

# Reinforcement Learning

## Lecture 10: Extended methods

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## 1 More approaches

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- DQN characteristics
- distributed and recurrent RL
- R2D2 performance
- More exploration approaches

## 2 More rewards

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- NGU: intrinsic motivation and curiosity
- The reward-is-enough hypothesis

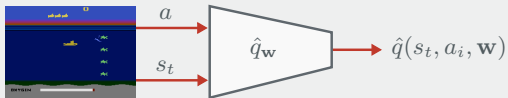
## 3 More architectures

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- Dreamer and DreamerV2
- AlphaStar and looking forward
- The bitter lesson
- self-play and league-play

## Characteristics: DQN

DQNs optimise a function (neural network) to predict the  $Q$ -value (the expected reward) for a given state and action.



DQN doesn't work very well for long-term credit assignments:



## Recap: function approximation

The function can be approximated:

```
Q = np.zeros([n_states, n_actions])  
a_p = Q[s,:]
```

```
# action-value table is approximated:  
a_p = DeepNeuralNetwork(s)
```

Remember this usually requires several extra tricks to work:

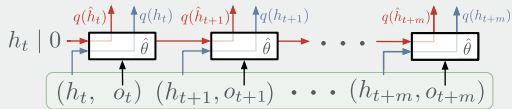
- Double DQN
- Prioritised replay
- Distributed RL



## Definition: R2D2

Recurrent Replay Distributed DQN (R2D2) [1] uses RNNs, training on a sequence of  $m = 80$  observations  $o_t$  and hidden states  $h_t$ :

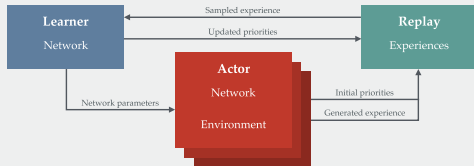
Computation of  $\Delta Q$



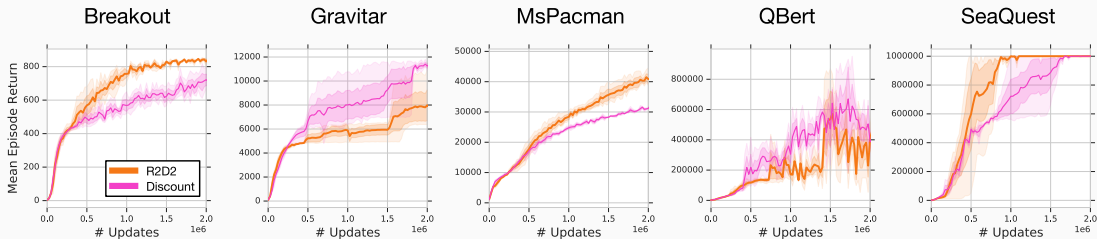
Therefore it can backpropagate through the history, updating where earlier actions led to long-term future reward.

## Definition: distributed RL

In distributed RL [2], a central learner (with some parameters  $\theta$ ) receives experience from multiple parallel workers  $w_1, w_2, \dots, w_n$  which run episodes independently:



These graphs show R2D2 performance for  $\gamma = 0.99$  (pink) vs  $\gamma = 0.997$  (orange):



[Watch R2D2 play Gravitar](#) 

[Watch R2D2 play other Atari](#) 

## Exploration vs exploitation

R2D2 is not good at balancing exploration vs exploitation. There are other exploration strategies besides taking random actions:

- random exploration, as before:
  - $\epsilon$ -greedy
  - softmax
- optimism in the face of uncertainty
  - estimate uncertainty of the value
  - prefer exploring states/actions with higher uncertainty
- information state space
  - the agent information is part of the state description
  - quantifies state information value

## Exploration in Gravitar and AoE

Randomly choosing isn't always good:



## Definition: intrinsic reward

Never Give Up (NGU) [3] extends R2D2 by adding an intrinsic reward  $R'$ , which is where the agent adds its own reward on top of the environment reward:

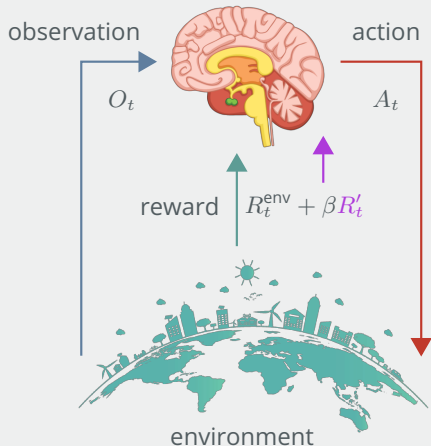
$$R_t = R_t^{\text{env}} + \beta R'_t,$$

where  $\beta$  weights the exploration according to its intrinsic reward (e.g. curiosity).

