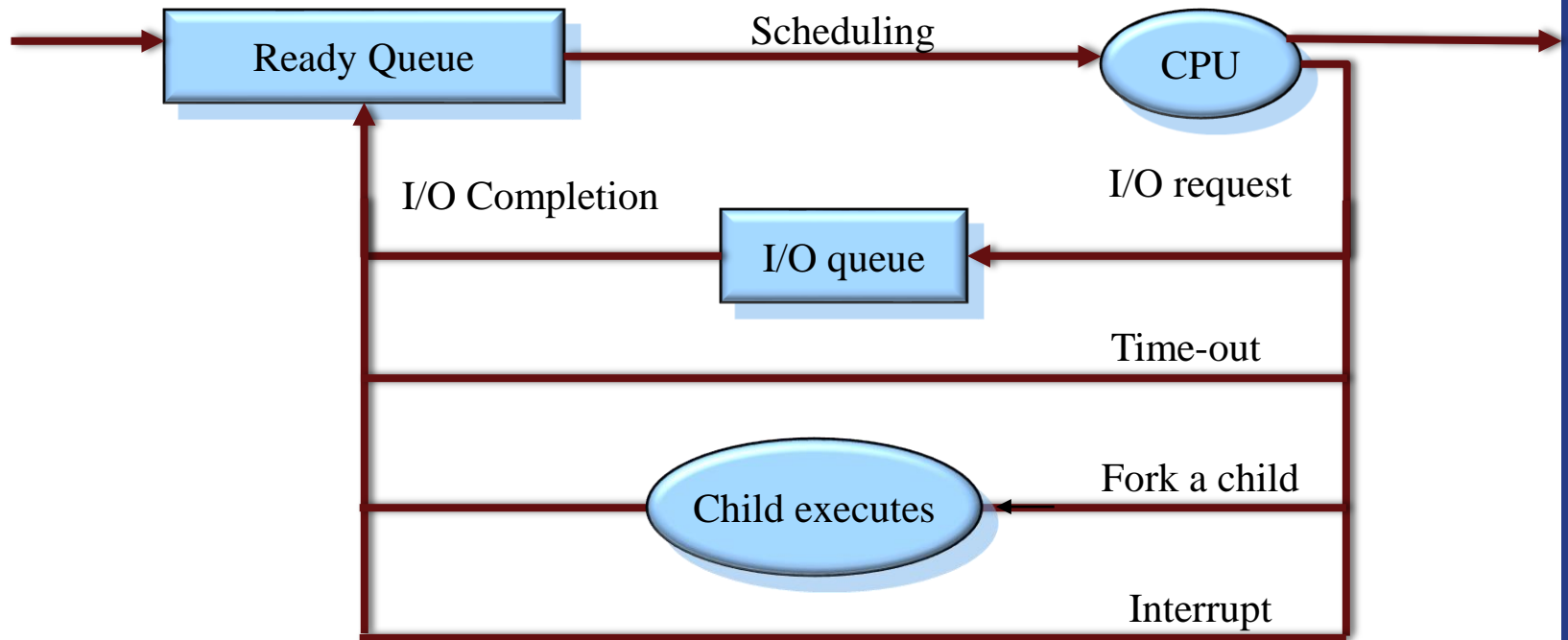
## Process Queues





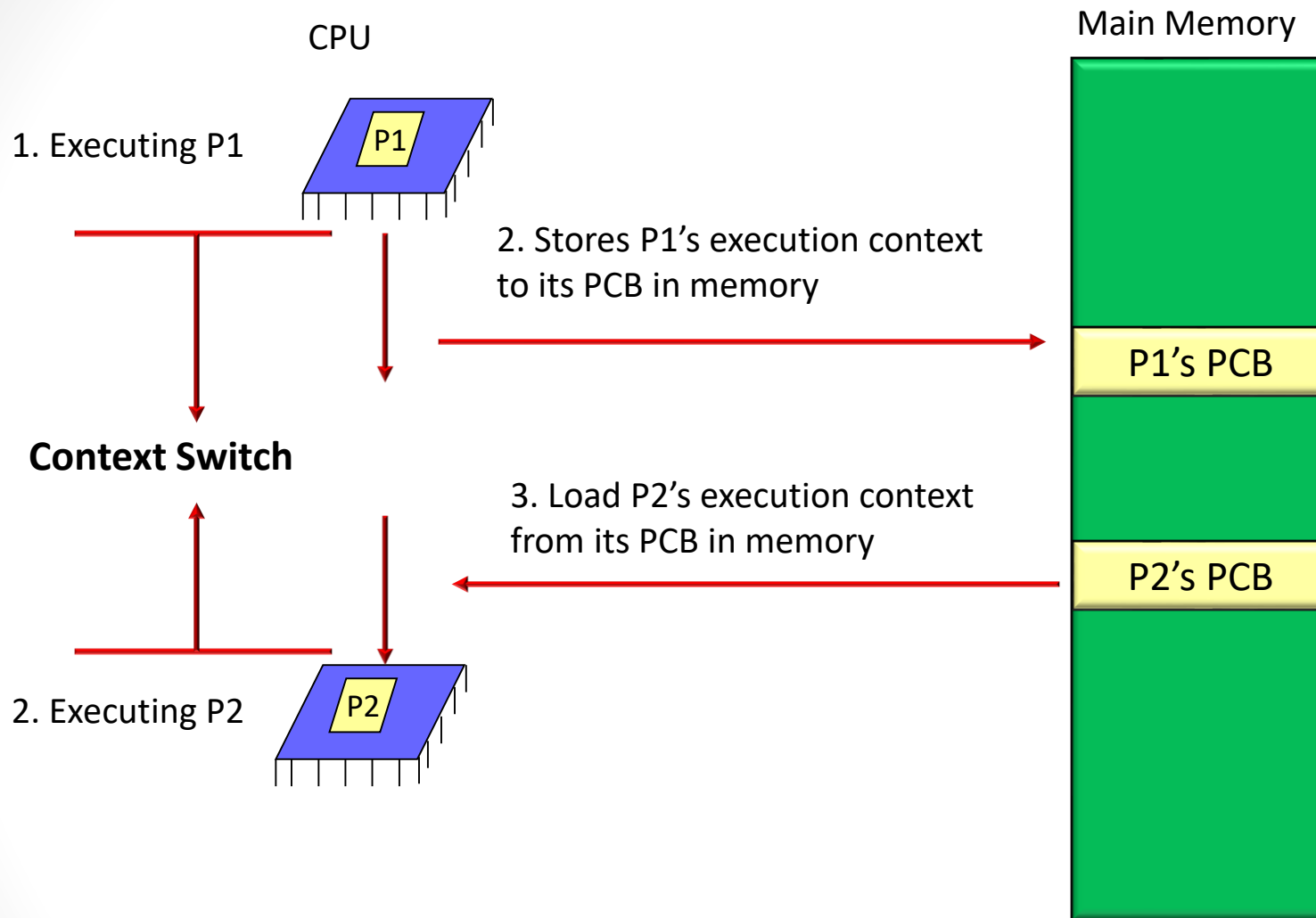
# Process queues

# Scheduling Queues



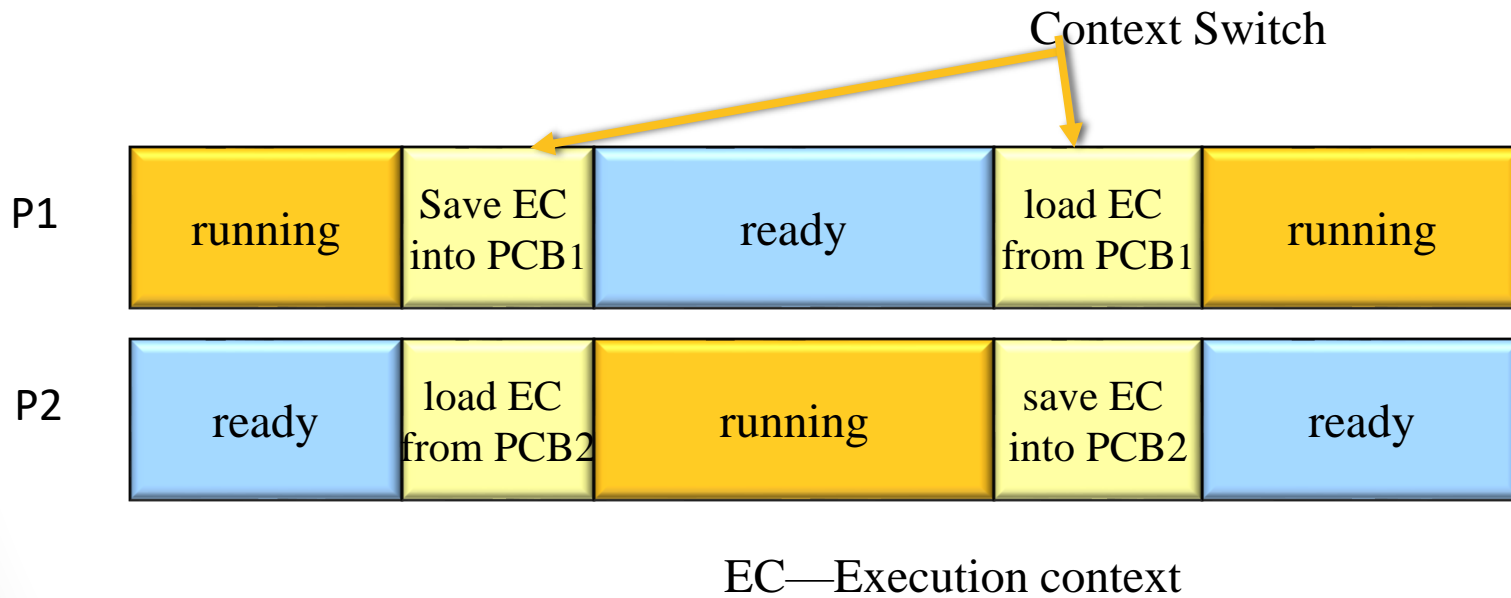
# Context Switching

- One major use of the PCBs is in conjunction with a **context switch** operation
- A **context switch** is performed by the OS to stop executing a running process and begin executing a previously ready process
- Switching the CPU to another process requires saving the execution context of the old process into its PCB and loading the execution context of the new process.



