# Master's Thesis Exam Verification of the Blocking and Non-Blocking Michael-Scott Queue Algorithms

Mathias Pedersen, 201808137 Advisor: Amin Timany

Aarhus University

June 2024



- Initial goal was to prove soundness of the two M&S Queues
- The project later generalised the results to apply to queues in general

- Initial goal was to prove soundness of the two M&S Queues
- The project later generalised the results to apply to queues in general
- In particular, three different specifications for queues were given
  - Sequential specification
    - Useful for sequential clients
  - Concurrent specification
    - Proves soundness of concurrent queues
    - Useful for some concurrent clients
  - HOCAP-style specification
    - Stronger specification, useful for more complex clients
    - Demonstrated with a specific queue client (queueAdd)

- Initial goal was to prove soundness of the two M&S Queues
- The project later generalised the results to apply to queues in general
- In particular, three different specifications for queues were given
  - Sequential specification
    - Useful for sequential clients
  - Concurrent specification
    - Proves soundness of concurrent queues
    - Useful for some concurrent clients
  - HOCAP-style specification
    - Stronger specification, useful for more complex clients
    - Demonstrated with a specific queue client (queueAdd)
- It was demonstrated that the HOCAP-style specification derives the sequential and concurrent specifications

- Initial goal was to prove soundness of the two M&S Queues
- The project later generalised the results to apply to queues in general
- In particular, three different specifications for queues were given
  - Sequential specification
    - Useful for sequential clients
  - Concurrent specification
    - Proves soundness of concurrent queues
    - Useful for some concurrent clients
  - HOCAP-style specification
    - Stronger specification, useful for more complex clients
    - Demonstrated with a specific queue client (queueAdd)
- It was demonstrated that the HOCAP-style specification derives the sequential and concurrent specifications
- Implementations of the M&S Queues in HeapLang were proven to meet the three specifications
  - In particular, both version are sound

- Initial goal was to prove soundness of the two M&S Queues
- The project later generalised the results to apply to queues in general
- In particular, three different specifications for queues were given
  - Sequential specification
    - Useful for sequential clients
  - Concurrent specification
    - Proves soundness of concurrent queues
    - Useful for some concurrent clients
  - HOCAP-style specification
    - Stronger specification, useful for more complex clients
    - Demonstrated with a specific queue client (queueAdd)
- It was demonstrated that the HOCAP-style specification derives the sequential and concurrent specifications
- Implementations of the M&S Queues in HeapLang were proven to meet the three specifications
  - In particular, both version are sound
- All proofs have been mechanised in the Coq proof assistant

#### Outline

- Queue Specifications
- 2 The Two-Lock Michael-Scott Queue
- Proving that the Two-Lock Michael-Scott Queue Satisfies the HOCAP-style Specification
- 4 The Lock-Free Michael-Scott Queue
- 5 Proving that the Lock-and-CC-Free Michael-Scott Queue Satisfies the HOCAP-style Specification

# Queue Specifications

# Specifications for Queues

#### Assumptions on Queues

- Queues consists of initialize, enqueue, and dequeue
- initialize creates an empty queue: []
- **enqueue** adds a value, v, to the beginning of the queue  $xs_v$ :  $v :: xs_v$
- dequeue depends on whether queue is empty:
  - If non-empty,  $xs_v ++ v$ , remove value v at end of queue and return Some v
  - If empty, [], return None

# Specifications for Queues

#### Assumptions on Queues

- Queues consists of initialize, enqueue, and dequeue
- initialize creates an empty queue: []
- **enqueue** adds a value, v, to the beginning of the queue  $xs_v$ :  $v :: xs_v$
- dequeue depends on whether queue is empty:
  - If non-empty,  $xs_v$  ++ v, remove value v at end of queue and return Some v
  - If empty, [], return None

#### Nature of Specifications

- Specifications written in Iris, a higher order CSL
- **E**xpressed in terms of *Hoare triples*:  $\{P\}$  e  $\{v. \Phi v\}$
- Hoare triples prove partial correctness of programs, e
- In particular: safety
- Idea: clients can use Hoare triples to prove results about their own code

#### Definition (Sequential Specification)

 $\exists isQueue_S : Val \rightarrow List \ Val \rightarrow SeqQgnames \rightarrow Prop.$ 

- The proposition isQueue<sub>S</sub>( $v_q$ ,  $x_{S_v}$ , G), states that value  $v_q$  represents the queue, which contains elements  $x_{S_v}$
- ullet  $G \in SeqQgnames$  is a collection of ghost names (depends on specific queue)
- Specification consists of three Hoare triples one for each queue function
- Important: isQueues not required to be persistent!

### Definition (Sequential Specification)

```
\exists isQueue<sub>S</sub> : Val \rightarrow List \ Val \rightarrow SeqQgnames \rightarrow Prop.

\{True\} initialize () \{v_q.\exists G. \text{ isQueue}_S(v_q, [], G)\}
```

- The proposition isQueue<sub>S</sub>( $v_q$ ,  $x_{S_v}$ , G), states that value  $v_q$  represents the queue, which contains elements  $x_{S_v}$
- ullet  $G \in SeqQgnames$  is a collection of ghost names (depends on specific queue)
- Specification consists of three Hoare triples one for each queue function
- Important: isQueues not required to be persistent!

## Definition (Sequential Specification)

 $\exists$  isQueue<sub>5</sub> :  $Val \rightarrow List \ Val \rightarrow SeqQgnames \rightarrow Prop.$ 

```
{True} initialize () {v_q.\exists G. isQueue<sub>S</sub>(v_q, [], G)}

\forall v_q, v, x_s, G. {isQueue<sub>S</sub>(v_q, x_s, G)} enqueue v_q v {w. isQueue<sub>S</sub>(v_q, (v :: x_s, G))
```

- The proposition isQueue<sub>S</sub>( $v_q$ ,  $x_{S_v}$ , G), states that value  $v_q$  represents the queue, which contains elements  $x_{S_v}$
- ullet  $G \in SeqQgnames$  is a collection of ghost names (depends on specific queue)
- Specification consists of three Hoare triples one for each queue function
- Important: isQueues not required to be persistent!

## Definition (Sequential Specification)

```
\exists \operatorname{isQueue}_{S} : \operatorname{Val} \to \operatorname{List} \operatorname{Val} \to \operatorname{SeqQgnames} \to \operatorname{Prop.}
\{\operatorname{True}\} \text{ initialize } () \{v_{q}.\exists G. \operatorname{isQueue}_{S}(v_{q}, [], G)\}
\land \forall v_{q}, v, xs_{v}, G. \{\operatorname{isQueue}_{S}(v_{q}, xs_{v}, G)\} \text{ enqueue } v_{q} \ v \ \{w. \operatorname{isQueue}_{S}(v_{q}, (v :: xs_{v}), G)\}
\land \forall v_{q}, xs_{v}, G. \{\operatorname{isQueue}_{S}(v_{q}, xs_{v}, G)\}
dequeue \ v_{q}
\{w. \ (xs_{v} = [] * w = \operatorname{None} * \operatorname{isQueue}_{S}(v_{q}, xs_{v}, G)) \lor (\exists v, xs'_{v}. xs_{v} = xs'_{v} + + [v] * w = \operatorname{Some} v * \operatorname{isQueue}_{S}(v_{q}, xs'_{v}, G)) \}
```

- The proposition isQueue<sub>S</sub>( $v_q$ ,  $x_{S_v}$ , G), states that value  $v_q$  represents the queue, which contains elements  $x_{S_v}$
- ullet  $G \in SeqQgnames$  is a collection of ghost names (depends on specific queue)
- Specification consists of three Hoare triples one for each queue function
- Important: isQueues not required to be persistent!

- To support concurrent clients, we shall require the queue predicate be persistent
- Tracking the contents of queue in the way that the sequential specification did doesn't work
- Threads will start disagreeing on contents of queue, as they have only local view of contents
- Give up on tracking contents for now
- lacktriangle Instead, promise that all elements satisfy client-defined predicate,  $\Psi$

```
\exists \mathsf{isQueue}_\mathsf{C} : (Val \to \mathsf{Prop}) \to Val \to \mathit{ConcQgnames} \to \mathsf{Prop}.
\forall \Psi : \mathit{Val} \to \mathsf{Prop}.
\forall v_q, G. \ \mathsf{isQueue}_\mathsf{C}(\Psi, v_q, G) \implies \Box \ \mathsf{isQueue}_\mathsf{C}(\Psi, v_q, G)
```

- To support concurrent clients, we shall require the queue predicate be persistent
- Tracking the contents of queue in the way that the sequential specification did doesn't work
- Threads will start disagreeing on contents of queue, as they have only local view of contents
- Give up on tracking contents for now
- lacktriangle Instead, promise that all elements satisfy client-defined predicate,  $\Psi$

```
\begin{array}{l} \exists \ \mathsf{isQueue}_{\mathsf{C}} : (\mathit{Val} \to \mathsf{Prop}) \to \mathit{Val} \to \mathit{ConcQgnames} \to \mathsf{Prop}. \\ \forall \Psi : \mathit{Val} \to \mathsf{Prop}. \\ \forall \mathit{v_q}, G. \ \mathsf{isQueue}_{\mathsf{C}}(\Psi, \mathit{v_q}, G) \implies \Box \ \mathsf{isQueue}_{\mathsf{C}}(\Psi, \mathit{v_q}, G) \\ \land \quad \{\mathsf{True}\} \ \mathsf{initialize} \ () \{\mathit{v_q}, \exists G. \ \mathsf{isQueue}_{\mathsf{C}}(\Psi, \mathit{v_q}, G)\} \end{array}
```

