#### UNSW MATHEMATICS SOCIETY



# Discrete Mathematics Seminar I / II

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Sets, Functions and Sequences

### Sets

#### Definition

A set is a collection of distinct objects (numbers, variables, other sets etc). We can define a set in two main ways. Firstly, we can list out all of the elements of a set, like

$$A = \{-2, -1, 0, 1, 2\}.$$

Alternatively, we can define a set using the following syntax

$$A = \{x \in \mathcal{U} \mid -2 \le x \le 2\}.$$

where  $\mathcal U$  is itself a set. The above is read "The set of all elements, x, in  $\mathcal U$  such that  $-2 \le x \le 2$ ". This is a simple way of representing complex sets.

# Sets, Continued

#### **Elements**

The objects in a set are called **elements** of the set. We write

$$a \in A$$
,

to denote that an object a is an element of the set A. Similarly,

denotes that the object a is not an element of set A.

#### Note

A set is not equal to the elements inside it, even if the set only has one element. That is to say  $a \neq \{a\}$  and  $a, b \neq \{a, b\}$ 

# Some Important Sets

#### Sets

$$\begin{split} \mathbb{N} &= \{ \text{The Natural Numbers} \} \\ &= \{ 0, 1, 2, 3, \ldots \} \\ \mathbb{Z} &= \{ \text{The Integers} \} \\ &= \{ \ldots, -3, -2, -1, 0, 1, 2, 3, \ldots \} \\ \mathbb{Z}^+ &= \{ \text{The Positive Numbers} \} \\ &= \{ 1, 2, 3, \ldots \} \\ \mathbb{Q} &= \{ \text{The Rational Numbers} \} \\ &= \left\{ \frac{p}{q} \mid p, q \in \mathbb{Z}, q \neq 0 \right\} \\ \mathbb{R} &= \{ \text{The Real Numbers} \} \\ \mathbb{C} &= \{ \text{The Complex Numbers} \} \end{split}$$

# Sets, continued

### Equality

Two sets are equal if and only if they contain the same items. Following from the previous example:

$$\{-2, -1, 0, 1, 2\} = \{x \in \mathbb{Z} \mid -2 \le x \le 2\}$$

as both the sets define the same set of integers.

#### Note!

Sets ignore repetitions. For instance,

$$\{1, 1, 1, 2, 2, 3, 3, 4, 5\} = \{1, 2, 3, 4, 5\}$$

because both the sets only consists of elements 1, 2, 3, 4 and 5. All the repetitions of the numbers are ignored.

# Containment

#### Subsets

Some sets may be "contained" inside other sets, i.e. all of the elements in A may also be elements of B. Then A is a **subset** of B. denoted

$$A \subseteq B$$
.

However, if there is at least one element of A that is not in B, then

$$A \not\subseteq B$$
.

#### Containment

$$\mathbb{Z}^+ \subseteq \mathbb{N} \subseteq \mathbb{Z} \subseteq \mathbb{Q} \subseteq \mathbb{R} \subseteq \mathbb{C}$$

# Containment, continued

### The Empty Set

The empty set, denoted  $\emptyset = \{\}$ , is a set containing nothing. Therefore, it a subset of all sets, including itself. The relation  $\emptyset \subseteq A$  holds for all sets A.

#### Improper vs Proper Subsets

It is important to note that a 'subset' can be any chunk of a set: from none of set to the the entire set.

An important distinction is made: A is a proper subset of B (denoted  $A \subset B$ ) if and only if  $A \neq B$ .

### Equality

An important result is that if two sets A and B are equal, then v

$$A \subseteq B$$
 and  $B \subseteq A$ 

# Additional Set Properties

### Cardinality

The cardinality of a set A, denoted |A|, refers to the number of elements inside the set A.

Note: A set contained inside a set just counts as one element, regardless of its own cardinality.

$$|\{\mathbb{R}\}|=1$$
, despite  $|\mathbb{R}|=\infty$ .

#### The Power Set

The power set, denoted  $\mathcal{P}(A)$  is the set of all possible possible subsets of the elements in A. As an example...

$$\mathcal{P}(\{1,2,3\}) = \{\varnothing,\{1\},\{2\},\{3\},\{1,2\},\{1,3\},\{2,3\},\{1,2,3\}\}$$

Also,  $|\mathcal{P}(A)| = 2^{|A|}$ , which can be proven.

# Additional Set Properties

#### Cartesian Product

The Cartesian Product two sets A and B is defined as follows

$$A \times B = \{(p,q) \mid p \in A, q \in B\}$$

This just means that it is a set of pairs consisting of every element in set A with every element of set B.

#### $A \times B$

Let  $A = \{1, 2, 3\}$  and let  $B = \{a, b, c\}$ , then

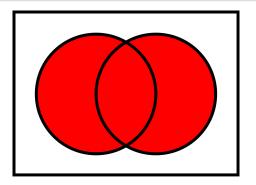
$$A \times B = \{(1, a), (1, b), (1, c), (2, a), (2, b), (2, c), (3, a), (3, b), (3, c)\}$$

which is pretty cool.

# Union

The **Union** of two sets is defined as

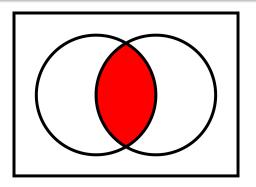
$$A \cup B = \{x \in \mathcal{U} \mid X \in A \text{ or } X \in B\}$$



#### Intersection

The **Intersection** of two sets is defined as

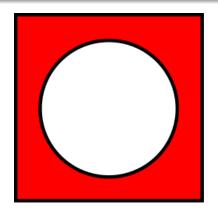
$$A \cap B = \{x \in \mathcal{U} \mid x \in A \text{ and } x \in B\}$$



### Complement

The **Complement** of a set A is defined as

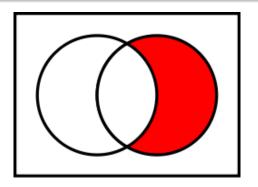
$$A^c = \{ x \in \mathcal{U} \mid x \not\in A \}$$



