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July 25, 2017

#### **Abstract**

A common problem of RNA-Seq experiments is the normalization of different samples, especially when they were also treated differently. A special case is the 4sU-labeling followed by deep-sequencing, where two libraries are generated from each RNA fraction: First, in one part of the fraction, the 4sU-labeled RNAs are pulled down and gives the labeled ("L") fraction of the experiment for library preparation. Second, the total RNA (labeled and unlabeled, "T") is used for the preparation of another RNA-Seq library. As the RNA amount for library preparation is usually stringent, the sequencing results do not reflect reality. Here, we have to account for the sequencing depth of different samples and especially adjust the ratio of Labeled to Total RNA-Seq libraries. Additionally, the labeled RNA extraction is not perfect and also a little bit of unlabeled RNA may contaminate the labeled fraction. As the gene-expression also always varies a little bit for biological samples, read counts from real genes might lead to confusing estimations. Therefore, we apply our normalization approach only to artificial spike-ins from the External RNA Control Consortium (ERCC), for which the initial amount of each spike-in is known and the same across all samples. Some of the spike-ins were 4sU-labeled by in-vitro transcription, so the cross-contamination of unlabeled spike-ins in labeled samples can be monitored. The goal of this package is to reliably estimate sequencing depths and cross-contamination rates per sample, given 4sU- and total RNA-Seq data.

rCube version: 1.1.0

If you use rCube in published research, please cite:

L. Wachutka, C. Demel, J. Gageur:

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### 1 Background

As described in .... 4sU-seq allows to monitor changes in the RNA metabolism. If cells are exposed to 4sU, they rapidly take up this Uridine analog and incorporate it into newly-synthesized RNAs. This way, newly-synthesized RNAs are labeled and can be extracted from the total RNA in the sample. The longer the labeling time, e.i. the time from 4sU addition to harvesting the cells, the bigger is the proportion of labeled RNAs among all RNAs.

## 2 Getting started

This vignette provides a pipeline how to... starting from BAM files... You will learn how to estimate sample specific sequencing depths and cross-contamination rates from spike-in counts. These values can be used to normalize gene expression values obtained by RNA-Seq and thus estimate gene-specific synthesis and degradation rates. By extracting reads spanning junctions, splicing times can be estimated. For more robust estimation, multiple samples with different labeling times are taken into account. Before starting, the package must be loaded by:

```
library("rCube")
```

#### 2.1 Input Data

The rCube package works on rCubeExperiment containers, that rely on the SummerizedExperiment class. The rowRanges of the rCubeExperiment is a GRanges object of features, for which RNA rates should be estimated. Experimental sample information can be either provided by a design matrix or this information can be extracted from the BAM-file names (when they fulfil the required structure). Then, an empty rCubeExperiment, e.g. for the artificial spike-ins can be constructed as follows:

The setupExperiment can be used analogically for genes/exons/introns/junctions. Here, only the rows and either designMatrix or files has to be set. See also section refCounting.

