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Generalised R Yield Model (Grym)

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Introduction

Constable and de la Mare (1996) presented a Generalised Yield Model (GYM), a stochastic stock assessment model, for assessing the status of fish stocks under various levels of uncertainty. The model was further developed over the subsequent eight years and used as the primary tool for setting catch limits for all target species including krill, toothfish and icefish, and a number of bycatch species in the CAMLR Convention area (Constable 2004; SC-CAMLR 2004, para. 4.11). In addition to setting catch limits the GYM has also been used to evaluate management strategies, for example Ziegler *et al.*, (2011), or Welsford (2011). While statistically fitted stock assessment methods have recently been used for toothfish assessments, the GYM software is still being used to provide advice on catch limits for some icefish fisheries as well as a number of bycatch species (SC-CAMLR 2018).

SC-2019 (para. 3.16) noted the need to implement a revised management strategy that would incorporate contemporary information across a range of spatial and temporal scales to improve the likelihood of achieving CCAMLR's conservation objective for the krill fishery. It also outlined a work plan and schedule for implementing the adopted strategy for krill management (para. 3.34, Tables 1 and 2),

An action item and priority for WG-SAM-2020 and WG-EMM-2020 was the recompilation of the GYM in an open source code (SC-CAMLR 2019 Para. 13.4, Table 1). This is because it is a tested method for applying the CCAMLR decision rules to estimate krill biomass and set catch limits. We do not discuss the implementation of the CCAMLR decision rules in this paper as it is outside the scope, but for more details on the decision rules see Constable *et al.* (2000) or the krill fishery management approach (CCAMLR 2020).

Here, we present the Grym (Generalised R Yield Model) package (Wotherspoon and Maschette 2020). Designed to work within R (R Core Team 2018), the Grym was built with the aim to provide a toolbox of functions that replicate the existing core functionality of the GYM software. By combining different functions, the effects of different decisions, e.g. varying recruitment relationships, can be tested. The Grym can be used as the underlying package for a range of stock assessment and management strategy evaluation applications to facilitate assessments run by non-specialist programmers or assessment scientists.

Where the FORTRAN code of the latest GYM executable (compiled version 501E; Constable 2004) differed from the intent of the GYM described by Constable and de la Mare (1996), the Grym functionality was written to be consistent with the intent described by Constable and de la Mare (1996). This approach has led to some differences in model outputs between the GYM executable and the equivalent functions of the Grym package, which are discussed more in the section below.

Implementation

The GYM software (Constable and de la Mare 1996, Constable 2004) is a single monolithic executable that performs stochastic stock projection under a variety of assumed recruitment, mortality and harvesting. The precise form of the assumed models of recruitment, mortality and harvesting used in the projection is specified through a complex set of configuration files read at the beginning of each analysis.

Grym performs stochastic stock projection under a variety of assumed recruitment, mortality and harvesting. However, the Grym is an R package that provides separate functions for each of the major computational tasks required for the projections. Here, the user provides the “control logic” that calls these functions to perform an analysis.

The core functionality of the GYM is to project stock abundance, biomass and yield in each age class forward in time over a single year. The GYM projects stock abundance, biomass and yield forward over time by integrating a system of differential equations with an adaptive Runge-Kutta scheme. The GYM assumes the number $N_{a,y}$, biomass $B_{a,y}$ and yield $Y_{a,y}$ of individuals of age a in year y satisfy the system of differential equations (Constable and de la Mare 1996)

$$\begin{aligned}\frac{dN_{a,y}}{dt} &= -[M_y m(a, t, y) + F_y f(a, t, y)]N_{a,y} \\ \frac{dB_{a,y}}{dt} &= w(a + t) \frac{dN_{a,y}}{dt} + N_{a,y}(t) \frac{dw(a + t)}{dt} \\ \frac{dY_{a,y}}{dt} &= F_y f(a, t, y) B_{a,y}\end{aligned}$$

where $w(a + t)$ represents the weight at total age $a + t$, and the total natural mortality $M_y m(a, t, y)$ has been decomposed into an annually varying component M_y and a component $m(a, t, y)$ that varies within years, and similarly the total fishing mortality $F_y f(a, t, y)$ has been decomposed into an annual component F_y and a component $f(a, t, y)$ that varies within years. To ensure identifiability of the mortality parameters, an appropriate normalization must be chosen for the within year components of mortality. The total yield in each year is the sum of the contributions from each age class

$$Y_y = \sum_a Y_{a,y} (1).$$

The GYM solves this system of differential equations for each year by assuming the time varying functions $m(a, t, y)$, $f(a, t, y)$ and $w(a + t)$ are piecewise linear, and integrating the system with an adaptive Runge-Kutta scheme.

The Grym takes a more direct approach. The system of governing equations shown above has the following direct solution:

$$\begin{aligned}
N_{a,y}(t) &= N_{a,y}(0) \exp \left[-M_y \int_y^{y+t} m(a, \tau, y) d\tau - F_y \int_y^{y+t} f(a, \tau, y) d\tau \right] \\
B_{a,y}(t) &= w(a+t) N_{a,y}(t) \\
Y_{a,y}(t) &= \int_y^{y+t} F_y f(a, \tau, y) B_{a,y}(\tau) d\tau.
\end{aligned}$$

The Grym evaluates the required integrals with the composite trapezoidal rule. As $m(a, t, y)$ and $f(a, t, y)$ are assumed piecewise linear, this yields exact solutions for $N_{a,y}$ and $B_{a,y}$.

Many quantities of interest, such as the spawning, exploitable or vulnerable biomass are computed as temporal averages over a specified reference interval. The GYM calculates temporal averages as simple sums, but for internal consistency with the above, the Grym calculates temporal averages with the composite trapezoidal rule.

To ensure that the annual scaling M_y and F_y are identifiable, some appropriate normalization must be imposed upon the intra-annual patterns of mortality $f(a, t, y)$ and $m(a, t, y)$. The GYM requires that these quantities integrate to unity over a year, while in the Grym this normalization is left to the discretion of the user.

Aside from mortality and harvesting, the key determinant of stock survival is recruitment. The GYM offers a number of options for modelling recruitment, including (1) drawing from a log-normal distribution with prescribed mean and coefficient of variation; (2) bootstrapping from a time series of recruitment estimates derived from survey data; and (3) the proportional recruitment model described in de la Mare (1994).

The proportional recruitment model defines R , the ratio of the number of individuals of a given reference age to all individuals of that age or older, and assumes estimates of R are available from multiple surveys. The method derives estimates of natural mortality and the mean and variance of the recruitment required to reproduce the mean and variance of R observed in the surveys. The method described by de la Mare (1994) and several extensions are implemented in the Grym.