### Difference

The **Difference** of two sets is defined as

$$A - B = A \setminus B = \{x \in \mathcal{U} \mid x \in A \text{ and } x \notin B\}$$



# Set Algebra Laws

#### Associative Laws

$$A \cup (B \cup C) = (A \cup B) \cup C$$
$$A \cap (B \cap C) = (A \cap B) \cap C$$

#### Commutative Laws

$$A \cup B = B \cup A$$
$$A \cap B = B \cap A$$

#### Distributive Laws

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$$
$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$

### De Morgans Laws

$$(A \cup B)^c = (A^c \cap B^c)$$
$$(A \cap B)^c = (A^c \cup B^c)$$

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# Set Algebra Laws

### **Identity Laws**

$$A \cup \varnothing = A$$
$$A \cap \mathcal{U} = A$$

### **Idempotent Laws**

$$A \cup A = A$$
$$A \cap A = A$$

### **Negation Laws**

$$A \cup A^c = \mathcal{U}$$
$$A \cap A^c = \emptyset$$

#### Difference Law

$$A - B = A \backslash B = A \cap B^c$$

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# Set Algebra Laws

Sets. Functions and Sequences

#### **Domination Laws**

$$A \cup \mathcal{U} = \mathcal{U}$$

$$A \cap \varnothing = \varnothing$$

### Absorption Laws

$$A \cup (A \cap B) = A$$
$$A \cap (A \cup B) = A$$

# Double Complement Law

$$(A^c)^c = A$$

#### Duality

Swapping  $\cap$  and  $\cup$ , and  $\mathcal{U}$  and  $\varnothing$  in a law leads the **dual** of that law (for all laws except the Difference Law).

#### **Functions**

A **function** is a relation where all the elements of one set to another set.

Formally, a function f from all the elements of X to the elements of set Y is denoted  $f: X \to Y = \{(x, y) \in X \times Y \mid y = f(x)\}.$ 

#### Definition

A function f from a set X to Y is a subset of the set  $X \times Y$  with the property

for each  $x \in X$  there is exactly one ordered pair  $(x, y) \in f$ .

The notation  $f: X \to Y$  implies that the set X is the **domain** and that the set Y is the **codomain** of the function f.

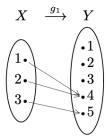
# Floor and Ceil functions

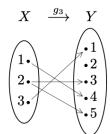
# Important Functions

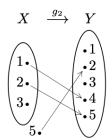
The floor and ceil functions have domain  $\mathbb R$  and codomain  $\mathbb Z$ For any  $x \in \mathbb{R}$  the **floor** of x, denoted |x| is the greatest integer less than or equal to x.

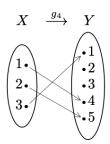
For any  $x \in \mathbb{R}$  the **ceil** of x, denoted [x] is the smallest integer greater than of equal to x.

# Arrow Diagrams









# Types of Functions

# Injection

A function f is **one-to-one** or **injective** if  $f(x_1) = f(x_2)$  implies  $x_1 = x_2$ . In other words, there is a one-to-one x - ycorrespondence.

#### Surjection

A function  $f: X \to Y$  is **onto** or **surjective** if for every  $y \in Y$ , there is an  $x \in X$  such that f(x) = y. That is, its range is equal to its codomain.

# Bijection

A function  $f: X \to Y$  is **bijective** iff it is both injective and surjective.

This is a necessary property for a function to have to be invertible - or have an inverse.

# Composition of Functions and Inverse Functions

### Composition of Functions

Let  $g:X\to Y$  and  $f:Y\to Z$ , then the composition of f and g, denoted  $f\circ g:X\to Z$ , is defined by

$$(f\circ g)(x)=f(g(x))$$

#### Inverse Function

If a function  $f: X \to Y$  is bijective, then there exists a function  $g: Y \to X$  such that given any  $y \in Y$ , g(y) = x which is the x such that f(x) = y.

$$g: Y \to X = \{(y, x) \in Y \times X \mid f(x) = y\}$$

#### Notation

The inverse of a function  $f: X \to Y$  is more commonly denoted  $f^{-1}: Y \to X$ .

# Composition with Inverse + Additional Notation

#### Composition with Inverse

If  $f: X \to Y$  is a bijection, then

$$f^{-1} \circ f = \iota_X$$
 and  $f \circ f^{-1} = \iota_Y$ 

where  $\iota_X$  and  $\iota_Y$  are the identity functions on X and Y respectively.

### Function Set Argument

Let  $f: X \to Y$ . If A is a set such that  $A \subseteq X$ , then

$$f(A) = \{f(x) \mid x \in A\}$$

Similarly, if  $f^{-1}: Y \to X$  and  $B \subseteq Y$ , then  $f^{-1}(B) = \{x \in X \mid f(x) \in B\}$ 

# Sequences

#### Definition

A sequence is a function with domain a subset of  $\mathbb{Z}$ . When discussion a sequence, convention dictates we write  $a_n$  instead of a(n) - whereas the entire list sequence will either be denotes  $\{a_n\}$ , or by the list

$$a_1, a_2, a_3, \dots$$

#### Note:

- The domain of the sequence is usually  $\mathbb N$  or  $\mathbb Z^+$ , and sometimes a finite set i.e.  $\{1, 2, ..., n\}$
- Order and Repetition are important when it comes to sequences

# Summation

#### Summation Notation

$$\sum_{j=m}^{n} a_{j},$$

where  $\{a_i\}$  is a sequence and  $m \le n$  just means

$$a_m + a_{m+1} + \cdots + a_n$$

#### Note

The sum

$$\sum_{j=0}^{n} 1 = n+1$$

since it has n+1 terms.

