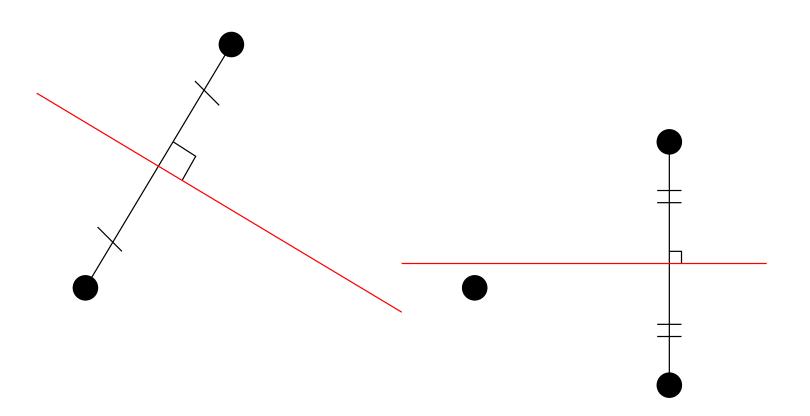
# Delaunay polytopes in lattices

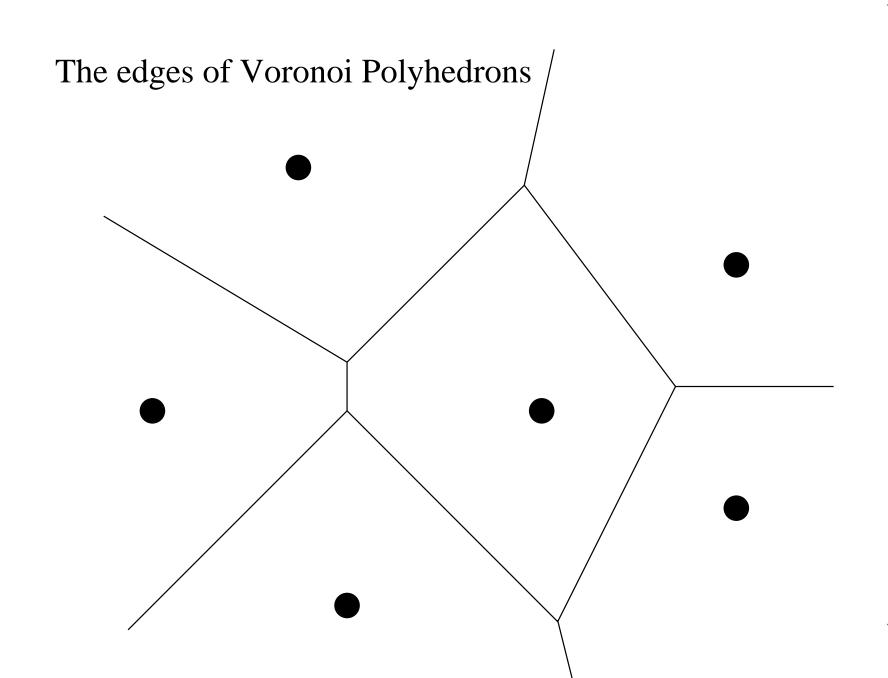
**Mathieu Dutour** 

ENS/CNRS, Paris and Hebrew University, Jerusalem

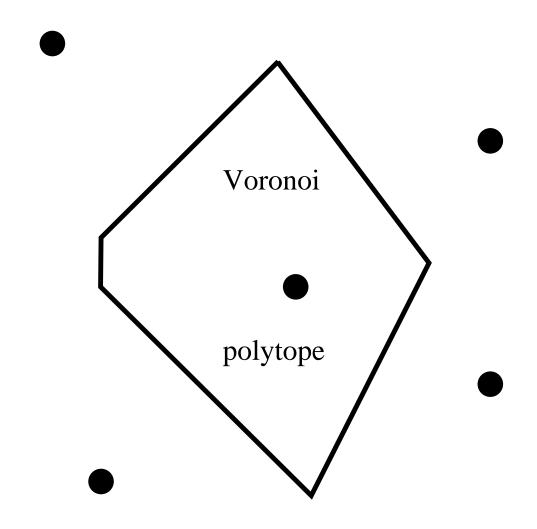
A finite set of points

Some relevant perpendicular bisectors

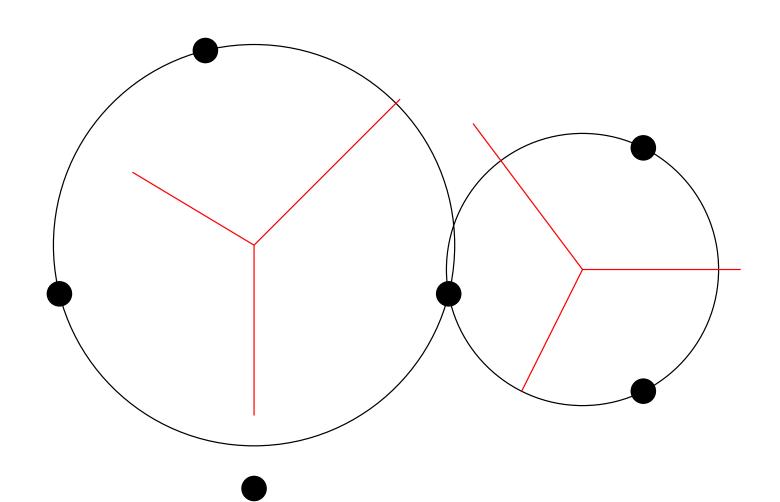




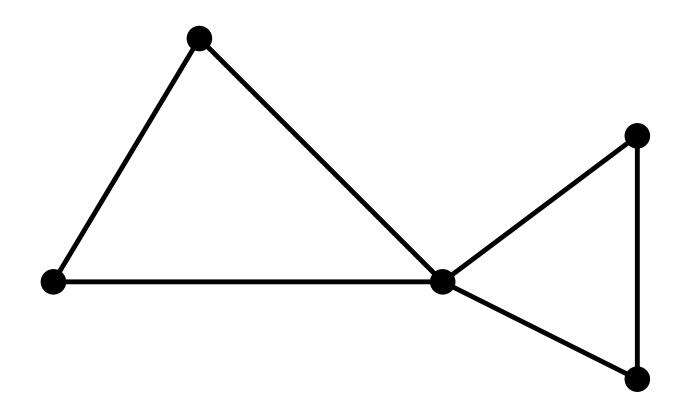
Voronoi Polytope



Empty spheres



Delaunay polytopes



#### Other names

#### Voronoi polytope synonyms

- Dirichlet domains (lattice theory, 2-dimensional case)
- $\longrightarrow$  Voronoi polytope (n-dimensional lattice, computational geometry)
- Thiessen polygons (geography)
- Wigner-Seitz cell (solid state physic, crystallography)
- first Brillouin zone (solid state physic, momentum space)
- domain of influence (politics)

#### Delaunay polytopes synonyms

- L-polytope (Voronoi in "Second memoire")
- Shallow or deep hole (in Conway-Sloane)

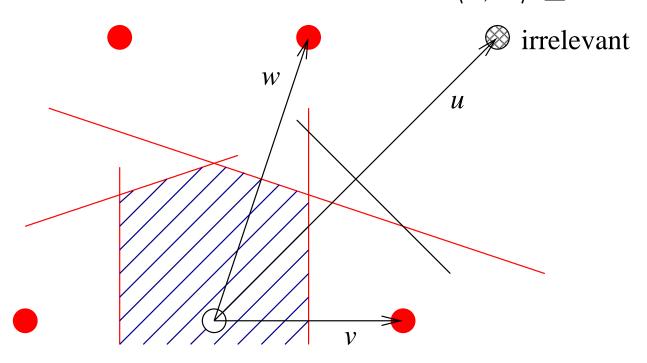
#### **PLAN**

- Voronoi polytopes in lattices
- II. Delaunay polytopes and hypermetrics
- III. The six-dimensional Delaunay polytopes
- IV. Beyond dimension six

# Voronoi polytopesinlattices

#### The Voronoi polytope of a lattice

- lacktriangle Polytope  $\mathcal V$  defined by inequalities  $\langle x,v\rangle \leq \frac{1}{2}||v||^2$
