

# Alcohol and Fetal Damage

Peter Allebeck and Jørn Olsen

Since the identification of fetal alcohol syndrome in the end of the 1960s, substantial evidence has accumulated on a number of adverse effects that alcohol consumption during pregnancy may have on the fetus. Long-term effects on child development has also been observed. Although the various types of effects are well documented, less is known about threshold levels of consumption, under which there is no risk of damage. Few studies have assessed the risk associated with high episodic drinking. From a theoretical point of view, binge drinking in critical stages in organ formation may constitute a particularly high risk for adverse outcomes.

**Key Words:** Alcohol, Pregnancy, Fetal Effects.

IT IS well documented that fetal damage in pregnancy due to alcohol exposure is dose-dependent. A high level of alcohol exposure increases the risk of fetal growth retardation, craniofacial malformations, and impaired brain development. A number of other reproductive failures have also been associated with intrauterine exposure to alcohol, but the evidence is less convincing for these outcomes. We consider alcohol to be teratogenic in susceptible individuals, because epidemiological evidence is backed up by results from experimental animal studies and reasonable biological theories. Alcohol is not a sufficient cause in the "strong-Hume" sense, but requires additional component causes to trigger the effect. These other component causes are not known, but could be of a genetic nature or related to diet or other living conditions. Most epidemiological studies suffer from the fact that heavy drinking often is associated with poor social conditions, change in dietary habits, and smoking and drug use. Two recently published books summarize the existence knowledge in the field.<sup>1,2</sup> In the following review, we summarize the existing evidence with regard to various outcomes and particularly what is known about risk levels for adverse outcomes.

## MISCARRIAGE AND INTRAUTERINE DEATH

Some studies have shown an increased occurrence of miscarriage<sup>3</sup> and intrauterine death.<sup>4</sup> Other studies have not found such an association.<sup>5</sup> An important methodological problem is that not all miscarriages are recorded, and there is a possibility that use of alcohol affects the likelihood of a miscarriage being treated in hospital, and thus recorded. Heavy users of alcohol might be less likely to

seek care for the problem. Larroque<sup>6</sup> concluded that there probably is an association between alcohol and miscarriage, as well as intrauterine death, but that it is unclear at which levels of alcohol abuse.

An alcohol intake of more than 7 drinks per week in females is apparently associated with reduced fecundity,<sup>7</sup> which could be due to an increased occurrence of very early spontaneous abortions.

## PREMATURE LABOR

There is weak evidence of increased occurrence of premature labour in high consumers of alcohol,<sup>8,9</sup> but confounding due to socioeconomic factors cannot be ruled out.

## GROWTH DISORDERS

### *Body Length*

Several studies have found that children born to women with high alcohol consumption are shorter than other children.<sup>10-12</sup> Other studies have failed to find such an association.<sup>3,4</sup> It should be pointed out that, in follow-up of children to alcoholic mothers, it is difficult (or impossible) to separate prenatal from postnatal risk factors.

### *Cranial Circumference*

Reduced cranial circumference, often associated to lower birthweight, has been observed in a number of studies.<sup>10,12</sup>

### *Birthweight*

A number of studies have analyzed the association between alcohol consumption and birthweight. Larroque<sup>6</sup> reviewed 24 studies, and half of these found such an association. Confounding (e.g., by smoking and social conditions) might be considerable. Some studies have taken account of confounding factors and still found significant risks of low birthweight at consumption levels of at least 2 to 3 drinks per day.<sup>4,13</sup> It has also been shown that children of mothers who continue to drink throughout the pregnancy have a lower birthweight than those whose mothers decrease their consumption.<sup>14,15</sup>

## CONGENITAL MALFORMATIONS

### *Craniofacial Malformations*

These have in general been found in children to mothers with high alcohol consumption. A dose-response relation

From the Department of Social Medicine (P.A.), Göteborg University, Göteborg, Sweden; and the Department of Epidemiology and Social Medicine (J.O.), University of Aarhus, Aarhus, Denmark.

