More Tic-Tac-Toe and a Simple Robot Arm

For this assignment, you will use the reinforcement learning algorithm, Q learning, with a neural network to approximate the Q function. You will apply this to the game Tic-Tac-Toe and to the control of a simple robot arm.

Most of the code is provided. You are asked to make specific modifications and find parameter values that result in good performance on these tasks. The two tasks will probably require different parameter values.

Download necessary code from ttt_arm.zip.

```
%load_ext autoreload
%autoreload 2
import numpy as np
import matplotlib.pyplot as plt
from IPython.display import display, clear_output
import pandas as pd
import pickle
```

Tic Tac Toe

```
import tictactoe
class Game:
    def init (self, environment, agents):
        self.env = environment
        self.agents = agents
    def train(self, parms, verbose=True):
        n batches = parms['n batches']
        n games per batch = parms['n games per batch']
        n epochs = parms['n epochs']
        method = parms['method']
        learning_rate = parms['learning_rate']
        epsilon = parms['initial epsilon']
        final epsilon = parms['final_epsilon']
        ttt = self.env
        epsilon decay = np.exp((np.log(final epsilon) -
np.log(epsilon)) / (n batches)) # to produce this final value
        epsilon trace = []
```

```
outcomes = []
        for batch in range(n batches):
            agents['X'].clear samples()
            agents['0'].clear samples()
            for gamei in range(n_games_per_batch):
                ttt.initialize()
                done = False
                while not done:
                    agent = agents[ttt.player]
                    obs = ttt.observe()
                    if len(self.env.valid actions()) == 9:
                        action =
np.random.choice(self.env.valid_actions())
                        # print('picked random action at start of
game')
                        action = agent.epsilon greedy(epsilon)
                        # print('picked best action')
                    ttt.act(action)
                    r = ttt.reinforcement()
                    done = ttt.terminal state()
                    agent.add sample(obs, action, r, done)
                outcomes.append(r)
            # end n trials per batch
            self.agents['X'].train(n epochs, method, learning rate)
            self.agents['0'].train(n epochs, method, learning rate)
            epsilon trace.append(epsilon)
            epsilon *= epsilon decay
            if verbose and (len(outcomes) % ((n batches *
n games per batch) // 20) == 0):
                print(f'{len(outcomes)}/{n batches *
n games per batch} games, {np.mean(outcomes):.2f} outcome mean')
        if verbose:
            plt.subplot(3, 1, 1)
            n per = 10
            n bins = len(outcomes) // n per
            outcomes binned = np.array(outcomes).reshape(-1, n per)
            avgs = outcomes binned.mean(1)
```

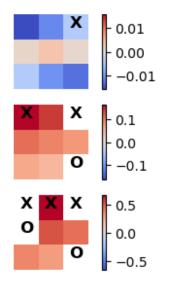
```
xs = np.linspace(n per, n per * n bins, len(avgs))
            plt.plot(xs, avgs)
            plt.axhline(y=0, color='orange', ls='--')
            plt.ylabel('R')
            plt.subplot(3, 1, 2)
            plt.plot(xs, np.sum(outcomes binned == -1, axis=1), 'r-',
label='0 Wins')
            plt.plot(xs, np.sum(outcomes binned == 0, axis=1), 'b-',
label='Draws')
            plt.plot(xs, np.sum(outcomes binned == 1, axis=1), 'g-',
label='X Wins')
            plt.legend(loc='center')
            plt.ylabel(f'Number of Games\nin Bins of {n per:d}')
            plt.subplot(3, 1, 3)
            plt.plot(epsilon trace)
            plt.ylabel(r'$\epsilon$')
        return outcomes, epsilon trace
    def play_game(self, epsilon=0.0, verbose=True):
        ttt = self.env
        agents = self.agents
        ttt.initialize()
        while True:
            agent = agents[ttt.player]
            obs = ttt.observe()
            if len(ttt.valid actions()) == 9:
                action = agent.epsilon greedy(epsilon=1.0)
            else:
                action = agent.epsilon greedy(epsilon)
            ttt.act(action)
            if verbose:
                print(ttt)
            if ttt.terminal state():
                return ttt.reinforcement()
    def play game show Q(self, epsilon=0.0):
        ttt = self.env
        agents = self.agents
        step = 0
        ttt.initialize()
        while True:
            agent = agents[ttt.player]
            obs = ttt.observe()
            actions = ttt.valid actions()
```

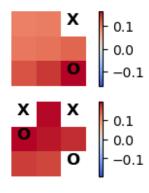
```
if len(ttt.valid actions()) == 9:
                action = agent.epsilon greedy(epsilon=1.0)
            else:
                action = agent.epsilon greedy(epsilon)
            ttt.act(action)
            step += 1
            plt.subplot(5, 2, step)
            Qs = np.array([agent.use(np.hstack((obs, a))) for a in
actions1)
            board image = np.array([np.nan] * 9)
            for Q, a in zip(Qs, actions):
                board image[a] = Q[0, 0]
            board image = board image.reshape(3, 3)
            maxmag = np.nanmax(np.abs(board image))
            plt.imshow(board image, cmap='coolwarm', vmin=-maxmag,
vmax=maxmaq)
            plt.colorbar()
            obs = ttt.observe()
            i = -1
            for row in range(3):
                for col in range(3):
                    i += 1
                    if obs[i] == 1:
                        plt.text(col, row, 'X', ha='center',
                                  fontweight='bold', fontsize='large',
color='black')
                    elif obs[i] == -1:
                        plt.text(col, row, '0', ha='center',