- To support concurrent clients, we shall require the queue predicate be persistent
- Tracking the contents of queue in the way that the sequential specification did doesn't work
- Threads will start disagreeing on contents of queue, as they have only local view of contents
- Give up on tracking contents for now
- lacktriangle Instead, promise that all elements satisfy client-defined predicate,  $\Psi$

```
\begin{split} \exists \ \mathsf{isQueue}_{\mathbb{C}} : (\mathit{Val} \to \mathsf{Prop}) \to \mathit{Val} \to \mathit{ConcQgnames} \to \mathsf{Prop}. \\ \forall \Psi : \mathit{Val} \to \mathsf{Prop}. \\ \forall v_q, G. \ \mathsf{isQueue}_{\mathbb{C}}(\Psi, v_q, G) \implies \Box \ \mathsf{isQueue}_{\mathbb{C}}(\Psi, v_q, G) \\ \land \quad \{\mathsf{True}\} \ \mathsf{initialize} \ () \ \{v_q. \exists G. \ \mathsf{isQueue}_{\mathbb{C}}(\Psi, v_q, G)\} \\ \land \quad \forall v_q, v, G. \ \{\mathsf{isQueue}_{\mathbb{C}}(\Psi, v_q, G) * \Psi(v)\} \ \mathsf{enqueue} \ v_q \ v \ \{w.\mathsf{True}\} \end{split}
```

- To support concurrent clients, we shall require the queue predicate be persistent
- Tracking the contents of queue in the way that the sequential specification did doesn't work
- Threads will start disagreeing on contents of queue, as they have only local view of contents
- Give up on tracking contents for now
- lacktriangle Instead, promise that all elements satisfy client-defined predicate,  $\Psi$

```
\exists \, \mathsf{isQueue}_\mathsf{C} : (\mathit{Val} \to \mathsf{Prop}) \to \mathit{Val} \to \mathit{ConcQgnames} \to \mathsf{Prop}.
\forall \Psi : \mathit{Val} \to \mathsf{Prop}.
\forall v_q, G. \, \mathsf{isQueue}_\mathsf{C}(\Psi, v_q, G) \implies \Box \, \mathsf{isQueue}_\mathsf{C}(\Psi, v_q, G)
\land \quad \{\mathsf{True}\} \, \mathsf{initialize} \, () \, \{v_q. \exists G. \, \mathsf{isQueue}_\mathsf{C}(\Psi, v_q, G)\}
\land \quad \forall v_q, v, G. \, \{\mathsf{isQueue}_\mathsf{C}(\Psi, v_q, G) * \Psi(v)\} \, \mathsf{enqueue} \, v_q \, v \, \{w.\mathsf{True}\}
\land \quad \forall v_q, G. \, \{\mathsf{isQueue}_\mathsf{C}(\Psi, v_q, G)\} \, \mathsf{dequeue} \, v_q \, \{w.w = \mathsf{None} \, \forall (\exists v.w = \mathsf{Some} \, v * \Psi(v))\}
```

# HOCAP-style Specification - Abstract State RA

■ We will need a construction to allow clients to track contents of queue

# HOCAP-style Specification - Abstract State RA

- We will need a construction to allow clients to track contents of queue
- Idea: have two "views" of the abstract state of the queue

Authoritative view	Fragmental view
$\gamma \mapsto_{ullet} xs_v$	$\gamma \mapsto_{\circ} x s_{v}$
Owned by queue	Owned by client

# HOCAP-style Specification - Abstract State RA

- We will need a construction to allow clients to track contents of queue
- Idea: have two "views" of the abstract state of the queue

Authoritative view	Fragmental view
$\gamma \mapsto_{ullet} x s_v$	$\gamma \mapsto_{\circ} xs_{v}$
Owned by queue	Owned by client

- Construction ensures:
  - authoritative and fragmental views always agree on abstract state of queue
  - views can only be updated in unison
- Implemented using the resource algebra:  $Auth((FRAC \times Ag(List \ Val))^?)$
- The desirables are captured by the following lemmas

### Lemmas on the Abstract State RA

$$\vdash \boxminus \exists \gamma. \ \gamma \bowtie_{\bullet} xs_{v} * \gamma \bowtie_{\circ} xs_{v}$$

$$\gamma \bowtie_{\bullet} xs'_{v} * \gamma \bowtie_{\circ} xs_{v} \vdash xs_{v} = xs'_{v}$$

$$\uparrow \bowtie_{\bullet} xs'_{v} * \gamma \bowtie_{\circ} xs_{v} \vdash xs_{v} = xs''_{v}$$

$$\uparrow \bowtie_{\bullet} xs'_{v} * \gamma \bowtie_{\circ} xs_{v} \Rightarrow \gamma \bowtie_{\bullet} xs''_{v} * \gamma \bowtie_{\circ} xs''_{v}$$
(Abstract State Update)

- Post-condition of initialize specification now gives fragmental view to clients
- Hoare triples for enqueue and dequeue are conditioned on view-shifts
- Clients must show that they can supply the fragmental view, so that the abstract (and concrete) state can be updated
- View-shifts and Hoare-triples parametrised by predicates P and Q
  - Client might have resources that need to be updated as a result of enqueue/dequeue
  - $\blacksquare$  P is the clients resources before enqueue/dequeue and Q the resources after

# Definition (HOCAP Specification)

```
\exists isQueue : Val \rightarrow Qgnames \rightarrow Prop.

\forall v_a, G. isQueue(v_a, G) \implies \Box isQueue(v_a, G)
```

- Post-condition of initialize specification now gives fragmental view to clients
- Hoare triples for enqueue and dequeue are conditioned on view-shifts
- Clients must show that they can supply the fragmental view, so that the abstract (and concrete) state can be updated
- View-shifts and Hoare-triples parametrised by predicates P and Q
  - Client might have resources that need to be updated as a result of enqueue/dequeue
  - $\blacksquare$  P is the clients resources before enqueue/dequeue and Q the resources after

# Definition (HOCAP Specification)

```
 \begin{split} \exists \ \mathsf{isQueue} : \mathit{Val} & \to \mathit{Qgnames} \to \mathsf{Prop}. \\ \forall \mathit{v_q}, \mathit{G}. \ \mathsf{isQueue}(\mathit{v_q}, \mathit{G}) \implies \Box \ \mathsf{isQueue}(\mathit{v_q}, \mathit{G}) \\ \land \quad & \{\mathsf{True}\} \ \mathsf{initialize} \ () \{\mathit{v_q}. \exists \mathit{G}. \ \mathsf{isQueue}(\mathit{v_q}, \mathit{G}) * \mathit{G}. \gamma_{\mathrm{Abst}} \Rightarrow_{\circ} \ [] \} \end{aligned}
```

- Post-condition of initialize specification now gives fragmental view to clients
- Hoare triples for enqueue and dequeue are conditioned on view-shifts
- Clients must show that they can supply the fragmental view, so that the abstract (and concrete) state can be updated
- View-shifts and Hoare-triples parametrised by predicates P and Q
  - Client might have resources that need to be updated as a result of enqueue/dequeue
  - P is the clients resources before enqueue/dequeue and Q the resources after

# Definition (HOCAP Specification)

 $\exists$  isQueue :  $Val \rightarrow Qgnames \rightarrow Prop.$ 

```
\begin{array}{ll} \forall v_q, G. \ \mathsf{isQueue}(v_q, G) \implies \Box \ \mathsf{isQueue}(v_q, G) \\ \wedge & \{\mathsf{True}\} \ \mathsf{initialize} \ () \ \{v_q. \exists G. \ \mathsf{isQueue}(v_q, G) * G.\gamma_{\mathsf{Abst}} \Rightarrow_{\diamond} [] \} \\ \wedge & \forall v_q, v, G, P, Q. \ \left( \forall x s_v. \ G.\gamma_{\mathsf{Abst}} \Rightarrow_{\bullet} x s_v * P \Rightarrow_{\mathcal{E} \backslash \mathcal{N}, i \uparrow} \triangleright G.\gamma_{\mathsf{Abst}} \Rightarrow_{\bullet} (v :: x s_v) * Q \right) - * \\ & \{ \mathsf{isQueue}(v_q, G) * P \} \ \mathsf{enqueue} \ v_q \ v \ \{w. Q \} \end{array}
```

- Post-condition of initialize specification now gives fragmental view to clients
- Hoare triples for enqueue and dequeue are conditioned on view-shifts
- Clients must show that they can supply the fragmental view, so that the abstract (and concrete) state can be updated
- View-shifts and Hoare-triples parametrised by predicates P and Q
  - Client might have resources that need to be updated as a result of enqueue/dequeue
  - P is the clients resources before enqueue/dequeue and Q the resources after

# Definition (HOCAP Specification)

```
\exists \operatorname{isQueue}: \operatorname{Val} \to \operatorname{Qgnames} \to \operatorname{Prop}.
\forall v_q, G. \operatorname{isQueue}(v_q, G) \Longrightarrow \Box \operatorname{isQueue}(v_q, G)
\land \quad \{\operatorname{True}\} \operatorname{initialize}\left(\right) \{v_q. \exists G. \operatorname{isQueue}(v_q, G) * G.\gamma_{\operatorname{Abst}} \mapsto_{\circ} []\}
\land \quad \forall v_q, v, G, P, Q. \quad \left(\forall x_{S_V}. G.\gamma_{\operatorname{Abst}} \mapsto_{\bullet} x_{S_V} * P \ni_{\mathcal{E} \backslash \mathcal{N}, i \uparrow} \triangleright G.\gamma_{\operatorname{Abst}} \mapsto_{\bullet} (v :: x_{S_V}) * Q\right) - *
\quad \{\operatorname{isQueue}(v_q, G) * P\} \text{ enqueue } v_q \ v \ \{w. Q\}
\land \quad \forall v_q, G, P, Q.
\left(\forall x_{S_V}. G.\gamma_{\operatorname{Abst}} \mapsto_{\bullet} x_{S_V} * P \ni_{\mathcal{E} \backslash \mathcal{N}, i \uparrow} \triangleright \left(\begin{array}{c} (x_{S_V} = [] * G.\gamma_{\operatorname{Abst}} \mapsto_{\bullet} x_{S_V} * Q(\operatorname{None})) \\ \vee \left(\begin{array}{c} \exists v, x_{S_V}'. x_{S_V} = x_{S_V}' + [v] * \\ G.\gamma_{\operatorname{Abst}} \mapsto_{\bullet} x_{S_V}' * Q(\operatorname{Some} v) \end{array}\right) \right) \rightarrow *
\{\operatorname{isQueue}(v_q, G) * P\} \operatorname{degueue} v_q \{w. Q(w)\}
```

