ORIGINAL ARTICLE



Risk of Tuberculosis after Recent Exposure

A 10-Year Follow-up Study of Contacts in Amsterdam

Rosa Sloot^{1,2}, Maarten F. Schim van der Loeff^{2,3}, Peter M. Kouw³, and Martien W. Borgdorff^{1,2,3}

¹Department of Clinical Epidemiology, Biostatistics and Bioinformatics, and ²Center for Infections and Immunity Amsterdam, Academic Medical Center, University of Amsterdam, Amsterdam, The Netherlands; and ³Department of Infectious Diseases, Public Health Service, Amsterdam, The Netherlands

Abstract

Rationale: The lifetime risk of tuberculosis (TB) for infected contacts is often mentioned to be 5–10%, but these estimates are based on studies conducted decades ago, and thus may not reflect current epidemiologic conditions.

Objectives: To estimate the risk of TB among contacts with evidence of infection and to compare this with estimates often stated in the literature.

Methods: A retrospective cohort study was performed using records on contacts of pulmonary TB patients at the Public Health Service Amsterdam, 2002–2011. The Public Health Service Amsterdam TB electronic registration system identified TB cases during follow-up until October 2012; these were defined as coprevalent if diagnosed less than or equal to 180 days and incident if diagnosed greater than 180 days after TB diagnosis of index patient. Cumulative TB risk was estimated with Kaplan-Meier curves.

Measurements and Main Results: Of 9,332 contacts of pulmonary TB patients, 4,774 were screened for latent TB infection

(LTBI) of whom 739 (16%) had evidence of infection. Among these the 5-year Kaplan-Meier TB cumulative risk was 9.5% (95% confidence interval, 7.5–11.9). This varied by age: 33.3% of 36 contacts aged less than 5 years, 19.1% of 84 contacts aged 5–14 years, and 6.7% of 619 contacts aged greater than or equal to 15 years (log rank, P < 0.001). Of 739 contacts with evidence of infection, 57 had coprevalent TB and 14 developed incident TB. Of patients without coprevalent TB but with LTBI diagnosis, 45% received preventive therapy. Five-year risk of incident TB was 2.4% (95% confidence interval, 1.2–4.7) among contacts with LTBI who did not start preventive therapy.

Conclusions: Five-year risk of TB among contacts with evidence of infection was higher compared with older estimates, and differed considerably by age. Incidence of TB among contacts with LTBI was low, suggesting limited impact may be expected of expanding preventive therapy.

Keywords: tuberculosis contact investigation; tuberculosis incidence; preventive therapy; follow-up

Eligibility for preventive therapy among individuals recently exposed to a known tuberculosis patient depends on the degree of exposure, infectiousness of the source case, age of the exposed individual, estimated risk of progression to disease, and cost-effectiveness and risk of adverse effects of preventive therapy (1). It is often stated that the risk of tuberculosis (TB) for persons with a positive tuberculin skin test (TST) is 10%, of which 5% within 5 years of

infection (2). Cost-effectiveness analyses assessing the benefits of preventive therapy generally use similar estimates of TB risk (3–6). However, these estimates are based on TB rates observed decades ago (7–11) and thus may not reflect current epidemiologic conditions; may not be applicable in all settings; and could be modified by preventive therapy, bacillus Calmette-Guérin (BCG) vaccination, or age (12–14). For instance, the risk of

reactivation TB in Hong Kong was much higher than in the United Kingdom (15), and the risk of developing TB after exposure is often higher in low-income countries as compared with high-income countries (16).

This study had four objectives. First, to determine risk factors for coprevalent TB and incident TB among contacts exposed to pulmonary TB (PTB) patients in Amsterdam, a low TB incidence, high-income setting. Second, to estimate the risk

(Received in original form June 25, 2014; accepted in final form September 28, 2014)

Supported by research and development funding from the Public Health Service Amsterdam. The funding body had no role in study design, data analysis, data interpretation, or writing of the report, except for data collection.

Author Contributions: All authors participated in conception and design, data acquisition, data analysis and interpretation, and manuscript preparation.

Correspondence and requests for reprints should be addressed to Rosa Sloot, M.Sc., Department of Clinical Epidemiology, Biostatistics and Bioinformatics, Academic Medical Center, P.O. 22660, 1100 DD Amsterdam, The Netherlands. E-mail: r.sloot@amc.uva.nl

Am J Respir Crit Care Med Vol 190, Iss 9, pp 1044–1052, Nov 1, 2014
Copyright © 2014 by the American Thoracic Society
Originally Published in Press as DOI: 10.1164/rccm.201406-1159OC on September 29, 2014
Internet address: www.atsiournals.org

At a Glance Commentary

Scientific Knowledge on the Subject: It is often stated that in the absence of preventive therapy 10% of individuals who acquire latent tuberculosis (TB) infection will develop TB, with 5% presenting within 5 years of infection. Similar estimates of TB activation are often used in costeffectiveness studies assessing the benefits of preventive TB therapy, but these estimates are based on the risk of TB observed decades ago and may not be applicable in all settings.

What This Study Adds to the

Field: The risk of TB was assessed in a low-incidence, high-income setting among contacts of pulmonary TB patients using 10 years of follow-up data. The 5-year risk of incident TB among contacts with latent tuberculosis (TB) infection and without preventive therapy was low at 2.4%, suggesting the need to reassess cost-effectiveness estimates of preventive TB therapy.

of TB among contacts with evidence of infection. Third, to obtain a TB incidence estimate among contacts with latent TB infection (LTBI). Finally, to compare observed TB risk estimates with estimates generally used in cost-effectiveness analyses.