However, de la Mare (1994) advocates resampling the mean and variance of R observed in the surveys from normal and chi-squared distributions respectively. But as R is a proportion it has bounded variation, and the sample variance of R will not follow a chi-square distribution. Resampling the variance of R from a chi-square distribution will produce variances that are unattainable, and it is likely this is the cause of the issue reported by Kinzey, Watters, and Reiss (2013). Instead, the Grym offers a parametric bootstrap alternative to simulate new values for the mean and variance of R that are consistent with the observed values. If the original mean and variance were estimated from n independent surveys, random recruits based on the observed mean and variance are used to generate n new age structures from which R and hence the mean and variance of R are estimated. This procedure is guaranteed to produce realistic variances.

The source code for the FORTRAN compiled version 501E was available for reference in order to compare the implementation and outputs with the Grym. The Grym package provides a 'vignette', which shows the use of each function. The additional package

GrymExamples contains examples for icefish, krill and toothfish assessments which we use here to compare the GYM software and the Grym package.

Table 1: Grym package functions with brief descriptions. Typing *?function-name* in R will display the full documentation for each function. * in recruitment functions denotes a given distribution, use *?prRecruitPars* to see help for all available distributions.

Functions	Description
vonBertalanffyAL, vonBertalanffyLA, vonBertalanffyRAL, vonBertalanffyRAL	von Bertalanffy age-length models, parameterized as in the GYM.
powerLW, powerWL rampOgive	Power law length weight models, parameterized as in the GYM Ramp shaped ogive function for selectivity and maturity, parameterized as in the GYM.
Trapz, ctrapz, trapzMeans project, project, advance, rescaleProjection	Numerical quadrature by the composite trapezoidal rule. Project the abundance, biomass and yield in each age class forward over one year.
ageStructureD ageStructureS spawningStock, vulnerableStock, meanStock, exploitableStock, initial, final	Compute the initial age structure of the population. Calculate the stock summaries for a given monitoring period.
spawningB0D, spawningB0S prRecruits, prRecruitPars, prRecruitsQuantile, prBootstrap, resampleRGYM	Estimate virgin spawning stock biomass. Generate random recruits using the proportional recruits model.
surveySurvival, surveyAdjustGYM	Adjust surveyed age class abundances to initial abundances at a reference age class.

Comparisons

We compared the GYM software and Grym for three different scenarios:

(1) 2019 mackerel icefish assessment for Division 58.5.2 (Maschette *et al.*, 2019) representing an assessment which uses constant fishing mortality over a 2-year deterministic projection.

(2) 1996 krill assessment (Constable and de la Mare 1996), representing an assessment which allows for a 20-year stochastic projection with constant gamma (proportion of fish population) removals.

(3) 2006 Patagonian toothfish assessment for Division 58.5.2 (Welsford *et al.*, 2006), representing an assessment which does constant catch removals over a 35-year stochastic projection.

These scenarios represent the typical historical assessments performed with the GYM software within CCAMLR with each testing different components of the Grym package functionality.

It is worth noting that some estimates by Constable and de la Mare (1996) differ from those estimates using the GYM version 501E here due to internal changes in the GYM between

1996 and 2004 (Constable 2004). Comparisons were made using the parameters outlined in Table 2 with the Grym implementation code in Appendices 1-3.

The comparisons between the currently compiled GYM software and the Grym for these three types of assessments show that in most instances the Grym returns very similar results to the GYM software (Tables 3 - 6, Figures 1 - 2). The small overall differences between the estimates of the two models is primarily due to differences in the sequences of random deviates drawn in individual projection runs. Although Grym and the GYM use different integration schemes to compute projections, as noted above the technique based on the composite trapezoidal rule used in Grym will be more precise than the Runge-Kutta scheme of the GYM.

Table 2: Input parameters for Grym and GYM software assessment comparisons conducted for mackerel icefish (*Champsocephalus gunnari*), Antarctic krill (*Euphausia superba*) and Patagonian toothfish (*Dissostichus eleginoides*).

Category	Parameter	Icefish	Krill	Toothfish
Ages	First Age class	1	0	4
	Last Age Class	10	7	35
von Bertalanffy growth	t0	0.067	0	0
	Linf	490 mm	60 mm	170.8 cm
	k	0.368	0.45	0.088
	Date - start growth period (dd/mm)	1-Dec	1-Nov	30-Nov
	Date - end growth period (dd/mm)	30-Nov	1-Feb	30-Nov
Weight at Length (kg, mm)	Weight-length parameter – A (kg)	1.08E-09	1	2.50E-05
	Weight-length parameter - B	3.286	3	2.8
Maturity	Min length, 50% are mature	-	34 mm	-
	Max length, 50% are mature	-	40 mm	-
	Range over which maturity occurs	-	12 mm	-
	Years over which maturity occurs	-	-	Jan-17
Spawning Season	First Day of Spawning Season (dd/mm)	Nov-30	1-Mar	1-Jul
	Last Day of Spawning Season (dd/mm)	Nov-30	1-Jun	1-Jul
Mortality	Min Mean Annual M	0.4	0.4	0.16
	Max Mean Annual M	0.4	1	0.16
Recruitment	Function	-	Log-Normal	Log-Normal
	Mean recruitment	-	1	See Appendix 3
	Min Coefficient of Variation	-	0.4	1.162
	Max Coefficient of Variation	-	0.6	1.162
	Cohorts to project	127.106, 617.426, 1988.91	-	-
Fishery parameters	Age fully selected	3	-	See Appendix 3
	Age first selected	2.5	-	See Appendix 3
	Min length, 50% Selected	-	38 mm	-
	Max length, 50% Selected	-	42 mm	-
	Range over which recruitment occurs	-	10 mm	-
	Season	1 Dec – 30 Nov	1 Dec – 28 Feb	

Category	Parameter	Icefish	Krill	Toothfish
Simulation specifications	Catch between survey and season	0	0	0
	Initial Biomass	3723.761	-	-
	Number of runs in simulation	1	10001	10001
	Evaluation Type	Fishing Mortality	Gamma	Constant Catch
Individual trial specifications	Years to remove initial age structure	1	1	1
	Year prior to projection	2019	2005	
	Reference Start Date in year	1-Dec	1-Nov	1-Dec
	Increments in year	365	365	365
	Years to project stock in simulation	2	20	35
	Reasonable upper bound for Annual F	5	1.5	5
	Tolerance for finding F in each year	0.000001	0.000001	0.000001
	Target Escapement	75%	75%	50%

Table 3: Comparison of the GYM software and Grym implementations of the 2019 mackerel icefish (*Champscephalus gunnari*) assessment in Division 58.5.2 (Maschette *et al.*, 2019).

