



**CE/CZ4042:**

**Neural Networks and Deep Learning**

**Biological Foundations and  
Overview**

**Nanyang Technological University  
School of Computer Science and Engineering**

# Biological Neural Networks



Artificial neural networks are inspired by the biological neural networks in the brain

The three pounds of jelly-like material found within our brain is the most complex machine on earth and perhaps in the universe.

It consists of a densely interconnected set of nerve cells, or basic information-processing units, called **neurons**.

Human brain incorporates nearly 10 billion neurons, each connected to about 10,000 other neurons with 60-100 trillion connections, *synapses*, between them.

By using multiple neurons simultaneously, the brain performs its functions much faster than the fastest computers in existence today.

A **neural network** is defined as a model of reasoning based on the human brain.

<http://library.med.utah.edu/WebPath/HISTHTML/NEURANAT/NEURANCA.html#1>



# Biological Neural Networks

Typical operating speeds of biological neurons is in milliseconds ( $10^{-3}$  s), while silicon chip operate in nanoseconds ( $10^{-9}$  s). But Brain makes up (for slower rate of operation of a neuron) by having significant number of neurons with massive interconnections between them.

Human brain is extremely energy efficient, using approximately  $10^{-16}$  joules per operation per second, whereas the computers use around  $10^{-6}$  joules per operation per second.

Brains have been evolving for tens of millions of years, computers have been evolving for tens of decades.



# Biological Neural Networks

Our brain is highly complex, non-linear parallel information-processing system.

Information is stored and processed in a **neural network** simultaneously throughout the whole network, rather than at specific locations.

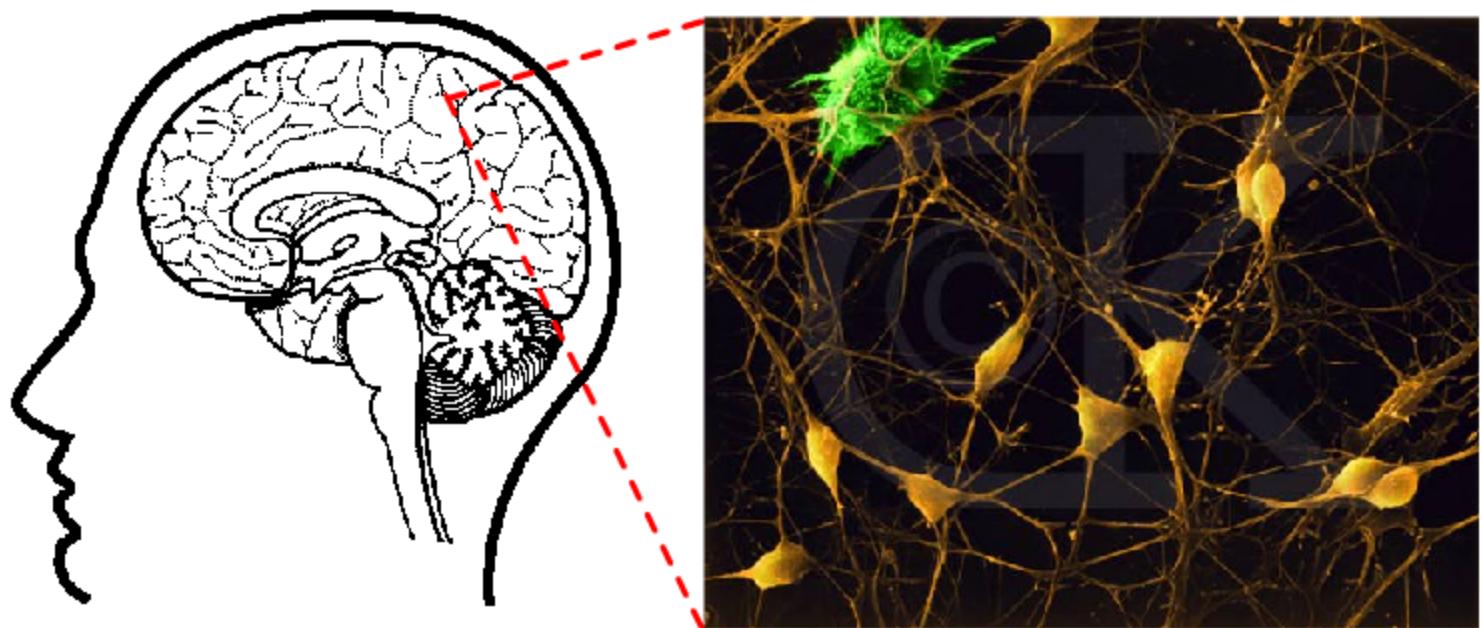
**Learning** is a fundamental and essential characteristic of biological neural networks.