Methods

Study Design

We conducted a retrospective cohort study using 10 years of public health surveillance data from the TB control department of the public health service (PHS) in Amsterdam. Follow-up results of individuals traced and examined in the course of a contact investigation of PTB index patients were included. The electronic TB patient and client registration system at the PHS in Amsterdam was used to ascertain whether a contact developed TB during follow-up.

Contact Investigations

In the city of Amsterdam, the diagnosing physician notifies the PHS of a patient diagnosed with TB. Demographic information including sex and date of birth is recorded.

In addition, clinical and laboratory information is collected, including details on HIV infection, type of TB (pulmonary or extrapulmonary), results of sputum smears, sputum culture, and *Mycobacterium tuberculosis* genotype results. Since 2004, variable number tandem repeat typing is performed on *M. tuberculosis* isolates recovered from all TB patients in the Netherlands according to the international standard (17). Before 2004, restriction fragment length polymorphism typing was performed (18).

To evaluate the risk of transmission, a nurse at the TB control department of the PHS interviews the patient and inquires about persons with whom the patient has had recent contact. The PHS then starts a source and contact investigation. The PHS staff investigates recent contacts of PTB index patients and evaluates duration and frequency of exposure to the index patient during the infectious period. Accordingly, contacts are listed as first ring, second ring, third ring, and so forth (contacts in rings beyond the second ring are categorized as casual contacts) based on national guidelines for contact investigation (19). Screening for LTBI and for TB starts among first ring contacts of PTB patients. Depending on the infection and disease prevalence among first ring contacts of smear-positive PTB index patients, second ring and eventually possibly casual contacts are also invited for screening.

LTBI screening includes a TST and chest radiograph. The TST is done by intradermal injection of 2 units PPD-RT23 on the volar side of the forearm. After 72-96 hours the size of the diameter of the induration at the site of injection is measured in millimeters. Since the introduction of the IFN-γ release assay (IGRA) in 2008, national guidelines indicate that the IGRA should be used to validate a positive TST result (20). At the PHS in Amsterdam the QuantiFERON-TB (QFT-GIT; Cellesis, Carnegie, Australia) is used, which measures the production of IFN-γ after T cells are exposed in vitro to a M. tuberculosis-specific antigen mix; a QuantiFERON-TB is considered positive if greater than or equal to 0.35 IU/ml (21).

If a TB diagnosis is made, the TB control physician prescribes anti-TB therapy. Contacts with an LTBI diagnosis are offered preventive therapy (either 3 mo isoniazid and rifampicin, 6 mo isoniazid, or 4 mo rifampicin), or, if contraindicated,

follow-up of contacts at risk of progression to TB is proposed for a duration of 2 years.

Contacts

The electronic TB patient and client registration system at the PHS in Amsterdam was used to identify all individuals that were traced and examined in the course of contact investigations of PTB index patients diagnosed from January 2002 through June 2011. Contacts were included if they were traced and examined less than or equal to 180 days after the TB diagnosis of the index patient. This period was chosen because it takes up to 180 days to identify, evaluate, diagnose, and initiate preventive therapy for contacts by the PHS in Amsterdam. Contacts were excluded if their residence at the time of the examination was outside the Amsterdam region.

Data collected during contact investigations included sex, date of birth, nationality, relationship between contacts and index patients (first ring, second ring, or casual contact), BCG vaccination status, TST and IGRA results, and data on initiation of preventive TB therapy.

Study Outcomes

The notification date of the PTB index patient was used as the start of follow-up of the contact. Whether a contact developed TB during follow-up was ascertained using data in the electronic registration system at the PHS in Amsterdam until October 6, 2012. Follow-up was censored if the contact was notified as TB patient (event), or if an individual was identified as a contact in a subsequent contact investigation.

Definitions

Contacts were considered eligible for LTBI screening in accordance with national guidelines: being a contact of a sputum smear-positive PTB patient or being a first ring contact of a smear-negative PTB patient. All contacts had to be born after 1945 (19). Contacts were considered screened for LTBI if a TST or an IGRA was done. Contacts were regarded as having LTBI if IGRA was positive. In the absence of IGRA results, contacts with TST greater than or equal to 10 mm were considered as having LTBI. A TB diagnosis was made by clinicians, based on symptoms, chest radiograph, sputum smear and culture results, and/or by clinical response to treatment, based on national guidelines (19).

Table 1. Risk Factors for Coprevalent Tuberculosis among 9,332 Contacts of Pulmonary Tuberculosis Patients

