

# Epistemic Semantics in Guarded String Models

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## Abstract

Constructive and computable multi-agent epistemic possible worlds models are defined, where possible worlds models are guarded string models in an epistemic extension of Kleene Algebra with Tests. The account is framed as a formal language Epik (Epistemic KAT) for defining such models. The language is implemented by translation into the finite state calculus, and alternatively by modeling propositions as lazy lists in Haskell. The syntax-semantics interface for a fragment of English is defined by a categorial grammar.

## 1 Introduction and Related Work

Linguistic semantics in the Montague tradition proceeds by assigning propositional *semantic values* to disambiguated sentences of a natural language. A proposition is a set or class of *possible worlds*. These are often assumed to be things with the same nature and complexity as the world we occupy (?). But alternatively, one can work with small idealized models, in order to illustrate and test ideas. The point of this paper is to scale up toy or idealized models to countable sets of worlds, and to constructive and computable modeling of epistemic alternatives for agents. We describe a certain systematic way of defining such models, and illustrate how to apply them in natural language semantics. The focus is on epistemic semantics and clausal embedding. The fundamental move is to identify possible worlds with strings of primitive events, so that propositions are sets of strings. An advantage in this is that it allows for a mathematical description of an algebra of propositions, coupled with a computational representation using either lazy lists of strings, or finite state machines that describe sets of strings.

The approach taken here synthesizes five antecedents in a certain way. John McCarthy's *Sit-*

*uation Calculus* is the source of the idea of constructing possible worlds as event sequences (??). The algebraic theory of *Kleene Algebra with Tests* characterizes algebras with elements corresponding to propositions and event types in our application (?). *Action models* in dynamic epistemic semantics introduce the technique of constructing epistemic models from primitive alternative relations on events, in order to capture the epistemic consequences of perceptual and communicative events (?). Literature on *finite state methods in linguistic semantics* has used event strings and sets of event strings to theorize about tense and aspect in natural language semantics (???) and to express intensions (?). Work on *finite state intensional semantics* has investigated how to do the semantics of intensional complementation in a setting where compositional semantics is expressed in a finite state calculus (??).

A running example of an event-sequence model is *The Concealed Coin*. Amy and Bob are seated at a table. There is a coin on the table under a cup, heads up. The coin could be heads or tails, and neither agent knows which it is. This initial situation is possible world  $w_1$ . Two additional worlds  $w_2$  and  $w_3$  are defined by sequencing events after the initial state, with events interpreted as in (1). The truth values for English sentences shown in (3) are observed.

- (1)  $a_1$  Amy peeks at heads, by tipping the cup. Bob sees she's peeking, but not what she sees.

- $b_1$  Bob peeks at heads.  
 $a_0$  Amy peeks at tails.  
 $b_0$  Bob peeks at tails.

- (2)  $w_2 = w_1 a_1 \quad w_3 = w_1 a_1 b_1$

100	(3)	$w_1$	$w_2$	$w_3$	Sentence	
101		false	true	true	Amy knows that it's	
102					heads.	
103		false	false	true	Bob knows thats it's	
104					heads.	
105		false	false	true	Bob knows Amy	
106					knows it's heads.	
107		false	true	true	Bob knows Amy	
108					knows whether it's	
109					heads or tails.	

The events come with pre-conditions. Amy can peek at heads only if the coin is heads up, so  $a_1$  has the precondition of the coin being heads up. Let  $h$  be the Boolean proposition that the coin is heads up. Then preconditions can be described by Boolean formulas, with  $h$  being the precondition of  $a_1$   $u$ . Events come as well with a relation between prior and following state, for instance with  $u$  incrementing the floor. This is expressed using an operator “ $:$ ” (read “and next”) that pairs Boolean formulas. The formula  $h : h$  describes  $a_1$  (Amy looking at heads) as happening only in an  $h$  state, and as not changing the state. Symmetrically,  $a_0$  (Amy looking at tails) can happen only in a not- $h$  state, and does not change the state ( $\bar{h}:\bar{h}$ ).

## 2 Epistemic guarded string models

Suppose that in the coin example, we have an additional primitive stative proposition (or atomic test)  $t$ , interpreted as tails. A sequence such as  $\bar{h}t$  can be viewed as a valuation of primitive propositions, which is used to describe world state. The primitives are listed in fixed order, and left unmarked (indicating true) or marked with the overbar (indicating false). Since a coin is heads or tails but not both, we want to allow the valuations  $h\bar{t}$  and  $\bar{h}t$ , and disallow  $ht$  and  $\bar{h}\bar{t}$ . This is enforced by a *state formula*, which is a Boolean formula, in this case the one given on the second line of (4). Where  $B$  is a set of atomic tests and  $\phi$  is a state constraint over  $B$ ,  $\mathcal{A}_B^\phi$  is the set of valuations of  $B$  that make formula  $\phi$  true. Valuations are called atoms, because they correspond to the atoms of a Boolean algebra of tests (?).

