

BIANCA: Preventing Bug Insertion at Commit-Time Using Dependency Analysis and Clone Detection

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Abstract—Preventing the introduction of software defects at commit-time is a growing line of research in the software maintenance community. Existing approaches leverage code and process metrics to build statistical models that can effectively prevent defect insertion and propose fixes in a software project. Metrics, however, may vary from one project to another, hindering the reuse of these models. Moreover, these techniques operate within single projects only despite the fact that many projects share dependencies and are, therefore, vulnerable to similar faults. In this paper, we propose a novel approach, called BIANCA, that relies on clone detection and dependency analysis to detect *risky* commits within and across related projects. When applied to 42 projects, BIANCA achieves an average precision, recall and F-measure of 90.75%, 37.15% and 52.72%, respectively. We also found that only 8.6% of the risky commits detected by BIANCA match other commits from the same project, suggesting that relationships across projects need to be considered for effective prevention of risky commits. In addition, BIANCA is able to propose qualitative fixes to transform *risky* commits into *non-risky* ones in 78.67% of the cases.

Keywords—Bug Prediction; Risky Software Commits; Clone Detection; Software Maintenance

I. INTRODUCTION

Research in software maintenance continues to evolve to include areas like mining bug repositories, bug analytic, and bug prevention and reproduction. The ultimate goal is to develop techniques and tools to help software developers detect, correct, and prevent bugs in an effective and efficient manner.

One particular (and growing) line of research focuses on the problem of preventing the introduction of bugs by detecting risky commits (preferably before the commits reach the central repository). Recent approaches (e.g., [1], [2]) rely on training models based on code and process metrics (e.g., code complexity, experience of the developers, etc.) that are used to classify new commits as risky or not. Metrics, however, may vary from one project to another, hindering the reuse of these models. Consequently, these techniques tend to operate within single projects only, despite the fact many large projects share dependencies such as the reuse of common libraries. This

makes them potentially vulnerable to similar faults. A solution to a bug provided by the developers of one project may help fix a bug that occur in another (and dependant) project. Moreover, as noted by Lewis *et al.* [3] and Johnson *et al.* [4], techniques based solely on metrics are perceived by developers as black box solutions because they do not provide any insights on the causes of the risky commits or ways for improving them. As a result, developers are less likely to trust the output of these tools.

In this paper, we propose a novel bug prevention approach at commit-time, called BIANCA (Bug Insertion ANTicipation by Clone Analysis at commit time). BIANCA does not use metrics to assess whether or not an incoming commit is risky. Instead, it relies on code clone detection techniques by extracting code blocks from incoming commits and comparing them to those of known defect-introducing commits.

One particular aspect of BIANCA is its ability to detect risky commits not only by comparing them to commits of a single project but also to those belonging to other projects that share common dependencies. This is important because complex software systems are not designed in a monolithic way. They have dependencies that make them vulnerable to similar faults. For example, Apache BatchEE [5] and GraphWalker [6] both depend on JUNG (Java Universal Network/Graph Framework) [7]. BatchEE provides an implementation of the jsr-352 (Batch Applications for the Java Platform) specification [8] while GraphWalker is an open source model-based testing tool for test automation. These two systems are designed for different purposes. BatchEE is used to do batch processing in Java, whereas GraphWalker is used to design unit tests using a graph representation of code. Nevertheless, because both Apache BatchEE and GraphWalker rely on JUNG, the developers of these projects made similar mistakes when building upon JUNG. The issue reports Apache BatchEE #69 and GraphWalker #44 indicate that the developers of these projects made similar mistakes when using the graph visualization component of JUNG. To detect commits across related projects, BIANCA resorts to project dependency analysis.

Another advantage of BIANCA is that it uses commits that are used to fix previous defect-introducing commits to provide guidance to the developers on how to improve risky commits. This way, BIANCA goes one step further than existing techniques by providing developers with a potential fix for their risky commits.

We validated the performance of BIANCA on 42 open source projects, obtained from Github. The examined projects vary in size, domain and popularity. Our findings indicate that BIANCA is able to flag risky commits with an average precision, recall and F-measure of 90.75%, 37.15% and 52.72%, respectively. Moreover, we found that only 8.6% of the risky commits detected by BIANCA match other commits from the same project. This finding stresses the fact that relationships across projects should be taken into consideration for effective prevention of risky commits.

The remaining parts of this paper are organized as follows. In Section II, we present related work. Sections III, IV and V are dedicated to the BIANCA approach, the case study setup, and the case study results. Then, Sections VI and VII present the threats to validity and a conclusion accompanied with future work.

II. RELATED WORK

The work most related to ours comes from two main areas, work that aims to predict future defects in files, modules and changes and work that aims to propose or generate patches for buggy software.

A. File, Module and Risky Change Prediction

The majority of previous file/module-level prediction work used code or process metrics. Approaches using code metrics only use information from the code itself and do not use any historical data. Chidamber and Kemerer published the well-known CK metrics suite [9] for object oriented designs and inspired Moha *et al.* to publish similar metrics for service-oriented programs [10]. Another famous metric suite for assessing the quality of a given software design is Briand's coupling metrics [11].

The CK and Briand's metrics suites have been used, for example, by Basili *et al.* [12], El Emam *et al.* [13], Subramanyam *et al.* [14] and Gyimothy *et al.* [15] for object-oriented designs. Service oriented designs have been far less studied than object oriented design as they are relatively new, but, Nayrolles *et al.* [16], [17], Demange *et al.* [18] and Palma *et al.* [19] used Moha *et al.* metric suites to detect software defects. All these approaches, proved software metrics to be useful at detecting software fault for object oriented and service oriented designs, respectively. More recently, Nagappan *et al.* [20], [21] and Zimmerman *et al.* [22], [23] further refined metrics-based detection by using static analysis and call-graph analysis.

Other approaches use historical development data, often referred to as process metrics. Nagappan and Ball [24] studied the

feasibility of using relative churn metrics to prediction buggy modules in the Windows Server 2003. Other work by Hassan *et al.* and Ostrand *et al.* used past changes and defects to predict buggy locations (e.g., [25], [26]). Hassan and Holt proposed an approach that highlights the top ten most susceptible locations to have a bug using heuristics based on file-level metrics [25]. They find that locations that have been recently modified and fixed locations are the most defect-prone. Similarly, Ostrand *et al.* [26] predict future crash location by combining the data from changed and past defect locations. They validate their approach on industrial systems at AT&T. They showed that data from prior changes and defects can effectively defect-prone locations for open-source and industrial systems. Kim *et al.* [27] proposed the bug cache approach, which is an improved technique over Hassan and Holt's approach [25].