	0	0	Omida Odda D. "	Adiosets d O.U.
	Contacts with Coprevalent TB [<i>n (%)</i>]	Contacts without Coprevalent TB [<i>n (%)</i>]	Crude Odds Ratio (95% CI)	Adjusted Odds Ratio (95% CI)
	74 (0.8)	9,258 (99.2)		
Index factors	(5.2)	5,= 55 (55.=)		
Sex				
Male	42 (0.7)	5,825 (99.3)	1	1
Female	32 (0.9)	3,433 (99.1)	1.1 (0.6–2.1)	1.0 (0.6-1.8)
Age, yr*				
0–14	1 (0.3)	354 (99.7)	0.1 (0.03–0.9)	0.1 (0.02–0.8)
15–44	60 (1.1)	5,304 (98.9)	1	1
45–64	11 (0.4)	2,695 (99.6)	0.3 (0.1–0.5)	0.4 (0.2–0.8)
≥65	2 (0.2)	905 (99.8)		
Sputum smear status				
Smear-positive	68 (1.0)	7,052 (99.0)	1	1
Smear-negative	5 (0.3)	1,491 (99.7)	0.3 (0.1–0.8)	0.3 (0.1-0.7)
Unknown	1 (0.1)	715 (99.9)	0.1 (0.02–1.1)	0.1 (0.02-1.1)
HIV status				
Negative	12 (0.9)	1,328 (99.1)	1	
Positive	1 (0.2)	633 (99.8)	0.2 (0.02–1.8)	
Unknown	61 (0.8)	7,297 (99.2)	0.8 (0.3–2.1)	
Contact factors				
Sex [†]				
Male	37 (0.8)	4,455 (99.2)	1	1
Female	37 (0.8)	4,672 (99.2)	0.8 (0.5–1.4)	1.0 (0.6–1.5)
Age, yr* [↑]				
0–14	30 (2.0)	1,489 (98.0)	1	1
15–44	33 (0.7)	4,994 (99.3)	0.3 (0.1–0.6)	0.3 (0.1–0.5)
45–64	9 (0.4)	2,406 (99.6)	0.2 (0.09–0.4)	0.2 (0.1–0.5)
≥65	2 (0.6)	357 (99.4)		
Nationality				
Dutch	58 (0.9)	6,340 (99.1)	1	
Other	16 (1.0)	1,619 (99.0)	1.0 (0.4–2.7)	
Unknown	0	1,299 (100.0)		
Type of contact				
First ring	37 (1.5)	2,405 (98.5)	1	1
Second ring	16 (0.4)	3,776 (99.6)	0.2 (0.1–0.5)	0.2 (0.1-0.4)
Casual contact	1 (0.1)	845 (99.9)	0.05 (0.002–1.6)	0.07 (0.01–0.6)
Unknown	20 (0.9)	2,232 (99.1)	0.5 (0.2–1.1)	0.4 (0.2–0.9)
BCG				
No evidence of BCG vaccination/unknown	49 (0.8)	6,272 (99.2)	1	
Evidence of BCG vaccination	25 (0.8)	2,986 (99.2)	1.0 (0.5–2.0)	

Definition of abbreviations: BCG = bacillus Calmette-Guérin; CI = confidence interval; coprevalent TB = TB in contact if diagnosed less than or equal to 180 days of TB diagnosis of index patient; TB = tuberculosis.

Contacts diagnosed with TB less than or equal to 180 days after an index patient's TB diagnosis were considered "coprevalent" cases. TB diagnosed in contacts greater than 180 days after an index patient's TB diagnosis were considered "incident" cases. We compared *M. tuberculosis* genotype results of TB index patients and their contacts diagnosed with TB.

Statistical Analysis

A probabilistic record linkage procedure was performed to determine which contacts developed TB during the study period. One dataset contained records of all TB patients notified to the PHS in Amsterdam from January 2002 through October 2012, and a second dataset contained records of all contacts that were traced and examined in the course of contact investigations of PTB index patients from January 2002 until June 2011. Linking variables were used to determine the probability of a match and included registration number, name and surname, postal code, date of birth, sex, and nationality. The posterior probability was calculated similar to Schaaf and coworkers (22), and denoted the probability of

agreement for each variable among the matches and nonmatches. A probability higher than 80% was considered high enough to assume that the contact and TB patient was the same person provided they were not part of the same family (22, 23). Record linkage resulted in 110 matches with a 100% probability and 14 matches with a posterior probability between 80% and 100%. Verification of these matches revealed in all 14 instances that they were different individuals.

Demographic, laboratory, and clinical determinants (both index-patient and

^{*}Index and contact age groups 45-64 and greater than or equal to 65 are combined in the univariable and multivariable analysis.

 $^{^{\}dagger}$ Category unknown sex contact (n = 132) and category unknown age contact (n = 12) are not shown.

Table 2. Risk Factors for Incident Tuberculosis among 9,213 Contacts of Pulmonary Tuberculosis Patients

