

Project Two Template

MAT-350: Applied Linear Algebra

Matthew Muller

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Problem 1

Use the `svd()` function in MATLAB to compute A_1 , the **rank-1 approximation** of A . Clearly state what A_1 is, rounded to 4 decimal places. Also, **compute** the root-mean square error (RMSE) between A and A_1 .

Solution:

```
%code
%Create matrix A
A = [1 2 2; 3 4 5; 6 7 8]
```

```
A = 3x3
     1     2     2
     3     4     5
     6     7     8
```

```
%Perform the svd function on A and put results into U, S, and V
[U, S, V] = svd(A)
```

```
U = 3x3
    -0.2055    -0.6658    -0.7172
    -0.4900    -0.5644     0.6643
    -0.8471     0.4880    -0.2103
S = 3x3
    14.4042         0         0
         0     0.6450         0
         0         0     0.3229
V = 3x3
    -0.4692     0.8820     0.0433
    -0.5763    -0.2687    -0.7718
    -0.6691    -0.3871     0.6344
```

```
%Calculate Rank 1 approximation of A
A1 = U(:,1:1) * S(1:1, 1:1) * V(:,1:1)'
```

```
A1 = 3x3
     1.3889     1.7059     1.9807
     3.3118     4.0678     4.7230
     5.7253     7.0322     8.1649
```

```
%Verify rank = 1
rank(A1)
```

```
ans = 1
```

```
%Calculate root-mean square error (RMSE) between A and A1
RMSE1 = norm(A-A1, 'fro')/(3*3)
```

RMSE1 = 0.0801

Problem 2

Use the `svd()` function in MATLAB to compute A_2 , the **rank-2 approximation** of A . Clearly state what A_2 is, rounded to 4 decimal places. Also, **compute** the root-mean square error (RMSE) between A and A_2 . Which approximation is better, A_1 or A_2 ? Explain.

Solution:

```
%code
%Calculate Rank 2 approximation of A
A2 = U(:,1:2) * S(1:2, 1:2) * V(:,1:2)'
```

```
A2 = 3x3
    1.0100    1.8213    2.1469
    2.9907    4.1656    4.8639
    6.0029    6.9476    8.0431
```

```
%Verify rank = 2
rank(A2)
```

```
ans = 2
```

```
%Calculate root-mean square error between A and A2
RMSE2 = norm(A-A2, 'fro') / (3*3)
```

```
RMSE2 = 0.0359
```

Explain:

A_2 is the better approximation because it has a lower RMSE. As shown in the project 2 SVD document, as we go into higher rank approximations, the lower the RMSE becomes.

Problem 3

For the 3×3 matrix A , the singular value decomposition is $A = USV'$ where $U = [\mathbf{u}_1 \mathbf{u}_2 \mathbf{u}_3]$. Use MATLAB to **compute** the dot product $d_1 = \text{dot}(\mathbf{u}_1, \mathbf{u}_2)$.

Also, use MATLAB to **compute** the cross product $\mathbf{c} = \text{cross}(\mathbf{u}_1, \mathbf{u}_2)$ and dot product $d_2 = \text{dot}(\mathbf{c}, \mathbf{u}_3)$. Clearly state the values for each of these computations. Do these values make sense? **Explain.**

Solution:

```
%code
%Separate U into three different vectors in order to calculate cross and
%dot products
U1 = [-0.2055; -0.4900; -0.8471]
```

```
U1 = 3x1
    -0.2055
    -0.4900
```

```
-0.8471
```

```
U2 = [-0.6658; -0.5644; 0.4880]
```

```
U2 = 3x1
-0.6658
-0.5644
0.4880
```

```
U3 = [-0.7172; 0.6643; -0.2103]
```

```
U3 = 3x1
-0.7172
0.6643
-0.2103
```

```
%Calculate dot product d1
d1 = dot(U1,U2)
```

```
d1 = -6.9000e-06
```

```
%Calculate cross product
c = cross(U1,U2)
```

```
c = 3x1
-0.7172
0.6643
-0.2103
```

```
%Calculate dot product d2
d2 = dot(c,U3)
```

```
d2 = 0.9999
```

Explain:

The cross product of U1 and U2, c, makes sense as it equals U3. This shows that U1 and U2 are perpendicular vectors. The dot product d2 also makes sense being 0.9999 (essentially 1) since c and U3 are the exact same.

