

# CS217 – Algorithm Design and Analysis

## Homework 5

Not Strong Enough

May 8, 2020

### ┌ 1: Exercise 1

Let  $G = (V, c)$  be a flow network. Prove that flow is “transitive” in the following sense: if  $r, s, t$  are vertices, and there is an  $r$ - $s$ -flow of value  $k$  and an  $s$ - $t$ -flow of value  $k$ , then there is an  $r$ - $t$ -flow of value  $k$ .

*Proof.* Note that there is an  $r$ - $s$ -flow of value  $k$  means that the value of the maximum  $r$ - $s$ -flow is at least  $k$ , which also means that the value of the minimum  $r$ - $s$ -cut is at least  $k$ . Similarly, the value of the minimum  $s$ - $t$ -cut is also at least  $k$ .

Now consider an  $r$ - $t$ -cut. It is either an  $r$ - $s$ -cut (if  $s$  is not in the cut) or an  $s$ - $t$ -cut (if  $s$  is in the cut). So the capacity of the minimum  $r$ - $t$ -cut is at least  $k$ . It follows that the value of the maximum  $r$ - $t$ -flow is at least  $k$ , and thus there is an  $r$ - $t$ -flow of value  $k$ . □

## ┌ 2: Exercise 3

Prove Menger's Theorem. You have to prove two things: first, not both cases above can occur (this is rather easy); second, one of them must occur (this requires a tool from the lecture). ┐

*Proof.* Let  $V(G) = \{v_1, \dots\}$  and  $s = v_p, t = v_q$ .

We would like to construct a flow network  $(V', s', t', c)$  where  $V' = \{v_1, v'_1, v_2, v'_2, \dots\}$  and

$$c(u, v) = \begin{cases} 1, & \text{if } \exists i, (u, v) = (v_i, v'_i) \\ \infty, & \text{if } \exists i, j, (u, v) = (v'_i, v_j) \wedge (v_i, v_j) \in E \\ 0, & \text{otherwise} \end{cases}.$$

And finally let  $s' = v'_p, t' = v_q$ . Then:

- There are  $k$  vertex disjoint paths  $p_1, \dots, p_k$  in  $G$  iff there is a flow  $f$  in  $(V', s', t', c)$  with  $\text{val}(f) = k$ , iff  $\max \text{val}(f) \geq k$ .
- There are  $k - 1$  vertices  $v_{i_1}, \dots, v_{i_{k-1}}$  in  $V \setminus \{s, t\}$  such that  $G - \{v_{i_1}, \dots, v_{i_{k-1}}\}$  contains no  $s - t$  path iff there is a cut  $S$  in  $(V', s', t', c)$  with  $\text{cap}(S) = k - 1$  by making  $v_{i_j} \in S$  and  $v'_{i_j} \notin S$  for all  $j$ , iff  $\min \text{cap}(S) < k$ .

By *Max-Flow Min-Cut Theorem*, let  $\max \text{val}(f) = \min \text{cap}(S) = l$ . Then:

- Either  $l \geq k$ , resulting in 1 holds while 2 does not.
- Or  $l < k$ , resulting in 2 holds while 1 does not.

Therefore, *exactly one* of the two statements is true. □

In the two exercises below, let  $\Gamma(X)$  be the neighbors of  $X$ .

┌ **3: Exercise 4**

Consider the induced bipartite subgraph  $H_n[L_i \cup L_{i+1}]$ , show that for  $i < n/2$  the graph has a matching of size  $|L_i| = \binom{n}{i}$

*Proof.* Use Hall's Theorem, the size of maximum matching equals  $\min_{X \subseteq L_i} |L_i| - |X| + |\Gamma(X)|$ .

Since in  $H_n[L_i \cup L_{i+1}]$  the degree of each vertex in  $L_i$  is  $n - i$ , and that of each vertex in  $L_{i+1}$  is  $i + 1$ , there is  $|X|(n - i) \leq |\Gamma(X)|(i + 1)$ . As  $i < n/2$ ,  $|X| \leq |\Gamma(x)| \frac{i+1}{n-i} \leq |\Gamma(X)|$ , and only if  $|X| = 0$  can the equality be achieved.

So there is  $\min_{X \subseteq L_i} (|L_i| - |X| + |\Gamma(X)|) = |L_i| = \binom{n}{i}$ . □

#### 4: Exercise 5

Show that there are  $\binom{n}{i}$  paths in  $H_n$  starting at  $L_i$  ending in  $L_{n-i}$  and are disjoint.

*Proof.* Construct the flow in following steps:

1. Split each vertex  $v$  in  $\bigcup_{i \leq k \leq n-i} L_k$  into two,  $v_{in}$  and  $v_{out}$ , there is  $(v_{in}, v_{out})$  with capacity 1 in the flow. If there is an edge  $(u, v)$  in  $H_n$ , then there is an edge  $(u_{out}, v_{in})$  with capacity  $\infty$  in the flow.

2. Set a new point  $s$  connected to  $v_{i,in}$  for each  $v_i \in L_i$  in the flow, the capacity is 1. Symmetrically, for each  $v_{n-i} \in L_{n-i}$ , there is an edge  $(v_{n-i,out}, t)$  with capacity 1 in the flow.

Then there is a flow that, for edges from  $s$  or end to  $t$  the flow takes 1; for edges in form of  $(v_{k,out}, v_{k+1,in})$ , the flow takes  $\frac{\binom{n}{i}}{\binom{n}{k}\binom{n-k}{i}}$ ; for edges in form of  $(v_{k,in}, v_{k,out})$ , the flow takes  $\frac{\binom{n}{i}}{\binom{n}{k}\binom{n-k}{i}}$ .