Add two numbers after having two threads enqueue and subsequently dequeue them

```
unwrap w \triangleq \mathsf{match} \ w \ \mathsf{with} \ \mathsf{None} \Rightarrow () \ () \ | \ \mathsf{Some} \ v \Rightarrow v \ \mathsf{end} enqdeq v_q \ c \triangleq \mathsf{enqueue} \ v_q \ c; \ \mathsf{unwrap}(\mathsf{dequeue} \ v_q) queueAdd a \ b \triangleq \mathsf{let} \ v_q = \mathsf{initialize} \ () \ \mathsf{in} \ \mathsf{let} \ p = (\mathsf{enqdeq} \ v_q \ a) \ || \ (\mathsf{enqdeq} \ v_q \ b) \ \mathsf{in} \ \mathsf{fst} \ p + \mathsf{snd} \ p
```

- Add two numbers after having two threads enqueue and subsequently dequeue them
- Idea: a minimal client complex enough to require HOCAP-style specification

```
unwrap w \triangleq \mathsf{match} \ w \ \mathsf{with} \ \mathsf{None} \Rightarrow () \ () \ | \ \mathsf{Some} \ v \Rightarrow v \ \mathsf{end} enqdeq v_q \ c \triangleq \mathsf{enqueue} \ v_q \ c; \ \mathsf{unwrap}(\mathsf{dequeue} \ v_q) queueAdd a \ b \triangleq \mathsf{let} \ v_q = \mathsf{initialize} \ () \ \mathsf{in} \ \mathsf{let} \ p = (\mathsf{enqdeq} \ v_q \ a) \ || \ (\mathsf{enqdeq} \ v_q \ b) \ \mathsf{in} \ \mathsf{fst} \ p + \mathsf{snd} \ p
```

- Add two numbers after having two threads enqueue and subsequently dequeue them
- Idea: a minimal client complex enough to require HOCAP-style specification
- Uses parallel composition, so sequential specification insufficient

```
unwrap w \triangleq \mathsf{match} \ w \ \mathsf{with} \ \mathsf{None} \Rightarrow () \ () \ | \ \mathsf{Some} \ v \Rightarrow v \ \mathsf{end} enqdeq v_q \ c \triangleq \mathsf{enqueue} \ v_q \ c; \ \mathsf{unwrap}(\mathsf{dequeue} \ v_q) queueAdd a \ b \triangleq \mathsf{let} \ v_q = \mathsf{initialize} \ () \ \mathsf{in} \ \mathsf{let} \ p = (\mathsf{enqdeq} \ v_q \ a) \ || \ (\mathsf{enqdeq} \ v_q \ b) \ \mathsf{in} \ \mathsf{fst} \ p + \mathsf{snd} \ p
```

- Add two numbers after having two threads enqueue and subsequently dequeue them
- Idea: a minimal client complex enough to require HOCAP-style specification
- Uses parallel composition, so sequential specification insufficient
- Relies on dequeues not returning None, so concurrent specification insufficient

```
unwrap w \triangleq \mathsf{match} \ w \ \mathsf{with} \ \mathsf{None} \Rightarrow () \ () \ | \ \mathsf{Some} \ v \Rightarrow v \ \mathsf{end} enqdeq v_q \ c \triangleq \mathsf{enqueue} \ v_q \ c; \ \mathsf{unwrap}(\mathsf{dequeue} \ v_q) queueAdd a \ b \triangleq \mathsf{let} \ v_q = \mathsf{initialize} \ () \ \mathsf{in} \ \mathsf{let} \ p = (\mathsf{enqdeq} \ v_q \ a) \ || \ (\mathsf{enqdeq} \ v_q \ b) \ \mathsf{in} \ \mathsf{fst} \ p + \mathsf{snd} \ p
```

- Add two numbers after having two threads enqueue and subsequently dequeue them
- Idea: a minimal client complex enough to require HOCAP-style specification
- Uses parallel composition, so sequential specification insufficient
- Relies on dequeues not returning None, so concurrent specification insufficient
- HOCAP-style specification supports consistency and tracks queue contents, allowing us to exclude cases where dequeue returns None

```
unwrap w \triangleq \mathsf{match} \ w \ \mathsf{with} \ \mathsf{None} \Rightarrow () \ () \ | \ \mathsf{Some} \ v \Rightarrow v \ \mathsf{end} enqdeq v_q \ c \triangleq \mathsf{enqueue} \ v_q \ c; unwrap(dequeue v_q) queueAdd a \ b \triangleq \mathsf{let} \ v_q = \mathsf{initialize} \ () \ \mathsf{in} \ \mathsf{let} \ p = (\mathsf{enqdeq} \ v_q \ a) \ || \ (\mathsf{enqdeq} \ v_q \ b) \ \mathsf{in} \ \mathsf{fst} \ p + \mathsf{snd} \ p
```

# Queue Client - A PoC Client (continued)

# Lemma (QueueAdd Specification)

 $\forall a, b \in \mathbb{Z}. \{ True \} \text{ queueAdd } a b \{ v.v = a + b \}$ 

# Queue Client - A PoC Client (continued)

### Lemma (QueueAdd Specification)

$$\forall a, b \in \mathbb{Z}. \{ True \}$$
 queueAdd  $ab \{ v.v = a + b \}$ 

- Proof idea: create invariant capturing possible states of queue contents
- Tokens are used to reason about which state we are in

## Definition (Invariant for QueueAdd)

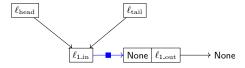
```
I_{QA}(G, Ga, a, b) \triangleq G.\gamma_{Abst} \Rightarrow_{\circ} [] * TokD1  Ga * TokD2  Ga \lor G.\gamma_{Abst} \Rightarrow_{\circ} [a] * TokA  Ga * (TokD1  Ga \lor TokD2  Ga) \lor G.\gamma_{Abst} \Rightarrow_{\circ} [b] * TokB  Ga * (TokD1  Ga \lor TokD2  Ga) \lor G.\gamma_{Abst} \Rightarrow_{\circ} [a; b] * TokA  Ga * TokB  Ga \lor G.\gamma_{Abst} \Rightarrow_{\circ} [b; a] * TokB  Ga * TokA  Ga
```

The Two-Lock Michael-Scott Queue

# Implementation: initialize

■ The queue data structure is a linked list

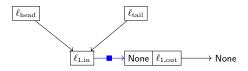
```
initialize \triangleq
let node = ref(None, ref(None)) in
let H\_lock = newLock() in
let T\_lock = newLock() in
ref((ref(node), ref(node)), (H\_lock, T\_lock))
```



# Implementation: initialize

- The queue data structure is a linked list
- A node x in the linked list is a triple,  $x = (\ell_{\rm in}, w, \ell_{\rm out})$ , with  $\ell_{\rm in} \mapsto (w, \ell_{\rm out})$
- We use the following notation for nodes

$$\mathsf{in}(x) = \ell_{\mathrm{in}}$$
  $\mathsf{val}(x) = w$   $\mathsf{out}(x) = \ell_{\mathrm{out}}$ 



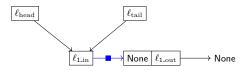
## Implementation: initialize

- The queue data structure is a linked list
- A node x in the linked list is a triple,  $x = (\ell_{\rm in}, w, \ell_{\rm out})$ , with  $\ell_{\rm in} \mapsto (w, \ell_{\rm out})$
- We use the following notation for nodes

$$\mathsf{in}(x) = \ell_{\mathrm{in}}$$
  $\mathsf{val}(x) = w$   $\mathsf{out}(x) = \ell_{\mathrm{out}}$ 

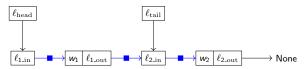
- The initialize function first creates an initial head node,  $x_{head}$
- Then, a lock protecting the head pointer, and a lock protecting the tail pointer
- Finally, it creates the head and tail pointers,  $\ell_{\rm head}$  and  $\ell_{\rm tail}$ , both pointing to  $x_{\rm head}$

```
 \begin{array}{l} \text{initialize} \triangleq \\ \text{let } node = \text{ref (None, ref (None)) in} \\ \text{let } H\_lock = \text{newLock() in} \\ \text{let } T\_lock = \text{newLock() in} \\ \text{ref ((ref (node), ref (node)), } (H\_lock, T\_lock)) \\ \end{array}
```



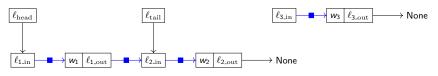
- The enqueue function consists of the following steps
  - I Create a new node,  $x_{new}$ , containing value to be enqueued
  - 2 Acquire the tail lock
  - 3 Add  $x_{new}$  to linked list
  - 4 Swing tail pointer to  $x_{new}$
  - 5 Release the tail lock

```
enqueue Q value \triangleq  let node = ref (Some value, ref (None)) in acquire(snd(snd(!Q))); snd(!(!(snd(fst(!Q))))) \leftarrow node; snd(fst(!Q)) \leftarrow node; release(snd(snd(!Q)))
```



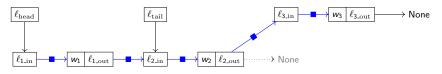
- The enqueue function consists of the following steps
  - I Create a new node,  $x_{new}$ , containing value to be enqueued
  - 2 Acquire the tail lock
  - 3 Add  $x_{\text{new}}$  to linked list
  - 4 Swing tail pointer to  $x_{new}$
  - 5 Release the tail lock

```
enqueue Q value \triangleq  let node = ref (Some value, ref (None)) in acquire(snd(snd(!Q))); snd(!(!(snd(fst(!Q)))) \leftarrow node; snd(fst(!Q)) \leftarrow node; release(snd(snd(!Q)))
```