Formulas like the ones in (??) that describe pre- and post-conditions are *effect formulas*. They are interpreted as defining relations between atoms, as defined in Figure 1. The atoms they relate are constrained by the state formula as well. For the heads-tails example, let the state formula and the effect formula for  $a_1$  (Amy peeking at heads) be as

state formulas	$(a \in B)$	150
$\rho, \sigma, \varphi ::= a \mid 0 \mid 1 \mid \rho + \sigma \mid \rho\sigma \mid \bar{\rho}$		151
effect formulas		152
$\zeta, \eta ::= \rho : \sigma \mid \zeta + \eta \mid \zeta \& \eta \mid \bar{\zeta}$		153
$\llbracket \rho : \sigma \rrbracket^\varphi = \mathcal{A}_B^{\rho\varphi} \times \mathcal{A}_B^{\sigma\varphi}$		154
$\llbracket \zeta + \eta \rrbracket^\varphi = \llbracket \zeta \rrbracket^\varphi \cup \llbracket \eta \rrbracket^\varphi$		155
$\llbracket \zeta \& \eta \rrbracket^\varphi = \llbracket \zeta \rrbracket^\varphi \cap \llbracket \eta \rrbracket^\varphi$		156
$\llbracket \bar{\zeta} \rrbracket^\varphi = \mathcal{A}_B^\varphi \times \mathcal{A}_B^\varphi \setminus \llbracket \zeta \rrbracket^\varphi$		157

Figure 1: Syntax of state formulas and syntax and semantics of effect formulas. Effect formulas denote relations between atoms. In a state formula, juxtaposition  $\rho\sigma$  is conjunction.

specified in (4). Then  $\mathcal{A}_B^\varphi$  and the relation on atoms for the event  $a_1$  are as given at the bottom in (4).

(4)	$B$	$\{h, t\}$	165
	state formula $\varphi$	$h\bar{t} + \bar{h}t$	166
	effect formula $\zeta$ for $a_1$	$h : h$	167
	$\mathcal{A}_B^\varphi$	$\{h\bar{t}, \bar{h}t\}$	168
	$\llbracket \zeta \rrbracket^\varphi$	$\{\langle h\bar{t}, \bar{h}t \rangle\}$	169

Epik is a specification language for possible worlds models that includes declarations of events and states, state formulas, effect formulas, and additional information. Figure 2 shows an Epik program that describes a possible worlds model for two agents with information about one coin, and events of the agents semi-privately looking at the coin. The line beginning with `state` enumerates  $B$ . The line beginning with `restrict` gives the state formula. The lines beginning with `event` declare events and their effect formulas. Finally the lines beginning with `agent` define *event alternative* relations for agents. Each clause with an arrow has a single event symbol on the left, and a disjunction of alternative events on the right of the arrow. The interpretation of Amy’s alternatives for  $b_1$  (Bill peeks at heads), is that when  $b_1$  happens, for Amy either  $b_1$  or  $b_0$  (Bill peeks at tails) could be happening.

This paper focuses on defining a concrete possible worlds model from an Epik specification. The models are an extension of guarded-string models for Kleene Algebra with Tests (KAT). This is an algebraic theory that has model classes including guarded string models, relational models, finite models, and matrix models. Our definitions and notation follow (?). We add syntax and semantics is included to cover multi-agent epistemic semantics.

Guarded strings over a finite alphabet  $P$  are like ordinary strings, but with atoms over a set  $B$  al-

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agent aly
state h t
restrict h!t + t!h
event a1 h:h
event a0 t:t
event b1 h:h
event b0 t:t
agent bob
a1 -> a1
a0 -> a0
b1 -> b1 + b0
b0 -> b1 + b0
b1 -> b1
b0 -> b0
a1 -> a1 + a0
a0 -> a1 + a0

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Figure 2: Epik program describing a possible-worlds event sequence model for two agents with information about one coin, and events of the agents semi-privately looking at the coin.

ternating with the symbols from  $P$ . In the algebra described by Figure 2,  $P$  is the set of events  $\{a_1, a_0, b_1, b_0\}$ , and  $B$  is  $\{h, t\}$ .

In the coin example, as we already saw in (4),  $\mathcal{A}_B^\varphi$  is  $\{h\bar{t}, \bar{h}t\}$ , for which we use the shorthand  $\{H, T\}$ . A guarded string over  $P$  and  $B$  is a strings of events from  $P$ , alternating with atoms over  $B$ , and beginning and ending with atoms. (??) gives the encoding as guarded strings of the worlds in (??) and (1). The length of a guarded string  $p$ , written  $|p|$  is the number of events in  $p$ . An atom such as  $H$  is a guarded string of length 0. Correspondingly  $|w_1| = 0$ ,  $|w_2| = 1$  and  $|w_3| = 2$ .