Specifically, it adds a reward for finding things that it has not yet seen before.

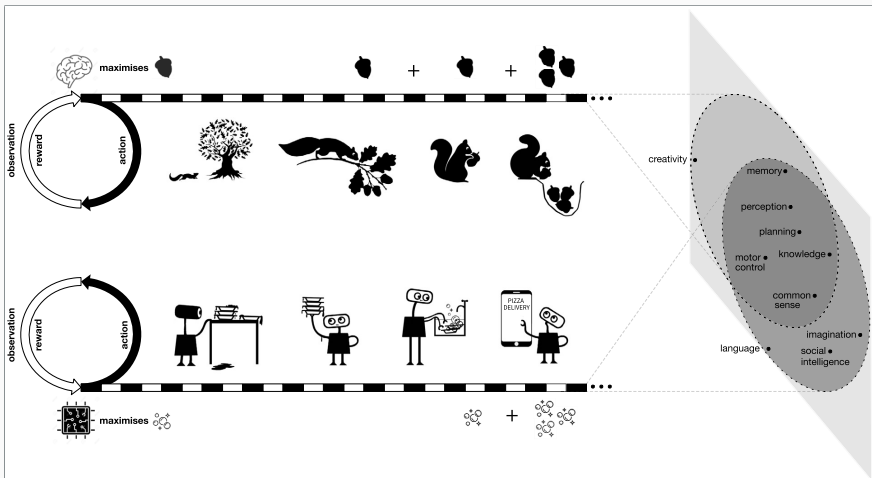
- intrinsic motivation
- curiosity
- novelty

## Example: intrinsic reward



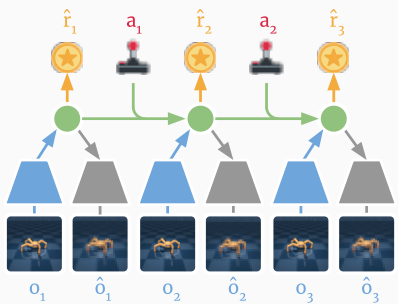
# More rewards the reward-is-enough hypothesis

Reward is enough [4] (Silver & Sutton). Others argue for intrinsic rewards in practice.

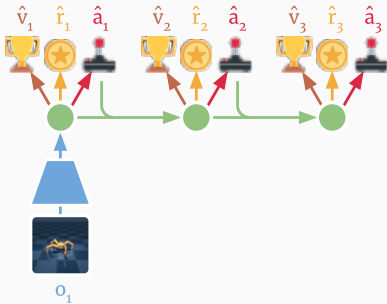




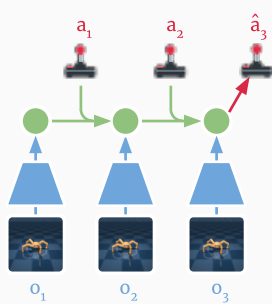
Dreamer [5] and DreamerV2 [6] use a recurrent neural network to ‘imagine’ and plan ahead, all in the latent (feature representation) space:



(a) Learn dynamics from experience



(b) Learn behavior in imagination



(c) Act in the environment



# Latent recurrent imagination Dreamer algorithm

Initialize dataset  $\mathcal{D}$  with  $S$  random seed episodes. Initialize neural network parameters  $\theta, \phi, \psi$  randomly.

**while not converged do**

**for update step  $c = 1..C$  do**

// Dynamics learning

Draw  $B$  data sequences  $\{(a_t, o_t, r_t)\}_{t=k}^{k+L} \sim \mathcal{D}$ .

Compute model states  $s_t \sim p_\theta(s_t | s_{t-1}, a_{t-1}, o_t)$ .

Update  $\theta$  using representation learning.

// Behavior learning

Imagine trajectories  $\{(s_\tau, a_\tau)\}_{\tau=t}^{t+H}$  from each  $s_t$ .

Predict rewards  $E(q_\theta(r_\tau | s_\tau))$  and values  $v_\psi(s_\tau)$ .

Compute value estimates  $V_\lambda(s_\tau)$  via [Equation 6](#).

Update  $\phi \leftarrow \phi + \alpha \nabla_\phi \sum_{\tau=t}^{t+H} V_\lambda(s_\tau)$ .

Update  $\psi \leftarrow \psi - \alpha \nabla_\psi \sum_{\tau=t}^{t+H} \frac{1}{2} \|v_\psi(s_\tau) - V_\lambda(s_\tau)\|^2$ .