Reprint requests: Peter Allebeck, M.D., Ph.D., Department of Social Medicine, Vasa Hospital, S-411 33 Göteborg, Sweden.

Copyright © 1998 by The Research Society on Alcoholism.

has been found between level of alcohol intake and the risk for craniofacial malformations.<sup>16</sup> It has also been suggested that they are more common in children to mothers with high consumption in the first trimester or around conception. It is to be expected that binge drinking at critical stages is more important than a daily, moderate consumption, and there is some empirical support for this.<sup>17,18</sup>

### *Other Malformations*

Some studies have shown an increased occurrence of malformations also after a moderate alcohol consumption in mother.<sup>19,20</sup> Mills et al.<sup>21</sup> did not find any increased risk of malformation after consumption of up to 2 drinks per day. It should be pointed out that congenital malformations are relatively common (around 9/1000 births in Sweden) and that very large materials are needed to show an increased occurrence in relation to alcohol abuse.

### LEFT-HANDEDNESS

Left-handedness has been considered a sensitive marker of neurotoxic exposure in fetal life, and the prevalence of left-handedness in early childhood was assessed in children exposed to moderate to high levels of alcohol in pregnancy.<sup>22</sup> No statistically significant increased prevalence was found.

### FETAL ALCOHOL SYNDROME (FAS)

FAS was first described in 1968 by LeMoine et al.<sup>23</sup> It consists of three types of congenital defects: growth disturbances, deviant appearance, and symptoms from the CNS. A less pronounced form of the syndrome is called fetal alcohol effects.

Growth disorders can occur before and after birth, and may effect length, weight, and cranial form, as described.

The deviant appearance is characterized by a flat middle-face, a thin upper-lip, epicanthus fold, and other malformations in the face or on the extremities. The appearance is difficult to diagnose at birth, but becomes more characteristic in early childhood.

The CNS effect may consist of cramps, mental retardation, hyperactivity, memory disturbances, and structural changes, such as mild reduced brain size. To make the diagnosis of FAS, some symptoms from each of these groups should be present, as well as a record of heavy alcohol consumption in the mother. Thus, alcohol exposure is made a necessary cause of FAS by a circular argument that includes the "cause" in the definition of the disease.

### *Long-Term Effects*

Reduced body weight, length, and cranial circumference has, in several studies, been shown to remain throughout childhood. Day et al.<sup>24</sup> showed an association between the age of 3 years and alcohol use in the mother, even after

control for nutritional and environmental factors. Streissguth et al.<sup>25</sup> showed reduced IQ, learning problems, concentration disorders, and behavioral deficits in 7-year-old children of mothers with alcohol problems. Aronsson and Hagberg<sup>26</sup> reported behavioral disturbances and poor performance on psychological tests in 12- to 13-year-old children of mothers with high alcohol consumption. These mothers also had considerable social problems.

In the EUROMAC study, children were assessed at 18 months according to the Bayley scales and other instruments. In none of the tests did children of mothers with a moderate to high alcohol consumption have poorer results than other children.

### OCCURRENCE

Because FAS is the only defined disorder for which alcohol has been attributed as the main cause, this is the only diagnosis for which prevalence rates has been reported. Abel and Sokol<sup>27</sup> identified in a review 19 studies in which occurrence of FAS had been calculated. It should be noted that the majority of these 19 studies had none or only one case of FAS. They nevertheless calculated an overall prevalence of 1.9 of 1000. This figure was based on a total number of FAS children (164) identified in a total sample size of 88,236 live births. Another way to present the results is to conclude that, in 9 of these 19 studies, there was no FAS child among the remaining 10, the prevalence figures vary between 0.4 to 0.6/1000<sup>28,29</sup> and 3.0 to 3.1/1000.<sup>30,31</sup>

Attempts have been made to calculate prevalence of FAS among children with mental retardation. Abel and Sokol<sup>32</sup> have reviewed five studies of prevalence of FAS among children with mental retardation. In the same way as described, they collapsed all cases identified in all studies and divided by the sum of the study populations, and found a prevalence of 23/1000. The figures reported varied from 8/1000<sup>33</sup> to 88/1000.<sup>34</sup>

It should be pointed out that FAS is difficult to diagnose; and the interobserver, and perhaps even intraobserver, agreement is expected to be low. All prevalence estimates are thus observer-specific and not necessarily comparable over time or between different populations.