The ease with which they can learn, led to attempts to emulate a **biological neural network** in a computer.



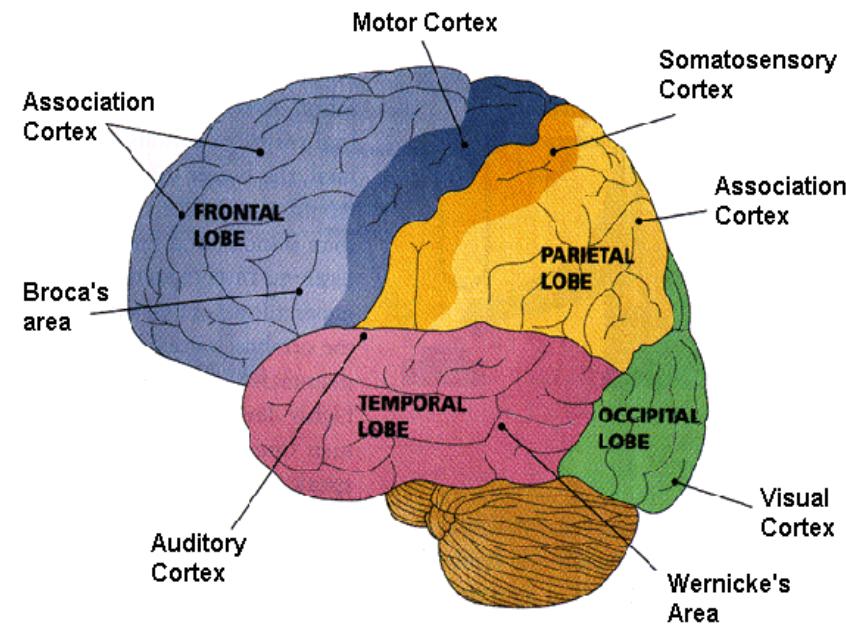
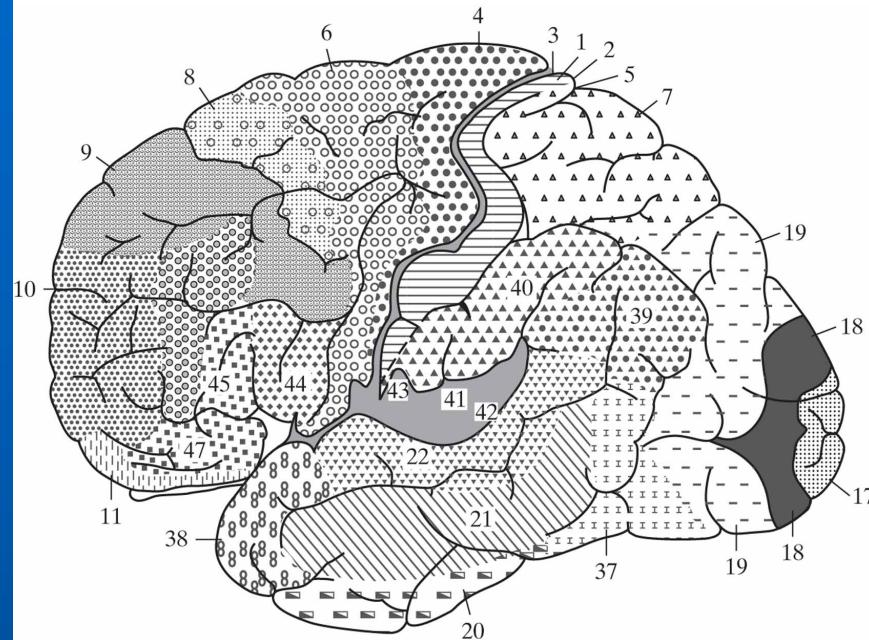
# Biological Neural Networks

Each of the yellow blobs in the picture above are neuronal cell bodies (soma), and the lines are the input and output channels (dendrites and axons) which connect them.