Year	Spawning numbers		Spawning Biomass		Catch		Escapement	
	GYM	Grym	GYM	Grym	GYM	Grym	GYM	Grym
0	14928.0	14640.5	3986.1	3970.5	0.0	0.0	1.000	1.000
1	8673.4	8532.4	3268.0	3240.3	526.9	523.1	0.820	0.816
2	5032.5	4943.0	2369.3	2339.0	406.3	406.0	0.594	0.589

Table 4: Comparison of probability of depletion, and median spawning stock status, as well as the 10, 25, 50, 75 and 90% quantiles in the 1996 Antarctic krill (*Euphausia superba*) assessment presented in Constable and de la Mare (1996) at year 20 in the projection period under various constant gamma scenarios when fitted within the GYM software and the Grym Package. Dp = Depletion probability, Med = Median. Note that some estimates by Constable and de la Mare (1996) differ from those estimates using the GYM version 501E here due to internal changes in the GYM between 1996 and 2004 (Constable 2004).

Gamma	Model	Dp	Spawning Stock Status					
			10%	25%	50%	75%	90%	Med
0.000	Grym	0.000	0.75	0.86	1.00	1.17	1.35	1.00
0.000	GYM	0.000	0.76	0.87	1.00	1.16	1.34	1.00
0.100	Grym	0.096	0.35	0.51	0.68	0.86	1.04	0.68
0.100	GYM	0.097	0.35	0.50	0.67	0.85	1.04	0.67
0.136	Grym	0.267	0.18	0.36	0.55	0.75	0.94	0.55
0.136	GYM	0.271	0.18	0.35	0.54	0.74	0.94	0.54
0.150	Grym	0.340	0.14	0.30	0.50	0.71	0.90	0.50
0.150	GYM	0.347	0.15	0.29	0.49	0.69	0.89	0.49
0.200	Grym	0.567	0.07	0.18	0.34	0.55	0.76	0.34
0.200	GYM	0.571	0.08	0.19	0.33	0.54	0.76	0.33

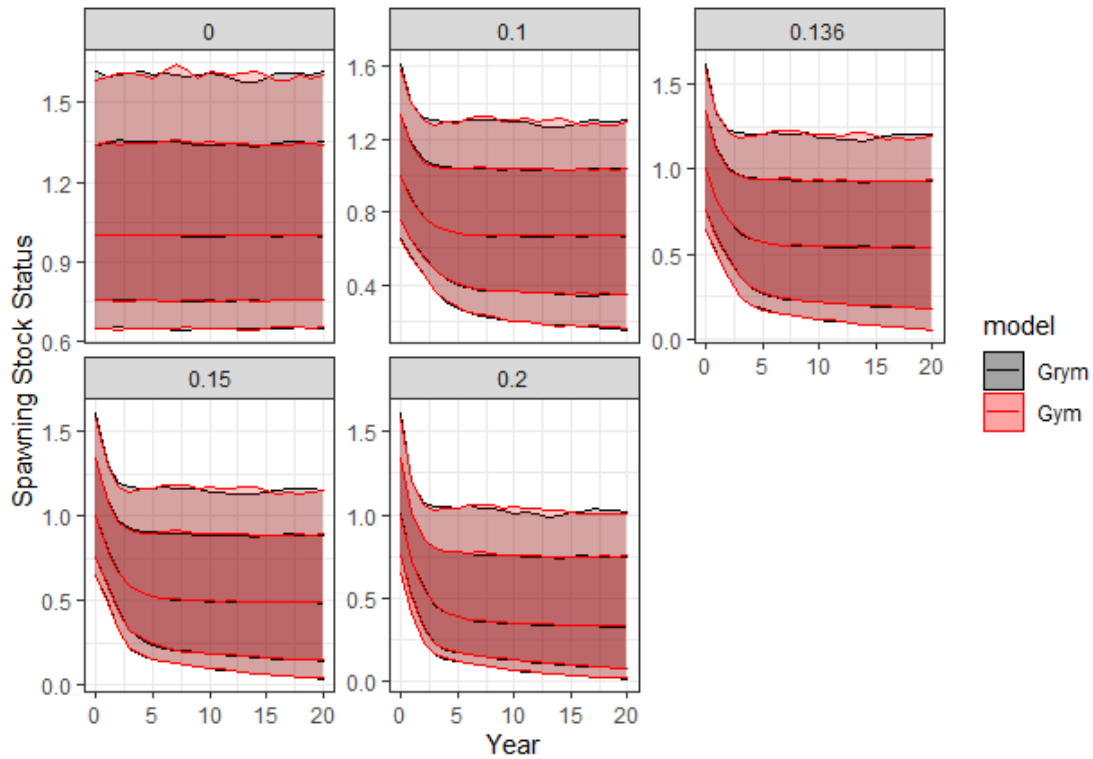


Figure 1: Grym and GYM software assessment projection comparisons for Antarctic krill (*Euphausia superba*) under various gamma tests over 20 years showing 90% and 80% confidence intervals with median.

Table 5: Comparison of the spawning stock status from the GYM software and Grym implementations of the 2006 Patagonian toothfish (*Dissostichus eleginoides*) assessment in Division 58.5.2 (Welsford *et al.*, 2006) at year 35 in the projection period under various constant catch scenarios.

Target Catch	Model	Depletion probability	Spawning Stock Status					
			10%	25%	50%	75%	90%	Median
0	GYM	0.000	0.696	0.805	0.961	1.172	1.420	0.961
0	Grym	0.000	0.699	0.814	0.972	1.176	1.420	0.972
2800	GYM	0.050	0.268	0.363	0.506	0.704	0.939	0.506
2800	Grym	0.053	0.267	0.367	0.511	0.703	0.933	0.511
2850	GYM	0.058	0.260	0.355	0.498	0.695	0.931	0.498
2850	Grym	0.060	0.259	0.359	0.503	0.695	0.924	0.503
2900	GYM	0.067	0.252	0.347	0.490	0.686	0.922	0.490
2900	Grym	0.070	0.251	0.351	0.494	0.686	0.915	0.494
3000	GYM	0.084	0.237	0.331	0.473	0.668	0.904	0.473
3000	Grym	0.089	0.235	0.334	0.477	0.668	0.897	0.477
3200	GYM	0.126	0.206	0.298	0.439	0.633	0.868	0.439
3200	Grym	0.133	0.203	0.302	0.443	0.633	0.861	0.443

Table 6: Comparison of the spawning biomass from the GYM software and Grym implementations of the 2006 Patagonian toothfish (*Dissostichus eleginoides*) assessment in Division 58.5.2 (Welsford *et al.*, 2006) at year 35 in the projection period under various constant catch scenarios.

