

Modeling perinatal and neonatal mortality in Colombia and the U.S.

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Motivation

Perinatal and Neonatal mortality

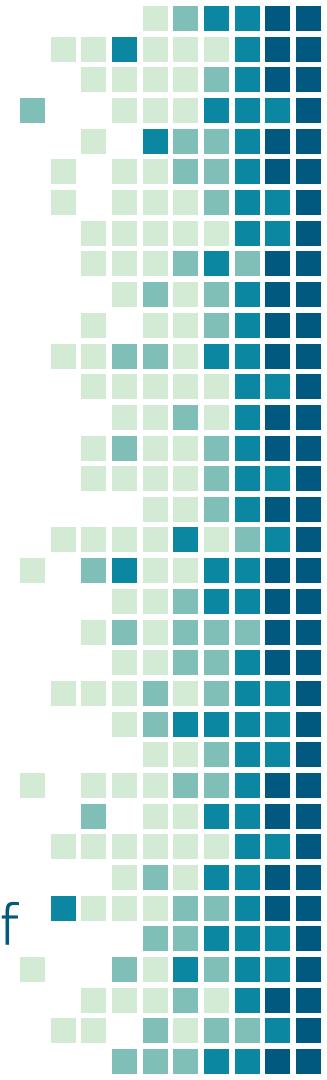


Perinatal:
Fetal period & early
neonatal



**Early
neonatal:**
Before 7 days
of birth

**Late
neonatal:**
7-28 days of
birth



Motivation

Considerable differences in perinatal and neonatal mortality between developing and developed countries



Colombia: 32 states

\$



U.S.: 50 states

\$\$\$

Problem

This project will attempt to **model the probability of perinatal and late neonatal mortality in 2020** in different regions in **Colombia** and compare it with the **US**



Colombia: 32 states

\$



U.S.: 50 states

\$\$\$

Modeling idea



Deaths in the
perinatal and
neonatal period



Treated as
"successes"

Births in the same
year

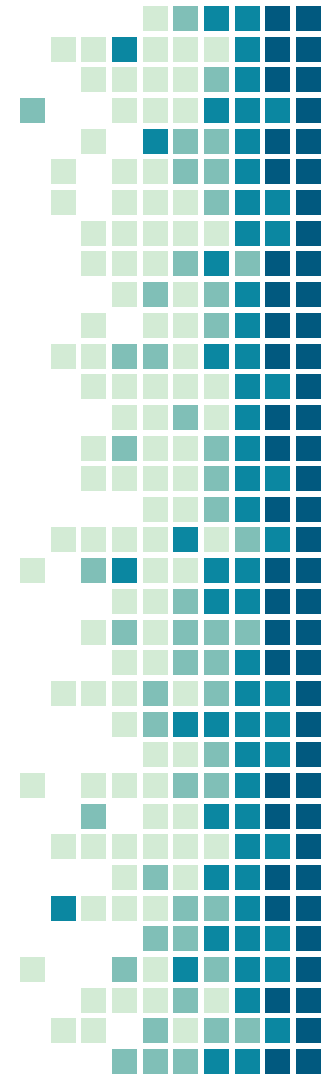


Treated as "trials"



Beta-binomial model:

The probability of success at each of n
trials is not fixed, drawn from β distribution



Data



National Institute of Health (INS)



Epidemiological reports 2017-2020

No models found
for this type of
data



CDC wonder



Infant death records 2007-2020

Only predictive
modeling for
whole country



Models

**Separate and
hierarchical**

Variables

Parameter of interest

$\theta \rightarrow$ Probability of perinatal and late neonatal death

$y \rightarrow$ Number of deaths

$n \rightarrow$ Number of births in the same period

Similarities

Likelihood

$$p(y_i | \theta_i) = \binom{n_i}{y_i} \theta_i^{y_i} (1 - \theta_i)^{n_i - y_i}$$

