

Perfect forms and perfect Delaunay polytopes

Mathieu Dutour Sikirić

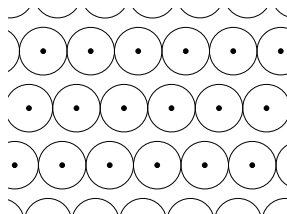
Rudjer Bošković Institute, Croatia

April 27, 2012

I. Lattices, packings and coverings

Lattice packings

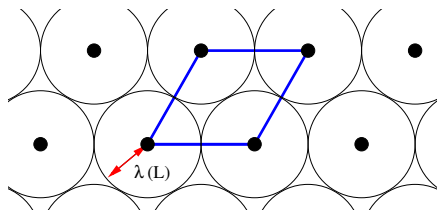
- ▶ A **lattice** $L \subset \mathbb{R}^n$ is a set of the form $L = \mathbb{Z}v_1 + \cdots + \mathbb{Z}v_n$.
- ▶ A **packing** is a family of balls $B_n(x_i, r)$, $i \in I$ of the same radius r and center x_i such that their interiors are disjoint.



- ▶ If L is a lattice, the **lattice packing** is the packing defined by taking the maximal value of $\alpha > 0$ such that $L + B_n(0, \alpha)$ is a packing.

Density of lattice packings

- ▶ Take the lattice packing defined by a lattice L :



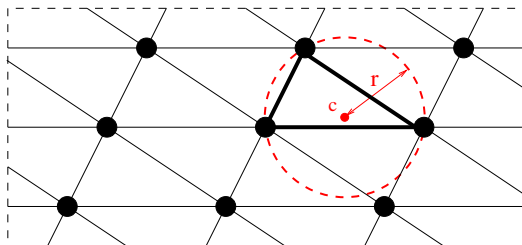
- ▶ The packing density has the expression

$$\delta(L) = \frac{\lambda(L)^n \text{vol}(B_n(0, 1))}{\det L} \quad \text{with} \quad \lambda(L) = \frac{1}{2} \min_{v \in L - \{0\}} \|v\|,$$

$\text{vol}(B_n(0, 1))$ the volume of the unit ball $B_n(0, 1)$ and $\det L$ the volume of an unit cell.

Empty sphere and Delaunay polytopes

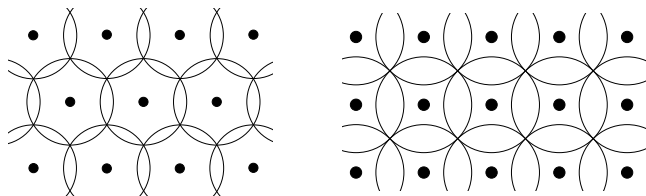
- ▶ **Definition:** A sphere $S(c, r)$ of center c and radius r in an n -dimensional lattice L is said to be an **empty sphere** if:
 - (i) $\|v - c\| \geq r$ for all $v \in L$,
 - (ii) the set $S(c, r) \cap L$ contains $n + 1$ affinely independent points.
- ▶ **Definition:** A **Delaunay polytope** P in a lattice L is a polytope, whose vertex-set is $L \cap S(c, r)$.



- ▶ Delaunay polytopes define a tessellation of the Euclidean space \mathbb{R}^n

Lattice covering

- ▶ For a lattice L we define the **covering radius $\mu(L)$** to be the smallest r such that the family of balls $v + B_n(0, r)$ for $v \in L$ cover \mathbb{R}^n .



- ▶ The covering density has the expression

$$\Theta(L) = \frac{\mu(L)^n \text{vol}(B_n(0, 1))}{\det(L)} \geq 1$$

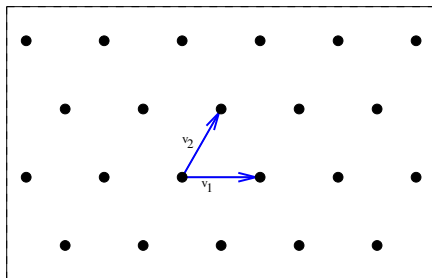
with $\mu(L)$ being the **largest radius of Delaunay polytopes**

- ▶ The only general method for computing $\Theta(L)$ is to compute all Delaunay polytopes of L .

II. Gram matrix formalism

Gram matrix and lattices

- ▶ Denote by S^n the vector space of real symmetric $n \times n$ matrices and $S_{>0}^n$ the convex cone of real symmetric positive definite $n \times n$ matrices.
- ▶ Take a basis (v_1, \dots, v_n) of a lattice L and associate to it the **Gram matrix** $G_v = (\langle v_i, v_j \rangle)_{1 \leq i, j \leq n} \in S_{>0}^n$.
- ▶ Example: take the hexagonal lattice generated by $v_1 = (1, 0)$ and $v_2 = \left(\frac{1}{2}, \frac{\sqrt{3}}{2}\right)$



$$G_v = \frac{1}{2} \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$$

Isometric lattices

- Take a basis (v_1, \dots, v_n) of a lattice L with $v_i = (v_{i,1}, \dots, v_{i,n}) \in \mathbb{R}^n$ and write the matrix

$$V = \begin{pmatrix} v_{1,1} & \dots & v_{n,1} \\ \vdots & \ddots & \vdots \\ v_{1,n} & \dots & v_{n,n} \end{pmatrix}$$

and $G_v = V^T V$.

The matrix G_v is defined by $\frac{n(n+1)}{2}$ variables as opposed to n^2 for the basis V .