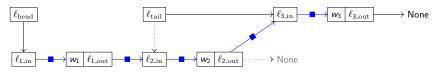
- The enqueue function consists of the following steps
  - 1 Create a new node,  $x_{new}$ , containing value to be enqueued
  - 2 Acquire the tail lock
  - 3 Add  $x_{\text{new}}$  to linked list
  - 4 Swing tail pointer to  $x_{new}$
  - 5 Release the tail lock

```
enqueue Q value \triangleq
let node = ref (Some value, ref (None)) in
acquire(snd(snd(! Q)));
snd(!(!(snd(fst(! Q))))) \leftarrow node;
snd(fst(! Q)) \leftarrow node;
release(snd(snd(! Q)))
```



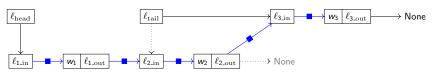
- The enqueue function consists of the following steps
  - 1 Create a new node,  $x_{new}$ , containing value to be enqueued
  - 2 Acquire the tail lock
  - 3 Add  $x_{\text{new}}$  to linked list
  - 4 Swing tail pointer to  $x_{new}$
  - 5 Release the tail lock

```
enqueue Q value \triangleq
let node = ref (Some value, ref (None)) in
acquire(snd(snd(! Q)));
snd(!(!(snd(fst(! Q))))) \leftarrow node;
snd(fst(! Q)) \leftarrow node;
release(snd(snd(! Q)))
```



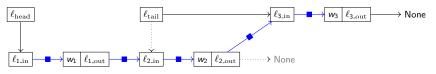
- The enqueue function consists of the following steps
  - 1 Create a new node,  $x_{new}$ , containing value to be enqueued
  - 2 Acquire the tail lock
  - 3 Add  $x_{\text{new}}$  to linked list
  - 4 Swing tail pointer to  $x_{new}$
  - 5 Release the tail lock
- Once a node is enqueued, its position in the linked list is fixed

```
enqueue Q value \triangleq
let node = ref (Some value, ref (None)) in
acquire(snd(snd(! <math>Q)));
snd(!(!(snd(fst(! <math>Q)))) \leftarrow node;
snd(fst(! <math>Q)) \leftarrow node;
release(snd(snd(! <math>Q)))
```



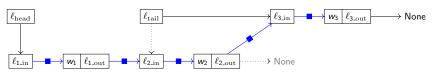
- The enqueue function consists of the following steps
  - 1 Create a new node,  $x_{new}$ , containing value to be enqueued
  - 2 Acquire the tail lock
  - 3 Add  $x_{\text{new}}$  to linked list
  - 4 Swing tail pointer to  $x_{new}$
  - 5 Release the tail lock
- Once a node is enqueued, its position in the linked list is fixed
- Adding and swinging not atomic → Tail node is either last or second last

```
enqueue Q value \triangleq  let node = ref (Some value, ref (None)) in acquire(snd(snd(!Q))); snd(!(!(snd(fst(!Q))))) \leftarrow node; snd(fst(!Q)) \leftarrow node; release(snd(snd(!Q)))
```



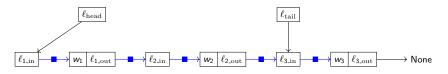
- The enqueue function consists of the following steps
  - 1 Create a new node,  $x_{new}$ , containing value to be enqueued
  - 2 Acquire the tail lock
  - 3 Add  $x_{\text{new}}$  to linked list
  - 4 Swing tail pointer to  $x_{new}$
  - 5 Release the tail lock
- Once a node is enqueued, its position in the linked list is fixed
- Adding and swinging not atomic → Tail node is either last or second last
- dequeue ignores tail pointer → Tail node can lag behind head node

```
enqueue Q value \triangleq
let node = ref (Some value, ref (None)) in
acquire(snd(snd(! Q)));
snd(!(!(snd(fst(! Q)))) \leftarrow node;
snd(fst(! Q)) \leftarrow node;
release(snd(snd(! Q)))
```



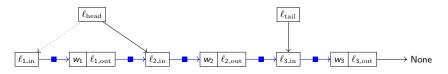
- The dequeue function checks if queue is empty
  - If empty, return None
  - Else, swing head pointer to new head node, and return its value

```
dequeue Q \triangleq
acquire(fst(snd(! Q)));
let node = !(fst(fst(! Q))) in
let new_head = !(snd(! node)) in
if new_head = None then
release(fst(snd(! Q)));
None
else
let value = fst(! new_head) in
fst(fst(! Q)) \leftarrow new_head;
release(fst(snd(! Q)));
value
```



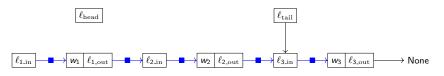
- The dequeue function checks if queue is empty
  - If empty, return None
  - Else, swing head pointer to new head node, and return its value

```
dequeue Q \triangleq
acquire(fst(snd(! Q)));
let node = !(fst(fst(! Q))) in
let new_head = !(snd(! node)) in
if new_head = None then
release(fst(snd(! Q)));
None
else
let value = fst(! new_head) in
fst(fst(! Q)) \leftarrow new_head;
release(fst(snd(! Q)));
value
```



- The dequeue function checks if queue is empty
  - If empty, return None
  - Else, swing head pointer to new head node, and return its value
- Dequeued node not freed → Linked list only grows

```
dequeue Q \triangleq
acquire(fst(snd(! Q)));
let node = !(fst(fst(! Q))) in
let new_head = !(snd(! node)) in
if new_head = None then
release(fst(snd(! Q)));
None
else
let value = fst(! new_head) in
fst(fst(! Q)) \leftarrow new_head;
release(fst(snd(! Q)));
value
```



Proving that the Two-Lock Michael-Scott Queue Satisfies the HOCAP-style Specification

### The isLL Predicate

- Idea: express the structure of the linked list in terms of points-to predicates
- Also captures persistent and non-persistent parts of the linked list

## Definition (Linked List Predicate)

$$\begin{split} \text{isLL\_chain}([]) \triangleq & \text{True} \\ \text{isLL\_chain}([x]) \triangleq & \text{in}(x) \mapsto^{\square} (\text{val}(x), \text{out}(x)) \\ \text{isLL\_chain}(x :: x' :: xs) \triangleq & \text{in}(x) \mapsto^{\square} (\text{val}(x), \text{out}(x)) * \text{out}(x') \mapsto^{\square} & \text{in}(x) * & \text{isLL\_chain}(x' :: xs) \\ \end{split}$$

$$isLL(x :: xs) \triangleq out(x) \mapsto None * isLL\_chain(x :: xs)$$

### Example

Consider the list: 
$$xs = [(\ell_{3.\mathrm{in}}, w_3, \ell_{3.\mathrm{out}}); (\ell_{2.\mathrm{in}}, w_2, \ell_{2.\mathrm{out}}); (\ell_{1.\mathrm{in}}, w_1, \ell_{1.\mathrm{out}})].$$

$$isLL(xs) = \ell_{3.\mathrm{out}} \mapsto \mathsf{None} * \ell_{3.\mathrm{in}} \mapsto^{\square} (w_3, \ell_{3.\mathrm{out}}) * \ell_{2.\mathrm{out}} \mapsto^{\square} \ell_{3.\mathrm{in}} * \ell_{2.\mathrm{in}} \mapsto^{\square} (w_2, \ell_{2.\mathrm{out}}) * \ell_{1.\mathrm{out}} \mapsto^{\square} \ell_{2.\mathrm{in}} * \ell_{1.\mathrm{in}} \mapsto^{\square} (w_1, \ell_{1.\mathrm{out}})$$

$$\ell_{1.\mathrm{in}} \mapsto^{\square} (w_1, \ell_{1.\mathrm{out}}) \mapsto^{\square} \ell_{3.\mathrm{in}} \mapsto^{\square} \mathsf{None} * \ell_{3.\mathrm{in}} \mapsto^{\square} \mathsf{None$$

- Queue predicate must be persistent (according to specification)
- Problem: the queue relies on non-persistent resources (e.g.  $\ell_{\text{head}} \mapsto \text{in}(x_{\text{head}})$ )
- Solution: identify an *invariant* (persistent), describing the resources

- Queue predicate must be persistent (according to specification)
- Problem: the queue relies on non-persistent resources (e.g.  $\ell_{\text{head}} \mapsto \text{in}(x_{\text{head}})$ )
- Solution: identify an *invariant* (persistent), describing the resources
- Contains abstract state of queue existentially quantified as it can change

$$I_{\mathsf{TLH}}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, G) \triangleq \exists x s_{\mathsf{v}}. \ G. \gamma_{\mathrm{Abst}} \Rightarrow_{\bullet} x s_{\mathsf{v}} *$$
 (abstract state)

- Queue predicate must be persistent (according to specification)
- Problem: the queue relies on non-persistent resources (e.g.  $\ell_{\text{head}} \mapsto \text{in}(x_{\text{head}})$ )
- Solution: identify an *invariant* (persistent), describing the resources
- Contains abstract state of queue existentially quantified as it can change
- Defines structure of the concrete linked list, xsc

```
\begin{split} I_{\mathsf{TLH}}(\ell_{\mathrm{head}},\ell_{\mathrm{tail}},\mathsf{G}) &\triangleq \exists x s_{v}. \; \mathsf{G}.\gamma_{\mathrm{Abst}} \mapsto_{\bullet} x s_{v} * \\ &\exists x s, x s_{\mathrm{queue}}, x s_{\mathrm{old}}, x_{\mathrm{head}}, x_{\mathrm{tail}}. \\ &x s = x s_{\mathrm{queue}} + + [x_{\mathrm{head}}] + + x s_{\mathrm{old}} * \\ &\text{isLL}(x s) * \end{split}
```