Both priors used death and birth means from the past data*

Priors and hyper-priors

Separate

$$\theta_i \sim \text{Beta}(\bar{a}_i, \bar{b}_i)$$

\bar{a}_i → Mean of deaths in region i, from past data*

\bar{b}_i → Mean of live births in region i, from past data*

Hierarchical

$$\theta_i \sim \text{Beta}(\alpha, \beta)$$

$$\alpha = \mu\eta, \beta = (1 - \mu)\eta$$

hyper-priors

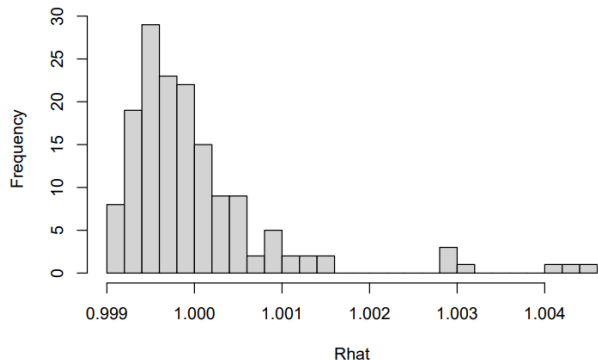
$$\eta \sim \text{exponential}(x)$$

$$\mu \sim \text{Beta}(\bar{\alpha}_i, \bar{\beta}_i)$$

Convergence

US Data

Histogram for Rhat



```
check_divergences(separate_modelUS)
```

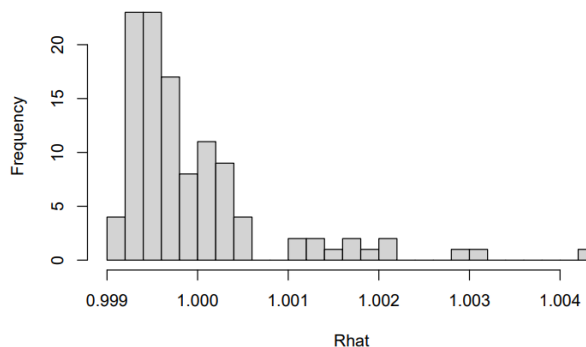
```
## 0 of 4000 iterations ended with a divergence.
```

```
check_treedepth(separate_modelUS)
```

```
## 0 of 4000 iterations saturated the maximum tree depth of 10.
```

Colombia Data

Histogram for Rhat



```
check_divergences(separate_modelCol)
```

```
## 0 of 4000 iterations ended with a divergence.
```

```
check_treedepth(separate_modelCol)
```

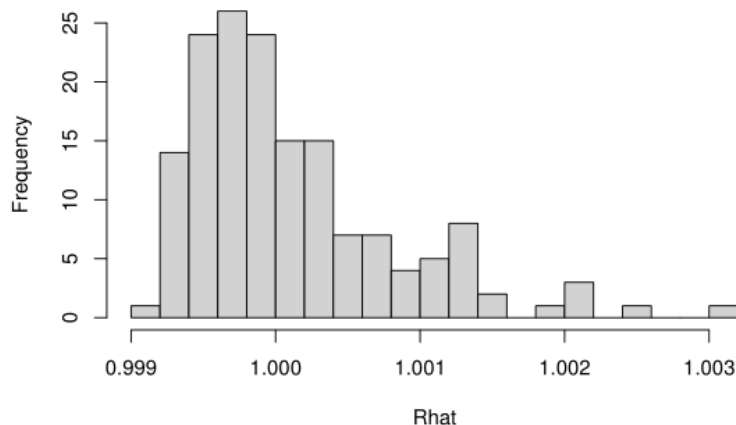
```
## 0 of 4000 iterations saturated the maximum tree depth of 10.
```