- $\mathcal{V}$  is polyhedral, vector  $v_0$  such that  $\langle x, v_0 \rangle = \frac{1}{2} ||v_0||^2$  is a facet are called relevant
- (Voronoi Theorem) A vector u is relevant if and only if it can not be written as u = v + w with  $\langle v, w \rangle \geq 0$



- The translates v + V with  $v \in L$  tiles  $\mathbb{R}^n$
- Vertices of Voronoi polytope are center of empty spheres which defines Delaunay polytopes
- Shortest vector in L are relevant
- Only for root lattice shortest vector are all relevant vectors

Name	Nr. facets	Nr. Vertices	Nr. Orbit
$A_n$	n(n+1)	$2^{n+1}-2$	$\lfloor \frac{n+1}{2} \rfloor$
$D_n$	2n(n-1)	$2^{n} + 2n$	2
$E_6$	72	54	1
$E_7$	126	632	2
$E_8$	240	19440	2

#### A lattice with two Delaunay polytopes

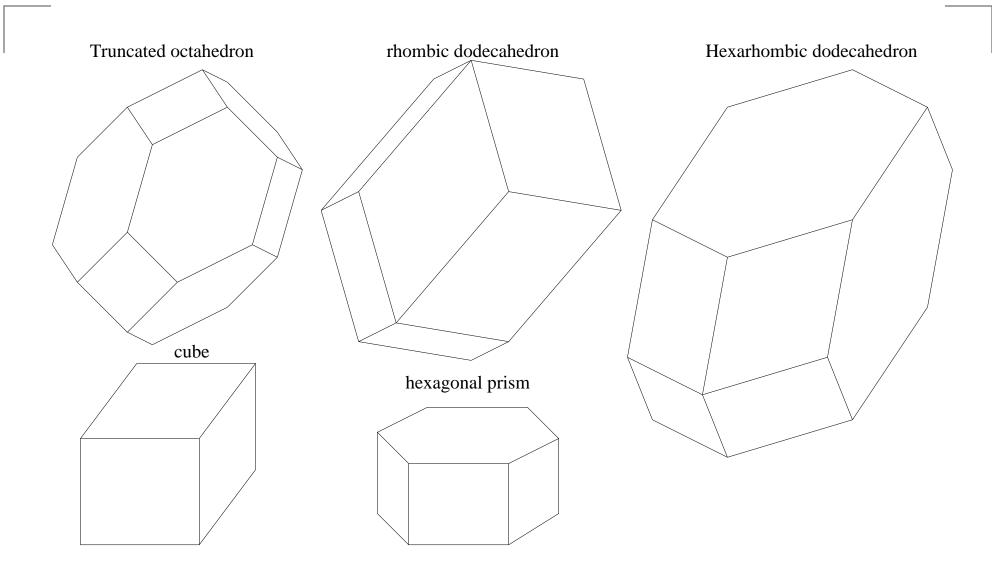
- lacktriangle Take  $L = \mathbb{Z}^n$
- Delaunay polytope

Name	Center	Nr. vertices	Radius
Cube	$(\frac{1}{2})^n$	$2^n$	$\frac{1}{2}\sqrt{n}$

- Take  $D_n = \{x \in \mathbb{Z}^n | \sum_{i=1}^n x_i \text{ is even} \}$
- $\longrightarrow$  Delaunay polytopes of  $D_n$ :

Name	Center	Nr. vertices	Radius	
Half-Cube	$(\frac{1}{2})^n$	$\frac{1}{2}2^{n}$	$\frac{1}{2}\sqrt{n}$	
Cross-polytope	$(1,0^{n-1})$	2n	1	

