### **Conditional GANs**

Pokemon's type generation from scratch - Deep Learning course's exam

Matteo Robbiati July 2022

# GANs

### Generator and discriminator

• Generative Adversal Networks (aka GANs) are models composed of two neural networks:

• a generator G, which goal is to understand the data distribution  $\rho_{ds}$ . In order to do that, it takes random vector z from a latent space  $\mathcal{Z}^1$  with a probability density function  $\rho_z^2$  and maps it into an element with the same dimensionality of the data sample, building a fake sample space  $\hat{\Omega}$ , e.g. images in the form (64,64,3):

$$G: z \in \mathcal{Z} \to G(z|\vec{\theta_g}) \in \hat{\Omega}.$$

 a discriminator, D, which goal is to classify an input variable x as generated (0) or real (1):

$$D: x \in \{(64, 64, 3)\} \rightarrow D(x|\vec{\theta}_d) \in \{0, 1\}$$

<sup>&</sup>lt;sup>1</sup>with a lower dimensionality than the sample space

<sup>&</sup>lt;sup>2</sup>typically in a simple way, e.g. through an uniform or a normal distribution

### The loss function to optimize

• G wants D classifies all its purposes as 1, instead D wants to be able to classify correctly every input it receives. To summing up this conflict between the two network we build a min-max game:

$$\min_{\vec{\theta}_g} \max_{\vec{\theta}_d} \left\{ \left\langle \log D(x|\vec{\theta}_d) \right\rangle_{x \sim \rho_{ds}} + \left\langle \left[ 1 - \log D \left( G(z|\vec{\theta}_g)|\vec{\theta}_d \right) \right] \right\rangle_{z \sim \rho_z} \right\}$$
(1)

```
1 # the pseudo-algorithm
2 for n epochs do:
3 for k steps do:
4 let D classify an hybrid batch of data
5 update the discriminator weights with a B-P
6 generate a new fake batch using G
7 freeze D weights and classify the elements
8 update the generator weights with a B-P
```

This idea of optimization is applied also in the case of conditional generative adversal nets.

# Conditional GANs

### A conditioned problem

- Conditional GANs were born for implementing a model that was able to generate conditioned data.
- **lacktrianglerightarrow** The idea is to feed both G and D with an extra information y, which can be arbitrarily implemented<sup>3</sup>.

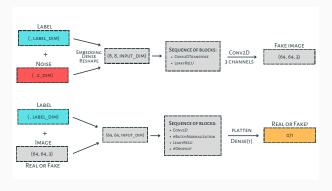


Figure 1: Above, G diagram. Below, D diagram.

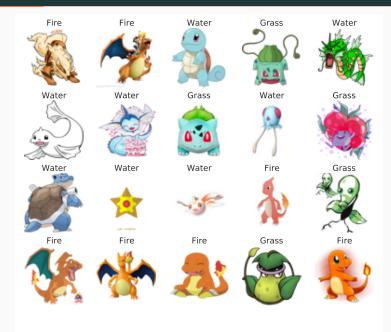
 $<sup>^3</sup>$ I treated two methods. In method  $^{\circlearrowright}$  i used functional API mode for passing G and D two inputs, with method  $^{\circlearrowright}$  i merged all inputs into a single array and built sequential models

This problem

### My problem

- f O I want to generate 64 imes 64 RGB images of pokemon of three different types: grass, water and fire.
- $oldsymbol{\circ}$  i managed this dataset, which contained initially  $\sim$  17.3k images:
  - deleted all folders containing pokemon different from water, grass or fire (from 149 to 50 directories);
  - standardized the images to a 64 × 64 RGB and \*png format (removed 208 images incompatible with the RGB-png conversion);
  - removed all the images without a white background. For this step I've written a
    function which evaluate the percent value of whiteness of an image (removed
    2450 images);
- At the end of the pre-processing i found a dataset of 1064 images divided into three type-classes, which i put into a tf.keras.Dataset.

## A random sampling



#### How i built the dataset

• Since cgan.ds contains only a few elements, i have decided to apply a data augmentation strategy during the process of ds definition:

```
#a bit of data augmentation
   data_augmentation = tf.keras.models.Sequential(
       tf. keras. lavers. Random Flip ("horizontal").
       tf.keras.layers.RandomRotation(0.1),
       tf.keras.layers.RandomZoom(0.1),
   #prepare function
   def prepare(ds, shuffle=True, augment=True):
12
     ds.cache()
13
     if shuffle:
14
     ds = ds.shuffle(6000)
15
     ds = ds.batch(batch_size)
16
     if augment:
     ds = ds.map(lambda x, y: (data_augmentation(x), y), num_parallel_calls=BUFF_SIZE)
17
18
     return ds.prefetch(buffer_size=BUFF_SIZE)
19
  #prepare my dataset as decided
   dataset = tf.data.Dataset.from_tensor_slices((train_images.train_labels))
22 dataset = prepare(dataset)
```

## A random sampling



# Conditioning the generator - Method 🛅

With the first way we want to embed all infos into **sheets**, from which we will get a (64,64,3) image.

