

# Procedural Plant Generation and Simulated Plant Growth

A thesis presented in partial fulfilment of the requirements for the degree of

Master of Information Science  
in  
Computer Science

at Massey University, Albany,  
New Zealand.

Matthew Halen Crankshaw

2019

# Acknowledgements

# Abstract

# Contents

<b>1</b>	<b>Introduction</b>	<b>8</b>
1.1	Introduction to Procedural Generation . . . . .	9
1.2	Introduction to Rewriting Systems . . . . .	9
1.3	Introduction to Grammars . . . . .	10
1.4	Motivations . . . . .	10
1.5	Structure of Thesis . . . . .	10
<b>2</b>	<b>Lindenmayer Systems</b>	<b>11</b>
2.1	Simple DOL-system . . . . .	12
2.2	Interpreting the DOL-system . . . . .	13
2.3	Branching . . . . .	17
2.4	Parametric OL-system . . . . .	18
2.4.1	Definition of a Parametric OL-system . . . . .	18
2.4.2	Defining Constants and Objects . . . . .	20
2.4.3	Modules With Special Meanings . . . . .	21
2.4.4	Representing L-system Conditions . . . . .	22
2.5	Randomness within L-systems . . . . .	23
2.6	Stochastic Rules within L-systems . . . . .	24
2.7	Summary . . . . .	26
<b>3</b>	<b>3D Mathematics</b>	<b>27</b>
3.1	Points . . . . .	27
3.2	Vector . . . . .	28
3.2.1	Vector Multiplication . . . . .	28
3.2.2	Vector Addition and Subtraction . . . . .	28
3.2.3	Dot and Cross Product . . . . .	28
3.3	Matrices . . . . .	28
3.3.1	Matrix Multiplication . . . . .	29
3.3.2	Translation . . . . .	29
3.3.3	Rotation . . . . .	29
3.3.4	Scale . . . . .	29
3.4	Quaternions . . . . .	29
3.4.1	Unit Quaternion . . . . .	30
3.4.2	Quaternion Multiplication . . . . .	30
3.4.3	Conjugate and Inverse . . . . .	30

<b>4</b>	<b>L-system Rewriter Implementation</b>	<b>31</b>
4.1	Environment and Tools . . . . .	32
4.2	The L-system as an interpreted grammar . . . . .	33
4.3	The Syntax of a Parametric L-system . . . . .	34
4.4	Scanner - Flex . . . . .	35
4.5	Parser - Bison . . . . .	37
4.6	Building a Generalised L-system Grammar . . . . .	37
4.6.1	Backus-Naur Form of the L-system Grammar . . . . .	39
4.6.2	Constants and Objects . . . . .	40
4.6.3	Implementing Modules and Strings . . . . .	41
4.6.4	Implementing Arithmetic Expressions Trees . . . . .	41
4.6.5	Implementing Random Ranges . . . . .	42
4.6.6	Implementing Stochastic Rules . . . . .	43
4.7	The String Rewriter . . . . .	44
<b>5</b>	<b>Physics Simulation</b>	<b>45</b>
5.1	Motion Equations . . . . .	45
5.2	Hook's Law . . . . .	46
<b>6</b>	<b>String Interpreter Implementation</b>	<b>47</b>
6.1	Turtle Graphics Interpreter . . . . .	48
6.2	Model Generator . . . . .	49
6.3	Renderer . . . . .	49
6.4	Displaying the L-system Instructions . . . . .	50
6.4.1	Basic 2D L-systems . . . . .	50
6.4.2	The Use of L-systems in 3D applications . . . . .	52
<b>7</b>	<b>Findings and Data Analysis</b>	<b>53</b>
<b>8</b>	<b>Discussion</b>	<b>54</b>
<b>9</b>	<b>Conclusions</b>	<b>55</b>
<b>A</b>	<b>Appendix</b>	<b>58</b>
A.1	Appendix 1 . . . . .	58
A.2	Bibliography . . . . .	58

# List of Figures

2.1	Diagram of the 3D rotations of the turtle. . . . .	14
2.2	Diagram showing a turtle interpreting simple L-system string. . . . .	16
2.3	Diagram showing a turtle interpreting an L-system using the branching symbols. . . . .	17
2.4	Diagram showing a turtle interpreting an L-system with nested branching. . . . .	18
2.5	Diagram of an L-system Using Multiple Objects. . . . .	21
2.6	3D Parametric L-system. . . . .	22
2.7	Condition statements used to simulate the growth of a flower. 2nd generation on the left, 4th generation in the center and 6th generation on the right . . . . .	23
2.8	Different Variations of the Same L-system with Randomness Introduced in The Angles. . . . .	24
2.9	Representation of an L-system with a probability stochastic with a 0.33 prob- ability for each rule. . . . .	26
3.1	Point in 3D space shown using cartesian coordinates. . . . .	27
3.2	Right Hand and Left Hand Coordinate Systems. . . . .	28
3.3	2D Vector representing the vector at (-3, 2) And 3D Vector (4, 3, -1). . . . .	28
4.1	Diagram of the Parts of The Rewiting System. . . . .	32
4.2	Diagram of an expression tree. . . . .	42
6.1	Diagram of the stages of L-system interpretation and rendering . . . . .	47
6.2	Diagram for the properties of a joint . . . . .	48
6.3	Example of the continuity problem faced with stacked branching with a 25° bend per joint. . . . .	49
6.4	Example of linked branching with a 25° bend per joint. . . . .	49
6.5	Koch Curve. . . . .	50
6.6	Sierpinski Triangle. . . . .	50
6.7	Fractal Plant. . . . .	51
6.8	Fractal Bush. . . . .	51

# List of Tables

2.1	Table of turtle instruction symbols and their meaning to the interpreter . . . .	14
2.2	Table showing each instruction symbols and their meaning for the L-system 2.3	15
4.1	Table of Valid Lexer Words . . . . .	36
4.2	Table of turtle instruction symbols and their meaning to the interpreter . . . .	40
4.3	Table of turtle instruction symbols and their meaning to the interpreter . . . .	41
4.4	Table of the stochastic rules probabilities within a stochastic group. . . . .	43

# Chapter 1

## Introduction

Procedurally generating 3D models of plant-life is a challenging task, largely due to the complex branching structures and variation between different types of species of plants. Up until recently all assets within 3D graphics applications either had to be sculpted by hand using a 3D modeling software, or scanned using photogrammetry, laser triangulation or some form of contact based 3D scanning. These methods are still used today but tend to be very time consuming and extremely costly. With the increase in computational power over the last few decades more emphasis has been placed on the use of procedural generation to create complex structures such as terrain, sound, architecture, characters and weaponry with far greater speed than previous techniques and much better realism than would be possible with artists, however, plant-life still stands as a challenge as it is difficult to define a system that is capable of representing every possible type of plant-life, and having a simple description for what the procedural generator should output and have it be accurate and convincing. The Lindenmayer System (L-system), was originally developed by Aristed Lindenmayer in order to represent the development of multicellular organisms [Lindenmayer, 1968]. It has since gained popularity in the area of procedural generation and has been adapted to represent different types of structures, mainly in plant-life, such as trees, flowers, algae and grasses, but the L-system is also applicable to non-organic structures such as music, artificial neural networks and tiling patterns [Prusinkiewicz and Hanan, 1989]. The L-system in its most basic form is a formal grammar which contains a set of alphanumeric characters and symbols that belong to an *alphabet*, the alphabet is used to create a starting string and a set of production rules. The production rules are applied to the starting string to dictate which symbols can be rewritten and what they will be rewritten with. In essence, the L-system uses the set of production rules for string rewriting in order to generate a string of symbols that follows those production rules. The resulting string is then interpreted in a way that best fits its representation, in this case to produce a model of a plant.

