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#### **Abstract**

rCube provides a framework for the estimation of RNA metabolism Rates in R ( $\mathbb{R}^3$ ). The rCube package complements the recently published transient transcriptome sequencing (TT-seq) protocol. It has been shown, that 4sU-labeling and subsequent purification of RNA allows to monitor local RNA synthesis. Therefore, the information from TT-seq/4sU-seq and total RNA-seq samples is used to model RNA synthesis, splicing, and degradation rates based on first-order kinetics. The rCube package works on count data and provides a series of functionalities to extract them from the desired features. It allows to extract junctions and constitutive exons from feature annotations, count reads from BAM-files, and normalize different samples against each other using a variety of different methods.

#### rCube version: 1.1.0

If you use rCube in published research, please cite:

B. Schwalb, M. Michel, B. Zacher, K. Frühauf, C. Demel, A. Tresch, J. Gageur, and P. Cramer: TT-seq maps the human transient transcriptome. Science (2016). doi:10.1126/science.aad9841 [1]

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# 1 Background

As described in [2], 4sU-seq allows to monitor changes in the RNA metabolism. If cells are exposed to 4sU, they rapidly take up this Uridine analog and incorporate it into newly-synthesized RNAs. This way, newly-synthesized RNAs are labeled and can be extracted from the total RNA in the sample. The longer the labeling time, e.i. the time from 4sU addition to harvesting the cells, the bigger is the proportion of labeled RNAs among all RNAs.

Variations in read counts among different samples can have multiple reasons. One source of variation is the sequencing depth. Even replicates from the same experimental condition may exhibit different read counts based on how deep the samples were sequenced. These variations (up to biological variations) can be overcome by normalization to sequencing depth. In a typical RNA-seq experiment, one wants to compare different samples under different experimental conditions. After extracting the RNA from the cells, the same starting material is used for the library prepartion. Therefore the information is lost, if cells from different samples were expressing different amounts of RNA (both for individual genes or on a global scale). Artificial RNA spike-in sequences can be used to adjust for global sequencing variations between samples. Adding the same volumes of spike-ins to a defined number of cells can help to resolve this problem, as they are subject to the same technical biases than natural RNAs, but their read counts should not be influenced by biological processes. In the case of TT-seq/4sU-seq, we additionally want to rescale 4sU-labeled and total RNA-seq samples, so that the ratio of labeled RNA to total RNA read counts reflects the ratio of labeled RNA to total RNA amounts in the cell. This can be achieved by labeling some of the spike-ins with 4sU during the *in vitro* transcription. Then it is also possible to quantify the amount of unlabeled spike-ins (RNAs) that is not lost during labeled RNA purification, the so-called cross-contamination.

explain time series vs only label total

The normalization based on artifical spike-ins and subsequent estimation of synthesis and degradation rates has been successfully implemented and applied in different studies: In human K562 cells, we investigated synthesis rates and half-lives of different RNA species under steady-state conditions [1]. In another study, we investigated the change of RNA synthesis immediately after T-cell stimulation [3]. The sensitivity of TT-seq allowed us to monitor rapid changes in transcription from enhancers and promoters during the immediate response of T cells to ionomycin and phorbol 12-myristate 13-acetate (PMA).

define synthesis, splicing, decay rate

define the read classifications: junction reads E-E, E-I, I-E junction

## 2 Getting started

This vignette provides a pipeline how to... starting from BAM files... You will learn how to estimate sample specific sequencing depths and cross-contamination rates from spike-in counts. These values can be used to normalize gene expression values obtained by RNA-Seq and thus estimate gene-specific synthesis and degradation rates. By extracting reads spanning junctions, splicing times can be estimated. For more robust estimation, multiple samples with different labeling times are taken into account. Before starting, the package must be loaded by:

```
library("rCube")
```

### 2.1 Example Data

The inst/extdata of the *rCube* package provides two example data sets that should illustrate the two different functionalities of rCube:

The first example data set, "Jurkat", contains bam files from resting and activated Jurkat T-cells for TT-seq and RNA-seq samples. The bamfiles are restricted to the FOS gene (chr14:75278000-75283000) and the artifical spike-ins, subsampled to reduce file size. The full data sets are published in [3]. This example data is used to demonstrate the spike-in normalization method, and the estimation of synthesis and degradation rates for individual 4sU-labeled (TT-seq) and total RNA-seq pairs.