# Functional specificity of the brain

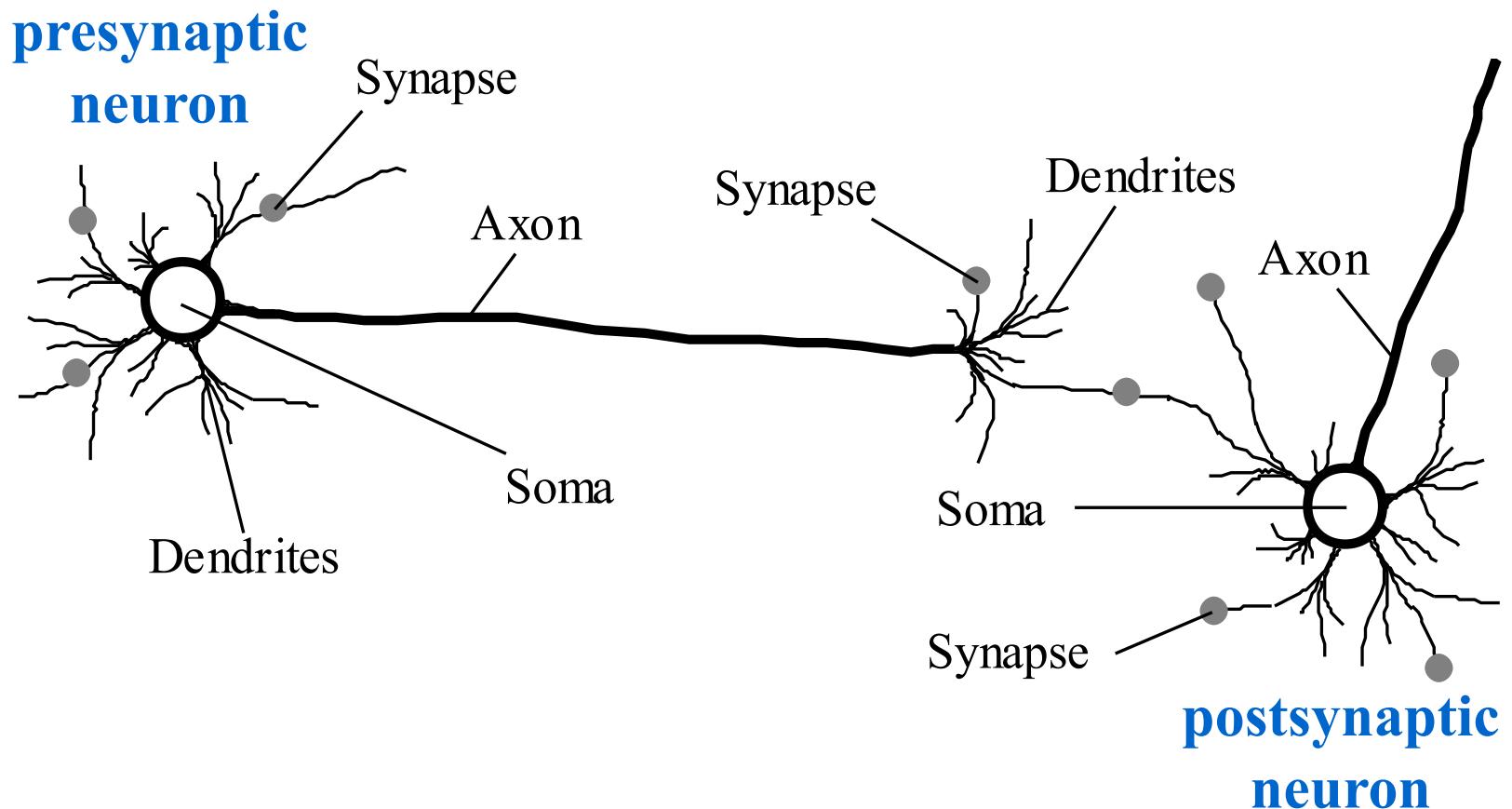
The outer layer of the human brain is called the cortex. The cortex is divided into its major functional areas. Neural networks mimic the functions of the brain.