	Incident TB Cases (n)	5-yr TB Risk	Crude Hazard Ratio (95% CI)	Adjusted Hazard Ratio (95% CI)
	36	0.3 (0.2–0.5)		
Index factors				
Sex		(
Male	24	0.4 (0.3–0.6)	1	1
Female	12	0.3 (0.1–0.5)	0.8 (0.4–1.7)	0.8 (0.4–1.6)
Age, yr* 0–14	2	0.2 (0.02.1.0)	0.0 (0.0.2.1)	1 2 (0 2 5 0)
15–44	31	0.3 (0.02–1.8) 0.5 (0.4–0.8)	0.8 (0.2–3.1)	1.3 (0.3–5.9)
45–64	2	0.07 (0.01–0.3)	0.1 (0.04–0.4)	0.1 (0.05–0.6)
≥65	1	0.1 (0.01–0.7)	0.1 (0.04 0.4)	0.1 (0.00 0.0)
Sputum smear status	•	0.1 (0.01 0.1)		
Smear-positive	31	0.4 (0.3-0.6)	1	
Smear-negative	3	0.2 (0.05–0.6)	0.4 (0.1-1.5)	
Unknown	2	0.2 (0.01–0.9)	0.6 (0.1–2.4)	
HIV status		, ,	, ,	
Negative	7	0.8 (0.4–1.5)	1	
Positive	3	0.5 (0.1–1.5)	0.5 (0.1–2.4)	
Unknown	26	0.3 (0.2–0.4)	0.4 (0.1–1.1)	
Contact factors				
Sex [†]	40	0.4 (0.0.0.0)	4	4
Male	19 17	0.4 (0.2–0.6)	1 0.8 (0.4–1.5)	1 0.9 (0.4–1.7)
Female Age, yr* [†]	17	0.3 (0.2–0.6)	0.6 (0.4–1.5)	0.9 (0.4–1.7)
0–14	5	0.4 (0.1-0.9)	1	1
15–44	26	0.5 (0.3–0.7)	1.6 (0.6–3.9)	1.6 (0.6–4.7)
45–64	4	0.1 (0.02–0.3)	0.5 (0.1–1.8)	0.9 (0.2–3.5)
≥65	1	0.3 (0.02-1.8)	0.0 (0.1 1.0)	0.0 (0.2 0.0)
Nationality	·	0.0 (0.02)		
Dutch	23	0.3 (0.2-0.5)	1	
Other	11	0.7 (0.3–1.2)	1.7 (0.8-3.6)	
Unknown	2	0.2 (0.03–0.6)	0.4 (0.08–1.9)	
Type of contact [‡]				
First ring	16	0.7 (0.4–1.1)	1	1
Second ring	5	0.1 (0.05–0.3)	0.1 (0.06–0.4)	0.2 (0.08–0.7)
Casual contact	0	0	0.7 (0.0.4.4)	0.7 (0.0.4.7)
Unknown	15	0.5 (0.2–0.9)	0.7 (0.3–1.4)	0.7 (0.3–1.7)
BCG	10	0.0 (0.1.0.0)	4	1
No evidence of BCG vaccination/unknown Evidence of BCG vaccination	12 24	0.2 (0.1–0.3) 0.7 (0.2–1.0)	1 4.2 (2.1–8.4)	2.3 (1.1–5.1)
Preventive therapy by LTBI status	24	0.7 (0.2-1.0)	4.2 (2.1-0.4)	2.0 (1.1-0.1)
Eligible for screening; LTBI and	10	2.4 (1.2-4.7)	1	1
no therapy	10	2.7 (1.2 7.1)	'	1
Eligible for screening; LTBI and therapy started	4	1.4 (0.03–3.6)	0.5 (0.1–1.7)	0.8 (0.2–2.7)
Eligible for screening; no LTBI	4	0.1 (0.03-0.3)	0.03 (0.01-0.1)	0.08 (0.02-0.3)
Other [§]	18	0.3 (0.2–0.5)	0.1 (0.06–0.3)	0.2 (0.1–0.6)

Definition of abbreviations: BCG = bacillus Calmette-Guérin; CI = confidence interval; LTBI = latent tuberculosis infection; TB = tuberculosis.

contact-related) for coprevalent TB were identified using logistic regression. To account for correlated data (multiple contacts belonging to the same index patient in a contact investigation) generalized estimating equations were used. Kaplan-Meier curves were used to estimate cumulative TB risk. Clustered Cox proportional hazards regression (24) was used to calculate

crude and adjusted hazard ratios (HRs) and 95% confidence intervals (CIs) of incident TB. TB incidence was also reported as 5-year cumulative TB risk, excluding cases diagnosed in the first 180 days; coprevalent TB cases were excluded from this analysis, as were contacts identified in a subsequent contact investigation within 180 days. Variables

that were associated with the outcome in univariable analysis at *P* less than 0.2 were included in a multivariable model. Variables were subsequently eliminated from the model if they did not have an independent association with the outcome and their exclusion did not substantially affect the estimates of the other variables. Sex and age, of both index and contact, were *a priori* kept

^{*}Index and contact age groups 45-64 and greater than or equal to 65 were combined in the univariable and multivariable analysis.

 $^{^{\}dagger}$ Category unknown sex contact (n = 130) and category unknown age contact (n = 12) are not shown.

^{*}Second ring and casual contacts were combined in the univariable and multivariable analysis.

SContacts eligible for LTBI screening but not screened, or contacts not eligible for LTBI screening, or if LTBI screening eligibility was unknown.

in the models and the level of significance in all analyses was P less than 0.05. Analyses were completed in SPSS 21.0 (SPSS, Chicago, IL), Stata (version 13.0; Stata Corp, College Station, TX), and statistical program R version 2.11.0 (R Foundation, Vienna, Austria).

Results

Study Population

In the period 2002–2011, there were 9,332 contacts of 610 index patients with PTB. Of these 9,332 contacts, 5,867 (63%) had a male index patient, 355 (4%) had an index patient aged less than 15 years, 7,120 (76%) had a smear-positive TB index patient, and 634 (7%) had an HIV-positive index patient (Table 1). Of the 9,332 contacts, 4,492 (48%) were male, 1,519 (16%) were aged less than 15 years, 6,398 (69%) were of Dutch nationality, 6,234 (67%) were first or second ring contacts, and 3,011 (32%) had evidence of BCG vaccination (Table 1).

Coprevalent TB among Contacts

In the study period, 74 of 9,332 contacts (0.8%) had coprevalent TB (Table 1). Coprevalent TB was not associated with sex of the index patient, but was less likely if the index patient was aged 0–14 years (adjusted odds ratio [aOR], 0.1; 95% CI, 0.02–0.8) or aged greater than or equal to 45 years (aOR, 0.4; 95% CI, 0.2–0.8) as compared with age 15–44 years (Table 1). Coprevalent TB was less likely among contacts of smear-negative index patients (aOR, 0.3; 95% CI, 0.1–0.7).

Coprevalent TB was not associated with sex of contact, but was lower in adults as compared with contacts aged less than or equal to 14 years (aOR age 15–44 yr, 0.3; 95% CI, 0.1–0.5) (aOR age ≥45 yr, 0.2; 95% CI, 0.1–0.5) (Table 1). The odds of coprevalent TB declined with decreasing intensity and duration of contact: compared with first ring contacts, the aOR was 0.2 (95% CI, 0.1–0.4) for second ring contacts and 0.07 (95% CI, 0.01–0.6) for casual contacts (Table 1).