Other work focused on the prediction of risky changes. Kim *et al.* proposed the change classification problem, which predicts whether a change is buggy or clean [28]. Hassan [29] used the entropy of changes to predict risky changes. They find that the more complex a change is, the more likely it is to introduce a defect. Kamei *et al.* performed a large-scale empirical study on change classification [30]. They aforementioned studies find that size of a change and the history of the files being changed (i.e., how buggy they were in the past) are the best indicators of risky changes.

Our work shares a similar goal to the work on the prediction of risky changes, however, BIANCA takes a different approach in that it leverages dependencies of a project to determine risky changes.

B. Automatic Patch Generation

Since BIANCA not only flags risky changes, but also provides developers with fixes that have been applied in the past, automatic patch generation work is also related. Pan *et al.* [31] identified 27 bug fixing patterns that can be applied to fix software bugs in Java programs. They showed that between 45.7 - 63.6% of the bugs can be fixed with their patterns. Later, Kim *et al.* [32] generated patches from human-written patches and showed that their tool, PAR, successfully generated patches for 27 of 119 bugs. Tao *et al.* [33] also showed that automatically generated patches can assist developers in debugging tasks. Other work also focused on determining how to best generate acceptable and high quality patches, e.g. [34], [35], and determine what bugs are best fit for automatic patch generation [36].

Our work differs from the work on automated patch generation in that we do not generate patches, rather we use clone detection to determine the similarity of a change to a previous risky change and suggest to the developer the fixes of the prior risky changes.

III. THE BIANCA APPROACH

Figures 1, 2 and 3 show an overview of the BIANCA approach, which consists of two parallel processes.

In the first process (Figures 1 and 2), BIANCA manages events happening on project tracking systems to extract defect-introducing commits and commits that provided the fixes. For simplicity, in the rest of this paper, we refer to commits that are used to fix defects as *fix-commits*. We use the term *defect-commit* to mean a commit that introduces a defect. In the second phase, BIANCA analyses the developer's new commits before they reach the central repository to detect potential risky commits (commits that may introduce bugs).

The project tracking component of BIANCA listens to bug (or issue) closing events of major open-source projects (currently, BIANCA is tested with 42 large projects). These projects share many dependencies. Projects can depend on each other or on common external tools and libraries. We perform project dependency analysis to identify groups of highly-coupled projects.

In the second process (Figure 3), BIANCA identifies risky commits within each group so as to increase the chances of finding risky commits caused by project dependencies. For each project group, we extract code blocks from defect-commits and fix-commits.

The extracted code blocks are saved in a database that is used to identify risky commits before they reach the central repository. For each match between a risky commit and a defect-commit, we pull out from the database the corresponding *fix-commit* and present it to the developer as a potential way to improve the commit content. These phases are discussed in more detail in the upcoming subsections.

A. Clustering Project Repositories

We cluster projects according to their dependencies. The rationale is that projects that share dependencies are most likely to contain defects caused by misuse of these dependencies. In this step, the project dependencies are analysed and saved into a single no-SQL graph database as shown in Figure 2. Graph databases use graph structures as a way to store and query information. In our case, a node corresponds to a project that is connected to other projects on which it depends. Project dependencies can be automatically retrieved if projects use a dependency manager such as Maven.

Figure 4 shows a simplified view of a dependency graph for a project named `com.badlogicgames.gdx`. As we can see, `badlogicgames.gdx` depends on projects owned by the same organization (i.e., `badlogicgames`) and other organizations such as Google, Apple, and Github.

Once the project dependency graph is extracted, we use a clustering algorithm to partition the graph. To this end, we choose the Girvan–Newman algorithm [37], [38], used to detect communities by progressively removing edges from the original

network. The connected components of the remaining network form distinct communities. Instead of trying to construct a measure that identifies the edges that are the most central to communities, the Girvan–Newman algorithm focuses on edges that are most likely “between” communities. This algorithm is very effective at discovering community structure in both computer-generated and real-world network data [38]. Other clustering algorithms can also be used.

B. Building a Database of Code Blocks of Defect-Commits and Fix-Commits

To build our database of code blocks that are related to defect-commits and fix-commits, we first need to identify the respective commits. Then, we extract the relevant blocks of code from the commits.

Extracting Commits: BIANCA listens to bug (or issue) closing events happening on the project tracking system. Every time an issue is closed, BIANCA retrieves the commit that was used to fix the issue (the fix-commit) as well as the one that introduced the defect (the defect-commit). Retrieving fix-commits, however, is known to be a challenging task [39]. This is because the link between the project tracking system and the code version control system is not always explicit. In an ideal situation, developers would add a reference to the issue they work on inside the description of the commit. But this good practice is not always followed. To make the link between fix-commits and their related issues, we turn to a modified version of the back-end of commit-guru [40]. Commit-guru is a tool, developed by Rosen *et al.* [40] to detect *risky commits*. In order to identify risky commits, Commit-guru builds a statistical model using change metrics (i.e., amount of lines added, amount of lines deleted, amount of files modified, etc.) from past commits known to have introduced defects in the past.

Commit-guru's back-end has three major components: ingestion, analysis, and prediction. We reuse the ingestion part of the analysis components for BIANCA. The ingestion component is responsible for ingesting (i.e., downloading) a given repository. Once the repository is entirely downloaded on a local server, each commit history is analysed. Commits are classified using the list of keywords proposed by Hindle *et al.* [41]. Commit-guru implements the SZZ algorithm [42] to detect risky changes, where it performs the SCM blame/annotate function on all the modified lines of code for their corresponding files on the fix-commit's parents. This returns the commits that previously modified these lines of code and are flagged as the bug introducing commits (i.e., the defect-commits). Prior work showed that Commit-guru is effective in identifying defect-commits and their corresponding fixing commits [43] and to date, the SZZ algorithm, which Commit-guru uses, is considered to be the state-of-the-art in detecting risky commits. Note that we could use a simpler and more established tool such as Relink [39] to link the commits to their issues and re-implement the classification proposed by Hindle *et al.* [41]