- If $M \in S_{>0}^n$, then there exists V such that $M = V^T V$ (Gram Schmidt orthonormalization)
- If $M = V_1^T V_1 = V_2^T V_2$, then $V_1 = OV_2$ with $O^T O = I_n$ (i.e. O corresponds to an isometry of \mathbb{R}^n).
- Also if L is a lattice of \mathbb{R}^n with basis v and u an isometry of \mathbb{R}^n , then $G_v = G_{u(v)}$.

Arithmetic minimum

- ▶ The **arithmetic minimum** of $A \in S_{>0}^n$ is

$$\min(A) = \min_{x \in \mathbb{Z}^n - \{0\}} x^T A x$$

- ▶ The **minimal vector set** of $A \in S_{>0}^n$ is

$$\text{Min}(A) = \left\{ x \in \mathbb{Z}^n \mid x^T A x = \min(A) \right\}$$

- ▶ Both $\min(A)$ and $\text{Min}(A)$ can be computed using some programs (for example **sv** by **Vallentin**)
- ▶ The matrix $A_{\text{hex}} = \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$ has

$$\text{Min}(A_{\text{hex}}) = \{\pm(1, 0), \pm(0, 1), \pm(1, -1)\}.$$

Reexpression of previous definitions

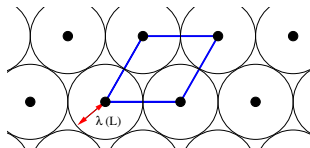
- Take a lattice $L = \mathbb{Z}v_1 + \cdots + \mathbb{Z}v_n$. If $x \in L$,

$$x = x_1 v_1 + \cdots + x_n v_n \quad \text{with } x_i \in \mathbb{Z}$$

we associate to it the column vector $X = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$

- We get $\|x\|^2 = X^T G_v X$ and

$$\det L = \sqrt{\det G_v} \quad \text{and} \quad \lambda(L) = \frac{1}{2} \sqrt{\min(G_v)}$$



- For $A_{hex} = \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$, $\det A_{hex} = 3$ and $\min(A_{hex}) = 2$

Changing basis

- ▶ If \mathbf{v} and \mathbf{v}' are two basis of a lattice L then $V' = VP$ with $P \in GL_n(\mathbb{Z})$. This implies

$$G_{\mathbf{v}'} = V'^T V' = (VP)^T VP = P^T \{V^T V\} P = P^T G_{\mathbf{v}} P$$

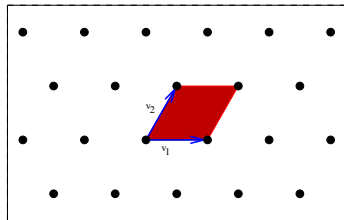
- ▶ If $A, B \in S_{>0}^n$, they are called **arithmetically equivalent** if there is at least one $P \in GL_n(\mathbb{Z})$ such that

$$A = P^T B P$$

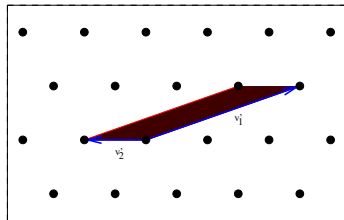
- ▶ Lattices up to isometric equivalence correspond to $S_{>0}^n$ up to **arithmetic equivalence**.
- ▶ In practice, **Plesken/Souvignier** wrote a program **isom** for testing arithmetic equivalence and a program **autom** for computing automorphism group of lattices.
All such programs take Gram matrices as input.

An example of equivalence

- Take the hexagonal lattice and two basis in it.



$$v_1 = (1, 0) \text{ and } v_2 = \left(\frac{1}{2}, \frac{\sqrt{3}}{2}\right)$$



$$v'_1 = \left(\frac{5}{2}, \frac{\sqrt{3}}{2}\right) \text{ and } v'_2 = (-1, 0)$$

- One has $v'_1 = 2v_1 + v_2$, $v'_2 = -v_1$ and $P = \begin{pmatrix} 2 & -1 \\ 1 & 0 \end{pmatrix}$

$$G_v = \begin{pmatrix} 1 & \frac{1}{2} \\ \frac{1}{2} & 1 \end{pmatrix} \text{ and } G_{v'} = \begin{pmatrix} 7 & -\frac{5}{2} \\ -\frac{5}{2} & 1 \end{pmatrix} = P^T G_v P$$

Root lattices

- ▶ Let us take the lattice

$$A_n = \left\{ x \in \mathbb{Z}^{n+1} \text{ s.t. } \sum_{i=1}^{n+1} x_i = 0 \right\}$$

If we take the basis $v_i = e_{i+1} - e_i$ then we get the Gram matrix $A = (a_{ij})_{1 \leq i, j \leq n}$ with $a_{i,i} = 2$, $a_{i,i+1} = a_{i+1,i} = -1$ and $a_{i,j} = 0$ otherwise.

- ▶ Let us take the lattice

$$D_n = \left\{ x \in \mathbb{Z}^n \text{ s.t. } \sum_{i=1}^n x_i \equiv 0 \pmod{2} \right\}$$

For the basis $v_1 = e_1 + e_2$, $v_2 = e_1 - e_2$, $v_i = e_i - e_{i-1}$ we get

$$G_v = \begin{pmatrix} 2 & 0 & -1 & 0 & \dots & 0 \\ 0 & 2 & -1 & 0 & \dots & 0 \\ -1 & -1 & 2 & -1 & \dots & 0 \\ 0 & 0 & -1 & 2 & \ddots & 0 \\ \vdots & 0 & \ddots & \ddots & \ddots & -1 \\ 0 & \dots & \dots & \dots & -1 & 2 \end{pmatrix}$$

III. Perfect and eutactic forms

Hermite function

- ▶ If $A \in S_{>0}^n$ then the **arithmetic minimum** is

$$\min(A) = \min_{x \in \mathbb{Z}^n - \{0\}} x^T A x$$

and the set of **minimal vectors** is

$$\text{Min}(A) = \left\{ x \in \mathbb{Z}^n : x^T A x = \min(A) \right\}$$

- ▶ The Hermite function on the space $S_{>0}^n$ is

$$\gamma(A) = \frac{\min(A)}{(\det A)^{1/n}}$$

- ▶ The density of the lattice packing L associated to A is

$$\delta(L) = \sqrt{\gamma(A)^n} \frac{\text{vol}(B_n(0, 1))}{2^n}$$

- ▶ Finding lattice packings with highest packing density is the same as maximizing the Hermite function.