// Environment interaction

$o_1 \leftarrow \text{env.reset}()$

**for time step  $t = 1..T$  do**

Compute  $s_t \sim p_\theta(s_t | s_{t-1}, a_{t-1}, o_t)$  from history.

Compute  $a_t \sim q_\phi(a_t | s_t)$  with the action model.

Add exploration noise to action.

$r_t, o_{t+1} \leftarrow \text{env.step}(a_t)$ .

Add experience to dataset  $\mathcal{D} \leftarrow \mathcal{D} \cup \{(o_t, a_t, r_t)_{t=1}^T\}$ .

## Model components

Representation  $p_\theta(s_t | s_{t-1}, a_{t-1}, o_t)$

Transition  $q_\theta(s_t | s_{t-1}, a_{t-1})$

Reward  $q_\theta(r_t | s_t)$

Action  $q_\phi(a_t | s_t)$

Value  $v_\psi(s_t)$

## Hyper parameters

Seed episodes  $S$

Collect interval  $C$

Batch size  $B$

Sequence length  $L$

Imagination horizon  $H$

Learning rate  $\alpha$

AlphaStar [7] uses many components, supervised learning, and league-play.



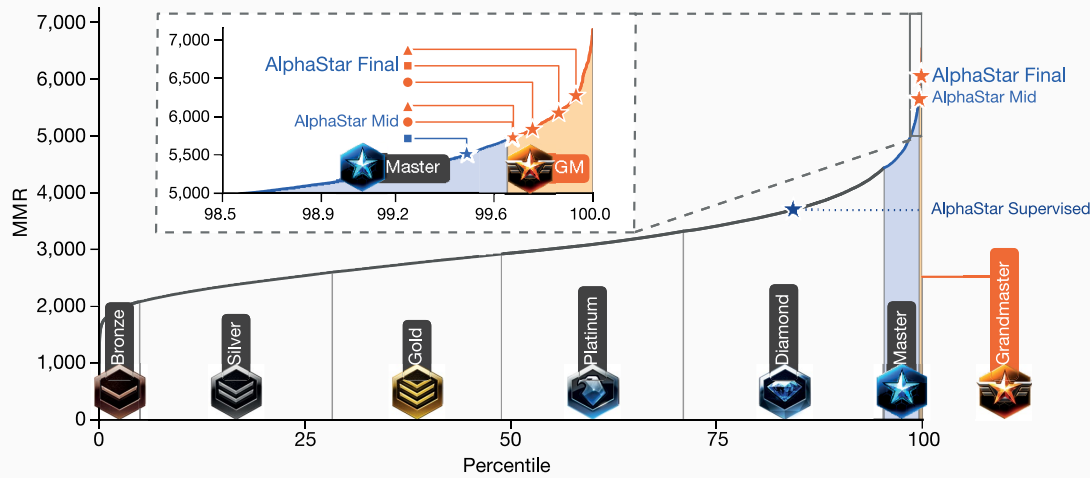
## Rich Sutton, 2019

📖 Rich Sutton's bitter lesson is that, despite it being tempting to incorporate domain knowledge, general purpose agents win by a large margin.

### [Link to article](#)

- AI researchers have often tried to build knowledge into their agents
- this always helps in the short term, and is personally satisfying to the researcher
- but in the long run it plateaus and even inhibits further progress
- breakthrough progress eventually arrives by an opposing approach based on scaling computation by search and learning

# AlphaStar and looking forward towards self-play and league-play





## Summary

In summary:

- learn the foundations and concepts of the field, so you can speak the lingo...
- ...but you may want to approach overly complex papers more like an engineer
  - run the code and dismantle it back down to the concepts that make it work
- sample efficiency is an issue, which can be traded for with model-based imagination
- general purpose agents are the future



- [1] Steven Kapturowski et al. "Recurrent experience replay in distributed reinforcement learning". In: International Conference on Learning Representations. 2018.
- [2] Dan Horgan et al. "Distributed Prioritized Experience Replay". In: International Conference on Learning Representations. 2018.
- [3] Adrià Puigdomènech Badia et al. "Never Give Up: Learning Directed Exploration Strategies". In: International Conference on Learning Representations. 2020.
- [4] David Silver et al. "Reward is enough". In: Artificial Intelligence (2021), p. 103535.
- [5] Danijar Hafner et al. "Dream to Control: Learning Behaviors by Latent Imagination". In: International Conference on Learning Representations. 2020.
- [6] Danijar Hafner et al. "Mastering Atari with Discrete World Models". In: International Conference on Learning Representations. 2021.
- [7] Oriol Vinyals et al. "Grandmaster level in StarCraft II using multi-agent reinforcement learning". In: Nature 575.7782 (2019), pp. 350–354.