

Mathematical Logic (MATH6/70132;P65)  
Solutions to Problem Sheet 6

1. Suppose  $f : A \rightarrow B$  is a bijection. Use  $f$  to construct functions  $g : A \times A \rightarrow B \times B$  and  $h : \mathcal{P}(A) \rightarrow \mathcal{P}(B)$  which are bijections. In the case of  $h$ , give a careful proof that your function is a bijection.

*Solution:* Note that as  $f$  is a bijection it has an inverse  $f^{-1} : B \rightarrow A$ .

We can define the bijection  $g$  by letting  $g((a_1, a_2)) = (f(a_1), f(a_2))$ . (To see this is a bijection check that the function  $g_1 : B \times B \rightarrow A \times A$  given by  $g_1((b_1, b_2)) = (f^{-1}(b_1), f^{-1}(b_2))$  is an inverse of  $g$ .)

We can define the function  $h$  by letting  $h(X) = \{f(a) : a \in X\}$ , for  $X \subseteq A$ . We show that this is a bijection by showing that it has an inverse  $h_1 : \mathcal{P}(B) \rightarrow \mathcal{P}(A)$  given by  $h_1(Y) = \{f^{-1}(b) : b \in Y\}$ , for  $Y \subseteq B$ . To see this, note that  $h_1(h(X)) = h_1(\{f(a) : a \in X\}) = \{f^{-1}(f(a)) : a \in X\} = X$  and similarly  $h(h_1(Y)) = Y$  for  $X \subseteq A$  and  $Y \subseteq B$ .

2. Decide whether the following functions  $f_1, f_2, f_3$  are injective or surjective (or both). Give reasons for your answers.

(i)  $X$  is some set;  $A$  is the set of finite sequences of elements of  $X$ ;  $B$  is the set of finite subsets of  $X$ ;  $f_1 : A \rightarrow B$  is given by  $f_1((a_1, \dots, a_n)) = \{a_1, \dots, a_n\}$ .

(ii)  $f_2 : \mathbb{R}^{\mathbb{R}} \times \mathbb{R}^{\mathbb{R}} \rightarrow \mathbb{R}^{\mathbb{R}}$  is given by composition:  $f_2(\alpha, \beta) = \alpha \circ \beta$  for  $\alpha, \beta \in \mathbb{R}^{\mathbb{R}}$  (the set of functions from  $\mathbb{R}$  to  $\mathbb{R}$ ).

(iii) Recall that  $\mathbb{N}^{\mathbb{N}}$  can be thought of as the set of sequences of natural numbers. Define the function  $f_3 : \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$  to be the function which sends the pair of sequences  $a = (a_0, a_1, a_2, \dots)$ ,  $b = (b_0, b_1, b_2, \dots)$  to the sequence  $c = (a_0, b_0, a_1, b_1, a_2, b_2, \dots)$ .

*Solution:* (i) This is surjective: the finite sequence  $(a_1, \dots, a_n)$  gets sent to the finite set  $\{a_1, \dots, a_n\}$  and the empty sequence gets sent to the empty set, so  $f_1$  is surjective. As long as  $X$  is non-empty,  $f_1$  is not injective: take any  $a \in X$ , then  $f_1((a, a)) = f_1((a, a, a))$ .

(ii) This is surjective but not injective. Let  $\iota \in \mathbb{R}^{\mathbb{R}}$  be the identity function and  $o \in \mathbb{R}^{\mathbb{R}}$  the zero function ( $o(x) = 0$  for all  $x \in \mathbb{R}$ ). Then for any  $f \in \mathbb{R}^{\mathbb{R}}$  we have  $f_2(\iota, f) = f$  and  $f_2(o, f) = o$ .

(iii) This is a bijection. One way to see this is to write down the inverse function  $g : \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^{\mathbb{N}}$ . If  $c = (c_0, c_1, c_2, \dots) \in \mathbb{N}^{\mathbb{N}}$ , then  $g(c)$  splits  $c$  into its even numbered terms and odd numbered terms:

$$g(c) = ((c_0, c_2, c_4, \dots), (c_1, c_3, c_5, \dots)).$$

3. (i) Show that the following sets are countable (you may use any of the results in the notes):

(a) The set of finite subsets of  $\mathbb{N}$ .

(b) The set of subsets of  $\mathbb{N}$  with finite complement.