This thesis develops upon the L-system in order to procedurally generate structures of plant-life in real-time, the L-system grammar allows the specification of the structure of a plant to be described in a human readable, formal grammar, and to specify variation in shape, size and branching structure within a particular species. Furthermore, this thesis will also investigate the use of a parameterised L-systems to propagate physical properties using string rewriting which will enable the animation of the physical behaviour, thus making it



possible to simulate external forces such as gravity and wind.

## 1.1 Introduction to Procedural Generation

Procedural generation is used in many different areas and applications particularly in computer graphics, particularly when generating naturally occurring structures such as plants or terrain. There is an aspect of randomness that is implicit when talking about procedural generation. Generated structures should fit a certain description that is given to it, but it must also provide some form of variation such that two instances of the same description will almost never be identical. There are three main methods for procedurally generating models of trees, these are genetic algorithms [Haubenwallner et al., 2017], space colonisation algorithms [Juuso, 2017] and the Lindenmayer system. Both genetic algorithm and space colonisation algorithms are similar in that they require a description in 3D space of which describes what the trees general size and shape should look like, the algorithm is then responsible for creating branches and matching it to that original template. L-systems on the other hand operate quite differently, This structure and data can then be used to render a model within a three dimensional application. Trees can have complex and random structures, however, with closer observation, trees of a similar species have very obvious traits and features, for instance a palm tree (Arecaceae) has long stright trunks with leaves exclusively near the top, the leaves are long, compound leaves, branching in all different directions. Comparatively a pine tree has a long staight trunk with many branches coming off in different directions pupendicular to the ground, from its base to the top of the trunk. These are two very different species of trees and look quite different, however they share very similar properties. The challenge behind procedurally generating and simulating trees is how to provide a human readable grammar that describes in sufficient detail, how it should generate and render the three dimensional model, whilst allowing for randomness and variety within the generation process. It must be relatively straightforward and intuitive to define the procedural generation description, and must accuratly represents it, furthermore, the description must be able to fit many different species of trees with varying charactoristics, and must not be limited to only known species of trees, as some graphics applications may require something that is other-wordly.

## 1.2 Introduction to Rewriting Systems

String rewriting also known as rewrite systems are the fundimental concept behind L-systems. In their most basic form rewrite systems are a set of symbols or states and a set of relations that dictate how they transform from one state to the other. Rewrite systems can be non-deterministic, meaning that there could be a transition that depends on a condition being met or neighbouring states to be of a certain type. Using this rewriting concept any preceeding state can rely upon the current state as well as any conditions neccessary for transformation, otherwise the state will remain the same until such a point that the condition is satisfied.

## 1.3 Introduction to Grammars

## 1.4 Motivations

One of the most time consuming parts for digital artists and animators is creating differing variations of the same piece of artwork. In most games and other graphics applications environment assets such as trees, plants, grass, algae and other types of plant life make up the large majority of the assets within a game. Creating a tree asset can take a skilled digital artist more than an hour of work by hand, The artist will then have to create many variations of the same asset in order to obtain enough variation that a user of that graphics application would not notice that the asset has been duplicated. If you multiply this by the number of assets that a given artist will have to create and then modify, you are looking at an incredible number of hours that could potentially be put to use creating much more intricate and important assets. In addition to this, it is also important to note that graphics assets are then stored in large data files, describing the geometry and textures and other information. If we require three very similar plants, we have to store three separate sets of data. Procedurally generating plants can avoid this wasteful data storage entirely. We could just store one specification or description of set of similar plants we would like to create, then procedurally generate the geometry during the running of the program.

The L-system can also not only procedurally generate the geometry of the plant-life but can also generate parameters physical properties of the plant itself such as the weight and flexibility of branches as well as its wind resistance and many other important information that can be used to simulate or animate the motion of the plant under various forces.

## 1.5 Structure of Thesis

This thesis is split into three major parts. Part 1 focuses introduces the different types of L-systems as well as describes the type of L-system which will be used for this thesis. Part 2 talks about the L-system rewriter and how it is implemented in order to generate the structures which will be rendered in the final part. Part 3 focuses on the interpretation of the L-system rewriters result and finally how the L-system is used to finally render a convincing model of a tree on the screen, as well as how the L-system is capable of providing information relevant to the simulation or animation of the generated plants.

## Chapter 2

# Lindenmayer Systems

The L-system at its core is a formal grammar made up of an *alphabet* of characters which are concatenated together into strings. The L-system describes a starting string of one or more characters called an *axiom*, and a set of production rules, which determine whether a symbol in a string should be rewritten with another terminal symbol or non-terminal string of symbols. These rules are applied to each symbol in the *axiom*. Once the production rules have been applied to all of the symbols in the *axiom* string, we may then use the resulting string as the new starting point, then iterate over that string once again. This rewriting of strings, via the production rules is the mechanism for generating a structure of state transitions. Essentially the symbols represent a particular state of a system, and the production rules decide whether a state should transition based on a certain criteria, and if so, what the next state should be.

It is important to note that the L-system itself has no concept of what it is trying to represent, therefore, how the L-system is interpreted is left up to a separate system, responsible for interpreting the resulting set of symbols to create a suitable representation for that problem domain. For instance, the symbols for a L-system trying to represent a tree, may be interpreted very differently to the symbols trying to represent music, however, the L-system itself may be identical.

This chapter will discuss a number of different types of L-systems, as well as their features and limitations, whilst focusing on the mechanics behind the rewriting systems. I will then discuss the system which takes the resulting strings generated by the L-system, and interprets them in such a way that we can create 3D models of plant-life.

A well-known biologist Aristid Lindenmayer, started work on the Lindenmayer System or L-system in 1968, he sought to create a new method of simulating growth in multicellular organisms such as algae and bacteria [Lindenmayer, 1968]. He later defined a formal grammar for simulating multicellular growth which he called the 0L-system [Lindenmayer, 1971]. In the last twenty years, the concept has been adapted to be used to describe larger organisms such as plants and trees as well as other non organic structures like music [Worth and Stepney, 2005]. There has also been studies to try to use an L-system as a method of creating and controlling growth of a connectionist model to represent human perception and cognition [Vaario et al., 1991]. Similarly, Kókai et al. (1999) have created a method of using a parametric L-system to de-

scribe the human retina, this can be combined with evolutionary operators and be applied to patients with diabetes who are being monitored [Kókai et al., 1999].

## 2.1 Simple DOL-system

According to Prusinkiewicz and Hanan a simple type of L-systems is known as Deterministic 0L systems. It is deterministic as each symbol has an associated rule and there is no randomness in determining which rule should be chosen. The term '0L system' abbreviates 'Lindenmayer system with zero-sided interactions'. A zero-sided interaction refers to the multicellular representation of an L-system, where each symbol refers to a type of cell, and a 0L-system is a type of L-system that does not account for the state of its direct neighbouring symbols. There are three major parts to a D0L system. There is a finite set of symbols known as the (*alphabet*), the starting string or (*axiom*) and the state transition rules (*rules*). The alphabet is a set of characters which represent a particular state in a system. The starting string or *axiom* is the starting point of the system which contains one or more characters from the alphabet. The transition rules dictate whether a state should remain the same or transition into a different state or even disappear completely. [Prusinkiewicz and Hanan, 2013].