S
E
P
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Convergence

US Data

Histogram for Rhat



```
check_divergences(hierarchical_modelUS)
```

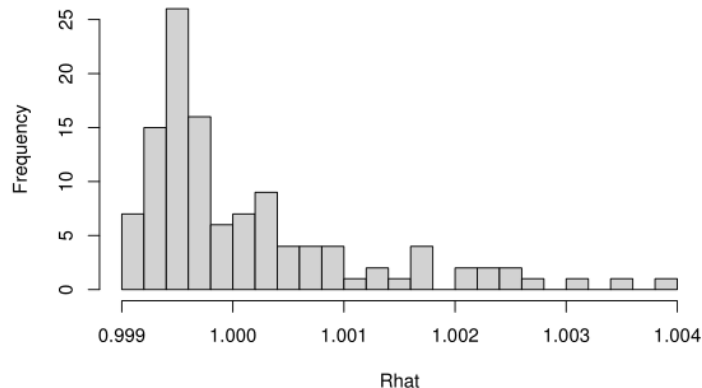
```
## 0 of 4000 iterations ended with a divergence.
```

```
check_treedepth(hierarchical_modelUS)
```

```
## 0 of 4000 iterations saturated the maximum tree depth of 10.
```

Colombia Data

Histogram for Rhat



```
check_divergences(hierarchical_modelCol)
```

```
## 0 of 4000 iterations ended with a divergence.
```

```
check_treedepth(hierarchical_modelCol)
```

```
## 0 of 4000 iterations saturated the maximum tree depth of 10.
```

Model improvements

Separate model

Hyper-priors

$a[i] \sim \text{normal}(a\text{Mean}[i], a\text{Std}[i])$

$b[i] \sim \text{normal}(b\text{Mean}[i], b\text{Std}[i])$

Prior

$\theta[j] \sim \text{beta}(a[j], b[j])$

**K-pareto analysis
worse**

Hierarchical model

$\eta \sim \text{exponential}(x)$

Instead of...

$\eta \sim \text{gamma}(s,t)$

**Many s,t values were tried, k-
pareto got worse**

Model comparison

Separate model



US Data

```
##
## Computed from 4000 by 51 log-likelihood matrix
##
##           Estimate   SE
## elpd_loo  -233.2   9.6
## p_loo      31.4   4.7
## looic      466.4  19.2
## -----
## Monte Carlo SE of elpd_loo is NA.
##
## Pareto k diagnostic values:
##           Count Pct.   Min. n_eff
## (-Inf, 0.5] (good)    14   27.5%   703
## (0.5, 0.7] (ok)      25   49.0%   116
## (0.7, 1] (bad)      11   21.6%    31
## (1, Inf) (very bad)  1    2.0%    19
## See help('pareto-k-diagnostic') for details.
```

Colombia
Data

```
##
## Computed from 4000 by 37 log-likelihood matrix
##
##           Estimate   SE
## elpd_loo  -164.6   6.7
## p_loo       21.4   3.8
## looic      329.1  13.4
## -----
## Monte Carlo SE of elpd_loo is NA.
##
## Pareto k diagnostic values:
##           Count Pct.   Min. n_eff
## (-Inf, 0.5] (good)     9   24.3%   366
## (0.5, 0.7] (ok)      22   59.5%   367
## (0.7, 1] (bad)       5   13.5%    48
## (1, Inf) (very bad)  1    2.7%    36
## See help('pareto-k-diagnostic') for details.
```

Hierarchical model

US
Data

```
## Monte Carlo SE of elpd_loo is NA.
##
## Pareto k diagnostic values:
##           Count Pct.   Min. n_eff
## (-Inf, 0.5] (good)     3    5.9%   750
## (0.5, 0.7] (ok)      12   23.5%   230
## (0.7, 1] (bad)      30   58.8%    16
## (1, Inf) (very bad)  6   11.8%    15
## See help('pareto-k-diagnostic') for details.
```