Incident TB among Contacts

Contacts with coprevalent TB diagnosed during the contact investigation (n = 74) and contacts identified in a subsequent contact investigation less than or equal to 180 days after index TB diagnosis (n = 45) were excluded from the analysis of incident TB. Among the remaining 9,213 contacts,

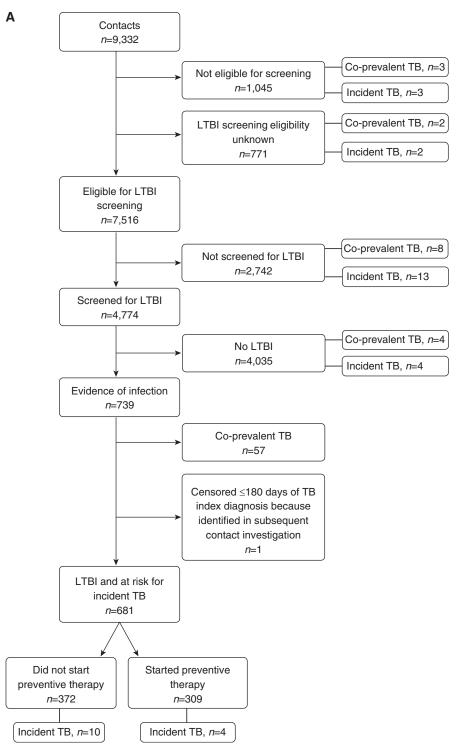


Figure 1. (A) Flow chart of contacts of pulmonary tuberculosis (TB) index patients, Amsterdam 2002–2011. (B) Flow chart of first ring contacts of pulmonary TB index patients, Amsterdam 2002–2011. Coprevalent TB = TB in contact if diagnosed less than or equal to 180 days of TB diagnosis of index patient. Incident TB = TB in contact if diagnosed greater than 180 days of TB diagnosis of index patient; Eligible for LTBI screening = contacts of sputum smear-positive pulmonary TB patients or first ring contacts of smear-negative pulmonary TB patients, only contacts born after 1945. LTBI = latent TB infection; LTBI diagnosis = IFN-γ release assay–positive, or in the absence of an IFN-γ release assay result, tuberculin skin test greater than or equal to 10 mm; LTBI screening = if a tuberculin skin test or an IFN-γ release assay was done.

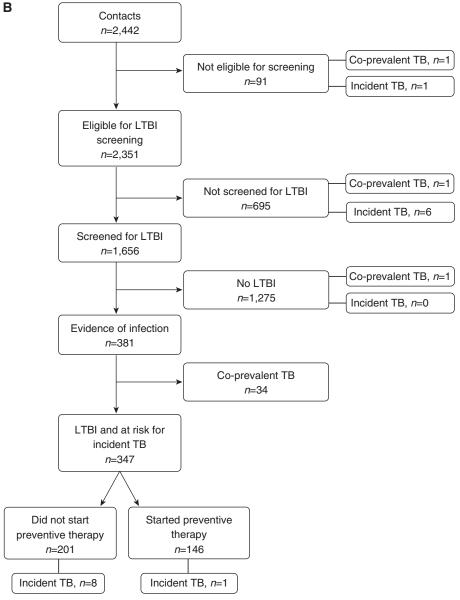


Figure 1. (Continued)

36 (0.4%) TB cases were identified in the study period.

Incident TB was not associated with sex of the index patient, but was less likely if the index patient was aged greater than or equal to 45 years as compared with age 15–44 years (aHR, 0.1; 95% CI, 0.05–0.6) (Table 2). TB incidence was not associated with sex and age of the contact. Incident TB was less likely if contacts were second ring contacts or casual contacts as compared with first ring contacts (aHR, 0.2; 95% CI, 0.08–0.7). BCG-vaccinated contacts were more likely to have incident TB compared with contacts without evidence for BCG vaccination (aHR, 2.3; 95% CI, 1.1–5.1) (Table 2).

Contacts without LTBI were significantly less likely to be diagnosed with incident TB than contacts with LTBI diagnosis who did not start preventive therapy (aHR, 0.08; 95% CI, 0.02–0.3) (Table 2). There was no significant reduction in incident TB among contacts with LTBI who started preventive therapy (aHR, 0.8; 95% CI, 0.2–2.7).

Cumulative TB Risk among Contacts with Evidence of Infection

During the contact investigation 739 (16%) of the 4,774 contacts screened for LTBI had evidence of infection (Figure 1A). Among these 739 contacts, 57 had coprevalent

TB and 14 developed incident TB. The 5-year risk of coprevalent and incident TB among 739 contacts with evidence of infection was 9.5% (95% CI, 7.5-11.9) (Figure 2). Among 739 contacts, 36 contacts were aged less than 5 years, of whom 11 had coprevalent TB and one had incident TB; 84 were aged 5-14 years, of whom 14 had coprevalent TB and two had incident TB, and of the 619 contacts aged greater than or equal to 15 years, 32 had coprevalent TB and 11 had incident TB (Figure 3). The 5-year risk of coprevalent and incident TB was 33.3% (95% CI, 19.1-51.1) among contacts aged less than 5 years, 19.1% (95% CI, 11.6-29.4) among contacts aged 5-14 years, and 6.7% (95% CI, 4.9-9.1) among contacts aged greater than or equal to 15 years (log rank, P < 0.001) (Figure 3).