(c) The set of real numbers which are roots of non-zero polynomial equations with rational coefficients.

(ii) Use (c) to deduce that there is some real number which is not a root of any non-zero polynomial equation with rational coefficients.

*Solution:* (i) (a) Let  $F$  denote the set of finite subsets of  $\mathbb{N}$  and  $S$  the set of finite sequences of natural numbers. By 3.1.3 in the notes,  $S$  is countable, and by Problem 2(i), there is a surjection from  $S$  to  $F$ . It follows that  $F$  is countable (by a result which you should be able to prove).

(b) Let  $I$  denote the set of subsets of  $\mathbb{N}$  with finite complement. With  $F$  as in (a), there is a bijection  $\alpha : F \rightarrow I$  given by  $\alpha(X) = \mathbb{N} \setminus X$ . So as  $F$  is countable, so is  $I$ .

(c) Let  $P$  denote the set of non-zero polynomial equations with rational coefficients i.e.  $P = \{a_0 + a_1x + \dots + a_nx^n : n \in \mathbb{N}, a_i \in \mathbb{Q} \text{ not all zero}\}$ . There is an obvious surjection from the set of all finite sequences of rational numbers (excluding sequences of zeros, and the empty sequence) to  $P$ . So as  $\mathbb{Q}$  is countable,  $P$  is countable. Now, each polynomial in  $P$  has finitely many roots in  $\mathbb{R}$ . Thus the set  $A$  consisting of roots of polynomials in  $P$  is a countable union of finite sets: so it is countable, by 3.1.3.

(ii) We know that  $\mathbb{R}$  is not countable and  $A \subseteq \mathbb{R}$ . As  $A$  is countable, we therefore have  $A \neq \mathbb{R}$ : there is some real number not in  $A$ .

4. Let  $S$  be the set of sequences of zeros and ones (that is, functions  $s : \mathbb{N} \rightarrow \{0, 1\}$ ), and  $F$  the set of functions from  $\mathbb{R}$  to  $\mathbb{R}$ .

(a) Construct an injective function  $i : S \times S \rightarrow S$ , and hence show that  $S$  and  $S \times S$  are equinumerous. Deduce that  $\mathbb{R}$  and  $\mathbb{R} \times \mathbb{R}$  are equinumerous.

(b) Construct an injective function from  $F$  to  $\mathcal{P}(\mathbb{R} \times \mathbb{R})$  and an injective function from  $\mathcal{P}(\mathbb{R})$  to  $F$ . Deduce that  $F$  and  $\mathcal{P}(\mathbb{R})$  are equinumerous.

*Solution:* (a) This is similar to Problem 2 (iii). Define  $F : S \times S \rightarrow S$  to be the function which sends the pair of sequences  $(a_i)_{i \in \mathbb{N}}, (b_i)_{i \in \mathbb{N}}$  to the single sequence  $(a_0, b_0, a_1, b_1, \dots)$ . In fact, this is a bijection, so  $S$  and  $S \times S$  are equinumerous. By 3.1.7 in the notes,  $S$  and  $\mathbb{R}$  are equinumerous. So by Problem 1,  $\mathbb{R} \times \mathbb{R} \approx S \times S$ . Thus  $\mathbb{R} \approx S \approx S \times S \approx \mathbb{R} \times \mathbb{R}$  and therefore  $\mathbb{R} \approx \mathbb{R} \times \mathbb{R}$ .

(b) Any function  $\mathbb{R} \rightarrow \mathbb{R}$  is actually a subset of  $\mathbb{R} \times \mathbb{R}$ . Thus  $F \subseteq \mathbb{R} \times \mathbb{R}$ , so  $|F| \leq |\mathcal{P}(\mathbb{R} \times \mathbb{R})|$ . On the other hand, the function which sends a subset of  $\mathbb{R}$  to its characteristic function is an injective function from  $\mathcal{P}(\mathbb{R})$  to  $F$ . Thus  $|\mathcal{P}(\mathbb{R})| \leq |F|$ .

Now, by (a) and problem 1, we know that  $\mathcal{P}(\mathbb{R})$  and  $\mathcal{P}(\mathbb{R} \times \mathbb{R})$  are equinumerous. So we also have  $|F| \leq |\mathcal{P}(\mathbb{R})|$ . It follows from the Cantor-Schröder-Bernstein Theorem that  $|F| = |\mathcal{P}(\mathbb{R})|$ .