                                  fontweight='bold', fontsize='large',
color='black')
            plt.axis('off')
            if ttt.terminal state():
                break
        plt.tight layout()
ttt = tictactoe.TicTacToe()
nh = [10]
agents = {'X': tictactoe.QnetAgent(ttt, nh, 'max'),
          '0': tictactoe.QnetAgent(ttt, nh, 'min')}
game = Game(ttt, agents)
game.play game(0)
     |X|
     I I
```

```
|X|
     - - - - - -
     |0|
      |X|X
     -----
     |0|
    0||
     |X|X
    |0|
    0||
    |X|X
    X|0|
    0|0|
    |X|X
    X|0|
    0|0|
    X|X|X
    ----
    X|0|
1
previous_best = -np.inf
results = []
for nb in [100, 500]:
    for ng in [5, 10, 20]:
         for ne in [2, 5, 10, 20]:
for nh in [ [], [50], [50, 50, 50] ]:
                  parms = {
                       'n batches': nb,
                       'n_games_per_batch': ng,
                       'n_epochs': ne,
'method': 'scg',
```

```
'learning rate': 0.01,
                      'initial epsilon': 1.0,
                      'final_epsilon': 0.01,
                      'gamma': 1.0
                 }
                 agents = {'X': tictactoe.QnetAgent(ttt, nh, 'max'),
                            '0': tictactoe.QnetAgent(ttt, nh, 'min')}
                 game = Game(ttt, agents)
                 outcomes, _ = game.train(parms, verbose=False)
                 mean outcomes = np.mean(outcomes)
                 results.append([nh, nb, ng, ne, mean outcomes])
                 clear output()
                 df = pd.DataFrame(results,
                                     columns=('hiddens', 'batches',
'games',
                                               'epochs', 'mean r'))
                 print(df.sort values(by='mean r', ascending=False))
                 if mean outcomes > previous best:
                     previous best = mean outcomes
                     with open('best_ttt_agents.pkl', 'wb') as f:
                          pickle.dump(agents, f)
         hiddens
                   batches
                                    epochs
                             games
                                             mean r
41
    [50, 50, 50]
                        500
                                 5
                                          5
                                             0.3980
22
             [50]
                        100
                                10
                                         20
                                             0.3970
10
             [50]
                        100
                                 5
                                         20
                                             0.3920
58
             [50]
                        500
                                10
                                         20
                                             0.3716
                                20
32
    [50, 50, 50]
                        100
                                         10
                                             0.3705
55
             [50]
                        500
                                10
                                         10
                                            0.3696
56
    [50, 50, 50]
                        500
                                10
                                            0.3644
                                         10
23
                        100
                                            0.3500
    [50, 50, 50]
                                10
                                         20
19
             [50]
                        100
                                10
                                         10
                                            0.3490
                                 5
44
    [50, 50, 50]
                        500
                                         10
                                            0.3472
47
    [50, 50, 50]
                                 5
                        500
                                         20
                                             0.3376
38
    [50, 50, 50]
                        500
                                 5
                                          2
                                             0.3320
7
                                 5
                                             0.3300
                        100
                                         10
             [50]
20
    [50, 50, 50]
                        100
                                10
                                         10
                                             0.3290
43
                        500
                                 5
             [50]
                                         10
                                            0.3280
                                 5
3
               []
                        100
                                          5
                                             0.3240
46
                        500
                                 5
             [50]
                                         20
                                            0.3208
26
    [50, 50, 50]
                                20
                                          2
                                             0.3175
                        100
2
    [50, 50, 50]
                        100
                                 5
                                          2
                                             0.3160
35
    [50, 50, 50]
                        100
                                20
                                         20
                                            0.3045