Cumulative TB Risk among Contacts with LTBI

Among 739 contacts with evidence of infection, 681 had LTBI and were eligible for preventive therapy, of whom 309 (45%) started preventive therapy (Figure 1A). For the contacts with LTBI, the 5-year risk of incident TB was 2.4% (95% CI, 1.2-4.7) among contacts who did not start preventive therapy and 1.4% (95% CI, 0.03-3.6) among contacts who started preventive therapy (Table 2). Among 381 first ring contacts with evidence of infection, 347 contacts had LTBI and were eligible for preventive therapy, of whom 146 (42%) started preventive therapy (Figure 1B). For the first ring contacts with LTBI, the 5-year risk of incident TB was 3.5% (95% CI, 1.5-7.3) among contacts who did not start preventive therapy and 0.7% (95% CI, 0.05-4.4) among contacts who started preventive therapy.

Genotypes of Index and Contact

Culture status was available for 81 of 110 contacts diagnosed with TB, of whom 43 (53%) were culture-positive (Table 3). Of the 17 TB cases among contacts aged less than 5 years, culture status was not available for 12, and the remaining five cases were culture-negative. The proportion of contacts with culture-confirmed TB among contacts aged greater than or equal to 5 years was smaller for cases diagnosed within 6 months (47%) compared with those diagnosed later. For 35 (81%) of 43 contacts aged greater than or equal to 5 years with TB diagnosis confirmed by culture a *M. tuberculosis* genotype was

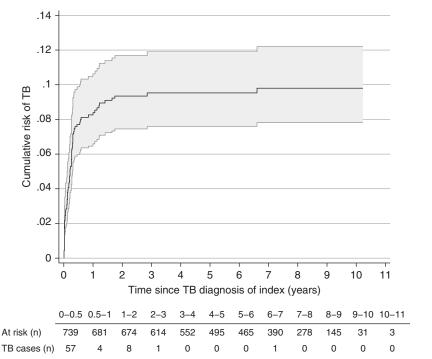


Figure 2. Tuberculosis (TB) risk among 739 contacts with evidence of infection at risk for coprevalent and incident TB after exposure to pulmonary TB index patients.

available for both index and contact, of whom 29 (83%) had concordant genotypes (Table 3). Genotype concordance among cases diagnosed within 2 years was higher as compared with concordance among cases diagnosed greater than 2 years (93% vs. 50%).

Discussion

The 5-year risk of TB among contacts with evidence of infection was higher compared

with older estimates, and the risk of TB differed considerably by age. Furthermore, the 5-year risk of incident TB among contacts with LTBI and without preventive therapy was low at 2.4%, suggesting limited impact of expanding preventive therapy. These results suggest that the risk of TB might vary considerably between populations.

TB risk estimates in cost-effectiveness studies often rely on TB rates based on data collected decades ago, estimated at 5–10% (7–11). These estimates include both coprevalent and incident TB; thus,

cost-effectiveness studies do not take into account that contacts with coprevalent TB, diagnosed during contact investigation, are unlikely to benefit from preventive TB therapy, indicating that typical cost-effectiveness studies might be overestimating the benefits of preventive therapy and its cost-effectiveness. In this study, the 5-year risk of incident TB without preventive treatment was limited at 2.4% after exclusion of coprevalent TB cases, suggesting limited potential impact of preventive therapy. Although the 5-year risk of incident TB was higher among first ring contacts of index patients, the risk (3.5%) was still lower than estimates generally used in cost-effectiveness analyses.

TB incidence in placebo groups from controlled trials among TST reactors of household contacts of infectious TB cases in United States, Kenya, and Philippines were summarized in a review by Ferebee (7) and differed considerably from each other (2.2% after 5 yr, 4.8% after 4 yr, and 14% after 2 yr, respectively) and from TB incidence in our analysis among close contacts who did not start preventive therapy (3.9% after 10 yr). Despite differences in follow-up, size of population, and diagnostic criteria, variation in rates may reflect real differences in TB risk between populations. The risk of TB may also vary across settings depending on the age distribution. The 5-year TB risk among contacts with evidence of infection in our study was higher than the 5% often stated in the literature. However, 84% of our study population was aged greater than or equal to 15 years, and the 5-year TB risk of 6.7% in this age group was close to

Table 3. *Mycobacterium tuberculosis* Genotyping Results of Coprevalent and Incident Tuberculosis among Contacts of Pulmonary Tuberculosis Patients by Time since Exposure and Age of Contact

Time since Index Tuberculosis Diagnosis (yr)	Total Tuberculosis Cases (<i>n</i>)	Culture Status Available (n)	Culture-Positive [n (%)]	Culture-Positive and Genotype Results Available for Index and Contact (n)	Concordant Genotypes [n (%)]
Age of contact					
<5 yr					
0–0.5	16	5	0		
>0.5–2	1	0			
>2	0				
≥5 yr					
0–0.5	58	43	20 (47)	16	15 (94)
>0.5–2	21	19	12 (63)	11	10 (91)
>2	14	14	11 (̈79́)	8	4 (50)
Total	110	81	43 (53)	35	29 (83)

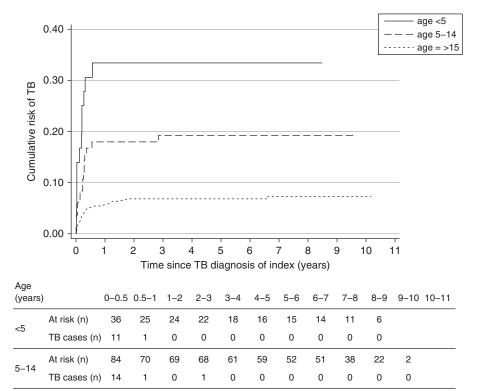


Figure 3. Tuberculosis (TB) risk among 739 contacts with evidence of infection at risk for coprevalent and incident TB after exposure to pulmonary TB index patients, by age.