**Figure : Cytoarchitectural map of the cerebral cortex. The different areas are identified by the thickness of their layers and types of cells within them. Some of the key sensory areas are as follows: Motor cortex: motor strip, area 4; premotor area, area 6; frontal eye fields, area 8. Somatosensory cortex: areas 3, 1, and 2. Visual cortex: areas 17, 18, and 19. Auditory cortex: areas 41 and 42. (From A. Brodal, 1981; with permission of Oxford University Press.)**



# Biological Neurons



# Biological neurons

A **biological neuron** consists of the following components:

- ❖ Soma: Cell body which processes incoming activations and converts input into output activations. The nucleus of soma contains the genetic material in the form of DNA.
- ❖ Axon: transmission lines that send activation signals to other neurons
- ❖ Dendrites: receptive zones that receive activation signals from other neurons
- ❖ Synapses: allow weighted signal transmission between the dendrites and axons. Process of transmission is by diffusion of chemicals.

Although neuronal cell body performs majority of cells function, most of the cells total volume is taken up by axons (about 90%).



# Biological neural networks

Each neuron receives **electrochemical inputs from other neurons at the dendrites.**

**Soma** sums the incoming signals. If the sum of these electrical inputs is sufficiently powerful to activate the neuron, it **transmits an electrochemical signal** along the **axon**, and passes this signal to the other neurons whose **dendrites** are attached at any of the **axon** terminals.

These attached neurons may then fire. Signals flow along the axon as a form of electric pulses, referred to as **action potentials**.

Note that synapses could be either excitatory or inhibitory.



# Biological neural networks

Hence a neuron fires only if the total signal received at the cell body exceeds a certain level. The neuron either fires or it doesn't, there aren't different grades of firing.

So, our entire brain is composed of these interconnected electro-chemical transmitting neurons.

From a very large number of extremely simple processing units (each performing a weighted sum of its inputs, and then firing a binary signal if the total input exceeds a certain level) the brain manages to perform extremely complex tasks.



# Artificial neural networks

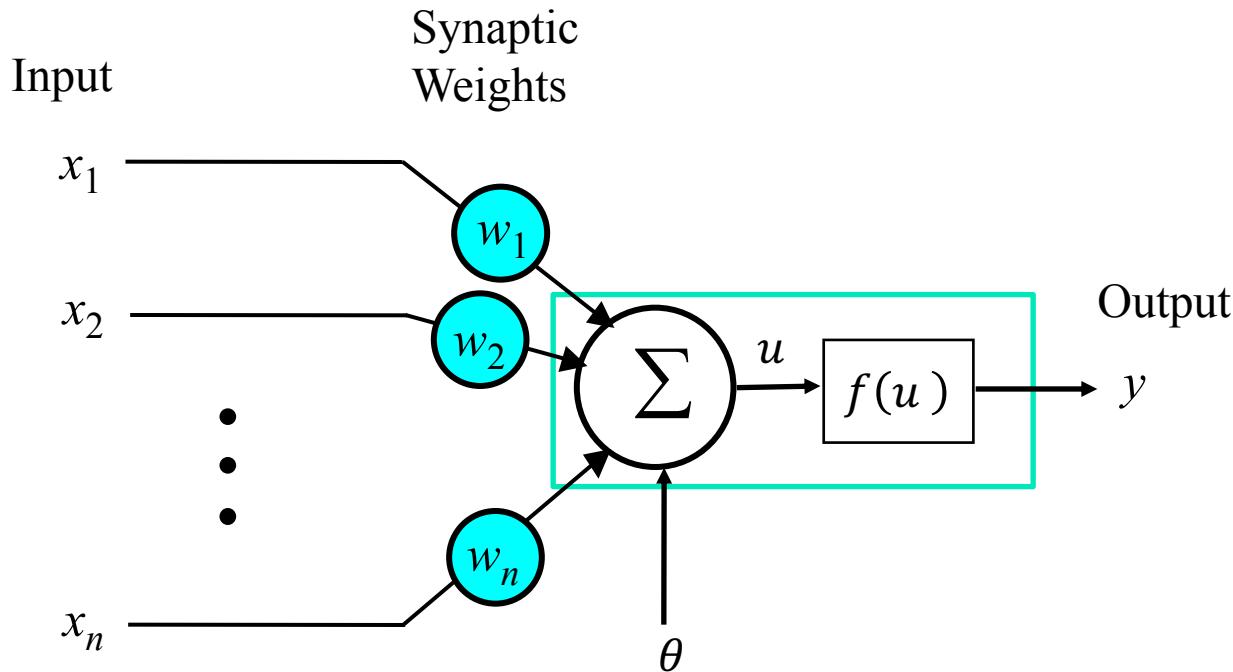
Artificial neural networks attempt to mimic biological neural networks in the brain.