The DOL-system was originally created to serve as a context free grammar, which allows the multicellular organisms to be represented. In this case the each type of cell is represented in the L-system by a character in the alphabet, and the production rules represent the type of cell transitions that take place. In the DOL-system below, there is an example formulated by Prusinkiewicz and Lindenmayer to simulate *Anabaena catenula* which is a type of filamentous cyanobacteria which exists in plankton. According to Prusinkiewicz and Lindenmayer "Under a microscope, the filaments appear as a sequence of cylinders of various lengths, with *a*-type cells longer than *b*-type cells. The subscript *l* and *r* indicate cell polarity, specifying the positions in which daughter cells of type *a* and *b* will be produced [Prusinkiewicz and Lindenmayer, 2012].

$$\begin{aligned}
 \omega & : a_r \\
 p_1 & : a_r \rightarrow a_l b_r \\
 p_2 & : a_l \rightarrow b_l a_r \\
 p_3 & : b_r \rightarrow a_r \\
 p_4 & : b_l \rightarrow a_l
 \end{aligned} \tag{2.1}$$

With the definition above, the DOL-system states  $w : a_r$ ,  $w$  signifies that what follows is the starting point (axiom), ergo, the starting point is the cell  $a_r$ . The production rules then follow and are  $p_1, p_2, p_3$  and  $p_4$ . The  $:$  symbol separates the axiom and production names from their value, furthermore the  $\rightarrow$  can be verbalised as "is relaced by" or "rewritten with". In production rule 1 ( $p_1$ ) the cell  $a_r$  will be rewritten with cells  $a_l b_r$ ,  $p_2$  states that  $a_l$  will be rewritten with cells  $b_l a_r$ ,  $p_3$  states  $b_r$  will rewritten with cell  $a_r$  and finally production rule 4

(p4), states that  $b_l$  will be rewritten with cell  $a_l$ . In order to simulate *Anabaena catenula* we require these four rewriting rules, as there are four types of state transitions.

The resultant strings of five generations of the DOL-system rewriting process:

$$\begin{aligned}
G_0 & : a_r \\
G_1 & : a_l b_r \\
G_2 & : b_l a_r a_r \\
G_3 & : a_l a_l b_r a_l b_r \\
G_4 & : b_l a_r b_l a_r a_r b_l a_r a_r \\
G_5 & : a_l a_l b_r a_l a_l b_r a_l b_r a_l a_l b_r a_l b_r
\end{aligned} \tag{2.2}$$

During the rewriting process, generation zero ( $G_0$ ) is the axiom. In subsequent generations the resultant string of the previous generation is taken and each symbol in the string is compared to the production rules, if they match a rule the symbol is rewritten with the next symbol or string that is specified by the production rule. For  $G_1$  we take the previous generations resultant string which in this case is the resultant string of  $G_0$ , being  $a_r$ , the first symbol is compared with the production rule until it matches one. In this case it matches rule  $p1$  with the rule  $p1 : a_r \rightarrow a_l b_r$  and therefore,  $a_r$  is rewritten with  $a_l b_r$ . The  $G_0$  resultant string only has one symbol it can be concluded that the resultant string of  $G_1$  is  $a_l b_r$ . The resultant string of generation one is then rewritten to produce generation two and so on, until we reach the desired number of generations.

The DOL-system is very simple and minimalist in design, which comes with some limitations. The DOL-system production rules merely state that if the symbol matches, then that symbol will be rewritten, often this is not the case, there may be some other conditions that may need to be checked before it can be concluded that a rewrite should take place. Furthermore, the symbols within a DOL-system do not supply very much information, for instance, how does the DOL-system indicate how many times a given string has been rewritten? The DOL-system is also deterministic, meaning that there is no randomness the rewriting process, therefore, it will always yield the same result with no variation.

## 2.2 Interpreting the DOL-system

Section 2.1 outlines a simple type of L-system known as the DOL-system, this L-system specifies a set of symbols, a starting point and a set of production rules, allowing us to represent a problem as a set of states. The production rules can express valid state transitions, which thereafter allows us to produce a resultant string of symbols that obey the L-systems production rules. This functionality is powerful in and of itself, however, the L-system's symbols are only useful if they represent some kind of meaning, furthermore the L-system does not supply this meaning, each symbol's meaning is interpreted after the rewriting process of the L-system by the interpreter. Due to this, there are two separate and

very different systems involved in taking an L-systems input, such as the alphabet, axiom and production rules and turning it into something that is able to model plant-life. These two systems are the L-system rewriter, which is responsible for using L-system to rewrite a string and provide a resultant string of symbols. The L-system interpreter takes the resultant string from the L-system rewriter and interprets it in a way that is able to represent the model we are trying to create.

A paper by Przemyslaw Prusinkiewicz outlines a method for interpreting the L-system in a way that can model fractal structures, plants and trees. The method interprets the resultant string of the L-system, where each symbol represents an instruction which is carried out one after the other to control a 'turtle' [Prusinkiewicz, 1986]. When talking about a turtle, Prusinkiewicz is referring to turtle graphics. Turtle graphics is a type of vector graphics that can be carried out with instructions. It is named a turtle after one of the main features of the Logo programming language. The simple set of turtle instructions listed below, can be displayed as figure 2.2. The turtle starts at the base or root of the tree and interprets a set of rotation and translation movements, which when all executed one after the other, trace the points which make up the plant structure, when these points are then joined together the result is a fractal structure such as a plant or tree.

Instruction Symbol	Instruction Interpretation
F	Move forward by a specified distance whilst drawing a line
f	Move forward by a specified distance without drawing a line
+	Yaw to the right specified angle.
-	Yaw to the left by a specified angle.
/	Pitch up by specified angle.
\	Pitch down by a specified angle.
^	Roll to the right specified angle.
&	Roll to the left by a specified angle.

Table 2.1: Table of turtle instruction symbols and their meaning to the interpreter

In the OL-system there are a number of symbols that represent a particular meaning to the L-system interpreter. Whenever the interpreter comes across one of these symbols in the resultant string, it is interpreted as a particular turtle instruction which can be seen in table 2.1.

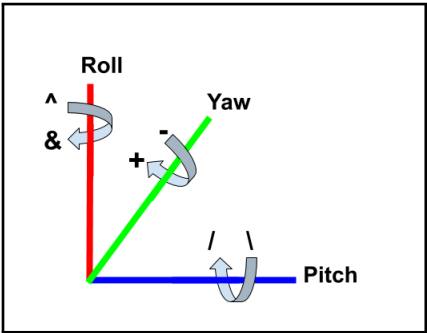


Figure 2.1: Diagram of the 3D rotations of the turtle.

The turtle instructions are presented in such a way that allows movement in three dimensions, the rotations in yaw, pitch and roll, where yaw is a rotation around the Z axis, pitch is rotation around the X axis and roll is rotation around the Y axis. We have two

symbols for each rotation, which represent positive and negative rotations respectively. Rotations are expected to be applied before a translation, that way the rotations change the orientation of the turtle and then the forward instructions move the turtle in the Y direction using the current orientation. The orientation is maintained from translation to translation, and subsequent rotations are concatenated to maintain a global orientation, in this way when the turtle moves forward again, it will move in the direction of this global orientation. Diagram 4.2 shows the yaw, pitch and roll rotations as well as their axis and the instruction symbols for the L-system.

The turtle instructions in the table 2.1, can be used as the alphabet for the rewriting system in the the L-system grammar below:

$$\begin{aligned}
 &\text{Generations: } 1 \\
 &\text{Angle: } 90^\circ \\
 &\omega : F \\
 &p_1 : F \rightarrow F + F - F - F + F
 \end{aligned}
 \tag{2.3}$$

This L-system makes use of the alphabet "F, +, -". The meaning of these symbols is not relevant to the rewriting system, so we can use the axiom and production rule to rewrite by one generation. The only piece of information which is relevant to the interpreter is the angle to rotate by when it comes across the symbols + and - this is specified in the definition of the L-system with Angle: 90°. The resulting string would be "F+F-F-F+F", this string is passed to the interpreter system which uses turtle graphics to execute a list of instructions. These instructions can be articulated in the list below.