The individual information from the rCubeExperiment can be assessed by:

```
# feature information
rowRanges(spikeinCounts)
## GRanges object with 6 ranges and 9 metadata columns:
##
             segnames
                         ranges strand |
                                                            type
##
                <Rle> <IRanges> <Rle> |
                                             <factor>
                                                        <factor> <numeric>
##
      Spike2
                chrS2 [1, 982]
                                    + | Fruehauf2013 transcript
                                                                      <NA>
##
               chrS12 [1, 947]
                                   + | Fruehauf2013 transcript
     Spike12
```

```
##
      Spike4
                chrS4 [1, 1011]
                                      + | Fruehauf2013 transcript
                                                                         <NA>
##
      Spike5
                chrS5 [1, 1012]
                                      + | Fruehauf2013 transcript
                                                                         <NA>
##
      Spike8
                chrS8 [1, 1076]
                                      + | Fruehauf2013 transcript
                                                                          <NA>
##
                chrS9 [1, 1034]
                                      + | Fruehauf2013 transcript
      Spike9
                                                                         <NA>
##
                 phase
                            gene_id transcript_id
                                                      length labelingState
                                                                   <factor>
##
             <integer> <character>
                                      <character> <numeric>
##
      Spike2
                  <NA>
                             Spike2
                                            Spike2
                                                        1023
                                                                       TRUE
##
     Spike12
                  <NA>
                            Spike12
                                           Spike12
                                                        1023
                                                                      FALSE
##
      Spike4
                  <NA>
                             Spike4
                                            Spike4
                                                        1033
                                                                       TRUE
##
      Spike5
                  <NA>
                             Spike5
                                            Spike5
                                                        1042
                                                                      FALSE
##
      Spike8
                  <NA>
                             Spike8
                                            Spike8
                                                        1124
                                                                       TRUE
##
      Spike9
                  <NA>
                             Spike9
                                            Spike9
                                                        1061
                                                                      FALSE
##
             labeledSpikein
##
                  <logical>
      Spike2
##
                       FALSE
##
     Spike12
                       FALSE
                       FALSE
##
      Spike4
##
      Spike5
                       FALSE
##
                       FALSE
      Spike8
                       FALSE
##
      Spike9
##
##
     seqinfo: 6 sequences from an unspecified genome; no seqlengths
# sample information
colData(spikeinCounts)
## DataFrame with 8 rows and 5 columns
##
             sample condition
                                     LT labelingTime replicate
##
           <factor> <factor> <factor>
                                            <numeric>
                                                       <factor>
## A_L_5_1 A_L_5_1
                            Α
                                      L
                                                    5
                                                               1
## A_L_5_2 A_L_5_2
                                      L
                                                    5
                                                               2
                             Α
                                                    5
                            В
                                      L
                                                               1
## B_L_5_1 B_L_5_1
## B_L_5_2 B_L_5_2
                             В
                                                    5
                                                               2
                                      L
## A_T_5_1 A_T_5_1
                             Α
                                      Τ
                                                    5
                                                               1
## A_T_5_2 A_T_5_2
                                      Т
                                                    5
                                                               2
                             Α
## B_T_5_1 B_T_5_1
                             В
                                      Τ
                                                    5
                                                               1
## B_T_5_2 B_T_5_2
                                      Τ
                                                    5
                                                               2
                             В
# read counts
assay(spikeinCounts)
##
           A_L_5_1 A_L_5_2 B_L_5_1 B_L_5_2 A_T_5_1 A_T_5_2 B_T_5_1 B_T_5_2
## Spike2
                                                                   NA
                NA
                         NA
                                 NA
                                         NA
                                                  NA
                                                          NA
                                                                           NA
## Spike12
                NA
                         NA
                                 NA
                                          NA
                                                  NA
                                                          NA
                                                                   NA
                                                                           NA
## Spike4
                NA
                         NA
                                 NA
                                          NA
                                                  NA
                                                          NA
                                                                   NA
                                                                           NA
## Spike5
                NA
                         NA
                                 NA
                                          NA
                                                  NA
                                                          NA
                                                                   NA
                                                                           NA
## Spike8
                NA
                         NA
                                 NA
                                          NA
                                                  NA
                                                          NA
                                                                   NA
                                                                           NA
## Spike9
                NA
                                          NA
                                                  NA
                                                          NA
                                                                   NA
                                                                           NA
```

#### 2.2 Read counting

All RNA rate estimations of this package rely on read counts. These can be either provided as count matrices, or read counts can be obtained from BAM files using the rCube pipeline.

#TODO

Alternatively, count matrices can be assigned to the correctly formatted, empty rCubeExperiment object:

#TODO

# 3 Normalization of TT-seq/4sU-seq and RNA-Seq samples

The three possible normalization methods are described in detail below.

#### 3.1 Normalization by fitting a GLM to artifical spike-in read counts

The sample specific parameters like sequencing depth and cross-contamination rate are estimated from spike-in counts only. Therefore, we fit a generalized linear model (GLM) of the Negative Binomial family with a log link function. The response of the GLM are the observed spike-in counts, and the terms that specify the linear predictor of the response are comprised of:

- a sample specific factor (that reflects the sample specific sequencing depth),
- a labeled sample specific factor (that reflects the control for cross contamination (only estimated for unlabeled spike-ins in labeled samples)), and
- a spike-in specific factor to allow for some spike-in specific variation e.g. due to sequence biases.