- the label is passed as integer end embedded into a vector with an arbitrary dimension which
  we call embed\_label. Then, using a Dense layer composed of 8 × 8 nodes and a Reshape
  layer we transform the embed\_label into a (8,8,1) sheet;
- 2. **noise** z is passed as a random vector of dimension 100, from which we build  $N_s$  sheets using a Dense with  $N_s \times 8 \times 8$  nodes and a Reshape layer;
- 3. with a Concatenate layer we combine the sheets composing all the amount of input information, in the form  $(8, 8, N_s + 1)$ .

```
1 #embedding the label
2 input.label = Input(shape=(1,))
3 embed.label = Embedding(num_classes, 100)(input_label)
4 nodes = 8 * 8
5 embed.label = Dense(nodes)(embed_label)
6 embed.label = Reshape(8, 8, 1))(embed.label)
7 #embedding the noise
8 latent.input = Input(shape=(latent_dim,))
9 nodes = 64 * 8 * 8
10 gen = Dense(nodes)(latent_input)
11 gen = Reshape((8, 8, 64))(gen)
```

# Conditioning the generator - Method **b**

We encode all the information (both noise and class label) into a single input vector, from which we get the same sheets.

- 1. Once calculated the **input dimension**:  $N_{in,G} = N_{classes} + z_{dim}$ ;
- 2. we transform the input information using a Dense layer with  $8 \times 8 \times N_{in,G}$  nodes and reshape the output into sheets:  $(8,8,N_{in,G})$ .

# Conditioning the discriminator - Method &

#### We operate in terms of sheets again:

- 1. the **label** is passed as first input and embedded in the same way of *G*, with the difference that it is transformed into a sheet of the form (64, 64, 1);
- the image is provided as second input and pasted with the label sheet through a Concatenate layer.

```
1 # embedding the label
2 input.label = Input(shape=(1,))
3 embed.label = Embedding(1, 100)(input_label)
4 nodes = 64 * 64
5 embed.label = Dense(nodes)(embed.label)
6 embed.label = Reshape((64, 64, 1))(embed.label)
7 # passing the second input (the RGB image)
8 input.image = Input(shape=input.shape)
9 # concatenating the two inputs
10 merged.image = Concatenate()([input_image, embed_label])
```

# Conditioning the discriminator - Method **b**

We build up a sequence of sheets in the form (64, 64,) cointaining the image and the label information.

- 1. the **label** compose three sheets for a total dimension (64, 64, 3);
- 2. the image compose other three sheets in the same form (64, 64, 3);
- 3. all inputs are concatenated into six sheets as (64, 64, 6).

#### G and D models

Ocnv2D and Conv2DTranspose operations respectively for reducing and increasing the data size

```
#discriminator's core
   layers. Conv2D(512. (4. 4). strides = (1. 1). padding="same").
   lavers.LeakyReLU(alpha=0.2).
   layers. Conv2D(256, (4, 4), strides = (1, 1), padding = "same"),
   layers.LeakyReLU(alpha=0.2),
  layers. Conv2D(128, (4, 4), strides = (1, 1), padding="same"),
   layers . LeakyReLU (alpha = 0.2),
   lavers. Conv2D(64, (4, 4), strides = (1, 1), padding="same").
   layers . LeakyReLU (alpha = 0.2),
  layers . GlobalMaxPooling2D(),
   layers . Dense (1)
12
   #generator's core
   layers.Conv2DTranspose(64, (3, 3), strides=(2, 2), padding="same"),
  layers.LeakyReLU(alpha=0.2),
  layers.Conv2DTranspose(128, (3, 3), strides=(2, 2), padding="same"),
17 layers . LeakyReLU (alpha = 0.2),
   layers . Conv2DTranspose (256, (3, 3), strides = (2, 2), padding="same"),
  lavers . LeakyReLU (alpha = 0.2) .
20 layers.Conv2D(3, (4, 4), padding="same", activation="sigmoid")
```