# Some common sums

### Examples

$$\sum_{j=0}^{n} ar^{j} = a \frac{r^{n-1} - 1}{r - 1}$$

$$\sum_{j=1}^{n} 1 = n$$

$$\sum_{j=1}^{n} j = \frac{1}{2} n(n+1)$$

$$\sum_{j=1}^{n} j^{2} = \frac{1}{6} n(n+1)(2n+1)$$

# Transformations of Sums

### Addition and Multiplication by a scalar

$$\sum_{k=1}^{n} (a_k \pm b_k) = \left(\sum_{k=1}^{n} a_k\right) \pm \left(\sum_{k=1}^{n} b_k\right)$$
$$\sum_{k=1}^{n} ca_k = c \sum_{k=1}^{n} a_k$$

### Shifting the Index of Summation

Substituting k = i + p yields

$$\sum_{j=m}^{n} a_j = \sum_{k=m+p}^{n+p} a_{k-p}$$

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#### Reversing the summation

$$\sum_{j=m}^{n} = a_m + a_{m+1} + a_{m+2} + \dots + a_n$$

$$= a_n + \dots + a_{m+2} + a_{m+1} + a_m$$

$$= \sum_{k=m}^{n} a_{n+m-k}$$

This is equivalent to a substitution of k = m + n - j.

# Examples, continued

### Telescoping series

Work out

$$\sum_{k=1}^{n} \frac{1}{k(k+1)}$$

$$\sum_{k=1}^{n} \frac{1}{k(k+1)} = \sum_{k=1}^{n} \frac{1}{k} - \frac{1}{k+1}$$

$$= \left(1 + \frac{1}{2} + \dots + \frac{1}{n}\right)$$

$$- \left(\frac{1}{2} + \dots + \frac{1}{n} + \frac{1}{n+1}\right)$$

$$= 1 - \frac{1}{n-1}$$

### Divisibility

Number Theory is the study of important properties of positive integers, and divisibility is an important part of this.

#### **Definition**

Let a and b be integers. If there exists an integer m such that b = am, it can be said that "a divides b", or "a is a factor of b" or a | b.

# Properties of Divisibility

Let  $a, b, c \in \mathbb{Z}$ 

- If  $a \mid b$  and  $a \mid c$  then  $a \mid b \pm c$ .
- Let  $s, t \in \mathbb{Z}$ . If  $a \mid b$  and  $a \mid c$  then  $a \mid sb + tc$ .
- If a | b and b | c then a | bc.

# Prime and Composite Numbers

#### **Primes**

An integer n > 1, is said to be **prime** if it has no (positive) factors other than itself and 1. Any number which isn't prime is said to be composite.

#### The Fundamental Theorem of Arithmetic

Any positive integer n can be factorised into a product of primes. Moreover, a given *n* only has one such factorization.

#### Prime Factorization

$$345 = 3 \times 5 \times 23$$

$$1134 = 2 \times 3^4 \times 7$$

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# Checking for primes

#### **Theorem**

A composite number, n must have a factor c such that  $1 < c \le \sqrt{n}$ .

#### **Proof**

If *n* is composite, we can write that n = ab, where 1 < a < n. If  $1 < a \le \sqrt{n}$  then we can take a = c. If not, then

$$n > a > \sqrt{n}$$
$$1 < n/a < \sqrt{n}$$

we can take b = c, since b = n/a.

This further means that any composite number n must have a prime factor p such that 1 . So, if <math>n has no prime factor  $< \sqrt{n}$ , then it is a prime number.

### The Greatest Common Factor

#### Common Divisors

Let a, b be two nonzero integers. Any positive integer d such that  $d \mid a$  and  $d \mid b$  is called a **common divisor** of a and b. The largest such d is called the greatest common divisor, or the gcd.

### Common Multiples

If  $a \mid m$  and  $b \mid m$ , then m is a **common multiple** of a and b. The smallest such m is called the lowest common multiple, or the lcm.

We write both of these as gcd(a, b) and lcm(a, b) in math.

# **Euclidean Algorithm**

### Division Algorithm

Let  $a \in \mathbb{Z}$  and  $b \in \mathbb{Z}^+$ . Then there exist a unique pair of integers q, r such that

$$a = bq + r$$
  $0 \le r < b$ 

#### Theorem

Let a, b, q, r be integers such that a = bq + r, then

$$\gcd(a,b)=\gcd(b,r)$$

This theorem forms the basis for Euclid's algorithm.

# **Euclidean Algorithm**

### Finding gcd(14307, 11343)

We can repeatedly use the theorem to deduce the following:

$$14307 = 1 \times 11343 + 2964$$

$$11343 = 3 \times 2964 + 2451$$

$$2964 = 1 \times 2451 + 513$$

$$2451 = 4 \times 513 + 399$$

$$513 = 1 \times 399 + 114$$

$$399 = 3 \times 114 + 57$$

$$114 = 2 \times 57$$

Therefore, we find that gcd(114,57) = 57. By the theorem on the last slide, gcd(14307, 11343) = 57. This is Euclidean Algo.

# Euclidean Algorithm, formal statement

Let a and b be positive integers; suppose that

$$a = bq_1 + r_1$$

$$b = r_1q_2 + r_2$$

$$r_1 = r_2q_3 + r_3$$

$$\vdots$$

$$r_{n-2} = r_{n-1}q_n + r_n$$

$$r_{n-1} = r_nq_{n+1}$$

where  $q_i, r_i \in \mathbb{Z}^+$ . Since

$$\gcd(a,b)=\gcd(b,r_1)=\cdots=\gcd(r_{n-1},r_n)=r_n,$$

we can conclude that

$$gcd(a, b) = r_n$$
.

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# Extended Euclidean Algorithm

This can be used to solve equations of the form ax + by = dwhere  $d = \gcd(a, b)$  for  $x, y \in \mathbb{Z}$ .

### Using a simpler example

One would compute gcd(854, 651) in the following way:

$$854 = 1 \times 651 + 203$$
  
 $651 = 3 \times 203 + 42$   
 $203 = 4 \times 42 + 35$   
 $42 = 1 \times 35 + 7$   
 $35 = 5 \times 7$ 

However, we can use the above working out to solve the equation 854x + 651y = 7. This will be more important later...