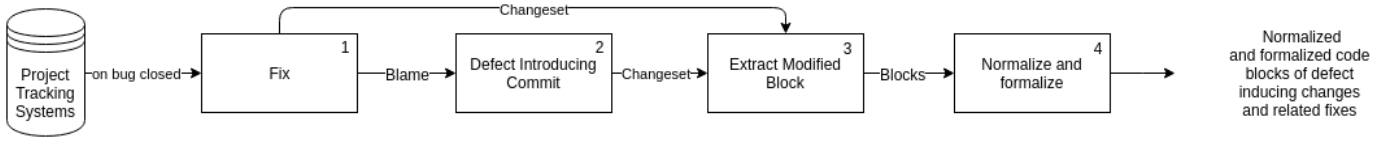


Fig. 1. Managing events happening on project tracking systems to extract defect-introducing commits and commits that provided the fixes

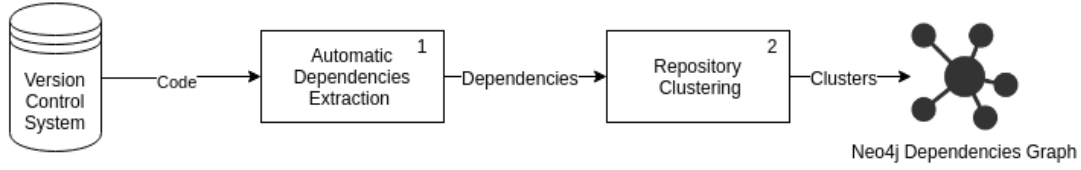


Fig. 2. Clustering by dependency

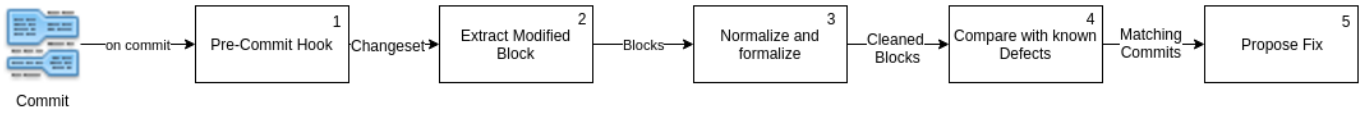


Fig. 3. Classifying incoming commits and proposing fixes

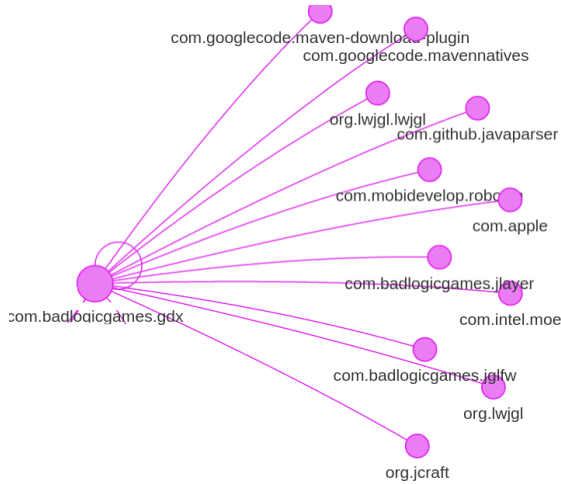


Fig. 4. Simplified Dependency Graph for `com.badlogicgames.gdx`

on top of it. However, commit-guru has the advantage of being open-source, making it possible to modify it to fit our needs and fine-tune its performance.

Extracting Code Blocks: To extract code blocks from fix-commits and defect-commits, we rely on TXL [44], which is a first-order functional programming over linear term rewriting, developed by Cordy et al. [44]. For TXL to work, one has to write a grammar describing the syntax of the source language and the transformations needed. TXL has three main phases: *parse*, *transform*, *unparse*. In the parse phase, the grammar controls not only the input but also the output forms. The following code sample—extracted from the official

documentation—shows a grammar matching an *if-then-else* statement in C with some special keywords: [IN] (indent), [EX] (extent) and [NL] (newline) that will be used in the output form.

```
define if_statement
  if ( [expr] ) [IN] [NL]
  [statement] [EX]
  [opt else_statement]
end define

define else_statement
  else [IN] [NL]
  [statement] [EX]
end define
```

Then, the *transform* phase applies transformation rules that can, for example, normalize or abstract the source code. Finally, the third phase of TXL, called *unparse*, unparses the transformed parsed input to output it. Also, TXL supports what its creators call *Agile Parsing* [45], which allow developers to redefine the rules of the grammar and, therefore, apply different rules than the original ones. BIANCA takes advantage of that by redefining the blocks that should be extracted for the purpose of code comparison, leaving out the blocks that are out of scope. More precisely, before each commit, we only extract the blocks belonging to the modified parts of the source code. Hence, we only process, in an incremental manner, the latest modification of the source code instead of the source code as a whole.

We have selected TXL for several reasons. First, TXL is easy to install and to integrate with the normal work flow of a

developer. Second, it was relatively easy to create a grammar that accepts commits as input. This is because TXL supports C, Java, Csharp, Python and WSDL grammars, with the ability to customize them to accept changesets (chunks of the modified source code that include the added, modified, and deleted lines) instead of the whole code.

```

Data: Changeset[] changesets;
Block[] prior_blocks;
Result: Up to date blocks of the systems
1 for  $i \leftarrow 0$  to size_of changesets do
2   | Block[] blocks  $\leftarrow$  extract_blocks(changesets);
3   | for  $j \leftarrow 0$  to size_of blocks do
4   |   | write blocks[ $j$ ];
5   | end
6 end
7 Function extract_blocks(Changeset cs)
8   | if cs is unbalanced right then
9   |   | cs  $\leftarrow$  expand_left(cs);
10  | else if cs is unbalanced left then
11  |   | cs  $\leftarrow$  expand_right(cs);
12  | end
13  | return txl_extract_blocks(cs);
Algorithm 1: Overview of the Extract Blocks Operation

```

Algorithm 1 presents an overview of the *extract* and *save* blocks operations of BIANCA. This algorithm receives as argument, the changesets and the blocks that have been previously extracted. Then, Lines 1 to 5 show the *for* loop that iterates over the changesets. For each changeset (Line 2), we extract the blocks by calling the *extract_blocks(Changeset cs)* function. In this function, we expand our changeset to the left and to the right in order to have a complete block.

