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DEPARTMENT OF MATHEMATICS AND COMPUTER SCIENCE  
BACHELOR'S DEGREE IN COMPUTER SCIENCE

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Minimum Weight Vertex Cover Problem

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ARTIFICIAL INTELLIGENCE PROJECT

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# Chapter 1

## Introduction

### 1.1 Problem

Given a problem instance  $(G, \omega)$ , where  $G$  is a undirected graph  $G(V, E)$  and  $\omega : V \rightarrow \mathbb{R}^+$  a function that associates a positive weight value  $\omega(v)$  to each vertex  $v \in V$ , the Minimum Weight Vertex Cover can formally be defined as follows:

$$\textbf{minimize} \quad \omega(S) = \sum_{v \in S} \omega(v), \quad S \in V$$

such that  $\forall (v_i, v_j) \in E, v_i \in S \vee v_j \in S$ .

Note that the MWVC is a NP-complete problem.

### 1.2 Proposed Solution and Motivation

This project implements a solution to the MWVC problem using a *Genetic Algorithm (GA)* with *one-point crossover* and *k-tournament selection*.

The chosen algorithm facilitates parallel computing compared to Tabu Search, as well as allowing to reduce the risk of the local optima stagnation. Also, Branch-and-Bound has limitations concerning the problem size, while Genetic Algorithms are suitable for NP-Hard problems such as MWVC.

Regarding the chosen Genetic Algorithm variation, even though it is not immediate to state whether one-point crossover will achieve better results over uniform crossover without prior testing, it has been widely proved that k-tournament selection outperforms roulette wheel in most scenarios [1].

# Chapter 2

## Genetic Algorithms

### 2.1 Behaviour and Structure

Genetic Algorithms (GAs) are a class of evolutionary algorithms inspired by the principles of natural selection. They operate by iteratively evolving a population of potential solutions towards an optimal or near-optimal state. The process unfolds as follows:

**Initialization** An initial population of candidate solutions is randomly generated.

**Evaluation** Each individual in the population is evaluated based on a predefined fitness function, which quantifies its quality or suitability with respect to the problem at hand.

**Selection** A subset of individuals are selected from the populations. The chosen individuals are selected as parents of the following population. Selection is based on fitness, with fitter individuals having a higher probability of being chosen.

**Crossover** Using crossover, selected parents genetic material are combined to create offspring.

**Mutation** With a certain probability, random mutations are introduced into the offspring. This is called mutation and it helps to maintain diversity within the population and prevents premature convergence towards suboptimal solutions.

**Replacement** This newly generated population replaces the old one.

The cycles of evaluation, selection, crossover, mutation, and replacement are repeated until a halting criteria is satisfied.

### 2.2 k-Tournament Selection

The selection algorithm for the proposed solution is *k-tournament*. This algorithm involves running several "tournaments" among  $k$  individuals chosen at random from the population, until the desired amount of population is reached. Each tournament selects the best amongst the  $k$  selected individuals.

The tournament size  $k$  can be adjusted to balance exploration and exploitation. Smaller  $k$  introduces more diversity, while larger  $k$  focuses more on exploiting fittest individuals. Given a population of  $n$  individuals:

$k = 1$  : selection is random, there is no preference based on fitness

$k = n$  : the fittest individuals are always selected

**Algorithm 2.1:** k-tournament selection

```

1 function k-tournament(population, k, n)
2   new_population = []
3   while new_population.size < n
4     selected = []
5     while selected.size < k
6       individual = random element from population
7       selected.push(individual)
8     end
9     new_population.push(best(selected))
10  end
11  return new_population
12 end

```

## 2.3 Single-Point Crossover

The simplest form of crossover is the single-point crossover, where a random crossover point is selected and the genetic material is exchanged between the parents at that point.

**Algorithm 2.2:** Single-point crossover

```

1 function single-point-crossover(parent1, parent2)
2   i = random integer in [0..n-1]
3   offspring1 = parent1[0..i] + parent2[i+1..n-1]
4   offspring2 = parent2[0..i] + parent1[i+1..n-1]
5   return offspring1, offspring2
6 end

```

## 2.4 Bit-Flip Mutation

Mutation is a genetic operator that introduces random changes in the offspring. The simplest form of mutation is the bit-flip mutation, where a bit has a probability  $p$  of being flipped.

