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**THE LAW OF
SECURITIES
REGULATION**

Sixth Edition

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By

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Preface

This pocket part, which consists of seven volumes, updates the Sixth Practitioner's Edition of Hazen's Treatise on the Law of Securities Regulation (2009). The format of the pocket part follows the chapters and sections as they appear in the main volume. The index to the main volume will lead the reader to relevant sections in the main volume. The index to the main volume will lead the reader to relevant sections in the main volume from there to this pocket part.

Securities regulation remains a rapidly changing area. This supplement analyzes developments in SEC rulemaking, administrative interpretations, administrative decisions, and judicial decisions as well as developments with respect to the self-regulatory organizations. This pocket part supplement reflects reported decisions and other developments through January 1, 2013.

THOMAS LEE HAEN

Chapel Hill, North Carolina
January 2013

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