### 3-dimensional Voronoi polytopes



#### Geometry of numbers

 $PSD_n$ =Cone of real symmetric positive definite  $n \times n$  matrices

Correspondance between  $PSD_n$  and lattices:

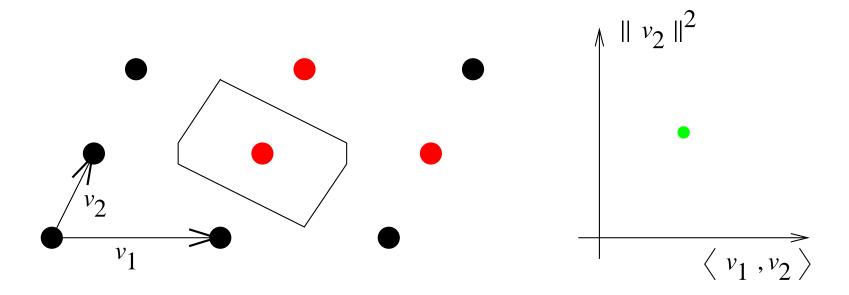
lacktriangle Lattice L spanned by  $v_1, \ldots, v_n$  corresponds to

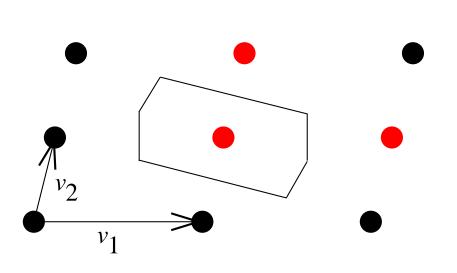
$$M_v = (\langle v_i, v_j \rangle)_{1 \le i, j \le n} \in PSD_n$$

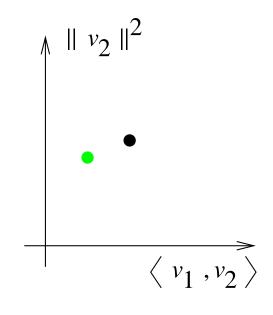
• If L spanned by  $v_1', \ldots, v_n'$  then  $(v_1', \ldots, v_n') = P(v_1, \ldots, v_n)$  with  $P \in GL_n(\mathbb{Z})$  and

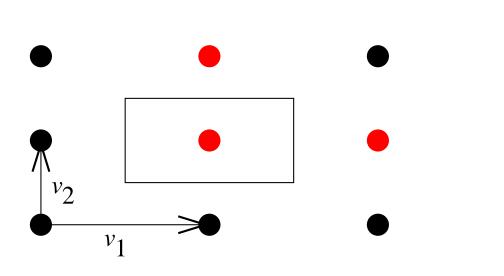
$$M_{v'} = PM_v{}^t P$$

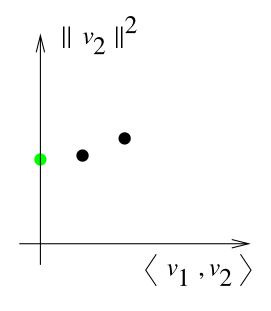
• Lattices up to isometric equivalence correspond  $GL_n(\mathbb{Z})$  equivalence classes in  $PSD_n$ 

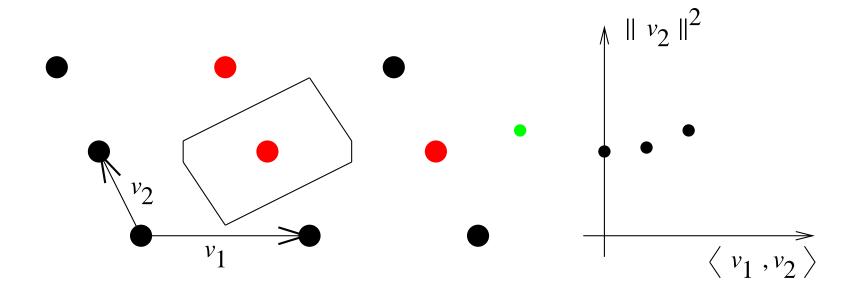






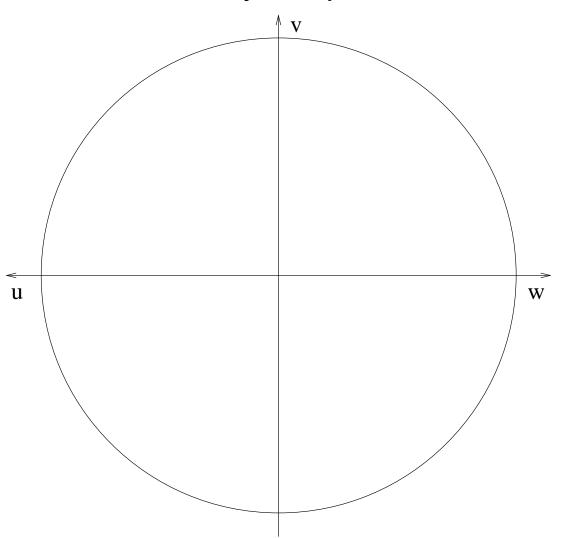






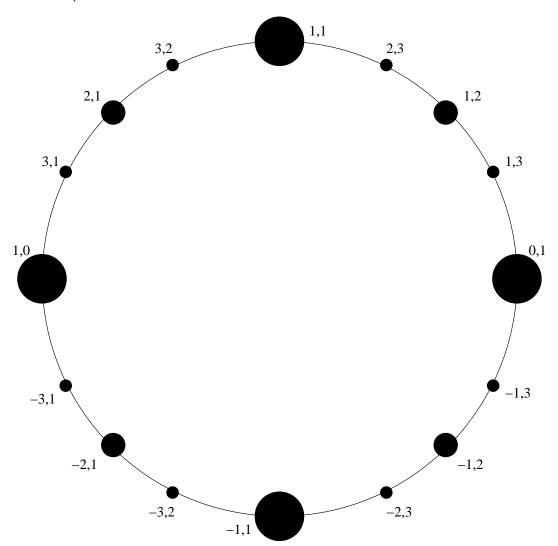
### The partition of $PSD_2 \subset \mathbb{R}^3$