As depicted below, changesets contain only the modified chunk of code and not necessarily complete blocks.

```

@@ -315,36 +315,6 @@
int initprocesstree_sysdep
(ProcessTree_T **reference) {
    mach_port_deallocate(mytask,
        task);
}
}
- if (task_for_pid(mytask, pt[i].pid,
- &task) == KERN_SUCCESS) {
-     mach_msg_type_number_t    count;
-     task_basic_info_data_t    taskinfo;

```

Therefore, we need to expand the changeset to the left (or right) to have syntactically correct blocks. We do so by checking the block's beginning and ending with a parentheses algorithms [46]. Then, we send these expanded changesets to TXL for block extraction and formalization.

One important note about this database is that the process can be cold-started. A tool supporting BIANCA does not need to

wait for a project to have issues and fixes to be in effect. It can leverage the defect-commits and fix-commits of projects in the same cluster that already have a history. Therefore, BIANCA is applicable at the beginning of every project. The only requirement is to use a dependency manager.

C. Analysing New Commits Using Pre-Commit Hooks

Each time a developer makes a commit, BIANCA intercepts it using a pre-commit hook, extracts the corresponding code block (in a similar way as in the previous phase), and compares it to the code blocks of historical defect-commits. If there is a match then the new commit is deemed to be risky. A threshold α is used to assess the extent beyond which two commits are considered similar. The setting of α is discussed in the case study section.

Pre-commit hooks are custom scripts set to fire off when certain important actions of the versioning process occur. There are two groups of hooks: client-side and server-side. Client-side hooks are triggered by operations such as committing and merging, whereas server-side hooks run on network operations such as receiving pushed commits. These hooks can be used for all sorts of reasons such as checking compliance with coding rules or automatic run of unit test suites. The pre-commit hook runs before the developer specifies a commit message. It is used to inspect the modifications that are about to be committed. BIANCA is based on a set of bash and python scripts, and the entry point of these scripts lies in a pre-commit hook. These scripts intercept the commit and extract the corresponding code blocks.

To compare the extracted blocks to the ones in the database, we resort to clone detection techniques, more specifically, text-based clone detection techniques. This is because lexical and syntactic analysis approaches (alternatives to text-based comparisons) would require a complete program to work, i.e., a program that compiles. In the relatively wide-range of tools and techniques that exist to detect clones by considering code as text [47–52], we selected NICAD as the main text-based method for comparing code blocks [53] for several reasons. First, NICAD is built on top of TXL, which we also used in the previous phase. Second, NICAD can detect Types 1, 2 and 3 software clones [54]. Type 1 clones are copy-pasted blocks of code that only differ from each other in terms of non-code artefacts such as indentation, whitespaces, comments and so on. Type 2 clones are blocks of code that are syntactically identical except literals, identifiers, and types that can be modified. Also, Type 2 clones share the particularities of Type 1 about indentation, whitespaces, and comments. Type 3 clones are similar to Type 2 clones in terms of modification of literals, identifiers, types, indentation, whitespaces, and comments but also contain added or deleted code statements. BIANCA detects Type 3 clones since they can contain added or deleted code statements, which make them suitable for comparing commit code blocks.

NICAD works in three phases: *Extraction*, *Comparison* and *Reporting*. During the *Extraction* phase all potential clones

TABLE I
PRETTY-PRINTING EXAMPLE

Segment 1	Segment 2	Segment 3	S1 & S2	S1 & S3	S2 & S3
for (i = 0; i >10; i++)	for (i = 1; i >10; i++)	for (j = 2; j >100; j++)	1 0 1 1	1 0 0 0	1 0 0 0
Total Matches			3	1	1
Total Mismatches			1	3	3

are identified, pretty-printed, and extracted. We do not use the *Extraction* phase of NICAD as it has been built to work on programs that are syntactically correct, which is not the case for changesets. We replaced NICAD’s *Extraction* phase with our scripts for building code blocks (described in the previous phase).

In the *Comparison* phase, the extracted blocks are transformed, clustered and compared to find potential clones. Using TXL sub-programs, blocks go through a process called pretty-printing where they are stripped of formatting and comments. When code fragments are cloned, some comments, indentation or spacing are changed according to the new context where the new code is used. This pretty-printing process ensures that all code will have the same spacing and formatting, which renders the comparison of code fragments easier. Furthermore, in the pretty-printing process, statements can be broken down into several lines. Table I [55] shows how this can improve the accuracy of clone detection with three `for` statements, `for (i=0; i<10; i++)`, `for (i=1; i<10; i++)` and `for (j=2; j<100; j++)`. The pretty-printing allows NICAD to detect Segments 1 and 2 as a clone pair because only the initialization of *i* changed. This specific example would not have been marked as a clone by other tools we tested such as Duploc [56]. In addition to the pretty-printing, code can be normalized and filtered to detect different classes of clones and match user preferences.

The extracted, pretty-printed, normalized and filtered blocks are marked as potential clones using a Longest Common Subsequence (LCS) algorithm [57]. Then, a percentage of unique statements can be computed and, given the threshold α , the blocks are marked as clones.

Another important aspect of the design of BIANCA is the ability to provide guidance to developers on how to improve the risky commits. We achieve this by extracting from the database the fix-commit corresponding to the matching defect-commit and present it to the developer. We believe that this makes BIANCA a practical approach for the developers as they will know why a given modification has been reported as risky in terms of code; this is something that is not supported by techniques based on statistical models (e.g., [40], [43]). A tool that supports BIANCA should have enough flexibility to allow developers to enable or disable the recommendations made by BIANCA. Furthermore, because BIANCA acts before the commit reaches the central repository, it prevents unfortunate pulls of defects by other members of the organization.

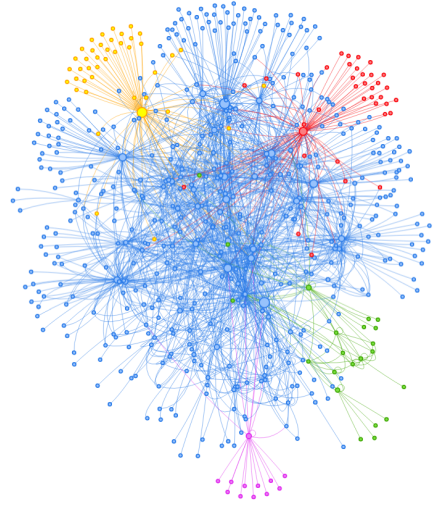


Fig. 5. Dependency Graph

IV. CASE STUDY SETUP

In this section, we present the setup of our case study in terms of repository selection, dependency analysis, comparison process and evaluation measures.

