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## Introduction

### • What is an Algorithm?

#### ○ Definition 1

- An algorithm is a sequence of unambiguous instructions for solving a problem

#### ○ Definition 2

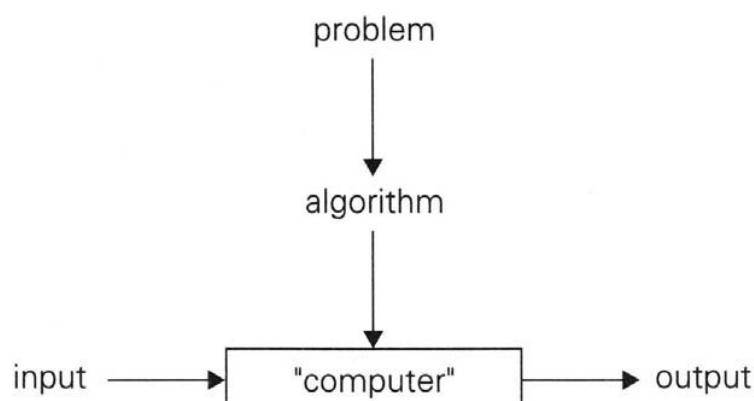
- for obtaining a required output for any legitimate input in a finite amount of time.

# Notation of Algorithm

## ● Features of Algorithm

- Each step must be non-ambiguous
- Specified range of inputs
- Same algorithm can be represented in different ways
- Several algorithms may exist for one problem
- Algorithms can resolve problem with different speed

# Notation of Algorithm



**FIGURE 1.1** Notion of algorithm

# Notation of Algorithm

- Problem: find gcd(m,n)

- Solution 1:

- Step 1 assign the value of min{m,n} to t
    - Step 2 divide m by t
      - If the remainder of this division is 0 goto step 3; otherwise, go to step4
    - Step 3 divide n by t
      - If the remainder of this division is 0 return t and stop; otherwise, go to step4
    - Step 4 Decrease t by 1. go to step2

# Notation of Algorithm

- Solution 2:

- Euclid's algorithm

$$\text{gcd}(m,n) = \text{gcd}(n, m \bmod n)$$

e.g.

$$\text{gcd}(60,24) = \text{gcd}(24,12) = \text{gcd}(12,0) = 12$$

# Notation of Algorithm

**ALGORITHM** *Euclid(m, n)*

```
//Computes gcd(m, n) by Euclid's algorithm  
//Input: Two nonnegative, not-both-zero integers m and n  
//Output: Greatest common divisor of m and n  
while n ≠ 0 do  
    r ← m mod n  
    m ← n  
    n ← r  
return m
```

# Notation of Algorithm

## ○ Solution 3:

- Step 1 Find the prime factors of m
- Step 2 Find the prime factors of n
- Step 3 identify all common factors in step 1 & step2
- Step 4 return the product of all common factors

e.g.             $60=2*2*3*5$

$24=2*2*2*3$

$\text{gcd}(60,24)=2*2*3=12$

# Notation of Algorithm

## ● Sieve of Eratosthenes

○ Generate consecutive primes not exceeding n

- n is a given integer

○ Idea

- Initial a list of integers from 2 to n
- 1 iteration: eliminate 2,4,6,8, and so on
- 2 iteration: eliminate 3,6,9,12, and so on
- Continues till no more numbers can be eliminated

# Notation of Algorithm

○ When to stop?

● What is the largest number p whose multiples can still remain on the list?

- Suppose integer p is being considered
- We should first consider  $p^2$ 
  - Because  $2p, \dots, (p-1)p$  have been eliminated
  - So, if  $p^2 > n$ , then p's multiples can not remain on the list

● Hence,

$$p = \lfloor \sqrt{n} \rfloor$$

# Notation of Algorithm

2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	
2	3	5	7	9	11	13	15	17	19	21	23	25												
2	3	5	7		11	13		17	19		23	25												
2	3	5	7		11	13		17	19			23												