Perfect forms

- ▶ A form A is **extreme** if there is a neighborhood V of A in $S_{>0}^n$ such that

$$\text{If } B \in V \text{ with } B \neq \lambda A \text{ then } \gamma(B) < \gamma(A)$$

- ▶ A matrix $A \in S_{>0}^n$ is **perfect** (Korkine & Zolotarev, 1873) if the equation

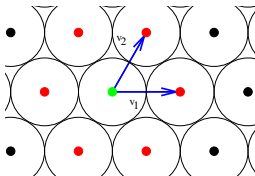
$$B \in S^n \text{ and } x^T B x = \min(A) \text{ for all } x \in \text{Min}(A)$$

implies $B = A$.

- ▶ **Theorem:** (Korkine & Zolotarev, 1873) If a form is extreme then it is perfect.
- ▶ Perfect forms are rational forms.
- ▶ If A is perfect then $\gamma(A)^n$ is rational.

A perfect form

- ▶ $A_{hex} = \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$ corresponds to the lattice:



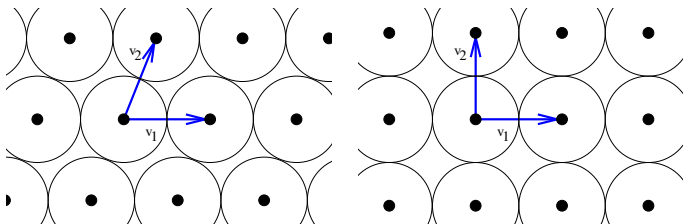
- ▶ If $B = \begin{pmatrix} a & b \\ b & c \end{pmatrix}$ satisfies to $x^T B x = \min(A_{hex})$ for $x \in \text{Min}(A_{hex}) = \{\pm(1, 0), \pm(0, 1), \pm(1, -1)\}$, then:

$$a = 2, \quad b = 2 \quad \text{and} \quad a - 2c + b = 2$$

which implies $B = A_{hex}$. A_{hex} is perfect.

A non-perfect form

- ▶ $A_{sqr} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ has $\text{Min}(A_{sqr}) = \{\pm(0, 1), \pm(1, 0)\}$.
- ▶ See below lattices L_B, L_{sqr} associated to matrices $B, A_{sqr} \in S_{>0}^2$ with $\text{Min}(B) = \text{Min}(A_{sqr})$:



Eutactic forms

- ▶ A form $A \in S_{>0}^n$ is **eutactic** (Voronoi, 1908) if there exist $\lambda_v > 0$ such that

$$A^{-1} = \sum_{v \in \text{Min}(A)} \lambda_v v v^T$$

- ▶ **Theorem:** (Voronoi, 1908) A form A is extreme if and only if it is perfect and eutactic.
- ▶ **Theorem:** (Ash, 1977)
 - (i) If A is not an eutactic form then it is topologically ordinary point for γ
 - (ii) If A is an eutactic form then it is a critical but topologically non-degenerate point for γ .
 - (ii) γ is a topological Morse function.

Examples of perfect forms

- ▶ The root lattice are all perfect:

Name	Min	$ Min $	det	$ Aut $
A_n	$e_i - e_j$	$2n(n+1)$	$n+1$	$2(n+1)!$
D_n	$\pm e_i \pm e_j$	$4n(n-1)$	4	$2^n n!$
E_6	complex	72	3	103680
E_7	complex	126	2	2903040
E_8	complex	240	1	696729600

- ▶ Another remarkable lattice is the Leech lattice of dimension 24.
 - ▶ Every vector v has $\|v\|^2 \geq 4$ and $\det Leech = 1$.
 - ▶ There are 196280 shortest vectors (maximal number in dimension 24)
 - ▶ Its automorphism group quotiented by $\pm Id_{24}$ is the sporadic simple group Co_0
 - ▶ It plays a significant role in modular form theory and Lorentzian lattice theory.

Known results on lattice packing density maximization

dim.	Nr. of perfect forms	Absolute maximum of γ realized by
2	1 (Lagrange)	A_2
3	1 (Gauss)	A_3
4	2 (Korkine & Zolotarev)	D_4
5	3 (Korkine & Zolotarev)	D_5
6	7 (Barnes)	E_6 (Blichfeldt)
7	33 (Jaquet)	E_7 (Blichfeldt)
8	10916 (DSV)	E_8 (Blichfeldt)
9	≥ 500000	$\Lambda_9?$
24	?	Leech (Cohn & Kumar)

Remarks

- ▶ The enumeration of perfect forms is done with the Voronoi algorithm.
- ▶ The solution in dimension 24 was obtained by different methods.