The discussion of (2) mentioned building worlds by incrementing worlds with events. This is accomplished in guarded string models with fusion product  $\diamond$ , a partial operation that combines two guarded strings, subject to the condition that the atom at the end of the first argument is identical to the atom at the start of the second one. (5) gives some examples.

$$(5) \quad H b_1 H \diamond H a_1 H = H b_1 H a_1 H \\ H \diamond T a_1 T = \text{undefined}$$

Rather than individual guarded strings, elements of a guarded string model for KAT are sets of guarded strings. In our application, these elements have the interpretation of propositions, which are sets of possible worlds. In a free guarded string model for KAT, any event can be adjacent to any atom in a guarded string that is an element of the underlying set for the algebra. We instead impose the constraints coming from the state and effect formulas. (6) defines the well-formed guarded strings determined by and Epik specification. Condition (i) says that each atom is consistent with the state constraint, and condition (ii) says that each constituent token event  $\alpha_i e_i \alpha_{i+1}$  is consistent with the

effect constraint on  $e_i$ .<sup>1</sup>

- (6) Given  $P$ ,  $B$ , a state formula  $\varphi$ , and an effect formula  $\zeta_e$  for each event  $e$  in  $P$ ,  $\alpha_0 e_0 \dots e_n \alpha_{n+1}$  is well-formed iff
- (i)  $\alpha_i \in \mathcal{A}_B^\varphi$  ( $0 \leq i \leq n$ ), and
  - (ii)  $\langle \alpha_i, \alpha_{i+1} \rangle \in [\zeta_e]^\varphi$ , ( $0 \leq i \leq n$ ).

Well-formed guarded strings have the interpretation of worlds in the application to natural-language semantics. The set of possible worlds in the Kripke frame determined by an Epik specification is the set of well-formed guarded strings. At this point, we could say that any set of worlds is a proposition, so that the set of propositions is the power set of the set of worlds (??). We will instead define a more restrictive set of propositions corresponding to the regular sets of strings. This is deferred to the next section. Certain sets of well-formed guarded strings have the additional interpretation of event types. An event-type is something that can “happen” in different worlds. For example,  $a_1$  has the event type  $\{H a_1 H\}$ , and  $a_0$  has the even type  $\{T a_0 T\}$ . The event types for  $b_0$  and  $b_1$  are analogous.

The construction so far defines a set of worlds from an Epik specification. Normally the set is countably infinite, though some choices of effect formulas can result in a finite set of worlds. The next step is to define an alternative relation  $R_a$  on worlds for each agent  $a$ . This will result in a Kripke frame  $\langle W, R_1, \dots, R_n \rangle$  consisting of a set of worlds, and a world-alternative relation for each agent (?). An Epik specification defines an alternative relation on bare events for each agent  $a$ , which we denote as  $\hat{R}_a$ . This should be lifted to a relation  $R_a$  on worlds. The basic idea is that when a world  $w$  is incremented with an event  $e$ , in the resulting world  $w \diamond e$ , epistemic alternatives for agent  $a$  are of the form  $w' \diamond e'$ , where  $w'$  is an alternative to  $w$  for  $a$  in  $w$ , and  $e'$  is an event-alternative to  $e$  for  $a$ .<sup>2</sup>

<sup>1</sup> An alternative is to define equations such as  $\bar{\phi} = 0$  (from the state formula  $\phi$ ) and  $a_1 = ha_1h$  (from the effect formula  $h : h$  for event  $a_1$ ), and construct a quotient algebra from the equivalence relation generated by these equations. This results in equating sets of guarded strings in the free algebra that differ by guarded strings that are ill-formed according to the state and effect formulas. In the development in the text, we instead use a set of guarded strings that are well-formed according to the state and effect formulas as the representative of the equivalence class.

<sup>2</sup> In this it is important that the event-alternative relation for an agent is constant across worlds. We anticipate that the definition given here produces results equivalent to what is found in literature on event alternatives in dynamic epistemic

300	events	$e \in P$	
301	states	$\sigma$ as in Figure 1	
302	$p, q$	$::= e \mid \sigma \mid p + q \mid pq \mid p^* \mid \neg p \mid \diamond_a p$	
303	$\square_a p$	$\triangleq \neg \diamond_a \neg p$	
304	$p \wedge q$	$\triangleq \neg (\neg p + \neg q)$	
305	$\bullet$	$\triangleq \sum_{e \in P} e$	
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Figure 3: The language of Epik terms and key derived operators.

This needs to be implemented in a way that takes account of pre- and post-conditions for events. For this, our approach is to refer the definition of well-formed guarded strings. (7) defines a relation on worlds from a relation on bare events.

- (7) Let  $W$  be a set of guarded strings over events  $P$  and primitive tests  $B$ , and  $\hat{R}$  be a relation on  $P$ . The corresponding relation  $R$  on  $W$  holds between a guarded string  $\alpha_0 e_0 \dots e_n \alpha_{n+1}$  in  $W$  and a guarded string  $q$  iff  $q$  is an element of  $W$  and is of the form  $\alpha'_0 e'_0 \dots e'_n \alpha'_{n+1}$ , where for  $0 \leq n$ ,  $\langle e_i, e'_i \rangle \in \hat{R}$ .

This requires that in an alternative world, each constituent event  $e'_i$  is an alternative to the event  $e_i$  in the base world. Compatibilities between events in the alternative world are enforced by the requirement that the alternative world is an element of  $W$ , so that state and effect formulas are enforced.