Additionally, the length of each spike-in is used as an offset, i.e. a known slope for the covariate.

```
data(geneCounts)
data(spikeinCounts)
geneCounts <- estimateSizeFactors(geneCounts, spikeinCounts, method="spikeinGLM")</pre>
colnames(colData(geneCounts))
                                                    "LT"
## [1] "sample"
                              "condition"
## [4] "labelingTime"
                              "replicate"
                                                    "sequencing.depth"
## [7] "cross.contamination"
geneCounts$sequencing.depth
## A_L_5_1 A_L_5_2 B_L_5_1 B_L_5_2 A_T_5_1 A_T_5_2 B_T_5_1 B_T_5_2
## 1.00000 0.90453 0.08914 1.10879 0.16871 0.00919 0.01453 0.14209
geneCounts$cross.contamination
## A_L_5_1 A_L_5_2 B_L_5_1 B_L_5_2 A_T_5_1 A_T_5_2 B_T_5_1 B_T_5_2
## 0.01200 0.00445 0.00721 0.00411 1.00000 1.00000 1.00000 1.00000
```

Note, the cross-contamination value for all total RNA-seq samples is 1, as 100% of the unlabeled RNAs are supposed to be in the sample. Additional fitting results are stored in the metadata of the resulting rCubeExperiment object.

```
metadata(geneCounts)
```

#### 3.2 Normalization using mean counts of artifical spike-ins

#### 3.3 Normalization using joint model

# 4 Estimating gene-specific synthesis and degradation rates

Using the sample-specific values for sequencing depth and cross-contamination as estimated in the previous section, we can now normalize all the samples. It is especially important to bring labeled (4sU-seq/TT-seq) and total RNA-seq samples to comparable scales. Labeled and total RNA-seq samples can be sequenced at the same depth, and the same amount of RNA is used for library preparation, but the resulting read counts do not reflect the true ratio of labeled vs all RNAs in the cells, where the amount of newly-synthesized, labeled RNA should be much less than the total RNA amount. Therefore it is necessary to upscale the read counts from total RNA-seq samples compared to the labeled RNA read counts.

#### 4.1 Providing gene-wise dispersion estimates

Usually, read counts in different RNA-seq samples underly fluctuations due to biological or technical variances. To take these fluctuations into account, we estimate each gene's dispersion. For each gene, a single dispersion estimate for all 4sU-Seq samples and for all Total RNA-Seq samples is needed. Here, we can use the method provided in the DESeq2 package [1] The wrapper function estimateGeneDispersion applies the DESeq algorithm to all genes, while separating the count table according to the RNA-Seq protocol (labeled or total RNA). It is possible to choose between all provided DESeq dispersion estimates, namely the genewise maximum likelihood dispersion estimate ("dispGeneEst"), the smooth curve fitted through the gene-wise disperion estimates ("dispFit") and the genewise dispersion estimates shrunken towards the fitted curve ("dispMAP", default). The input for the estimateGeneDispersion function is therefore only a n\*m matrix, providing count data for n genes under m conditions, and a vector of length m, indiciating for each condition if it was a labeled 4sU-Seq sample ("L") or a total RNA-Seq sample ("T"). The function returns a matrix consisting of n rows and 2 columns, the Labeled ("L") and Total ("T") dispersion estimates for all n genes.

```
geneCounts <- estimateSizeDispersions(geneCounts, method='DESeqDispMAP')</pre>
rowRanges(geneCounts)
## GRanges object with 12 ranges and 2 metadata columns:
##
            segnames
                           ranges strand |
                                               dispersion_L
                                                                   dispersion_T
##
               <Rle>
                        <IRanges> <Rle> |
                                                  <numeric>
                                                                      <numeric>
##
     gene 1 chrTest [ 724, 2389]
                                   * | 0.267593980890705 0.00285282168427403
     gene 2 chrTest [1331, 2444]
                                       * | 0.210169732934922 0.00246148010370263
##
##
             chrTest [1209, 2066]
                                       * | 0.217187602571734 0.00209222050855509
     gene 3
     gene 4 chrTest [1222, 3157]
                                       * | 0.249077753495831 0.00207165673496985
##
             chrTest [ 828, 1607]
                                       ##
     gene 5
##
##
             chrTest [1055, 2066]
                                      * | 0.217187602571734 0.00246148010370263
     gene 8
##
     gene 9
             chrTest [1218, 1881]
                                       * | 0.885921521910211
                                                               0.10447013633359
    gene 10 chrTest [1712, 2766]
                                       * | 0.217187602571734 0.00246148010370263
##
             chrTest [ 646, 1502]
                                          0.202381362920392 0.00289479811680433
##
    gene 11
##
    gene 12
             chrTest [1381, 2451]
                                                                             10
##
##
    seqinfo: 1 sequence from an unspecified genome; no seqlengths
```

#### 4.2 Gene-wise synthesis and degratation rate estimates

Plug-in sequencing depth and cross-contamination as estimated in Section 3 and gene-wise dispersion estimates as described in Section 4.1.