IV. Ryshkov polyhedron and the Voronoi algorithm

The Ryshkov polyhedron

- ▶ The **Ryshkov polyhedron** R_n is defined as

$$R_n = \left\{ A \in S^n \text{ s.t. } x^T A x \geq 1 \text{ for all } x \in \mathbb{Z}^n - \{0\} \right\}$$

- ▶ The cone is invariant under the action of $GL_n(\mathbb{Z})$.
- ▶ The cone is **locally polyhedral**, i.e. for a given $A \in R_n$

$$\left\{ x \in \mathbb{Z}^n \text{ s.t. } x^T A x = 1 \right\}$$

is finite

- ▶ Vertices of R_n correspond to perfect forms.
- ▶ For a form $A \in R_n$ we define the local cone

$$Loc(A) = \left\{ Q \in S^n \text{ s.t. } x^T Q x \geq 0 \text{ if } x^T A x = 1 \right\}$$

The Voronoi algorithm

- ▶ Find a perfect form (say A_n), insert it to the list \mathcal{L} as undone.
- ▶ Iterate
 - ▶ For every undone perfect form A in \mathcal{L} , compute the local cone $Loc(A)$ and then its extreme rays.
 - ▶ For every extreme ray r of $Loc(A)$ realize the flipping, i.e. compute the adjacent perfect form $A' = A + \alpha r$.
 - ▶ If A' is not equivalent to a form in \mathcal{L} , then we insert it into \mathcal{L} as undone.
- ▶ Finish when all perfect domains have been treated.

The subalgorithms are:

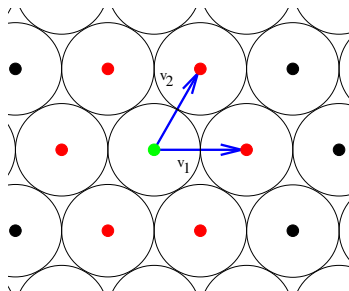
- ▶ Find the extreme rays of the local cone $Loc(A)$ (use **cdd** or **lrs** or any other program)
- ▶ For any extreme ray r of $Loc(A)$ find the adjacent perfect form A' in the Ryshkov polyhedron R_n
- ▶ Test equivalence of perfect forms using **autom**

Flipping on an edge I

$$\text{Min}(A_{\text{hex}}) = \{\pm(1, 0), \pm(0, 1), \pm(1, -1)\}$$

with

$$A_{\text{hex}} = \begin{pmatrix} 1 & 1/2 \\ 1/2 & 1 \end{pmatrix} \quad \text{and} \quad D = \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}$$

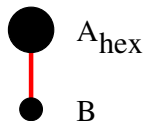
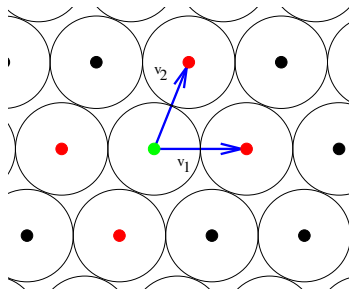


Flipping on an edge II

$$\text{Min}(B) = \{\pm(1, 0), \pm(0, 1)\}$$

with

$$B = \begin{pmatrix} 1 & 1/4 \\ 1/4 & 1 \end{pmatrix} = A_{\text{hex}} + D/4$$

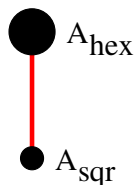
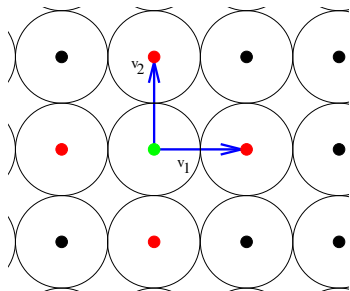


Flipping on an edge III

$$\text{Min}(A_{sqr}) = \{\pm(1, 0), \pm(0, 1)\}$$

with

$$A_{sqr} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = A_{hex} + D/2$$

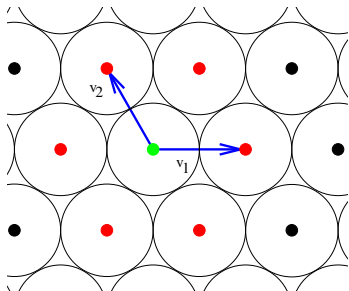


Flipping on an edge IV

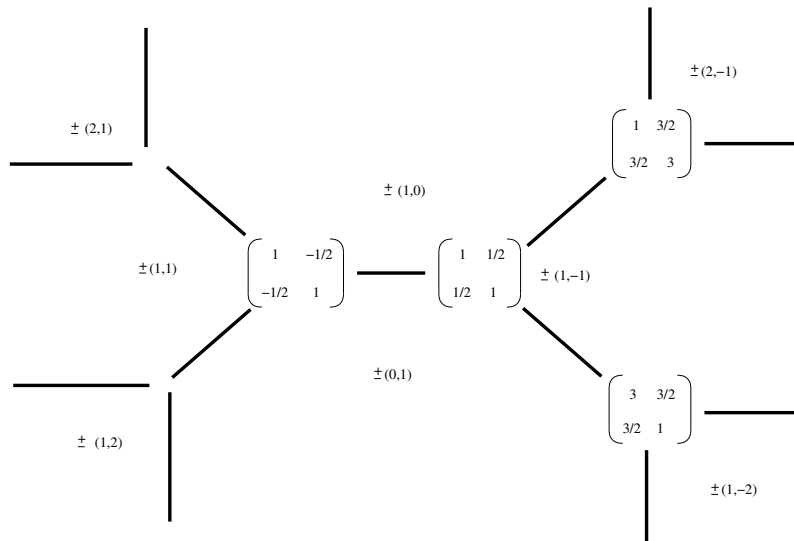
$$\text{Min}(\tilde{A}_{\text{hex}}) = \{\pm(1, 0), \pm(0, 1), \pm(1, 1)\}$$

with

$$\tilde{A}_{\text{hex}} = \begin{pmatrix} 1 & -1/2 \\ -1/2 & 1 \end{pmatrix} = A_{\text{hex}} + D$$