An example for n=25

# Notation of Algorithm

```
ALGORITHM Sieve( $n$ )
    //Implements the sieve of Eratosthenes
    //Input: A positive integer  $n \geq 2$ 
    //Output: Array  $L$  of all prime numbers less than or equal to  $n$ 
    for  $p \leftarrow 2$  to  $n$  do  $A[p] \leftarrow p$ 
    for  $p \leftarrow 2$  to  $\lfloor \sqrt{n} \rfloor$  do //see note before pseudocode
        if  $A[p] \neq 0$  // $p$  hasn't been eliminated on previous passes
             $j \leftarrow p * p$ 
            while  $j \leq n$  do
                 $A[j] \leftarrow 0$  //mark an element as eliminated
                 $j \leftarrow j + p$ 
    //copy the remaining elements of  $A$  to array  $L$  of the primes
     $i \leftarrow 0$ 
    for  $p \leftarrow 2$  to  $n$  do
        if  $A[p] \neq 0$ 
             $L[i] \leftarrow A[p]$ 
             $i \leftarrow i + 1$ 
return  $L$ 
```

# Fundamentals of Algorithmic Problem Solving

- Steps to design & analyze algorithm
  - Understand the problem
  - Ascertain capability of computational device
    - Sequential algorithm
    - Parallel algorithm
  - Exact or approximate problem solving
    - Exact algorithm
    - Approximation algorithm
      - Reason 1: can not be resolved exactly, e.g. square root
      - Reason 2: exact algorithm is unacceptably slow

# Fundamentals of Algorithmic Problem Solving

- Appropriate data structure
  - Algorithm+ data structures = Programming
- Algorithm design techniques
  - Check the book's table of contents
- Methods of specifying an algorithm
  - Pseudocode
    - A mixture of natural language and programming language
  - Flowchart

# Fundamentals of Algorithmic Problem Solving

- Prove an algorithm's correctness
  - A common technique
    - Mathematical induction
  - Trace algorithm for some inputs
    - cannot prove correctness
  - Show algorithm's incorrectness
    - one instance of input, which fails the algorithm

# Fundamentals of Algorithmic Problem Solving

- Analyze an algorithm
  - Time efficiency
    - How fast the algorithm runs
  - Space efficiency
    - How much memory the algorithm needs
  - Simplicity
    - Easy to understand
    - Simple algorithm maybe more efficient
      - but not always

# Fundamentals of Algorithmic Problem Solving

## ○ Generality

- Two issues here
  - Generality of the problem the algorithm solves
  - The range of inputs it accepts
- First issue
  - Sometimes, design a more general algorithm is easier
    - e.g. whether two integers are relative prime
    - sol: **compute the greatest common divisor**
  - Sometimes, it is unnecessary, difficult or impossible
    - Sort a list of number to find its median
    - Find the root of a quadratic equation by handling polynomials of arbitrary degrees

# Fundamentals of Algorithmic Problem Solving

## ● Second issue

- Naturally, not exclude 1 as possible inputs for gcd algorithm
- Normally, not accept complex coefficients for polynomials

## ○ Three factors to evaluate algorithm

- Efficiency, Simplicity and Generality
- If not satisfied, redesign it
- Even satisfied, worth searching for other solution

## ○ Principles

- Not expect to get the best algorithm on the first try
- Try to fine-tune the algorithm you already have

# Fundamentals of Algorithmic Problem Solving

- Keep in mind!!

*“A designer knows he has arrived at perfection not when there is no longer anything to add, but when there is no longer anything to take away”*