There are two types of artificial neural networks. One that emulates the action potentials are referred to as '**spiking**' neural networks and the other that emulates the aggregate of action potentials are '**rate-based**' neural networks.

Neural networks discussed in this class are rate-based. However, spiking neural networks are more amenable for hardware implementations.



# Artificial Neuron



$$\text{Input } \mathbf{x} = (x_1 \quad x_2 \quad \cdots \quad x_n)^T$$

$u$  - synaptic input

$f(u)$  - activation

$y$  - output

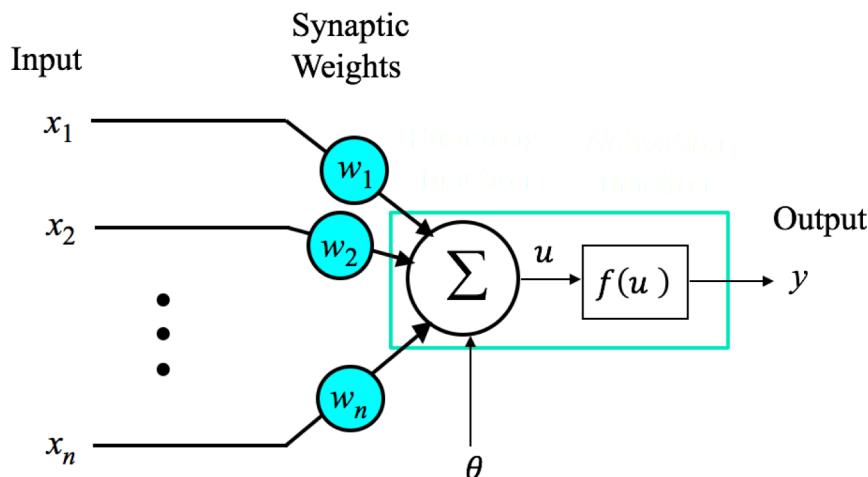
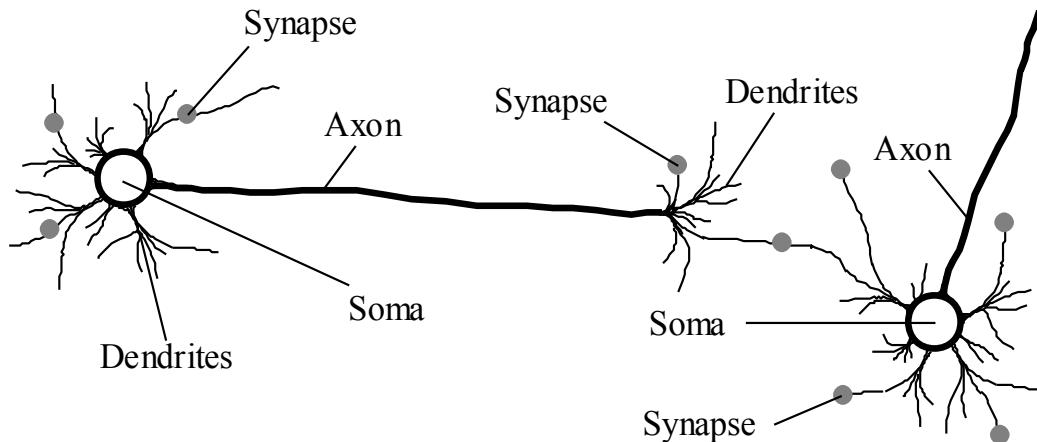