Consider a scenario like the one from Figure 1, but with an additional agent Cal. The base world  $Tb_0 T c_0 T$  is one where the coin is tails, and first Bob looks at tails, and then Cal looks at tails. The first event  $b_0$  has the alternatives  $b_0$  and  $b_1$  for Amy, and the second event  $c_0$  has the alternatives  $c_0$  and  $c_1$  for Amy. This results in four combinations  $b_0 c_0$ ,  $b_0 c_1$ ,  $b_1 c_0$ , and  $b_1 c_1$ . But these are filtered by post- and pre-conditions of events in the alternative world, so that the set of alternatives for Amy in  $Tb_0 T c_0 T$  is  $\{Tb_0 T c_0 T, H b_1 H c_1 H\}$ , with two world-alternatives instead of four.

### 3 The logical language of Epistemic KAT

The standard language for Kleene algebra with tests has the signature  $\langle K, +, \cdot, *, \bar{\cdot}, 0, 1 \rangle$  (?). In

semantics, though we have not verified this. That literature primarily focuses on mapping an epistemic model for a single time and situation to another, and uses general first-order models, rather than guarded string models. See ?, ?, and articles in ?. Previous literature is motivated by epistemic logic and AI planning, rather computable possible worlds models in natural language semantics.

a guarded string model for KAT,  $K$  is a set of sets of guarded strings,  $+$  is set union, the operation  $\cdot$  is fusion product raised to sets,  $*$  is Kleene star, the operation  $\bar{\cdot}$  is complement for tests,  $0$  the empty set, and  $1$  is the set of atoms.<sup>3</sup> To this we add a unary modal operation  $\diamond_a$  for each agent, and a unary complement operation  $\neg$  on elements of  $K$ . Intuitively,  $\diamond_a p$  is the set of worlds where proposition  $p$  is epistemically possible for agent  $a$ . Propositional complement is included because natural languages have sentence negation. In addition, universal box modalities are defined as duals of existential diamond modalities.

With modalities and propositional negation added, the signature of  $n$ -agent epistemic KAT is  $\langle K, +, \cdot, *, \bar{\cdot}, 0, 1, \neg, \diamond_1 \dots \diamond_n \rangle$ . Figure 3 defines the syntax of the language. Juxtaposition is used for product. Terms in this language are used to represent the propositional semantic values of English sentences. (8) gives some examples. To explain the first one  $\bullet$  as defined in Figure 3 is the disjunction of the primitive events. Since a world is a well-formed sequence of events,  $\bullet^*$  is the set of worlds. Multiplying by the state symbol  $h$  in the term  $\bullet^* h$  has the effect of conjoining  $h$  with the atom at the end of the world. So  $\bullet^* h$  is the set of worlds where the coin is heads.<sup>4</sup>

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| (8) | $\bullet^* t$  | It's tails.                                  |
|     | $\bullet^* h$  | It's heads.                                  |
|     | $\square_a \bullet^* h$  | Amy knows that it's heads.                   |
|     | $\square_b (\square_a \bullet^* t + \square_a \bullet^* \neg t)$ | Bob knows that Amy knows whether it's tails. |

A term  $p$  of the logical language is interpreted as a set of guarded strings  $\llbracket p \rrbracket^{B, P, \varphi, \zeta}$ , where superscript captures dependence on an Epik specification. Figure 4 defines the interpretation. The interpretation  $\llbracket 1 \rrbracket^{B, P, \varphi, \zeta}$  of the multiplicative identity 1 is the set of atoms that satisfy the state constraint  $\varphi$ . Where  $b$  is a primitive Boolean,  $\llbracket b \rrbracket^{B, P, \varphi, \zeta}$  is the set of atoms that satisfy the state constraint and where  $b$  is true. Where  $e$  is a primitive event,  $\llbracket e \rrbracket^{B, P, \varphi, \zeta}$  is the set of guarded strings that have the form of  $e$  flanked by compatible atoms, as determined by the event formula  $\zeta_e$ . The product  $pq$  is interpreted with fusion product raised to sets of guarded strings.

<sup>3</sup>0 has the dual role the identity for + (union), and as False for operations on tests. 1 has the dual role of the identity for product (fusion product raised to sets), and True for tests.

<sup>4</sup>A mapping from English sentences to logical terms in epistemic KAT is presented in Section 6

400	$\llbracket 0 \rrbracket^{B,P,\varphi,\zeta} \triangleq \emptyset$
401	$\llbracket 1 \rrbracket^{B,P,\varphi,\zeta} \triangleq A_B^\varphi$
402	$\llbracket b \rrbracket^{B,P,\varphi,\zeta} \triangleq A_B^{b\varphi}$
403	$\llbracket \sigma \rrbracket^{B,P,\varphi,\zeta} \triangleq A_B^\varphi \setminus \llbracket \sigma \rrbracket^{B,P,\varphi,\zeta}$
404	$\llbracket e \rrbracket^{B,P,\varphi,\zeta} \triangleq \{ae\beta \alpha\zeta_e\beta\}$
405	$\llbracket p + q \rrbracket^{B,P,\varphi,\zeta} \triangleq \llbracket p \rrbracket^{B,P,\varphi,\zeta} \cup \llbracket q \rrbracket^{B,P,\varphi,\zeta}$
406	$\llbracket pq \rrbracket^{B,P,\varphi,\zeta} \triangleq \left\{ x \diamond y \mid \begin{array}{l} x \in \llbracket p \rrbracket^{B,P,\varphi,\zeta} \\ y \in \llbracket q \rrbracket^{B,P,\varphi,\zeta} \\ x \diamond y \text{ is defined} \end{array} \right\}$
407	$\llbracket p^* \rrbracket^{B,P,\varphi,\zeta} \triangleq \bigcup_{n \geq 0} \llbracket p^n \rrbracket^{B,P,\varphi,\zeta}$
408	$\llbracket \neg p \rrbracket^{B,P,\varphi,\zeta} \triangleq \llbracket \bullet^* \rrbracket^{B,P,\varphi,\zeta} \setminus \llbracket p \rrbracket^{B,P,\varphi,\zeta}$
409	$\llbracket \diamond_a p \rrbracket \triangleq \{x \mid \exists y. x \hat{R}_a y \wedge y \in \llbracket p \rrbracket^{B,P,\varphi,\zeta}\}$
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Figure 4: Interpretation of Epik terms as sets of guarded strings