Instruction Number	Instruction Symbol	Instruction Interpretation
I1	F	Move forward by 1
I2	+	Yaw right by 90 degrees
I3	F	Move forward by 1
I4	-	Yaw left by 90 degrees
I5	F	Move forward by 1
I6	-	Yaw left by 90 degrees
I7	F	Move forward by 1
I8	+	Yaw right by 90 degrees
I9	F	Move forward by 1

Table 2.2: Table showing each instruction symbols and their meaning for the L-system 2.3

These instructions are carried out one after the other, moving the turtle around the screen in three dimensions, furthermore, tracing the structure which the 0L-system has generated, these instructions will generate the traced line shown in figure 2.2.

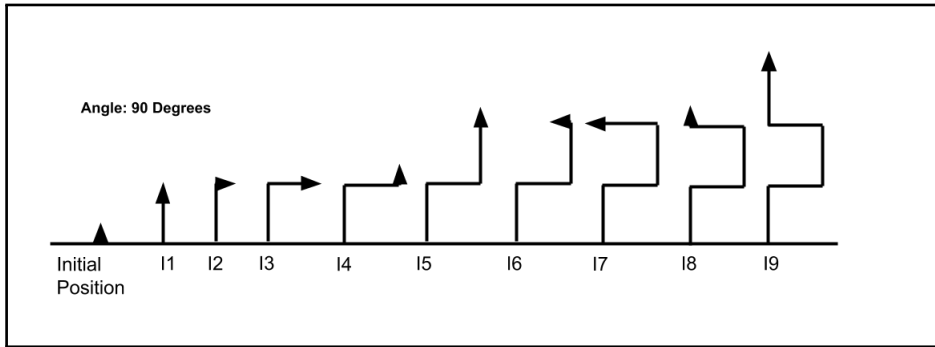


Figure 2.2: Diagram showing a turtle interpreting simple L-system string.

As we can see from the turtle interpretation above, the turtle moves around as if it is an entity within a 3D world following a set of instructions telling it where to move. This is the basic concept of turtle graphics and how it is implemented in the interpreter system. What also becomes apparent is that there are a number of assumptions which the interpreter makes in order to produce the final image in I9. It is assumed that that the + and - symbols mean a change in yaw of 90 degrees, and the second assumption is that the F symbol means to move forward by a distance of 1 unit measurement. The angle and distance values are assumed because the resultant string does not explicitly define the angle or the distance, it leaves that up to the interpretation of the string.

In a simple DOL-system like the one above, there is no explicit way of providing this additional information to the interpreter, as such it must be hardcoded into the interpretation, or assumed by some other means. This highlights one of the considerations when creating an L-system. There is a difference in complexities between the L-system rewriter and the interpreter. It is possible to create a very complex rewriting system with extensive rule systems, which is able to supply a large amount of information to the interpreter, the interpreter can be quite rudimentary and follow the instructions exactly. Conversely, we could have a system where the L-system rewriter is quite basic, but the interpreter is very complex and must be capable of representing the L-system despite the lack of information in the resultant string, or be able to obtain this information by other means.

It may be tempting to leave the complexity to the interpreter in order to make the L-system rewriter and its rules more simple, however, the drawback of this, is that the information needed for modeling branch diameters, branching angles even the type of objects that need to be represented have to be supplied to the interpreter in some way, and if not through the resulting string of information, how is this information meant to be provided to the interpreter. An answer may be to build a system within the interpreter that is capable of assuming the general look of a plant, for instance, branches which decrement in diameter, branching angles which are constant and other aspects. This results in a very inflexible system which may work for a portion of plant-life but might struggle to represent certain classes of plant-life. Therefore, the benefit of using a system with most of its complexity within the rewriting system is that the L-system is responsible for some of the details of the interpretation such as angles, branch diameters and so on. In the next few sections, different



types of L-systems will be discribed, explaining their benefits and limitations, as well as developing a system intergrating these separate systems into a single L-system grammar.

### 2.3 Branching

The previous section covered a very basic 0L-system, which was capable of tracing a 3D pattern, the 0L-system allows us to move around a 3D space, however we are not able to branch off in two or more directions as plants do. Lindenmayer introduced two symbols which make branching much easier [Lindenmayer, 1968]. These are the square bracket commands '[', ']'. The square bracket characters instruct the turtle object to save its current position and orientation for the purpose of being able to go back to that saved position and orientation later. This allows the turtle to jump back to a previous position, facing the same direction as it was before. We can then change orientation and branch off in a different direction. This was originally used by Lindenmayer to develop the branching that occurs in algea, this idea was later used to represent plant-life by Smith [Smith, 1984].

The same method of interpreting the L-system string is applied, the symbols '[' and ']' are used in order the translate back to a previous position and orientation in order to branch off in a different direction. Each save state symbol '[' must have a corresponding load state symbol ']' within the string. In order to have a branch off of another branch we can have nested save and load state symbols. For instance the resultant string "F[+F-F]-F" branches off the main branch once as seen in figure 2.3. Additionally using nested save and load states in the string "F[+F[+F]-F]-F" we are able to branch twice which can be shown in figure 2.4.

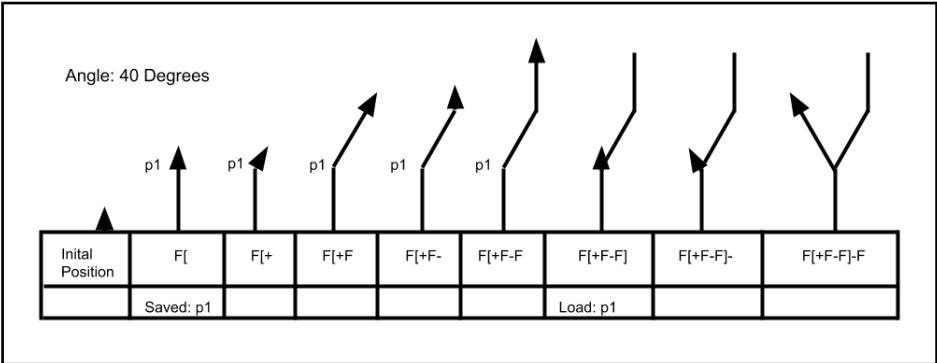


Figure 2.3: Diagram showing a turtle interpreting an L-system using the branching symbols.

Save and load operations are handled using the Last In First Out (LIFO) principle, meaning that when the save symbol is used '[' the current position and orientation at `p1` is saved, the next load state ']' will restore `p1`'s position and orientation, unless another save takes place in which case that save will have to be loaded before `p1` can be loaded. In this way, the position saves are stacked and the most recent save is loaded. This can be seen in figure 2.4 where we have nested branching.

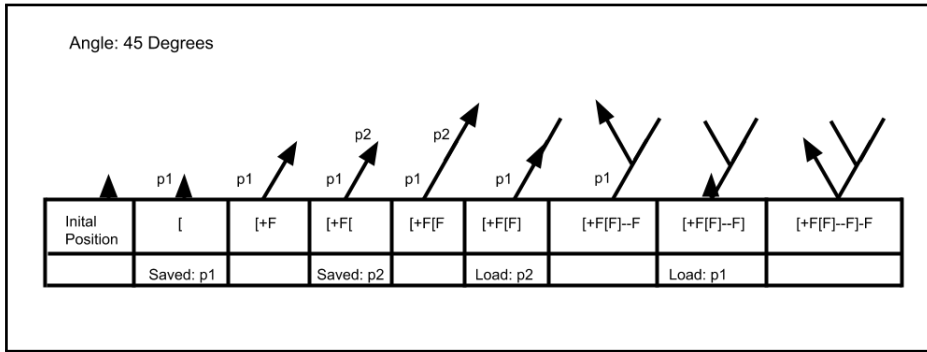


Figure 2.4: Diagram showing a turtle interpreting an L-system with nested branching.

The main advantage to using the save and load position functionality as a symbol within the alphabet of the L-system is that the rewriting system itself handles the branching. There is often no production rule for the save and load symbols and thus the symbols remain consistent from generation to generation.