The Ryshkov polyhedron R_2



Well rounded forms and retract

- ▶ A form Q is said to be well rounded if it admits vectors v_1, \dots, v_n such that
 - ▶ (v_1, \dots, v_n) form a basis of \mathbb{R}^n
 - ▶ v_1, \dots, v_n are shortest vectors.
 - ▶ $Q[v_1] = \dots = Q[v_n]$.
- ▶ Well rounded forms correspond to bounded faces of R_n .
- ▶ Every form can be continuously deformed to a well rounded form and this defines a retracting homotopy of R_n onto a polyhedral complex WR_n of dimension $\frac{n(n-1)}{2}$.
- ▶ Every face of WR_n has finite stabilizer, hence we can use it for computing the homology of $GL_n(\mathbb{Z})$ and other arithmetic groups.
- ▶ Actually, in term of dimension, we cannot do better:
 - ▶ A. Pettet and J. Souto, *Minimality of the well rounded retract*, Geometry and Topology, **12** (2008), 1543-1556.

References

- ▶ G. Voronoi, *Nouvelles applications des paramètres continus à la théorie des formes quadratiques 1: Sur quelques propriétés des formes quadratiques positives parfaites*, J. Reine Angew. Math **133** (1908) 97–178.
- ▶ M. Dutour Sikirić, A. Schürmann and F. Vallentin, *Classification of eight dimensional perfect forms*, Electron. Res. Announc. Amer. Math. Soc.
- ▶ A. Schürmann, *Computational geometry of positive definite quadratic forms*, University Lecture Notes, AMS.
- ▶ J. Martinet, *Perfect lattices in Euclidean spaces*, Springer, 2003.
- ▶ S.S. Ryshkov, E.P. Baranovski, *Classical methods in the theory of lattice packings*, Russian Math. Surveys **34** (1979) 1–68, translation of Uspekhi Mat. Nauk **34** (1979) 3–63.

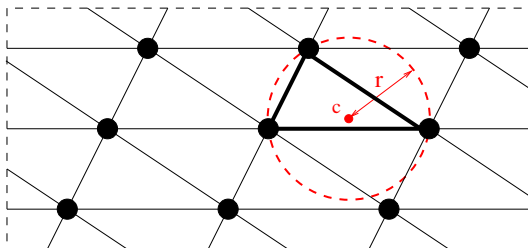
V. The lattice covering problem

Empty sphere and Delaunay polytopes

A sphere $S(c, r)$ of radius r and center c in an n -dimensional lattice L is said to be an **empty sphere** if:

- (i) $\|v - c\| \geq r$ for all $v \in L$,
- (ii) the set $S(c, r) \cap L$ contains $n + 1$ affinely independent points.

A **Delaunay polytope** P in a lattice L is a polytope, whose vertex-set is $L \cap S(c, r)$.



Equalities and inequalities

- ▶ Take $M = G_v$ with $v = (v_1, \dots, v_n)$ a basis of lattice L .
- ▶ If $V = (w_1, \dots, w_N)$ with $w_i \in \mathbb{Z}^n$ are the vertices of a Delaunay polytope of empty sphere $S(c, r)$ then:

$$\|w_i - c\| = r \quad \text{i.e.} \quad w_i^T M w_i - 2w_i^T M c + c^T M c = r^2$$

- ▶ Subtracting one obtains

$$\{w_i^T M w_i - w_j^T M w_j\} - 2\{w_i^T - w_j^T\} M c = 0$$

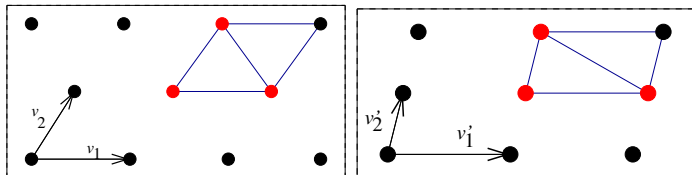
- ▶ Inverting matrices, one obtains $M c = \psi(M)$ with ψ linear and so one gets **linear equalities** on M .
- ▶ Similarly $\|w - c\| \geq r$ translates into **linear inequalities** on M : Take $V = (v_0, \dots, v_n)$ a simplex ($v_i \in \mathbb{Z}^n$), $w \in \mathbb{Z}^n$. If one writes $w = \sum_{i=0}^n \lambda_i v_i$ with $1 = \sum_{i=0}^n \lambda_i$, then one has

$$\|w - c\| \geq r \Leftrightarrow w^T M w - \sum_{i=0}^n \lambda_i v_i^T M v_i \geq 0$$

Iso-Delaunay domains

- ▶ Take a lattice L and select a basis v_1, \dots, v_n .
- ▶ We want to assign the Delaunay polytopes of a lattice.

Geometrically, this means that



are part of the same iso-Delaunay domain.

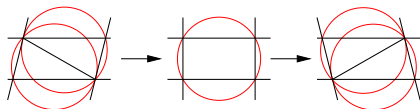
- ▶ An iso-Delaunay domain is the assignment of Delaunay polytopes, so it is also the assignment of the Voronoi polytope of the lattice.

