

# Finding the Largest Fixed-Content Necklace

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# Intro

Our goal: A polynomial time algorithm to find the largest fixed-content necklace.

- ▶ Key step in ranking and unranking fixed-content Lyndon words and necklaces
- ▶ Applications in cut-down de Bruijn sequence generation
- ▶ Generalize a previous algorithm in fixed-density necklace generation

Result: A  $O(n^2)$  algorithm that generates the largest fixed-content necklace under word-RAM model.

Key definitions:

- ▶ A **necklace** is the lexicographically smallest element in a set of all rotations of a string.
- ▶ **Fixed-content** refers to a necklace with a specified number of occurrence of symbols.

# Summary of improvements from last time

- ▶ Sharpened notation in paper to aid in understanding/solving problem
- ▶ Developed a new optimization for algorithm in the case of majority 0 content
- ▶ Found the realm of the general multiway-partition problem as a very helpful aid
- ▶ Simplified aspects of algorithm, making implementation easier
- ▶ Starting to formalize lemmas, form proofs

# Examples of fixed-content necklaces

## Example

Given a content  $[0, 0, 1, 2, 2, 2]$ :

**021022**, 020221, 020212, 012202, 012022, 010222, 002221,  
002212, 002122, 001222

- ▶ Here is a list of every possible necklace given such fixed-content. In this list, the largest necklace is denoted in bold.
- ▶ Our algorithm seeks to return this necklace given the content.

# General approach

We devise an recursive algorithm defined as *LargestNeck*( $C$ ) that

- ▶ Recursively multi-way partitions content into simplified content until it hits a base case such that the ordering is trivial
- ▶ We decode the previously simplified content as the properly ordered necklace is moved up the recursive stack

# Multi-way partitioning

**Multi-way partitioning** is a general problem that seeks to distribute a content of numbers through a specified number of partitions and optimize the partitions making up three objective functions:

1. Minimize the largest of the  $k$  subset sums.
2. **Maximize the smallest of the  $k$  subset sums.**
3. Minimize the difference between the largest and smallest of the  $k$  subset sums.

ie;  $S = \{13, 9, 9, 6, 6, 6\}$  and  $k = 3$ ,  $\{13, 6\}, \{9, 6\}, \{9, 6\}$ , smallest subset sum is 15, which is the maximal of this content.

- In our case, we use a slightly modified version of objective function 2, where we maximize lexicographically instead of sum wise.

# Definitions

- ▶ Let  $C$  be the content of a necklace, denoted by a list of symbols
- ▶ Let  $z$  be the number of 0s in  $C$
- ▶ Let  $n$  be the length of a necklace

# Our way of multi-way partitioning

- ▶ Goal: Lexicographically maximize the lexicographically smallest string out of  $z$  distributed strings.
- ▶ Returns: A list of tuples,  $S_z$ , first index is the associated lexicographical rank and second index is the associated new distributed string.

We denote this function as *MultiwayPartition*( $C, z$ ).

- ▶ A new content is  $C'$  is then made up of the rankings and a map  $f$  is use to map the new content to it's constituent string.
- ▶ Since only one constant time operation is needed for each symbol, it runs in  $O(n)$  time.



# Example of multi-way partitioning

## Example

$C = [5, 5, 5, 5, 5, 5, 5, 4, 4, 4, 4, 4, 4, 4, 4, 3, 3, 3, 2, 2, 2, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0]$ .

$z = 6$  lists are created, serving as the partitions of content.

$[5, 5], [5], [5], [5], [5], [5]$

All 5s are evenly distributed. Start from the second list.

$[5, 5], [5, 4, 4], [5, 4, 4], [5, 4, 4], [5, 4], [5, 4]$

$[5, 5], [5, 4, 4], [5, 4, 4], [5, 4, 4], [5, 4, 3, 3], [5, 4, 3]$

$[5, 5], [5, 4, 4], [5, 4, 4], [5, 4, 4], [5, 4, 3, 3], [5, 4, 3, 2, 2, 2, 1, 1, 1, 1]$

All remaining non-zero content is then distributed into the last list.

$S_z = [(0, 5432221111), (1, 5433), (2, 544), (2, 544), (2, 544), (3, 55)]$

$C' = [3, 2, 2, 2, 1, 0], f = \{0 : 5432221111, 1 : 5433, 2 : 544, 3 : 55\}$

## Overall recurrence for the LargestNeck(C)

$$\text{LargestNeck}(C) = \begin{cases} 0w_1 0w_2 \cdots 0w_z & \text{if } 0 < z \leq \frac{n}{2} \\ 0s_1 0s_2 \cdots 0s_j & \text{if } 0 < \frac{n}{2} < z \\ 0 \cdot C[n-1] \cdot C[n-2] \cdots C[1] & \text{if } z = 1 \\ 0^n & \text{if } k = 1 \end{cases}$$

where  $\{w_1, w_2, \dots, w_z\} = \text{MultiwayPartition}(C, z)$  and  $\{s_1, s_2, \dots, s_j\} = \text{MultiwayPartition}(C, z)$ .

# Example of finding fixed-content necklace

## Examples

Let  $C = [2, 2, 2, 2, 2, 2, 1, 1, 1, 1, 1, 1, 0, 0, 0, 0]$ .

$0 < z \leq \frac{n}{2}$ , first case of *MultiwayPartition*( $C, z$ ) forms lists:

$$[2, 2], [2, 2], [2, 1, 1, 1], [2, 1, 1, 1]$$

$S_z = [(0, 2111), (0, 2111), (1, 22), (1, 22)], f = \{0 : 2111, 1 : 22\}, C' = \{1, 1, 0, 0\}$ . *LargestNeck*( $C'$ ), Let  $R$  be new content,  $x$  be number of zeroes. First case of *MultiwayPartition*( $R, x$ ) arises again.

$$[1], [1]$$

$T_z = [(0, 1), (0, 1)], g = \{0 : 1\}, R' = \{0, 0\}$ . Next recursive call, basecase of  $k = 0$  is hit. 00 is returned up the recursive stack which is decoded to

$$0101$$

using  $g$ . 0101 then decodes to

$$0211102202111022$$

# Final remarks

Since each recursive call eliminates at least one symbol from the old content, order of  $n$  many  $O(n)$  recursive calls are many, giving this algorithm a running time of  $O(n^2)$ .

## ► Future Work

- Extend to Lyndon words
- Work on proofs in the paper
- Solve for problem of finding largest fixed-content necklace less than or equal to necklace of same length
- Extend this paper to rank and unrank fixed-content necklaces and Lyndon words
- Possible application of multi-way partitioning solving for some  $k$  – ary cases of cut-down de Bruijn sequence construction

Thanks for attending!