

## Section 7 $[\zeta(s)^z]_n$ :

### 7. Computing $\Pi(n)$ with Another Combinatorial Approach

Taking inspiration from an algorithm published by Marc Deléglise and Joël Rivat in their paper “Computing the summation of the Mobius function” (see [http://projecteuclid.org/download/pdf\\_1/euclid.em/1047565447](http://projecteuclid.org/download/pdf_1/euclid.em/1047565447)) this section details a combinatorial method for computing  $[\zeta(0)^z]_n$  and  $\Pi(n)$  in  $O(n^{2/3} \log n)$  time and  $O(n^{1/3} \log n)$  space. That paper makes a handy reference for what follows. This section is particularly hard to follow, owing to its complexity.

#### 7.1 Defining $[f^k]_n$

Suppose, for some function  $f(n)$ , we have the following summatory functions

$$[f^k]_n = \sum_{j=1}^{\lfloor n \rfloor} f(j) [f^{k-1}]_{n \cdot j^{-1}}$$

$$\nabla [f^k]_n = [f^k]_n - [f^k]_n$$

(7.1.1)

```
F[fn_,n_,0]:=UnitStep[n-1]; F[fn_,n_,k_]:=Sum[fn[j] F[fn,n/j,k-1],{j,1,Floor[n]}]
f[fn_,n_,k_]:=F[fn,n,k]-F[fn,n-1,k]
```

#### 7.2 A Combinatorial Identity for $[f^k]_n$

Then the following rather complicated combinatorial identity holds for  $[f^k]_n$ , with  $1 < t < n$  :

$$[f^k]_n = [f^k]_t + \sum_{j=t+1}^{\lfloor n \rfloor} f(j) \cdot [f^{k-1}]_{n \cdot j^{-1}} + \sum_{j=1}^t \sum_{s=\lfloor t \cdot j^{-1} \rfloor + 1}^{\lfloor n \cdot j^{-1} \rfloor} \sum_{m=1}^{k-1} f(s) \cdot \nabla [f^m]_j \cdot [f^{k-m-1}]_{n \cdot (j \cdot s)^{-1}}$$

(7.2.1)

```
F[fn_,n_,0]:=UnitStep[n-1]
F[fn_,n_,k_]:=F[fn,n,k]-Sum[fn[j] F[fn,n/j,k-1],{j,1,Floor[n]}]
f[fn_,n_,k_]:=F[fn,n,k]-F[fn,n-1,k]
FAlt[fn_,n_,k_,t_]:=F[fn,t,k]+Sum[fn[j] F[fn,n/j,k-1],{j,t+1,Floor[n]}]+Sum[fn[s] f[fn,j,m] F[fn,n/(j s),k-m-1],{j,1,t},
{s,Floor[t/j]+1,Floor[n/j]},{m,1,k-1}]
id[n_]:=1
Grid[Table[F[id,n,k]-FAlt[id,n,k,Floor[n^(1/2)]],{n,10,500,10},{k,1,7}]]
```

Grid[Table[F[MoebiusMu, n, k]-FAlt[MoebiusMu, n, k, Floor[n^(1/2)]], {n, 10, 500, 10}, {k, 1, 7}]]

Inspection shows that, in (6.2), the largest argument for  $[f^k]_n$  is  $\frac{n}{t}$ , and the largest for  $\nabla[f^k]_n$  is  $t$ . The only exceptions are for  $[f]_n$ , where the largest argument is  $n$ , and  $f(n)$ , which also takes arguments up to  $n$ .

The reason this identity is useful is that, if  $f(n)$  and  $[f]_n = \sum_{j=1}^n f(j)$  can be computed in constant time, and if we have some external method to compute a table of  $[f^k]_n$  up to arguments of  $\frac{n}{t}$  and  $\nabla[f^k]_n$  up to arguments of  $t$ , then we can use (6.2) to compute  $[f^k]_n$ .