# Analogy between biological and artificial neuron

Biological  
neuron



Artificial  
neuron



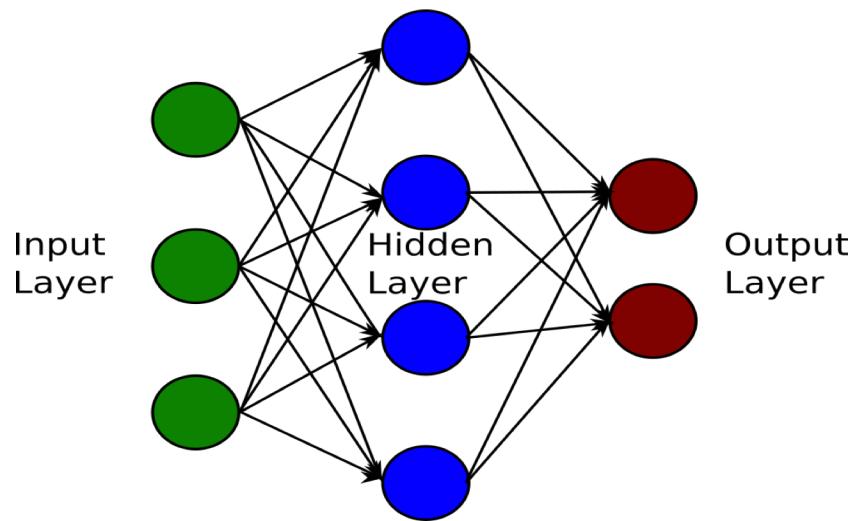
# Analogy between biological and artificial neuron

<i>Biological Neuron</i>	<i>Artificial Neuron</i>
Soma	Sum + Activation function
Dendrite	Input
Axon	Output
Synapse	Weight

- *McCulloch-Pitts neuron* is an artificial neuron with binary inputs and outputs
- *Perceptron* is another name for an artificial neuron with analog inputs



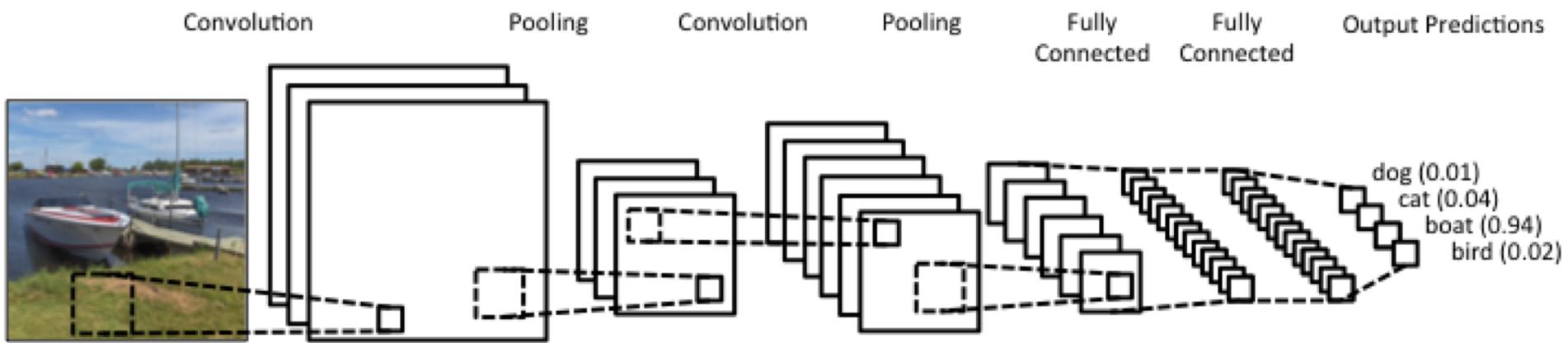
# Artificial Neural Networks



Three-layer feedforward neural network



# Deep Neural Networks



Deep convolution neural network



# Applications of Neural Networks

Neural network is a computational paradigm for machine learning and data analytics.