# 5 Estimating splicing times from junction read counts

### 6 Session Information

This vignette was generated using the following package versions:

```
sessionInfo()
## R version 3.4.1 (2017-06-30)
## Platform: x86_64-apple-darwin15.6.0 (64-bit)
## Running under: OS X El Capitan 10.11.6
##
## Matrix products: default
## BLAS: /Library/Frameworks/R.framework/Versions/3.4/Resources/lib/libRblas.0.dylib
## LAPACK: /Library/Frameworks/R.framework/Versions/3.4/Resources/lib/libRlapack.dylib
## locale:
## [1] en_US.UTF-8/en_US.UTF-8/en_US.UTF-8/C/en_US.UTF-8/en_US.UTF-8
## attached base packages:
## [1] parallel stats4
                           stats
                                     graphics grDevices utils
                                                                   datasets
## [8] methods
                 base
##
## other attached packages:
## [1] rCube_1.1.0
                                   SummarizedExperiment_1.7.5
## [3] DelayedArray_0.3.16
                                   matrixStats_0.52.2
## [5] Biobase_2.37.2
                                   GenomicRanges_1.29.11
## [7] GenomeInfoDb_1.13.4
                                   IRanges_2.11.12
## [9] S4Vectors_0.15.5
                                   BiocGenerics_0.23.0
## [11] knitr_1.16
##
## loaded via a namespace (and not attached):
## [1] locfit_1.5-9.1
                           Rcpp_0.12.12
                                                          lattice_0.20-35
## [4] Rsamtools_1.29.0
                                 Biostrings_2.45.3
                                                          rprojroot_1.2
## [7] digest_0.6.12
                                 plyr_1.8.4
                                                          backports_1.1.0
## [10] acepack_1.4.1
                                 RSQLite_2.0
                                                          evaluate_0.10.1
## [13] highr_0.6
                                                          zlibbioc_1.23.0
                                 ggplot2_2.2.1
## [16] rlang_0.1.1
                                 lazyeval_0.2.0
                                                          data.table_1.10.4
## [19] annotate_1.55.0
                                 blob_1.1.0
                                                          rpart_4.1-11
## [22] Matrix_1.2-10
                                 checkmate_1.8.3
                                                          rmarkdown_1.6
## [25] splines_3.4.1
                                 BiocParallel_1.11.4
                                                          geneplotter_1.55.0
## [28] stringr_1.2.0
                                 foreign_0.8-69
                                                          htmlwidgets_0.9
## [31] RCurl_1.95-4.8
                                 bit_1.1-12
                                                          munsell_0.4.3
## [34] compiler_3.4.1
                                 base64enc_0.1-3
                                                          htmltools_0.3.6
## [37] nnet_7.3-12
                                 tibble_1.3.3
                                                          gridExtra_2.2.1
## [40] htmlTable_1.9
                                 GenomeInfoDbData_0.99.1 Hmisc_4.0-3
## [43] XML_3.98-1.9
                                 MASS_7.3-47
                                                          GenomicAlignments_1.13.4
## [46] bitops_1.0-6
                                 grid_3.4.1
                                                          xtable_1.8-2
## [49] gtable_0.2.0
                                 DBI_0.7
                                                          magrittr_1.5
## [52] scales_0.4.1
                                 stringi_1.1.5
                                                          XVector_0.17.0
## [55] genefilter_1.59.0
                                 latticeExtra_0.6-28
                                                          Formula_1.2-2
## [58] BiocStyle_2.5.8
                                 RColorBrewer_1.1-2
                                                          tools_3.4.1
## [61] bit64_0.9-7
                                 DESeq2_1.17.11
                                                          survival_2.41-3
## [64] yaml_2.1.14
                                 AnnotationDbi_1.39.1
                                                          colorspace_1.3-2
## [67] cluster_2.0.6
                                 memoise_1.1.0
```

# **7** References

[1] Michael I Love, Wolfgang Huber, and Simon Anders. Moderated estimation of fold change and dispersion for RNA-Seq data with DESeq2. *Genome Biology*, 15(12):550, 2014.