It is obvious that the flow is well-defined, that for each vertex (except for  $s, t$ ) the flow in equals the flow out. And the total flow is  $\binom{n}{i}$ . Besides, it is the maxflow since the flow out of  $s$  is no more than  $\binom{n}{i}$ .

So there also exists a integer max flow  $F$  whose size is  $\binom{n}{i}$ . Since in the flow network, the capacity of  $(v_{in}, v_{out})$  for each  $v$  is 1, each path in the flow network is disjoint. (Otherwise, the flow in for some vertex  $v_{in}$  is greater than 1). The capacity of each path is 1, so there are  $\binom{n}{i}$  paths.

Combine  $v_{in}$  and  $v_{out}$  together and remove  $s, t$ , these  $\binom{n}{i}$  paths turns to be disjoint paths from  $L_i$  to  $L_{n-i}$ .  $\square$

┌ **5: Exercise 6**

Let  $\nu(G)$  denote the size of a maximum matching of  $G = (V, E)$ . Show that a bipartite graph  $G$  has at most  $2^{\nu(G)}$  minimum vertex covers.

*Proof.* From the König's Theorem, we know that the size of minimum vertex cover is  $\nu(G)$  if  $G$  is a bipartite graph. Let  $C$  be a minimum vertex cover. Then we can construct new minimum vertex covers by choosing vertices from  $C$  and  $V \setminus C$ . In other words, all minimum vertex covers can be represented by  $X \cup Y$ , where  $X \subseteq C, Y \subseteq V \setminus C$ . Denote  $N(A) = \{b \mid \exists a \in A, \text{ there is an edge between } a \text{ and } b\}$ . For all  $X \subseteq C$ , to construct a vertex cover,  $Y$  must touch all edges touched by  $C \setminus X$  but not by  $X$ .

- If  $N(C \setminus X) \cap (C \setminus X) \neq \emptyset$ , there does not exist such a  $Y$ .
- If  $N(C \setminus X) \cap (C \setminus X) = \emptyset$ , then  $Y$  must be at least  $N(C \setminus X) \cap (V \setminus C)$  to be a vertex cover. To make  $X \cup Y$  a minimum vertex cover,  $Y$  has to be  $N(C \setminus X) \cap (V \setminus C)$ .

Since  $X$  has  $2^{\nu(G)}$  choices,  $G$  has at most  $2^{\nu(G)}$  minimum vertex covers. □

Obviously, this is not true for general (non-bipartite) graphs: the triangle  $K_3$  has  $\nu(K_3) = 1$  but it has three minimum vertex covers. The five-cycle  $C_5$  has  $\nu(C_5) = 2$  but has five minimum vertex covers.

┌ **6: Exercise 7**

Is there a function  $f: \mathbf{N}_0 \rightarrow \mathbf{N}_0$  such that every graph with  $\nu(G) = k$  has at most  $f(k)$  minimum vertex covers?  
How small a function  $f$  can you obtain?

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*Solution.* Suppose that we have a graph  $G = (V, E)$  and one of its maximum matching  $M \subseteq E$  with  $|M| = \nu(G) = k$ . We have the two following observations:

- For any vertex cover  $C \subseteq V$  of  $G$ , for every edge in  $M$  there must be at least one of its endpoint which is in  $C$ . Otherwise there exists an edge in  $M$  such that neither of its endpoints is in  $C$ , which means that this edge is uncovered and therefore  $C$  is not a vertex cover.
- For any vertex  $v$  which is not matched, all of its neighbors must be matched, or the edge between  $v$  and one of its unmatched neighbors can be added to the maximum matching and therefore  $M$  is not maximum.

We now construct a vertex set  $C_0 \subseteq V$  such that for every edge  $(u, v)$  in  $M$ , either  $u \in C_0$ , or  $v \in C_0$ , or both  $u, v \in C_0$ . There are  $3^k$  possible  $C_0$  in total.

For each possible  $C_0$ , note that it may not be a “vertex cover” by far. So we try to construct another vertex set  $C_1$  from  $C_0$ . Let  $C_1$  be an empty set at the beginning. From the second observation above, for every unmatched vertex  $v$ , there are two cases. If all of its neighbors are in  $C_0$ , then we do nothing, since every edge connected to  $v$  is covered by vertices in  $C_0$ . Otherwise we add it into  $C_1$  to cover the edges which  $C_0$  didn’t cover. Note that  $C_1$  is *uniquely determined by*  $C_0$ .

Let  $\mathcal{C}$  be a family of vertex covers, which is initialized to empty. Now consider  $C_0 \cup C_1$ . We know that it is also uniquely determined by  $C_0$ . If it is a vertex cover, we add it to  $\mathcal{C}$ . There are at most  $3^k$  vertex covers in  $\mathcal{C}$ , since there are  $3^k$  possible  $C_0$ , and for some  $C_0$  and its corresponding  $C_1$ ,  $C_0 \cup C_1$  may not be a vertex cover.

Claim that any minimum vertex cover  $C$  must belong to  $\mathcal{C}$ . Because from the first observation, we can let the unique  $C_0$  be the matched vertices covered by  $C$ . And then unique  $C_1$  can be constructed from  $C_0$ .  $C_0 \cup C_1$  is the minimum vertex cover when  $C_0$  is fixed. So  $C = C_0 \cup C_1 \in \mathcal{C}$ .

So there are at most  $3^k$  minimum vertex covers in total, and  $f(k) = 3^k$ . Also note that this upper bound is *tight*. Just consider the triangle  $K_3$  — it has  $3 = 3^1 = 3^{\nu(K_3)}$  minimum vertex covers. □