Problem 4

Using the matrix $U = [\mathbf{u}_1 \mathbf{u}_2 \mathbf{u}_3]$, determine whether or not the columns of U span \mathbb{R}^3 . Explain your approach.

Solution:

```
%code
%Put the column U1, U2, and U3 vectors back into U
U = [U1 U2 U3]
```

```
U = 3x3
-0.2055    -0.6658    -0.7172
-0.4900    -0.5644     0.6643
-0.8471     0.4880    -0.2103
```

```
%Row reduce matrix U
reducedU = rref(U)
```

```
reducedU = 3x3
    1     0     0
    0     1     0
    0     0     1
```

```
%Check the rank of reducedU matrix
rank(reducedU)
```

```
ans = 3
```

Explain:

Since the reduced matrix reducedU has 3 pivot columns and therefore a rank of 3, the columns of U do in fact span \mathbb{R}^3 .

Problem 5

Use the MATLAB `imshow()` function to load and display the image A stored in the `image.mat` file, available in the Project Two Supported Materials area in Brightspace. For the loaded image, **derive the value of k** that will result in a compression ratio of $CR \approx 2$. For this value of k , **construct the rank- k approximation of the image**.

Solution:

```
%code
%Load the image
load image.mat;
%Display the image
imshow(A)
```



```
%Perform svd function on A, putting results into U, S and V
[U, S, V]= svd(double(A))
```

```
U = 3072x3072
-0.0220    0.0337   -0.0276    0.0071   -0.0003    0.0114   -0.0108    0.0043 ...
-0.0220    0.0335   -0.0273    0.0066   -0.0002    0.0106   -0.0112    0.0037
-0.0220    0.0335   -0.0271    0.0062   -0.0003    0.0100   -0.0113    0.0029
-0.0220    0.0333   -0.0271    0.0057   -0.0003    0.0094   -0.0110    0.0023
-0.0219    0.0331   -0.0273    0.0053   -0.0003    0.0083   -0.0109    0.0020
-0.0219    0.0329   -0.0274    0.0049   -0.0007    0.0066   -0.0107    0.0017
-0.0219    0.0325   -0.0274    0.0041   -0.0012    0.0048   -0.0106    0.0012
-0.0218    0.0322   -0.0277    0.0037   -0.0012    0.0027   -0.0104    0.0008
-0.0218    0.0321   -0.0281    0.0028   -0.0020    0.0008   -0.0097    0.0003
-0.0218    0.0319   -0.0281    0.0020   -0.0025   -0.0009   -0.0093   -0.0001
:
:
S = 3072x4608
105 ×
 5.7986         0         0         0         0         0         0         0 ...
      0    0.6755         0         0         0         0         0         0
      0         0    0.3657         0         0         0         0         0
      0         0         0    0.3129         0         0         0         0
      0         0         0         0    0.2842         0         0         0
      0         0         0         0         0    0.2423         0         0
      0         0         0         0         0         0    0.2325         0
      0         0         0         0         0         0         0    0.2217
      0         0         0         0         0         0         0         0
      0         0         0         0         0         0         0         0
:
:
V = 4608x4608
-0.0159   -0.0085   -0.0079   -0.0083    0.0064   -0.0076    0.0061    0.0098 ...
```

```

-0.0159    -0.0087    -0.0081    -0.0084     0.0064    -0.0082     0.0064     0.0100
-0.0159    -0.0088    -0.0079    -0.0085     0.0063    -0.0078     0.0067     0.0104
-0.0160    -0.0090    -0.0081    -0.0087     0.0063    -0.0077     0.0069     0.0106
-0.0160    -0.0091    -0.0079    -0.0092     0.0058    -0.0077     0.0071     0.0104
-0.0160    -0.0092    -0.0082    -0.0091     0.0055    -0.0076     0.0076     0.0104
-0.0160    -0.0093    -0.0081    -0.0094     0.0054    -0.0078     0.0076     0.0106
-0.0160    -0.0094    -0.0083    -0.0094     0.0053    -0.0076     0.0080     0.0107
-0.0160    -0.0095    -0.0084    -0.0098     0.0053    -0.0075     0.0080     0.0108
-0.0160    -0.0097    -0.0085    -0.0099     0.0052    -0.0074     0.0081     0.0112
:

```

```

%Find value of K that provides a CR of approximate 2
%CR = (M*N)/K(M+N+1)
%2 = (3072*4608)/K(3072+4608+1)
CR = (3072*4608) / (921 * (3072+4608+1))

```

```
CR = 2.0010
```

```

%Calculate rank-921 approximation of A
A921 = U(:, 1:921)*S(1:921, 1:921)*V(:, 1:921)'

```

```

A921 = 3072x4608
 189.0646   191.8936   188.8820   187.8085   190.9213   193.6283   196.9239   193.2751 ...
 188.8330   192.1524   189.7149   190.0349   191.8535   193.0206   196.4297   194.3539
 189.4446   192.6200   190.0603   190.5890   191.3810   192.0473   197.1031   196.4040
 191.2359   192.9759   190.2975   191.5527   190.4033   189.8999   196.5573   197.9993
 191.6491   193.2942   190.5409   193.6870   191.5410   189.6225   195.2290   197.1438
 190.4965   192.6234   190.1108   194.4575   193.5966   190.9228   195.0517   194.8664
 188.1900   191.7679   191.4803   193.0678   193.5434   192.0132   195.9747   195.3500
 188.3936   192.2560   192.5138   192.7716   192.9265   193.7176   196.6674   196.9272
 191.2526   192.7502   192.4498   192.8418   193.6039   194.3199   198.5062   199.0176
 192.6733   194.3953   194.4557   193.9848   194.1313   194.8077   197.6567   198.6137
:

```

```

%Verify Rank = 921
rank(A921)

```

```
ans = 921
```

```

%Convert the image back into its original format, uint8
A921 = uint8(round(A921))

```

```

A921 = 3072x4608 uint8 matrix
 189   192   189   188   191   194   197   193   196   195   190   193   192 ...
 189   192   190   190   192   193   196   194   198   197   191   193   190
 189   193   190   191   191   192   197   196   198   198   192   192   188
 191   193   190   192   190   190   197   198   197   197   193   193   190
 192   193   191   194   192   190   195   197   195   196   193   196   193
 190   193   190   194   194   191   195   195   195   198   196   198   193
 188   192   191   193   194   192   196   195   197   198   196   199   194
 188   192   193   193   193   194   197   197   199   199   195   199   195
 191   193   192   193   194   194   199   199   199   199   197   200   193
 193   194   194   194   194   195   198   199   199   198   196   197   192
:

```

Explain:

I first loaded the image to find that the dimensions are $M = 3072$ and $N = 4608$. I then converted this matrix from a uint8 to a double so that I could use the svd function on A. After performing the svd function, I used the equation $CR = MN / 6 K(M + N + 1)$ to find the value of K that yields a compression ratio of 2. I found that K was 921. I then calculated the rank-921 approximation of A using U, S, and V. I then verified that the rank of this approximation was in fact 921 and converted the A921 matrix back to a uint8 so that I can display the image of the Rank-921 approximation in Problem 6.

Problem 6

Display the image and compute the root mean square error (RMSE) between the approximation and the original image. Make sure to include a copy of the approximate image in your report.

Solution:

```
%code  
%Show the image of the Rank-921 approximation of A  
imshow(A921)
```



```
%Calculate RSME between A and A-921  
RSME921 = norm(double(A)-double(A921),'fro')/(3072 * 4608)  
  
RSME921 = 4.0658e-04
```

Problem 7

Repeat Problems 5 and 6 for $CR \approx 10$, $CR \approx 25$, and $CR \approx 75$. **Explain** what trends you observe in the image approximation as CR increases and provide your recommendation for the best CR based on your observations. Make sure to include a copy of the approximate images in your report.