    [50, 50, 50]
53
                        500
                                10
                                          5
                                             0.3002
11
    [50, 50, 50]
                        100
                                 5
                                         20 0.3000
34
             [50]
                        100
                                20
                                         20
                                            0.2985
24
                        100
                                20
                                          2
                                             0.2950
               []
29
    [50, 50, 50]
                        100
                                20
                                             0.2905
```

```
16
              [50]
                         100
                                  10
                                            5
                                                0.2890
49
              [50]
                                            2
                                                0.2856
                         500
                                  10
                                            5
5
    [50, 50, 50]
                         100
                                   5
                                                0.2820
52
                                            5
                                  10
              [50]
                         500
                                                0.2760
                                            5
28
              [50]
                         100
                                  20
                                                0.2735
                                            5
40
              [50]
                         500
                                   5
                                                0.2728
50
                                            2
    [50, 50, 50]
                         500
                                  10
                                                0.2696
8
    [50, 50, 50]
                         100
                                   5
                                                0.2660
                                           10
                                   5
4
                         100
                                            5
                                                0.2600
              [50]
                                            2
14
    [50, 50, 50]
                         100
                                  10
                                                0.2590
42
                         500
                                   5
                                           10
                                                0.2440
                []
                                   5
37
              [50]
                         500
                                            2
                                                0.2424
21
                         100
                                  10
                                                0.2400
                []
                                           20
39
                         500
                                   5
                                            5
                                                0.2348
                []
1
              [50]
                         100
                                   5
                                            2
                                                0.2340
                                            5
15
                         100
                                  10
                                                0.2300
                []
                                            2
48
                []
                         500
                                  10
                                                0.2210
36
                                            2
                         500
                                   5
                                                0.2208
                []
                                            5
17
    [50, 50, 50]
                         100
                                  10
                                                0.2170
31
                         100
                                  20
                                                0.2150
              [50]
                                           10
                         100
                                   5
0
                []
                                            2
                                                0.2120
54
                         500
                                  10
                                                0.2084
                []
                                           10
30
                []
                         100
                                  20
                                           10
                                                0.1995
33
                         100
                                  20
                []
                                           20
                                                0.1975
57
                []
                         500
                                  10
                                           20
                                                0.1970
27
                                  20
                []
                         100
                                            5