### 7.3 Reducing Computation of Certain Sums from $n$ Steps to $2n^{\frac{1}{2}}$ Steps

Our goal is to compute  $[f^k]_n$  with (6.2) as efficiently as possible, so we need another important identity. Inspection of  $[f^k]_n$  in (6.1) should make clear that  $[f^k]_n = [f^k]_{[n]}$ . Now, it's the case that if we have functions  $g(n)$  and  $h(n)$  such that  $g(n) = g([n])$  and  $h(n) = h([n])$ , then the sum  $\sum_{j=1}^{[n]} (g(j) - g(j-1)) h(\frac{n}{j})$  can be split into two parts as

$$\sum_{j=1}^{[n]} (g(j) - g(j-1)) h(\frac{n}{j}) = \sum_{j=1}^{[\frac{n^{\frac{1}{2}}]} (g(j) - g(j-1)) h(nj^{-1}) + \sum_{j=1}^{[n \cdot [\frac{n^{\frac{1}{2}}]^{-1}] - 1]} (g(nj^{-1}) - g(n(j+1)^{-1})) \cdot h(j)$$

(7.3.1)

```
s1[ n_, g_, h_ ] := Sum[ (g[j]-g[j-1]) h[ n/j], {j, 1, Floor[n]}]
s2[ n_, g_, h_ ] := Sum[ (g[j]-g[j-1]) h[ n/j], {j, 1, Floor[ n^(1/2)]}] + Sum[ (g[n/j]-g[n/(j+1)]) h[j],
{j, 1, Floor[ n/Floor[ n^(1/2)]]-1}]
id[ n_ ] := Floor[n]
mert[ n_ ] := Sum[ MoebiusMu[ j ], {j, 1, Floor[n]}]
Table[ { s1[ n, id, id ], "=", s2[ n, id, id ], " ", s1[ n, mert, mert ], "=", s2[ n, mert, mert ] }, {n, 100, 1000, 100}]] // TableForm
```

### 7.4 A More Efficient Variant of (6.2)

Variants of (6.3) can be applied to two of the sums in (6.2), as long as  $t$  is less than  $n^{\frac{1}{2}}$ , to leave it as

$$\begin{aligned} [f^k]_n = & [f^k]_t + \\ & \sum_{j=t+1}^{[\frac{n^{\frac{1}{2}}]} f(j) \cdot [f^{k-1}]_{n \cdot j^{-1}} \\ & + \sum_{j=1}^{[n \cdot [\frac{n^{\frac{1}{2}}]^{-1}] - 1]} ([f]_{n \cdot j^{-1}} - [f]_{n \cdot (j+1)^{-1}}) \cdot \nabla[f^{k-1}]_j \\ & + \sum_{j=1}^t \sum_{s=[t \cdot j^{-1} + 1]}^{[n \cdot j^{-1}]} \sum_{m=1}^{k-1} f(s) \cdot \nabla[f^m]_j \cdot [f^{k-m-1}]_{n \cdot (js)^{-1}} \\ & + \sum_{j=1}^t \sum_{s=1}^{[n \cdot j^{-1}] \cdot [n \cdot j^{-1}]^{\frac{1}{2}} - 1]} ([f]_{n \cdot (js)^{-1}} - [f]_{n \cdot (j(s+1))^{-1}}) \cdot \nabla[f^m]_j \cdot [f^{k-m-1}]_s \end{aligned}$$

(7.4.1)

```
F[fn_, n_, k_, s_] := F[fn, n, k, s] = Sum[(fn[m]^(k-j)) Binomial[k, j] F[fn, n/(m^(k-j)), j, m+1], {m, s, n^(1/k)}, {j, 0, k-1}]
F[fn_, n_, 0, s_] := UnitStep[n-1]
```

```

F[fn_,n_,k_]:=F[fn,n,k,1]
f[fn_,n_,k_]:=F[fn,n,k]-F[fn,n-1,k]
FAlt[fn_,n_,k_,t_]:=F[fn,t,k]+Sum[fn[j] F[fn,n/j,k-1],{j,t+1,n^(1/2)}]+
Sum[Sum[fn[m],{m,Floor[n/(j+1)]+1,n/j}]F[fn,j,k-1],{j,1,n/Floor[n^(1/2)]-1}]+
Sum[fn[s] f[fn,j,m] F[fn,n/(j s),k-m-1],{j,1,t},{s,Floor[t/j]+1,Floor[n/j]^(1/2)}],{m,1,k-1}]+Sum[(Sum[fn[m],{m,Floor[n/
(j(s+1))]+1,n/(j s)}])(Sum[f[fn,j,m] F[fn,s,k-m-1],{m,1,k-1}]),{j,1,t},{s,1,Floor[n/j]/Floor[Floor[n/j]^(1/2)]-1}]
FAlt[fn_,n_,1,t_]:=Sum[fn[j],{j,1,n}]
Grid[Table[F[MoebiusMu,n,k,1]-FAlt[MoebiusMu,n,k,Floor[n^(1/3)]],{n,10,500,10},{k,1,7}]]
Grid[Table[F[LiouvilleLambda,n,k,1]-FAlt[LiouvilleLambda,n,k,Floor[n^(1/3)]],{n,10,500,10},{k,1,7}]]