## Working Backward

Working from the second-last equation on the prev slide...

$$7 = 42 - 35$$

$$= (651 - 3 \times 203) - (203 - 4 \times 42)$$

$$= 651 - 4 \times 203 + 4 \times 42$$

$$= 651 - 4 \times (854 - 651) + 4 \times (651 - 3 \times (854 - 651))$$

$$= 5 \times 651 - 4 \times 854 + 4 \times (4 \times 651 - 3 \times 854)$$

$$= -16 \times 854 + 21 \times 651$$

$$= 854x + 651y$$

so, one solution to the linear equation is x = -16 and y = 21. We can extend this solution to include all possible solutions.

# The Bézout Property

#### $\mathsf{Theorem}$

If we have integers  $a, b, c, d \in \mathbb{Z}$  such that gcd(a, b) = d, then if we consider the equation

$$ax + by = c$$
 (\*)

- If c = d, then  $(\star)$  has a solution  $x, y \in \mathbb{Z}$
- If  $d \mid c$ , then  $(\star)$  has a solution in integers
- If gcd(c, d) = 1, then  $(\star)$  has no solutions in  $\mathbb{Z}$

Also,  $x = x_0 - \lambda b$  and  $y = y_0 + \lambda a$  represent all solns.

### **Examples**

- 73x + 30y = 1 has a solution since gcd(73, 30) = 1.
- 42x + 99y = 6 has a solution since gcd(42, 99) = 3 and  $3 \mid 6$ .
- 91x + 49y = 2 has no solution since gcd(91, 49) = 7 and  $2 \nmid 7$ .

### Definition

Let m be an integer. Two integers a and b are said to be congruent module m, denoted

$$a \equiv b \pmod{m}$$

if  $m \mid a - b$ 

## Ways of Expressing Congruence

#### Note:

- $a \equiv b \pmod{n}$
- m | a − b
- a = b + km
- a and b have the same remainder upon division by m all mean the same thing.

## **Properties**

- Let  $a, b, c, d, m \in \mathbb{Z}$  such that  $a \equiv b \pmod{m}$  and  $c \equiv d$ (mod m)
  - $a + c \equiv b + d \pmod{m}$
  - $a-c \equiv b-d \pmod{m}$
  - $ac \equiv bd \pmod{m}$
- If  $a \equiv b \pmod{m}$  and  $c \in \mathbb{Z}$  then  $ca \equiv cb \pmod{m}$
- If  $\equiv b \pmod{m}$  and n > 0 then  $a^n \equiv b^n \pmod{m}$
- If  $a \equiv b \pmod{m}$  and  $n \mid m$  then  $a \equiv b \pmod{n}$

# Power Congruence

# Finding $a^b \mod c$

The properties of modular arithmetic and congruence make it easy to simplify expressions of the form  $a^b \mod c$ , for really large b, where computation may not necessarily be ideal.

Simplification becomes easy, given we are able to find one power n such that  $a^n \mod c = \pm 1$  or are able to notice a pattern of repetitions.

# Find the last two digits of 7<sup>1234</sup>567

The last two digits of  $7^{1234567}$  can be expressed as 7<sup>1234567</sup> mod 100. Observing successive value for 7<sup>a</sup> mod 100,

$$7^1 \equiv 7 \pmod{100}$$
  $7^3 \equiv 7 \cdot 49 \equiv 343 \equiv 43 \pmod{100}$ 

$$7^2 \equiv 7 \cdot 7 \equiv 49 \pmod{100} \quad 7^4 \equiv 7 \cdot 43 \equiv 301 \equiv 1 \pmod{100}$$

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# Solutions to Congruences

## Number of Solutions to Congruences

Considering the congruence  $ax \equiv b \pmod{m}$ 

- If gcd(a, m) = 1, then the congruence has one unique solution
- If gcd(a, m) is not a factor of b, then the congruence has no solutions
- If gcd(a, m) = g is a factor of b then,
  - the congruence has a unique solution mod m/g,
  - ullet the congruence has g solutions mod m

## Examples

- $17x = 1 \pmod{5}$  has a unique solution mod 13.
- $68x = 11 \pmod{51}$  doesn't have a solution.
- $52x = 8 \pmod{60}$ 
  - has a unique solution mod 15
  - has 4 solutions mod 60

# Canceling/Simplifying Congruences

## Simplification 1

The congruences

$$ax \equiv b \pmod{m}$$
 and  $cax \equiv cb \pmod{cm}$ 

have the same solutions.

## Simplfication 2

Given gcd(c, m) = 1, the congruences

$$ax \equiv b \pmod{m}$$
 and  $cax \equiv cb \pmod{m}$ 

have the same solutions.

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# Testing for Primes 2: Electric Boogaloo

#### Fermat's Little Theorem

Let p > 1. If p is prime, then for every  $a \in \mathbb{Z}$  we have

$$a^p \equiv a \pmod{p}$$

This means that if we have

$$a^p \not\equiv a \pmod{p}$$

for any  $a \in \mathbb{Z}$ , then p is composite

## Negative Test Only

Note: Fermat's Little Theorem only provides us with a negative test for primes. It can definitively state whether a number is composite, but even if the theorem holds for all a, then its 'probably' but not definitively prime.

## Relations

#### **Definitions**

A relation R from a set A to a set B is a set of **ordered** pairs (a, b), where  $a \in A$  and  $b \in B$  (i.e. R is a subset of  $A \times B$ ). To specify if two elements are related:

- $(a,b) \in R$
- aRb

#### **Functions**

A function is a type of relation R where for every  $a \in A$ , there is one and only one  $b \in B$  such that aRb

# Representing Relations

Two useful ways of representing a relation on a **finite** set:

#### Matrix

Choose an specific order for the n elements of a set A, e.g.

$$A = \{a_1, a_2, \ldots, a_n\}$$

The matrix  $M_R$  of a relation on set A is the  $n \times n$  matrix where

$$m_{i,j} = \begin{cases} 1 & \text{if } a_i R a_j \\ 0 & \text{if } a_i \not R a_j \end{cases}$$

 More than one possible matrix (elements of a set can be listed in different orders)

## Arrow Diagram

A point is drawn for each element of A, with an arrow drawn from  $a_i$  to  $a_i$  iff  $a_i$  is related to  $a_i$ .