Primitive iso-Delaunay

- ▶ If one takes a generic matrix M in $S_{>0}^n$, then all its Delaunay are simplices and so no linear equality are implied on M .
- ▶ Hence the corresponding iso-Delaunay domain is of dimension $\frac{n(n+1)}{2}$, they are called **primitive**

Equivalence and enumeration

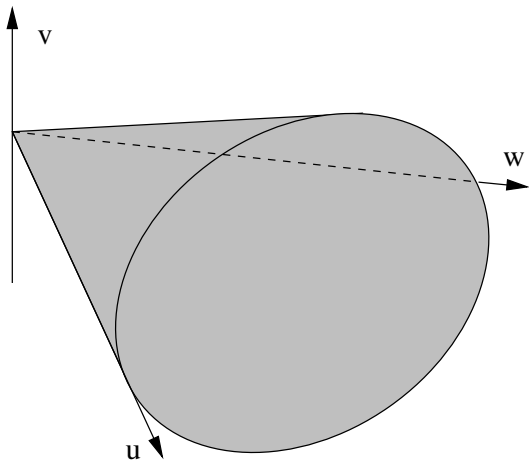
- ▶ The group $GL_n(\mathbb{Z})$ acts on $S_{>0}^n$ by arithmetic equivalence and preserve the primitive iso-Delaunay domains.
- ▶ Voronoi proved that after this action, there is a finite number of primitive iso-Delaunay domains.
- ▶ **Bistellar flipping** creates one iso-Delaunay from a given iso-Delaunay domain and a facet of the domain. In dim. 2:



- ▶ Enumerating primitive iso-Delaunay domains is done classically:
 - ▶ Find one primitive iso-Delaunay domain.
 - ▶ Find the adjacent ones and reduce by arithmetic equivalence.
- ▶ This is very similar to the Voronoi algorithm for perfect forms.

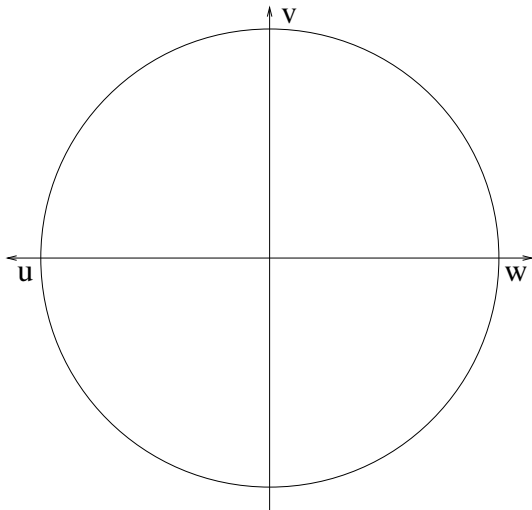
The partition of $S^2_{>0} \subset \mathbb{R}^3$ I

If $q(x, y) = ux^2 + 2vxy + wy^2$ then $q \in S^2_{>0}$ if and only if $v^2 < uw$ and $u > 0$.



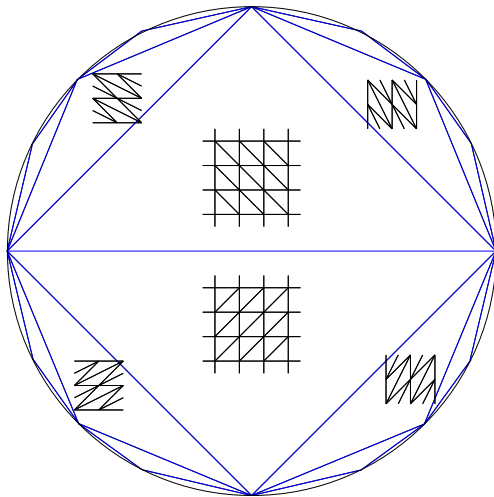
The partition of $S^2_{>0} \subset \mathbb{R}^3$ II

We cut by the plane $u + w = 1$ and get a circle representation.



The partition of $S^2_{>0} \subset \mathbb{R}^3$ III

Primitive iso-Delaunay domains in $S^2_{>0}$:



Optimization problem

- ▶ The lattice covering problem is to find a lattice covering of minimal density.
- ▶ **Thm.** Given an iso-Delaunay domain LT , there exist a **unique** lattice, which minimize the covering density over LT .
- ▶ The effective lattice is obtained by solving a semidefinite programming problem, so no exact solution, but approximate solutions available at any precision.
- ▶ The local maxima that are found are defined by algebraic integers.
- ▶ See for more details
 - ▶ A. Schürmann and F. Vallentin, *Computational approaches to lattice packing and covering problems*, Discrete & Computational Geometry **35** (2006) 73–116.
 - ▶ A. Schürmann, *Computational geometry of positive definite quadratic forms*, University Lecture Notes, AMS.

Known results on covering density minimization

dim.	Best covering	nr of iso-Delaunay
2	A_2 (Kershner)	1 (Voronoi)
3	A_3^* (Bambah)	1 (Voronoi)
4	A_4^* (Delone & Ryshkov)	3 (Voronoi)
5	A_5^* (Ryshkov & Baranovski)	222 (Engel)
6	L_6 (conj. Vallentin)?	?
7	L_7 (conj. Schürmann & Vallentin)?	?
24	Leech (conj.)?	?

- ▶ It turns out that the lattice of minimal covering density are unique for $n \leq 5$
- ▶ In general the best lattice coverings are expected to be non-rational and with low symmetry.
- ▶ But experimentations seemed to indicate that E_6 is a local covering maxima.