The second data set, ...

# 3 Conditional synthesis and degradation rates for Jurkat data

Example data sets from a T-cell activation experiment are stored in the inst/extdata of the *rCube* package. In this part of the vignette, we will demonstrate

- how reads can be counted for (constitutive) exons and spike-ins,
- how the samples are normalized against each other based on the spike-in read counts,
- how synthesis and degradation rates are obtained for (constitutive) exons
- how gene-specific rates are obtained from exon-specific rates

#### 3.1 Gene model

working on exons/introns/genes... The estimation of synthesis and degradation rates with the rCube package relies on read counts. Dependent on the features, for which read counts are provided, the rates can reflect synthesis rates of exons, introns, or full genes. Especially degradation rates may differ between exons and introns. Therefore, the features, which should be used to estimate synthesis and degradation rates, and for which read counts are provided or should be obtained, need to be provided as a GRanges object.

Due to numerous transcript isoforms per gene, and the arising problem that for some bases their exonic or intronic nature cannot be unambigously identified, we propose to use the model of constitutive exons/introns from [4]. Hereby, all bases, that belong to an exon/intron in all (annotated) transcript isoforms of the same gene, are thought to be part of "constitutive" exons/introns. In the following, we have an example annotation from the FOS gene (not comprehensive) to illustrate how constitutive exons can be extracted from an exon annotation.

```
data("exampleExons")
exampleExons
## GRanges object with 7 ranges and 3 metadata columns:
## seqnames ranges strand | type gene_id
## <Rle> <IRanges> <Rle> | <factor> <character>
```

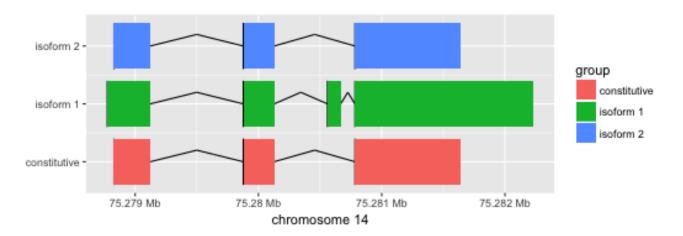


Figure 1: Illustration of two transcript isoforms for the FOS gene and the resulting constitutive exons

```
chr14 [75278774, 75279123]
##
     [1]
                                                      exon ENSG00000170345.9
##
     [2]
            chr14 [75278828, 75279123]
                                                      exon ENSG00000170345.9
                                              + |
            chr14 [75279877, 75280128]
     [3]
##
                                              + |
                                                      exon ENSG00000170345.9
           chr14 [75279877, 75280128]
                                                      exon ENSG00000170345.9
##
     [4]
                                              + |
                                             + | exon ENSG00000170345.9
+ | exon ENSG00000170345.9
+ | exon ENSG00000170345.9
##
     [5] chr14 [75280560, 75280667]
     [6] chr14 [75280783, 75282230]
##
            chr14 [75280783, 75281636]
##
     [7]
                                              + |
                                                      exon ENSG00000170345.9
##
             transcript_id
##
               <character>
##
     [1] ENST00000303562.8
##
     [2] ENST00000535987.5
##
     [3] ENST00000303562.8
##
     [4] ENST00000535987.5
##
     [5] ENST00000303562.8
##
     [6] ENST00000303562.8
##
     [7] ENST00000535987.5
##
     seqinfo: 1 sequence from an unspecified genome; no seqlengths
##
constitutiveExons <- createConstitutiveFeaturesGRangesFromGRanges(exampleExons,
                                                                     BPPARAM=NULL,
                                                                     ncores=1)
constitutiveExons
## GRanges object with 3 ranges and 2 metadata columns:
##
             seqnames
                                    ranges strand |
                                                                       type
##
                <Rle>
                                  <IRanges> <Rle> |
                                                                   <factor>
                                                 + | constitutive feature
##
     CF00001
                chr14 [75278828, 75279123]
##
     CF00002
                chr14 [75279877, 75280128]
                                                + | constitutive feature
     CF00003
                chr14 [75280783, 75281636]
                                                + | constitutive feature
##
##
                        gene_id
##
                    <character>
##
     CF00001 ENSG00000170345.9
##
     CF00002 ENSG00000170345.9
##
     CF00003 ENSG00000170345.9
##
     seqinfo: 1 sequence from an unspecified genome; no seqlengths
##
```

Please note, for the subsequent workflow it is not necessary to extract constitutive exons. Any kind of *GRanges* object can be used as feature annotation (e.g. full genes, introns, ...).