### RISK LEVELS

EUROMAC<sup>35</sup> is the only study that specifically has been designed to assess risk associated with moderate alcohol consumption and to identify a threshold for harmless alcohol consumption. 8448 pregnancies were followed from eight regions in Europe. Alcohol consumption was assessed by trained interviewers and transformed into grams of alcohol. The children were assessed with regard to their stational age, length, weight, and Apgar score, and follow-up at 18 months was performed according to the Bayley scales.

No case of FAS was identified; reduced birthweight was

noted at consumption levels above 120 g/week. Assessment at 18 months of age showed that children of high consumers did not have poorer results on the Bayley scales than nonconsumers of alcohol. A threshold effect for low birthweight was noted at 60 g/week, although the authors refrain from drawing strong conclusions from this single value. They concluded that alcohol consumption around 120 g/week (about 2 drinks/day) can be considered harmless for the child. This is consistent with other findings that fully developed, and even partial FAS seems to occur only at high consumption levels.<sup>27,36</sup> They also concluded that reduced fetal growth probably is the most sensitive measure of a prenatal alcohol effect. Reduced birthweight is found after an exposure of 80 g/week.

Besides EUROMAC, a number of studies have attempted to analyze effects of various outcomes of different levels of alcohol consumption.

### *Birthweight*

In a review by Larroque,<sup>6</sup> a number of studies are presented in which birthweight has been related to level of alcohol consumption. Most studies have found effects on birthweight at high consumption levels; >400 g/week according to Kaminsky et al.<sup>4</sup> and >250 g/week according to Mills et al.<sup>21</sup> Rosett et al.<sup>13</sup> found reduced birthweight already at a consumption level of >126 g/week. Those studies who have found reduced birthweight at even lower consumption levels have been criticized for a low number of cases and methodological problems. Larroque concludes that there is no evidence of risk of reduced birthweight at a consumption level of <120 g/week.

### *Abortions*

Some studies have found an increased occurrence of spontaneous abortion,<sup>37,38</sup> as well as premature birth<sup>12</sup> at consumption levels around 1 to 2 drinks/week. These studies have been criticized on methodological grounds.<sup>6</sup> The higher number of studies have not found such an association, even at higher consumption levels.<sup>39,40</sup> It should be noted that the occurrence of spontaneous abortion is difficult to assess, because many cases do not come to the hospital and are not recorded. Thus, there is no strong evidence for increased risk of spontaneous abortion or premature birth at lower consumption levels.

### *Long-Term Effects on the Child*

The most important work in this area has been performed by Streissguth et al.<sup>10,41,42</sup> Lower scores on the Bayley scales was noted at 8 months in children whose mothers had consumed >165 g/week. At 4 years age, reduced attention, prolonged reaction time, and lower IQ were noted in children whose mother had consumed >250 g/week; at 7 years age, poor development and increased occurrence of behavioral disturbances were noted in chil-

dren of mothers who consumed >165 g/week. They also found learning disturbances and lower IQ after binge drinking (i.e. five drinks or more on any occasion), which, if confirmed, is of major public health importance.

A few other studies have followed children over time. Harmful effects have usually been observed at consumption levels of >165 g/week. In a review by Forrest et al.,<sup>43</sup> it is concluded that the lowest level at which developmental disorders have been observed is around 150 g/week.