# Fundamentals of Algorithmic Problem Solving

- Coding an algorithm

- Peril

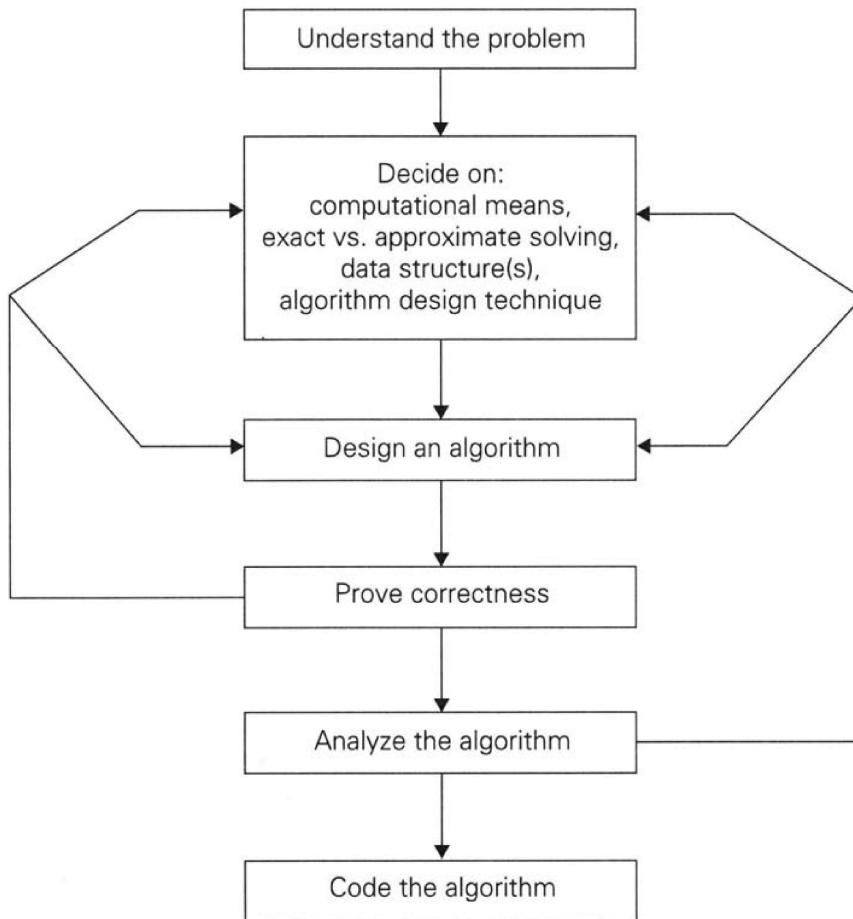
- Correct algorithm → incorrect or inefficient program

- Valid of program

- Program is validated by testing

- Efficiency

- Modern compiler provides code optimization
    - Computing loop's invariant outside the loop
    - Collect common sub-expressions
    - Replace expensive operation by cheap one



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**FIGURE 1.2** Algorithm design and analysis process

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## Important problem types

- The most important problem types
  - Sorting
  - Searching
  - String processing
  - Graph problems
  - Combinatorial problems
  - Geometric problems
  - Numerical problems

# Important problem types

## ● Sorting

- Rearrange items in ascending order
- Why sorting?
  - Make many questions about the **list** easier
    - e.g. searching
- Dozens of sorting algorithms are developed
- Two properties of sorting algorithms
  - Stable  $\Leftrightarrow$  it preserves the relative order of any two equal items in the input
  - In place  $\Leftrightarrow$  it does not require extra memory

# Important problem types

## ● Searching

- Finding a given value in a given set
  - The given value is called **search key**
- Plenty of searching algorithms
  - Sequential search
  - Binary search
- No single algorithm can fit all situations best
  - Some are faster but applicable only to sorted array

# Important problem types

- String processing

- String

- A sequence of characters

- Type of string

- Text string

- comprise letters, numbers, and special characters

- Bit string

- Zeros and ones

- String matching

- Searching for a given word in a text

# Important problem types

- Graph problems

- Graph traversal algorithms

- Shortest path algorithms

- Topological sorting

- The traveling salesman problem

- Find shortest tour through n cities

- Visit every city exactly once

- Graph coloring problem

- No two adjacent vertices are the same color

# Important problem types

- Combinational problems

- Find a combinational object

- e.g. permutation, combination, or a subset

- Satisfy certain constraints

- e.g. maximize a value or minimize a cost

- Such problem are difficult

- Combinational objects grows fast with a problem's size
    - No known algorithm is good enough for most such problems
      - In an acceptable amount of time
    - Most computer scientist believe that such algorithm don't exist

# Important problem types

- Geometric Problems

- Deal with geometric objects

- e.g. Points, lines, and polygons

- Two classic problems

- The closest-pair

- Find the closest pair among n points in a plane

- The convex hull problem

- Find the smallest convex polygon

- The polygon must include all points of a given set

# Important problem types

- Numerical problems

- Types

- Solve equations
    - Solve system equations
    - Compute definite integrals
    - Evaluate functions

- Difficulty

- Typically require manipulating real numbers
    - Real number is represented in a computer only approximately

# Fundamental Data Structures

- Linear data structure

- Array

- A sequence of  $n$  items of the same data type
    - Stored continuously in computer memory
    - Accessible by specifying a value of *index*