Target Catch	Model	Depletion probability	Spawning Biomass					
			10%	25%	50%	75%	90%	Median
0	GYM	0.000	76413	88388	105547	128772	156048	105547
0	Grym	0.000	76129	88697	105957	128224	154769	105957
2800	GYM	0.050	29404	39856	55541	77123	102829	55541
2800	Grym	0.053	29087	40074	55813	76678	102062	55813
2850	GYM	0.058	28542	38974	54639	76168	101913	54639
2850	Grym	0.060	28213	39168	54891	75698	101037	54891
2900	GYM	0.067	27714	38053	53716	75206	100951	53716
2900	Grym	0.070	27354	38284	53970	74713	100026	53970
3000	GYM	0.084	26004	36289	51839	73257	99025	51839
3000	Grym	0.089	25572	36514	52085	72789	97978	52085
3200	GYM	0.126	22563	32681	48123	69409	95138	48123
3200	Grym	0.133	22121	32946	48415	68937	93929	48415

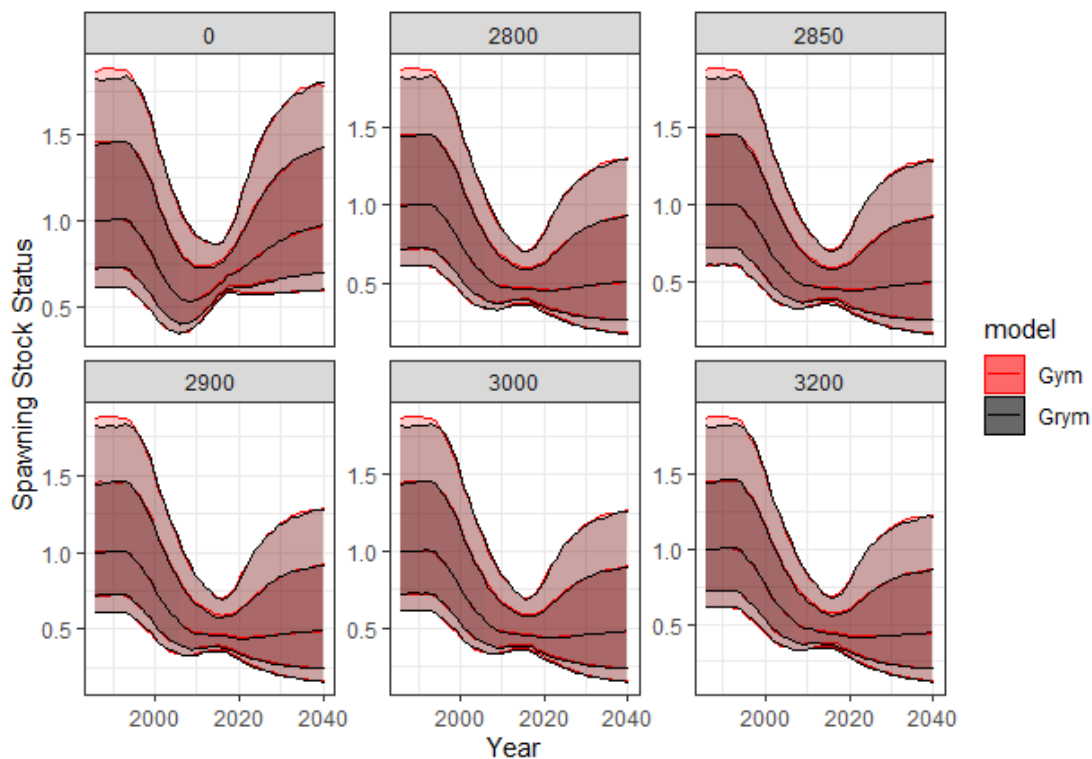


Figure 2: Grym and GYM software assessment projection comparisons for Patagonian toothfish (*Dissostichus eleginoides*) under constant catch scenarios over 20 years showing 90% and 80% confidence intervals with median.

Conclusions

We have shown that the Grym package produces very consistent outputs compared to the existing GYM software for three types of fisheries assessment typically used in CCAMLR.

The Grym package reproduces the core functionality of the GYM software to conduct fisheries stock population projections, whilst also addressing some of the limitations in the GYM software, which caused crashes in some models with large recruitment variability. We have demonstrated that the Grym package produces outputs that are consistent with those of the existing GYM software for three types of fisheries assessment typically used in CCAMLR.

The open source and interactive nature of R and the Grym package mean that the source code and any resulting outputs can be readily evaluated and assessed. The modular nature of the package provides increased flexibility, as the user can easily explore the effects of pairing alternative models of recruitment or mortality with existing GYM software functionality. The GrymExamples package contains a number of examples for what these may look under various scenarios.

This modular nature allows users to develop and test their own additional requirements for assessments as part of future developments, for example the potential for cyclical recruitment time series (Thanassekos 2020) or incorporating multiple fleets into models.

Given the increased transparency and flexibility of the Grym package, and the demonstrated high degree of consistency in the outputs of the GYM software and Grym package; we recommend that the Grym package be used in future stock assessments which would have otherwise used the GYM software. This would include the assessment in the krill management work plan as set out by SC-CAMLR (2019, para. 3.16-3.18, Table 1).

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Appendix 1: *Champsocephalus gunnari* Grym assessment code

Icefish Assessment Comparisons

The Icefish assessment aims to determine the fishing mortality and hence a total allowable catch that yields a prescribed two year escapement relative to an unfished population.

`library(Grym)`

Projection

The current strategy is to project forward two years for a range of potential fishing mortalities, and then subsequently determine the mortality that yields the target relative escapement by inverse interpolation.

The function `icefishPr` projects forward two years for a specified range of fishing mortalities. A projection is performed for the current year and scaled to the survey data to determine the initial abundance for the following year. If the catch limit for the year has been reached the projection is done assuming zero fishing mortality (as no further fishing can occur) but if some catch allocation remains after the survey period, it is assumed the remaining allocation will be caught between the end of the survey and the start of the following year. The initial abundances are then projected forward for two years for a range of fishing mortalities, and annual summaries collated.

The model assumes a von Bertalanffy relation between length and age, and a power law relation between weight and length. The user must supply that computes fishing selectivity as a function of age.