# Reflexive

### Definition

A relation R on a set A is reflexive if every element of A is related to itself.

• For every  $a \in A$ , aRa

### Representation

- The diagonal entries of matrix  $M_R$  must always be 1
- Every point in the arrow diagram will have an arrow pointing to itself

# Symmetric

#### Definition

A relation R on a set A is symmetric if when one element is related to another, the second is also related to the first.

• For all  $a, b \in A$ , if aRb then bRa

### Representation

- Given matrix  $M_R$ ,  $m_{i,j} = m_{j,i}$
- If there is an arrow from  $a_i$  to  $a_i$ , there must be an arrow from  $a_i$  to  $a_i$  (double arrow)

# Transitive

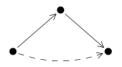
#### Definition

A relation R on a set A is transitive if when one element is related to a second, and the second is related to a third, then the first element must be related to the third.

• For all  $a, b, c \in A$ , if aRb and bRc, then aRc

## Representation

• Calculate  $M_R^2$  and look at the non-zero entries. If  $M_R$  has the entry 1 in all of these places, then the relation is transitive



# Antisymmetric

#### Definition

A relation R on a set A is antisymmetric if two distinct elements of A are related in one way or the other, or neither, but NEVER both.

• For all  $a, b \in A$ , if aRb and bRa, then a = b

#### Representation

- Given matrix  $M_R$  and  $(i \neq j)$ ,  $m_{i,j}$  and  $m_{i,j}$  cannot both equal
- No double arrows in arrow diagram

#### Note

Antisymmetric is NOT the opposite of symmetric!

# Equivalence Relations

#### Definition

Equivalence relations are **reflexive**, **symmetric** and **transitive**.

• Denoted by  $\sim$ .

## Intuitively...

- Tells us when two things are "the same"
- E.g. Two sets are equal if they have the same elements, two triangles are similar if they have the same angles etc.

# Equivalence classes

#### Definition

For any  $a \in A$ , the equivalence class of a with respect to  $\sim$  is the set

$$[a] = \{x \in A \mid x \sim a\}$$

# Intuitively...

- Collects together the objects which are "the same" and regard them as a single "object"
- E.g. Given  $\sim$  is  $\equiv$  (mod 5), then the five equivalence classes are the sets [0], [1], [2], [3], [4]

# Equivalence Relations and Classes Theorem

#### $\mathsf{Theorem}$

Let  $\sim$  be an equivalence relation on set A. Then

- For all  $a \in A$ ,  $a \in [a]$ 
  - Every element of A is in some equivalence class
  - Every equivalence class contains at least one element
- For all  $a, b \in A$ ,  $a \sim b$  if and only if [a] = [b]
- For all  $a, b \in A$ ,  $a \nsim b$  if and only if  $[a] \cap [b] = \emptyset$ 
  - Equivalence classes are either equal or pairwise disjoint

# Example

## 2016 Semester 2 Final Q2 (ii)

Let  $\sim$  be the relation on the set of integers  $\mathbb{Z}$  be defined by

 $a \sim b$  if and only if  $a^2 \equiv b^2 \pmod{4}$ .

- **1** Show that  $\sim$  is an equivalence relation.
- Find the equivalence classes of  $\sim$ .

## Partial Order

#### Definition

A partial order is **reflexive**, **antisymmetric** and **transitive**.

• Denoted by  $\leq$ , where  $a \leq b$  reads 'a precedes or equals b'

## Intuitively...

- Tells us which of two elements 'comes first'.
  - E.g.  $a \le b$  is equivalent to saying a comes before b if elements are listed in increasing order.

# Partial Order

## Additional Property

For all  $a, b \in A$ , either  $a \leq b$  or  $b \leq a$ 

- If the above property is true, this is called a total order (any two elements can be ordered) or a linear order (elements can be ordered in a line).
- E.g. ≥, ≤

#### Poset

The term "poset" can be used for a set A with a partial order defined  $(A, \preceq)$ .

# Hasse Diagrams

#### Definition

To represent a partial order  $\prec$  on a finite set A:

- For  $a \prec b$ , draw a point for a positioned below b
- Draw a line from a to b if and only if  $a \prec b$  and there is no c such that  $a \prec c \prec b$  (Transitivity is assumed).
- Do not draw any loops to indicate  $a \leq a$ . Reflexivity is assumed.

#### **Definitions**

Let  $\prec$  be a partial order on a set A, where  $x \in A$ . x is called:

- **Greatest** if every element is related to it  $(a \leq x \text{ for all } a \in A)$
- **Least** if it is related to every element  $(x \leq a \text{ for all } a \in A)$
- Maximal if it is related to no element except itself  $(x \prec a)$ only if x = a)
- **Minimal** if no element except itself is related to it  $(a \prec x)$  only if x = a)

## **Posets**

#### Lower and upper bounds

Let  $\leq$  be a partial order on a set A, where  $a, b \in A$ . Then for any  $x \in A$ .

- x is a **lower bound** of a and b if  $x \leq a$  and  $x \leq b$
- x is an **upper bound** of a and b if  $a \leq x$  and  $b \leq x$
- the **greatest lower bound** (if it exists), denoted by glb(a,b)is the greatest element in the set of lower bounds
- the **least upper bound** (if it exists), denoted by lub(a, b) is the least element in the set of upper bounds

## 2018 Semester 1 Q2 (iii)

Let  $S = \{2, 3, 4, 5, 10, 15, 20, 30, 40, 120\}.$ 

- **1** Draw the Hasse diagram for  $\{S, |\}$ .
- Find all
  - maximal elements.
  - minimal elements.
- Find two elements of S that do not have a greatest lower bound and explain why they do not.