#### 3.2 Experimental Design

The rCube package works on rCubeExperiment containers, that rely on the SummerizedExperiment class. Objects of this class are used as input for the whole workflow, starting from read counting, normalization, dispersion estimation, to rate estimation. Most of these steps return an updated and extended rCubeExperiment object.

The rowRanges of the *rCubeExperiment* is a *GRanges* annotation of features, for which RNA rates should be estimated. Experimental sample information can be either provided by a experimental design matrix or this information can be extracted from the BAM-file names (when they fulfil the required structure).

We first look at the experimental design file experimentalDesign.txt, that can be imported as a data.frame.

```
folder <- system.file("extdata/Jurkat", package='rCube')</pre>
folder
## [1] "/Library/Frameworks/R.framework/Versions/3.4/Resources/library/rCube/extdata/Jurkat"
expDesign <- read.delim(file.path(folder, "experimentalDesign.txt"))</pre>
expDesign
##
             sample condition LT labelingTime replicate
                                                                   filename
## 1
     Resting_L_5_1 Resting L
                                            5
                                                         Resting_L_5_1.bam
                                                     1
                                            5
                                                      2 Resting_L_5_2.bam
## 2 Resting_L_5_2 Resting L
                                            5
## 3 Resting_T_5_1 Resting T
                                                     1 Resting_T_5_1.bam
## 4 Resting_T_5_2 Resting T
                                            5
                                                      2 Resting_T_5_2.bam
## 5 Activated_L_5_1 Activated L
                                            5
                                                     1 Activated_L_5_1.bam
                                            5
## 6 Activated_L_5_2 Activated L
                                                     2 Activated_L_5_2.bam
## 7 Activated_T_5_1 Activated T
                                            5
                                                     1 Activated_T_5_1.bam
## 8 Activated_T_5_2 Activated T
                                            5
                                                      2 Activated_T_5_2.bam
```

Together with the feature annotation, for which we want to estimate synthesis and degradation rates, we can construct the *rCubeExperiment*:

```
exonCounts <- setupExperiment(constitutiveExons, designMatrix=expDesign, files=NULL)
class(exonCounts)
## [1] "rCubeExperiment"
## attr(,"package")
## [1] "rCube"</pre>
```

Alternatively, the experimental design matrix can be constructed from the bam file names internally, if they follow the following convention  $\{condition\}_{L|T}_{abelingTime}_{replicate}$ . bam

```
bamfiles <- list.files(folder, pattern="*.bam$", full.names=TRUE)
basename(bamfiles)

## [1] "ActivatedJurkat_L_5_1.bam" "ActivatedJurkat_L_5_2.bam"

## [3] "ActivatedJurkat_T_5_1.bam" "ActivatedJurkat_T_5_2.bam"

## [5] "RestingJurkat_L_5_1.bam" "RestingJurkat_L_5_2.bam"

## [7] "RestingJurkat_T_5_1.bam" "RestingJurkat_T_5_2.bam"

exonCounts <- setupExperiment(constitutiveExons, designMatrix=NULL, files=bamfiles)</pre>
```

The individual information from the rCubeExperiment can be assessed by:

```
# feature information
rowRanges(exonCounts)
```

```
# sample information
colData(exonCounts)

# read counts
assay(exonCounts)
```

The resulting rCubeExperiment object can now be used to count reads.

### 3.3 Counting

All RNA rate estimations of this package rely on read counts. These can be either provided as count matrices, or read counts can be obtained from BAM files using the *rCube* pipeline.