The arguments are

- `F` - fishing mortalities to test
- `Catch` - remaining catch allocation after survey in survey year
- `surveyN` - relative numbers in each cohort from survey
- `surveyB` - biomass estimate from survey
- `surveyI` - increments (ie days of season) over which survey is taken
- `spawnI` - increments (ie days of season) over which spawning numbers and biomass are estimated
- `VB.t0`, `VB.K`, `VB.Linf` - parameters for von Bertalanffy length at age relation
- `WLa`, `WLb` - parameters for allometric weight at length relation
- `age.selectivity` - age selectivity function.
- `Fmax` - maximum allowable fishing mortality

```
icefishPr <- function(M, F, Catch=0, surveyN, surveyB, surveyI, spawnI,  
                      VB.t0=0.06671238, VB.K=0.36842178, VB.Linf=489.73706791,  
                      WLa=9.157E-10, WLb=3.316,  
                      age.selectivity=approxfun(c(0, 2.5, 3), c(0, 0, 1), rule=2),  
                      Fmax=2.5) {
```

```

## Ensure 0 included in test fishing mortalities
F <- sort(union(0,F))

## Two year projections of 10 age classes with a daily time step
n.yr <- 2
n.inc <- 365
Ages <- 1:10
Days <- seq(0,1,length=n.inc+1)

## Matrices of ages, lengths and weights for each day and age class
as <- outer(Days,Ages,FUN="+")
ls <- vonBertalanffyAL(as,t0=VB.t0,K=VB.K,Linf=VB.Linf)
ws <- powerLW(ls,a=WLa,b=WLb)

## Constant intra-annual natural mortality
ms <- matrix(1,n.inc+1,length(Ages))
Ms <- ctrapz(ms,1/n.inc)
MMs <- M*Ms

## Within year fishing mortality is determined by an age based selectivity
fs <- array(age.selectivity(as),dim(as))
Fs <- ctrapz(fs,1/n.inc)

### Projection to end of year from survey data
if(Catch>0) {
  ## Adjust with-year fishing mortality for post-survey Catch
  fs0 <- rep.int(c(0,1),c(max(surveyI),n.inc+1-max(surveyI)))
  fs0 <- fs0/trapz(fs0,1/n.inc)*fs
  Fs0 <- ctrapz(fs0,1/n.inc)
  pr0 <- projectC(ws,MMs,Fs0,fs0,Catch,surveyN,surveyI,surveyB,surveyI,yield=0,Fmax=Fmax)
  if(pr0$F==Fmax) warning("Target catch could not be recovered")
} else {
  pr0 <- project(ws,MMs,0,0,surveyN,surveyI,surveyB,surveyI,yield=0)
  pr0$F <- 0
}
SSB0 <- meanStock(pr0$B,1,spawnI)

## Annual cohort totals
d <- data.frame(Year=c(rep(0:n.yr,length(F))),F=0,Nf=0,Bf=0,Y=0,SSN=0,SSB=0,Escapement=0)
k <- 0

## Project forward for prescribed fishing mortalities.
for(Fk in F) {
  ## Reset to survey year
  pr <- pr0
  d[k <- k+1,] <- data.frame(Year=0,F=Fk,Nf=sum(final(pr$N)),Bf=sum(final(p

```

```

r$B)),Y=sum(pr$Y),
  SSN=meanStock(pr$N,1,spawnI),SSB=SSB0,Escapement=1)
  for(yr in seq_len(n.yr)) {
    ## Project
    N0 <- advance(pr$N)
    pr <- project(ws,Mms,Fk*Fs,Fk*fs,N0,yield=1)
    SSB <- meanStock(pr$B,1,spawnI)
    d[k <- k+1,] <- data.frame(Year=yr,F=Fk,Nf=sum(final(pr$N)),Bf=sum(fina
1(pr$B)),Y=sum(pr$Y),
    SSN=meanStock(pr$N,1,spawnI),SSB=SSB,Escapement=SSB/SSB0)
  }
}
d
}

```

2019 assessment

Define the reference date that sets the start of the season

```

SeasonDate <- as.Date("2018-12-01")
SurveyDate <- as.Date("2019-04-04")
surveyI <- as.numeric(SurveyDate-SeasonDate)+c(0,1)
surveyN <- c(127.106,617.426,1988.91,rep(0,7))
surveyB <- 3723.761
SpawnDate <- as.Date("2019-11-30")
spawnI <- as.numeric(SpawnDate-SeasonDate) + c(0,1)

d <- icefishPr(M=0.4,F=seq(0.11,0.20,0.001),Catch=0,surveyN,surveyB,surveyI,s
pawnI, VB.t0=0.06671238,VB.K=0.36842178,VB.Linf=489.73706791,
  WLa=1.078e-09,WLb=3.286)

d2 <- d[d$Year==2,]
d2$RelEscape <- d2$Escapement/d2$Escapement[1]
F75 <- approx(d2$RelEscape[-1],d2$F[-1],0.75)$y

d2019 <- icefishPr(M=0.4,F=c(F75),Catch=0,surveyN,surveyB,surveyI,spawnI,
  VB.t0=0.06671238,VB.K=0.36842178,VB.Linf
=489.73706791,
  WLa=1.078e-09,WLb=3.286)
d2019$Scenario<-rep(c("Grym no fishing","Grym 75 escapement"), each=3)
d2019

```

Appendix 2: *Euphausia superba* Grym assessment code

This document aims to reproduce the example presented in Constable and de la Mare (1996).

```
library(Grym)
library(ggplot2)
library(dplyr)
library(tidyr)
library(furrr)
set.seed(31) #31
```

Model

The EsuperbaProjection function returns a function that generates a single set of random projections that differ only in gamma. The projections within each set use a common random selectivity and maturity curves, virgin biomass and recruitment series, so within a set the projections only differ by the level of fishing applied. The resulting function takes a single numerical argument that is used to identify the set.

```
EsuperbaProjection <- function(gamma=c(0,0.1,0.136,0.15,0.2),n.years=20) {

  ## Daily time steps with 8 age classes
  nsteps <- 365
  Ages <- 0:7
  Days <- seq(0,1,length=nsteps+1)
  h <- 1/nsteps

  ## Spawning and monitoring interval
  spawnI <- 121:213
  monitorI <- 92

  ## Ages, Length at age and weight at age
  ages <- outer(Days,Ages,FUN="+")
  ls <- vonBertalanffyRAL(ages,t0=0.0,K=0.45,Linf=60,f0=0,f1=93/365)
  ws <- powerLW(ls,1,3)

  ## Constant intra-annual natural mortality
  ms <- matrix(1,nsteps+1,length(Ages))
  Ms <- ctrapz(ms,h)
  Msf <- final(Ms)

  ## Within year fishing pattern - season is first 90 days
  fwy <- double(nsteps+1)
  fwy[31:120] <- 1
  fwy <- fwy/trapz(fwy,h)

  B0logsd <- sqrt(log(1+0.3^2))

  ## This function performs the a projection for each prescribed gamma.
```

```

function(run) {

  ## Length based maturity and selectivity - ramp width is constant
  ## but the midpoint is selected uniformly from a range.
  gs <- rampOgive(ls,runif(1,34,40),12)
  ss <- rampOgive(ls,runif(1,38,42),10)

  ## Construct fishing mortalities from season and selectivity
  fs <- fwy*ss
  Fs <- ctrapz(fs,h)
  Fsf <- final(Fs)

  ## Uniform natural mortalities
  M <- runif(1,0.4,1.0)
  MMs <- M*Ms

  ## Uniform (log) recruitment std dev.
  sigmaR <- sqrt(log(1+runif(1,0.4,0.6)^2))

  ## Median spawning biomass estimated from 1001 samples
  R <- matrix(rlnorm(1001*length(Msf),-sigmaR^2/2,sigmaR),1001,length(Msf))
  ssb0 <- spawningB0S(R,gs,ws,Ms,M,spawn=spawnI)$median

  ## Stochastic initial age structure in the absence of fishing
  N0 <- ageStructureS(rlnorm(length(Msf),-sigmaR^2/2,sigmaR),Msf,M)

  ## Recruitment series
  Rs <- rlnorm(n.years,-sigmaR^2/2,sigmaR)

  ## Matrix of annual summaries
  n <- (1+n.years)*length(gamma)
  df <- matrix(0,n,11+ncol(ages))
  colnames(df) <- c("Year", "Gamma", "R", "N", "B", "B0", "SSN", "SSB", "SSB0", "Catch", "F", paste0("Na.", 1:ncol(ages)))

  ## Initial projection assuming no fishing
  pr0 <- project(ws,MMs,Nref=N0,yield=0)
  pr0$F <- pr0$Y <- 0

  ## Initial biomass in monitoring period + Log Normal error
  b0 <- meanStock(pr0$B,period=monitorI)
  b0 <- rlnorm(1,log(b0)-B0logsd^2/2,B0logsd)

  k <- 0
  ## Project for each gamma ratio
  for(g in gamma) {
    ## Target catch
    catch <- g*b0
  }
}