## Optimization with model **b** - metrics

- From now on I will refer to method two, with which I obtained the best results.
- ② I've discovered the possibily to customize the tf.keras.Model.fit module and I used this approach for training the conditional GAN.
- For doing that, in a cond\_GAN class, I customized three methods metrics, compile and train\_step:

```
1 #metrics
2 #the mean value of the loss—fz obtained predicting the samples
3 self.gen_loss_tracker = keras.metrics.Mean(name="generator_loss")
4 self.disc_loss_tracker = keras.metrics.Mean(name="discriminator_loss")
5
6 def metrics(self):
7 return [self.gen_loss_tracker, self.disc_loss_tracker]
```

### **Optimization - compile**

- Implementing an exponential decay of the learning rate [2] using keras.optimizers.schedules.ExponentialDecay;
- susing a Binary Crossentropy as loss function.

```
#an exponential decay for the learning rate
   initial_learning_rate = 0.0005
   Ir_schedule = tf.keras.optimizers.schedules.ExponentialDecay(
       initial_learning_rate , decay_steps=500, decay_rate=0.99, staircase=True)
   #the decay's expression
   def decayed_learning_rate(step):
     return initial_learning_rate * decay_rate ^ (step / decay_steps)
11
   cond_gan.compile(
       d_optimizer=keras.optimizers.Adam(learning_rate=Ir_schedule, beta_1=0.5),
       g_optimizer=keras.optimizers.Adam(learning_rate=lr_schedule.beta_1=0.5).
       loss_fn=keras.losses.BinaryCrossentropy(from_logits=True).
14
15
16
   # Compile method is defined into the cGAN class
   def compile(self, d_optimizer, g_optimizer, loss_fn):
19
       super (Conditional GAN . self).compile()
       self.d_optimizer = d_optimizer
20
                                                #Adam
       self.g_optimizer = g_optimizer
                                                #Adam
22
       self.loss_fn = loss_fn
                                                #Binary Crossentropy
```

### Learning rate decay

• My schedule performed a decay step each 50 optimization steps (after using 50 batches, so about every 2 epochs).



Figure 2: First 200 values of the learning rate used during the train in function of the epochs

### Optimization - train step

The Algorithm's steps involve an **alternating training** of D and G. This procedure is done informing the optimizer about the correct label of the batches (0 real, 1 fake)<sup>4</sup>:

- 1. select a **real batch** of size  $n_{batch}$ ;
- generate n<sub>batch</sub> latent points (with both noise and label information inside) and use it for generating fake images;
- 3. use these **concatenated batches** for training only D.

```
def train_step(self. data):
       #once selected the real batch, we prepare the fake one
       random_latent_vectors = tf.random.normal(shape=(batch_size, self.latent_dim))
       random_vector_labels = tf.concat([random_latent_vectors, one_hot_labels], axis=1)
       generated_images = self.generator(random_vector_labels)
       #let's concatenate images with their labels and create a big mixed batch
       comb_images = tf.concat([fake_image_and_labels.real_image_and_labels].axis=0)
       labels = tf.concat([tf.ones((batch_size, 1)), tf.zeros((batch_size, 1))], axis=0)
10
11
       #training only the discriminator
12
       with tf.GradientTape() as tape:
13
           predictions = self.discriminator(comb_images)
14
           d_loss = self.loss_fn(labels.predictions)
15
       grads = tape.gradient(d_loss, self.discriminator.trainable_weights)
       self.d=optimizer.apply_gradients(zip(grads, self.discriminator.trainable_weights))
16
```

<sup>&</sup>lt;sup>4</sup>This is the opposite of the above, but this choice is conventional and due to the fact that apply\_gradients performs a descent and not an ascent

### Optimization - train step

- 4. generate other  $n_{batch}$  latent points and labels;
- 5. let *G* use them for generating a new **fake** sample;
- 6. let's train *G* on the cGAN predictions (here we *freeze* the discriminator)

```
#then we create another set of inputs for the generator
  #associating them with O labels, because we want to deceive D
       misleading_labels = tf.zeros((batch_size, 1))
       with tf.GradientTape() as tape:
6
           fake_images = self.generator(random_vector_labels)
           fake_image_and_labels = tf.concat([fake_images, image_one_hot_labels], -1)
8
           predictions = self.discriminator(fake_image_and_labels)
           g_loss = self.loss_fn(misleading_labels, predictions)
10
       grads = tape.gradient(g_loss. self.generator.trainable_weights)
11
       self.g_optimizer.apply_gradients(zip(grads, self.generator.trainable_weights))
12
13
       #updating the trackers state
14
       self.gen_loss_tracker.update_state(g_loss)
       self.disc_loss_tracker.update_state(d_loss)
15
16
17
       return {
18
           "g_loss": self.gen_loss_tracker.result(),
19
           "d_loss": self.disc_loss_tracker.result(),
20
```

## Results

### Results

• I runned my code on Google Colab Pro, because such a problem requires a long lead time, even if well optimized. It provides NVIDIA Tesla K80 GPUs for a maximum single run of 12h;

• I also tried the kaggle GPUs, provided for a maximum of 37h of weekly usage. It provides NVIDIA Tesla P100 PCI GPUs for a maximum single run of 9h;

hyper-param	choice
n <sub>batch</sub>	32
$n_{epochs}$	500
$\eta_0$	0.0005
$\gamma_{\eta}$	0.99
$T_{\eta}$	50
$eta_1$	0.5
opt	Adam
J	Binary Crossentropy
$z_{dim}$	128

 $\bullet$  the following result took  $\sim 80'$  time.

