

Introduction

Study Guide

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1. Introduction to Alphabet, Languages, and Grammars.....	1
2. Productions and Derivation.....	2
3. Chomsky Hierarchy of Languages.....	3

1.1 Introduction to Alphabet, Languages, and Grammars

Alphabet (Σ)

- An **alphabet** is a finite set of symbols.
- Symbols are atomic units used to construct strings.
- Denoted by Σ (capital Greek sigma).
- **Examples:**
 - $\Sigma = \{0, 1\} \rightarrow$ Binary alphabet
 - $\Sigma = \{a, b, c, \dots, z\} \rightarrow$ Lowercase English letters
 - $\Sigma = \{a, b\} \rightarrow$ Simple two-symbol alphabet

Strings

- A **string** is a finite sequence of symbols from an alphabet.
- **Length** of a string w is denoted by $|w|$.
- **Empty string:** ϵ (epsilon), with $|\epsilon| = 0$
- **Example:**
 - If $\Sigma = \{a, b\}$, then:
 - "ab", "aa", "bba" are strings.
 - "c" is not a valid string over Σ .

Language (L)

- A **language** is a set of strings formed from an alphabet.
- Formally: $L \subseteq \Sigma^*$ (Kleene star denotes all possible strings over Σ including ϵ)
- **Examples:**
 - $L = \{w \in \{0,1\}^* \mid w \text{ contains an even number of 0s}\}$
 - $L = \{a^n b^n \mid n \geq 1\} \rightarrow$ Strings like "ab", "aabb", "aaabbb"

Grammar

- A **formal grammar** is a system that describes how strings in a language can be generated.
- Defined as a 4-tuple:

$$G = (V, \Sigma, P, S)$$

where:

- **V:** A finite set of variables (non-terminal symbols)
- **Σ :** A finite set of terminal symbols (alphabet), disjoint from V
- **P:** A finite set of **production rules**
- **S:** A special start symbol ($S \in V$)

1.2 Productions and Derivation

Productions

- Also called **rewrite rules**.
- Used to replace a variable with another variable or string.
- Format: $A \rightarrow \alpha$, where:
 - $A \in V$ (non-terminal)
 - $\alpha \in (V \cup \Sigma)^*$ (string of terminals/non-terminals)
- **Example:**
 - $A \rightarrow aB$
 - $B \rightarrow b$

Derivation

- A **derivation** is a sequence of rule applications starting from the start symbol.
- Shows how strings in the language are generated.
- Written as:
 - $S \Rightarrow \alpha_1 \Rightarrow \alpha_2 \Rightarrow \dots \Rightarrow w$, where w is a string in Σ^*

Leftmost Derivation

- In each step, replace the **leftmost** non-terminal first.

Rightmost Derivation

- In each step, replace the **rightmost** non-terminal first.

Sentential Form

- A string derived from the start symbol, possibly containing both terminals and non-terminals.

Example Derivation

Given grammar:

- $S \rightarrow aSb \mid \epsilon$

Derive "aabb":

- $S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$

1.3 Chomsky Hierarchy of Languages

Noam Chomsky categorized formal languages into a hierarchy based on the complexity of their grammars and the computational machines that recognize them.

Type-0: Unrestricted Grammar

- **Grammar rules:** $\alpha \rightarrow \beta$ (where $\alpha \neq \epsilon$, α and β are strings with at least one non-terminal in α)
- **Language class:** Recursively enumerable languages
- **Recognized by:** Turing Machine
- **Most general class** – no restriction on production rules

Type-1: Context-Sensitive Grammar (CSG)

- **Grammar rules:** $\alpha A \beta \rightarrow \alpha \gamma \beta$ (where $|\gamma| \geq 1$)
- **A** can be replaced by γ only when it appears in context α and β .
- **Language class:** Context-sensitive languages
- **Recognized by:** Linear Bounded Automaton (LBA)
- **Example language:** $L = \{a^n b^n c^n \mid n \geq 1\}$


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Type-3: Regular Grammar

- **Grammar rules:** $A \rightarrow aB$ or $A \rightarrow a$ (Right-linear or Left-linear)
- **Language class:** Regular languages
- **Recognized by:** Finite Automaton (DFA/NFA)
- **Simplest class**, used in **lexical analysis**
- **Example:** $L = \{a^* b^*\}$

Chomsky defined **four classes of grammars**, each with increasing expressive power:

Type	Grammar Class	Language Type	Automaton	Production Form	
0	Unrestricted	Recursively Enumerable	Turing Machine	$\alpha \rightarrow \beta \ (\alpha \neq \epsilon)$	
1	Context-Sensitive	Context-Sensitive	Linear Bounded Automaton	$\alpha A \beta \rightarrow \alpha \gamma \beta \ ($	
2	Context-Free	Context-Free	Pushdown Automaton	$A \rightarrow \gamma \ (A \in V, \gamma \in (V \cup \Sigma)^*)$	
3	Regular	Regular	Finite Automaton	$A \rightarrow aB \text{ or } A \rightarrow a \text{ (Right-linear)}$	

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