Graph Theory

MATH1081

# Introduction to Graph Theory

#### **Definitions**

A graph G consists of a finite set of **vertices** V, a finite set of **edges** E and an **endpoint function**  $f: E \rightarrow \{\text{unordered pairs of } \}$ vertices}

f assigns each edge to either one or two vertices

## **Terminology**

- Two vertices are adjacent if joined by an edge
- An edge is incident on each of its endpoints
- **Isolated:** a vertex without incident edges (degree 0)
- Loop: an edge with only one endpoint/vertex
- Parallel/multiple: two or more edges with the same endpoint
- Simple graph: a graph with no loops or parallel edges
- The degree of a vertex v, denoted by deg(v) is the number of edges incident on v
  - Loops are counted twice

## $\mathsf{Theorem}$

The sum of the degrees of all the vertices equals twice the number of edges,

$$2|E| = \sum_{v \in V} deg(v).$$

## Corollary

In any graph,

- The sum of the degrees is even.
- The number of vertices having odd degree is even.
  - Proof by contradiction

# Special Graphs

## Subgraphs

A graph G' with vertices V' and edges E' is a subgraph of the graph G with vertices V and edges E if:

- V' ⊂ V
- E' ⊂ E
- each edge in G' has the same endpoints as in G





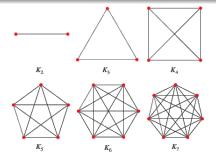


# Special Graphs

## Complete graph

The complete graph, denoted by  $K_n$  for  $n \ge 1$ , consists of nvertices with exactly one edge between each pair of distinct vertices.

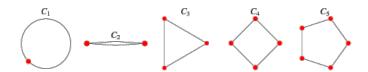
- n vertices
- $\circ$   $\binom{n}{2}$  edges



## Cycle

The cycle, denoted by  $C_n$  for n > 3, consists of:

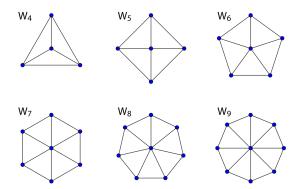
- n vertices  $v_1, v_2, \ldots, v_n$
- edges  $v_1 v_2, v_2 v_3, \dots, v_{n-1} v_n$



# Special Graphs

### Wheel

The wheel, denoted by  $W_n$  for  $n \geq 3$ , consists of  $C_n$  and another vertex  $v_0$  adjacent to each of the vertices in  $C_n$ .

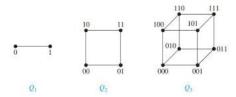


# Special Graphs

#### n-cube

The *n*-cube, denoted by  $Q_n$ , has  $2^n$  vertices labelled with  $2^n$  bit strings of length n.

- Two vertices are adjacent if and only if their labels differ in exactly one place (e.g. the vertex 011 is adjacent to vertex 010 and vertex 111 in a  $Q_3$  graph).
- Note that 2<sup>n</sup> bit strings are a string of length n made up of 0's and 1's.
- $Q_n$  has  $n \times 2^{n-1}$  edges (by the Handshaking Lemma).



# Bipartite Graph

### Definition

A simple graph where the vertices can be partitioned into **two** disjoint, non-empty sets and no two vertices in the same set are adjacent.

A graph is bipartite if and only if there are no odd cycles.

#### Useful conclusions

- $C_n$  is bipartite if and only if n is even
- $W_n$  is NEVER bipartite
- $\circ$   $Q_n$  is ALWAYS bipartite

### Tips

Try redrawing the graph isomorphically to test if a graph is bipartite.

# Complete Bipartite Graph

#### Definition

A simple bipartite graph with vertices partitioned sets  $V_1$  and  $V_2$ , where:

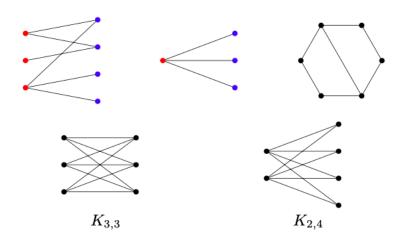
- $V_1$  has m vertices and  $V_2$  has n vertices
- Every vertex in  $V_1$  is connected to every vertex in  $V_2$

A complete bipartite graph is denoted by  $K_{m,n}$  with m + nvertices and mn edges.

#### Extra content

Tripartite/Complete tripartite graphs have vertices partitioned into three disjoint, non-empty sets.

# Bipartite Graphs



# Complementary Graph

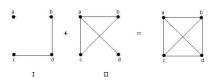
#### Definition

Given a simple graph G, the complement denoted by  $\overline{G}$  consists of:

- the same vertices as G
- an edge between vertices if and only if the vertices are NOT adjacent in G

### Tips

Edges that you don't have in G, you will have in  $\overline{G}$ .



# Paths and Circuits

#### Walks

A walk is a finite sequence of alternating vertices and edges

$$v_0, e_1, v_1, e_2, v_2, \ldots, v_{n-1}, e_n, v_n$$

where each edge  $e_i$  is incident on two vertices  $v_{i-1}$  and  $v_i$ .

- **Length** of a walk is equal to the number of edges (n edges), and has n+1 vertices.
- A closed walk begins and ends at the same vertex.

# Paths and Circuits

#### **Paths**

A path is a walk in which ALL edges are different.

• A **simple path** exists if there are no repeated vertices.

### Circuits

A **circuit** is a path which begins and ends at the same vertex.

 A simple circuit exists if there are no repeated vertices except for the first and last vertex. 

# Paths and Circuits

#### $\mathsf{Theorem}$

Let a, b be vertices in G. There is a walk from a to b if and only if there is a simple path from a to b.

### Corollary

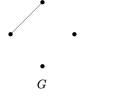
Let G be a graph with n vertices. If there is a walk from a to b then there is a walk of length at most n-1 from a to b.

# Connected Graph

#### Definition

G is connected if there is a walk between any two distinct vertices of G. The **connected components** is G are its maximal connected subgraphs.

A connected graph has only one connected component.