```



```

## Reset to virgin state
pr <- pr0
ssb <- spawningStock(pr$B,gs,spawnI)

for(yr in 0:n.years) {

  if(yr > 0) {
    ## Recruitment depletion
    r <- min(1,ssb/(0.2*ssb0))
    ## Project over year
    N0 <- advance(pr$N,r*Rs[yr])
    pr <- projectC(ws,MMs,Fs,fs,catch,Nref=N0,yield=1,Fmax=1.5)
    #if(pr$F==1.5) return(NULL)
  }
  ssb <- spawningStock(pr$B,gs,spawnI)

  ## Collate annual summaries
  df[k<-k+1,] <- c(yr,g,initial(pr$N)[1],sum(initial(pr$N)),sum(initial
(pr$B)),b0,
                                spawningStock(pr$N,gs,spawnI),ssb,ssb0,sum(pr$Y),pr$
F,initial(pr$N))
  }
}
data.frame(Run=run,M=M,df)
}

```

Projection

Calling `EsuperbaProjection` returns a function that generates a single “run” of the simulation

```
sim <- EsuperbaProjection()
```

The function takes a single argument that is used to label the results. Within a single call to `sim`, a common set of random maturity, selectivity, initial ages and recruitment series are used to make projections for each requested gamma.

```
df <- sim(1)
head(df)
tail(df)
```

For these parameters, the recruitment variability has greater impact than increased fishing pressure

```
library(ggplot2)
library(dplyr)
ggplot(df %>% mutate(Gamma=factor(Gamma)),aes(x=Year,y=N,colour=Gamma))+geom_
line()
```

Generate 1000 runs and bind them into one large dataframe. The runs are performed in parallel with the furrr library

```
plan(multiprocess)
#system.time(df <- future_map_dfr(1:10001,sim))
df <- future_map_dfr(1:10001,sim, .progress = TRUE)
saveRDS(df, "Esup96_sim.rds")

dat<-read.csv("./esup96/esup96.PG") #Load GYM output
names(dat)

df %>% group_by(Gamma,Run) %>% summarize(Dep=min(SSB/SSB0)) %>% summarize(Pr=
mean(Dep < 0.2)) #Grym depletion

dat %>% group_by(Test,Trial) %>% summarize(Dep=min(SSB.Status)) %>% summarize
(Pr=mean(Dep < 0.2)) #GYM depletion

#GYM Escapement
gymesc<- dat %>% group_by(Test) %>% filter(Year %in% max(Year)) %>% summaris
e(Med=median(SSB.Status))

gymtar<- gymesc %>% filter(Test==0) %>% mutate(Target=Med*0.75)

gymesc$Target<-gymtar$Target

#Grym Escapement
grymesc<- df %>% group_by(Gamma) %>% filter(Year %in% max(Year)) %>% summaris
e(Med=median(SSB/SSB0))

grymtar<- grymesc %>% filter(Gamma==0) %>% mutate(Target=Med*0.75)

grymesc$Target<-grymtar$Target

grymesc
gymesc
```

Appendix 3: *Dissostichus eleginoides* Grym assessment code

This document aims to reproduce a Toothfish assessment.

```
library(Grym)
library(ggplot2)
library(dplyr)
library(furrr)
set.seed(31)
```

Historic Data

Survey Data

Recruitment is backprojected from survey data. In this case natural mortality is assumed constant, so it suffices to backproject the recruitment once and use the same recruitment series in all projections.

Import the survey data

```
survey.df <- read.csv(textConnection("
Survey,Year,Frac,Age,Density,SE,Area,ObsTotal,ExpTotal
1,1989,0.49,3,0.01,0.01,53383.16,70.32,74.57
1,1989,0.49,4,30.56,8.96,53383.16,70.32,74.57
1,1989,0.49,5,6.83,7.13,53383.16,70.32,74.57
1,1989,0.49,6,0.01,0.01,53383.16,70.32,74.57
1,1989,0.49,7,0.01,0.01,53383.16,70.32,74.57
2,1992,0.77,3,8.01,8.97,53383.16,67.54,85.22
2,1992,0.77,4,27.06,12.9,53383.16,67.54,85.22
2,1992,0.77,5,0.01,0.01,53383.16,67.54,85.22
2,1992,0.77,6,16.8,19.26,53383.16,67.54,85.22
2,1992,0.77,7,5.66,21.84,53383.16,67.54,85.22
3,1998,0.33,3,25.85,7.63,80660.77,373.59,371.54
3,1998,0.33,4,0.01,0.01,80660.77,373.59,371.54
3,1998,0.33,5,85.13,65.51,80660.77,373.59,371.54
3,1998,0.33,6,174.83,104.99,80660.77,373.59,371.54
3,1998,0.33,7,0.01,0.01,80660.77,373.59,371.54
3,1998,0.33,8,66.34,31.68,80660.77,373.59,371.54
4,2000,0.48,3,27.32,8.31,85693.96,198.46,200.63
4,2000,0.48,4,5.8,15.56,85693.96,198.46,200.63
4,2000,0.48,5,59.59,35.74,85693.96,198.46,200.63
4,2000,0.48,6,32.98,47.78,85693.96,198.46,200.63
4,2000,0.48,7,29.64,30.16,85693.96,198.46,200.63
5,2001,0.48,3,14.4,9.37,85693.96,207.12,206.07
5,2001,0.48,4,47.26,17.19,85693.96,207.12,206.07
5,2001,0.48,5,0.01,0.01,85693.96,207.12,206.07
5,2001,0.48,6,101.72,42.56,85693.96,207.12,206.07
5,2001,0.48,7,9.3,37.05,85693.96,207.12,206.07
6,2002,0.42,3,24.57,10.36,42063.96,142.77,140.1
6,2002,0.42,4,28.16,23.4,42063.96,142.77,140.1
```

```

6,2002,0.42,5,18.55,30.15,42063.96,142.77,140.1
6,2002,0.42,6,56.89,21.35,42063.96,142.77,140.1
7,2003,0.43,3,0.01,0.01,85123.46,234.65,231.64
7,2003,0.43,4,102.51,28.86,85123.46,234.65,231.64
7,2003,0.43,5,24.19,66,85123.46,234.65,231.64
7,2003,0.43,6,54.69,74.47,85123.46,234.65,231.64
8,2004,0.43,3,0.01,0.01,85693.96,240.42,241.79
8,2004,0.43,4,0.01,0.01,85693.96,240.42,241.79
8,2004,0.43,5,168.88,29.37,85693.96,240.42,241.79
8,2004,0.43,6,20.36,29.24,85693.96,240.42,241.79
9,2005,0.47,3,0.01,0.01,85693.96,173.09,175.94
9,2005,0.47,4,52.75,11.17,85693.96,173.09,175.94
9,2005,0.47,5,0.01,0.01,85693.96,173.09,175.94
9,2005,0.47,6,99.76,18.49,85693.96,173.09,175.94"),header=T)