Solution:

```
%code
%Find value of K that provides a CR of around 10
%CR = (M*N)/K(M+N+1)
%10 = (3072*4608)/K(3072+4608+1)
CR = (3072*4608) / (184*(3072+4608+1))
```

```
CR = 10.0161
```

```
%Calculate rank-184 approximation of A
A184 = U(:, 1:184)*S(1:184, 1:184)*V(:, 1:184)'
```

```
A184 = 3072x4608
    189.7432    191.5483    189.1171    188.5879    189.2042    190.4093    192.2473    192.2744 ...
    189.9357    191.6766    189.2152    189.0111    189.4455    190.7028    192.9499    193.0818
    190.3505    191.8218    189.2282    189.5003    189.7682    190.8722    193.6113    194.0608
    190.4580    191.6506    189.2845    190.0465    190.6301    191.5918    194.6700    195.6617
    189.7958    190.8026    188.7556    190.2446    190.8688    191.7150    195.1483    196.7306
    189.5912    190.5549    188.8867    190.6705    191.0324    191.8086    195.1119    196.9319
    189.8759    190.7995    189.5386    191.3636    191.7975    192.5087    195.5045    197.6150
    190.6872    191.5916    190.2235    192.3257    192.6240    193.2553    195.9026    198.1159
    192.4771    193.3784    192.0164    194.2350    194.6985    195.1316    197.0148    198.9931
    194.2754    195.2644    193.8108    195.8900    195.8527    196.3854    197.4867    199.1781
    ⋮
```

```
%Verify rank = 184
rank(A184)
```

```
ans = 184
```

```
%Convert the image back into its original format, uint8
A184 = uint8(round(A184))
```

```
A184 = 3072x4608 uint8 matrix
    190    192    189    189    189    190    192    192    195    195    193    195    192 ...
    190    192    189    189    189    191    193    193    195    195    193    196    193
    190    192    189    190    190    191    194    194    196    196    193    196    193
    190    192    189    190    191    192    195    196    197    197    195    197    194
    190    191    189    190    191    192    195    197    198    198    196    198    195
    190    191    189    191    191    192    195    197    198    198    196    198    194
    190    191    190    191    192    193    196    198    199    199    196    199    194
    191    192    190    192    193    193    196    198    199    199    197    199    194
    192    193    192    194    195    195    197    199    200    200    198    200    195
    194    195    194    196    196    196    197    199    200    200    198    200    194
    ⋮
```

```
%Display the image the Rank-184 approximation of A
imshow(A184)
```




```
%Calculate RSME between A and A-184
RSME184 = norm(double(A)-double(A184),'fro')/(3072 * 4608)
```

```
RSME184 = 9.1735e-04
```

```
%Find value of K that provides a CR of around 25
%CR = (M*N)/K(M+N+1)
%25 = (3072*4608)/K(3072+4608+1)
CR = (3072*4608) / (74*(3072+4608+1))
```

```
CR = 24.9049
```

```
%Calculate rank-74 approximation of A
A74 = U(:, 1:74)*S(1:74, 1:74)*V(:,1:74)'
```

```
A74 = 3072x4608
195.2146 194.2607 194.6176 195.0690 195.0659 195.4609 196.9847 196.5227 ...
195.0544 194.0670 194.3006 194.8159 194.8360 195.1776 196.6900 196.1473
194.9326 193.9499 194.1028 194.6309 194.7149 194.9625 196.5167 195.8726
194.6416 193.6869 193.8314 194.3918 194.5278 194.7520 196.3028 195.6323
194.2353 193.2686 193.3772 193.9606 194.2055 194.3836 195.9494 195.2664
193.4457 192.4704 192.5364 193.0812 193.4071 193.5124 195.1114 194.4368
192.9854 191.9917 192.0466 192.5400 192.8802 192.9862 194.5540 193.8953
192.0525 191.1378 191.2363 191.7405 192.1727 192.2654 193.8367 193.2267
191.1395 190.2445 190.3425 190.8276 191.2458 191.3305 192.8443 192.2891
189.9394 189.1318 189.2626 189.7751 190.2202 190.2856 191.8472 191.2855
⋮
```

```
%Verify rank = 74
```

```
rank(A74)
```

```
ans = 74
```

```
%Convert the image back into its original format, uint8  