## 2.4 Parametric OL-system

Simplistic L-systems like the algae representation in section 2.1 above, give us enough information to create a very basic structure of plant life, there are many details that are not included which a simple OL-system will not be able to represent. With the simplistic approach we have assumed that the width and length and branching angles of each section is constant or predefined. The result of this was that all of the details such as width and length of branches is left up to the interpretation of the resultant L-system string. This begs the question as to how we should accurately interpret the L-system string when we are not provided the details by the L-system. The answer lies in parametric OL-systems.

In this section I will outline the definition and major concepts of the parametric L-system formulated by Prusinkiewicz and Hanan in 1990 [Prusinkiewicz and Hanan, 1990], and developed upon in 2012 by Prusinkiewicz and Lindenmayer [Prusinkiewicz and Lindenmayer, 2012]. I will also be talking about some of the changes that I have made, and explaining why these changes are necessary for the purpose of this thesis.

### 2.4.1 Definition of a Parametric OL-system

Prusinkiewicz and Hanan define the parametric OL-systems as a system of parametric words, where a string of letters make up a module name  $A$ , each module has a number of parameters associated with it. The module names belong an alphabet  $V$ , therefore,  $A \in V$ , and the parameters belong to a set of real numbers  $\mathbb{R}$ . If  $(a_1, a_2, \dots, a_n) \in \mathbb{R}$  are parameters of  $A$ , the module can be stated as  $A(a_1, a_2, \dots, a_n)$ . Each module is an element of the set of modules  $M = V \times \mathbb{R}^*$ .  $\mathbb{R}^*$  represents the set of all finite sequences of parameters, including the case where there are no parameters. We can then infer that  $M^* = (V \times \mathbb{R}^*)^*$  where  $M^*$  is the set of all finite modules.

Each parameter of a given module corresponds to a formal definition of that parameter defined within the L-system productions. Let the formal definition of a parameter be  $\Sigma$ .  $E(\Sigma)$  can be said to be an arithmetic expression of a given parameter.

Similar to the arithmetic expressions in the programming languages C/C++, we can make use of the arithmetic operators  $+$ ,  $-$ ,  $*$ ,  $\wedge$ . Furthermore, we can have a relational expression  $C(\Sigma)$ , with a set of relational operators. In the literature by Prusinkiewicz and Hanan the set of relational operators is said to be  $<$ ,  $>$ ,  $=$ , I have extended this to include the relational operators  $>$ ,  $<$ ,  $>=$ ,  $<=$ ,  $==$ ,  $!=$ . Where  $==$  is the 'equal to' operator and  $!=$  is the 'not equal' operator, and the symbols  $>=$  and  $<=$  are 'greater than or equal to' and 'less than or equal to' respectively. The parentheses  $()$  are also used in order to specify precedence within an expression. A set of arithmetic expressions can be said to be  $\hat{E}(\Sigma)$ , these arithmetic expressions can be evaluated and will result in the real number parameter  $\mathfrak{R}$ , and the relational expressions can be evaluated to either true or false.

The parametric OL-system can be shown as follows as per Prusinkiewicz and Hanan's definition:

$$G = (V, \Sigma, \omega, P) \quad (2.4)$$

$G$  is an ordered quadruplet that describes the parametric OL-system.  $V$  is the alphabet of characters for the system.  $\Sigma$  is the set of formal parameters for the system.

$\omega \in (V \times \mathfrak{R}^*)^+$  is a non-empty parametric word called the axiom. Finally  $P$  is a finite set of production rules which can be fully defined as:

$$P \subset (V \times \Sigma^*) \times C(\Sigma) \times (V \times \hat{E}(\Sigma))^* \quad (2.5)$$

Where  $(V \times \Sigma^*)$  is the predecessor module,  $C(\Sigma)$  is the condition and  $(V \times \hat{E}(\Sigma))^*$  is the set of successor modules. For the sake of readability we can write out a production rule as *predecessor : condition  $\rightarrow$  successor*. I will be explaining the use of conditions in production rules in more detail in section 2.4.4.

A module is said to match a production rule predecessor if they meet the three criteria below.

- The name of the axiom module matches the name of the production predecessor.
- The number of parameters for the axiom module is the same as the number of parameters for the production predecessor.
- The condition of the production evaluates to true. If there is no condition, then the result is true by default.

In the case where the module does not match any of the production rule predecessors, the module is left unchanged, effectively rewriting itself.

### 2.4.2 Defining Constants and Objects

There are some other features covered by Prusinkiewicz and Lindenmayer, that are not specific to the parametric L-systems definition itself but serve more as quality of life. In the literature, they refer to the `#define` which is said "To assign values to numerical constants used in the L-system" as well as the `#include` statement which specifies what type of shape to draw by referring to a library of predefined shapes [Prusinkiewicz and Lindenmayer, 2012]. For instance if we have an value for an angle that we would like to use within the production rules we can use the `#define` statement as follows:

$$\begin{aligned}
 n &= 4 \\
 \text{\#define angle } 90 \\
 \omega &: F(5) \\
 p_1 : F(x) &: * \rightarrow F(w) + (\textit{angle})F(w) + (\textit{angle})F(w) + (\textit{angle})F(w)
 \end{aligned} \tag{2.6}$$

Here you can see that the `#define` acts like a declaration, where we are going to be defining a variable which will be used later. Essentially we are replacing any occurrences of the variable *angle* with the value of 90 degrees. The define statement is written as `#define variable_name value`.

With regards to the `#include` statement, In the literature the `#include` may be used by stating '`#include H`'. This would tell the turtle interpreter that the symbol 'H' is a shape in a library of predefined shapes which should be rendered instead of the default shape. This functionality has been slightly modified, instead of the `#include` statement, the `#object` is used and serves a similar purpose, however, instead importing the symbol 'H', denoting to the hetrocist object from a library of predefined shapes, The statement "`#object H HETEROCYST`" specifies that we are associating the symbol or module 'H' with the object HETEROCYST. The HETEROCYST object is still stored in a predefined library, however, the advantage is that the object can be associated with multiple different symbols, it also does not limit us to a predefined name for an object. Below is an example using the `#object` statement:

$$\begin{aligned}
 n &= 1 \\
 \text{\#object F BRANCH} \\
 \text{\#object S SPHERE} \\
 \omega &: F(1) \\
 p_1 : F(x) &: * \rightarrow F(w)F(w)F(w)F(w)S(w)
 \end{aligned} \tag{2.7}$$

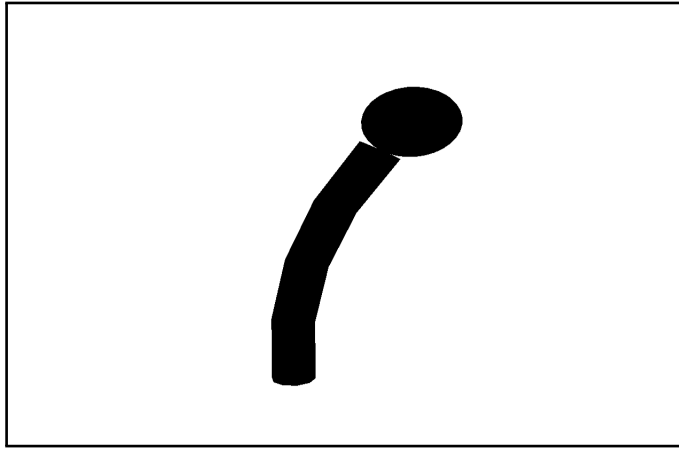


Figure 2.5: Diagram of an L-system Using Multiple Objects.

In the simple example in figure 2.7 above, you can see that the first three F modules render a branch segment with length of 1.0, however for the final S module renders a sphere of diameter 1.0. The #object feature bares no meaning to the rewriting system, it simply stands as an instruction to the interpreter which instructs that each time the symbols F or S are interpreted, a specific object should be rendered, such as BRANCH and SPHERE respectively.

