

# Assignment 2

Nikita Andreev, team name: happyhippogan

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## 1 Introduction

The task was to train a generative based model for image generation task for MNIST data. The structure of generator was fixed, while the discriminator was free to change. As the main purpose of this project is not the scoring best possible metric, but to utilize some additional approaches and techniques for GANs. In my work I would like to use the Unbalanced Optimal Transport Modeling which is a recent trend in generative modeling. More precisely, I am using the paper "Generative modeling through the semi-dual formulation of unbalanced optimal transport" (<https://arxiv.org/abs/2305.14777>).

## 2 Main approach

Basically, through optimal transport we could perform the unconditional generation of images. For the dataset, we learn its distribution and can produce the similar images. Unbalanced Optimal Transport described in the paper is an extension of the original dual formulation of the optimal transport problem. It is formulated as

$$Cost_{ub}(\mathbb{P}, \mathbb{Q}) = \inf_{\pi \in \Pi(\mathbb{P}, \mathbb{Q})} \left[ \int_{\mathcal{X} \times \mathcal{Y}} c(x, y) d\pi(x, y) + D_{\Psi_1}(\pi_0 | \mathbb{P}) + D_{\Psi_2}(\pi_1 | \mathbb{Q}) \right]$$

where  $D_f(a|b)$  stands for the Csiszar divergence associated with  $f$  is a generalization of f-divergence for the case where  $a$  is not absolutely continuous with respect to  $b$ .  $c$  - some cost function, for example MSE.

Precisely, similarly to classic optimal transport, the semi-dual form of the **UOT problem** can be reduced to the following objective:

$$\inf_{f_w} \left[ \int_{\mathcal{X}} \Psi_1^* \left( - \inf_{T_\theta} [c(x, T_\theta(x)) - f_w(T_\theta(x))] \right) d\mathbb{P}(x) + \int_{\mathcal{Y}} \Psi_2^* (-f_w(y)) d\mathbb{Q}(y) \right]$$

where  $\Psi_1^*$  and  $\Psi_2^*$  are some functions, for example *softplus*,  $\exp(x)$  or  $\exp(x) - 1$ , and  $T$  (Generator) is a transport map,  $f$  (discriminator) is a potential. However, in general  $\Psi^*$  should be a non-decreasing, differentiable, convex function.

Authors not only suggest new formulation, but also utilize some techniques from GAN training strategies such as regularization (which is gradient penalty, learning schedule). And generally, the usage of the divergences is a way of regularizing the objective for both distributions.

The regularization loss for potential (discriminator) was used in the form of:

$$L_{reg} = \lambda ||\nabla_x f_w(y)||_2^2$$

### 3 Suggested improvements

Here, I would like to list the amount of improvements which I tried in my experiments:

- Usage of UOTM formulation
- gradient regularization
- changed discriminator architecture (removed last activation + changed the activation function)
- tried several  $\Psi^*$  options
- tried Exponential Moving Average for the generator (the common tools for weight averaging for generative models)

### 4 Results of experiments

Due to some time and computational limitations I have only tried several setups of my approaches, but still can do some conclusions.

Method	FID score
<i>GAN baseline</i>	29.78
<i>UOT baseline (60 epochs)</i>	35.6
<i>UOT baseline (100 epochs)</i>	46.8
<i>UOT(100 epochs) + <math>\Psi^*=exp</math></i>	37.1
<i>UOT + <math>\Psi^*=exp</math> + EMA (50 epochs)</i>	143
<i>UOT + <math>\Psi^*=exp</math> + EMA (90 epochs)</i>	136

Table 1: The results of experiments.

Basically, the

### 5 Conclusion

As I worked alone, I did not try more approaches, but I think that my approach is unique and the study that was conducted is quite useful as we see that even strong methods for weak architectures could be non-effective.