```

## 7.5 Applying the Preceding Techniques to Compute $[(\zeta(0)-1)^k]_n$

Now let's define our function  $f(n)$  and choose a value for  $t$ .

Our value for  $t$  will be  $n^{\frac{1}{3}}$ .

Our function  $f(n)$  will be  $f(n)=0$  if  $n=1$ , 1 otherwise. This will satisfy our requirement that  $f(n)$  be computable for any value of  $n$  in constant time.

Thus, our function  $[f]_n$  will be  $[\zeta(0)-1]_n = [n] - 1$ , also computable for any  $n$  in constant time.

Our function  $[f^k]_n$  will be  $[(\zeta(0)-1)^k]_n$ , defined in (1.4).

And our function  $\nabla[f^k]_n = [f^k]_n - [f^k]_{n-1}$ , which is just  $[(\zeta(0)-1)^k]_n - [(\zeta(0)-1)^k]_{n-1}$  can also be defined as

$$\begin{aligned}
\nabla[(\zeta(0)-1)^k]_n &= \sum_{j|n} \nabla[(\zeta(0)-1)^{k-1}]_j \cdot \nabla[\zeta(0)-1]_{n \cdot j^{-1}} \\
\nabla[\zeta(0)-1]_n &= 1 \text{ if } n > 1, 0 \text{ otherwise} \\
\nabla[(\zeta(0)-1)^0]_n &= 1 \text{ if } n = 1, 0 \text{ otherwise}
\end{aligned}$$

(6.5)

```

dm1[n_,k_]:=Sum[dm1[j,k-1] dm1[n/j,1],{j,Divisors[n]}];dm1[n_,1]:=If[n>1,1,0];dm1[n_,0]:=0;dm1[1,0]:=1
Grid[Table[dm1[n,k],{n,1,50},{k,1,7}]]

```

Applying this all to (6.4), we have

$$\begin{aligned}
[(\zeta(0)-1)^k]_n &= [(\zeta(0)-1)^k]_n + \\
&\sum_{\substack{j=1 \\ j \leq n^{\frac{1}{3}}}}^{\lfloor n^{\frac{1}{3}} \rfloor} [(\zeta(0)-1)^{k-1}]_{n \cdot j^{-1}} \\
&+ \sum_{j=1}^{\lfloor n \cdot \lfloor n^{\frac{1}{3}} \rfloor^{-1} - 1 \rfloor} (\lfloor n \cdot j^{-1} \rfloor - \lfloor n \cdot (j+1)^{-1} \rfloor) \cdot [(\zeta(0)-1)^{k-1}]_j \\
&+ \sum_{j=2}^{\lfloor n^{\frac{1}{3}} \rfloor} \sum_{s=\lfloor n^{\frac{1}{3}} \rfloor \cdot j^{-1} + 1}^{\lfloor n \cdot j^{-1} \rfloor} \sum_{m=1}^{k-1} \nabla[(\zeta(0)-1)^m]_j \cdot [(\zeta(0)-1)^{k-m-1}]_{n \cdot (js)^{-1}} \\
&+ \sum_{j=2}^{\lfloor n^{\frac{1}{3}} \rfloor} \sum_{s=1}^{\lfloor n \cdot j^{-1} \rfloor \cdot \lfloor n \cdot \lfloor n^{\frac{1}{3}} \rfloor^{-1} - 1 \rfloor} (\lfloor n \cdot (js)^{-1} \rfloor - \lfloor n \cdot (j(s+1))^{-1} \rfloor) \cdot \sum_{m=1}^{k-1} \nabla[(\zeta(0)-1)^m]_j \cdot [(\zeta(0)-1)^{k-m-1}]_s
\end{aligned}$$