# Euler circuit/path

Let G be a graph.

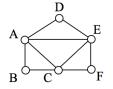
#### Euler circuit

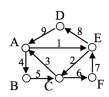
An Euler circuit in G is a circuit containing every edge of G exactly once.

Begins and ends at the same vertex

### Euler path

An Euler path in G is a path containing every edge of G exactly once.





# Theorems for Euler circuit/path

Let G be a connnected graph.

#### Existence of an Euler circuit

If every vertex in G has an **even degree**, then G has an Euler circuit.

### Existence of an Euler path

Let a and b be distinct vertices of G. A Euler path from a to b exists if and only if a, b are of odd degree and every other vertex of G is of even degree.

# Hamilton circuit/path

Let G be a graph.

#### Hamilton circuit

A Hamilton circuit in G is a circuit containing **every vertex** of G **exactly once**.

Begins and ends at the same vertex

### Hamilton path

An Hamilton path in G is a path containing every vertex of G exactly once.



# Theorems for Hamilton circuit/path

#### Note

There's no simple method of determining if a Hamilton circuit/path exists.

Let G be a connnected graph with n vertices,  $n \geq 3$ .

### Sufficient condition for a Hamilton circuit (Dirac's Theorem)

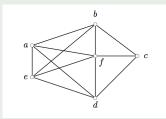
G has a Hamilton circuit if  $deg(v) \ge \frac{1}{2}n$  for each  $v \in V$ .

- THE CONVERSE IS FAI SE!
- E.g. C<sub>5</sub>.

# Example

# 2018 Semester 2 Q2 (iii)

Consider the following graph *G*.



- Does G have a Euler path? Explain your answer.
- Does G have a Hamilton circuit? Explain your answer.
- Is G bipartite? Explain your answer.

# Adjacency Matrix

### Definition

Given a graph G with vertices  $v_1, v_2, \ldots, v_n$ , the adjacency matrix is the  $n \times n$  matrix  $A = [a_{i,i}]$  with:

 $a_{i,i}$  = number of edges with endpoints  $v_i$  and  $v_i$ .

- A is symmetric
- A for a simple graph has elements 1 and 0 only, and diagonal entries are 0.

#### Note

A changes depending on the order of vertices. Make sure to specify the order of vertices.

# Incidence Matrix

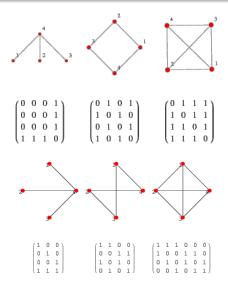
#### Definition

Given a graph G with vertices  $v_1, v_2, \ldots, v_n$  and edges  $e_1, e_2, \ldots, e_m$ , the incidence matrix is the  $n \times m$  matrix  $M = [m_{i,j}]$ with:

$$m_{i,j} = \begin{cases} 1 & \text{if } e_j \text{ is incident on } v_i \\ 0 & \text{if otherwise} \end{cases}$$

- Two edges are parallel if the two columns have the same entries
- An edge is a loop if there is only one entry of element 1 in the column
- A vertex is isolated if it is a 0 row

### **Matrices**



# Interpreting Adjacency Matrices

Let G be a graph with the ordered vertices  $v_1, v_2, \ldots, v_n$ , and adjacency matrix A.

### Counting walks theorem

The **number of walks** of length k from  $v_i$  to  $v_j$  is the (i,j) element of  $A^k$ .

• Proof by induction.

### Adjacency matrix of a connected graph

Let  $C = I + A + A^2 + \cdots + A^{n-1}$ . G is connected if and only if C has no 0 entries.

# Isomorphism

#### Definition

Let  $G_1$  and  $G_2$  be two graphs with vertex sets  $V_1$  and  $V_2$ , and edge sets  $E_1$  and  $E_2$  respectively.  $G_1$  and  $G_2$  are isomorphic if there exist bijections

$$f: V_1 \rightarrow V_2 \text{ and } g: E_1 \rightarrow E_2$$

where  $e \in E_1$  is incident on  $v \in V_1$  iff g(e) is incident on f(v).

### Isomorphism for simple graphs

Let  $G_1$  and  $G_2$  be two simple graphs with vertex sets  $V_1$  and  $V_2$ , and edge sets  $E_1$  and  $E_2$  respectively.  $G_1$  and  $G_2$  are isomorphic if there exists a bijection  $f: V_1 \to V_2$  which preserves adjacency.

• a is adjacent to b in  $G_1$  iff f(a) is adjacent to f(b) in  $G_2$ .

# Isomorphic invariants

If a graph G is isomorphic to a graph H with property P, then G also has property P. P is called an isomorphic invariant.

### Some invariants

- number of vertices
- number of edges
- sum of degrees
- number of vertices of a given degree
- number of circuits of given length
- connectivity
- being bipartite
- existence of Euler circuit/Hamilton circuit

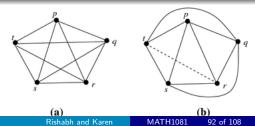
# Planar Graphs

### **Definition**

A graph G is planar iff it can be drawn with no intersecting edges. This is called a **planar map/planar representation**.

### Regions

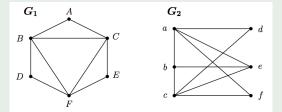
- The edges of a planar map separate a plane into finite regions, with exactly one unbounded region.
- The degree of a region is the number of edges bounding the region.



# Example

# 2018 Semester 1 Final Q2 (iv)

Consider the graphs  $G_1$  and  $G_2$ .



- **1** Does  $G_1$  contain a Euler circuit? Explain your answer.
- ② Is  $G_2$  planar? Explain your answer.
- $\odot$  Are  $G_1$  and  $G_2$  isomorphic?. Explain your answer.
- **1** Does  $G_2$  contain a Hamilton cycle? Explain your answer.