If  $q(x,y) = ux^2 + 2vxy + wy^2$  then  $q \in PSD_2$  if and only if  $v^2 < uw$  and u > 0; we cut by the plane u + w = 1



### The partition of $PSD_2 \subset \mathbb{R}^3$

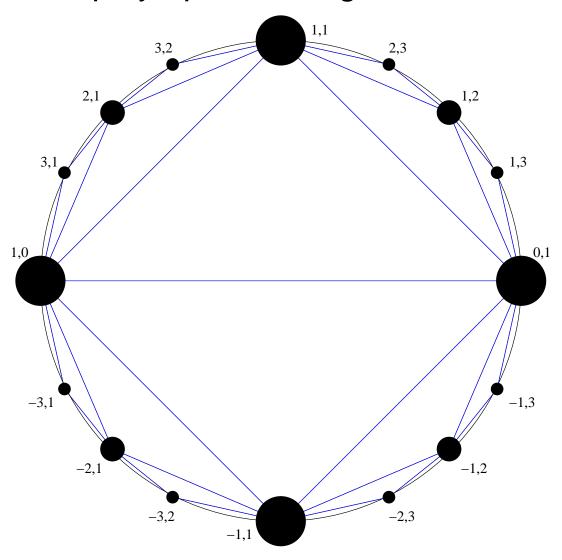
The group  $GL_2(\mathbb{Z})$  transform the limit form  $x^2$  into the forms  $(ax+by)^2$  with  $a,b\in\mathbb{Z}$ 



### The partition of $PSD_2 \subset \mathbb{R}^3$

 $PSD_2$  partition: Line: Voronoi polytope is rectangular.

Triangle: Voronoi polytope is hexagonal.



#### L-type domain

 $PSD_n = \bigcup_i D_i$  with  $D_i$  open convex polyhedral cones called L-type domain such that

- lacktriangle the partition is invariant with respect to  $GL_n(\mathbb{Z})$
- there are finitely many orbits (called combinatorial types)

#### **Properties**

- Two lattices in the same L-type domain can be continuously deformed without changing the combinatorial structure
- If  $dim(D_i) = \binom{n+1}{2}$  then  $D_i$  is called primitive (its Delaunay polytope are simplices)
- If  $dim(D_i) = 1$  then  $D_i$  is called rigid
  - There exist non-simplicial L-type domain

# **Summary of results**

dimension	1	2	3	4	5	6	7
Nr. Voronoi	1	2	5	52	179377	?	?
polytopes			Fedorov	DeSh	Engel		
Nr. primitive	1	1	1	3	222	$\geq 1.10^6$	?
Voronoi			Fedorov	Delaunay	BaRy, Engel	Engel	
Nr. rigid	1	0	0	1	7	$\geq 2.10^4$	?
lattices					←BaGr	DuVa	
Nr. Delaunay	1	2	5	19	138	6241	?
polytopes			Fedorov	Erdahl	Kononenko	Dutour	
				Ryshkov			
Nr. extreme	1	0	0	0	0	1	$\geq 1$
Delaunay						DeDu	

# II. Delaunay polytopes and hypermetrics

#### Hypermetric inequalities

• If  $b \in \mathbb{Z}^{n+1}$ ,  $\sum_{i=0}^{n} b_i = 1$  then the hypermetric inequality is

$$H(b)d = \sum_{0 \le i < j \le n} b_i b_j d(i, j) \le 0$$

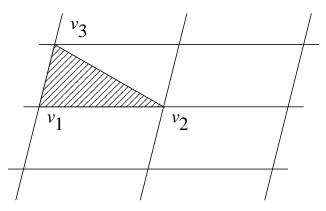
- If  $b = (1, 1, -1, 0, \dots, 0)$  then H(b)=triangular inequality
- The hypermetric cone  $HYP_{n+1}$  is the set of all d such that  $H(b)d \le 0$  for all b
- lacktriangle  $HYP_{n+1}$  is defined by an infinite set of inequalities

#### **Delaunay polytopes**

If  $\mathcal{D}$  is an n dimensional Delaunay polytope with center c, radius r and vertices  $\{v_0, \ldots, v_N\}$  then  $d(i, j) = ||v_i - v_j||^2$  satisfies

$$\sum_{i,j} b_i b_j d(i,j) = 2(r^2 - \|\sum_i b_i v_i - c\|^2) \le 0$$

i.e. Delaunay polytope  $\Leftrightarrow$  hypermetrics Moreover  $\sum_i b_i v_i$  is a vertex of  $\mathcal{D}$  if and only if H(b)d=0

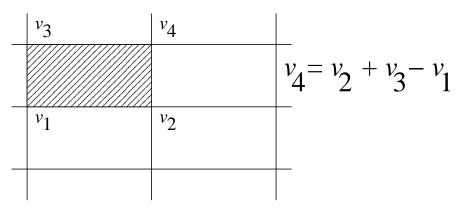


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$$\sum_{i,j} b_i b_j d(i,j) = 2(r^2 - \|\sum_i b_i v_i - c\|^2) \le 0$$

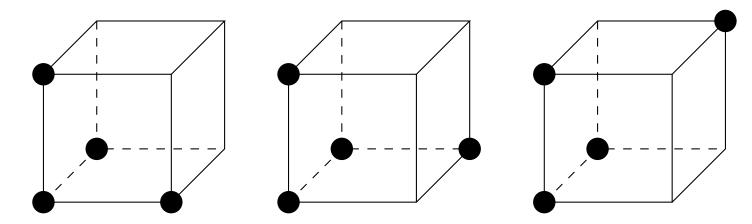
i.e. Delaunay polytope  $\Leftrightarrow$  hypermetrics Moreover  $\sum_i b_i v_i$  is a vertex of  $\mathcal{D}$  if and only if H(b)d=0



#### **Affine basis**

An affine basis of an n-dimensional polytope P is  $\{v_0, \ldots, v_n\}$  such that for every vertex v of P, there is

$$b_i \in \mathbb{Z}$$
, such that  $b_0 + \cdots + b_n = 1$   
and  $b_0 v_0 + b_1 v_1 + \cdots + b_n v_n = v$ 



Baranovski-Ryshkov: every Delaunay polytope of dimension  $\leq 6$  has an affine basis

No Delaunay polytope without affine basis is known!