Kleene star is interpreted as the union of exponents ( $p^n$  is the  $n$ -times product of  $p$  with itself, with  $p^0 = 1$ ). Propositional complement is complement relative to the set of worlds. The epistemic formula  $\diamond_a p$  is interpreted with Kripke semantics for epistemic modality, as the pre-image of the embedded proposition under the world-alternative relation  $R_a$ .

Summing up, given an Epik specification  $B, P, \varphi, \zeta$ , term  $p$  (as defined syntactically in Figure 3) is interpreted as a set of guarded strings  $\llbracket p \rrbracket^{B,P,\varphi,\zeta}$ . Let  $K^{B,P,\varphi,\zeta}$  be the sets that are interpretations of terms. Then  $\langle K^{B,P,\varphi,\zeta}, +, \cdot, *, \bar{,}, 0, 1, \neg, \diamond_{a_1}, \dots, \diamond_{a_n} \rangle$  is a concrete guarded string interpretation for the signature of epistemic KAT, with operations as in Figure 4 (e.g. the binary operation  $+$  is union, and the unary operation  $\diamond_a$  is pre-image relative to  $\hat{R}_a$ ). This provides a concrete  $n$ -agent Kripke frame  $\langle \llbracket \bullet^* \rrbracket^{B,P,\varphi,\zeta}, \hat{R}_1, \dots, \hat{R}_n \rangle$ .<sup>5</sup> The frame consists of a set of worlds, and an epistemic-alternative relation for each agent. It is used as a target for natural-language interpretation in Section 6.

## 4 Translation into the finite state calculus

The finite state calculus is an algebra of regular sets of strings and regular relations between strings that was designed for use in computational phonology and morphographemics (??). Current implementations allow for the definition of functions that have the status of defined operations on regular sets and relations (??). Such definitions are used here

<sup>5</sup> The domain of the Kripke frame differs from the domain of the guarded string model, because the former is the set of worlds, while the latter is the set of propositions.

450	St
451	Atomic Tests such as 0110.
452	UnequalStPair
453	Sequence of two unequal tests such as 0110 0111.
454	define Wf0 ~[\$ UnequalStPair];
455	String that doesn't contain a non-matching test pair.
456	define Squash St -> 0    St _;
457	Rewrite relation deleting the second of two tests.
458	define Cn(X, Y)
459	[[[X Y] & Wf0] .o. Squash].1;
460	KAT product.
461	define Kpl(X)
462	[[[X+] & Wf0] .o. Squash].1;
463	KAT Kleene plus and Kleene star. The Fst operation   is union.
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Figure 5: Definition in Fst of KAT product and KAT Kleene star. Where X and Y are regular sets and R and S are regular relations, X & Y is the intersection of X and Y, X | Y is the union of X and Y,  $\sim X$  is the complement of X, R .o. S is the composition of R and S, R.1 is the co-domain of R, and \$X is the set of strings that have a substring in X.

to construct of a model for epistemic KAT inside the finite state calculus. The space of worlds is a set of ordinary strings. Bit sequences (sequences of 0's and 1's) are used for atoms, and these alternate with event symbols in the encoding of a world. (9) gives the encoding of worlds from the example. A string is a finite sequence of symbols, and 0, 1, u, and d, are symbols. a0 and b0 are multi-character symbols that are found in implementations of the finite state calculus. The multi-character symbol a0 is an element of the alphabet that has no connection with the element a.

### (9) Worlds coded as strings

481	World	String
482	$w_1$	1 0
483	$w_2$	1 0 a1 1 0
484	$w_3$	1 0 a1 1 0 b1 1 0
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Terms in the finite state calculus are interpreted as sets of strings, or for relational terms, as relations between strings. Computationally, the sets and relations are represented by finite state acceptors. As used here, a program in the Fst language of the finite state calculus is a straight-line program that defines a sequence of constants naming sets, constants naming relations, and functions (defined as macros) mapping one or more regular sets or relations to a regular set or relation. The finite state calculus has a product operation of string concatenation raised to sets. Concatenation of strings with atoms (Boolean vectors) at both ends has the effect

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500 define RelKpl(R)
501   Squash.i . o . Wf0 . o . [R+] . o . Wf0 . o . Squash
502   c   b   a   b   c
503   a Relational Kleene plus in the string algebra
504   b Constrain domain and co-domain to contain
505     no unmatched tests.
506   c Reduce doubled tests to a single
507     test in the domain and co-domain.
508
509 define Kst(R) [St . x . St] | Kpl(X);
510   The Fst operation .x. is Cartesian product. R.i is the
511     inverse of relation R.