A. Project Repository Selection

To select the projects used to evaluate our approach, we followed three simple criteria. First, the projects need to be in Java and use Maven to manage dependencies. This way, we can automatically extract the dependencies and perform the clustering of projects. The second criterion is to have projects that enjoy a large community support and interest. We selected projects that have at least 2000 followers. A different threshold could be used. Finally, the projects must have a public issue repository to be able to mine their past issues and the fixes. We queried Github with these criteria and retrieved 42 projects (see Table III for the list of projects), including those from some of major open-source contributors such as Alibaba, Apache Software Foundation, Eclipse, Facebook, Google and Square.

B. Project Dependency Analysis

Figure 5 shows the project dependency graph. The dependency graph is composed of 592 nodes divided into five clusters shown in yellow, red, green, purple and blue. The size of the nodes in Figure 5 is proportional to the number of connections from and to the other nodes.

As shown in Figure 5, these Github projects are very much interconnected.

In average, the projects composing our dataset have 77 dependencies. Among the 77 dependencies, in average, 62 dependencies are shared with at least one other project from our dataset.

TABLE II
COMMUNITIES IN TERMS OF ID, COLOR CODE, CENTROIDS,
BETWEENNESS AND NUMBER OF MEMBERS

#ID	Community	Centroids	Betweenness	# Members
1	Blue	Storm	24,525	479
2	Yellow	Alibaba	24,400	42
3	Red	Hadoop	16,709	37
4	Green	Openhab	3,504	22
5	Purple	Libdx	6,839	12

Table II shows the result of the Girvan–Newman clustering algorithm in terms of centroids and betweenness. The blue cluster is dominated by Storm from The Apache Software Foundation. Storm is a distributed real-time computation system. Druid by Alibaba, the e-commerce company that provides consumer-to-consumer, business-to-consumer and business-to-business sales services via web portals, dominates the yellow cluster. In recent years, Alibaba has become an active member of the open-source community by making some of its projects publicly available. The red cluster has Hadoop by the Apache Software Foundation as its centroid. Hadoop is an open-source software framework for distributed storage and distributed processing of very large data sets on computer clusters built from commodity hardware. The green cluster is dominated by the Persistence project of OpenHAB. OpenHAB proposes home automation solutions and the Persistence project is their data access layer. Finally, the purple cluster is dominated by Libdx by Badlogicgames, which is a cross-platform framework for game development.

A review of each cluster shows that this partitioning divides projects in terms of high-level functionalities. For example, the blue cluster is almost entirely composed of projects from the Apache Software Foundation. Projects from the Apache Software Foundation tend to build on top of one another. We also have the red cluster for Hadoop, which is by itself an ecosystem inside the Apache Software Foundation. Finally, we obtained a cluster for e-commerce applications (yellow), real-time network application for home automation (green), and game development (purple).

C. Building a Database of Defect-Commits and Fix-Commits for Performances Evaluation

To build the database against which we assess the performance of BIANCA, we use the same process as discussed in Section III-B. We used Commit-guru to retrieve the complete history of each project and label commits as defect-commits if they appear to be linked to a closed issue. The process used by Commit-guru to identify commits that introduce a defect is simple and reliable in terms of accuracy and computation time [30]. We use the commit-guru labels as the baseline to compute the precision and recall of BIANCA. Each time BIANCA classifies a commit as *risky*, we can check if the *risky* commit is in the database of defect-introducing commits. The same evaluation process is used by related studies [13], [58–60]. The difference between this *golden* database and the

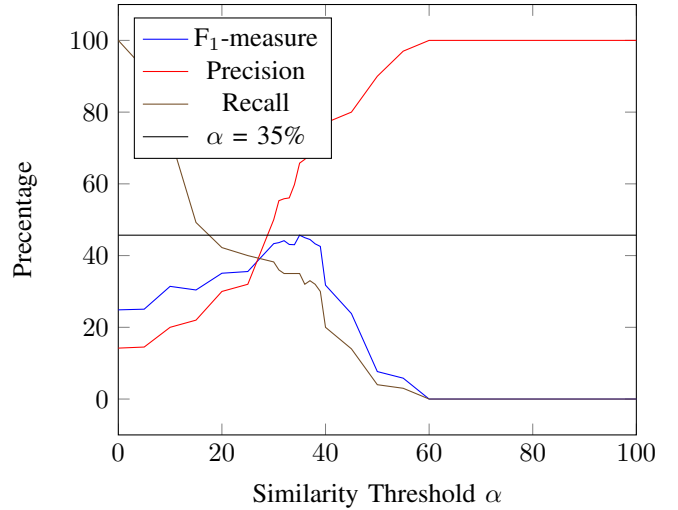


Fig. 6. Precision, Recall and F1-measure variations according to α

database described in Section III-B is that, with this one, we unwind the whole history instead of building the history as it happens.

D. Process of Comparing New Commits

Because our approach relies on commit pre-hooks to detect risky commit, we had to find a way to *replay* past commits. To do so, we *cloned* our test subjects, and then created a new branch called *BIANCA*. When created, this branch is reinitialized at the initial state of the project (the first commit) and each commit can be replayed as they have originally been. For each commit, we store the time taken for *BIANCA* to run, the number of detected clone pairs, and the commits that match the current commit. As an example, let's assume that we have three commits from two projects. At time t_1 , commit c_1 in project p_1 introduces a defect. The defect is experienced by an user that reports it via an issue i_1 at t_2 . A developer fixes the defect introduced by c_1 in commit c_2 and closes i_1 at t_3 . From t_3 we know that c_1 introduced a defect using the process described in Section IV-C. If at t_4 , c_3 is pushed to p_2 and c_3 matches c_1 after preprocessing, pretty-printing and formatting, then c_3 is classified as *risky* by BIANCA and c_2 is proposed to the developer as a potential solution for the defect introduced in c_3 .

To measure the similarity between pairs of commits, we need to decide on the value of α . One possibility would be to test for all possible values of α and pick the one that provides best accuracy (F1-measure). The ROC (Receiver Operating Characteristic) curve can then be used to display the performance of BIANCA with different values of α . Running experiments with all possible α turned out to be computationally demanding given the large number of commits. Testing with all the different values of α amounts to 4e10 comparisons.