### Dual

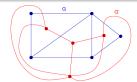
### Dual of a Planar Graph

The dual of a planar graph G is a planar map  $G^*$  with:

- a vertex  $v_R$  in  $G^*$  that corresponds to each region R of G.
- an edge  $e^*$  of  $G^*$  joining a pair of vertices, such that an edge e of G lies between regions R, R' iff  $e^*$  is incident with  $v_R, v_R'$ .

### Fun Facts

- Dual of a planar graph is also planar, and has the same number of edges as the original graph.
- $\sum deg(V) = \sum deg(R) = 2e$



# Theorems for Planar Graphs

#### Euler's formula

Let G be a connected planar graph with r regions, e edges and v vertices.

$$r + v = e + 2$$

Proof by induction on *e*.

### Inequalities

Let G be a **simple** connected planar graph with v vertices and e edges. Then,

$$e \leq 3v - 6$$
.

If G has no circuits of length 3, then

$$e \le 2v - 4$$
.

Rishabh and Karen

# Example

### 2014 Semester 1 Final Q2 (iv)

- State Euler's formula for a connected planar graph having v vertices, r regions and e edges.
- ② Show that if G is a connected planar simple graph with  $v \geq 3$ , then

$$e \le 3v - 6$$
.

3 Hence show that a connected planar simple graph with  $v \ge 3$  has at least one vertex of degree less than or equal to 6.

# Kuratowski's Theorem

#### $\mathsf{Theorem}$

A graph is planar iff it has no subgraph

- K<sub>5</sub>
- K<sub>3,3</sub>
- any graph homeomorphic to  $K_5$  or  $K_{3,3}$

Note: Homeomorphic graphs are obtained by adding vertices of degree 2 onto existing edges.

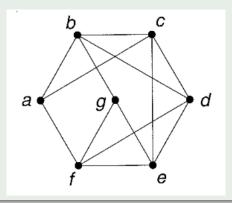
#### Trial and error

Using this theorem to show a graph is not planar takes a lot of trial and error in deleting edges and redrawing the graph...

# Example

### 2019 Term 2 Final Q1 (iv)

Show that the following graph is NOT planar.



### 1003

### Definition

A connected graph with no circuits of length 1 or more.

Theorems regarding trees:

### Trees and paths

A graph is a tree if and only if there exists a unique simple path between any two vertices.

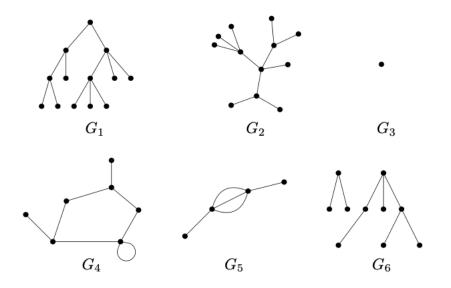
#### Vertices of Trees

Any tree with n vertices has at least two vertices of degree 1.  $(n \ge 2)$ 

### **Edges of Trees**

Any tree with n vertices has n-1 edges. The converse is also true but only for connected graphs.

# Which of these are trees?



# Example

# 2015 Semester 1 Final Q2 (v)

Prove that the average vertex degree

$$\frac{1}{n}\sum_{v\in V(T)}d(v)$$

of a tree T on |V(T)| = n vertices is strictly less than 2.

# Minimisation

### **Definitions**

- Each edge of a **weighted graph** has a real number w(e) called the **weight** of the edge associated with it.
- A **spanning tree** is a subgraph of a graph *G* which contains every vertex of *G*.
- A minimal spanning tree is a spanning tree for a weighted graph which has the least possible sum of weights of its edges.

# Kruskal's algorithm

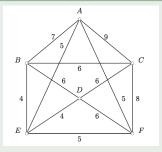
This algorithm is used to produce a minimal spanning tree for a given weighted graph G.

#### Method

- Start with a graph T with the same vertices as G but no edges.
- Sort the edges into increasing order of weight.
- Select the smallest weighted edge. Add this edge to T if it doesn't create a circuit.
- Continue to the next smallest weighted edge and repeat step3.
- When all the vertices of T are connected, you should have a minimal spanning tree.

# Example

### 2018 Semester 2 Final Q2 (iv)



Use Kruskal's algorithm to construct a minimal spanning tree  ${\cal T}$  for the following weighted graph. Make a table showing the details of each step.

# Shortest Path Problem

#### **Definitions**

- The weight of a path is the sum of the weights of the edges in the path.
- The **distance** d(u, v) is the minimum weight of any path from u to v.
- The shortest v<sub>0</sub>- path spanning tree has the property:
  - The path in the tree from  $v_0$  to every vertex v has no greater weight than any other path from  $v_0$  to v.

#### **BEWARE**

Make sure you know the difference between minimal spanning tree and shortest path problems.

# Dijkstra's Algorithm

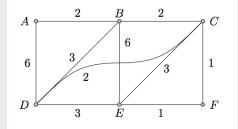
This algorithm is used to produce a shortest  $v_0$ -path spanning tree for a given weighted graph G.

#### Method

- **①** Start with a graph T with vertex  $v_0$  only and no edges.
- Consider all edges with one vertex in T and one vertex v NOT in T.
- **3** Choose the edge that gives a shortest path from  $v_0$  to v.
- **4** Add this edge and v to T, provided it doesn't create a circuit.
- **1** Repeat steps 2-4 until T contains all vertices of G.

# Example

# 2019 Term 1 Final Q3 (iv)



- Use Djikstra's algorithm to find a spanning tree that gives the shortest paths from A to every other vertex of the graph. Make a table showing the details of each step.
- ② Is this spanning tree found in part 1 a minimal spanning tree? Explain your answer.

# Tips and Tricks

#### Relations

- Set out your proofs carefully and clearly to avoid losing easy marks.
- Be careful when drawing your Hasse diagram.

### **Graph Theory**

- This section of discrete is VERY content heavy, so make sure you know your definitions!
- Since there are a lot of theorems and algorithms, don't confuse them.
- Proofs for the theorems aren't usually tested in the exam, but it's best to know an overview of the derivation.
- May ask you to give the definition or state a theorem.