# Context Switching

- Context switching is pure overhead.



# Threads

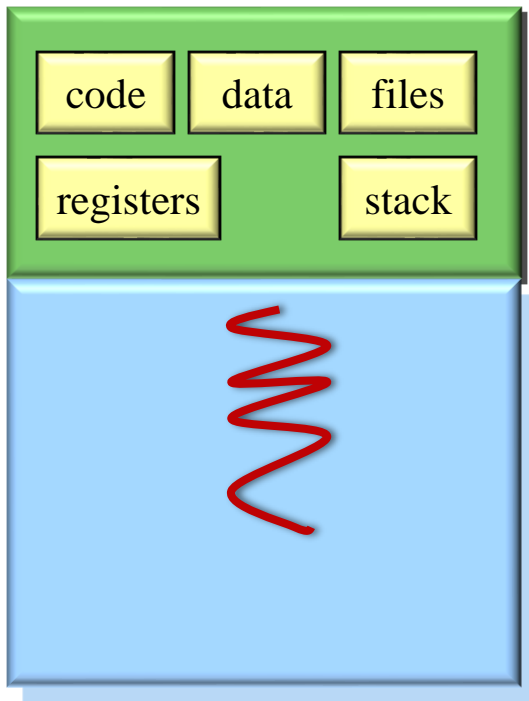
- **Why Thread?**

- A single application may be required to perform several tasks
- A **thread**, also called a **lightweight process (LWP)**, is a basic unit of CPU utilization that is under the control of a process.
  - A traditional process (or **heavyweight process (HWP)**) has a single thread of control
  - A **multithreaded** process has multiple threads of control and it can do more than one task at a time.

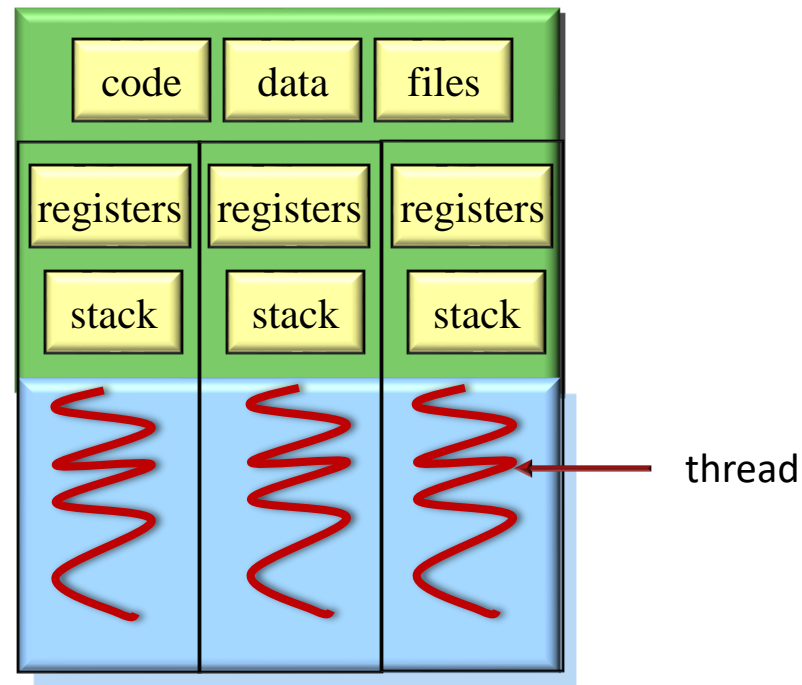
# What is a thread?

- All the threads in the same process
  - **Sharing**
    - memory context (code and data) – no memory protection between the threads
    - Other resources (e.g. open files)
  - **Not sharing**
    - register context --including PC (Program Counter) and SP (Stack Pointer)
    - Each thread has its own stack
      - All the stacks for the various threads are located in the same data space
      - Each has its own SP and uses a different part of this space for its stack.

# Difference between a Single-Threaded Process and a Multi-Threaded Process



Single-threaded process



Multi-threaded process



# Benefits of Multithreaded Programming

- Interactivity
  - An application may continue to run even if it is blocked or is performing a lengthy operation
- Resource sharing
  - Threads share the same address space and the resources of the process to which they belong

# Benefits of Multithreaded Programming

- Economy
  - Faster to create threads
    - It is costly to allocate memory and resources for process creation
  - Faster to context-switch threads
- Increased Concurrency in a multiprocessor architectures
  - A single-threaded process can only run on one CPU.
  - The threads in a multithreaded process may be running in parallel on different CPUs.

# Summary

- Processes
  - Definition
  - Status and life cycle
  - PCB and context switch
  - Process Queues
- Threads
  - What are threads and why threads?
  - Benefits of multithreading