473

0

420

0

398

0

325

229

117

0

29

0

3

0

estimates often mentioned in the literature (2, 7, 9). Children are, once infected, at a much higher risk of progression to TB than adults (25). In our study, the risk of coprevalent and incident TB among contacts with LTBI aged less than 5 years was about twice the risk among contacts aged 5–14 years, and the risk among contacts aged 5–14 years was almost three times the risk among contacts aged greater than or equal to 15 years.

586

2

619

At risk (n)

TB cases (n)

≥15

581

524

TB diagnosis in children is generally very difficult, which was also apparent in our study because most TB diagnoses among contacts aged less than 5 years were not confirmed by culture. Thus, no genotype results were available to investigate concordance with index genotypes.

More than half of the contacts diagnosed with LTBI did not start preventive therapy. Although the 5-year risk of TB was lower among those who were prescribed preventive therapy, the risk was not significantly lower compared with those who were not prescribed prophylaxis. This could be caused by limited power, but also

by confounding by indication because treatment allocation was not randomized and may well have been associated with the risk of TB. Also, we might have underestimated the impact of therapy, because we did not take into account treatment completion. Incomplete therapy could, as shown by a study from Canada, result in a sixfold higher risk of TB among contacts as compared with contacts that complete therapy (26).

Current Dutch guidelines restrict LTBI screening among smear-negative patients to first ring contacts. Contacts of sputum smear-negative index patients were significantly less likely to be diagnosed with coprevalent TB. Likewise, the prevalence of coprevalent TB was extremely low among casual contacts of smear-positive index patients, and none of the casual contacts developed incident TB. A cost-effectiveness analysis may indicate whether screening among first ring contacts of smear-negative index patients and casual contacts of smear-positive index patients is worthwhile.

This study has some limitations. First, by using a uniform TST cut-off of greater than or equal to 10 mm for LTBI diagnosis we may have underestimated or overestimated the number of contacts with LTBI at risk for TB, which could have influenced the observed impact of preventive treatment. However, TST reactions caused by previous BCG vaccination or infection with nontuberculous mycobacteria are expected to be of limited influence (27, 28). Second, because country of birth was unknown to us, it was impossible to correct for background infection prevalence among contacts from high TB burden countries, which might be useful because LTBI diagnosis among these contacts might be caused by remote past infection. However, it has been demonstrated that among immigrant contacts of TB patients, the TST is indicative in predicting the risk of developing TB, irrespective whether a cutoff value for the TST of 10 or 15 mm was used, justifying preventive treatment in this group (29).

Third, we did not take into account that a small proportion of the contacts will have passed away during the follow-up period. This will have resulted in a small overestimation of the people at risk, leading to an underestimation of the cumulative TB risk. Fourth, we did not take into account that a proportion of the people at risk will have moved out of Amsterdam during the study period. Assuming that moving is not associated with risk of TB, this would have led to proportional decreases of people at risk and TB cases identified, but not to biased risk estimates. Finally, a 180-day cutoff was used to allow time for diagnosis of active TB cases among contacts or initiation of chemoprophylaxis for infected contacts, and may have resulted in an underestimation of incident TB cases among contacts with LTBI. However, our aim was to estimate the risk of TB among contacts eligible for preventive therapy, and contacts in which TB was diagnosed within 180 days of the TB diagnosis in the index were most likely nonpreventable cases.

This study has some important strengths. This is one of the few cohort studies in recent decades examining longterm outcomes of contact investigation for which robust data analysis of a large number of contacts was possible because of the PHS' systematized TB control program. Furthermore, genotyping results provided assurance that most TB among contacts likely resulted from exposure to their index patient.

In conclusion, this study showed that in a low-TB incidence and low-HIV prevalence, high-income setting, the risk of progression to TB among contacts with LTBI is low, suggesting limited impact may be expected of expanding preventive therapy. Therefore, future studies assessing the cost-effectiveness of preventive therapy among contacts with LTBI should acknowledge the potential influence of setting-dependent factors.

<u>Author disclosures</u> are available with the text of this article at www.atsjournals.org.

Acknowledgment: The authors thank Michel Hoff for performing the probabilistic record linkage analysis. They also thank Annet Reusken, Carolien Moree, and Wieneke Meijer for advice on the practice of contact investigations in Amsterdam and data preparation.

