

[1] The first-order language  $\mathcal{L}$  has one unary function symbol  $f$  and one unary relation symbol  $P$ . Let  $\phi$  be the formula  $(\forall x_1)(P(x_1) \rightarrow P(f(x_1)))$ . Give an interpretation of  $\mathcal{L}$  in which  $\phi$  is true, and one in which it is false.

*Solution:* For an interpretation where the formula is false take the domain of the structure to be the natural numbers, interpret  $P(x)$  as the predicate  $x = 0$  and  $f$  as the successor function  $x \mapsto x + 1$ . For an interpretation where it is true, modify this so that  $f$  is interpreted as the function  $x \mapsto x$ .

[2] The language  $\mathcal{L}$  has a binary relation symbol  $E$ , a binary function symbol  $m$ , a unary function symbol  $i$  and a constant symbol  $e$ . Let  $G$  be a group and consider  $G$  as an  $\mathcal{L}$ -structure by interpreting  $E$  as equality,  $m$  as multiplication,  $i$  as inversion, and  $e$  as the identity element of  $G$ . Let  $v$  be a valuation (of  $\mathcal{L}$ ) in  $G$  and let

$$H = \{v(t) : t \text{ is a term of } \mathcal{L}\}.$$

- (a) Show that  $H$  is a subgroup of  $G$ .
- (b) Show that  $H$  is generated by  $\{v(x_i) : x_i \text{ is a variable of } \mathcal{L}\}$ .
- (c) What is  $H$  if we omit the function symbol  $i$  from the language?

*Solution:* (a) It is enough to show that  $H$  is non-empty, closed under multiplication and closed under inversion. It is non-empty because  $e$  is a term and  $v(e) = \bar{e} \in H$ , i.e. the identity of  $G$  is in  $H$ . Suppose  $a, b \in H$ . Then there exist terms  $s, t$  with  $v(s) = a$ ,  $v(t) = b$ . Then  $m(s, t)$  is a term and  $v(m(s, t))$  is the product  $a \cdot b$ . So  $a \cdot b \in H$ , as required. Similarly  $i(s)$  is a term and  $v(i(s)) = a^{-1}$ , so  $H$  is also closed under inversion.

(b) Let  $K = \langle v(x_i) : x_i \text{ a variable of } \mathcal{L} \rangle$ , the subgroup generated by the values ascribed to the variables by  $v$ . So  $K \leq H$ . We show by induction on the length of a term  $t$  that  $v(t) \in K$ . In the base case  $t$  is a variable or  $e$ , and we have what we want. For the inductive step suppose  $s, t$  are terms with  $v(s), v(t) \in K$ . We have to show that  $v(m(s, t)), v(i(s)) \in K$  but these are just the product  $v(s) \cdot v(t)$  and the inverse  $v(s)^{-1}$ , and these are in  $K$  as  $K$  is a subgroup of  $G$ .

(c)  $H$  is the smallest subset of  $G$  which is closed under multiplication and which contains the identity, and  $v(x_i)$  for all the variables  $x_i$ . This need not be a subgroup (it need not be closed under inverses, if  $G$  is infinite).

[3] Let  $\phi$  be a formula in a first-order language  $\mathcal{L}$  and let  $v$  be a valuation (in some  $\mathcal{L}$ -structure  $\mathcal{A}$ ). Suppose there is a valuation  $v'$  which is  $x_i$ -equivalent to  $v$  and satisfies  $\phi$ . Show that  $v$  satisfies  $(\exists x_i)\phi$ .

*Solution:* We need to show that  $v$  satisfies  $\neg(\forall x_i)(\neg\phi)$ . Well,  $v'$  satisfies  $\phi$  so  $v'$  does not satisfy  $\neg\phi$ . As  $v'$  is  $x_i$ -equivalent to  $v$  it follows that  $v$  does not satisfy  $(\forall x_i)(\neg\phi)$ . Thus  $v$  satisfies  $\neg(\forall x_i)(\neg\phi)$ , as required.

[4] Suppose  $F$  is a field. The language  $\mathcal{L}_F$  appropriate for considering  $F$ -vector spaces  $V$  has a 2-ary relation symbol  $R$  (for equality); a 2-ary function symbol  $+$  (for addition in the vector space); a constant symbol  $0$  (for the zero vector) and, for every  $\alpha \in F$ , a 1-ary function symbol  $f_\alpha$  (for scalar multiplication by  $\alpha$ ).

Convince yourself that it is possible to express the axioms for being an  $F$ -vector space as a set of formulas in this language.

