## Parallelization Strategies for a Multi-Layer Neural Network

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#### 1 Introduction

Deep learning applications increasingly rely on large neural networks. This project focuses on accelerating a multilayer feedforward network composed of 1D locally connected layers, distinct from standard convolutional layers as each neuron possesses unique weights (Figure 1).

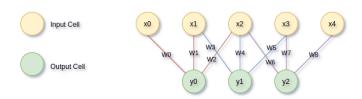


Figure 1: A 1D locally connected layer (R = 3). Each output neuron  $y_i$  connects to a distinct input window via unique weights  $W_i$ .

Our goal is to optimize the forward propagation phase on multi-core CPUs using OpenMP and GPUs using CUDA by exploiting the inherent parallelism within each layer's computation. We evaluate performance by varying input size (N) and layer depth (K), measuring execution time, scalability, and throughput.

All experimental code, including build scripts and profiling tools, is publicly available at

and can be reproduced on any Linux-based system with an NVIDIA GPU and a modern multi-core CPU.

## 2 Parallelization Strategy

The computation across the network layers must proceed sequentially, as the output of layer k ( $\mathbf{y}^{(k+1)}$ ) serves as the input for layer k+1. However, the calculation within each layer is embarrassingly parallel. Specifically, determining the output vector  $\mathbf{y}^{(k+1)} \in \mathbb{R}^M$  (where M = N - R + 1) from the input vector  $\mathbf{x}^{(k)} \in \mathbb{R}^N$  involves computing each output neuron  $y_i^{(k+1)}$  independently:

$$y_i^{(k+1)} = \sigma \left( \sum_{r=0}^{R-1} W_{i,r}^{(k)} x_{i+r}^{(k)} + b_i^{(k)} \right), \text{ for } i = 0, \dots, M-1.$$

Here,  $\sigma(\cdot)$  represents the sigmoid activation. The formula above and Figure 1 describe a forward-looking stencil where output  $y_i$  depends on inputs  $x_i, \ldots, x_{i+R-1}$ . For implementation, particularly with CUDA's shared memory, a centered stencil (where output  $y_i$  depends on inputs

 $x_{i-\text{RADIUS}}, \dots, x_{i+\text{RADIUS}}$  from a padded input buffer, with RADIUS = (R-1)/2) can offer advantages in memory access patterns and indexing simplicity. Both stencil types are fundamentally 1D local connections of size R. The specific stencil choice mainly affects indexing and halo management rather than the core parallelism or computational load per neuron. Crucially, there are no loop-carried dependencies across the iterations calculating different  $y_i^{(k+1)}$  values within the same layer. Each output neuron's value depends only on its corresponding input window and its unique set of weights  $W_{i,\cdot}^{(k)}$ . Furthermore, since each thread computes and writes to a distinct output element  $y_i^{(k+1)}$ , synchronization requirements are minimal.

While the core computation is inherently parallel, efficient implementation faces challenges related to memory access patterns:

- Redundant reads: Adjacent neurons share R-1 input elements, leading to multiple threads potentially fetching the same data.
- Coalescing: Achieving optimal GPU memory bandwidth requires threads within a warp to access contiguous memory locations.

We address these challenges with specific strategies in the OpenMP and CUDA implementations discussed below.

#### 2.1 OpenMP Implementation

We parallelize the outer loop  $(i=0,\ldots,M-1)$  over CPU cores using OpenMP's 'parallel for' with 'static' scheduling, suitable for uniform workloads. This OpenMP implementation directly follows the forward-looking stencil described by the equation in Section 2. The inner dot product loop (sum over R) is manually unrolled to reduce loop overhead and potentially enable compiler optimizations like SIMD vectorization, although the specific impact of SIMD was not explored in detail in this report.

#### 2.2 CUDA Implementation

Each output neuron  $y_i^{(k+1)}$  in a layer is mapped to a dedicated CUDA thread. We employ a standard grid-block hierarchy, utilizing a large block dimension (e.g., 1024) to maximize GPU occupancy. The total number of blocks launched is  $\lceil (M+\text{block dimension}-1)/\text{block dimension} \rceil$ , ensuring that all M output neurons for the current layer are computed.