For read counting, we use the readGAlignmentPairs in a parallel fashion:

```
assay(exonCounts)
##
           ActivatedJurkat_L_5_1 ActivatedJurkat_L_5_2 ActivatedJurkat_T_5_1
## CF00001
                                                       NA
                                NA
## CF00002
                                NA
                                                        NA
                                                                                NA
## CF00003
                                NA
                                                        NA
                                                                                NA
##
           ActivatedJurkat_T_5_2 RestingJurkat_L_5_1 RestingJurkat_L_5_2
## CF00001
## CF00002
                                NA
                                                     NA
                                                                           NA
## CF00003
                                NA
                                                                           NA
##
           RestingJurkat_T_5_1 RestingJurkat_T_5_2
## CF00001
                              NA
                                                   NΑ
## CF00002
                              MΔ
## CF00003
                              NA
                                                   NA
exonCounts <- countFeatures(exonCounts,</pre>
                              scanBamParam=ScanBamParam(flag=scanBamFlag(isSecondaryAlignment=FALSE)),
                              BPPARAM=NULL,
                              verbose=FALSE)
assay(exonCounts)
##
           ActivatedJurkat_L_5_1 ActivatedJurkat_L_5_2 ActivatedJurkat_T_5_1
## CF00001
                                74
                                                      123
## CF00002
                               112
                                                       195
                                                                                 4
## CF00003
                               481
                                                       610
                                                                                17
##
           {\tt ActivatedJurkat\_T\_5\_2} \ {\tt RestingJurkat\_L\_5\_1} \ {\tt RestingJurkat\_L\_5\_2}
## CF00001
                                                       0
                                 9
## CF00002
                                                       4
                                                                            6
                                23
                                                      15
                                                                            17
           RestingJurkat_T_5_1 RestingJurkat_T_5_2
## CF00001
                               4
                               2
                                                     3
## CF00002
## CF00003
```

In case you already have counted reads on your featrues of interest, count matrices can be assigned to the correctly formatted, empty *rCubeExperiment* object.

#### 3.4 Spike-ins

The artifical spike-in annotations and labeling information can be loaded via:

```
data("spikeins")
data("spikeinLabeling")
spikeinLengths <- width(spikeins)</pre>
```

### 3.5 Spike-in design

An empty *rCubeExperiment* for the artificial spike-ins additionally requires information about the length and the labeling status of each spikein, and can be constructed as follows:

### 3.6 Spike-in counting

```
spikeinCounts <- countSpikeins(spikeinCounts,</pre>
                    scanBamParam=ScanBamParam(flag=scanBamFlag(isSecondaryAlignment=FALSE)),
                    BPPARAM=NULL,
                    verbose=FALSE)
assay(spikeinCounts)
##
           Resting_L_5_1 Resting_L_5_2 Resting_T_5_1 Resting_T_5_2 Activated_L_5_1
                3648
                                 4416
## Spike2
                                                492
                                                                              4416
                                                               541
## Spike12
                    75
                                  109
                                                 369
                                                               408
                                                                               129
## Spike4
                    4060
                                  4399
                                                 414
                                                               456
                                                                              4031
## Spike5
                    43
                                  33
                                                 472
                                                               401
                                                                               105
## Spike8
                   4455
                                 5205
                                                 397
                                                               432
                                                                              4927
## Spike9
                     18
                                  13
                                                 249
                                                               283
                                                                                66
##
          Activated_L_5_2 Activated_T_5_1 Activated_T_5_2
## Spike2
                     3791
                                       695
## Spike12
                       93
                                       496
                                                       349
## Spike4
                      3800
                                       548
                                                       425
## Spike5
                        30
                                       573
                                                       363
## Spike8
                      4272
                                       582
                                                       412
                                                       187
## Spike9
                        14
                                       333
```

The distribution of 4sU-labeled and unlabeld spike-ins among different samples can be illustrated by the function plotSpikeinCountsVsSample. Figure 2 shows the read counts of spike-ins in the Jurkat example data set.

```
plotSpikeinCountsVsSample(spikeinCounts)
```

Naturally, labeled spike-ins (Spike2, Spike4, Spike8) should be enriched in labeled samples ("L"), whereas unlabeled spike-ins (Spike5, Spike9, Spike12) should be depleted from these samples. In total RNA-seq samples ("T"), all spike-ins should be present to a similar extend.