*Solution:* The main point here is to understand what to do about the axioms which involve scalar multiplication. For example, to express the usual vector space axiom

'for all  $\alpha, \beta \in F$  and  $v \in V$  we have  $(\alpha + \beta)v = \alpha v + \beta v$ '

we need one formula of the following form for each possible choice of  $\alpha, \beta \in F$ :

$$(\forall x)R(f_{\alpha+\beta}(x), a(f_\alpha(x), f_\beta(x))).$$

The issue here is not the unfamiliar notation. It is that we cannot quantify over the elements of  $F$ .

Note that if the field is the real numbers, then the language is uncountable and we have uncountably many axioms here.

[5] In each of the following formulas, indicate which of the occurrences of the variables  $x_1$  and  $x_2$  are bound and which are free:

- (a)  $(\forall x_2)(R_2(x_1, x_2) \rightarrow R_2(x_2, c_1))$ ;
- (b)  $(R_1(x_2) \rightarrow (\forall x_1)(\forall x_2)R_3(x_1, x_2, c_1))$ ;
- (c)  $((\forall x_1)R_1(f(x_1, x_2)) \rightarrow (\forall x_2)R_2(f(x_1, x_2), x_1))$ .

Decide whether the term  $f(x_1, x_1)$  is free for  $x_2$  in each of the above formulas (explain briefly your answer).

*Solution:* (a)  $(\forall x_2)(R_2(x_1, x_2) \rightarrow R_2(x_2, c_1))$ ;

All occurrences of  $x_2$  are bound; all occurrences of  $x_1$  are free.

(b)  $(R_1(x_2) \rightarrow (\forall x_1)(\forall x_2)R_3(x_1, x_2, c_1))$ ;

Only the first occurrence of  $x_2$  is free.

(c) I'll put a hat over the free variables:  $((\forall x_1)R_1(f(x_1, \hat{x}_2)) \rightarrow (\forall x_2)R_2(f(\hat{x}_1, x_2), \hat{x}_1))$ .

Decide whether the term  $f(x_1, x_1)$  is free for  $x_2$  in each of the above formulas (explain briefly your answer):

The only variable in the term is  $x_1$ . So this term is *not* free for  $x_2$  in a formula if  $x_2$  occurs free within the scope of a quantifier  $(\forall x_1)$  in the formula. Thus  $f(x_1, x_1)$  is free for  $x_2$  in the first two formulas. However, it is not free for  $x_2$  in the third, because of:

$$((\forall x_1)R_1(f(x_1, \hat{x}_2))) \dots$$

[6] For the formula  $\phi(x_2)$  given by  $((\exists x_1)R(x_1, f(x_1, x_2)) \rightarrow (\forall x_1)R(x_1, x_2))$  (in a particular language  $\mathcal{L}$ ) give an example of a term  $t$  which is not free for  $x_2$  in  $\phi(x_2)$ . Find an  $\mathcal{L}$ -structure  $\mathcal{A}$  in which  $(\forall x_2)\phi(x_2)$  is true and a valuation  $v$  in  $\mathcal{A}$  which does not satisfy  $\phi(t)$ .

*Solution:* Consider the term  $x_1$ . Now, in the formula  $((\exists x_1)R(x_1, f(x_1, x_2)) \rightarrow (\forall x_1)R(x_1, x_2))$  the variable  $x_2$  occurs free in the scope of the quantifier  $\exists x_1$  (and the quantifier  $\forall x_1$ ). So the term  $x_1$  is not free for  $x_2$  in the formula  $\phi(x_2)$ .

The formulas  $(\forall x_2)\phi(x_2)$  and  $\phi(x_1)$  are then:

$(\forall x_2)((\exists x_1)R(x_1, f(x_1, x_2)) \rightarrow (\forall x_1)R(x_1, x_2))$  and

$((\exists x_1)R(x_1, f(x_1, x_1)) \rightarrow (\forall x_1)R(x_1, x_1))$ . To find an interpretation where the first is true, but the second is false, try to keep things as simple as possible. Take:

Domain  $\mathbb{N}$ ;

$\bar{R}(x_1, x_2) \Leftrightarrow x_2 = 0$ ;

$\bar{f}(x_1, x_2) = x_2$ .

Then the first formula says 'if  $x_2 = 0$ , then  $x_2 = 0$ ' (which is true!), whereas the second formula says 'if there exists some value of  $x_1$  with  $x_1 = 0$ , then  $x_1 = 0$  for all values of  $x_1$ , ' which is false.

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