#### Polyhedrality of $HYP_n$

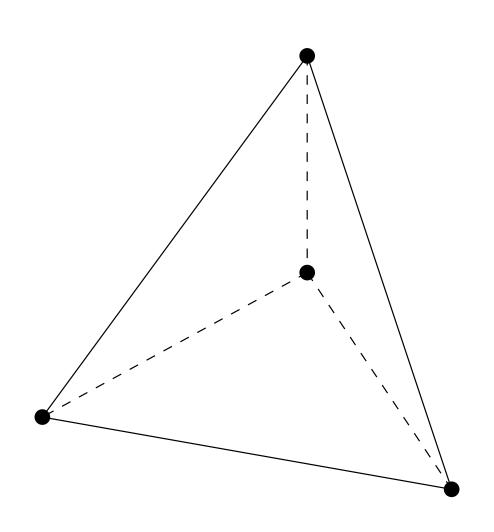
- $lacktriangleq HYP_n$  is polyhedral as union of L-type domain
- (Lovasz) if H(b) defines a facet then  $|b_i| \leq \frac{2^n}{\binom{2n}{n}} n!$

Combinatorial types of n-dimensional Delaunay polytope P correspond to faces F of  $HYP_{n+1}$ 

One defines rank(P) = dim Frank(P) is the number of degree of freedom

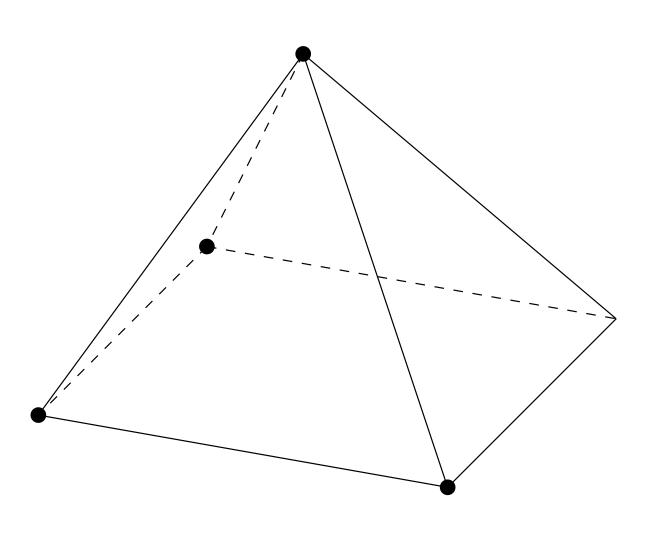
- $rank(P) = \binom{n+1}{2}$ , then P is a simplex
- $\bullet$  rank(P) = 1, then P is an extreme Delaunay polytope

We are interested in extreme Delaunay polytopes (their only degree of freedom is homotheties and rotations)



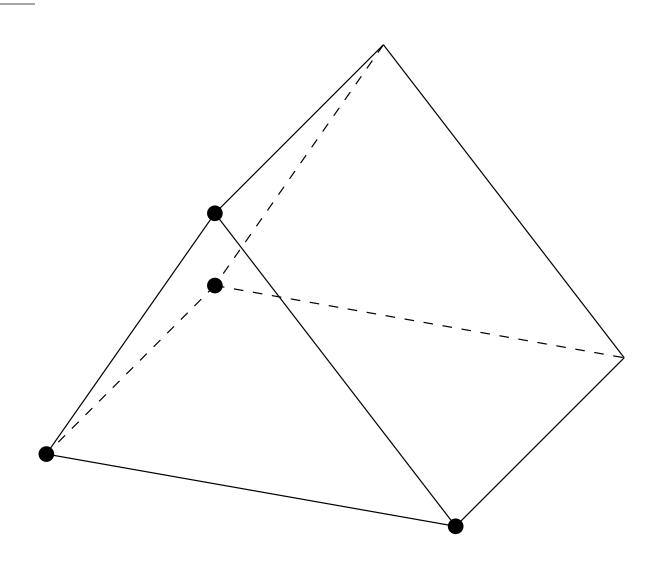
#### 3-simplex

Hypermetric Vectors



#### Pyramid

Hypermetric Vectors (-1, 0, 1, 1)