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Figure 6: Definition in Fst of the Kleene concatenation closure of a relation between guarded strings.

of doubling atoms at the juncture, and does not enforce matching of atoms at the juncture. Therefore KAT product can not be identified with product in the finite state calculus. Instead, KAT product and KAT Kleene star are defined operations, see Figure 5. The binary product operation  $C_n$  and the unary Kleene star operation  $Kst$  combine strings in the string algebra, remove strings with non-matching atoms, and then delete the second of two tests to create well-formed guarded strings. Matching of atoms is enforced with the set  $Wf0$ , which is the set of strings that do not contain non-matching Boolean vectors. The containment operator (expressed by the dollar sign) and complement (expressed by tilde) are operators of the finite state calculus. The set of non-matching sequences of atoms  $UnequalStPair$  is defined by a finite disjunction. Deletion is accomplished by a re-write rule in the finite state calculus, which is a notation for defining regular relations by contextually constrained substitutions. In this case, is  $Squash$  is a regular relation that deletes an atom (a sequence of 0's and 1's of a certain length) when it follows an atom.<sup>6</sup>

An event symbol such as  $a_1$  (Amy looks at heads) is in the KAT algebra a set of bare events decorated with compatible tests on each side,  $\{10a_110\}$  in this case. This is a unit set rather than a guarded string, because elements of the KAT algebra are sets. Worlds in the KAT algebra are defined by sequencing events using  $Kst$ . The operation enforces compatibility of states, so that  $(a_1 + a_0)(b_1 + b_0)$  contains two worlds rather than four. The program in Figure 2 as interpreted in FST

<sup>6</sup> This is a non-equal length regular relation. The finite state calculus includes such relations, and they can be used with relation composition and relation domain and co-domain. They are restricted in that the complement and set difference for non-equal length relations is not defined. The epistemic alternative relations that are defined in Figure – are equal-length relations.

defines a countably infinite set of possible worlds by KAT Kleene closure as  $Kst(a_1 + a_0 + b_1 + b_0)$ .

It remains to define an epistemic alternative relation on worlds for each agent. The relevant information in Figure 2 is a relation between bare events for each agent. This determines a relation in the guarded string algebra a relation between bare events decorated with compatible tests. For agent Amy, this is the relation described in (10) as a set of ordered pairs.

$$(10) \left\{ \begin{array}{l} \langle 10a_110, 10a_110 \rangle, \langle 01a_001, 01a_001 \rangle, \\ \langle 10b_110, 10b_110 \rangle, \langle 10b_110, 01b_001 \rangle, \\ \langle 01b_001, 10b_110 \rangle, \langle 01b_001, 01b_001 \rangle \end{array} \right\}$$

The relation on decorated events needs to be generalized to a relation of worlds. The principle for this is that an epistemic alternative to a world of the form  $we$  is a world of the form  $vd$ , where  $v$  is a world-alternative to  $w$ ,  $d$  is an event-alternative to  $e$ , and  $vd$  is defined (i.e. the world alternative  $v$  satisfies the pre-conditions of the event alternative  $d$ ). This principle is found in earlier literature (Moore 198x, Baltag, Moss and Solecki 20xx). In the construction in Fst, the definition of world alternatives takes a simple form. Where  $R_a$  is the relation on decorated events for agent  $a$ , the corresponding relation on worlds in is the Kleene closure of  $R_a$ . Where  $R$  and  $S$  are relations, the concatenation product of  $R$  and  $S$  is the set of pairs of the form  $\langle x_1x_2, y_1y_2 \rangle$ , where  $\langle x_1, y_1 \rangle$  is in relation  $R$ , and  $\langle x_2, y_2 \rangle$  is in relation  $S$ . The Kleene closure of relation  $R$  is  $\bigcup_{n \geq 0} R^n$ , where  $R^n$  is the  $n$ -times concatenation product of  $R$  with itself (the 0-times concatenation product is  $\llbracket 1 \rrbracket^{\varphi, \mathcal{E}}$ ). This is an operation in the finite state calculus. Figure ?? defines the corresponding operation in the guarded string algebra. The epistemic alternative relation on worlds for an agent is then defined as the concatenation closure of the event alternative relation for the agent.

## 5 Bounded Lazy Interpretation

We also implement the semantics of Epik terms using lazy lists (?) in Haskell, rather than the direct interpretation as sets. Unfortunately, regular expressions, and hence also Epik, can denote infinite sets of strings, for example the term  $\bullet^*$ . Normally regular languages are represented using a finite coalgebra (). However the non-distributivity of  $\diamond$  accross ; complicates this construction<sup>7</sup>. To

<sup>7</sup>Axiomatic and Coalgebraic models for Epik are open questions

600 sidestep this, we parameterize the interpretation  
 601 function on a positive integer  $n$  and only produce  
 602 guarded strings of length  $n$  or less.