I will be going into more detail about this and other features in section ??.

### 2.4.3 Modules With Special Meanings

In the above section I defined the details of a parametric 0L-system, in the paper by Prusinkiewicz and Lindenmayer, there are two operators which I have not discussed yet, those are the ! and the '. Prusinkiewicz and Lindenmayer state that "The symbols ! and ' are used to decrement the diameter of segments and increment the current index to the color table respectively" [Prusinkiewicz and Lindenmayer, 2012]. We have decided to modify this to work slightly differently, the ! and ' will still perform the same operation, however the ! and ' symbols are actually treated as a module that holds a particular meaning to the interpreter, rather than a single operator, furthermore, they share the same properties with modules, they can contain multiple parameters, and depending on the number of parameters they can be treated differently. The module ! with no parameters could mean decrement the diameter of the segment by a default amount, whereas !(10) means set the diameter of the segment to 10. The length can also be manipulated in a similar manner. The module with the name F has a default meaning to create a segment in the current direction by a default amount. If we provide the module F(10) we are specifying to create a segment of length 10.

Using the L-system below we can create figure 2.8, the concepts discussed above have been used by decrementing the segment diameter during the rewriting process as well as by incrementing the branch length.

$$\begin{aligned}
n &= 8 \\
\omega &: A(5) \\
p_1 : A(w) &: * \rightarrow F(1)!(w)[+A(w * 0.707)][-A(w * 0.707)] \\
p_2 : F(s) &: * \rightarrow F(s * 1.456)
\end{aligned} \tag{2.8}$$

The above l-system gives the resulting representation shown below in figure 3.8.

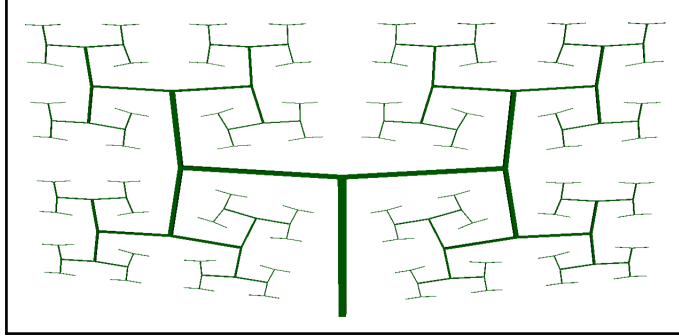


Figure 2.6: 3D Parametric L-system.

This gives a much more realistic looking tree structure as the branch segments become shorter but also become thinner in diameter as they get closer to the end of the branch as a whole.

#### 2.4.4 Representing L-system Conditions

A condition allows us to have multiple production rules that are the same in terms of the module name and the number of parameters that they have, furthermore, they require a particular condition to be met in order for the module to match that rule.

In this section I will be detailing the use of the condition statement, which lies between the predecessor and the successor in a production rule, and can be seen as an a mathematical expression on either side of a relational operator. During the rule selection process the expressions are evaluated and the results are compared using the condition operator. If the result of the condition is true then that rule is selected for rewriting, if the result is false then it moves on to check the next rule.

Below is an example of a parametric 0L-system using condition statements:

$$\begin{aligned}
n &= 5 \\
\omega &: A(0)B(0,4) \\
p_1 : A(x) &: x > 2 \rightarrow C \\
p_2 : A(x) &: x < 2 \rightarrow A(x + 1) \\
p_3 : B(x, y) &: x > y \rightarrow D \\
p_4 : B(x, y) &: x < y \rightarrow B(x + 1, y)
\end{aligned} \tag{2.9}$$

The L-system above in 2.9 is rewritten five times using the axiom specified by the symbol  $\omega$ , as well as the four production rules  $p_1, p_2, p_3, p_4$ . Each generation of the rewriting process can be seen below in 2.10.

$$\begin{aligned}
g_0 &: A(0)B(0, 4) \\
g_1 &: A(1)B(1, 4) \\
g_2 &: A(2)B(2, 4) \\
g_3 &: C B(3, 4) \\
g_4 &: C B(4, 4) \\
g_5 &: C D
\end{aligned}
\tag{2.10}$$

A practical use of the condition statement might be to simulate different stages of growth. This is best illustrated using the L-system below:

$$\begin{aligned}
&n = 2, 4, 6 \\
&\#object F BRANCH \\
&\#object L LEAF \\
&\#object S SPHERE \\
&\#define r 45 \\
&\#define len 0.5 \\
&\#define lean 5.0 \\
&\#define flowerW 1.0 \\
&\omega : !(0.1)I(5) \\
&p_1 : I(x) : x > 0 \rightarrow F(len) - (lean)[R(0, 100)]F(len)[R(0, 100)]I(x - 1) \\
&p_2 : R(x) : x > 50 \rightarrow - (r)/(20)!(2.0)L(2)!(0.1) \\
&p_3 : R(x) : x < 50 \rightarrow - (r)\backslash(170)!(2.0)L(2)!(0.1) \\
&p_4 : I(x) : x \leq 0 \rightarrow F(len)!(flowerW)S(0.3)
\end{aligned}
\tag{2.11}$$

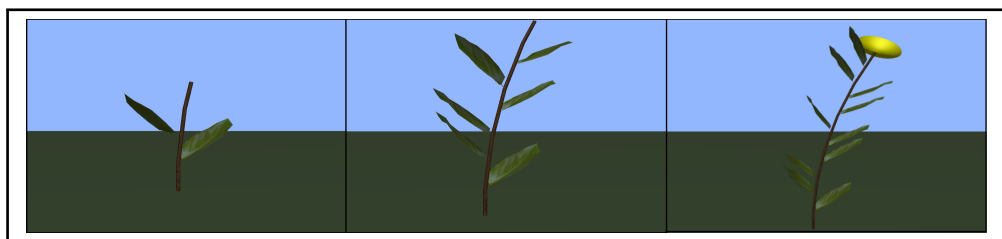


Figure 2.7: Condition statements used to simulate the growth of a flower. 2nd generation on the left, 4th generation in the center and 6th generation on the right

## 2.5 Randomness within L-systems

Randomness is an essential part of nature. If there was no randomness in plant life, it would end up with very symmetric and unrealistic. Randomness is also responsible for creating variation in the same L-system. A L-system essentially describes the structure and species of a plant. It describes everything from how large the trunk of the tree is, to how many leaves there are on the end of branch, or even if it has flowers or not. However if there is no capability to have randomness in the generation of the L-system then we will always end up

with the exact same structure. Below is a simple example of how randomness can be used to create variation.

$$n = 2$$

$$\# \text{define } r \ 25$$

$$\omega : !(0.2)F(1.0)$$

$$p_1 : F(x) : * \rightarrow F(x)[+(r)F(x)][-(r)F(x)] + (\{-20, 20\})F(x) - (\{-20, 20\})F(x) \quad (2.12)$$

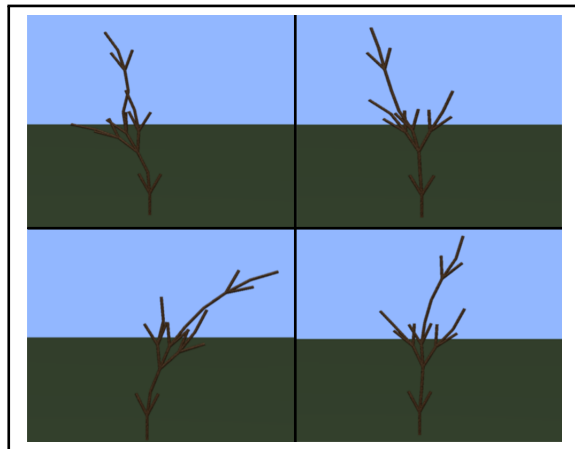


Figure 2.8: Different Variations of the Same L-system with Randomness Introduced in The Angles.