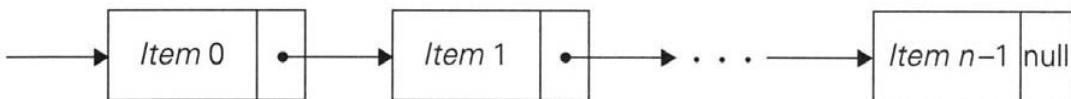
**FIGURE 1.3** Array of  $n$  elements

# Fundamental Data Structures

## ○ Linked list

- A sequence of zero or more nodes
- Each node contains
  - Data
  - Pointer

## ○ Singly linked list

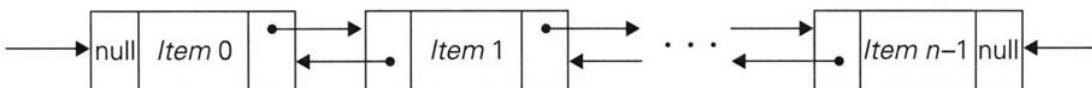


**FIGURE 1.4** Singly linked list of  $n$  elements

# Fundamental Data Structures

## ○ Doubly linked list

Introduction



**FIGURE 1.5** Doubly linked list of  $n$  elements

## ○ Terms

- Stack
  - Top
  - Push and pop
- Queue
  - front and rear
  - dequeue and enqueue

# Fundamental Data Structures

## ● Graph

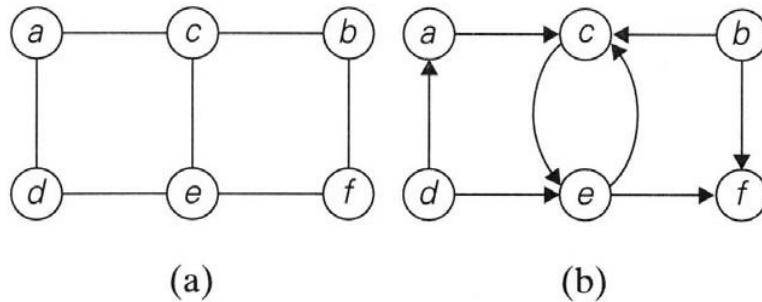
### ○ Define a graph $G = \langle V, E \rangle$

- $V$ : a collection of points called vertices
- $E$ : line segments

### ○ Types of graph

- Undirected graph
- Directed graph

# Fundamental Data Structures



**FIGURE 1.6** (a) Undirected graph. (b) Digraph.

# Fundamental Data Structures

## For Figure 1.6 (a)

- $V = \{a, b, c, d, e, f\}$
- $E = \{(a,c), (a,d), (b,c), (b,f), (c,e), (d,e), (e,f)\}$

## For Figure 1.6 (b)

- $V = \{a, b, c, d, e, f\}$
- $E = \{(a,c), (b,c), (b,f), (c,e), (d,a), (d,e), (e,c), (e,f)\}$

# Fundamental Data Structures

## Properties

- No loops  $\Leftrightarrow 0 \leq |E| \leq |V|(|V|-1)/2$
- Complete  $\Leftrightarrow$  every pair of vertices connected by an edge

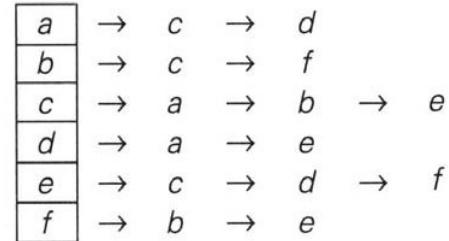
## Graph representation

- Adjacency matrix
- Adjacency linked lists

# Fundamental Data Structures

	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
<i>a</i>	0	0	1	1	0	0
<i>b</i>	0	0	1	0	0	1
<i>c</i>	1	1	0	0	1	0
<i>d</i>	1	0	0	0	1	0
<i>e</i>	0	0	1	1	0	1
<i>f</i>	0	1	0	0	1	0

(a)



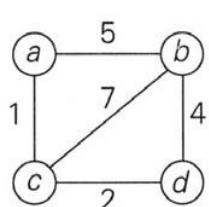
(b)

**FIGURE 1.7** (a) Adjacency matrix and (b) adjacency linked lists of the graph of Figure 1.6a

# Fundamental Data Structures

## ○ Weighted graphs

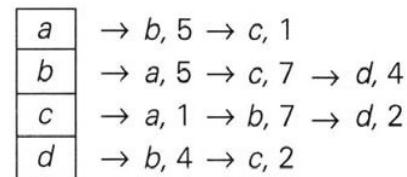
- a graph with numbers assigned to its edges