To address this, we randomly selected a sample of 1% commits from our dataset and checked the results by varying α from 1 to 100%. Figure 6 shows the results. As we can see, there is a tradeoff between precision and recall, however, after the $\alpha = 35\%$ point, we see a drop in recall; hence, we set $\alpha = 35\%$ in our experiments. It should also be noted that in clone detection work a threshold of around 30% is considered an adequate threshold above which two code blocks are deemed to be clones, especially for clones of Type 3, which contain added or deleted code statements [53], [61]. With $\alpha = 35\%$, the experiments took nearly three months to run on 48 Amazon VPS (Virtual Private Server) running in parallel (4e8 comparisons).

E. Evaluation Measures

Similar to prior work focusing on risky commits (e.g., [28], [30]), we used precision, recall, and F_1 -measure to evaluate our approach. They are computed using TP (true positives), FP (false positives), FN (false negatives), which are defined as follows:

- TP: is the number of defect-commits that were properly classified by BIANCA
- FP: is the number of healthy commits that were classified by BIANCA as risky
- FN: is the number of defect introducing-commits that were not detected by BIANCA
- Precision: $TP / (TP + FP)$
- Recall: $TP / (TP + FN)$
- F_1 -measure: $2 \cdot (\text{precision} \cdot \text{recall}) / (\text{precision} + \text{recall})$

It is worth mentioning that, in the case of defect prevention, false positives can be hard to identify as the defects could be in the code but not yet reported through a bug report (or issue). To address this, we did not include the last six months of history. Following similar studies [40], [62–64], if a defect is not reported within six months then it is not considered.

V. CASE STUDY RESULTS

In this section, we show the effectiveness of BIANCA in detecting risky commits using clone detection and project dependency analysis. The main research question addressed by this case study is: Can we detect risky commits using code comparison within and across related projects, and if so, what would be the accuracy?

Table III shows the results of applying BIANCA in terms of the organization, project name, a short description of the project, the number of classes, the number of commits, the number of defect-commits, the number of defect-commits detected by BIANCA, precision (%), recall (%), F_1 -measure and the average difference, in days, between detected commit and the *original* commit inserting the defect for the first time.

With $\alpha = 35\%$, BIANCA achieves, on average, a precision of 90.75% (13,899/15,316) commits identified as risky. These

commits triggered the opening of an issue and had to be fixed later on. On the other hand, BIANCA achieves, on average, 37.15% recall (15,316/41,225), and an average F_1 measure of 52.72%. The relatively *low* recall is to be expected, since BIANCA considers risky commits that are also in other projects.

Also, out of the 15,316 commits BIANCA classified as *risky*, only 1,320 (8.6%) were because they were matching a defect-commit inside the same project. This finding supports the idea that developers of a project are not likely to introduce the same defect twice while developers of different projects that share dependencies are, in fact, likely to introduce similar defects. We believe this is an important finding for researchers aiming to achieve cross-project defect prevention, regardless of the technique (e.g., statistical model, AST comparison, code comparison, etc.) employed.

It is important to note that we do not claim that 37.15% of issues in open-source systems are caused by project dependencies. To support such a claim, we would need to analyse the 15,316 detected defect-commits and determine how many yield defects that are similar across projects. Studying the similarity of defects across projects is a complex task and may require analysing the defect reports manually. This is left as future work. This said, we showed, in this paper, that software systems sharing dependencies also share common issues, irrespective to whether these issues represent similar defects or not.

In the following subsections, we compare BIANCA with a random classifier, assess the quality of the proposed fixes, and present the findings of our manual analysis.

A. Random Classifier Comparison

Although our average F_1 measure of 52.72% may seem low at first glance, achieving a high F_1 measure for unbalanced data is very difficult [65]. Therefore, a common approach to ground detection results is to compare it to a simple baseline.

The random classifier first generates a random number n between 0 and 1 for the 165,912 commits composing our dataset. For each commit, if n is greater than 0.5, then the commit is classified as risky and vice versa. As expected by a random classifier, our implementation detected ~50% (82,384 commits) of the commits to be *risky*. It is worth mentioning is that the random classifier achieved 24.9% precision, 49.96% recall and 33.24% F_1 -measure. Since our data is unbalanced (i.e., there are many more *healthy* than *risky* commits) these numbers are to be expected for a random classifier. Indeed, the recall is very close to 50% since a commit can take on one of two classifications, risky or non-risky. While analysing the precision, however, we can see that the data is unbalanced (a random classifier would achieve a precision of 50% on a balanced dataset).

It is important to note that the purpose of this analysis is not to say that we outperform a simple random classifier, rather

TABLE III

BIANCA RESULTS IN TERMS OF ORGANIZATION, PROJECT NAME, A SHORT DESCRIPTION, NUMBER OF CLASS, NUMBER OF COMMITS, NUMBER OF DEFECT INTRODUCING COMMITS, NUMBER OF RISKY COMMIT DETECTED, PRECISION (%), RECALL (%), F₁-MEASURE (%), THE AVERAGE SIMILARITY OF FIRST 3 AND 5 PROPOSED FIXES WITH THE ACTUAL FIX AND THE AVERAGE TIME DIFFERENCE BETWEEN DETECTED AND ORIGINAL.