                                                0.1960
45
                []
                         500
                                   5
                                           20
                                                0.1928
51
                []
                         500
                                  10
                                            5
                                                0.1910
12
                         100
                                            2
                                                0.1860
                []
                                  10
25
                                            2
              [50]
                         100
                                  20
                                                0.1830
9
                         100
                                   5
                                                0.1800
                []
                                           20
18
                                                0.1760
                         100
                                  10
                Π
                                           10
13
                         100
              [50]
                                  10
                                            2
                                                0.1740
                []
                         100
                                   5
                                                0.1740
                                           10
with open('best ttt agents.pkl', 'rb') as f:
    agents = pickle.load(f)
ttt = agents['X'].env
game = Game(ttt, agents)
rs = []
for n games in range(100):
    rs.append(game.play game(epsilon=0.05, verbose=False))
print(f'mean of final outcomes {np.mean(rs)}')
game.play game show Q()
```





Robot

```
import numpy as np
import matplotlib.pyplot as plt
from IPython.display import display, clear_output
import pandas as pd
import pickle
import robot
class Experiment:
    def init (self, environment, agent):
        self.env = environment
        self.agent = agent
    def train(self, parms, verbose=True):
        n batches = parms['n batches']
        n_steps_per_batch = parms['n_steps_per_batch']
        n_epochs = parms['n_epochs']
        method = parms['method']
        learning_rate = parms['learning_rate']
        epsilon = parms['initial epsilon']
        final_epsilon = parms['final_epsilon']
        gamma = parms['gamma']
        env = self.env
        epsilon decay = np.exp((np.log(final epsilon) -
np.log(epsilon))/ (n_batches)) # to produce this final value
        epsilon trace = []
```

```
outcomes = []
        for batch in range(n batches):
            agent.clear samples()
            env.initialize()
            sum rs = 0
            for step in range(n steps per batch):
                obs = self.env.observe()
                action = agent.epsilon greedy(epsilon)
                env.act(action)
                r = env.reinforcement()
                sum rs += r
                done = step == n steps per batch - 1
                agent.add sample(obs, action, r, done)
            outcomes.append(sum_rs / n_steps_per_batch)
            self.agent.train(n epochs, method, learning rate, gamma)
            epsilon trace.append(epsilon)
            epsilon *= epsilon decay
            if verbose and (len(outcomes) % (n batches // 20) == 0):
                print(f'{len(outcomes)}/{n batches} batches,
{np.mean(outcomes):.4f} outcome mean')
        if verbose:
            plt.figure(1)
            plt.clf()
            plt.subplot(2, 1, 1)
            n per = 10
            n bins = len(outcomes) // n per
            outcomes binned = np.array(outcomes).reshape(-1, n per)
            avgs = outcomes binned.mean(1)
            xs = np.linspace(n per, n per * n bins, len(avgs))
            plt.plot(xs, avgs)
            plt.axhline(y=0, color='orange', ls='--')
            plt.ylabel('R')
            plt.subplot(2, 1, 2)
            plt.plot(epsilon trace)
            plt.ylabel(r'$\epsilon$')
            #plt.pause(0.1)
        return outcomes # , epsilon trace
```

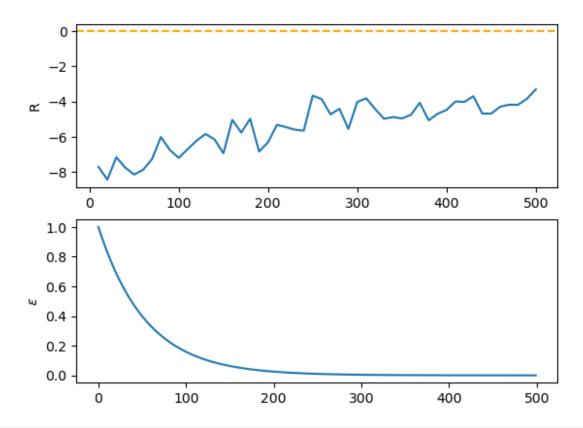
```
def test(self, n trials, n steps, epsilon=0.0, graphics=True):
        if graphics:
            fig = plt.figure(figsize=(10, 10))
        robot = self.env
        sum_rs = 0
        for trial in range(n trials):
            robot.initialize()
            agent = self.agent
            points = np.zeros((n steps, robot.n links + 1, 2))
            actions = np.zeros((n_steps, robot.n_links))
            Q values = np.zeros((n steps))
            for i in range(n steps):
                action = agent.epsilon greedy(epsilon)
                Q = agent.use(np.hstack((robot.observe(), action)))
                self.env.act(action)
                sum rs += self.env.reinforcement()
                points[i] = robot.points
                actions[i] = action
                Q \text{ values}[i] = Q[0, 0]
            if graphics:
                Q \min, Q \max = np.\min(Q \text{ values}), np.\max(Q \text{ values})
                print(Q_min, Q_max)
                for i in range(n steps):
                     fig.clf()
                     plt.scatter(robot.goal[0], robot.goal[1], s=40,
c='blue')
                     action = actions[i]
                     robot.set points(points[i])
                     robot.draw() # alpha=(Q values[i] - Q min) /
(Q \max - Q \min))
                     clear output(wait=True)
                     display(fig)
                clear output(wait=True)
        return sum rs / (n trials * n steps)
robbie = robot.Robot()
robbie.set goal([5., 5.])