GPU buffers for input activations ( $\mathbf{x}$ ), weights (W), and output activations ( $\mathbf{y}$ ) are managed within a unified data structure. These buffers are allocated as one contiguous memory region on the device to minimize fragmentation. The input  $\mathbf{x}$  and output  $\mathbf{y}$  buffers are conceptually padded with RADIUS = (R-1)/2 elements at both ends. This padding simplifies boundary condition handling for the implemented **centered stencil**. In this scheme, an output neuron corresponding to a logical index i depends on a window of R input elements centered around the input element that aligns with its logical position i. Access to the padded global memory for input activations thus involves adjusting the logical index i by RADIUS and an appropriate offset to fetch the R elements from the receptive field.

The weights W are organized such that all R unique weights associated with a particular output neuron are stored contiguously in memory. This corresponds to a row-major storage layout where each "row" contains the weights for one output neuron. A thread computing for an output neuron i accesses its set of R weights from this contiguous block. While each thread reads a unique set of weights, potentially limiting cross-thread coalescing for weight fetches if R > 1, the primary opportunity for memory coalescing lies in accessing the input activations  $\mathbf{x}$ . Two memory access strategies for input activations  $\mathbf{x}^{(k)}$  were compared:

- 1. Global Memory Access: Each thread directly reads its R required input elements from the padded  $\mathbf{x}^{(k)}$  buffer in global memory. It also reads its R unique weights from global memory. Performance in this strategy heavily relies on the GPU's caching hierarchy and achieving coalesced global memory reads from  $\mathbf{x}^{(k)}$ , where threads within a warp access contiguous memory locations.
- 2. Shared Memory Caching: To reduce redundant global memory reads for  $\mathbf{x}^{(k)}$ , threads within a block collaboratively load a tile of input activations into fast on-chip shared memory. This tile is sized to hold the core data for all threads in the block, augmented with halo regions at both ends. These halo regions contain the additional input elements required by threads at the block's edges to satisfy their full receptive fields due to the centered stencil. The loading is orchestrated such that each thread in the block contributes to filling a portion of this shared memory tile, including the specific halo elements handled by threads at the periphery of the block. After a synchronization barrier, ensuring the tile is fully populated, each thread accesses its R input elements entirely from shared memory. This significantly reduces global memory traffic for  $\mathbf{x}^{(k)}$ , though reads for the unique weights  $W_{i,\cdot}^{(k)}$  still target global memory.

Layer dimensions are computed dynamically based on N, K, and R. After each layer's computation, pointers to the input and output activation buffers within the unified data structure are swapped. This technique efficiently reuses device memory, serving the previous layer's output as the current layer's input without incurring the overhead of explicit data copies between layers. Host-side timers measure the end-to-end execution time for processing all layers. This timing is typically averaged over multiple experimental runs to ensure stable and representative performance figures. Throughput is subsequently calculated as the total number of output neurons computed across all layers, divided by the measured execution time.

#### 3 Performance Results

Experiments were conducted on the Giano HPC cluster (8-core/16-thread CPU, NVIDIA L40 GPU). R=3 is used unless stated otherwise. We denote input length by N, number of layers by K, receptive field width by R, and CPU threads by P.

#### 3.1 CPU Scaling

**Strong Scaling.** We fix the problem size at  $N = 2^{20}$ , K = 1000, R = 3 and vary P from 1 to 16. Speedup is defined as

Speedup $(P) = \frac{T_1}{T_P}$ ,

where  $T_1$  is the single-threaded runtime and  $T_P$  the runtime on P OpenMP threads. Strong scaling (Figure 2) shows near-ideal speedup up to 8 physical cores (max 7.3×), limited by hyperthreading contention beyond that (only 5.3× on 16 threads).

**Weak Scaling.** For weak scaling, each thread handles a fixed subproblem of size  $N/P = 2^{20}/P$  over K = 1000 layers. Efficiency is

$$E_{\text{weak}}(P) = \frac{T_1}{T_P},$$

where  $T_P$  measures the time to solve P subproblems in parallel. Weak scaling efficiency (Figure 3) remains high (> 90%) up to 8 cores but drops significantly when relying on logical cores, confirming memory/cache saturation limits.