Organization	Project Name	Short Description	NoC	#Commits	Bug Introducing Commi	Detected	Precision	Recall	F ₁	Top 3 Fixes	Top 5 Fixes	Time Diff
Alibaba	druid	Database connection pool	3309	4775	1260	787	88.44	62.46	73.21	39.97	46.69	599.19
	dubbo	RPC framework	1715	1836	119	61	96.72	51.26	67.01	60.01	57.14	363.34
	fastjson	JSON parser/generator	2002	1749	516	373	95.71	72.29	82.37	18.19	15.23	607.79
	jstorm	Stream Process	1492	215	24	21	90.48	87.50	88.96	22.38	30.48	635.24
Apache	hadoop	Distributed processing	9108	14154	3678	851	86.84	23.14	36.54	38.94	47.68	469.97
	storm	Realtime system	2209	7208	951	444	86.26	46.69	60.58	53.03	61.10	530.57
Clojure	clojure	Programming language	335	2996	596	46	86.96	7.72	14.18	53.61	59.52	477.33
Dropwizard	dropwizard	RESTful web services	964	3809	581	179	96.65	30.81	46.72	47.54	53.56	482.93
	metrics	JVM metrics	335	1948	331	129	95.35	38.97	55.33	22.53	31.82	444.55
Eclipse	che	Eclipse IDE	7818	1826	169	9	88.89	5.33	10.05	31.01	39.04	671.62
Excilys	Android Annotations	Android Development	1059	2582	566	9	100.00	1.59	3.13	25.60	32.13	258.00
Facebook	fresco	Images Management	1007	744	100	68	92.65	68.00	78.43	64.14	71.03	532.91
Gocd	gocd	Continuous Delivery server	16735	3875	499	297	91.58	59.52	72.15	21.62	30.59	567.28
Google	auto	source code generators	257	668	124	95	100.00	76.61	86.76	47.66	55.70	594.48
	guava	Google Libraries for Java 6+	1731	3581	973	592	98.48	60.84	75.22	23.74	23.59	539.79
	guice	Dependency injection	716	1514	605	104	85.58	17.19	28.63	34.77	34.53	423.22
	iosched	Android App	1088	129	9	6	100.00	66.67	80.00	16.50	24.97	578.56
Gradle	gradle	Build system	11876	37207	6896	1557	97.50	22.58	36.67	23.58	19.93	500.55
Jankotek	mapdb	Concurrent datastructures	267	1913	691	440	94.32	63.68	76.03	63.16	72.48	479.93
Jhy	jsoup	Parser	136	917	254	153	87.58	60.24	71.38	46.41	44.59	505.34
Libdx	libgdx	Java game development	4679	12497	3514	1366	87.70	38.87	53.87	57.70	56.31	483.06
Netty	netty	Event-driven application	2383	7580	3991	1618	89.43	40.54	55.79	63.41	62.67	569.02
Openhab	openhab	Home Automation Bus	5817	8826	28	2	100.00	7.14	13.33	28.46	30.66	857.50
Openzipkin	zipkin	Distributed tracing system	397	799	176	73	87.67	41.48	56.31	55.92	51.90	569.40
Orfjackal	retrolambda	Backport of Java 8's lambda	171	447	97	35	94.29	36.08	52.19	34.69	42.06	272.24
OrientTechnologie	orientdb	Multi-Model DBMS	2907	13907	7441	2894	86.77	38.89	53.71	62.20	70.00	511.80
Perwendel	spark	Sinatra for java	205	703	125	82	97.56	65.60	78.45	21.88	28.00	453.16
PrestoDb	presto	Distributed SQL query	4381	8065	2112	991	90.62	46.92	61.83	23.34	20.64	479.81
RoboGuice	roboGuice	Google Guice on Android	1193	1053	229	70	91.43	30.57	45.82	53.81	56.55	401.58
Lombok	lombok	Additions to the Java language	1146	1872	560	212	91.98	37.86	53.64	58.94	57.49	514.00
Scribejava	scribejava	OAuth library	218	609	72	16	93.75	22.22	35.93	30.05	38.16	633.18
Square	dagger	Dependency injector	232	697	144	84	90.48	58.33	70.93	64.29	64.97	681.95
	javapoet	Java API	66	650	163	113	100.00	69.33	81.88	51.04	53.20	504.25
	okhttp	HTTP+HTTP/2 client	344	2649	592	474	93.04	80.07	86.07	29.09	24.91	500.80
	okio	I/O API for Java	90	433	40	24	100.00	60.00	75.00	31.51	35.50	348.66
	otto	Guava-based event bus	84	201	15	15	93.33	100.00	96.55	54.11	49.94	635.80
	retrofit	Type-safe HTTP client	202	1349	151	111	99.10	73.51	84.41	49.88	45.46	563.83
StephaneNicolas	roboSpice	Android library	461	865	113	39	87.18	34.51	49.45	60.90	65.04	832.37
ThinkAurelius	titan	Graph Database	2015	4434	1634	527	90.13	32.25	47.51	48.64	50.59	443.74
Jedis	jedis	Redis client	203	1370	295	226	92.04	76.61	83.62	25.69	29.45	535.03
Yahoo	anthelion	Plugin for Apache Nutch	1620	7	0	-	-	-	-	-	-	-
Zxing	zxing	1D/2D barcode image	3030	3253	791	123	94.31	15.55	26.70	29.35	37.96	465.59
Total			96003	165912	41225	15316	90.75	37.15	52.72	40.78	44.17	524.86

to shed light on the fact that our dataset is unbalanced and achieving an average F₁ = 52.72% is non-trivial, especially when a baseline only achieves an F₁-measure of 33.24%.

B. Analysis of the Quality of the Fixes Proposed by BIANCA

One of the advantages of BIANCA over other techniques is that it also proposes fixes for the *risky* commits at hand. In order to evaluate the quality of the proposed fixes, two approaches were possible: (a) To conduct a human study by asking developers to rate the proposed fixes according to the defects at hand or (b) To compare the proposed fixes with the actual fixes provided by the developers. We chose option (b) and plan to conduct the human study in the future.

To compare the proposed fixes with the actual fixes, we used the same preprocessing steps we applied to incoming commits: extract, pretty-print, normalize and filter the blocks modified by the proposed and actual fixes. Then, the blocks of the actual

fixes and the proposed fixes can be compared with our clone comparison engine.

Similar to other studies recommending fixes, we assess the quality of the first 3 and 5 proposed fixes [31–36]. The average similarity of the first 3 fixes is 40.78% while the similarity of the first five fixes is 44.17%. Results are reported in Table III.

In the framework of this study, for a fix to be ranked as qualitative it has to reach our $\alpha=35\%$ similarity threshold. Meaning that the proposed fixed must be at least 35% similar to the actual fix. In average, the proposed fixes are above the $\alpha=35\%$ threshold. In a per commit basis, BIANCA proposed 101,462 fixes for the 13,899 true positives *risky commits* (7.3 per commit). Out of the 101,462 proposed fixes, 78.67% are above our $\alpha=35\%$ threshold.

In other words, BIANCA is able to detect *risky* commits with 90.75% precision, 37.15% recall, and proposes fixes that contain, in average, 40-44% of the actual code needed to

transform the *risky* commit into a *non-risky* one. It is still too early to claim whether BIANCA's recommendations can be useful to developers. For this, we need to conduct user study.