Colombia
Data

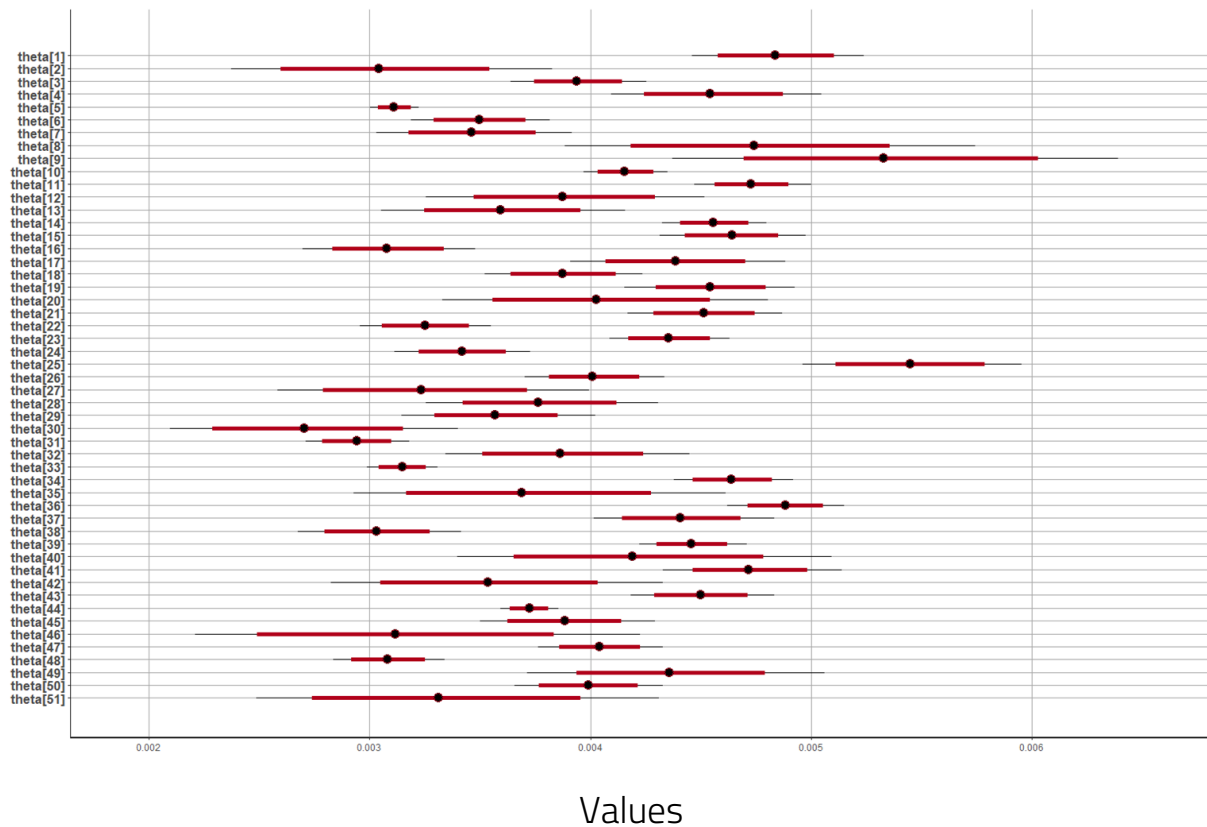
```
## Computed from 4000 by 37 log-likelihood matrix
##
##           Estimate   SE
## elpd_loo  -174.2   5.0
## p_loo      32.7   3.3
## looic      348.5  10.1
## -----
## Monte Carlo SE of elpd_loo is NA.
##
## Pareto k diagnostic values:
##           Count Pct.   Min. n_eff
## (-Inf, 0.5] (good)     0    0.0%  <NA>
## (0.5, 0.7] (ok)       6   16.2%   125
## (0.7, 1] (bad)      25   67.6%    21
## (1, Inf) (very bad)  6   16.2%     9
## See help('pareto-k-diagnostic') for details.
```