(a)

	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>
<i>a</i>	$\infty$	5	1	$\infty$
<i>b</i>	5	$\infty$	7	4
<i>c</i>	1	7	$\infty$	2
<i>d</i>	$\infty$	4	2	$\infty$

(b)



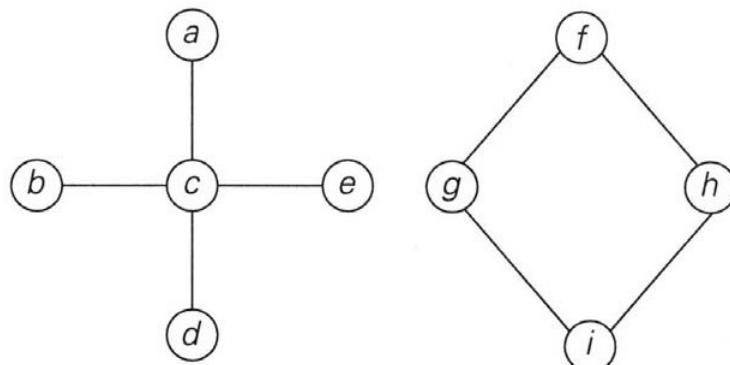
(c)

**FIGURE 1.8** (a) Weighted graph. (b) Its adjacency matrix. (c) Its adjacency linked lists.

# Fundamental Data Structures

- Connected graph
  - Each pair of its vertices u and v has a path from u to v
- Connected component
  - Maximal subgraph of a given graph
    - A subgraph of a given graph  $G = \langle V, E \rangle$  is a graph  $G' = \langle V', E' \rangle$  such that  $V' \subseteq V$  and  $E' \subseteq E$
- Acyclic
  - A graph with no cycle
- Cycle
  - A simple path that starts and ends at the same vertex

# Fundamental Data Structures



**FIGURE 1.9** Graph that is not connected

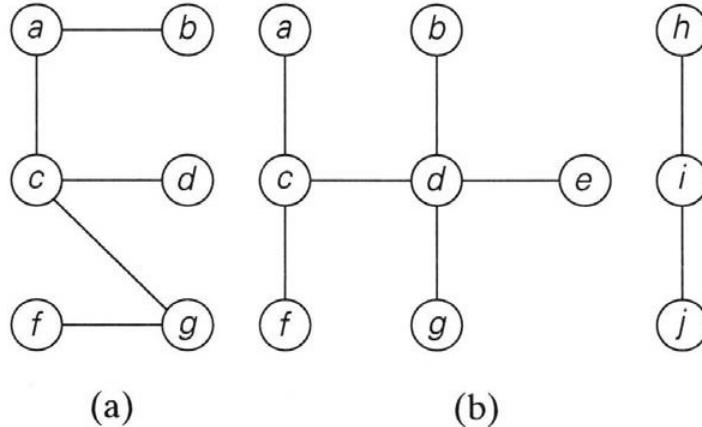
# Fundamental Data Structures

- Simple path
  - All edges of a path are distinct
- Path (from u to v)
  - A sequence of vertices that start from u and end with v
- Length of a path
  - The total number of vertices in a path minus one

# Fundamental Data Structures

- Tree
  - Definition
    - A connected acyclic graph
  - Forest
    - An acyclic graph but is not necessarily connected
  - Important property
    - $|E|=|V|-1$