C. Manual Analysis

BIANCA performed best when applied to three projects: Otto by Square (100.00% precision and 76.61% recall, 96.55% F_1 -measure), JStorm by Alibaba (90.48% precision, 87.50% recall, 88.96% F_1 -measure), and Auto by Google (90.48% precision, 87.50% recall, 86.76% F_1 -measure). It performed worse when used with Android Annotations by Excilys (100.00% precision, 1.59% recall, 3.13% F_1 -measure) and Che by Eclipse (88.89% precision, 5.33% recall, 10.05% F_1 -measure), Openhab by Openhab (100.00% precision, 7.14% recall, 13.33% F_1 -measure). To understand the performance of BIANCA, we conducted a manual analysis of the commits classified as *risky* by BIANCA for these projects.

1) *Otto by Square* (F_1 -measure = 96.5%): At first, the F_1 -measure of Otto by Square seems surprising given the specific set of features it provides. Otto provides a Guava-based event bus. While it does have dependencies that makes it vulnerable to defects in related projects, the fact that it provides specific features makes it, at first sight, unlikely to share defects with other projects. Through our manual analysis, we found that out of the 16 *risky* commits detected by BIANCA, only 11 (68.75%) matched defect-introducing commits inside the Otto project itself. This is significantly higher than the average number of single-project defects (8.6%). Further investigation of the project management system revealed that a very few issues have been submitted for this project (15) and, out of the 11 matches inside the Otto project, 7 were aiming to fix the same issue that had been submitted and fixed several times instead of re-opening the original issue.

2) *JStorm by Alibaba* (F_1 -measure = 88.96%): For JStorm by Alibaba, our manual analysis of the *risky* commits revealed that, in addition to providing stream processes, JStorm mainly supports JSON. The commits detected as *risky* were related to the JSON encoding/decoding functionalities of JStorm. In our dataset, we have several other projects that supports JSON encoding and decoding such as FastJSON by Alibaba, Hadoop by Apache, Dropwizard by Dropwizard, Gradle by Gradle and Anthelion by Yahoo. There is, however, only one project supporting JSON in the same cluster as JStorm, Fastjson by Alibaba. FastJSON has a rather large history of defect-commits (516) and 18 out of the 21 commits marked as *risky* by BIANCA were marked so because they matched defect-commits in the FastJSON project.

3) *Auto by Google* (F_1 -measure = 86.76%): Google Auto is a code generation engine. This code generation engine is used by other Google projects in our database, such as Guava and Guice. Most of the Google Auto *risky* commits (79%) matched commits in the Guava and the Guice project. As Guice and Guava share the same code-generation engine (Auto), it makes

sense that code introducing defects in these projects share the characteristics of commits introducing defects in Auto.

4) *Openhab by Openhab* (F_1 -measure = 13.33%): Openhab by Openhab provides bus for home automation or smart homes. This is a very specific set of feature. Moreover, Openhab and its dependencies are alone in the green cluster. In other words, the only project against which BIANCA could have checked for matching defects is Openhab itself. BIANCA was able to detect 2/28 bugs for Openhab. We believe that if we had other home-automation projects in our dataset (such as *HomeAutomation* a component based for smart home systems [66]) then we would have achieved a better F_1 -measure.

5) *Che by Eclipse* (F_1 -measure = 10.05%): Eclipse Che is part of the Eclipse IDE that provides development support for a wide range of programming languages such as C, C++, Java and others. Despite the fact that the Che project has a decent amount of defect-commits (169) and that it is in the blue cluster (dominated by Apache,) BIANCA was only able to detect 9 *risky* commits. After manual analysis of the 169 defect-commits, we were not able to draw any conclusion on why we were not able to achieve better performance. We can only assume that Eclipse's developers are particularly careful on how they use their dependencies and the quality of their code in general. Only 2% (169/7,818) of their commits introduce new defects.

6) *Annotations by Excilys* (F_1 -measure = 3.13%): The last project we analysed manually is Annotations by Excilys. Very much like Openhab by Openhab, it provides a very particular set of features, which consist of Java annotations for Android projects. We do not have any other project related to Java annotations or the Android ecosystem at large. This caused BIANCA to perform poorly.

Our interpretation of the manual analysis of the best and worst performing projects is that BIANCA performs best when applied to clusters that contain projects that are similar in terms of features, domain or intent. These projects tend to be interconnected through dependencies. In the future, we intend to study the correlation between the cluster betweenness measure and the performance of BIANCA.

VI. THREATS TO VALIDITY

The selection of target systems is one of the common threats to validity for approaches aiming to improve the analysis of software systems. It is possible that the selected programs share common properties that we are not aware of and therefore, invalidate our results. However, the systems analysed by BIANCA were selected from Github based on their popularity and the ability to mine their past issues and also to retrieve their dependencies. Any project that satisfies these criteria would be included in the analysis. Moreover, the systems vary in terms of purpose, size, and history. In addition, we see a threat to validity that stems from the fact that we only used open-source

systems. The results may not be generalizable to industrial systems. We intend to undertake these studies in future work.

The programs we used in this study are all based on the Java programming language. This can limit the generalization of the results. However, similar to Java, one can write a TXL grammar for a new language then BIANCA can work since BIANCA relies on TXL. Finally, we use NICAD as the code comparison engine. The accuracy of NICAD affects the accuracy of BIANCA. This said, since NICAD has been tested on large systems, we are confident that it is a suitable engine for comparing code using TXL. Also, there is nothing that prevents us from using other text-based code comparisons engines, if need be. In conclusion, internal and external validity have both been minimized by choosing a set of 42 different systems, using input data that can be found in any programming languages and version systems (commit and changesets).

VII. CONCLUSION

In this paper, we presented BIANCA (Bug Insertion ANTicipation by Clone Analysis at commit time), an approach that detects risky commits (i.e., a commit that is likely to introduce a bug) with 90.75% precision and 37.15% recall. BIANCA uses clone detection techniques and project dependency analysis to detect risky commits within and across dependant projects. BIANCA operates at commit-time, i.e., before the commits reach the central repository. In addition, because it relies on code comparison, BIANCA does not only detect risky commits but also makes recommendations to developers on how to fix them. We believe that this makes BIANCA a practical approach for preventing bugs and proposing corrective measures that integrates well with the developers work flow through the commit mechanism.

To build on this work, we need to conduct a human study with developers in order to gather their feedback on the approach. The feedback obtained will help us fine-tune the approach. Also, we want to examine the relationship between project cluster measures (such as betweenness) and the performance of BIANCA. Finally, another improvement to BIANCA would be to support Type 4 clones.

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