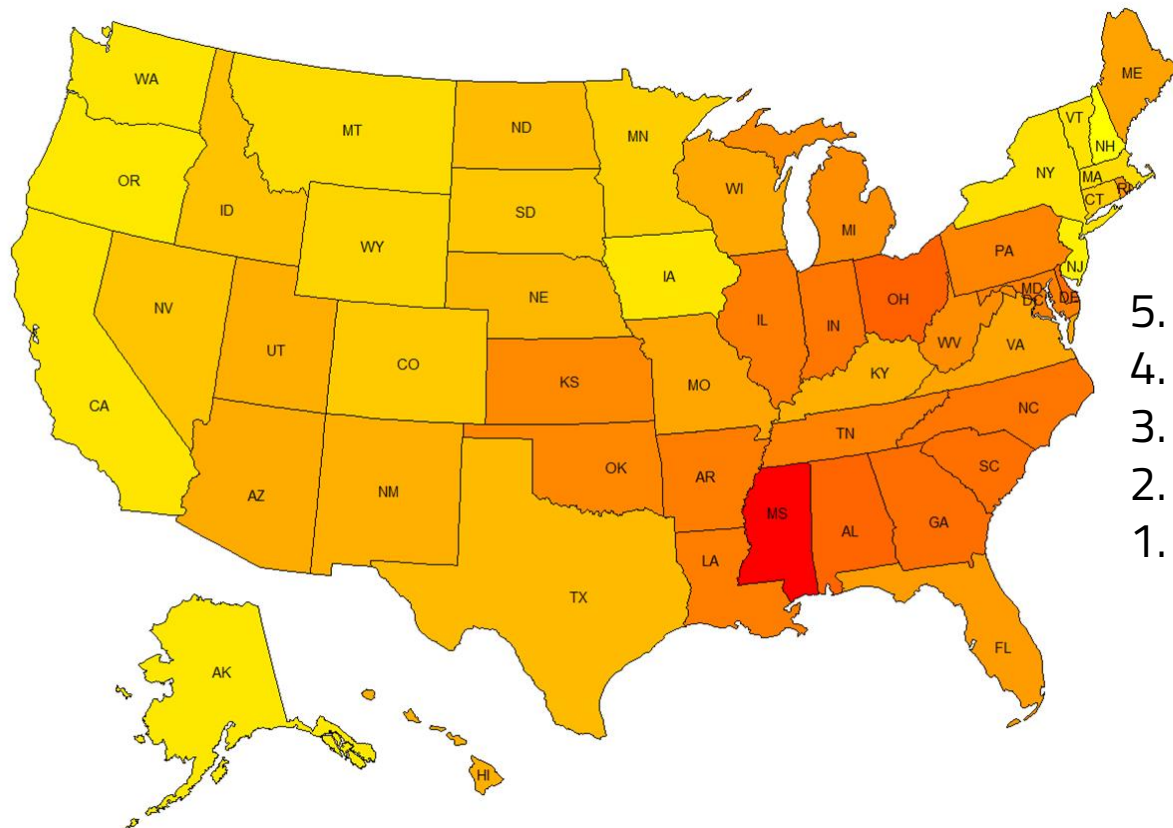


RESULTS

US DATA

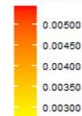