Applications:

- Regression: outputs are continuous variables
- Classification: outputs are discrete variables

Types of input data

- Statistical/Financial
- Text
- Images



# History

Modern view of Neural Networks (NN) began in the 1940s. –  
Warren McCulloch, Walter Pitts, Donald Hebb.

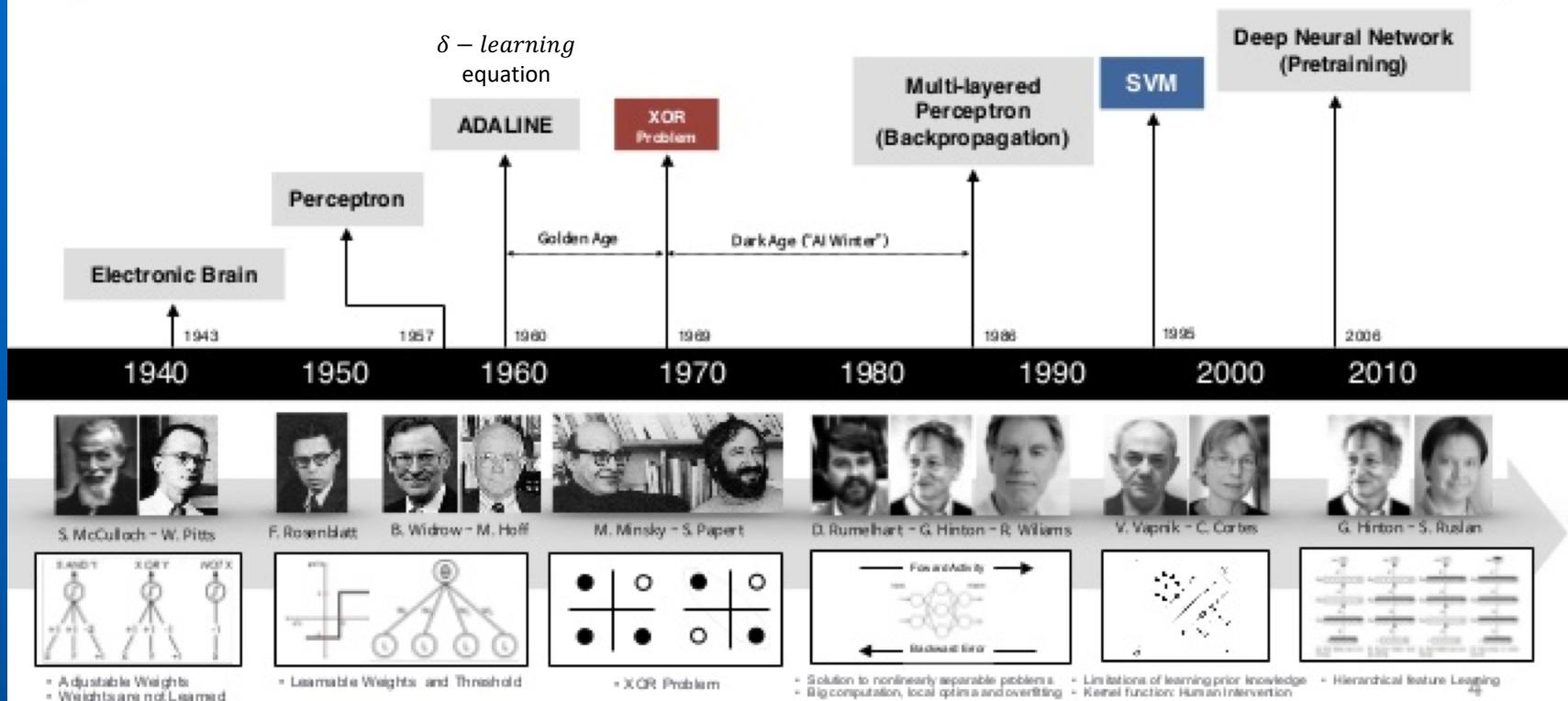
By late sixties, most of the basic ideas and concepts necessary for neural computing had already been formulated.

Practical solution emerges only in the mid-eighties. Major reason for the delay was technological: no powerful workstations to model and experiment with ANN; algorithms for learning large neural networks were unknown.