# Fundamental Data Structures

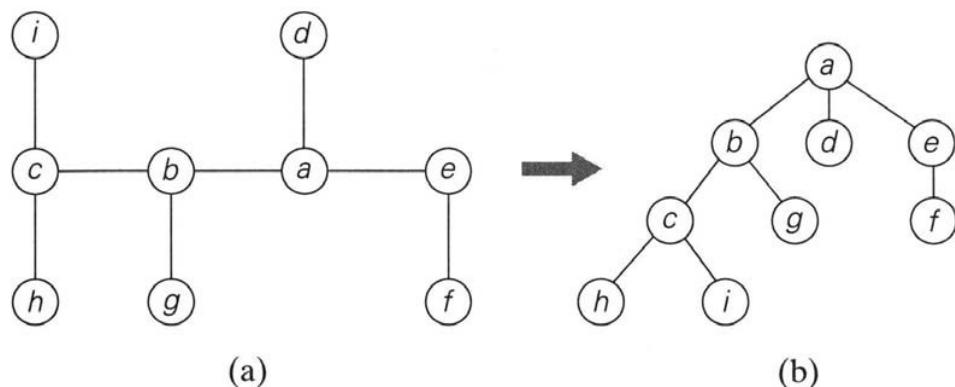


**FIGURE 1.10** (a) Tree. (b) Forest.

# Fundamental Data Structures

## ○ Rooted tree

- arbitrary vertex in a free tree can be as root



**FIGURE 1.11** (a) Free tree. (b) Its transformation into a rooted tree.

# Fundamental Data Structures

## ○ Terms

- vertex  $v$ 's **ancestor**

- Vertices on the simple path from root to  $v$
  - $v$  is called **descendant** of the ancestor

- $u$  is  $v$ 's **parent**

- $(u,v)$  is the last edge of the path from root to vertex  $v$
  - At the same time,  $v$  is  $u$ 's **child**

- **Siblings**

- Vertices that have the same parent

- **Leaf**

- A vertex with no child

# Fundamental Data Structures

- **Subtree**

- A vertex  $v$  with all its descendants

- **Depth of vertex  $v$**

- Length of the simple path from root to  $v$

- **Height of a tree**

- Length of the longest simple path from root to a leaf

# Fundamental Data Structures

## ○ Ordered tree

- A rooted tree
- All the children of each vertex are ordered

## ○ Binary tree

- A ordered tree
- Every vertex has no more than two children
- Each child is either **left child** or **right child** of its parent

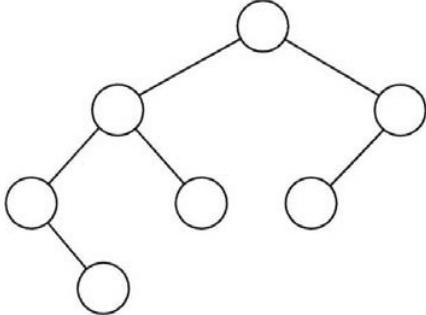
# Fundamental Data Structures

## ○ Binary search tree

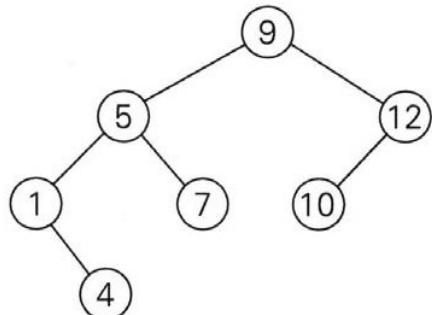
- All vertices are assigned a number
- Conditions
  - The number of each vertex is larger than all numbers in its left subtree
  - The number of each vertex is smaller than all numbers in its right subtree
- Property

$$\lfloor \log_2 n \rfloor \leq h \leq n - 1$$

# Fundamental Data Structures



(a)