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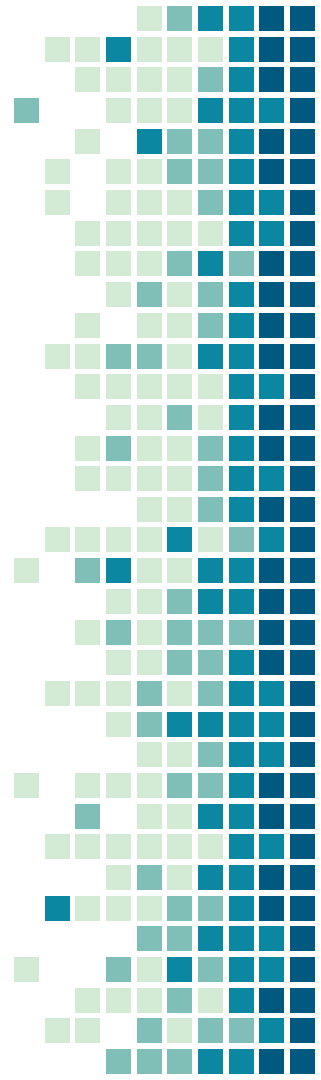
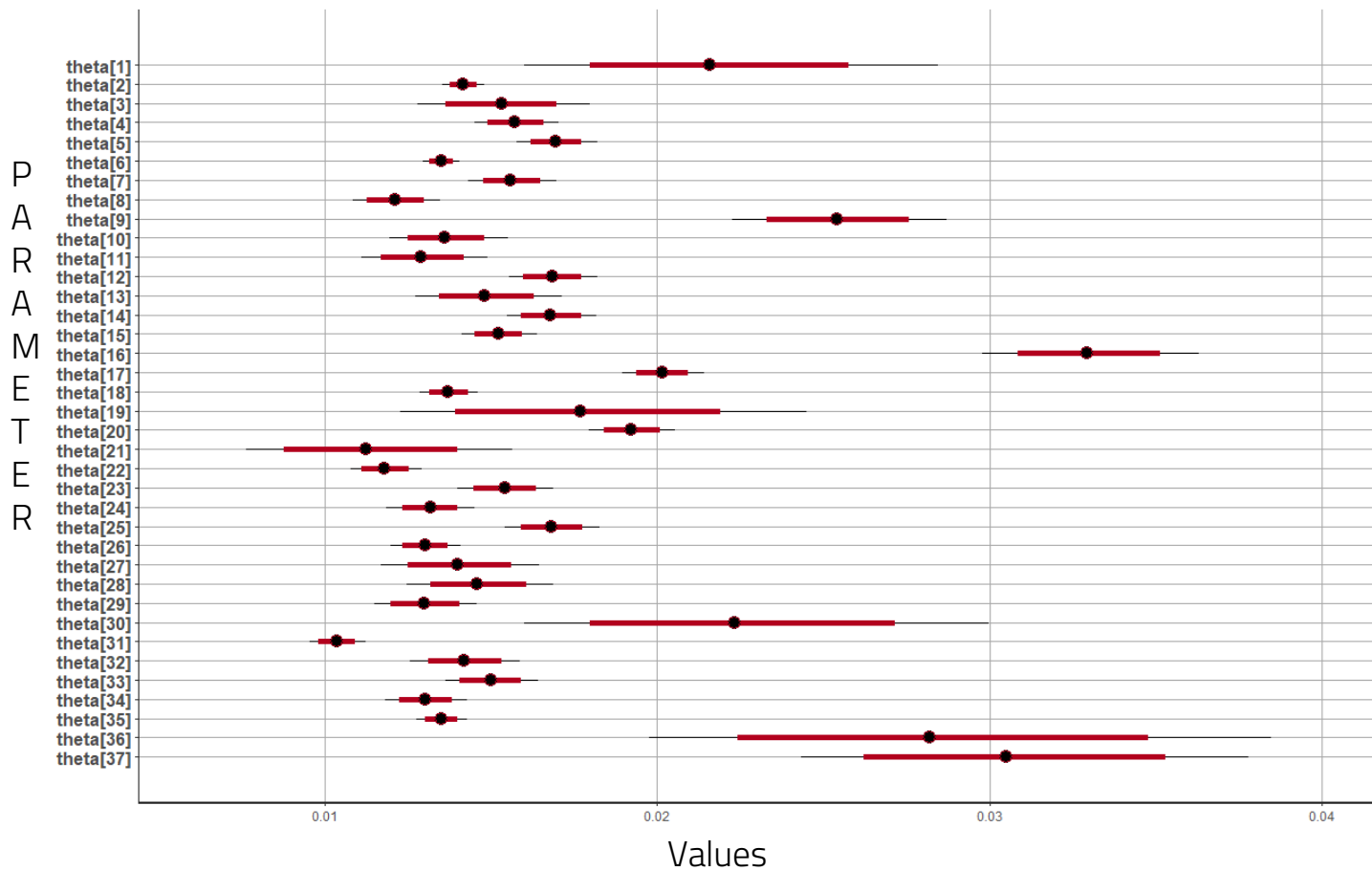