- Queue predicate must be persistent (according to specification)
- Problem: the queue relies on non-persistent resources (e.g.  $\ell_{\text{head}} \mapsto \text{in}(x_{\text{head}})$ )
- Solution: identify an invariant (persistent), describing the resources
- Contains abstract state of queue existentially quantified as it can change
- Defines structure of the concrete linked list, xsc
- Asserts relation between abstract state and concrete state

```
\begin{split} \mathsf{I}_{\mathsf{TLH}}(\ell_{\mathrm{head}},\ell_{\mathrm{tail}},\mathcal{G}) &\triangleq \exists x s_v. \; \mathcal{G}.\gamma_{\mathrm{Abst}} \mapsto_{\bullet} x s_v * \\ &\exists x s, x s_{\mathrm{queue}}, x s_{\mathrm{old}}, x_{\mathrm{head}}, x_{\mathrm{tail}}. \end{aligned} \tag{concrete state} \\ &x s = x s_{\mathrm{queue}} + + [x_{\mathrm{head}}] + + x s_{\mathrm{old}} * \\ &\mathrm{isLL}(x s) * \\ &\mathrm{projVal}(x s_{\mathrm{queue}}) = \mathrm{wrapSome}(x s_v) * \end{split}
```

- Queue predicate must be persistent (according to specification)
- Problem: the queue relies on non-persistent resources (e.g.  $\ell_{\text{head}} \mapsto \text{in}(x_{\text{head}})$ )
- Solution: identify an *invariant* (persistent), describing the resources
- Contains abstract state of queue existentially quantified as it can change
- Defines structure of the concrete linked list, xsc
- Asserts relation between abstract state and concrete state
- Identifies possible queue states: Static, Enqueue, Dequeue, and Both
  - Two locks → Four queue states
  - Invariant describes the queue resources in each state
  - See next slide

```
\begin{split} I_{\mathsf{TLH}}(\ell_{\mathrm{head}},\ell_{\mathrm{tail}},G) &\triangleq \exists x s_v. \ G.\gamma_{\mathrm{Abst}} \Rightarrow_{\bullet} x s_v * \\ &\exists x s, x s_{\mathrm{queue}}, x s_{\mathrm{old}}, x_{\mathrm{head}}, x_{\mathrm{tail}}. \end{split} \tag{concrete state} \\ &x s = x s_{\mathrm{queue}} + + [x_{\mathrm{head}}] + + x s_{\mathrm{old}} * \\ &\mathrm{isLL}(x s) * \\ &\mathrm{projVal}(x s_{\mathrm{queue}}) = \mathrm{wrapSome}(x s_v) * \\ &\dots \end{split}
```

# Invariant (Queue States)

- Idea: the enqueueing thread keeps half of tail pointer between invariant openings
- Guarantees that the pointer is not updated (full pointer needed for update)
- Similarly for the dequeueing thread
- **Enqueue** and **Both** also captures "gap" between adding  $x_{\mathrm{new}}$  and swinging  $\ell_{\mathrm{tail}}$
- Tokens used to reason about which state queue is in

## Definition (Two-Lock M&S Queue HOCAP Invariant - continued)

```
\ell_{\mathrm{head}} \mapsto \mathrm{in}(x_{\mathrm{head}}) * \ell_{\mathrm{tail}} \mapsto \mathrm{in}(x_{\mathrm{tail}}) * \mathrm{isLast}(x_{\mathrm{tail}}, xs) *  (Static) \mathsf{TokNE} \ G * \mathsf{TokND} \ G * \mathsf{TokUpdated} \ G \lor \ \ell_{\mathrm{head}} \mapsto \mathrm{in}(x_{\mathrm{head}}) * \ell_{\mathrm{tail}} \mapsto^{\frac{1}{2}} \mathrm{in}(x_{\mathrm{tail}}) *  (Enqueue) (\mathrm{isLast}(x_{\mathrm{tail}}, xs) * \mathsf{TokBefore} \ G \lor \mathrm{isSndLast}(x_{\mathrm{tail}}, xs) * \mathsf{TokAfter} \ G) *  \mathsf{TokE} \ G * \mathsf{TokND} \ G \lor \ \ell_{\mathrm{head}} \mapsto^{\frac{1}{2}} \mathrm{in}(x_{\mathrm{head}}) * \ell_{\mathrm{tail}} \mapsto \mathrm{in}(x_{\mathrm{tail}}) * \mathrm{isLast}(x_{\mathrm{tail}}, xs) *  (Dequeue) \mathsf{TokNE} \ G * \mathsf{TokD} \ G * \mathsf{TokUpdated} \ G \lor \ \ell_{\mathrm{head}} \mapsto^{\frac{1}{2}} \mathrm{in}(x_{\mathrm{head}}) * \ell_{\mathrm{tail}} \mapsto^{\frac{1}{2}} \mathrm{in}(x_{\mathrm{tail}}) *  (Both) (\mathrm{isLast}(x_{\mathrm{tail}}, xs) * \mathsf{TokBefore} \ G \lor \mathrm{isSndLast}(x_{\mathrm{tail}}, xs) * \mathsf{TokAfter} \ G) *
```

- HOCAP-style specification requires the existence of a persistent queue predicate
- We define it in terms of our invariant

- HOCAP-style specification requires the existence of a persistent queue predicate
- We define it in terms of our invariant

# Definition (Two-Lock M&S Queue - isQueue Predicate)

$$\begin{split} \mathsf{isQueue}(v_q,G) \triangleq & \exists \ell_{\mathrm{queue}}, \ell_{\mathrm{head}}, \ell_{\mathrm{tail}} \in \mathit{Loc}. \ \exists h_{\mathrm{lock}}, t_{\mathrm{lock}} \in \mathit{Val}. \\ & v_q = \ell_{\mathrm{queue}} * \ell_{\mathrm{queue}} \mapsto^{\square} \big( \big(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}\big), \big(h_{\mathrm{lock}}, t_{\mathrm{lock}}\big) \big) * \\ & \boxed{|_{\mathsf{TLH}}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, G)|}^{\mathcal{N}.\mathit{queue}} * \\ & \mathsf{isLock}(G.\gamma_{\mathrm{Hlock}}, h_{\mathrm{lock}}, \mathsf{TokD} \ G) * \\ & \mathsf{isLock}(G.\gamma_{\mathrm{Tlock}}, t_{\mathrm{lock}}, \mathsf{TokE} \ G) \end{split}$$

- HOCAP-style specification requires the existence of a persistent queue predicate
- We define it in terms of our invariant

# Definition (Two-Lock M&S Queue - isQueue Predicate)

$$\begin{split} \mathsf{isQueue}(v_q,G) \triangleq & \exists \ell_{\mathrm{queue}}, \ell_{\mathrm{head}}, \ell_{\mathrm{tail}} \in \mathit{Loc}. \ \exists h_{\mathrm{lock}}, t_{\mathrm{lock}} \in \mathit{Val}. \\ & v_q = \ell_{\mathrm{queue}} * \ell_{\mathrm{queue}} \mapsto^{\square} \big( (\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}), (h_{\mathrm{lock}}, t_{\mathrm{lock}}) \big) * \\ & \boxed{\mathsf{ITLH}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, G)}^{\mathcal{N}.\mathit{queue}} * \\ & \mathsf{isLock}(G.\gamma_{\mathrm{Hlock}}, h_{\mathrm{lock}}, \mathsf{TokD} \ G) * \\ & \mathsf{isLock}(G.\gamma_{\mathrm{Tlock}}, t_{\mathrm{lock}}, \mathsf{TokE} \ G) \end{split}$$

■ The queue predicate is persistent, as all its constituents are

- HOCAP-style specification requires the existence of a persistent queue predicate
- We define it in terms of our invariant

## Definition (Two-Lock M&S Queue - isQueue Predicate)

$$\begin{split} \mathsf{isQueue}(v_q,G) \triangleq & \exists \ell_{\mathrm{queue}}, \ell_{\mathrm{head}}, \ell_{\mathrm{tail}} \in \mathit{Loc}. \ \exists h_{\mathrm{lock}}, t_{\mathrm{lock}} \in \mathit{Val}. \\ & v_q = \ell_{\mathrm{queue}} * \ell_{\mathrm{queue}} \mapsto^{\square} ((\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}), (h_{\mathrm{lock}}, t_{\mathrm{lock}})) * \\ & \boxed{\mathsf{IT_{LH}}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, G)}^{\mathcal{N}.\mathit{queue}} * \\ & \mathsf{isLock}(G.\gamma_{\mathrm{Hlock}}, h_{\mathrm{lock}}, \mathsf{TokD} \ G) * \\ & \mathsf{isLock}(G.\gamma_{\mathrm{Tlock}}, t_{\mathrm{lock}}, \mathsf{TokE} \ G) \end{split}$$

- The queue predicate is persistent, as all its constituents are
- Proving that Two-Lock M&S Queue satisfies the HOCAP-style specification then consists of proving the Hoare triples for initialize, enqueue, and dequeue
- We here focus on enqueue

$$\forall v_q, v, G, P, Q. \quad \left( \forall x s_v. \ G. \gamma_{\mathrm{Abst}} \mapsto_{\bullet} x s_v * P \Rrightarrow_{\mathcal{E} \setminus \mathcal{N}.i\uparrow} \triangleright G. \gamma_{\mathrm{Abst}} \mapsto_{\bullet} \left( v :: x s_v \right) * Q \right) \twoheadrightarrow \\ \left\{ \mathrm{isQueue}(v_q, G) * P \right\} \ \mathrm{enqueue} \ v_q \ v \left\{ w. Q \right\}$$

```
\forall v_q, v, G, P, Q. \quad (\forall xs_v. \ G.\gamma_{Abst} \Rightarrow_{\bullet} xs_v * P \Rightarrow_{\mathcal{E} \setminus \mathcal{N}.i\uparrow} \triangleright G.\gamma_{Abst} \Rightarrow_{\bullet} (v :: xs_v) * Q) \twoheadrightarrow
                                      \{isQueue(v_a, G) * P\} enqueue v_a v \{w.Q\}
```

