

Theory Of Computation

Computer Science & Engineering





CHAPTER-1

INTRODUCTION



What is TOC ?

- It is the mathematical study of computing machine and its capabilities.
- Theory of computation is a study of formal language and automata theory.
- TOC consist of language, Automata and Grammar



Alphabet

- The non empty finite set of symbols is called as an alphabet and it is denoted by Σ .

- Example

- $\Sigma = \{a.b.c.....z\}$
- $\Sigma = \{0,1\}$
- $\Sigma = \{1\}$



String

- Any finite sequence of symbol from alphabet Σ , is called as string and is represented by w .

- Example

- $\Sigma = \{a,b\}$
 - $w = a, ab, aa, bb$
 - $w = \cancel{ab}, aab, \cancel{abc}$
- $\Sigma = \{0,1\}$
 - $w = 0, 01, 00, 11, 1$
 - $w = \cancel{102}, \cancel{2013}$



Length of a String

- If w is any string over alphabet Σ then the number of symbols involved in the sequence of string is called as length of the string and denoted by $|w|$.
- Example
 - $\Sigma = \{a,b\}$ $W = a, ab, aa, bb$, $|w| = 1, 2, 2, 2$
 - $\Sigma = \{0,1\}$ $W = 0, 01, 00, 11, 1$ $|w| = 1, 2, 2, 2$
- **Empty String**
- A string of length zero or string without any symbols is known as empty string and is denoted by ϵ
 - $w = \epsilon$, $|w| = 0$
 - $w. \epsilon = w = \epsilon.w$



Substring

- Let u, w be the two strings over same alphabet Σ then u is said to be substring of w if u can be obtained from w .

- Any consecutive sequence of symbols over given string.

- If u is a substring of w then $|u| \leq |w|$
- Every string is substring to itself.
- Empty string “ ϵ ” is substring for every string.

- **Example**

- $W = \text{TOC}$

- Zero length Substring = ϵ

- Two length substring = TO, OC

One length substring = T, O, C

Three length substring = TOC



Types of Substring

- **The substrings are classified into two types**
 1. **Trivial Substring or improper Substring**
 2. **Non-trivial or proper substring**
- **Trivial Substring or improper Substring**
 - If w is any string over alphabet Σ then the substring w itself and ϵ is called as trivial substring
- **Non-trivial Substring or proper Substring**
 - If w is any string over alphabet Σ then any substring of w other than w itself and ϵ is called non trivial substring.



Facts about Substring

- If w is any string with distinct symbols and $|w| = n$
 1. No of substrings = $\sum_{i=1}^n i = n(n+1)/2 + 1$
 2. No. of trivial string = 2
 3. No. of non trivial substring = $\sum_{i=1}^n i - 1$
 4. No. of non empty substring = $\sum_{i=1}^n i$
 5. No of substrings of distinct length = $n+1$
 6. No. of strings of length n generated over alphabet $\Sigma = |\Sigma|^n$



Prefix and Suffix

- **Prefix**
 - The sequence of starting or leading symbol is called as prefix.
- **Suffix**
 - The sequence of ending or trailing symbol is called as suffix.
- **Example**
- $w = \text{TOC}$, $|w| = 3$
- Prefix : €, T , TO , TOC
- Suffix : TOC , OC , C , €



Facts about Prefix and Suffix

- If w is any string of length ' n ' then
 1. No. of prefix = No. of suffix = $n+1$
 2. Trivial substrings are common for both prefix and suffix
 3. Every prefix and suffix must be a substring but every substring need not be prefix or suffix.



Power of an alphabet

- If Σ is any alphabet then Σ^k is the set of all the strings of length k .
- Example : $\Sigma = \{0,1\}$
 - $\Sigma^2 = \{00,01,10,11\}$
 - $\Sigma^3 = \{000,001,010,011,100,101,110,111\}$
 - $\Sigma^k = \{\text{all } k\text{-length strings}\}$
- **+ve closure(Σ^+)**
 - $\Sigma^+ = \{w \mid |w| \geq 1\}$
- **Kleen closure(Σ^*)**
 - $\Sigma^* = \{w \mid |w| \geq 0\}$
- $\Sigma^* = \Sigma^+ \cup \epsilon$



Language

- The collection of strings from the alphabet Σ is called language
 - **Example** $\Sigma = \{0,1\}$
 - $L = \{00,01,10,11\}$
 - $L = \{ (01)^n \mid n \geq 1 \}$
 - $L = \{ 0^n 1^m \mid m \geq 1, n \geq 1 \}$
- If Σ is any alphabet then Σ^* is called as universal language