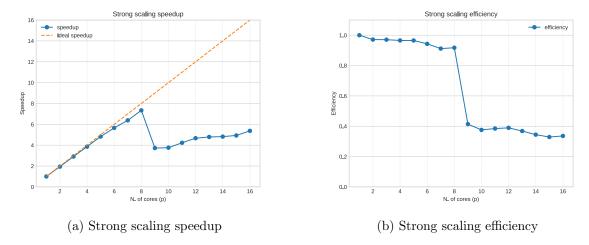


Figure 2: OpenMP strong scaling on CPU  $(N = 2^{20}, K = 1000)$ . (a) Speedup vs. 1 thread. (b) Efficiency = Speedup / Threads.

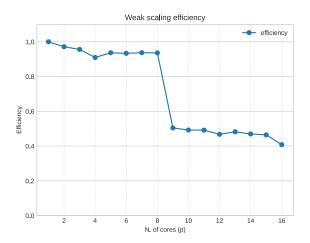


Figure 3: OpenMP weak scaling efficiency on CPU threads (K = 1000).

#### 3.2 GPU Performance

We compare CUDA global and shared memory approaches against the 8-core OpenMP baseline.

**Execution Time and Throughput.** Figure 4 shows execution time scaling approximately linearly with input size N for a fixed layer count K, and also scaling linearly with K for a fixed N. As depicted, shared memory consistently results in lower execution times compared to global memory. We define throughput as

$$\Theta = \frac{\sum_{t=1}^{K-1} \left[ N - t \left( R - 1 \right) \right]}{T} \quad \left[ \text{M elements/sec} \right],$$

i.e. total number of output elements computed across all layers divided by runtime T, expressed in millions of elements per second. Correspondingly, Figure 5 shows that GPU throughput generally increases with N for both memory strategies, indicating improved GPU utilization as more parallel work becomes available. Shared memory consistently achieves significantly higher throughput than global memory, primarily by reducing global memory latency and bandwidth usage through data reuse in on-chip memory. Notably, for a fixed N, the achieved throughput is relatively stable across different values of K.

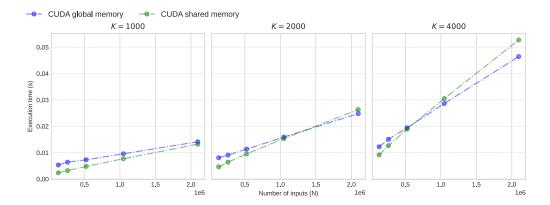


Figure 4: Execution time vs. N for CUDA global and shared memory across different K.

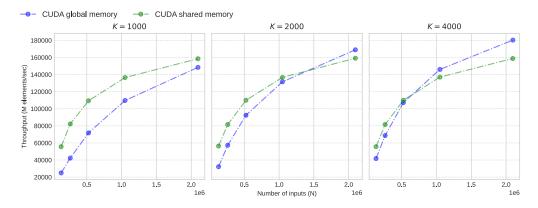


Figure 5: GPU throughput (M elements/sec) vs. N for CUDA global and shared memory across different K.

**Relative Speedup.** Figure 6 presents the speedup of CUDA implementations relative to the 8-core OpenMP baseline. Speedup generally increases with N for both global and shared memory approaches, showcasing the GPU's computational advantage for larger problem sizes. The shared memory implementation (green bars) consistently provides substantially higher speedup than the global memory version (blue bars). For the largest input size tested ( $N = 2^{21} \approx 2.1 \times 10^6$ ):

- With K = 1000 layers, shared memory achieves a speedup of approximately  $383 \times$ .
- With K = 2000 layers, shared memory achieves a speedup of approximately  $335 \times$ .
- With K = 4000 layers, shared memory achieves a speedup of approximately  $340 \times$ .

The impact of increasing the number of layers K on speedup shows different trends depending on N. For smaller N values (e.g.,  $N=2^{19}\approx 0.5\times 10^6$ ), increasing K tends to improve speedup (from  $271\times$  at K=1000 to  $306\times$  at K=4000 for shared memory), likely due to the amortization of fixed kernel launch overheads over more computational steps. However, for the largest N ( $2^{21}$ ), the speedup for K=1000 is the highest, suggesting that at very large problem sizes, the kernel execution time heavily dominates, and other factors might influence the scaling with K. At a representative medium-large input size,  $N=2^{20}$  (approx  $1.05\times 10^6$ ), the shared memory speedups observed are  $358\times$  (for K=1000),  $326\times$  (for K=2000), and  $333\times$  (for K=4000).