In figure 2.8 there are four variations of the same L-system using randomness, We can specify that we would like to create a random number by using the expression  $\{-20.0, 20.0\}$ . The curly braces signify that what is contained is a random number range, ranging from the minimum value as the first floating point value and the maximum value as the second floating point value separated by a comma. If both values are the same for instance  $+(\{10.0, 10.0\})$  this is equivalent to  $+(10.0)$ .

## 2.6 Stochastic Rules within L-systems

Similar to the previous section about randomness in L-systems, stochastic L-systems fulfill a similar goal. 0L-systems on their own are incapable of creating any variation, they simply follow a strict set of production rules which gives the same result. Introducing randomness to an 0L-system for width, length and other parameters can result in a plant that looks slightly different but does not change to overall structure of the plant or any branching. In order to create a different structure for a plant we must introduce stochastic probability within the selection of the production rules, thus effecting the rewriting of the structure itself.

Eichhorst and Savitch introduced a new type of 0L-system called the S0L-system, this added two features to the existing 0L-system, firstly the S0L-system is not limited to defining a single axiom (starting point), a finite number of starting points can be defined and a probability distribution is used in order to select the starting point at the start of the rewriting process. Secondly, the S0L-system allows you to define a finite number of



production rules which have a probability distribution in order to decide which rule should be chosen for rewriting [Eichhorst and Savitch, 1980]. Similarly an article by Yokomori proposes a stochastic 0L-system which also proposes a measure of the entropy of a string generated by a 0L-system [Yokomori, 1980].

Later, Prusinkiewicz and Lindenmayer built upon this by creating a definition of a stochastic L-system, that makes use of the stochastic nature of the production rules from the SOL-system. In this paper, I will be using the definition of the stochastic 0L-system defined by Prusinkiewicz and Lindenmayer, and developing them into the existing parametric 0L-system. This paper will not allow multiple starting points as defined by Eichhorst and Savitch in the SOL-system, as it does not seem necessary and could overcomplicate the 0L-system, however, this functionality could be added in the future if it is seen to be necessary.

Similarly to the 0L-system, the stochastic 0L-system is an ordered quadruplet, represented as  $G_\pi = (V, \omega, P, \pi)$ , where  $V$  is the alphabet of the 0L-system,  $\omega$  is the axiom,  $P$  is the finite set of productions and  $\pi$  represents a probability distribution for a set of production probabilities this can be shown as  $\pi : P \rightarrow (0, 1)$  the production probabilities must be between 0 and 1 and the sum of all production probabilities must add up to 1.

The following L-system definition created by Prusinkiewicz and Lindenmayer states three production rules with each rule having a probability of 0.33 out of one. For a finite set of production rules to be stochastic, the production rules must share the same module name and the same number of parameters. There must be two or more production rules and the total probability distribution must add up to 1.0 [Prusinkiewicz and Lindenmayer, 2012].

$$\begin{aligned}
 n &= 5 \\
 \text{\#define } r &25 \\
 \omega &: F(1) \\
 p_1 : F(x) &: \sim 0.33 \rightarrow F(x)[+(r)F(x)]F(x)[-(r)F(x)]F(x) \\
 p_2 : F(x) &: \sim 0.33 \rightarrow F(x)[+(r)F(x)]F(x) \\
 p_3 : F(x) &: \sim 0.34 \rightarrow F(x)[-(r)F(x)]F(x)
 \end{aligned} \tag{2.13}$$

As you can see the module  $F(x)$  above, is the predecessor for all three of the production rules, each rule has a probability which is defined using the  $\sim$  symbol followed by probability from 0 to 1. In the above example each probability is approximately one third, they are approximate in order to total an exact probability of 1.0. During the rewriting process, when the module  $F$  with one parameter is found, a production rule is randomly selected using the probability distribution described within the production rule. The predecessor from the selected rule will then rewrite that module.

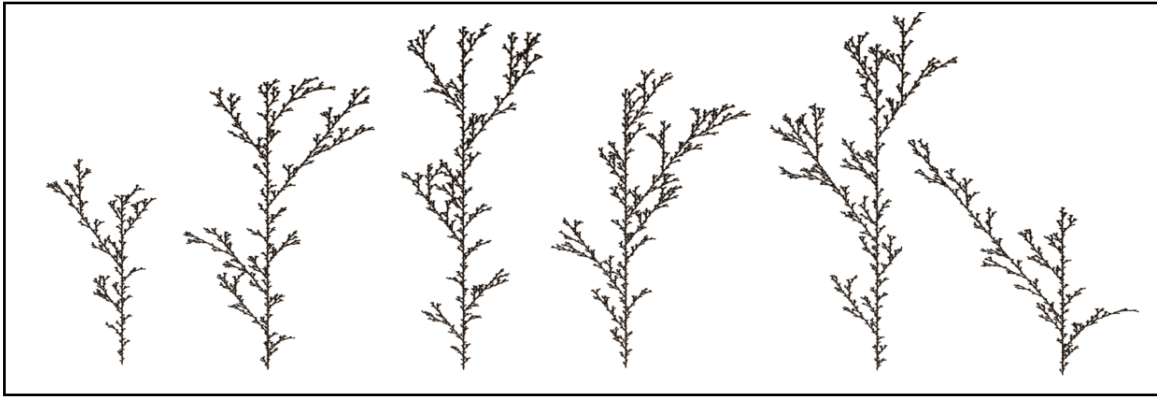


Figure 2.9: Representation of an L-system with a probability stochastic with a 0.33 probability for each rule.

The stochastic L-system definition in 2.13, produces the following fractal structures seen in figure 2.9 below. The stochastic L-system will get a slightly different resultant string each time it is run, depending on which rules were selected for rewriting. This gives a different number of translation instructions, can result in the plants having branches of different lengths, for example  $p1$  has two extra F instructions. Resulting in some branches being much longer than others, as well as possibly producing plants of different sizes.

## 2.7 Summary

L-systems represent a set of state transitions based upon the production rules provided, these rules dictate how a string will be rewritten, which in turn determines the overall structure of the plant it is trying to represent. The symbols in D0L-systems or modules in parametric 0L-systems represent particular instructions to be carried out by turtle graphics within the interpreter. The symbols or modules within an L-system do not change the behaviour of the L-system but matter only to the interpreter. Additionally the complexity of the L-system rewriter decides the complexity of the interpreter, if a L-system provides a large amount of information to the interpreter, less assumptions need to be made during the interpretation and therefore, providing the able to more accurately describe the plant-life it is representing.

By using the parametric 0L-system we can build in a number of features, otherwise used in other L-systems, such as branching, conditional production rules, randomness in parameters, stochasticity. These features allow the parametric 0L-system to represent plant-life with varying structures as well as branch lengths, branch widths and production rule conditions can give control over stages of growth.

## Chapter 3

# 3D Mathematics

In any 3D application we are creating a mathematical model to represent the objects within a given scene. Therefore mathematics plays a very large part in many areas of 3D graphics. Three dimensional mathematics makes use of trigonometry, algebra and even statistics, however in the interest of time, I will be mainly focusing on the specific concepts used in 3D vector, quaternion and matrix mathematics. These concepts contribute to the representation of 3D models as well as model transformations such as: translation, rotation and scaling.

When representing objects we need to keep track of where they are in the virtual world. In a 2 dimensional world this may be represented by two numbers, an X and a Y position. In 3 dimensions we can represent this with X, Y and Z positions. 3D objects are will usually have a point of origin or global position but in most 3D applications, points or vectors make up triangles. One triangle can be said to be a face and multiple faces will make up a 3D object. In this section we will be looking at the use of points and vectors in 3D graphics.