Emergence of deep neural networks since 2012: human like performance was achieved in object recognition, using deep convolutional neural networks.



# Brief History of Neural Network



# Motivation

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**Design and implementation of intelligent models and systems has become a crucial factor for the innovation and development of better products for the society.**

- Many classical information processing paradigms **cannot even come close** to addressing the challenges posed by the **complexity** of problems, many of which are typical real-world scenarios.
- Such is the case of turning towards ***Nature-inspired Systems*** that exhibit abilities to learn and/or to deal with new situations, and possess attributes of **reasoning, generalization, discovery, association** and **abstraction**, serving as solutions to interrogatives that current linear systems are not able to resolve.



# Rationale

A *Neural Network* is defined as a **model of reasoning** based on the **human brain**. Using multiple neurons simultaneously, the brain can perform its functions much **faster than the fastest computers in existence today**.

- Our brain is a highly complex, non-linear parallel information-processing system. Information is stored and processed in a neural network simultaneously throughout the whole network, rather at specific locations.
- The ease with which neurons can learn, led to the attempts to emulate biological neural networks in a computer.
- **Neural Networks**, or **Artificial neural networks** to be more precise, represents an important *nature-inspired* technology that is now rooted in many disciplines, from **neuroscience, mathematics, statistics, physics, computer science to engineering**.



# Learning Objectives

1. Interpret artificial neuron as an abstraction of biological neuron and explain how it can be used to build deep neural networks that are trained to perform various tasks such as regression and classification
2. Identify the underlying principles, architectures, and learning algorithms of various types of neural networks;
3. Select and design a suitable neural network for a given application;
4. Implement deep neural networks that can efficiently run on computing machines.

**Pre-requisites:** CE/CZ1011, CE/CZ1012, CE/CZ1003, CE/CZ1007  
Comfortable with some Mathematics. Linear Algebra. Basic Calculus.



# Course Hours

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- Lectures: 2 hours per week
  - Friday 11:30am – 1:30pm (LT4)
- Tutorial: starting from 3<sup>rd</sup> week.
  - Wednesday 2:30 – 3:30pm (LT10)
- Part-time
  - Lecture: Thursday 6:30 – 8:30pm (TR+15)
  - Tutorial: Thursday 8:30 - 9:30pm (TR+15)



# Course Topics

## First Half:

1. Neural network basics
2. Regression
3. Classification
4. Layers of neurons
5. Feedforward networks
6. Model selection and overfitting

## Second Half:

7. Convolution neural networks (CNN)
8. Recurrent neural networks (RNN)
9. Gated RNN
10. Autoencoders
11. Generative adversarial networks

*E-learning is in Week 7*



# Assessment

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- **Projects (Groups of Two) (50%)**

- **Project 1**

- handout Sept 21, deadline Oct 19

- **Project 2**

- handout Oct 19, deadline Nov 13

- **Final Exam (Open Book) (50%)**



# Implementing in python and tensorflow

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- **Python** is the programming language
- Codes of lecture examples and tutorials will be provided.
- **Tensorflow Libraries:**
  - TensorFlow: <https://www.tensorflow.org/>



# Projects

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- **Python** is the recommended programming language
- PC with at least 1 GPU is recommended
- Software Lab 3 (N4-B1c-14)
- Projects are to be done in groups of two
- Submissions are individual. Both members of the group should submit the final report (in .pdf) and codes (in a .zip file), using their accounts to NTU Learn before the deadline.
- The cover page of the report should have the names of both members.
- Late submissions will be penalized (each day at 5% up to 3 days)
- Assessment criteria will be indicated in the handout



# **Text and References**

## Text (for additional reading)

### **Deep Learning**

I. Goodfellow, Y. Bengio, and A. Courville, MIT Press, 2016

<http://www.deeplearningbook.org/>

## References

Deep learning tutorials:

<http://deeplearning.net/tutorial/>

[http://deeplearning.stanford.edu/wiki/index.php/UFLDL\\_Tutorial](http://deeplearning.stanford.edu/wiki/index.php/UFLDL_Tutorial)

TensorFlow:

<https://www.tensorflow.org/>

Keras:

<https://keras.io/>



# Instructors

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