603 We translate the Epik terms into a Haskell alge-  
 604 braic datatype that represents terms with the same  
 605 signature as described in Section 2. We then para-  
 606 meterize the interpretation function on an integer  $n$   
 607 that describes the maximum length of a string we  
 608 will produce.

609 The bounded interpretation into lists of strings  
 610 is very similar to the unbounded interpretation into  
 611 sets of strings, except for the bounds checking. The  
 612 full details are shown in Figure ???. First note that  
 613 when  $n = 0$ , we simply return the empty list, de-  
 614 noted  $[]$ . Terms of the form  $0$ ,  $1$ ,  $e$ , and  $\psi$  have the  
 615 same denotation as before, translated into a list (for  
 616 a set  $X$ ,  $[X]$  is a list with the same elements as  
 617  $X$  arbitrarily ordered). We compute these lists us-  
 618 ing BBDs, a standard technique for concisely and  
 619 canonically representing boolean functions (?).

620 We lift the remaining operators (except Kleene  
 621 star) to their list equivalents: union becomes list  
 622 append (written  $++$ ); concatenation becomes the  
 623 fusion product (lifted to lists this time), negation  
 624 is implemented using list difference ( $\setminus$ ), and the  
 625 modal operator lifts the alternative relation over  
 626 lists of strings<sup>8</sup>. The only caveat to these direct  
 627 interpretations is that we restrict the operators to  
 628 have size  $\leq n$ , denoted as  $l|_n$  for a list of guarded  
 629 strings  $l$ .

630 The denotation of  $p^*$  uses the fact that  $p^*$  and  
 631  $1 + p; p^*$  are equivalent, and decrements the size  
 632 threshold on the recursive denotation of  $p^*$  by  $i$ ,  
 633 where  $i$  is the length of the longest (nonzero) string  
 634 in the denotation of  $p$ , making sure to filter out  
 635 guarded strings that are too long.

636 The lists we use here are *lazy* (as opposed to  
 637 *strict*), which broadly means that computation is  
 638 delayed until the value is needed. This allows us to  
 639 avoid computing large, unnecessary iterations.

## 6 Syntax-semantics interface

640 English sentences are mapped to terms in the logi-  
 641 cal language via an interpreted grammar, and these  
 642 terms are in turn interpreted as propositions (sets  
 643 of possible worlds). The grammar is a semanti-  
 644 cally interpreted multi-modal categorial grammar,  
 645 consisting of a lexicon of words, their categorial

646 <sup>8</sup>Figure ?? depicts this using the list comprehension nota-  
 647 tion, which is analogous to set builder notation, except that it  
 648 is written using square brackets. Element order is evoked by  
 649 the keyword `for`, rather than using the unordered `forall`.

$(\langle p \rangle)_0^{B,P,\phi,\zeta} \triangleq$	$[]$	650
$(\langle 0 \rangle)_n^{B,P,\phi,\zeta} \triangleq$	$[]$	651
$(\langle 1 \rangle)_n^{B,P,\phi,\zeta} \triangleq$	$[A_B^\varphi]$	652
$(\langle e \rangle)_n^{B,P,\phi,\zeta} \triangleq$	$[\alpha e \beta \mid \alpha \zeta_e \beta]$	653
$(\langle b \rangle)_n^{B,P,\phi,\zeta} \triangleq$	$[A_B^{b\psi}]$	654
$(\langle p+q \rangle)_n^{B,P,\phi,\zeta} \triangleq$	$(\langle p \rangle)_n^{B,P,\phi,\zeta} ++ (\langle q \rangle)_n^{B,P,\phi,\zeta}$	655
$(\langle p; q \rangle)_n^{B,P,\phi,\zeta} \triangleq$	$((\langle p \rangle)_n^{B,P,\phi,\zeta} \diamond (\langle q \rangle)_n^{B,P,\phi,\zeta}) _n$	656
$(\langle p^* \rangle)_n^{B,P,\phi,\zeta} \triangleq$	$[] + ((\langle p \rangle)_n^{B,P,\phi,\zeta} \diamond (\langle p^* \rangle)_{n-i}^{B,P,\phi,\zeta}) _n$	657
	where $i = \max\{1, \min\{ g  \mid g \in (\langle p \rangle)_n^{B,P,\phi,\zeta}\}\}$	658
$(\langle \neg p \rangle)_n^{B,P,\phi,\zeta} \triangleq$	$(\langle \bullet^* \rangle)_n^{B,P,\phi,\zeta} \setminus (\langle p \rangle)_n^{B,P,\phi,\zeta}$	659
$(\langle \Diamond_a p \rangle)_n^{B,P,\phi,\zeta} \triangleq$	$[g' \mid g' \hat{R}_a g, \text{ for } g \text{ in } (\langle p \rangle)_n^{B,P,\phi,\zeta}]$	660

661 Figure 7: Bounded interpretation using lazy lists

662 types, and interpretations in a logical lambda lan-  
 663 guage. The grammar covers basic statives (it’s  
 664 heads), that- and whether-complements of *know*,  
 665 sentence negation, and predicate and sentence con-  
 666 junction. Figure 8 gives illustrative lexical en-  
 667 tries.<sup>9</sup><sup>10</sup> The grammar and semantics are optimized  
 668 for a simple fragment of English concerned with  
 669 clausal complementation. The agent names *Amy*  
 670 and *Bob* contribute the epistemic alternative re-  
 671 lations for those agents, rather than individuals.  
 672 This is possible because the agents are never ar-  
 673 guments of extensional predicates. The root verb  
 674 *know* contributes existential modal force. The com-  
 675plementizers *that* and *whether* are the heads of their  
 676 dominating clauses, and assemble an alternative re-  
 677 lation, modal force, and proposition contributed  
 678 by the complement. These complementizers intro-  
 679 duce the dual via two negations, in order to express  
 680 universal modal force.