A74 = uint8(round(A74))
```

```
A74 = 3072x4608 uint8 matrix
```

```
195  194  195  195  195  195  197  197  197  198  197  199  196 ...  
195  194  194  195  195  195  197  196  197  198  197  198  196  
195  194  194  195  195  195  197  196  196  197  197  198  195  
195  194  194  194  195  195  196  196  196  197  196  198  195  
194  193  193  194  194  194  196  195  196  197  196  197  195  
193  192  193  193  193  194  195  194  195  196  195  196  193  
193  192  192  193  193  193  195  194  194  195  195  196  193  
192  191  191  192  192  192  194  193  194  195  194  195  192  
191  190  190  191  191  191  193  192  193  194  193  194  191  
190  189  189  190  190  190  192  191  192  193  192  193  190  
:  
:
```

```
%Display the image the Rank-74 approximation of A  
imshow(A74)
```



```
%Calculate RSME between A and A-74  
RMSE4 = norm(double(A)-double(A74), 'fro')/(3072*4608)
```

```
RMSE4 = 0.0018
```

```
%Find value of K that provides a CR of around 75  
%CR = (M*N)/K(M+N+1)
```

```
%75 = (3072*4608)/K(3072+4608+1)
CR = (3072*4608) / (25*(3072+4608+1))
```

```
CR = 73.7184
```

```
%Calculate rank-25 approximation of A
A25 = U(:, 1:25)*S(1:25, 1:25)*V(:, 1:25)'
```

```
A25 = 3072x4608
    183.8670    183.5431    184.1842    184.1103    183.9570    183.8832    184.7547    184.9945 ...
    182.6307    182.3624    183.0260    182.9594    182.8929    182.8136    183.7167    183.9619
    181.1605    180.9303    181.6012    181.5267    181.5471    181.4601    182.3846    182.6361
    180.2502    180.0614    180.7289    180.6456    180.7168    180.6368    181.5718    181.8339
    179.3194    179.2104    179.8921    179.8020    179.9650    179.9045    180.8685    181.1550
    178.0185    178.0003    178.6870    178.5843    178.8720    178.8329    179.8312    180.1462
    176.7755    176.8469    177.5490    177.4406    177.8662    177.8534    178.8894    179.2291
    175.4634    175.6402    176.3655    176.2486    176.8075    176.8247    177.9027    178.2709
    174.8463    175.1319    175.8624    175.7428    176.4252    176.4820    177.6023    178.0109
    174.2013    174.5574    175.2860    175.1742    175.9547    176.0315    177.1715    177.6014
    ⋮
```

```
%Verify rank = 25
rank(A25)
```

```
ans = 25
```

```
%Convert the image back into its original format, uint8
A25 = uint8(round(A25))
```

```
A25 = 3072x4608 uint8 matrix
    184    184    184    184    184    184    185    185    185    185    186    186    185 ...
    183    182    183    183    183    183    184    184    184    184    185    185    184
    181    181    182    182    182    181    182    183    183    183    183    184    183
    180    180    181    181    181    181    182    182    182    182    183    183    182
    179    179    180    180    180    180    181    181    181    182    182    182    182
    178    178    179    179    179    179    180    180    180    181    181    181    181
    177    177    178    177    178    178    179    179    180    180    180    181    180
    175    176    176    176    177    177    178    178    179    179    179    180    179
    175    175    176    176    176    176    178    178    178    179    179    179    179
    174    175    175    175    176    176    177    178    178    178    179    179    179
    ⋮
```

```
%Display the image the Rank-25 approximation of A
imshow(A25)
```



Explain:

As the CR value increases, so does the RMSE while the value of k decreases and the image becomes more blurry. For CR values of 25 and 75, the image is extremely blurry but for a CR value of 10, the image is reasonably clear. Because of this, I would recommend a CR of approximately 10 in order to maximize both image quality and space saving.