### *Summary of Evidence Regarding Risk Levels*

The most serious fetal effects, FAS and fetal alcohol effects, apparently occur only at high consumption levels. Risk of low birthweight increases from consumption levels of around 60 to 80 g/week, but a clear threshold is difficult to establish. Risk of spontaneous abortion and premature birth increases possibly at high consumption levels, but it is uncertain if exposure at lower levels increases the risk. Risk of long-term effects, such as neurological and mental development disorders, is found at consumption levels not less than 150 g/week. From a theoretical point of view, binge drinking at critical stages in organ development may cause damage even in pregnancies with an otherwise low level of intake.

### REFERENCES

1. Spohr H-L, Steinhausen H-C (eds): Alcohol, Pregnancy and the Developing Child. Cambridge, Cambridge University Press, 1996
2. Stratton K, Howe C, Battaglia F (eds): Fetal Alcohol Syndrome. Diagnosis, Epidemiology, Prevention and Treatment. Washington, D.C., National Academy Press, 1996
3. Russell M, Skinner JB: Early measures of maternal alcohol use as predictors of adverse pregnancy outcomes. *Alcohol Clin Exp Res* 12:824-830, 1988
4. Kaminsky M, Rumeau-Rouquette C, Schwartz D: Consommation d'alcool chez les femmes enceintes et issue de grossesse. *Rev Epidemiol Santé Publique* 24:27-40, 1976
5. Plant ML: Women, Drinking and Pregnancy, ed 2. London, Tavistock, 1987
6. Larroque B: Alcohol and the fetus, in EUROMAC. *Int J Epidemiol* 1(Suppl.):S8, 1992
7. Olsen J, Bohimar F, Bisanti L: Does moderate alcohol intake reduce fecundability? *Alcohol Clin Exp Res* 21:206-212, 1997
8. Little RE: Moderate alcohol use during pregnancy and decreased infant birth weight. *Am J Public Health* 67:1154-1156, 1977
9. Kaminsky M, Franc M, Lebouvier M, Du Mazaubrun C, Rumeau-Rouquette C: Moderate alcohol use and pregnancy outcome. *Neurobehav Toxicol Teratol* 3:173-181, 1981
10. Streissguth A, Martin DC, Martin JC, et al: The Seattle longitudinal prospective study on alcohol and pregnancy. *Neurobehav Toxicol Teratol* 3:223-233, 1981
11. Hollstedt C, Dahlgren L, Rydberg U: Outcome of pregnancy in women treated at an alcoholic clinic. *Acta Psychiatr Scand* 67:236-248, 1983
12. Little RE, Asker RL, Sampson PD, et al: Fetal growth and moderate drinking in early pregnancy. *Am J Epidemiol* 123:270-278, 1986
13. Rosett HL, Weiner L, Lee A, et al: Patterns of alcohol consumption and fetal development. *Obstet Gynecol* 61:539-546, 1983
14. Rosett HL, Weiner L, Zukerman B, et al: Reduction of alcohol consumption during pregnancy with benefits to the newborn. *Alcohol Clin Exp Res* 4:178-184, 1980