(6.6)

```

Dm1[n_,k_]:=Dm1[n,k]=Sum[Dm1[n/j,k-1],{j,2,Floor[n]}];Dm1[n_,0]:=UnitStep[n-1]
dm1[n_,k_]:=Dm1[n,k]-Dm1[n-1,k]
Dm1Alt[n_,k_]:=Dm1[n^(1/3),k]+Sum[Dm1[n/j,k-1],{j,Floor[n^(1/3)]+1,n^(1/2)}]+Sum[(Floor[n/j]-Floor[n/(j+1)])Dm1[j,k-1],{j,1,n/Floor[n^(1/2)]-1}]+Sum[dm1[j,m] Dm1[n/(j s),k-m-1],{j,2,n^(1/3)}],{s,Floor[Floor[n^(1/3)]/j]+1,Floor[n/j]^(1/2)},

```

```
{m,1,k-1}]+Sum[(Floor[n/(j s)]-Floor[n/(j(s+1))])(Sum[dm1[j,m] D2[s,k-m-1],{m,1,k-1}]),{j,2,n^(1/3)},
{s,1,Floor[n/j]/Floor[Floor[n/j]^1/2]-1}]
Dm1Alt[n_,1]:=Floor[n]-1
Grid[Table[Dm1[n,k]-Dm1Alt[n,k],{n,10,500,10},{k,1,7}]]
```

It is hopefully not too much of a stretch to suggest that if we already had a table with all values for  $\nabla[(\zeta(0)-1)^j]_n$  up to arguments of  $n^{\frac{1}{3}}$ , for  $2 \leq j \leq k$ , and if we had already had a table with all values of  $[(\zeta(0)-1)^j]_n$  up to arguments of  $n^{\frac{2}{3}}$ , for  $2 \leq j \leq k$ , and taking into account that  $[(\zeta(0)-1)^j]_n = 0$  when  $n < 2^k$ , that (6.6) should be able to compute  $[(\zeta(0)-1)^j]_n$  for any  $k$  in something like  $O(n^{\frac{2}{3}} \log n)$  time complexity.

## 7.6 Sieving

So how would we compute such a table, with values of  $\nabla[(\zeta(0)-1)^j]_n$  up to arguments of  $n^{\frac{1}{3}}$ , and values of  $[(\zeta(0)-1)^j]_n$  up to arguments of  $n^{\frac{2}{3}}$ ?

Well, suppose we had a number in prime factored form,  $n = \prod_{p^a | n} p^a$ . Then we can express  $\nabla[\zeta(0)^z]_n$ , the function from (1.3), as

$$\nabla[\zeta(0)^z]_n = d_z(n) = \prod_{p^a | n} \frac{z^{(a)}}{a!} \quad (6.7)$$

```
dz[n_,z_]:=Product[(-1)^p[[2]] Binomial[-z,p[[2]]],{p,FI[n]};FI[n_]:=FactorInteger[n];FI[1]:={ }
Grid[Table[dz[n,k],{n,1,50},{k,1,7}]]
```

and  $\nabla[(\zeta(0)-1)^k]_n$ , from (6.5), in terms of  $\nabla[\zeta(0)^z]_n$  as

$$\nabla[(\zeta(0)-1)^k]_n = \sum_{j=0}^k (-1)^j \binom{k}{j} \nabla[\zeta(0)^{k-j}]_n \quad (6.8)$$

```
dm1[n_,k_]:=Sum[dm1[j,k-1] dm1[n/j,1],{j,2,Floor[n]}];dm1[n_,1]:=If[n>1,1,0];dm1[n_,0]:=0;dm1[1,0]:=UnitStep[n-1]
dz[n_,z_]:=Product[(-1)^p[[2]] Binomial[-z,p[[2]]],{p,FI[n]};FI[n_]:=FactorInteger[n];FI[1]:={ }
dm1Alt[n_,k_]:=Sum[(-1)^j Binomial[k,j] dz[n,k-j],{j,0,k}]
Grid[Table[dm1[n,k]-dm1Alt[n,k],{n,1,50},{k,1,7}]]
```