agent = robot.QnetAgent(robbie, [100, 100, 100])
experiment = Experiment(robbie, agent)
import pandas as pd
previous best = -np.inf
results = []
```

```
for nb in [100, 200, 5000]:
    for ns in [50, 100]:
        for ne in [5, 10]:
            for nh in [ [], [50], [50, 50] ]:
                parms = {
                     'n batches': nb,
                     'n_steps_per_batch': ns,
                     'n_epochs': ne,
                     'method': 'scg',
                     'learning rate': 0.01,
                     'initial epsilon': 1.0,
                     'final epsilon': 0.001,
                     'gamma': 1.0
                agent = robot.QnetAgent(robbie, nh)
                experiment = Experiment(robbie, agent)
                outcomes = experiment.train(parms, verbose=False)
                 results.append([nh, nb, ns, ne, outcomes[-1]])
                 clear output()
                df = pd.DataFrame(results,
                                    columns=('hiddens', 'batches',
'steps',
                                              'epochs', 'dist'))
                print(df.sort values(by='dist', ascending=False))
                if outcomes[-1] > previous_best:
                     previous best = outcomes[-1]
                     with open('best robot agent.pkl', 'wb') as f:
                         pickle.dump(agent, f)
                print()
     hiddens
              batches
                       steps
                               epochs
                                             dist
13
        [50]
                   200
                           50
                                     5
                                        -1.814330
                                    10
17
    [50, 50]
                   200
                           50
                                        -4.569909
1
        [50]
                   100
                           50
                                    5
                                        -5.063843
16
        [50]
                   200
                           50
                                    10
                                        -5.805537
5
    [50, 50]
                   100
                           50
                                    10
                                        -5.866438
4
        [50]
                   100
                           50
                                    10
                                        -7.114635
18
          []
                   200
                          100
                                     5
                                        -7.848883
                                     5
7
        [50]
                                        -7.996590
                   100
                          100
                                     5
6
          []
                   100
                          100
                                        -8.317250
                                     5
0
                           50
          []
                   100
                                        -8.321376
3
          []
                           50
                                        -8.546975
                   100
                                    10
10
        [50]
                   100
                          100
                                    10
                                        -8.749536
                                    5
12
          []
                   200
                           50
                                        -8.816075
    [50, 50]
                                    5
2
                   100
                           50
                                        -8.881165
15
          []
                   200
                           50
                                        -9.246151
                                    10
9
          []
                   100
                          100
                                    10
                                        -9.302054
```

```
11 [50, 50]
                  100
                         100
                                  10 -10.096282
    [50, 50]
                  100
                         100
                                   5 -10.254745
8
14 [50, 50]
                  200
                          50
                                   5 -10.688630
KeyboardInterrupt
                                          Traceback (most recent call
last)
Cell In[11], line 23
     20 agent = robot.QnetAgent(robbie, nh)
     21 experiment = Experiment(robbie, agent)
---> 23 outcomes = experiment.train(parms, verbose=False)
     24 results.append([nh, nb, ns, ne, outcomes[-1]])
     25 clear output()
Cell In[2], line 35, in Experiment.train(self, parms, verbose)
     32 for step in range(n steps per batch):
            obs = self.env.observe()
---> 35
            action = agent.epsilon greedy(epsilon)
     37
            env.act(action)
     38
            r = env.reinforcement()
File ~/public html/cs545/notebooks/tmp/robot.py:119, in
QnetAgent.epsilon greedy(self, epsilon)
    117
            np.random.shuffle(actions)
    118
            obs = self.env.observe()
--> 119
            Qs = np.array([self.use(np.hstack((obs, a))) for a in
actions1)
    120
            action = actions[np.argmax(Qs)] # Minimize sum of
distances to goal
    121 return action
File ~/public html/cs545/notebooks/tmp/robot.py:126, in
QnetAgent.use(self, X)
    124 if X.ndim == 1:
           X = X.reshape(1, -1)
    125
--> 126 return self.Qnet.use(X)
File ~/public html/cs545/notebooks/tmp/neuralnetworksA4.py:410, in
NeuralNetwork.use(self, X)
    408 # Standardize X
    409 X = (X - self.X means) / self.X stds
--> 410 Zs = self. forward(X)
    411 # Unstandardize output Y before returning it
    412 return Zs[-1] * self.T stds + self.T means
File ~/public html/cs545/notebooks/tmp/neuralnetworksA4.py:301, in
NeuralNetwork. forward(self, X)
    298 # Append output of each layer to list in self.Zs, then return
```

```
it.