- $\begin{array}{l} \bullet \ \ v_q = \ell_{\mathrm{queue}} * \ell_{\mathrm{queue}} \mapsto^{\Box} ((\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}), (\mathit{h}_{\mathrm{lock}}, \mathit{t}_{\mathrm{lock}})) \\ \bullet \ \ [\mathsf{I}_{\mathsf{TLH}}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, \mathcal{G})]^{\mathcal{N}.\mathit{queue}} \end{array}$
- isLock( $G.\gamma_{Tlock}$ ,  $t_{lock}$ , TokE G)

```
\forall v_q, v, G, P, Q. \ (\forall xs_v. G. \gamma_{Abst} \Rightarrow_{\bullet} xs_v * P \Rightarrow_{\mathcal{E} \setminus \mathcal{N}, j\uparrow} \triangleright G. \gamma_{Abst} \Rightarrow_{\bullet} (v :: xs_v) * Q) \twoheadrightarrow
                                    {isQueue(v_a, G) * P} enqueue v_a v {w.Q}
(Proof)
```

- $\begin{array}{l} \bullet \ \ v_q = \ell_{\mathrm{queue}} * \ell_{\mathrm{queue}} \mapsto^{\Box} ((\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}), (h_{\mathrm{lock}}, t_{\mathrm{lock}})) \\ \bullet \ \ \overline{|\mathsf{I}_{\mathsf{TLH}}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, G)|}^{\mathcal{N}.\mathsf{queue}} \end{array}$
- isLock( $G.\gamma_{Tlock}$ ,  $t_{lock}$ , TokE G)

```
{P}
  let node = ref (Some v, ref (None)) in
  acquire(snd(snd(! v_a)));
  e_t = !(\operatorname{snd}(\operatorname{fst}(! v_a)))
  snd(!(e_t)) \leftarrow node;
  snd(fst(! v_a)) \leftarrow node;
  release(snd(snd(! v_a)))
{Q}
```

```
\forall v_q, v, G, P, Q. \ (\forall xs_v. G. \gamma_{Abst} \Rightarrow_{\bullet} xs_v * P \Rightarrow_{\mathcal{E} \setminus \mathcal{N}, j\uparrow} \triangleright G. \gamma_{Abst} \Rightarrow_{\bullet} (v :: xs_v) * Q) \twoheadrightarrow
                                    {isQueue(v_a, G) * P} enqueue v_a v {w.Q}
(Proof)
```

- $\begin{array}{l} \bullet \ \ v_q = \ell_{\mathrm{queue}} * \ell_{\mathrm{queue}} \mapsto^{\square} ((\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}), (\mathit{h}_{\mathrm{lock}}, \mathit{t}_{\mathrm{lock}})) \\ \bullet \ \ \left[\mathsf{I}_{\mathsf{TLH}}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, \mathit{G})\right]^{\mathcal{N}.\mathit{queue}} \end{array}$
- isLock( $G.\gamma_{Tlock}$ ,  $t_{lock}$ , TokE G)

```
{P}
  let node = ref(Some v, ref(None)) in (create node x_{new})
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None}\}
  acquire(snd(snd(! v_a)));
  e_t = !(\operatorname{snd}(\operatorname{fst}(! v_a)))
  snd(!(e_t)) \leftarrow node;
  snd(fst(! v_a)) \leftarrow node;
  release(snd(snd(! v_a)))
{Q}
```

```
\forall v_q, v, G, P, Q. \quad (\forall xs_v. \ G.\gamma_{Abst} \Rightarrow_{\bullet} xs_v * P \Rightarrow_{\mathcal{E} \setminus \mathcal{N}.i\uparrow} \triangleright G.\gamma_{Abst} \Rightarrow_{\bullet} (v :: xs_v) * Q) \twoheadrightarrow
                                     {isQueue(v_a, G) * P} enqueue v_a v {w.Q}
(Proof)
```

- $\begin{array}{l} \bullet \ \ v_q = \ell_{\mathrm{queue}} * \ell_{\mathrm{queue}} \mapsto^{\square} ((\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}), (\mathit{h}_{\mathrm{lock}}, \mathit{t}_{\mathrm{lock}})) \\ \bullet \ \ \left[\mathsf{I}_{\mathsf{TLH}}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, \mathit{G})\right]^{\mathcal{N}.\mathit{queue}} \end{array}$
- isLock( $G.\gamma_{Tlock}$ ,  $t_{lock}$ , TokE G)

```
{P}
   let node = ref(Some v, ref(None)) in (create node x_{new})
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None}\}
   acquire(snd(snd(!v_a))); (acquire tail lock)
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None} * \operatorname{TokE} G\}
   e_t = !(\operatorname{snd}(\operatorname{fst}(! v_a)))
   snd(!(e_t)) \leftarrow node;
   snd(fst(! v_a)) \leftarrow node;
   release(snd(snd(! v_a)))
{Q}
```

```
\forall v_q, v, G, P, Q. \ (\forall xs_v. G. \gamma_{Abst} \Rightarrow_{\bullet} xs_v * P \Rightarrow_{\mathcal{E} \setminus \mathcal{N}, j\uparrow} \triangleright G. \gamma_{Abst} \Rightarrow_{\bullet} (v :: xs_v) * Q) \twoheadrightarrow
                                    {isQueue(v_a, G) * P} enqueue v_a v {w.Q}
(Proof)
```

- $\begin{array}{l} \bullet \ \ v_q = \ell_{\mathrm{queue}} * \ell_{\mathrm{queue}} \mapsto^{\square} ((\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}), (\mathit{h}_{\mathrm{lock}}, \mathit{t}_{\mathrm{lock}})) \\ \bullet \ \ \left[\mathsf{I}_{\mathsf{TLH}}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, \mathit{G})\right]^{\mathcal{N}.\mathit{queue}} \end{array}$
- isLock( $G.\gamma_{Tlock}$ ,  $t_{lock}$ , TokE G)

```
{P}
   let node = ref(Some v, ref(None)) in (create node x_{new})
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None}\}
   acquire(snd(snd(!v_a))); (acquire tail lock)
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None} * \operatorname{TokE} G\}
   e_t = !(snd(fst(!v_q))) (find current tail, x_{tail}. I_{TLH}: Static/Dequeue \rightarrow Enqueue/Both (before))
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None} * \ell_{\text{tail}} \mapsto \frac{1}{2} \operatorname{in}(x_{\text{tail}}) * \operatorname{TokNE} G * \operatorname{TokAfter} G\}
   snd(!(e_t)) \leftarrow node;
   snd(fst(! v_a)) \leftarrow node;
   release(snd(snd(! v_a)))
{Q}
```

```
\forall v_q, v, G, P, Q. \quad (\forall x s_v. \ G. \gamma_{Abst} \Rightarrow_{\bullet} x s_v * P \Rightarrow_{\mathcal{E} \setminus \mathcal{N}.i \uparrow} \triangleright G. \gamma_{Abst} \Rightarrow_{\bullet} (v :: x s_v) * Q) \twoheadrightarrow
                                     {isQueue(v_a, G) * P} enqueue v_a v {w.Q}
(Proof)
```

- $\begin{array}{l} \bullet \ \ v_q = \ell_{\mathrm{queue}} * \ell_{\mathrm{queue}} \mapsto^{\square} ((\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}), (\mathit{h}_{\mathrm{lock}}, \mathit{t}_{\mathrm{lock}})) \\ \bullet \ \ \left[\mathsf{I}_{\mathsf{TLH}}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, \mathit{G})\right]^{\mathcal{N}.\mathit{queue}} \end{array}$
- isLock( $G.\gamma_{Tlock}$ ,  $t_{lock}$ , TokE G)

```
{P}
   let node = ref(Some v, ref(None)) in (create node x_{new})
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None}\}
   acquire(snd(snd(!v_a))); (acquire tail lock)
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None} * \operatorname{TokE} G\}
    e_t = !(snd(fst(!v_q))) (find current tail, x_{tail}. I_{TLH}: Static/Dequeue \rightarrow Enqueue/Both (before))
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None} * \ell_{\text{tail}} \mapsto \frac{1}{2} \operatorname{in}(x_{\text{tail}}) * \operatorname{TokNE} G * \operatorname{TokAfter} G\}
   snd(!(e_t)) \leftarrow \textit{node}; \pmod{x_{tail}} \text{ point to } x_{new}. \text{ } I_{TLH}: \text{ Enqueue/Both (before)} \rightarrow \text{ Enqueue/Both (after))}
\{Q * \ell_{tail} \mapsto \frac{1}{2} \operatorname{in}(x_{tail}) * \operatorname{TokNE} G * \operatorname{TokBefore} G\}
   snd(fst(! v_a)) \leftarrow node;
   release(snd(snd(! v_a)))
{Q}
```

```
\forall v_q, v, G, P, Q. \quad (\forall x s_v. \ G. \gamma_{Abst} \Rightarrow_{\bullet} x s_v * P \Rightarrow_{\mathcal{E} \setminus \mathcal{N}.i \uparrow} \triangleright G. \gamma_{Abst} \Rightarrow_{\bullet} (v :: x s_v) * Q) \twoheadrightarrow
                                     {isQueue(v_a, G) * P} enqueue v_a v {w.Q}
(Proof)
```