Formal Language

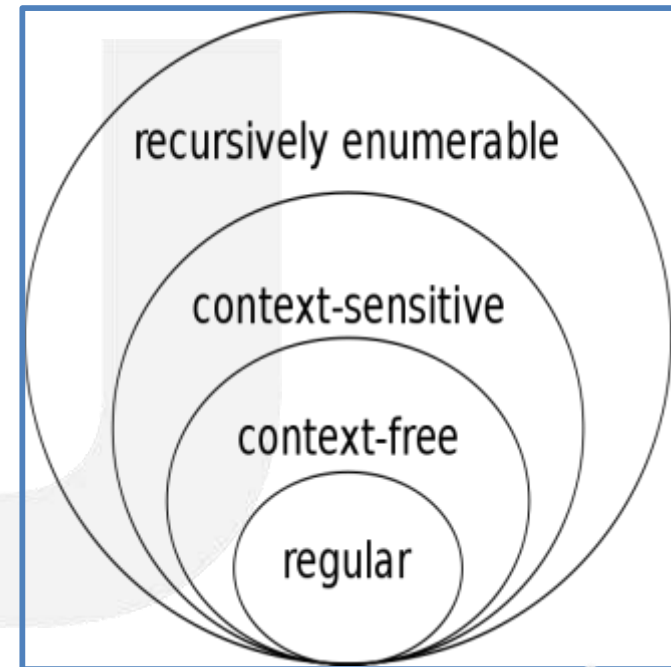
- The collection of strings where we can put some restriction in the formation of string is called as formal language.
 - **Example** $\Sigma = \{0,1\}$
 - $L = \{00,01,10,11\}$
 - $L = \{ (01)^n \mid n \geq 1 \}$
 - $L = \{ 0^n 1^m \mid m \geq 1, n \geq 1 \}$





Chomsky classification of Formal Language

- According to Chomsky the formal languages are classified as
 1. Type 0 or Recursive Enumerable Languages
 2. Type 1 or Context sensitive languages
 3. Type 2 or Context free languages
 4. Type 3 or Context Regular languages





Types of Language

- **Empty Languages**

The Language that does not contain any string even empty string is called as empty language and is denoted by ϕ .

- **Non Empty Languages**

The Language that contain at least one string is called as non empty language .

- **Finite Languages**

The Language which contains finite number of strings and length of each string is finite is called as finite language .

EX : $L = \{ 0^n 1^n \mid 1 \leq n \leq 1 \}$

- **Infinite Languages**

The Language which contains infinite number of strings and length of each string is finite is called as infinite language .

EX : $L = \{ 0^n 1^n \mid n \geq 1 \}$



Automata

- The mathematical system that can represent the formal language is called as Automata i.e. The mathematical representation of formal language is called as an automata.
- **Types of Automata**
 1. Finite Automata(FA)
 2. Push Down Automata(PDA)
 3. Linear Bound Automata(LBA)
 4. Turing Machine(LBA)



Expressive Power of an Automata

- The number of languages accepted by an automata is called as Expressive Power of an Automata.

1. $E(FA)=1$
2. $E(PDA)=2$
3. $E(LBA)=3$
4. $E(TM)=4$





Grammar

- The collection of rules which are used to generate the string is called grammar.
- Grammar G is a collection of 4 tuples $\{V, T, P, S\}$

$G = \{V, T, P, S\}$, Where

V = set of all Nonterminal symbol/variable

P = set of all productions

T = set of all terminal symbols

S = starting symbol

▪ **Example**

- | | | | |
|----|-------------------------|--------|--------------------------|
| 1. | $A \longrightarrow XYZ$ | $(r1)$ | $V = \{A, X, Y, Z\}$ |
| | $X \longrightarrow a$ | $(r2)$ | $T = \{a, b, c\}$ |
| | $Y \longrightarrow b$ | $(r3)$ | $P = \{r1, r2, r3, r4\}$ |
| | $Z \longrightarrow c$ | $(r4)$ | $S = A$ |