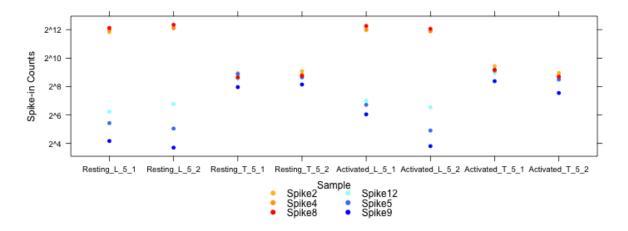


Figure 2: Spike-in read counts in different samples. The code that creates this figure is shown in the code chunk.

#### 3.7 Size factor based on spike-ins

We provide two different normalization schemes. In the experimental setup with multiple conditions, the sample specific parameters like sequencing depth and cross-contamination rate are estimated from spike-in read counts only. Therefore, we fit a generalized linear model (GLM) of the Negative Binomial family with a log link function. The response of the GLM are the observed spike-in counts, and the terms that specify the linear predictor of the response are comprised of:

- a sample specific factor (that reflects the sample specific sequencing depth),
- a labeled sample specific factor (that reflects the control for cross contamination (only estimated for unlabeled spike-ins in labeled samples)), and
- a spike-in specific factor to allow for some spike-in specific variation e.g. due to sequence biases.

Additionally, the length of each spike-in is used as an offset, i.e. a known slope for the covariate.

```
exonCounts <- estimateSizeFactors(exonCounts, spikeinCounts, method="spikeinGLM")
colnames(colData(exonCounts))
  [1] "filename"
                              "sample"
                                                     "condition"
##
   [4]
       "LT"
                              "labelingTime"
                                                     "replicate"
                              "cross.contamination"
  [7] "sequencing.depth"
exonCounts$sequencing.depth
##
     Resting_L_5_1
                     Resting_L_5_2
                                      Resting_T_5_1
                                                       Resting_T_5_2 Activated_L_5_1
##
            0.9121
                             1.0495
                                                              0.1079
                                                                               1.0000
                                              0.1024
##
  Activated_L_5_2 Activated_T_5_1 Activated_T_5_2
            0.8884
##
                             0.1376
                                              0.0935
exonCounts$cross.contamination
##
     Resting_L_5_1
                     Resting_L_5_2
                                      Resting_T_5_1
                                                       Resting_T_5_2 Activated_L_5_1
##
            0.0137
                             0.0130
                                              1.0000
                                                              1.0000
                                                                               0.0288
## Activated_L_5_2 Activated_T_5_1 Activated_T_5_2
                             1.0000
```

Note, the cross-contamination value for all total RNA-seq samples is 1, as 100% of the unlabeled RNAs are supposed to be in the sample. Additional fitting results are stored in the metadata of the resulting rCubeExperiment object.

```
metadata(exonCounts)
```

### 3.8 Providing gene-wise dispersion estimates

Usually, read counts in different RNA-seq samples underly fluctuations due to biological or technical variances. To take these fluctuations into account, we estimate each gene's dispersion. For each gene, a single dispersion estimate for all 4sU-Seq samples and for all Total RNA-Seq samples is needed. The wrapper function estimateSizeDispersions offers different methods to estimate a gene's dispersion Here, we can use the method provided in the DESeq2 package [5]. The DESeq algorithm is applied all genes, while separating the count table according to the RNA-Seq protocol (labeled or total RNA). It is possible to choose between all provided DESeq dispersion estimates, namely the genewise maximum likelihood dispersion estimate ("dispGeneEst"), the smooth curve fitted through the gene-wise disperion estimates ("disp-Fit") and the genewise dispersion estimates shrunken towards the fitted curve ("dispMAP", default). The input is an rCubeExperiment object with read counts for the features of interest. The function returns an updated rCubeExperiment object with two additional columns in the rowRanges, namely dispersion\_L and dispersion\_T.

```
exonCounts <- estimateSizeDispersions(exonCounts, method='DESeqDispGeneEst')
rowRanges(exonCounts)
## GRanges object with 3 ranges and 3 metadata columns:
##
             segnames
                                    ranges strand |
                                                            group dispersion_L
##
                <Rle>
                                 <IRanges> <Rle> |
                                                         <factor>
                                                                     <numeric>
##
     CF00001
                chr14 [75278828, 75279123]
                                                + | constitutive
                                                                         1e-08
     CF00002
                chr14 [75279877, 75280128]
##
                                                + | constitutive
                                                                         1e-08
                chr14 [75280783, 75281636]
##
     CF00003
                                              + | constitutive
                                                                         1e-08
##
             dispersion_T
##
                <numeric>
##
     CF00001
                    1e-08
                    1e-08
##
     CF00002
##
     CF00003
                    1e-08
##
     _____
     seqinfo: 1 sequence from an unspecified genome; no seqlengths
##
```