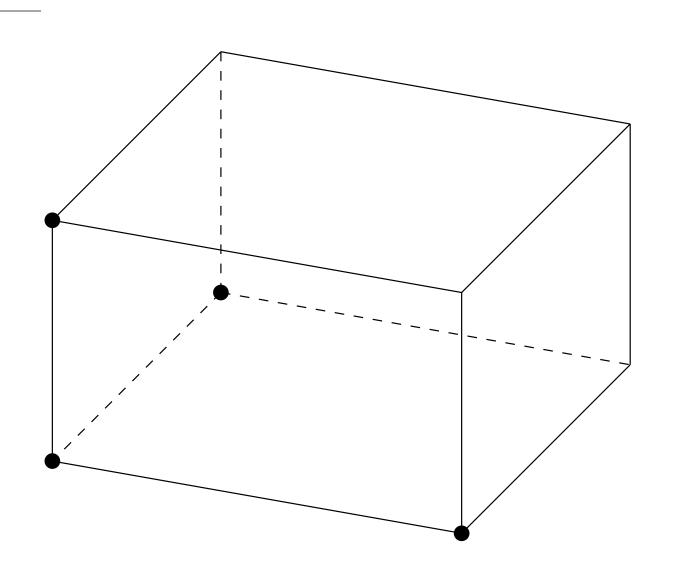


#### 3-Prism

Hypermetric Vectors

$$(-1,0,1,1)$$

$$(-1, 1, 0, 1)$$



#### Cube

Hypermetric Vectors

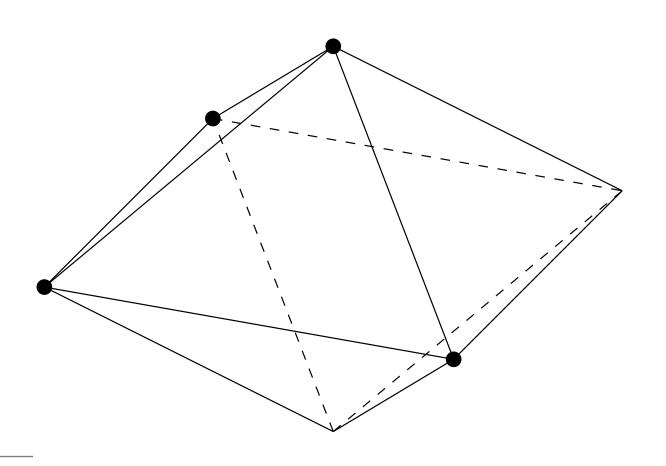
$$(-1,0,1,1)$$

$$(-1, 1, 0, 1)$$

$$(-1, 1, 1, 0)$$

$$(-2, 1, 1, 1)$$

$$H(-2, 1, 1, 1)=H(-1, 0, 1, 1)+H(-1, 1, 0, 1)+H(-1, 1, 1, 0)$$

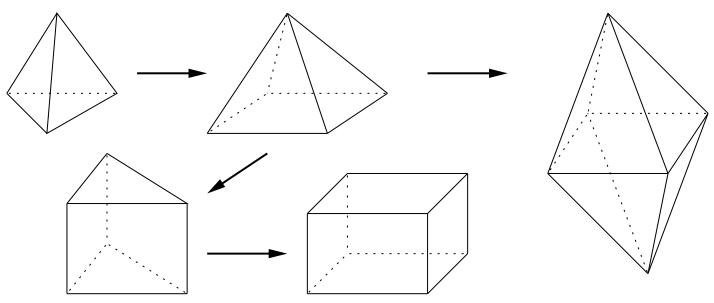


#### Octahedron

Hypermetric Vectors

$$(-1,0,1,1)$$
  
 $(0,-1,1,1)$ 

# **Combinatorial types**

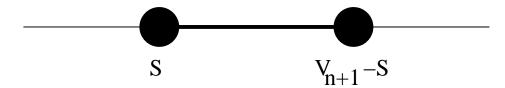


dim	Nr of types		Computing time
2	2	Fedorov	
3	5	Fedorov	23s
4	19	Erdahl-Ryshkov	52s
5	138	Kononenko	5m
6	6241	Dutour	50h

# III. The six-dimensional Delaunaypolytopes

### **Cut cone**

The cut-semi-metric  $\delta_S$  on n+1 points can be interpreted as square distance on the one dimensional Delaunay polytope  $\alpha_1$  which is extreme



We denote  $CUT_{n+1}$  the cone generated by all  $\delta_S$ 

- ullet  $CUT_{n+1} \subset HYP_{n+1}$  for all n
- ullet  $CUT_{n+1} = HYP_{n+1}$  if  $n \le 5$
- no other extreme Delaunay polytope in dimension lower than 5
- But  $CUT_7 \neq HYP_7 \Rightarrow$  there is an extreme six-dimensional Delaunay polytope

# Facets of $HYP_7$ and $CUT_7$

Baranovski has found 14 orbits of facets of  $HYP_7$  Method: direct proof that others are redundant We have another proof of this result

First 10 orbits are also facet of  $CUT_7$ .  $CUT_7$  has 36 orbits of facets, 26 of which are non-hypermetric.

# The Schläfli polytope

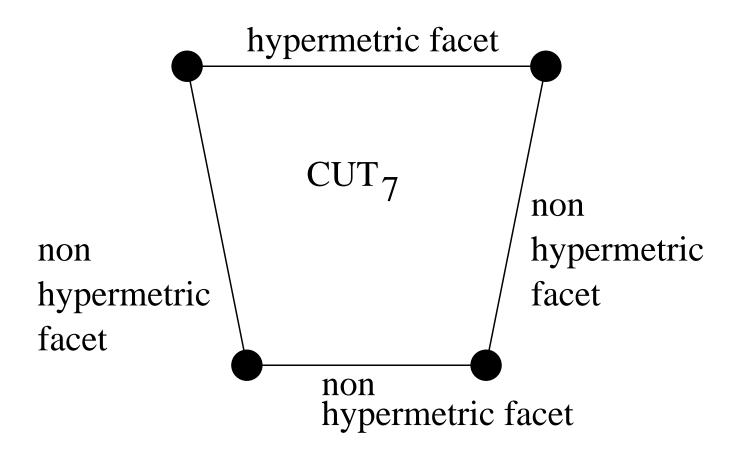
Root lattices  $E_6$  and  $E_8$ :

$$E_6 = \{x \in E_8 : x_1 + x_2 = x_3 + \dots + x_8 = 0\}$$
  
 $E_8 = \{x \in \mathbb{Z}^8 \cup (\frac{1}{2} + \mathbb{Z})^8 \text{ and } \sum_i x_i \in 2\mathbb{Z}\}$ 

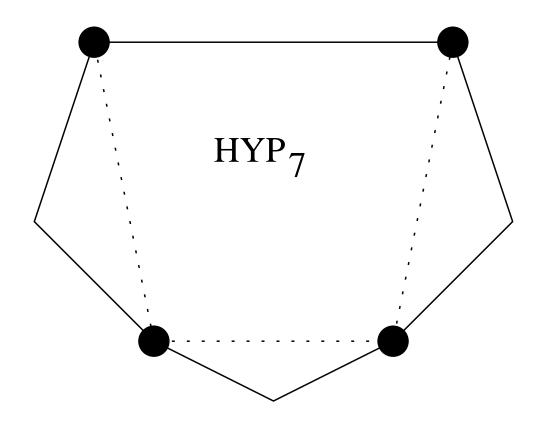
 $E_6$  has unique Delaunay polytope called Schläfli polytope (which is identified to Schläfli graph)

- 27 vertices
- Symmetry group has size 51840 transitive on vertices
- Schläfli polytope is extreme
- 26 orbits of affine basis (DGL), which gives 26 orbits of extreme rays in  $HYP_7$ .