- $\begin{array}{l} \bullet \ \ v_q = \ell_{\mathrm{queue}} * \ell_{\mathrm{queue}} \mapsto^{\square} ((\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}), (\mathit{h}_{\mathrm{lock}}, \mathit{t}_{\mathrm{lock}})) \\ \bullet \ \ \left[\mathsf{I}_{\mathsf{TLH}}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, \mathit{G})\right]^{\mathcal{N}.\mathit{queue}} \end{array}$
- isLock( $G.\gamma_{Tlock}$ ,  $t_{lock}$ , TokE G)

```
{P}
   let node = ref(Some v, ref(None)) in (create node x_{new})
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None}\}
   acquire(snd(snd(!v_a))); (acquire tail lock)
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None} * \operatorname{TokE} G\}
   e_t = !(snd(fst(!v_q))) (find current tail, x_{tail}. I_{TLH}: Static/Dequeue \rightarrow Enqueue/Both (before))
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None} * \ell_{\text{tail}} \mapsto \frac{1}{2} \operatorname{in}(x_{\text{tail}}) * \operatorname{TokNE} G * \operatorname{TokAfter} G\}
   snd(!(e_t)) \leftarrow \textit{node}; \pmod{x_{tail}} \text{ point to } x_{new}. \text{ } I_{TLH}: \text{ Enqueue/Both (before)} \rightarrow \text{ Enqueue/Both (after))}
\{Q * \ell_{tail} \mapsto \overset{1}{2} in(x_{tail}) * TokNE G * TokBefore G\}
   snd(fst(!v_a)) \leftarrow node; (swing tail pointer to x_{new}. I_{TLH}: Enqueue/Both (after) \rightarrow Static/Dequeue)
\{Q * TokE G\}
   release(snd(snd(! v_a)))
\{Q\}
```

```
\forall v_q, v, G, P, Q. \quad (\forall x s_v. \ G. \gamma_{Abst} \Rightarrow_{\bullet} x s_v * P \Rightarrow_{\mathcal{E} \setminus \mathcal{N}.i \uparrow} \triangleright G. \gamma_{Abst} \Rightarrow_{\bullet} (v :: x s_v) * Q) \twoheadrightarrow
                                     {isQueue(v_a, G) * P} enqueue v_a v {w.Q}
(Proof)
```

- $\begin{array}{l} \bullet \ \ v_q = \ell_{\mathrm{queue}} * \ell_{\mathrm{queue}} \mapsto^{\square} ((\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}), (\mathit{h}_{\mathrm{lock}}, \mathit{t}_{\mathrm{lock}})) \\ \bullet \ \ \left[\mathsf{I}_{\mathsf{TLH}}(\ell_{\mathrm{head}}, \ell_{\mathrm{tail}}, \mathit{G})\right]^{\mathcal{N}.\mathit{queue}} \end{array}$
- isLock( $G.\gamma_{Tlock}$ ,  $t_{lock}$ , TokE G)

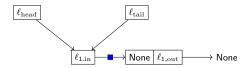
```
{P}
  let node = ref(Some v, ref(None)) in (create node x_{new})
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None}\}
  acquire(snd(snd(!v_a))); (acquire tail lock)
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None} * \operatorname{TokE} G\}
   e_t = !(snd(fst(!v_q))) (find current tail, x_{tail}. I_{TLH}: Static/Dequeue \rightarrow Enqueue/Both (before))
\{P * \operatorname{out}(x_{\text{new}}) \mapsto \operatorname{None} * \ell_{\text{tail}} \mapsto \frac{1}{2} \operatorname{in}(x_{\text{tail}}) * \operatorname{TokNE} G * \operatorname{TokAfter} G\}
  snd(!(e_t)) \leftarrow \textit{node}; \pmod{x_{tail}} \text{ point to } x_{new}. \text{ } I_{TLH}: \text{ Enqueue/Both (before)} \rightarrow \text{ Enqueue/Both (after))}
\{Q * \ell_{tail} \mapsto \overset{1}{2} in(x_{tail}) * TokNE G * TokBefore G\}
  snd(fst(!v_q)) \leftarrow node; (swing tail pointer to x_{new}. I_{TLH}: Enqueue/Both (after) \rightarrow Static/Dequeue)
\{Q * TokE G\}
  release(snd(snd(!v_a))) (release tail lock)
{ Q }
```

The Lock-Free Michael-Scott Queue

# Implementation: initialize

- Queue data structure is still a linked list
- The lock-free versions of initialize, enqueue, and dequeue perform the same manipulations of the linked list as two-lock versions
- Difference is how the manipulations take place now with CAS instructions
- No longer need locks

```
initialize \triangleq
let node = ref(None, ref(None)) in
ref(ref(node), ref(node))
```

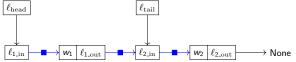


- Appending  $x_{new}$  to linked list is now done with CAS
- Ensures that no other thread has performed an enqueue during own enqueue
  - Otherwise, we might "overwrite" another threads enqueued node
- Swinging tail to  $x_{new}$  might fail another thread has helped us

```
enqueue Q \ value \triangleq

let node = ref (Some \ value, ref (None)) in 
(rec loop_-=

let tail = !(snd(!\ Q)) in 
let next = !(snd(!\ tail)) in 
if tail = !(snd(!\ Q)) then 
if next = None then 
if CAS (snd(!\ tail)) next node then 
CAS (snd(!\ tail)) tail node 
else loop () 
else CAS (snd(!\ Q)) tail next; loop () 
else loop ()
```



- Appending  $x_{new}$  to linked list is now done with CAS
- Ensures that no other thread has performed an enqueue during own enqueue
  - Otherwise, we might "overwrite" another threads enqueued node
- Swinging tail to  $x_{new}$  might fail another thread has helped us

```
enqueue Q value \triangleq

let node = ref(Some \, value, \, ref(None)) in

(rec loop_- =

let tail = !(snd(! \, Q)) in

let next = !(snd(! \, tail)) in

if tail = !(snd(! \, tail)) in

if tail = !(snd(! \, tail)) next node then

if CAS (snd(! \, tail)) next \, node then

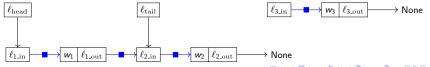
CAS (snd(! \, Q)) tail \, node

else loop ()

else CAS (snd(! \, Q)) tail \, next; loop ()

else loop ()

) ()
```



- Appending  $x_{new}$  to linked list is now done with CAS
- Ensures that no other thread has performed an enqueue during own enqueue
  - Otherwise, we might "overwrite" another threads enqueued node
- Swinging tail to  $x_{new}$  might fail another thread has helped us

```
enqueue Q value \triangleq

let node = ref(Some \, value, \, ref(None)) in

(rec loop_- =

let tail = !(snd(! \, Q)) in

let next = !(snd(! \, tail)) in

if tail = !(snd(! \, tail)) in

if tail = !(snd(! \, tail)) next node then

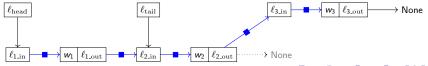
if CAS(snd(! \, tail)) next node then

CAS(snd(! \, Q)) tail node

else loop()

else CAS(snd(! \, Q)) tail next; loop()

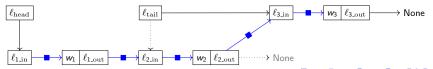
else loop()
```



### Implementation: enqueue

- Appending  $x_{new}$  to linked list is now done with CAS
- Ensures that no other thread has performed an enqueue during own enqueue
  - Otherwise, we might "overwrite" another threads enqueued node
- Swinging tail to  $x_{new}$  might fail another thread has helped us

```
enqueue Q value \triangleq
let node = ref (Some value, ref (None)) in
(rec loop_=
let tail = !(snd(! Q)) in
let next = !(snd(! tail)) in
if tail = !(sn
```



## Implementation: dequeue

- Head now swung with CAS instruction
- Ensures that no other thread has dequeued the element we are trying to dequeue

```
dequeue Q \triangleq
  (rec loop_ =
     let head = !(fst(! Q)) in
     let tail = !(snd(! Q)) in
    let p = \text{newproph in}
    let next = !(snd(! head)) in
    if head = Resolve(!(fst(!Q)), p, ()) then
       if head = tail then
          if next = None then
            None
          else
            CAS(snd(! Q)) tail next; loop ()
        else
          let value = fst(! next) in
          if CAS (fst(! Q)) head next then
            value
          else loop ()
     else loop ()
     )()
```



## Implementation: dequeue

- Head now swung with CAS instruction
- Ensures that no other thread has dequeued the element we are trying to dequeue

```
dequeue Q \triangleq
  (rec loop_ =
     let head = !(fst(! Q)) in
     let tail = !(snd(! Q)) in
    let p = \text{newproph in}
    let next = !(snd(! head)) in
    if head = Resolve(!(fst(!Q)), p, ()) then
       if head = tail then
          if next = None then
            None
          else
            CAS(snd(! Q)) tail next; loop ()
        else
          let value = fst(! next) in
          if CAS (fst(! Q)) head next then
            value
          else loop ()
     else loop ()
     )()
```



■ Proving adherence to HOCAP-style specification requires applying the view-shift at some point (must update P to Q)

```
| let p = newproph in |
|let next = !(snd(! head)) in |
|if head = Resolve(!(fst(! Q)), p, ()) then |
|if head = tail then |
|if next = None then |
|None |
| ... |
|else loop () |
```

- Proving adherence to HOCAP-style specification requires applying the view-shift at some point (must update P to Q)
- View-shift is applied at *Linearisation Points* points where the effect of the function takes place

- Proving adherence to HOCAP-style specification requires applying the view-shift at some point (must update P to Q)
- View-shift is applied at *Linearisation Points* points where the effect of the function takes place
- When the queue is empty, the linearisation point is when reading *next* (specifically, the dereference instruction)
- We deduce that at exactly that read, the queue was empty

```
| let p = newproph in |
|let next = !(snd(! head)) in |
|if head = Resolve(!(fst(! Q)), p, ()) then |
|if head = tail then |
|if next = None then |
|None |
| ... |
|else loop () |
```