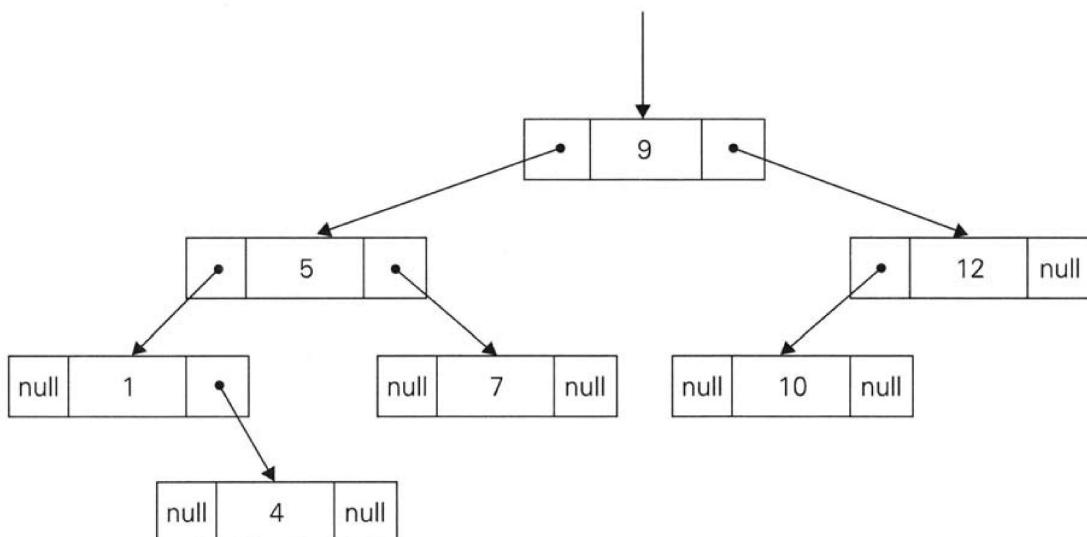


(b)

**FIGURE 1.12** (a) Binary tree. (b) Binary search tree.

# Fundamental Data Structures

- Implementation for binary search tree



**FIGURE 1.13** Standard implementation of the binary search tree of Figure 1.12b.

# Fundamental Data Structures

- First child-next sibling representation

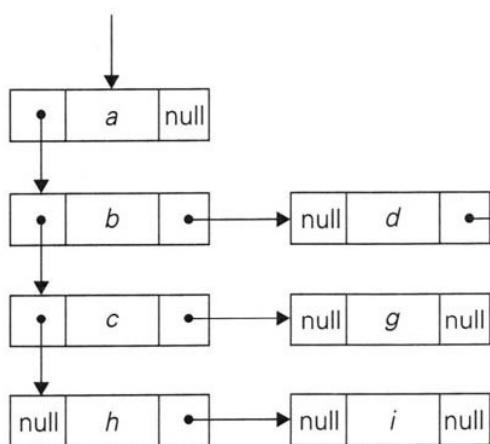
- Purpose

- To present a multi-way tree into binary tree

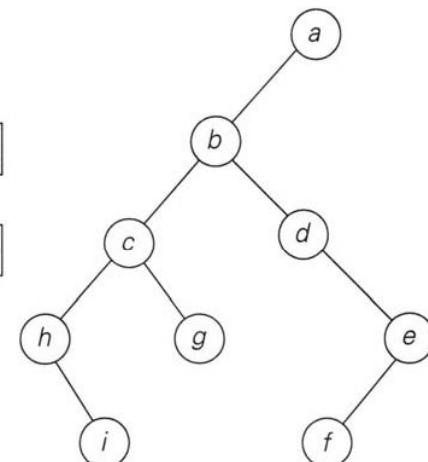
- Definition

- Each node with only two pointer
      - left pointer points to the first child
      - right pointer points to its next sibling
    - All siblings of a vertex are linked as a linked list
      - The first element is pointed by the left pointer of its parent

# Fundamental Data Structures



(a)



(b)

**FIGURE 1.14** (a) First child–next sibling representation of the graph of Figure 1.11b. (b) Its binary tree representation.

# Fundamental Data Structures

## ● Set

- An unordered collection of distinct items
- The items are called its elements
- e.g.  $S=\{2,3,5,7\}$
- e.g.  $S=\{n: n \text{ is a prime number and } n < 10\}$
- Important operations
  - Union of two sets
  - Intersection of two sets

# Fundamental Data Structures

- Set can be implemented in two ways
  - Bit vector
  - List structure
- Bit vector
  - Only for subsets of some set U, called universal set
    - Set  $U=\{1,2,3,4,5,6,7,8,9\}$
    - Subset  $S=\{2,3,5,7\}$
    - S can be represented by bit string 011010100
  - Merit
    - Implement the standard set operations fast

# Fundamental Data Structures

## ○ List structure

- Feasible only for finite sets
- Two distinction between sets and lists
  - A set cannot contain identical elements; a list can
  - A set is an unordered set; a list is exactly the opposite
- Multiset
  - A set allows containing identical elements

# Fundamental Data Structures

## ● Dictionary

- Data structure implement 3 operations for a set
  - Searching a given items
  - Adding a new items
  - Deleting an item

## ○ Tradeoff

- Efficiency of searching
- Efficiency of the two other operations

# Fundamental Data Structures

- Ways of dictionary implementation
  - Array
  - Linked list
  - Hashing
  - Balance searching tree
- Abstract data type (ADT)
  - Definition
    - A set of abstract objects representing data items
    - A collection of operations that can be performed on them
    - e.g. queue, stack, and dictionary