5. Suppose  $A_1, A_2, B_1, B_2$  are sets with  $A_1 \approx A_2$  and  $B_1 \approx B_2$ . Write down bijections which show:

(i)  $A_1^{B_1} \approx A_1^{B_2}$ ;

(ii)  $A_1^{B_1} \approx A_2^{B_1}$ ;

and deduce:

(iii)  $A_1^{B_1} \approx A_2^{B_2}$ .

*Solution:* Let  $\alpha : A_1 \rightarrow A_2$  and  $\beta : B_1 \rightarrow B_2$  be bijections.

(i) Define the function  $\gamma : A_1^{B_1} \rightarrow A_1^{B_2}$  as follows. If  $f \in A_1^{B_1}$  then  $\gamma(f)$  is the function  $B_2 \rightarrow A_1$  given by  $f \circ \beta^{-1}$ . Note that  $\gamma$  has an inverse function: the function  $\delta$  which sends  $g \in A_1^{B_2}$  to  $g \circ \beta$  (check:  $\gamma(\delta(g)) = g \circ \beta \circ \beta^{-1} = g$ , etc.)

(ii) Similar: define  $\eta : A_1^{B_1} \rightarrow A_2^{B_1}$  to be the function which sends  $f \in A_1^{B_1}$  to  $\alpha \circ f$ .

(iii) By (i)  $A_1^{B_1} \approx A_1^{B_2}$ . By (ii)  $A_1^{B_2} \approx A_2^{B_2}$ .

6. Again, let  $S$  denote the set of sequences of zeros and ones.

(a) Construct a bijection from  $S^{\mathbb{N}}$  to  $S$ . (Note and Hint:  $S^{\mathbb{N}}$  consists of functions  $f : \mathbb{N} \rightarrow S$ . Thus  $f$  is a sequence of sequences of zeros and ones. Turn such a thing into a single sequence  $s_f$  of zeros and ones in such a way that the original  $f$  is recoverable from  $s_f$ .)

(b) Deduce that if  $A$  is a countably infinite set then  $\mathbb{R}^A$  is equinumerous with  $\mathbb{R}$ .

(c) Let  $C$  be the set of *continuous* functions from  $\mathbb{R}$  to  $\mathbb{R}$ . Show that  $C$  is equinumerous with  $\mathbb{R}$ .

(d) What can you say about the relationship between the cardinalities of  $C$  here and  $F$  in Question 4?

*Solution:* (a) Let  $\pi : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  be any bijection. Define  $\alpha : S^{\mathbb{N}} \rightarrow S$  as follows. If  $f \in S^{\mathbb{N}}$  then  $f = (f_i)_{i \in \mathbb{N}}$  is a sequence of sequences of zeros and ones: write each  $f_i$  as  $(f_{ij})_{j \in \mathbb{N}}$ . Now let  $\alpha(f)$  be the sequence  $(a_n)_{n \in \mathbb{N}}$  where  $a_n$  is equal to  $f_{\pi^{-1}(n)}$ . Note that from this sequence we can easily recover the original sequences as  $f_{ij} = a_{\pi(i,j)}$ . So  $\alpha$  is a bijection.

(b) If  $A$  is countably infinite, then  $A \approx \mathbb{N}$ . We also know that  $S \approx \mathbb{R}$ . So by Problem 5,  $\mathbb{R}^A \approx S^{\mathbb{N}}$ . By part (a),  $S^{\mathbb{N}} \approx S$ . So we have  $\mathbb{R}^A \approx S \approx \mathbb{R}$ , as required.

(c) Define the function  $\rho : C \rightarrow \mathbb{R}^{\mathbb{Q}}$  by restriction: if  $f \in C$  then  $\rho(f)$  is  $f$  restricted to  $\mathbb{Q}$ . As  $\mathbb{Q}$  is dense in  $\mathbb{R}$  it follows that  $\rho$  is injective (consider the effect of  $f$  on sequences of rational numbers), so  $C \preceq \mathbb{R}^{\mathbb{Q}}$ . As  $\mathbb{Q}$  is countable, part (b) then gives  $|C| \leq |\mathbb{R}|$ . On the other hand we can find an injective function from  $\mathbb{R}$  to  $C$ : just take the real number  $r$  to the constant function  $f_r$  with  $f_r(x) = r$  (for all  $x \in \mathbb{R}$ ). So  $|\mathbb{R}| \leq |C|$ . Thus, by Cantor-Schröder-Bernstein,  $|C| = |\mathbb{R}|$ .