- Proving adherence to HOCAP-style specification requires applying the view-shift at some point (must update P to Q)
- View-shift is applied at Linearisation Points points where the effect of the function takes place
- When the queue is empty, the linearisation point is when reading *next* (specifically, the dereference instruction)
- We deduce that at exactly that read, the queue was empty
- But we only conclude the queue is empty if consistency check on next line succeeds
- The dereference is only the linearisation point if consistency check succeeds

- Proving adherence to HOCAP-style specification requires applying the view-shift at some point (must update P to Q)
- View-shift is applied at Linearisation Points points where the effect of the function takes place
- When the queue is empty, the linearisation point is when reading *next* (specifically, the dereference instruction)
- We deduce that at exactly that read, the queue was empty
- But we only conclude the queue is empty if consistency check on next line succeeds
- The dereference is only the linearisation point if consistency check succeeds
- Prophecies: reason about future computations (e.g. the consistency check)
  - !(fst(!Q)) will evaluate to some  $v_p$  (later proof obligation)
  - Before reading next, reason about whether  $head = v_p$

```
...

let p = newproph in

let next = !(snd(! head)) in

if head = Resolve(!(fst(! Q)), p, ()) then

if head = tail then

if next = None then

None
...

else loop ()
...
```

# The Lock-and-CC-Free Michael-Scott Queue

■ Reason for consistency checks: ABA problem in original implementation

## The Lock-and-CC-Free Michael-Scott Queue

- Reason for consistency checks: ABA problem in original implementation
- HeapLang is garbage collected language, so we can remove consistency checks
- Can also remove prophecy in dequeue
  - When we read next, we know immediately whether dequeue will conclude empty queue
  - both head and tail are already fixed

```
initialize \triangleq
let node = ref(None, ref(None)) in ref(ref(node), ref(node))
enqueue Q value \triangleq
let node = ref(Some value, ref(None)) in (rec loop_=
let tail = !(snd(! Q)) in let next = !(snd(! tail)) in if next = None then if CAS (snd(! tail)) next node then CAS (snd(! Q)) tail node else loop() else CAS (snd(! Q)) tail next; loop()
```

```
dequeue Q \triangleq
  (rec\ loop_{-} =
     let head = !(fst(! Q)) in
     let tail = !(snd(!Q)) in
     let next = !(snd(! head)) in
     if head = tail then
       if next = None then
          None
        else
          CAS(snd(! Q)) tail next; loop ()
     else
       let value = fst(! next) in
       if CAS (fst(! Q)) head next then
          value
        else loop ()
     )()
```

## The Lock-and-CC-Free Michael-Scott Queue

- Reason for consistency checks: ABA problem in original implementation
- HeapLang is garbage collected language, so we can remove consistency checks
- Can also remove prophecy in dequeue
  - When we read next, we know immediately whether dequeue will conclude empty queue
  - both head and tail are already fixed
- Correctness: both versions shown to satisfy HOCAP-style specification...

```
initialize \triangleq
let node = ref(None, ref(None)) in ref(ref(node), ref(node))
enqueue Q value \triangleq
let node = ref(Some value, ref(None)) in (rec loop_= 
let tail = !(snd(! Q)) in let next = !(snd(! tail)) in if next = None then if CAS (snd(! tail)) next node then CAS (snd(! val)) node else loop() else CAS (snd(! Q)) tail next; loop()
```

```
dequeue Q \triangleq
  (rec\ loop_{-} =
     let head = !(fst(! Q)) in
     let tail = !(snd(!Q)) in
     let next = !(snd(! head)) in
     if head = tail then
       if next = None then
          None
        else
          CAS(snd(! Q)) tail next; loop ()
     else
       let value = fst(! next) in
       if CAS (fst(! Q)) head next then
          value
        else loop ()
     )()
```

Proving that the Lock-and-CC-Free Michael-Scott Queue Satisfies the HOCAP-style Specification

- The queue relies on some important properties to function correctly:
  - The set of nodes reachable from a particular node only grows
  - The head and tail are only moved forward in the linked list
  - The tail cannot lag behind the head (unlike in the two-lock version)

- The queue relies on some important properties to function correctly:
  - The set of nodes reachable from a particular node only grows
  - The head and tail are only moved forward in the linked list
  - The tail cannot lag behind the head (unlike in the two-lock version)
- We capture all these properties with a notion of *reachability*
- Consists of a concrete and abstract version of reachability

- The queue relies on some important properties to function correctly:
  - The set of nodes reachable from a particular node only grows
  - The head and tail are only moved forward in the linked list
  - The tail cannot lag behind the head (unlike in the two-lock version)
- We capture all these properties with a notion of *reachability*
- Consists of a concrete and abstract version of reachability

#### Concrete Reachability

■ Concrete reachability essentially captures a section of the linked list (á la isLL)

- The queue relies on some important properties to function correctly:
  - The set of nodes reachable from a particular node only grows
  - The head and tail are only moved forward in the linked list
  - The tail cannot lag behind the head (unlike in the two-lock version)
- We capture all these properties with a notion of *reachability*
- Consists of a concrete and abstract version of reachability

#### Concrete Reachability

- Concrete reachability essentially captures a section of the linked list (á la isLL)
- The proposition  $x_n \rightsquigarrow x_m$  asserts that  $x_n$  can reach  $x_m$  through the linked list

- The queue relies on some important properties to function correctly:
  - The set of nodes reachable from a particular node only grows
  - The head and tail are only moved forward in the linked list
  - The tail cannot lag behind the head (unlike in the two-lock version)
- We capture all these properties with a notion of *reachability*
- Consists of a concrete and abstract version of reachability

#### Concrete Reachability

- Concrete reachability essentially captures a section of the linked list (á la isLL)
- The proposition  $x_n \rightsquigarrow x_m$  asserts that  $x_n$  can reach  $x_m$  through the linked list
- Defined inductively as follows

$$x_n \rightsquigarrow x_m \stackrel{\triangle}{=} \mathsf{in}(x_n) \mapsto^{\square} (\mathsf{val}(x_n), \mathsf{out}(x_n)) * (x_n = x_m \vee \exists x_p. \mathsf{out}(x_n) \mapsto^{\square} \mathsf{in}(x_p) * x_p \rightsquigarrow x_m)$$

- The queue relies on some important properties to function correctly:
  - The set of nodes reachable from a particular node only grows
  - The head and tail are only moved forward in the linked list
  - The tail cannot lag behind the head (unlike in the two-lock version)
- We capture all these properties with a notion of *reachability*
- Consists of a concrete and abstract version of reachability

#### Concrete Reachability

- Concrete reachability essentially captures a section of the linked list (á la isLL)
- The proposition  $x_n \rightsquigarrow x_m$  asserts that  $x_n$  can reach  $x_m$  through the linked list
- Defined inductively as follows

$$x_n \rightsquigarrow x_m \triangleq \mathsf{in}(x_n) \mapsto^{\square} (\mathsf{val}(x_n), \mathsf{out}(x_n)) * (x_n = x_m \lor \exists x_p. \mathsf{out}(x_n) \mapsto^{\square} \mathsf{in}(x_p) * x_p \rightsquigarrow x_m)$$

Concrete reachability is reflexive and transitive

### Abstract Reachability

Abstract reachability is concerned with tracking specific types of nodes, such as the head node, the tail node, and the last node

#### Abstract Reachability

- Abstract reachability is concerned with tracking specific types of nodes, such as the head node, the tail node, and the last node
- lacktriangle Tracked using ghost names, e.g.  $\gamma_{\mathrm{Head}}$ ,  $\gamma_{\mathrm{Tail}}$ , and  $\gamma_{\mathrm{Last}}$ 
  - lacksquare Implemented using the resource algebra  $\operatorname{AUTH}(\mathcal{P}(\textit{Node}))$

#### Abstract Reachability

- Abstract reachability is concerned with tracking specific types of nodes, such as the head node, the tail node, and the last node
- Tracked using ghost names, e.g.  $\gamma_{\rm Head}$ ,  $\gamma_{\rm Tail}$ , and  $\gamma_{\rm Last}$  Implemented using the resource algebra  ${\rm AUTH}(\mathcal{P}(\textit{Node}))$
- Defined in two parts: Abstract Points-to  $(\gamma \rightarrowtail x)$  and Abstract Reach  $(x \dashrightarrow \gamma)$
- For instance,  $\gamma_{Tail} \rightarrow x_n$  means that the current tail node is  $x_n$
- And  $x_m \dashrightarrow \gamma_{Tail}$  means that node  $x_m$  can always reach the tail node

### Abstract Reachability

- Abstract reachability is concerned with tracking specific types of nodes, such as the head node, the tail node, and the last node
- Tracked using ghost names, e.g.  $\gamma_{\rm Head}$ ,  $\gamma_{\rm Tail}$ , and  $\gamma_{\rm Last}$  Implemented using the resource algebra  ${
  m AUTH}({\cal P}({\it Node}))$
- Defined in two parts: Abstract Points-to  $(\gamma \rightarrowtail x)$  and Abstract Reach  $(x \dashrightarrow \gamma)$
- For instance,  $\gamma_{Tail} \rightarrow x_n$  means that the current tail node is  $x_n$
- And  $x_m \dashrightarrow \gamma_{\mathrm{Tail}}$  means that node  $x_m$  can always reach the tail node

#### Lemmas for Reachability (simplified)

$$x \rightsquigarrow x \Rrightarrow \exists \gamma. \ \gamma \rightarrowtail x$$
 (Abs Reach Alloc)  
 $x_n \dashrightarrow \gamma_m * \gamma_m \rightarrowtail x_m \twoheadrightarrow x_n \leadsto x_m$  (Abs Reach Concr)  
 $x_n \leadsto x_m * \gamma_m \rightarrowtail x_m \Rrightarrow x_n \dashrightarrow \gamma_m$  (Abs Reach Abs)  
 $\gamma_m \rightarrowtail x_m * x_m \leadsto x_o \Rrightarrow \gamma_m \rightarrowtail x_o$  (Abs Reach Advance)