**Algorithm 2.3:** Bit-flip mutation

```

1 function bit-flip-mutation(offspring, p)
2   for i in 0..n-1
3     if random() < p
4       offspring[i] = 1 - offspring[i]
5     end
6   end
7   return offspring
8 end

```

# Chapter 3

## Implementation

### 3.1 DEAP Framework

The chosen framework for the implementation is DEAP [2]. DEAP (Distributed Evolutionary Algorithms in Python) is a Python library that excels at rapid prototyping and testing of ideas, making the tool ideal for the project.

### 3.2 Gene Representation

The gene representation is a binary string, where each bit represents the presence of a vertex in the solution. The length of the string is equal to the number of vertices in the graph. The weight of the vertex is stored in a separate list, where the index corresponds to the vertex index.

1	1	0	1	0	0	1	0	1	1
---	---	---	---	---	---	---	---	---	---

**Figure 3.1:** Example of a MWVC gene representation

### 3.3 Fitness Function

The fitness function for the Minimum Weight Vertex Cover problem calculates the weight of the solution by summing the weights of the vertices present in the solution. Additionally, it imposes a penalty for each edge that is not covered by the vertices in the solution, ensuring that the fitness value is higher for incomplete solutions. The penalty function (or a similar alternative) is mandatory since we're using a direct gene representation that could create invalid configurations of uncovered vertices.

#### Algorithm 3.1: Fitness function

```
1 function fitness(individual)
2     f = 0
3     for i in 0..n-1
4         if individual[i] == 1
5             f = f + vertex_weight[i]
6         end
7     end
8
```

```

9      for edge in graph.edges
10          if individual[edge.from] == 0 and individual[edge.to] == 0
11              f = f + penalty
12          end
13      end
14      return f
15 end

```

## 3.4 Performance Metrics

In order to evaluate the performance of the algorithm, it is necessary to define a set of metrics to evaluate the quality of the solution and the performance of the algorithm. The considered metrics are the following:

- **Convergence rate:** the rate at which the algorithm converges to the optimal solution.
- **Average fitness:** the average fitness of the population over time.
- **Best fitness:** the best fitness of the population over time.
- **Number of generations:** the number of generations required to find the solution.
- **Number of evaluations:** the number of fitness evaluations required to find the solution.
- **Stagnation:** the number of generations without improvement.

The execution time is not considered as a metric as it is highly dependent on the hardware and software environment.

It would be useful to include an optimality metric representing the difference between the best known solution and the solution found by the algorithm, but this information is not easily computable as the problem is NP-hard.

## 3.5 Parameters

Genetic Algorithms have a set of parameters that need to be tuned in order to achieve the best performance.

The following is the list of the meta-parameters configurable in the implementation:

- **Population size:** the number of individuals in the population.
- **k-tournament selection size:** the size  $k$  of the tournament in the k-tournament selection.
- **Crossover probability:** the probability of crossover.
- **Mutation probability:** the probability of mutation.
- **Fitness penalty:** the penalty for each edge not covered by the solution.
- **Number of generations:** the number of generations the algorithm will run.

The problem of finding the best parameters is an optimization problem itself, and it is possible to use a meta-heuristic algorithm to find the best parameters, such as a Genetic Algorithm [3]. This is out of the scope of this project even though it could be an interesting future development.

## 3.6 Benchmarking Flow

This paragraph describes the flow of the benchmarking process. The goal of the benchmarking process is to test the algorithm with a fixed parameters combination over different graph instances to extract the metrics and evaluate the performance of the algorithm. The parameters are chosen based on the literature and are discussed in the chapter 4.

The process is divided into the following steps:

1. **Load the graphs:** the problem instances for testing are loaded from the files.
2. **Compute graphs properties:** for each graph a set of properties are computed, such as the number of vertices, the number of edges and the density.
3. **Create the DEAP structures:** the DEAP structures are created, including the individuals, the population and the evaluation function.
4. **Run the algorithm:** the algorithm is executed with the set parameters over the graph instances.
5. **Save the results:** the results are saved to a file in a JSON format for further analysis.

The benchmarking process is repeated iteratively over different parameters combinations to find the best configuration for the algorithm.



# Chapter 4

## Results

```
#todo
  plot best fitness / time
  plot avg fitness / time
  più esecuzioni per ogni istanza secondo doc
  cercare letteratura per tuning parametri
```

# Conclusion

# Bibliography

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