5. S. Carolina: 0.00472
4. Alabama: 0.00484
3. Ohio: 0.00488,
2. Columbia: 0.00534
1. Mississippi: 0.00545

Perinatal and Neonatal mortality (2020)

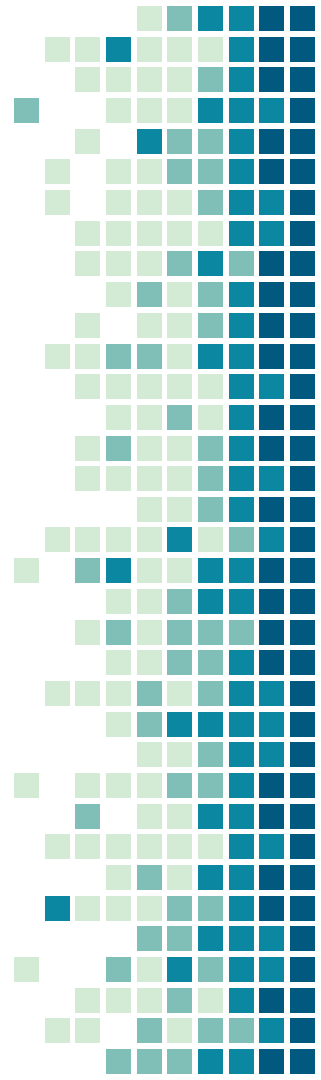


COLOMBIA DATA





1. Choco: 0.0330
2. Vichada: 0.0306
3. Vaupes: 0.0284,
4. Buenaventura: 0.0284
5. Cordoba: 0.0202



Poorness vs Perinatal & Neonatal Mortality

Choco, Vichada and Vaupes are among the top 5 poorest states in Colombia [2]

South Carolina, Columbia, Mississippi and Alabama are between the top 10 poorest states in the US [3]



Discussion of Issues

Separate model

K-pareto analysis not optimal

Increase data size using not the mean but all past year data

Interesting idea: Combine the model with a predictive one (using all data)→ Forecast probability of death in each state in the future years.

Hierarchical model

K-pareto analysis not good

Try different hyper-priors and use all past data.

→ Arima, ArimaX

¿Any questions?

References

- [1] "Neonatal Mortality." UNICEF DATA, 20 July 2021, <https://data.unicef.org/topic/child-survival/neonatal-mortality/>.
- [2] Semana Magazine. "Pobreza En Colombia: Estos Son Los Departamentos Más Afectados." Semana.com Últimas Noticias De Colombia y El Mundo, 21 Dec. 2020, <https://www.semana.com/economia/articulo/pobreza-en-colombia-estos-son-los-departamentos-masafectados/202026/>.
- [3] November 10, 2021. "Top 10 Poorest States in the U.S." Friends Committee On National Legislation, <https://www.fcnl.org/updates/2021-11/top-10-poorest-states-us>. Vehtari, Aki, and Markus Paasiniemi.
- "BDA3 Demos Comparison of 2 Groups with Binomial." Aalto University. Niemi, Jarad. "Hierarchical Models." PPT file. Iowa State University, 2019. Hu, Jim Albert and Jingchen.
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