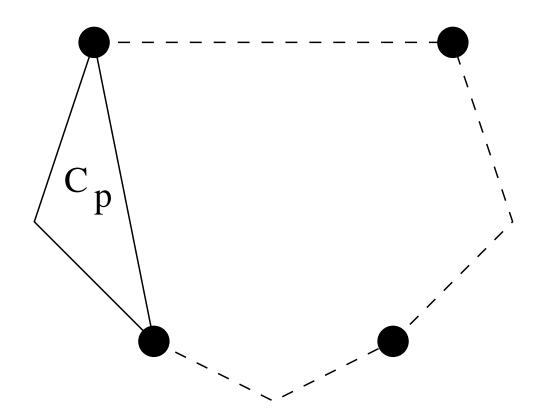
The cone  $CUT_7$  has hyp facet and non-hyp facet



The cone  $HYP_7$  contains  $CUT_7$ 

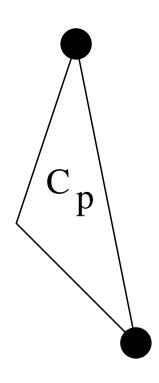


Take a non-hypermetric facet  $p(x) \ge 0$  of  $CUT_7$  and define

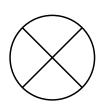


$$C_p = \{d \in HYP_7 \text{ such that } p(d) \leq 0\}$$

Eliminate redundant inequalities by linear programming



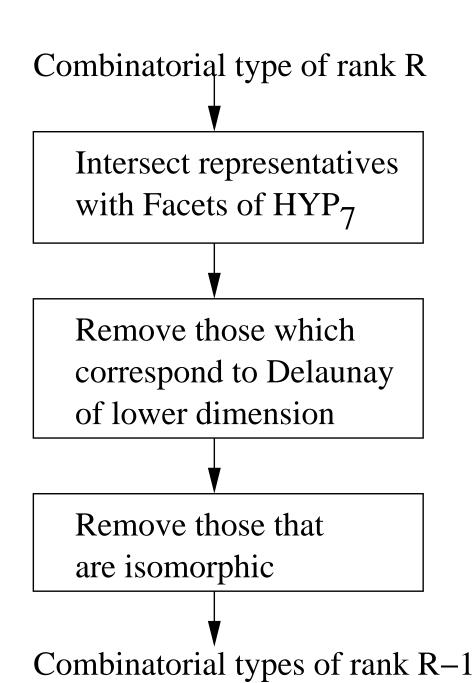
Find non-cut extreme ray (which is Schläfli)



# 6241 six-dimensional Delaunays

rank	Nr. in $HYP_7$	Nr. in $CUT_7$			
21	1(simplex)	0	11	686	325
20	9	1	10	417	183
19	30	2	9	218	83
18	95	8	8	108	35
17	233	28	7	52	13
16	500	95	6	21	3
15	814	241	5	8	0
14	1092	434	4	4	0
13	1145	527	3	2	0
12	984	481	2	1	0
			1	1(Schläfli)	0

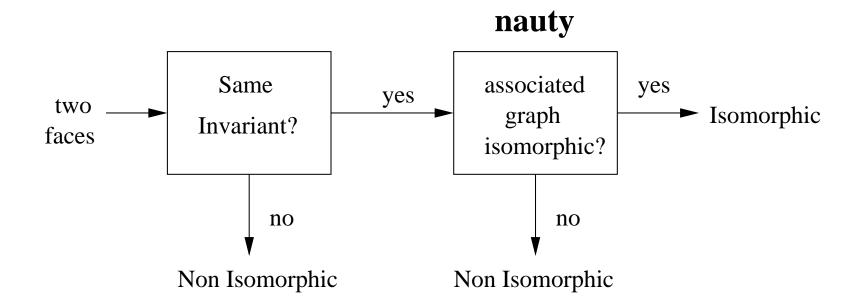
### Method of enumeration



# Isomorphy test, general theory

### Associate to each face

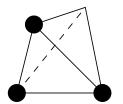
- 1. Some invariants
- 2. A graph that encode its combinatorial properties

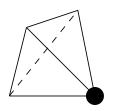


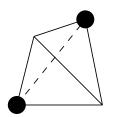
# Isomorphy test, specific methods

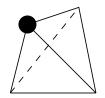
### Let F a face of $HYP_7$ :

- If F contains a Schläfli extreme ray  $e_S$ .  $e_S$  correspond to an affine basis of Schläfli polytope and every hypermetric incidence H(b) to another vertex in Schläfli polytope.  $\Rightarrow F$  embedded as a subgraph of Schläfli graph.
- If F is generated by  $\delta_{S_1}, \ldots, \delta_{S_N}$ . Every  $\delta_{S_i}$  is extended as a cut on the set of vertices and the set of cutset is the combinatorial structure.





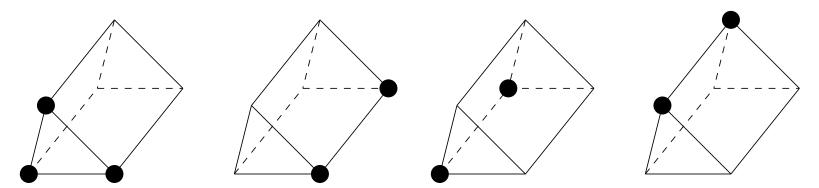




## Isomorphy test, specific methods

### Let F a face of $HYP_7$ :

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- If F is generated by  $\delta_{S_1}, \ldots, \delta_{S_N}$ . Every  $\delta_{S_i}$  is extended as a cut on the set of vertices and the set of cutset is the combinatorial structure.