VI. Quadratic functions and the Erdahl cone

The Erdahl cone

- ▶ Denote by $E_2(n)$ the vector space of degree 2 polynomial functions on \mathbb{R}^n . We write $f \in E_2(n)$ in the form

$$f(x) = a_f + b_f \cdot x + Q_f[x]$$

with $a_f \in \mathbb{R}$, $b_f \in \mathbb{R}^n$ and Q_f a $n \times n$ symmetric matrix

- ▶ The Erdahl cone is defined as

$$\text{Erdahl}(n) = \{f \in E_2(n) \text{ such that } f(x) \geq 0 \text{ for } x \in \mathbb{Z}^n\}$$

- ▶ It is a convex cone, which is non-polyhedral since defined by an infinity of inequalities.
- ▶ The group acting on $\text{Erdahl}(n)$ is $\text{AGL}_n(\mathbb{Z})$, i.e. the group of affine integral transformations

$$x \mapsto b + Px \text{ for } b \in \mathbb{Z}^n \text{ and } P \in \text{GL}_n(\mathbb{Z})$$

Scalar product

- **Definition:** If $f, g \in E_2(n)$, then:

$$\langle f, g \rangle = a_f a_g + \langle b_f, b_g \rangle + \langle Q_f, Q_g \rangle$$

- **Definition:** For $v \in \mathbb{Z}^n$, define $ev_v(x) = (1 + v \cdot x)^2$.
- We have

$$\langle f, ev_v \rangle = f(v)$$

- Thus finding the rays of $Erdahl(n)$ is a dual description problem with an infinity of inequalities and infinite group acting on it.
- If $f \in Erdahl(n)$ then Q_f is positive semidefinite.
- **Definition:** We also define

$$Erdahl_{>0}(n) = \{f \in Erdahl(n) : Q_f \text{ positive definite}\}$$

Relation with Delaunay polytope

- ▶ If D is a Delaunay polytope of a lattice $L = \mathbb{Z}v_1 + \cdots + \mathbb{Z}v_n$ of empty sphere $S(c, r)$ then we define the function

$$\begin{aligned} f_{D,v} : \mathbb{Z}^n &\rightarrow \mathbb{R} \\ x = (x_1, \dots, x_n) &\mapsto \left\| \sum_{i=1}^n x_i v_i - c \right\|^2 - r^2 \end{aligned}$$

Clearly $f_{D,v} \in \text{Erdahl}_{>0}(n)$.

- ▶ The **perfection rank** of a Delaunay polytope is the dimension of the face it defines in $\text{Erdahl}(n)$.
- ▶ **Definition:** If $f \in \text{Erdahl}(n)$ then

$$Z(f) = \{v \in \mathbb{Z}^n : f(v) = 0\}$$

- ▶ **Theorem:** If $f \in \text{Erdahl}(n)$ then there exist a lattice L_f and a lattice L' containing a Delaunay polytope D_f such that

$$Z(f) = D_f + L_f$$

- ▶ We have $\dim L' + \dim L_f \leq n$. In case of equality $Z(f)$ is called a **Delaunay polyhedra**.

Perfect Delaunay polytopes/polyhedra

- ▶ **Definition:** If D is a n -dimensional Delaunay polyhedra then we define

$$\text{Dom}_{\mathbf{v}} D = \sum_{v \in D} \mathbb{R}_+ e v_v$$

- ▶ We have $\langle f_{D,\mathbf{v}}, \text{Dom}_{\mathbf{v}} D \rangle = 0$.
- ▶ **Definition:** D is **perfect** if $\text{Dom } D$ is of dimension $\binom{n+2}{2} - 1$ that is if the perfection rank is 1.
- ▶ This implies that f_D is an extreme rays of $\text{Erdahl}(n)$ and f_D is rational.
- ▶ A perfect n -dimensional Delaunay polytope has at least $\binom{n+2}{2} - 1$ vertices. There is only one way to embed it as a Delaunay polytope of a lattice.
- ▶ Perfect Delaunay polytopes are remarkable and rare objects that we want to enumerate.

Perfect Delaunay polytope

- There is a finite number of them in each dimension n . Known results:

dim.	perfect Delaunay	authors
1	$[0, 1]$ in \mathbb{Z}	
2	\emptyset	
3	\emptyset	
4	\emptyset	
5	\emptyset	\uparrow (Deza, Laurent & Grishukhin)
6	2_{21} in E_6	(Deza & Dutour)
7	3_{21} in E_7 and ER_7 in $L(ER_7)$	
8	≥ 27	(Dutour Sikiric & Rybnikov)
9	≥ 100000	(Dutour Sikiric)

- Theorem:** There exist perfect Delaunay polytopes D such that $\mathbb{Z}D \neq \mathbb{Z}^n$.
- Theorem:** There exist lattices with several perfect Delaunay polytopes.
- Theorem:** For $n \geq 6$ there exist a perfect Delaunay polytope with exactly $\binom{n+2}{2} - 1$ vertices.

Extreme rays of $Erdahl(n)$

- **Definition:** If $f \in Erdahl_{>0}(n)$ then we define

$$\text{Dom } f = \sum_{v \in Z(f)} \mathbb{R}_+ \text{ev}_v$$

- We have $\langle f, \text{Dom } f \rangle = 0$.
- **Erdahl, 1992:** The extreme rays of $Erdahl(n)$ are
 - (a) The constant function 1.
 - (b) The functions

$$(a_1 x_1 + \cdots + a_n x_n + \beta)^2$$

with (a_1, \dots, a_n) not collinear to an integral vector.

- (c) The functions f such that $Z(f)$ is a perfect Delaunay polyhedra.
- Note that if $f \in Erdahl(n)$ with $Z(f)$ a Delaunay polyhedra, then there exist a lattice L' of dimension $k \leq n$, a Delaunay polytope D of L' , a basis \mathbf{v}' of L' and a function $\phi \in \text{AGL}_n(\mathbb{Z})$ such that

$$f \circ \phi(x_1, \dots, x_n) = f_{D, \mathbf{v}'}(x_1, \dots, x_k)$$

Delaunay polyhedra retract

- ▶ For a function $f \in \text{Erdahl}(n)$ a **proper decomposition** is a pair (g, h) with $f = g + h$, $g \in \text{Erdahl}(n)$ and $h(x) \geq 0$ for $x \in \mathbb{R}^n$.