and using  $\nabla[(\zeta(0)-1)^k]_n$ , we can express  $[(\zeta(0)-1)^k]_n$  as

$$[(\zeta(0)-1)^k]_n = [(\zeta(0)-1)^k]_{n-1} + \nabla[(\zeta(0)-1)^k]_n \quad (6.9)$$

```
Dm1[n_,k_]:=Sum[Dm1[n/j,k-1],{j,2,Floor[n]}];Dm1[n_,0]:=UnitStep[n-1]
dz[n_,z_]:=Product[(-1)^p[[2]] Binomial[-z,p[[2]]],{p,FI[n]};FI[n_]:=FactorInteger[n];FI[1]:={ }
dm1Alt[n_,k_]:=Sum[(-1)^j Binomial[k,j] dz[n,k-j],{j,0,k}]
Dm1Alt[n_,k_]:=Dm1[n-1,k]+dm1[n,k]
Grid[Table[Dm1[n,k]-Dm1Alt[n,k],{n,1,50},{k,1,7}]]
```

All put together it would look something like this,

```
dz[ n_, z_ ] := Product[ (-1)^p[ [ 2 ] ] Binomial[ -z, p[ [ 2 ] ] ], { p, FI[ n ] }]; FI[ n_ ] := FactorInteger[ n ]; FI[ 1 ] := { }
dm1[ n_, k_ ] := Sum[ (-1)^j Binomial[k, j] dz[ n, k-j ], { j, 0, k } ]
Dm1[ n_, k_ ] := Dm1[ n, k ] = Dm1[ n-1, k ] + dm1[ n, k ]; Dm1[ 0, k_ ] := 0
```

So, if we had some way to get numbers in prime factored form and then applied that process sequentially from 1 to  $n^{\frac{2}{3}}$ , we could use (6.6), (6.7), and (6.8) to build a table of values of  $[(\zeta(0)-1)^k]_n$  up to arguments of  $n^{\frac{2}{3}}$ .

And in fact, we can use a suitable variant of the Sieve of Eratosthenes to do just that.

All told, with sieving and the above three identities, we can compute  $[(\zeta(0)-1)^j]_n$  for  $2 \leq j \leq k$  for arguments from 1 to  $n^{\frac{2}{3}}$  in something like  $O(n^{2/3} \log n)$  time and  $O(n^{2/3} \log n)$  space.

We can improve our performance bound to  $O(n^{1/3} \log n)$  space if we use a segmented sieve and re-order the way that (6.6) is calculated so that values of  $[(\zeta(0)-1)^k]_n$  are applied from smallest arguments to largest, with that application interleaved with sieving of blocks of size  $n^{\frac{1}{3}}$ .

## 7.7 Notes and Implementations of the Ideas in This Section

The identity (6.6), coupled with sieving, computes  $[(\zeta(0)-1)^k]_n$ . We can then use our identity (D1),

$$[\zeta(0)^z]_n = \sum_{k=0}^{\lfloor \log_2 n \rfloor} \binom{z}{k} [(\zeta(0)-1)^k]_n$$

to compute the generalized divisor function  $[\zeta(0)^z]_n$  for any  $z$ , or our identity (P3) to compute the Riemann Prime counting function as

$$\Pi(n) = \sum_{k=1}^{\lfloor \log_2 n \rfloor} \frac{(-1)^{k+1}}{k} [(\zeta(0)-1)^k]_n$$

Computing  $[(\zeta(0)-1)^j]_n$  for  $2 \leq j \leq k$  at once, in bulk, presents opportunities for caching and simplification. Thus, the above process can be used to compute  $[\zeta(0)^z]_n$  for any  $z$ , as well as  $\Pi(n)$ , in something like  $O(n^{2/3} \log n)$  time and  $O(n^{1/3} \log n)$  space.

A C implementation of this algorithm, being used to count primes in the advertised time and space bounds of  $O(n^{2/3} \log n)$  time and  $O(n^{1/3} \log n)$  space can be found at <http://www.iccreambreakfast.com/primecount/primescode.html>. Further descriptions of this technique, with better justifications of the combinatorial identities, can be found in [http://www.iccreambreakfast.com/primecount/PrimeCounting\\_NathanMcKenzie.pdf](http://www.iccreambreakfast.com/primecount/PrimeCounting_NathanMcKenzie.pdf)