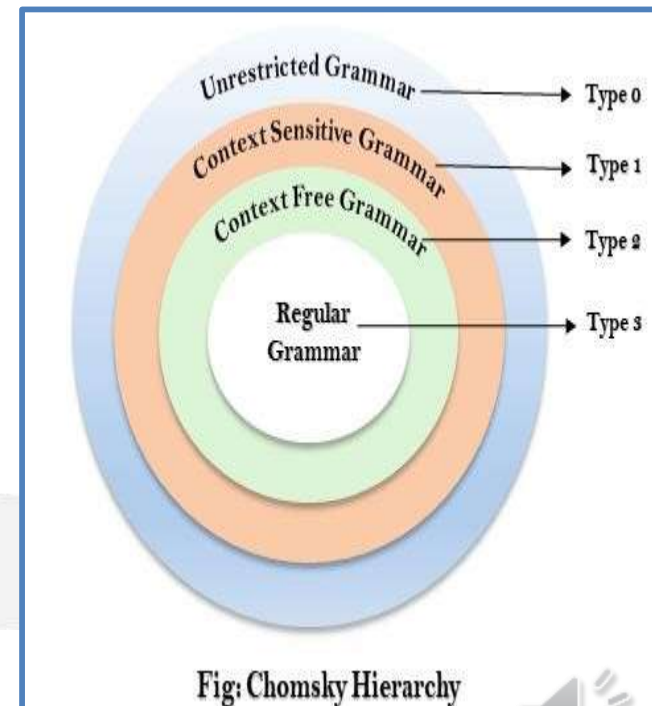




Chomsky classification of Grammar

- According to Chomsky the Grammar is classified as

1. Type 0 or Recursive Enumerable Grammar
2. Type 1 or Context sensitive Grammar
3. Type 2 or Context free Grammar
4. Type 3 or Context Regular Grammar





Type 0 or Unrestricted Grammar

- A grammar G is said to be **Recursive Enumerable** or **Unrestricted grammar** if every production is of the form

Where,

$$A \longrightarrow B$$

$A \in (V+T)^+$ AND $B \in (V+T)^*$

EXAMPLE

$$\begin{aligned} 1. S &\longrightarrow AaB \\ Aa &\longrightarrow Bb \backslash Aa \backslash \epsilon \\ bBb &\longrightarrow aa \backslash bb \backslash \epsilon \end{aligned}$$

$$\begin{aligned} 2. S &\longrightarrow Aa \backslash bB \\ aAb &\longrightarrow bb \\ Ba &\longrightarrow aAb \backslash Baa \backslash b \end{aligned}$$





Type 1 or Context Sensitive Grammar

- A grammar G is said to be Type 1 or Context sensitive grammar (CSG) if every production is of the form

Where,

$$A \longrightarrow B$$

$$|A| \leq |B|, B \neq \epsilon \quad \text{AND} \quad A, B \in (V+T)^+$$

EXAMPLE

$$\begin{aligned} 1. S &\longrightarrow aSAc \backslash abc \\ cA &\longrightarrow Ac \\ bA &\longrightarrow bb \end{aligned}$$

$$\begin{aligned} 2. S &\longrightarrow Aa \backslash Bb \\ aA &\longrightarrow aAB \backslash bB \\ B &\longrightarrow aBb \backslash aa \end{aligned}$$



Type 2 or Context free Grammar

- A grammar G is said to be Type 2 or Context Free grammar (CFG) if every production is of the form

Where,

$$A \longrightarrow B$$

$$A \in V \quad \text{AND} \quad B \in (V+T)^*$$

EXAMPLE

$$1. S \longrightarrow Aab$$

$$A \longrightarrow Aa \backslash b$$

$$A \longrightarrow bB \backslash a$$

$$2. S \longrightarrow aSb \backslash \epsilon$$



Type 3 or Regular Grammar

- A grammar G is said to be Type 3 or Regular grammar if every production is of the form

Where,

$$A \longrightarrow xB/x \text{ or } A \longrightarrow Bx/x$$

$A, B \in V$ AND $x \in T^*$

EXAMPLE

1. $S \longrightarrow 10S \setminus 01$
2. $S \longrightarrow 001S \setminus 11$



Other classification of Grammar

- **Recursive grammar**
 - The grammar g is said to be recursive if atleast one production contain the same variable at both left hand side and right hand side of production.
 - **Example** $S \longrightarrow aSb \mid \epsilon$
- **Non Recursive grammar**
 - The grammar g is said to be recursive if no production contain the same variable at both left hand side and right hand side of production.
 - **Example** $S \longrightarrow ab \mid \epsilon$





Derivation

- The process of deriving a string is called as derivation.
- The geometrical representation of derivation is called Derivation tree or parse tree.
- Steps involved in derivation is called sentential form

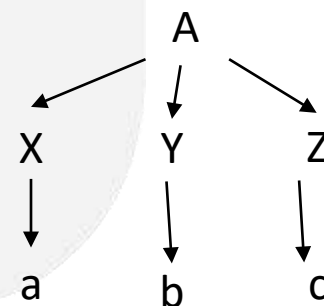
Example

1. $A \longrightarrow XYZ$
 $X \longrightarrow a$
 $Y \longrightarrow b$
 $Z \longrightarrow c$

Derivation

$A \longrightarrow XYZ$
 $A \longrightarrow aYZ$
 $A \longrightarrow abZ$
 $A \longrightarrow abc$

Parse Tree



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