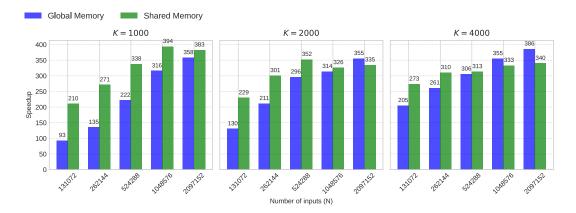


Figure 6: Speedup of CUDA kernels relative to OpenMP (8 threads) baseline, varying N and K. Speedup values annotated.

### Appendix: Sliding-Window Reformulation

Given an input vector  $\mathbf{x} = [x_0, x_1, \dots, x_{N-1}]$  of dimension N and a receptive field size R, the output vector  $\mathbf{y}$  has a dimension M = N - R + 1. For an example case where N = 5 and R = 3, the output dimension is M = 3. The first two output elements  $(y_0, y_1)$  are computed individually as:

$$y_0 = \sigma (x_0 W_{0,0} + x_1 W_{0,1} + x_2 W_{0,2} + b_0),$$
  

$$y_1 = \sigma (x_1 W_{1,0} + x_2 W_{1,1} + x_3 W_{1,2} + b_1).$$

Here,  $W_{i,j}$  represents the weight connecting the j-th input in the window for output i to the i-th output neuron, and  $b_i$  is the bias for the i-th output neuron. Weights are generally not shared between different output neurons in this locally connected formulation.

To potentially improve data locality and leverage matrix operations, one can construct an intermediate matrix X by applying a sliding window to the input vector  $\mathbf{x}$  (often called 'im2col' or 'unfold'):

$$X = \begin{bmatrix} x_0 & x_1 & \cdots & x_{R-1} \\ x_1 & x_2 & \cdots & x_R \\ \vdots & \vdots & \ddots & \vdots \\ x_{M-1} & x_M & \cdots & x_{N-1} \end{bmatrix} \in \mathbb{R}^{M \times R}.$$

Let  $W \in \mathbb{R}^{M \times R}$  be the weight matrix where row i contains the weights for output  $y_i$ , and let  $\mathbf{b} \in \mathbb{R}^M$  be the bias vector. The entire output vector  $\mathbf{y} \in \mathbb{R}^M$  can then be expressed as:

$$\mathbf{y} = \sigma(\operatorname{diag}(XW^{\top}) + \mathbf{b}).$$

In this equation,  $\operatorname{diag}(\cdot)$  extracts the main diagonal of the  $M \times M$  matrix product  $XW^{\top}$ , yielding the required weighted sums.

Although this sliding-window ('im2col') approach enables potentially fully coalesced memory reads and leverages highly optimized Basic Linear Algebra Subprograms (BLAS) for the internal matrix—matrix product  $(XW^{\top})$ , it introduces complexity and overhead. Firstly, explicit transformation of the input activations of each layer into the matrix X can be costly, potentially compensating for the gains in BLAS performance, especially for small R or deep networks requiring repeated transformations. Secondly, it's crucial to note that even after performing the computation via  $XW^{\top}$ , the desired result is the vector  $\mathbf{y}$  obtained from the diagonal (plus bias and activation). This output vector  $\mathbf{y}$  is analogous in structure to the original input vector  $\mathbf{x}$ ; it is not automatically in a matrix format (like X) suitable for direct use as an operand in

a subsequent layer's computation if that layer also relies on a GEMM-based strategy (e.g., another 'im2col' followed by matrix multiplication). Such a subsequent layer would require its own 'im2col' transformation applied to  $\mathbf{y}$ . Consequently, considering the transformation overhead and the fact that the output requires further processing for subsequent GEMM-based layers, the direct, per-neuron formulation might be preferred for clarity, simplicity, and potentially better end-to-end performance in certain scenarios.