```

The SurveyAdjust function scales the survey densities to abundance, computes the adjustment scale abundance to recruitment based survival, and form the weighted geometric means of the estimates of recruitment.

```

SurveyAdjust <- function(survey.df,Ms,M,rec.age) {
  ## Scale density to abundance
  r <- survey.df$Area*survey.df$ObsTotal/survey.df$ExpTotal
  ab.mn <- r*survey.df$Density
  ab.se <- r*survey.df$SE

  ## Compute log survival adjustment
  inc <- ceiling((nrow(Ms)-1)*survey.df$Frac)+1
  S <- surveySurvival(survey.df$Year,survey.df$Age,inc,inc,Ms,M,rcls=rec.age)

  ## Weight rescaled abundance by 1/cv^2
  ab.wt <- (ab.mn/ab.se)^2
  ## Compute the year of "recruitment" to the target age class
  rc.yr <- survey.df$Year-survey.df$Age+rec.age
  yr <- seq.int(min(rc.yr),max(rc.yr))
  rec.yf <- factor(rc.yr,yr)
  ## Compute the weighted geometric means
  data.frame(
    Year=yr,
    Rec=exp(tapply(ab.wt*(log(ab.mn)-log(S)),rec.yf,sum)/tapply(ab.wt,rec.yf,
sum)))
}

```

Form natural mortalities and compute recruitment estimates.

```

## Constant intra-annual natural mortality
nsteps <- 24
Ages <- 4:35
Days <- seq(0,1,length=nsteps+1)
h <- 1/nsteps
ms <- matrix(1,nsteps+1,length(Ages))

```

```
Ms <- ctrapz(ms,h)
M <- 0.13
recruit.df <- SurveyAdjust(survey.df,Ms,M,4)
recruit.df
```

Catch

Import the corresponding catch data

```
catch.df <- read.csv(textConnection("
Year,Catch
1986,0
1987,0
1988,0
1989,0
1990,0
1991,0
1992,0
1993,0
1994,0
1995,3000000
1996,9044000
1997,7915000
1998,3974000
1999,4720000
2000,4984000
2001,6245000
2002,4356000
2003,3501000
2004,3048000
2005,2696000"),header=T)
```

Growth

Growth patterns are inferred from length at age data.

Import the length at age data

```
length.df <- read.csv(textConnection("
Age, Length
0, 197.56
1, 251.01
2, 307.54
3, 367.28
4, 430.40
5, 497.03
6, 547.46
7, 594.75
8, 641.07
9, 686.46
```

```

10, 730.91
11, 774.47
12, 817.13
13, 858.93
14, 899.88
15, 940.00
16, 979.29
17, 1017.79
18, 1055.51
19, 1092.46
20, 1128.65
21, 1164.11
22, 1198.85
23, 1232.88
24, 1266.22
25, 1298.88
26, 1330.87
27, 1362.22
28, 1392.92
29, 1423.00
30, 1452.47
31, 1481.34
32, 1509.62
33, 1537.33
34, 1564.47
35, 1591.06"),header=T)
length.age <- approxfun(length.df$Age,length.df$Length,rule=2)
plot(length.age,0,55)

```

Interpolation

Create an age or length based array through interpolation

```

approxArray <- function(x,y,arr,rule=2) array(approx(x,y,arr,rule=rule)$y,dim
(arr))

```

Selectivity

Selectivity varies with year, and may be length or age based. This function creates a list of selectivity matrices together with an index vector that matches year to the appropriate selectivity.

```

mkSelectivity <- function(ages,ls) {
  select5pt <- function(x) approxArray(x,c(0,0,1,1,0),ages)

  ## Age based selectivity
  ss <- list()
  ## 1986-1994 - age based selectivity
  ss[[1]] <- approxArray(x=c(0.0,4.1,4.9,5.8,7.0,8.4,9.8,13.7,14.9,16.1,17.3,
18.4),

```

```

y=c(0.0,0.0,0.14,0.5,0.8,0.9,1.0,1.0,0.9,0.85,0.4,0.
3),
      ages)
## 1995 - Length based selectivity
ss[[2]] <- ramp0give(ls,670,250)
## 1996 - age based selectivity
ss[[3]] <- select5pt(c(0.0,5.8,7.0,8.2,8.4))
## 1997 - age based selectivity
ss[[4]] <- select5pt(c(0.0,4.9,5.8,11.1,13.7))
## 1998 - age based selectivity
ss[[5]] <- select5pt(c(0.0,5.3,5.8,14.9,17.3))
## 1999-2004 - age based selectivity
ss[[6]] <- select5pt(c(0.0,4.1,8.4,16.1,17.3))

## Year 1 = 1986
list(index=setNames(c(rep(1,9),2:5,rep(6,6),1),1986:2005),ss=ss)
}

```

Model

The ToothfishProjection function returns a function that generates a single set of random projections that differ only in target catch. The projections within each set use a common random selectivity and maturity curves, virgin biomass and recruitment series, so within a set the projections only differ by the level of fishing applied. The resulting function takes a single numeric argument that is used to identify the set.