References

- American Thoracic Society. Targeted tuberculin and treatment of latent tuberculosis infection. Am J Respir Crit Care Med 2000;161: S221–S247.
- Styblo K. The relationship between the risk of tuberculous infection and the risk of developing infectious tuberculosis. *Bull Int Union Tuberc Lung Dis* 1985;60:117–119.
- Macintyre CR, Plant AJ, Hendrie D. The cost-effectiveness of evidencebased guidelines and practice for screening and prevention of tuberculosis. *Health Econ* 2000;9:411–421.
- 4. Jasmer RM, Snyder DC, Saukkonen JJ, Hopewell PC, Bernardo J, King MD, Kawamura LM, Daley CL; Short-Course Rifampin and Pyrazinamide for Tuberculosis Infection Study Investigators. Short-course rifampin and pyrazinamide compared with isoniazid for latent tuberculosis infection: a cost-effectiveness analysis based on a multicenter clinical trial. Clin Infect Dis 2004;38:363–369.
- Salpeter SR, Sanders GD, Salpeter EE, Owens DK. Monitored isoniazid prophylaxis for low-risk tuberculin reactors older than 35 years of age: a risk-benefit and cost-effectiveness analysis. *Ann Intern Med* 1997; 127:1051–1061.
- Pina JM, Clotet L, Ferrer A, Sala MR, Garrido P, Salleras L, Domínguez A. Cost-effectiveness of rifampin for 4 months and isoniazid for 9 months in the treatment of tuberculosis infection. Eur J Clin Microbiol Infect Dis 2013;32:647–655.
- 7. Ferebee SH. Controlled chemoprophylaxis trials in tuberculosis: a general review. *Bibl Tuberc* 1970;26:28–106.
- Comstock GW, Baum C, Snider DE Jr. Isoniazid prophylaxis among Alaskan Eskimos: a final report of the bethel isoniazid studies. Am Rev Respir Dis 1979;119:827–830.
- Comstock GW, Livesay VT, Woolpert SF. The prognosis of a positive tuberculin reaction in childhood and adolescence. Am J Epidemiol 1974:99:131–138.
- Comstock GW. Frost revisited: the modern epidemiology of tuberculosis. Am J Epidemiol 1975;101:363–382.
- 11. Sutherland I, Svandová E, Radhakrishna S. The development of clinical tuberculosis following infection with tubercle bacilli. 1. A theoretical model for the development of clinical tuberculosis following infection, linking from data on the risk of tuberculous infection and the incidence of clinical tuberculosis in the Netherlands. *Tubercle* 1982;63:255–268.
- Vynnycky E, Fine PE. Lifetime risks, incubation period, and serial interval of tuberculosis. Am J Epidemiol 2000;152:247–263.
- Zelner JL, Murray MB, Becerra MC, Galea J, Lecca L, Calderon R, Yataco R, Contreras C, Zhang Z, Grenfell BT, et al. Bacillus Calmette-Guérin and isoniazid preventive therapy protect contacts of patients with tuberculosis. Am J Respir Crit Care Med 2014;189:853–859.
- Ayieko J, Abuogi L, Simchowitz B, Bukusi EA, Smith AH, Reingold A. Efficacy of isoniazid prophylactic therapy in prevention of tuberculosis in children: a meta-analysis. *BMC Infect Dis* 2014;14:91.
- Vynnycky E, Borgdorff MW, Leung CC, Tam CM, Fine PE. Limited impact of tuberculosis control in Hong Kong: attributable to high risks of reactivation disease. *Epidemiol Infect* 2008;136:943–952.

- Fox GJ, Barry SE, Britton WJ, Marks GB. Contact investigation for tuberculosis: a systematic review and meta-analysis. *Eur Respir* J 2013;41:140–156.
- 17. Supply P, Allix C, Lesjean S, Cardoso-Oelemann M, Rüsch-Gerdes S, Willery E, Savine E, de Haas P, van Deutekom H, Roring S, et al. Proposal for standardization of optimized mycobacterial interspersed repetitive unit-variable-number tandem repeat typing of Mycobacterium tuberculosis. J Clin Microbiol 2006;44: 4498–4510.
- van Embden JD, Cave MD, Crawford JT, Dale JW, Eisenach KD, Gicquel B, Hermans P, Martin C, McAdam R, Shinnick TM, et al. Strain identification of *Mycobacterium tuberculosis* by DNA fingerprinting: recommendations for a standardized methodology. *J Clin Microbiol* 1993;31:406–409.
- KNCV Tuberculosis Foundation. Landelijke Coördinatie Infectieziektebestrijding. Richtlijn Tuberculosecontactonderzoek. The Hague: KNCV; 2007.
- KNCV Tuberculosis Foundation. IGRA-werkgroep Commissie voor Praktische Tuberculosebestrijding. Richtlijn Interferon Gamma Release Assays bij de diagnostiek van tuberculose. The Hague: KNCV: 2007.
- European Centre for Disease Prevention and Control. Use of interferongamma release assays in support of TB diagnosis. Stockholm: ECDC; 2011.
- Schaaf JM, Hof MH, Mol BW, Abu-Hanna A, Ravelli AC. Recurrence risk of preterm birth in subsequent twin pregnancy after preterm singleton delivery. BJOG 2012;119:1624–1629.
- Tromp M, Reitsma JB, Ravelli AC, Méray N, Bonsel GJ. Record linkage: making the most out of errors in linking variables. AMIA Annu Symp Proc 2006;779–783.
- Therneau TM. A package for survival analysis in R. R package version 2.37–7 [accessed 2014 Mar 5]. Available from: http://CRAN.Rproject.org/package=survival
- 25. Beyers N, Gie RP, Schaaf HS, Van Zyl S, Talent JM, Nel ED, Donald PR. A prospective evaluation of children under the age of 5 years living in the same household as adults with recently diagnosed pulmonary tuberculosis. *Int J Tuberc Lung Dis* 1997;1:38–43.
- Morán-Mendoza O, Marion SA, Elwood K, Patrick D, FitzGerald JM. Risk factors for developing tuberculosis: a 12-year follow-up of contacts of tuberculosis cases. *Int J Tuberc Lung Dis* 2010;14: 1112–1119.
- Farhat M, Greenaway C, Pai M, Menzies D. False-positive tuberculin skin tests: what is the absolute effect of BCG and non-tuberculous mycobacteria? *Int J Tuberc Lung Dis* 2006;10:1192–1204.
- Berkel GM, Cobelens FG, de Vries G, Draayer-Jansen IW, Borgdorff MW. Tuberculin skin test: estimation of positive and negative predictive values from routine data. *Int J Tuberc Lung Dis* 2005;9: 310–316.
- 29. Kik SV, Franken WP, Mensen M, Cobelens FG, Kamphorst M, Arend SM, Erkens C, Gebhard A, Borgdorff MW, Verver S. Predictive value for progression to tuberculosis by IGRA and TST in immigrant contacts. *Eur Respir J* 2010;35:1346–1353.

eproduced with permission of the copyright owner. Further reproduction prohibited wit rmission.	thout