(d) By Problem 4,  $|F| = |\mathcal{P}(\mathbb{R})|$  and by Cantor's Theorem (3.1.4),  $|\mathcal{P}(\mathbb{R})| > |\mathbb{R}|$ . Thus (using (c)),  $|C| < |F|$ .

7. Suppose  $\mathbf{A}_1 = (A_1, \leq_1)$  and  $\mathbf{A}_2 = (A_2, \leq_2)$  are linearly ordered sets.

(i) Show that the reverse-lexicographic product  $\mathbf{A}_1 \times \mathbf{A}_2$  (as defined in the notes) is a linearly ordered set.

(ii) Suppose  $\mathbf{B}_1 = (B_1, \leq'_1)$  and  $\mathbf{B}_2 = (B_2, \leq'_2)$  are linearly ordered sets which are similar to  $\mathbf{A}_1$  and  $\mathbf{A}_2$  respectively. Show that  $\mathbf{B}_1 \times \mathbf{B}_2$  is similar to  $\mathbf{A}_1 \times \mathbf{A}_2$ .

(Hint: Take similarities  $f_i : A_i \rightarrow B_i$  for  $i = 1, 2$  and show carefully from the definitions that  $h : A_1 \times A_2 \rightarrow B_1 \times B_2$  given by  $h(a_1, a_2) = (f_1(a_1), f_2(a_2))$  (for  $a_i \in A_i$ ) is a similarity.)

*Solution:* (i) It is clear that if  $(a_1, a_2) \in A_1 \times A_2$  then  $(a_1, a_2) \leq (a_1, a_2)$ . Suppose that  $(a_1, a_2) \leq (a'_1, a'_2)$  and  $(a'_1, a'_2) \leq (a_1, a_2)$ . Then  $a_2 \leq_2 a'_2$  and  $a'_2 \leq_2 a_2$ . So  $a_2 = a'_2$ . It then follows that  $a_1 \leq_1 a'_1$  and  $a'_1 \leq_1 a_1$ : so  $a_1 = a'_1$ .

Now suppose  $(a_1, a_2) \leq (a'_1, a'_2) \leq (a''_1, a''_2)$ . Then  $a_2 \leq_2 a'_2 \leq_2 a''_2$ . So  $a_2 \leq_2 a''_2$ . If  $a_2 = a''_2$ , then  $a_2 = a'_2 = a''_2$ , so  $a_1 \leq_1 a'_1 \leq_1 a''_1$ . Thus  $a_1 \leq_1 a''_1$  and therefore  $(a_1, a_2) \leq (a''_1, a''_2)$ . If  $a_2 <_2 a''_2$ , then also  $(a_1, a_2) \leq (a''_1, a''_2)$ .

So far, this has shown that  $A_1 \times A_2$  is a partial order. To show that it is a total order, take  $(a_1, a_2), (a'_1, a'_2) \in A_1 \times A_2$ . Without loss, we may assume  $a_2 \leq_2 a'_2$ . If  $a_2 <_2 a'_2$  then  $(a_1, a_2) < (a'_1, a'_2)$ . If  $a_2 = a'_2$ , then  $(a_1, a_2) \leq (a'_1, a'_2)$  or  $(a'_1, a'_2) \leq (a_1, a_2)$  depending on whether  $a_1 \leq_1 a'_1$  or  $a'_1 \leq_1 a_1$ .

(ii) We skip the proof that  $h$  is a bijection as it is similar to problem 1.

Suppose  $(a_1, a_2) \leq (a'_1, a'_2)$ . If  $a_2 < a'_2$  then  $f_2(a_2) < f_2(a'_2)$ , so  $h(a_1, a_2) < h(a'_1, a'_2)$ . If  $a_2 = a'_2$  and  $a_1 \leq a'_1$ , then  $f_2(a_2) = f_2(a'_2)$  and  $f_1(a_1) \leq f_1(a'_1)$ . So again  $h(a_1, a_2) \leq h(a'_1, a'_2)$ .

A similar argument shows that if  $h(a_1, a_2) \leq h(a'_1, a'_2)$ , then  $(a_1, a_2) \leq (a'_1, a'_2)$ .