- ▶ **Lemma**: For a proper decomposition we have

$$\text{Vect } Z(f) + \text{Ker } Q_f \subset \text{Ker } Q_h$$

and there exist a proper decomposition with equality.

- ▶ Fix an integral complement L' of $\text{Vect } Z(f) + \text{Ker } Q_f$. A proper decomposition is called **extremal** if $\det Q_h|_{L'}$ is maximal among all proper decompositions.
- ▶ **Theorem**: For $f \in \text{Erdahl}(n)$, there exist a unique extremal decomposition. For it we have that $Z(g)$ is a delaunay polyhedra.
- ▶ **Conjecture**: The decomposition depends continuously on $f \in \text{Erdahl}(n)$.
- ▶ On the other hand in a neighborhood of $f \in \text{Erdahl}(n)$ we can have an infinity of Delaunay polyhedra.

Voronoi algorithm on the Erdahl cone

- ▶ From a given n -dimensional perfect Delaunay polytope Q of form f we can define the local cone

$$Loc(f) = \{g \in E_2(n) \text{ s.t. } g(x) \geq 0 \text{ for } x \in Z(f)\}$$

- ▶ The flipping algorithm finds the adjacent quadratic perfect form g from a given perfect form f .
- ▶ The problem is $Erdahl(n)$ is not locally polyhedral, i.e. the rank of g can be lower than n .
- ▶ The technique is to use a recursive algorithm for realizing the enumeration. We start from $[0, 1] \times \mathbb{R}^{n-1}$ and by subdivision reach $[0, 1]^n$ (its local cone is the cut cone CUT_{n+1} occurring in combinatorial optimization).

VII. Covering maxima, pessima and their characterization

Eutacticity

- ▶ If $f \in \text{Erdahl}_{>0}(n)$ then define μ_f and c_f such that

$$f(x) = Q_f[x - c_f] - \mu_f$$

Then define

$$u_f(x) = (1 + c_f \cdot x)^2 + \frac{\mu_f}{n} Q_f^{-1}[x]$$

- ▶ **Definition:** $f \in \text{Erdahl}_{>0}(n)$ is **eutactic** if u_f is in the relative interior of $\text{Dom } f$.
- ▶ **Definition:** Take a Delaunay polytope P for a quadratic form Q of center c_P and square radius μ_P . P is called **eutactic** if there are $\alpha_v > 0$ so that

$$\left\{ \begin{array}{lcl} 1 & = & \sum_{v \in \text{vert } P} \alpha_v, \\ 0 & = & \sum_{v \in \text{vert } P} \alpha_v (v - c_P), \\ \frac{\mu_P}{n} Q^{-1} & = & \sum_{v \in \text{vert } P} \alpha_v (v - c_P)(v - c_P)^T. \end{array} \right.$$

Covering maxima

- ▶ A given lattice L is called a **covering maxima** if for any lattice L' near L we have $\Theta(L') < \Theta(L)$.
- ▶ **Theorem:** The following are equivalent:
 - ▶ L is a covering maxima
 - ▶ Every Delaunay polytope of maximal circumradius is perfect and eutactic.
- ▶ The following are perfect Delaunay polytope:

name	# vertices	# orbits Delaunay polytopes
E_6	27	1
E_7	56	2
ER_7	35	4
O_{10}	160	6
BW_{16}	512	4
O_{23}	94208	5
Λ_{23}	47104	709

- ▶ **Theorem:** For any $n \geq 6$ there exist one lattice $L(DS_n)$ which is a covering maxima.
There is only one perfect Delaunay polytope $P(DS_n)$ of maximal radius in $L(DS_n)$.

The infinite series

- ▶ For n even $P(DS_n)$ is defined as the lamination over D_{n-1} of
 - ▶ one vertex
 - ▶ the half cube $\frac{1}{2}H_{n-1}$
 - ▶ the cross polytope CP_{n-1}

For $n = 6$, it is E_6 .

- ▶ For n odd as the lamination over D_{n-1} of
 - ▶ the cross polytope CP_{n-1}
 - ▶ the half cube $\frac{1}{2}H_{n-1}$
 - ▶ the cross polytope CP_{n-1}

For $n = 7$, it is E_7 .

- ▶ **Conjecture:** The lattice DS_n has the following properties:
 - ▶ $L(DS_n)$ has the maximum covering density among all covering maxima
 - ▶ Among all perfect Delaunay polytopes, $P(DS_n)$ has
 - ▶ maximum number of vertices
 - ▶ maximum volume

If true this would imply Minkovski conjecture.

Pessimism and Morse function property

- ▶ For a lattice L let us denote $D_{crit}(L)$ the space of direction d of deformation of L such that Θ increases in the direction d .
- ▶ **Definition:** A lattice L is said to be a covering **pessimism** if the space D_{crit} is of measures 0.
- ▶ **Theorem:** If a lattice L has all its Delaunay polytopes of maximum circumradius are eutactic and are not simplices then Q is a pessimism.

name	# vertices	# orbits Delaunay polytopes
\mathbb{Z}^n	2^n	1
D_4	8	1
D_n ($n \geq 5$)	2^{n-1}	2
E_6^*	9	1
E_7^*	16	1
E_8	16	2
K_{12}	81	4

- ▶ **Theorem:** The covering density function $Q \mapsto \Theta(Q)$ is a topological Morse function if and only if $n \leq 3$.

THANK

YOU