The arguments are

- Catches - the catch targets to test
- Catch.df - dataframe of historic catches - this must contain the catches for each year from the earliest modelled year upto the first projected year, ordered by year.
- Recruit.df - dataframe of recruitment estimates from survey data.
- Length.df - dataframe of length at age data.
- n.years - the number of years to project ahead
- Year1 - the earliest modelled year.

```

ToothfishProjection <- function(Catches,catch.df,recruit.df,length.df,n.years
=35,Year1=min(catch.df$Year)) {

```

```

  ## Daily time steps with 8 age classes
  nsteps <- 24
  Ages <- 4:35
  plus <- 55-35
  Days <- seq(0,1,length=nsteps+1)
  h <- 1/nsteps

  ## Spawning and monitoring interval
  spawnI <- 14:15
  monitorI <- 1:25

```

```

## Ages, length at age and weight at age
ages <- outer(Days,Ages,FUN="+")
ls <- approxArray(length.df$Age,length.df$Length,ages)
ws <- powerLW(ls,2.59E-9,3.2064)

## Build selectivity matrices
sel <- mkSelectivity(ages,ls)
current.sel <- -1

## Constant intra-annual natural mortality
ms <- matrix(1,nsteps+1,length(Ages))
Ms <- ctrapz(ms,h)
Msf <- final(Ms)
M <- 0.13
MMs <- M*Ms

## Length based maturity
gs <- rampOgive(ls,930,300)

## Within year fishing pattern
fwy <- double(nsteps+1)
fwy[] <- 1
fwy <- fwy/mean(fwy)

## Calculate recruitment parameters from historic data
## By method of moments
rmn <- mean(recruit.df$Rec,na.rm=TRUE)
rsd <- sd(recruit.df$Rec,na.rm=TRUE)
rmn <- 3016520
rsd <- 1.62693*rmn
rlsd <- sqrt(log(1+(rsd/rmn)^2))
rlmn <- log(rmn)-rlsd^2/2
## By maximum likelihood
#rlmn <- mean(log(recruit.df$Rec))
#rlsd <- sd(log(recruit.df$Rec))

## Drop missing and out of range recruitment estimates
recruit.df <- recruit.df[(recruit.df$Year %in% seq(Year1,length.out=nrow(catch.df)+n.years)) &
                          !is.na(recruit.df$Rec),]

## This function performs a projection for each prescribed gamma.
function(run) {

  ## Median spawning biomass estimated from 1000 samples
  R <- matrix(rlnorm(1000*(length(Msf)+plus),rlmn,rlsd),1000,length(Msf)+plus)

  ssb0 <- spawningB0S(R,gs,ws,Ms,M,spawn=spawnI,plus=TRUE)

```



```

## Stochastic initial age structure in the absence of fishing
N0 <- ageStructureS(rlnorm(length(Msf)+plus,r1mn,r1sd),Msf,M,plus=TRUE)
## Initial projection assuming no fishing
pr <- project(ws,MMs,Nref=N0,yield=0)
pr$F <- pr$Y <- 0

## Recruitment series - Log Normal + known from survey
Rs <- rlnorm(nrow(catch.df)+n.years,r1mn,r1sd)
Rs[recruit.df$Year-Year1+1] <- recruit.df$Rec

## Annual summary quantities
n <- nrow(catch.df)+n.years*length(Catches)
Test<-rep(Catches, each=n/length(Catches))
Year <- integer(n)
Target <- R <- N <- B <- SSN <- SSB <- Catch <- F <- double(n)
k <- 1

## Project over historic period
for(yr in 1:nrow(catch.df)) {

  ## Recompute fishing mortality when selectivity changes
  if(sel$index[yr]!=current.sel) {
    current.sel <- sel$index[yr]
    ss <- sel$ss[[current.sel]]
    fs <- fwy*ss
    Fs <- ctrapz(fs,h)
  }

  ## Project over year
  Year[k] <- catch.df$Year[yr]
  Target[k] <- catch.df$Catch[yr]
  R[k] <- Rs[yr]
  N0 <- advance(pr$N,R[k],plus=TRUE)
  pr <- projectC(ws,MMs,Fs,fs,Target[k],Nref=N0,yield=1,Fmax=5,tol=1.0E-8
)

  ## Collate annual summaries
  N[k] <- sum(initial(pr$N))
  B[k] <- sum(initial(pr$B))
  SSN[k] <- spawningStock(pr$N,gs,spawnI)
  SSB[k] <- spawningStock(pr$B,gs,spawnI)
  Catch[k] <- sum(pr$Y)
  F[k] <- pr$F
  k <- k+1
}

## Record pre-projection state
pr0 <- pr

```

```

## Set projection selectivity
ss <- sel$ss[[1]]
fs <- fwy*ss
Fs <- ctrapz(fs,h)

## Project for each catch
for(catch in Catches) {
  ## Reset to pre-projection state
  pr <- pr0

  for(yr in seq(nrow(catch.df)+1,length.out = n.years)) {
    ## Project over year
    N0 <- advance(pr$N,Rs[yr],plus=TRUE)
    pr <- projectC(ws,MMs,Fs,fs,catch,Nref=N0,yield=1,Fmax=5,tol=1.0E-8)
    #if(pr$F==5) return(NULL)

    ## Collate annual summaries
    Year[k] <- yr+Year1-1
    Target[k] <- catch
    R[k] <- Rs[yr]
    N[k] <- sum(initial(pr$N))
    B[k] <- sum(initial(pr$B))
    SSN[k] <- spawningStock(pr$N,gs,spawnI)
    SSB[k] <- spawningStock(pr$B,gs,spawnI)
    Catch[k] <- sum(pr$Y)
    F[k] <- pr$F
    k <- k+1
  }
}
data.frame(Run=run,M=M,Year=Year,Target=Target,
           R=R,N=N,B=B,SSN=SSN,SSB=SSB,SSB0=ssb0$median,Catch=Catch,F=F)
}

```

Projection

Calling `ToothfishProjection` returns a function that generates a single “run” of the simulation

```

sim <- ToothfishProjection(Catches=c(0,2.8e6,2.84e6,2.85e6,2.9e6,3e6,3.2e6),
                           catch.df=catch.df,recruit.df=recruit.df,length.df=
length.df)

```

The function takes a single argument that is used to label the results. Within a single call to `sim`, a common set of random maturity, selectivity, initial ages and recruitment series are used to make projections for each requested target catch.

```
df <- sim(1)
head(df)
tail(df)
```

For these parameters, the recruitment variability has greater impact than increased fishing pressure

```
ggplot(df %>% filter(Year > 2005), aes(x=Year, y=N, colour=factor(Target))) + geom_line()
```

Generate 10001 runs and bind them into one large dataframe. The runs are performed in parallel with the furrr library

```
plan(multiprocess)
system.time(df <- future_map_dfr(1:10001, sim))
```

```
saveRDS(df, "TOP_df_output.rds")
```

#Spawning Stock Status escapement at quantiles

```
grymssStatus <- df %>%
  filter(Year == 2040) %>%
  mutate(Status = SSB/SSB0) %>%
  group_by(Target/1000) %>%
  summarise(q2.5 = quantile(Status, 0.025),
            q45 = quantile(Status, 0.45),
            q50 = quantile(Status, 0.50),
            q55 = quantile(Status, 0.55),
            q97.5 = quantile(Status, 0.975),
            Median = median(Status)) %>%
  mutate(Model = "Grym")
```

```
grymssStatus
```

#Spawning Stock Status depletion

```
grymdep <- df %>%
  filter(Year > 2006) %>%
  group_by(Target, Run) %>%
  summarize(Status = min(SSB/SSB0)) %>%
  summarise(Depletion_prob = mean(Status <= 0.2)) %>%
  mutate(Model = "Grym")
```

```
grymdep
```