15. Larsson G, Bohlin AB, Tunell R: Prospective study of children exposed to variable amounts of alcohol in utero. *Arch Dis Child* 60:316-321, 1985
16. Rostand A, Kaminski M, Lelong N, et al: Alcohol use in pregnancy, craniofacial features, and fetal growth. *J Epidemiol Community Health* 44:302-306, 1990
17. Olsen J: Effects of moderate alcohol consumption during pregnancy on child development at 18 and 42 months. *Alcohol Clin Exp Res* 18:1109-1113, 1994
18. Olsen J, Tunttissane P: Is moderate alcohol intake in pregnancy associated with craniofacial features related to the fetal alcohol syndrome? *Scand J Soc Med* 23:156-161, 1995
19. Davis PJ, Partridge JW, Storrs CN: Alcohol consumption in pregnancy. How much is safe? *Arch Dis Child* 57:940-943, 1982
20. Brooke OG, Anderson HR, Bland JM, et al: Effects on birthweight of smoking, alcohol, caffeine, socio-economic factors, and psychosocial stress. *Br Med J* 298:795-801, 1989
21. Mills JL, Graubard BI, Harley EE, et al: Maternal alcohol consumption and birth weight. How much drinking during pregnancy is safe? *JAMA* 252:1875-1879, 1984
22. Olsen J: Moderate alcohol consumption in pregnancy and subsequent left-handedness. *Scand J Soc Med* 23:162-166, 1995
23. Lemoine P, Harrouseau H, Borteyru JP, et al: Les enfants de parents alcooliques: Anomalies observées. A propos de 127 cas. *Ouest Méd* 25:476-482, 1968
24. Day NL, Robies N, Geva D, et al: The effects of prenatal alcohol use on the growth of children at three years of age. *Alcohol Clin Exp Res* 15:67-71, 1991
25. Streissguth A, Sampson P, Barr H: Neurobehavioural dose-response effects of prenatal alcohol exposure in humans from infancy to adulthood. *Ann NY Acad Sci* 562:145-158, 1989
26. Aronsson M, Hagberg B: Hur har det gått för de alkoholskade barnen? *Läkartidningen* 90:2214-2219, 1993
27. Abel EL, Sokol RJ: Incidence of fetal alcohol syndrome and economic impact of FAS-related anomalies. *Drug Alcohol Depend* 19:51-70, 1987
28. Sokol RJ, Miller SI, Reed G: Alcohol abuse during pregnancy: An epidemiological study. *Alcohol Clin Exp Res* 4:135-145, 1980
29. Hingson R, Alpert JJ, Day N, et al: Effects of maternal drinking and marijuana use on fetal growth and development. *Pediatrics* 70:539-546, 1982
30. Sokol RJ, Ager J, Martier S, et al: Significant determinants of susceptibility to alcohol teratogenicity. *Ann NY Acad Sci* 477:87-102, 1986
31. Oulette E, Rosett HL, Rosman NP: Adverse effects on offspring of maternal alcohol abuse during pregnancy. *N Engl J Med* 279:528-530, 1977
32. Abel EL, Sokol RJ: A revised estimate of the economic impact of fetal alcohol syndrome. *Recent Dev Alcohol* 9:117-125, 1992
33. Fryns JP, Deroover J, Parloir C, et al: The foetal alcohol syndrome. *Acta Paediatr Belg* 30:117, 1977
34. Hagberg B, Kyllerman M: Epidemiology of mental retardation—A Swedish survey. *Brain Dev* 5:441-449, 1983
35. EUROMAC: A European concerted action: Maternal alcohol consumption and its relation to the outcome of pregnancy and child development at 18 months. *Int J Epidemiol* 1(Suppl.):82S-83S, 1992
36. Knupfer G: Abstaining for foetal health: The fiction that even light drinking is dangerous. *Br J Addict* 86:1063, 1991
37. Kline J, Shrout P, Sten Z, et al: Drinking during pregnancy and spontaneous abortions. *Lancet* 2:176-180, 1980
38. Harlap S, Shiono PH: Alcohol, smoking and incidence of spontaneous abortion in the first and second trimester. *Lancet* 1:173-176, 1981
39. Marbury MC, Linn S, Monson RR, et al: The association of alcohol consumption with outcome of pregnancy. *Am J Publ Health* 73:1165-1168, 1983
40. Wright JT, Waterson EJ, Barrison IC, et al: Alcohol consumption, pregnancy and low birthweight. *Lancet* 1:663-665, 1983
41. Streissguth A, Martin DC, Barr HM, et al: Effects of maternal alcohol, nicotine, and caffeine use during pregnancy on infant and motor development at eight months. *Alcohol Clin Exp Res* 4:152-164, 1980
42. Streissguth A, Barr H, Sampson PD: Moderate prenatal alcohol exposure: Effects on child IQ and learning problems at age 7½ years. *Alcohol Clin Exp Res* 14:662-669, 1990
43. Forrest F, Florey C du V, Taylor D: Maternal alcohol consumption and child development, in EUROMAC. *Int J Epidemiol* 1(Suppl.):S17, 1992