681 Multimodal categories such as  $\setminus_D$  and  $\setminus_M$  are  
 682 used to control the derivation. The semantic trans-  
 683 lations in the third column of Figure 8 use the Epik  
 684 term language, incremented with lambda. The body  
 685 of  $\lambda x. \bullet^* h$ , which is the semantic lexical entry for  
 686 *heads*, is a term denoting the set of all worlds where  
 687 the coin is heads, expressed as the set of all guarded  
 688 strings that end with a Boolean valuation where the  
 689 primitive proposition  $h$  (it’s heads) is true. The  
 690 body of  $\lambda p. \lambda R. \Diamond_R p$ , which is the semantic lexical  
 691 entry of *knows*, is an Epik term denoting the pre-  
 692 image of the world-alternative relation contributed  
 693 by the subject. This is not the right semantics for  
 694 *Amy knows that it’s heads*, because it is an existen-

695 <sup>9</sup>Category symbols use Lambek/Bar-Hillel notation for  
 696 slashes, so that  $(d \setminus t)/(d \setminus_D t)$  combines with  $d \setminus_D t$  on the  
 697 right to give a value that combines with  $d$  on the left to give  $t$ .

698 <sup>10</sup>Lambda abstractions with multiple parameters are written  
 699  $\lambda x y. e$  rather than the more verbose  $\lambda x. \lambda y. e$ .

ITEM	TYPE	SEMANTICS
Amy	$e$	$R_a$
Bob	$e$	$R_b$
it	$d$	$d$
heads	$d \setminus_{DT}$	$\lambda x. \bullet^* h$
tails	$d \setminus_{DT}$	$\lambda x. \bullet^* t$
is	$(d \setminus t) / (d \setminus_{DT})$	$\lambda P x. P x$
knows	$(e \setminus t) / Mt$	$\lambda p R. \diamond_{RP} p$
that	$((e \setminus t) / Mt) \setminus (e \setminus t) / t$	$\lambda p m R. \neg(m (\neg p) R)$
whether	$((e \setminus t) / Mt) \setminus (e \setminus t) / t$	$\lambda p m R. \neg(m (\neg p) R)$ + $\neg(m p R)$

700 worlds shorter than some bound, and the like. 750

## 7 Conclusion

701 [ehc]: Insert happy words! 751

710 Figure 8: Partial categorial grammar lexicon. The first  
711 column has a word form. the second column a categorial type, and third column a semantic translation in a  
712 logical language that extends the Epik term language  
713 with lambda. 714

715 tial modality  $\diamond_{RP}$ , rather than an universal modality  $\square_{RP}$ . This is corrected by the complementizer  
716 *that* or *whether*, which introduces the dual. 717

718 Sentences are parsed with a chart parser for  
719 categorial grammar. The semantics for complex  
720 phrases are obtained by syntactic application of  
721 semantic translations, accompanied by beta reduction.  
722 In consequence, the semantic term translating  
723 a sentence is a term of the logical language  
724 language. Such a term designates a set of possible  
725 words (guarded strings) in the possible worlds  
726 model determined by an Epik specification. By  
727 way of example, (11a) is an English sentence with  
728 predicate conjunction and three levels of clausal  
729 embedding. Using the grammar and parser, the  
730 sentence is mapped to the Epik term in (11b). 731

731 (11) a. Amy knows that Bob knows that Amy  
732 knows whether it is heads and knows that  
733 Bob doesn't know that Amy knows that it  
734 is tails. 735

$$\begin{aligned} b. \quad & \square_{\text{Amy}} \square_{\text{Bob}} (\square_{\text{Amy}} \bar{h} + \square_{\text{Amy}} \bar{t}) \\ & \cdot \quad \square_{\text{Amy}} \neg \square_{\text{Bob}} \square_{\text{Amy}} \bar{t} \end{aligned}$$

736 (11b) is compiled an Fst finite state machine with  
737 – nodes and – edges that accepts a countably infinite  
738 set of strings (worlds). Or similarly interpreted  
739 as a lazily computation of guarded strings. These  
740 are concrete computational representations of the  
741 proposition denoted by (11a). This contrasts with  
742 standard computational approaches to intensional  
743 semantics, sentences are translated into logical formulas  
744 that have a mathematical interpretation as a set of worlds, but not a computational one. Our  
745 representations can be used to check entailment between  
746 sentences (via a subset check), print random  
747 worlds that satisfy the sentence (Fst only), print all  
748 749