Fire line 1, Water line 2, Grass line 3.

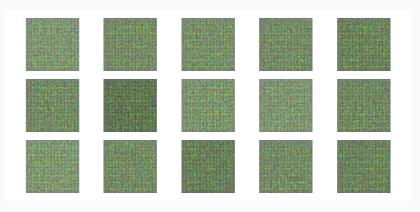


Figure 3: Images before the training

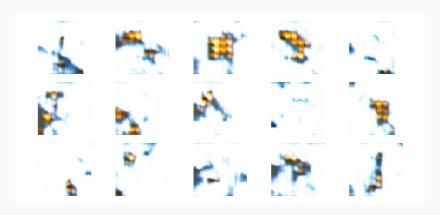


Figure 4: Images after 10 epochs of training

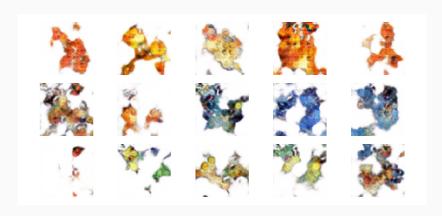


Figure 5: Images after 100 epochs of training

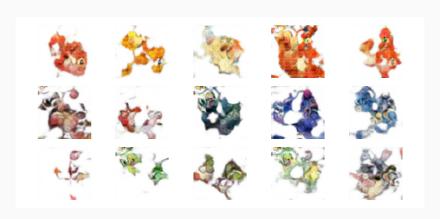


Figure 6: Images after 200 epochs of training

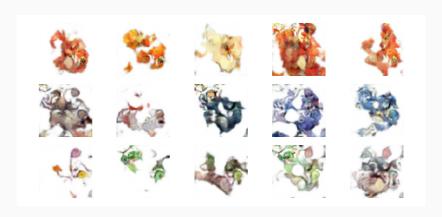


Figure 7: Images after 500 epochs of training

### Movie results

- cGAN learns to represent the three classes
- $oldsymbol{\circ}$  Thanks to [3] i've learnt that if we feed G with a series of intermediate state labels, we are able to generate hybrid-classes' pokemon!

```
#fire to grass label's transformation
   #in this case we have 10 interpolations
   tf. Tensor (
   [[1.
    [0.8888889 0.11111111
    [0.7777778 0.22222222
    [0.6666666 0.33333334
    [0.5555556 0.44444445 0.
    [0.4444444 0.5555556
10
    [0.3333333 0.6666667 0.
    [0.22222221 0.7777778 0.
    [0.1111111 0.8888889 0.
13
    [0.
                1.
                                     ]], shape=(10, 3), dtype=float32)
```

- Fire to Grass transformation
- Fire to Water transformation

### A difficult training

- To train GANs, and cGANs too, is a difficult task
- Pokemon are very heterogeneous, even those belonging to the same type;
- during my job I performed several attempts desiring to achieve better and better results;
- I report the history of the loss functions evaluated during the last training:

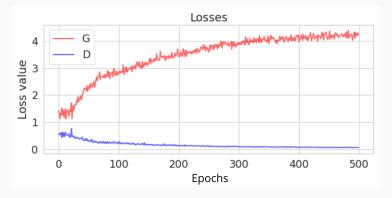


Figure 8: Value of loss function as a function of epochs

#### How to do better?

#### 🖺 Data:

- searching for new data, maybe involving more than three classes and increasing the poor amount of images of my DS;
- building a dataset more balanced: in this case I had 351 fire pokes, 288 grass pokes and 425 water pokes.

#### Model:

- · implement an hyper-optimization method, instead of doing that manually;
- test more complex models, in order to keep under control the training of G and D: for example, it's possible to use more than one encoder and more than one decoder;
- exploit verified models, such as the NVIDIA Research Projects StyleGAN, using the power of the transfer learning;

#### 😋 Training:

 writing a more balanced train step method, for example training k times D and one time G, as said in [1], or training G with a batch of the same size of the one used with D.

### **Bibliography**



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### Conv2DTranspose