# IV. Beyonddimensionsix

## The known extreme Delaunay polytopes

Name	dimension	Nr. vertices	Equality	section of
Schläfli	6	27	yes	$E_8$
Gosset	7	56	no	$E_8$
	16	512	no	BarnesWall
$B_{15}$	15	135	yes	BarnesWall
	22	275	yes	Leech
	23	552	no	Leech

Extreme Delaunay polytope appear as section of higher dimensional lattices.

# **Computing methods**

Given  $d_{ij} = ||v_i - v_j||^2$  a distance vector,

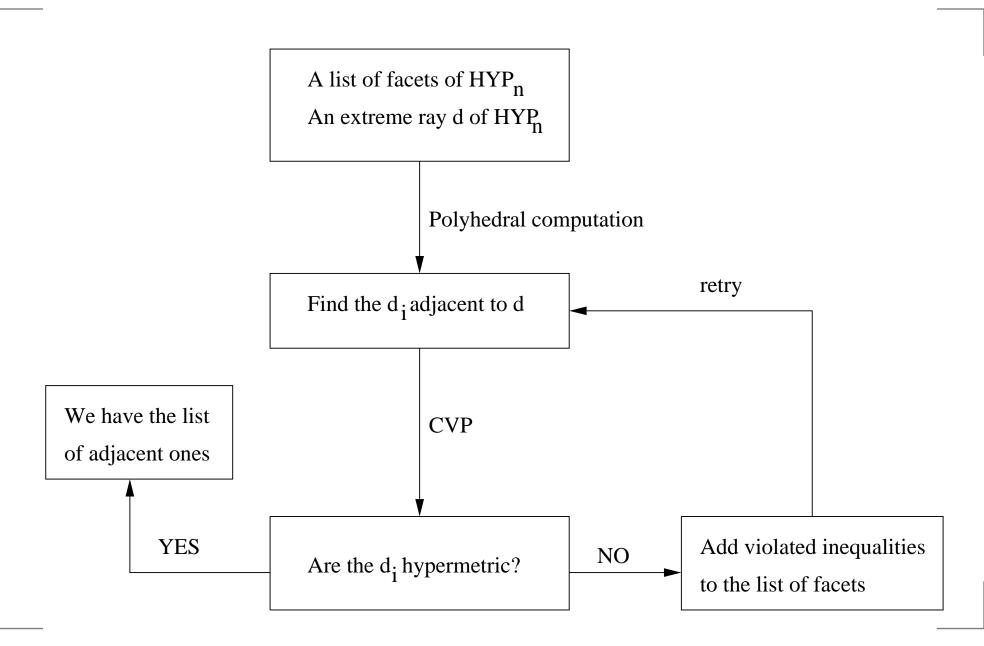
- One can compute the Gram matrix  $\langle (v_i v_0), (v_j v_0) \rangle$
- Test if d is non-degenerate
- lacktriangle Compute the sphere S(c,R) around the  $v_i$
- $\bullet$   $d \in HYP_{n+1}$  if and only if there is no b such that

$$||b_0v_0 + \dots + b_nv_n - c|| < R$$

(i.e. Closest Vector Problem)

• Find the b such that H(b)d = 0 is also a CVP

# **Bounding method**



### Lower bound

- Every incidence H(b)d = 0 correspond to a vertex  $b_0v_0 + \cdots + b_nv_n$  of a Delaunay polytope P
- The number N of vertices satisfies

$$N \geq n + 1 + corank(P)$$
  
 
$$\geq n + 1 + {n+1 \choose 2} - rank(P)$$

- Extreme Delaunay polytopes have at least  $\binom{n+2}{2} 1$  vertices
- If they have exactly  $\binom{n+2}{2} 1$  vertices, then the corresponding extreme ray of  $HYP_{n+1}$  are simplicial for which adjacency computation is easy

# 8-dimensional extreme Delaunay

 $B_{15}$  satisfies the equality bound.

We can compute its adjacent extreme rays: 77 of them correspond to a 8-dimensional extreme Delaunay polytope with f-vector

(79, 1268, 7896, 23520, 36456, 29876, 11364, 1131)

It has a symmetry group of size 322560 not transitive on vertices

There are three orbits of vertices:

- a vertex
- 64-vertices: the 7-half-cube
- 14 vertices: the 7-cross polytope

# Infinite sequence of extreme Delaunay

- If n even,  $n \ge 6$ , there is a n-dimensional extreme Delaunay  $ED_n$  formed with 3 layers of  $D_{n-1}$  lattice
  - a vertex
  - $\bullet$  the n-1 half-cube
  - lacktriangle the n-1 cross-polytope
  - n=6: Schläfli polytope
  - n=8: the 8-dimensional one
- If n odd,  $n \ge 7$ , there is a n-dimensional extreme Delaunay  $ED_n$  formed with 4 layers of previous lattice
  - a vertex
  - lacktriangle the  $ED_{n-1}$  extreme Delaunay
  - lacktriangle the  $ED_{n-1}$  extreme Delaunay
  - a vertex
  - n=7: Gosset polytope

# Coordinates of $M_n$

Vertices of  $ED_n$  for n even in  $\mathbb{R}^n$ .

a vertex

$$(\frac{1}{2},\ldots,\frac{1}{2},\sqrt{\frac{n-2}{2}})$$

the Half-Cube vectors

$$(x_1,\ldots,x_{n-1},0)$$

with  $\sum_{i=1}^{n-1} x_i$  even.

the cross polytope vectors

$$(\frac{1}{2}, \dots, \frac{1}{2}, -\sqrt{\frac{n-2}{2}}) \pm e_i$$

with  $1 \le i \le n-1$ .

# Thank You