    300 for W in self.Ws[:-1]: # forward through all but last layer
--> 301
            self.Zs.append(np.tanh(self._add_ones(self.Zs[-1]) @ W))
    302  last W = self.Ws[-1]
    303 self.Zs.append(self._add_ones(self.Zs[-1]) @ last W)
File ~/public html/cs545/notebooks/tmp/neuralnetworksA4.py:282, in
NeuralNetwork. add ones(self, X)
    281 def _add_ones(self, X):
        return np.insert(X, 0, 1, 1)
--> 282
File
~/anaconda3/lib/python3.12/site-packages/numpy/lib/function base.py:53
69, in insert(arr, obj, values, axis)
   5365 def insert dispatcher(arr, obj, values, axis=None):
            return (arr, obj, values)
   5366
-> 5369 @array function dispatch( insert dispatcher)
   5370 def insert(arr, obj, values, axis=None):
   5371
   5372
            Insert values along the given axis before the given
indices.
   5373
   (\ldots)
   5456
            11 11 11
   5457
   5458
            wrap = None
KeyboardInterrupt:
with open('best robot agent.pkl', 'rb') as f:
    agent = pickle.load(f)
robbie = agent.env
experiment = Experiment(robbie, agent)
mean r = experiment.test(n trials=10, n steps=100, epsilon=0.0,
graphics=False)
print(f'mean of reinforcements {mean r:.3f}')
mean of reinforcements -2.424
parms = {
    'n batches': 500,
    'n steps per batch': 50,
    'n epochs': 5,
    'method': 'scg',
    'learning rate': 0.01,
    'initial epsilon': 1.0,
    'final epsilon': 0.0001,
    'gamma': 1.0
```

```
}
agent = robot.QnetAgent(robbie, [50])
experiment = Experiment(robbie, agent)
outcomes = experiment.train(parms)
25/500 batches, -7.9906 outcome mean
50/500 batches, -7.8550 outcome mean
75/500 batches, -7.6936 outcome mean
100/500 batches, -7.4452 outcome mean
125/500 batches, -7.2722 outcome mean
150/500 batches, -7.0897 outcome mean
175/500 batches, -6.8215 outcome mean
200/500 batches, -6.7659 outcome mean
225/500 batches, -6.6292 outcome mean
250/500 batches, -6.4414 outcome mean
275/500 batches, -6.2562 outcome mean
300/500 batches, -6.1209 outcome mean
325/500 batches, -5.9951 outcome mean
350/500 batches, -5.9060 outcome mean
375/500 batches, -5.8354 outcome mean
400/500 batches, -5.7448 outcome mean
425/500 batches, -5.6290 outcome mean
450/500 batches, -5.5753 outcome mean
475/500 batches, -5.5057 outcome mean 500/500 batches, -5.4142 outcome mean
```



experiment.test(10, 100, epsilon=0.0, graphics=True)
-3.4894459784287455