### 3.9 Feature-specific synthesis and degratation rate estimates

After estimating sequencing depth and cross-contamination rates per sample (see Section 3.7) and extracting feature-specific dispersion estimates (see Section 3.8), we can now estimate RNA synthesis and degradation rate for each feature and condition individually. Multiple replicates for the same condition can be used for a joint estimation. The user has to specify for which replicate or combination of replicates the results should be estimated. Therefore, the replicate parameter is a vector of all combinations that should be evaluated. For the joint estimation for multiple replicates, these have to be given as a string separeted by a ":". In the following example, we will obtain individual results for replicate 1 and 2 and also results for a joint estimation.

#### 3.10 describe the different fitting functions

#### 3.11 describe the class of the returned object (rCubeRates)

# 4 Labeling time series

- 4.1 Experimental Design
- 4.2 Read classification
- 4.3 Gene model

(exon, into and junctions) by gff de novo + gff

- 4.4 Counting
- 4.5 Spike-ins
- 4.6 Spike-in design
- 4.7 Spike-in counting
- 4.8 Size factor based on spike-in
- 4.9 Estimate dispersion
- 4.10 Fit the rates
- 4.11 describe the different fitting functions
- 4.12 describe the class of the returned object (rCubeRates)

### 5 Session Information

This vignette was generated using the following package versions:

```
sessionInfo()
## R version 3.4.1 (2017-06-30)
## Platform: x86_64-apple-darwin15.6.0 (64-bit)
## Running under: OS X El Capitan 10.11.6
##
## Matrix products: default
## BLAS: /Library/Frameworks/R.framework/Versions/3.4/Resources/lib/libRblas.0.dylib
## LAPACK: /Library/Frameworks/R.framework/Versions/3.4/Resources/lib/libRlapack.dylib
## locale:
## [1] en_US.UTF-8/en_US.UTF-8/en_US.UTF-8/C/en_US.UTF-8/en_US.UTF-8
##
## attached base packages:
## [1] parallel stats4
                        stats
                                     graphics grDevices utils
                                                                   datasets
## [8] methods
                base
## other attached packages:
## [1] Rsamtools_1.29.0
                                   Biostrings_2.45.3
## [3] XVector_0.17.0
                                   ggbio_1.25.3
## [5] ggplot2_2.2.1
                                   rCube_1.1.0
```

```
[7] SummarizedExperiment_1.7.5 DelayedArray_0.3.19
## [9] matrixStats_0.52.2
                                 Biobase_2.37.2
## [11] GenomicRanges_1.29.12
                                  GenomeInfoDb_1.13.4
## [13] IRanges_2.11.12
                                  S4Vectors_0.15.5
## [15] BiocGenerics_0.23.0
                                  knitr_1.16
##
## loaded via a namespace (and not attached):
## [1] ProtGenerics_1.9.0
                                     bitops_1.0-6
## [3] bit64_0.9-7
                                      RColorBrewer_1.1-2
## [5] progress_1.1.2
                                     httr_1.2.1
## [7] rprojroot_1.2
                                     tools_3.4.1
## [9] backports_1.1.0
                                     R6_2.2.2
## [11] rpart_4.1-11
                                     Hmisc_4.0-3
## [13] DBI_0.7
                                     lazyeval_0.2.0
## [15] colorspace_1.3-2
                                    nnet_7.3-12
## [17] gridExtra_2.2.1
                                    prettyunits_1.0.2
## [19] GGally_1.3.2
                                     DESeq2_1.17.13
## [21] curl_2.8.1
                                     bit_1.1-12
## [23] compiler_3.4.1
                                   graph_1.55.0
## [25] htmlTable_1.9
                                    rtracklayer_1.37.3
## [27] scales_0.4.1
                                     checkmate_1.8.3
## [29] genefilter_1.59.0
                                   RBGL_1.53.0
## [31] stringr_1.2.0
                                    digest_0.6.12
## [33] foreign_0.8-69
                                    rmarkdown_1.6
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```

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