### 3.1 Points

A point is a position in space of  $n$ -dimensions. In computer graphics applications we usually deal with 2D or 3D coordinate systems. The most common coordinate system used in computer graphics is cartesian coordinates. Cartesian coordinates of a 2D system can be represented by an ordered pair of perpendicular axes, which can be represented as  $(p_x, p_y)$ . Similarly a point in 3D space can be represented by an ordered triple of perpendicular axes, represented in the form  $(p_x, p_y, p_z)$ . This can be represented in figure 3.3 below.

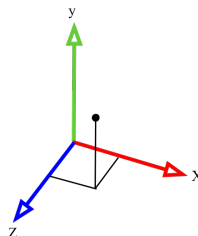


Figure 3.1: Point in 3D space shown using cartesian coordinates.

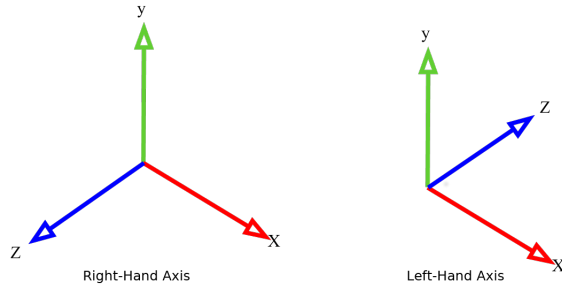


Figure 3.2: Right Hand and Left Hand Coordinate Systems.

## 3.2 Vector

Vectors have many meanings in different contexts, In 3D computer graphics, when we talk about vectors we are talking about the Euclidean vector. The Euclidean vector is a quantity in  $n$ -dimensional space that has both magnitude (the length from A to B) and direction (the direction to get from A to B).

Vectors can be represented as a line segment pointing in direction with a certain length. A 3D vector can be written as a triple of scalar values eg:  $(x, y, z)$

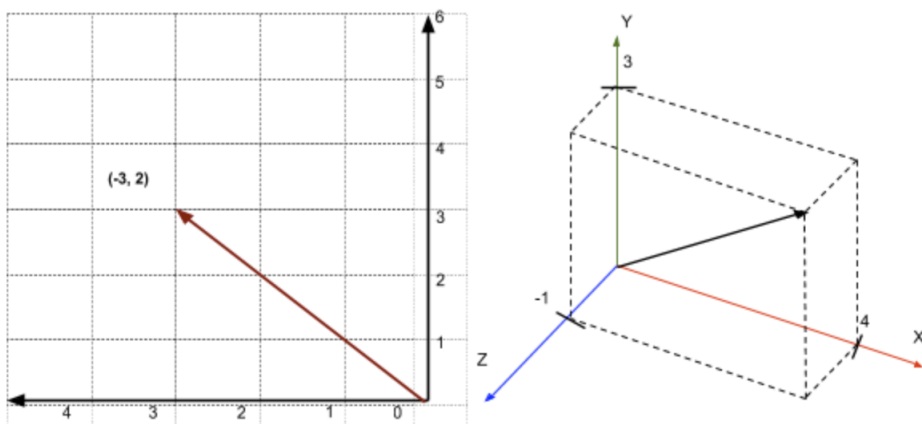


Figure 3.3: 2D Vector representing the vector at  $(-3, 2)$  And 3D Vector  $(4, 3, -1)$ .

### 3.2.1 Vector Multiplication

### 3.2.2 Vector Addition and Subtraction

### 3.2.3 Dot and Cross Product

## 3.3 Matrices

$$\mathbf{M} = \begin{bmatrix} M_{11} & M_{12} & M_{13} \\ M_{21} & M_{22} & M_{23} \\ M_{31} & M_{32} & M_{33} \end{bmatrix} \quad (3.1)$$

$$\mathbf{M} = \begin{bmatrix} M_{11} & M_{12} & M_{13} & M_{14} \\ M_{21} & M_{22} & M_{23} & M_{24} \\ M_{31} & M_{32} & M_{33} & M_{34} \\ M_{41} & M_{42} & M_{43} & M_{44} \end{bmatrix} \quad (3.2)$$

When it comes to matrices in 3D graphics, instead of using a  $3 \times 3$  matrix we tend use a  $4 \times 4$  matrix known as an Atomic Transform Matrix. An atomic Transform matrix is a

concatination of four  $4 \times 4$  matrices: translations, rotations, scale and shear transforms.

Resulting in a  $4 \times 4$  matrix in the following representation:

$$\mathbf{M} = \begin{bmatrix} RS_{11} & RS_{12} & RS_{13} & 0 \\ RS_{21} & RS_{22} & RS_{23} & 0 \\ RS_{31} & RS_{32} & RS_{33} & 0 \\ T_1 & T_2 & T_3 & 1 \end{bmatrix} \quad (3.3)$$

Where  $RS$  is a  $3 \times 3$  matrix containing the rotation and scale where the 4<sup>th</sup> elements are 0.

The  $T$  elements represent the translation with the 4th element being 1.

### 3.3.1 Matrix Multiplication

$$\mathbf{AB} = \begin{bmatrix} A_{11} & A_{12} & A_{13} \\ A_{21} & A_{22} & A_{23} \\ A_{31} & A_{32} & A_{33} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} & B_{13} \\ B_{21} & B_{22} & B_{23} \\ B_{31} & B_{32} & B_{33} \end{bmatrix} \quad (3.4)$$

$$= \begin{bmatrix} A_{row1} \cdot B_{col1} & A_{row1} \cdot B_{col2} & A_{row1} \cdot B_{col3} \\ A_{row2} \cdot B_{col1} & A_{row2} \cdot B_{col2} & A_{row2} \cdot B_{col3} \\ A_{row3} \cdot B_{col1} & A_{row3} \cdot B_{col2} & A_{row3} \cdot B_{col3} \end{bmatrix} \quad (3.5)$$

Matrix multiplication is non-commutative, Meaning order matters.

$$AB \neq BA \quad (3.6)$$

### 3.3.2 Translation

### 3.3.3 Rotation

### 3.3.4 Scale

## 3.4 Quaternions

We are able to express 3D rotations in the form of a matrix, however in many ways a matrix is not the optimal way of representing a rotation. Matrices are represented by nine floating point values and can be quite expensive to process particularly when doing a vector to matrix multiplication. There are also situations where we would like to smoothly transition from one rotation to another, this is possible using matrices but can be very complicated. Quaternions are the miraculous answer to all of these difficulties.

Quaternions look similar to a 4D vector  $q = [qx, qy, qz, qw]$ , and are represented with a real axis ( $qw$ ) and three imaginary axis  $qx, qy, qz$ .

A quaternion can be represented in complex form as follows:  $q = (iq_x + jq_y + kq_z + qw)$ .

We will not get into too much detail as to the derivation of quaternions in mathematics however it is important to understand that any unit length quaternion which obeys

$$q_x^2 + q_y^2 + q_z^2 + q_w^2 = 1$$

### 3.4.1 Unit Quaternion

Unit quaternions are what are used for rotations, here we can take the angle and the axis of a rotation and convert it to a unit quaternion using the following formula:

$$q = [qx, qy, qz]$$

where

$$q_x = a_x \sin \frac{\theta}{2}$$

$$q_y = a_y \sin \frac{\theta}{2}$$

$$q_z = a_z \sin \frac{\theta}{2}$$

$$q_w = \cos \frac{\theta}{2}$$

The scalar part  $q_w$  is the cosine of the half angle, and the vector part  $q_x q_y q_z$  is the axis of that rotation, scaled by sine of the half angle of rotation.

### 3.4.2 Quaternion Multiplication

### 3.4.3 Conjugate and Inverse

## Chapter 4

# L-system Rewriter Implementation

There are two major parts of an L-systems implementation, firstly there is the L-system rewriter, which takes a defined L-system that complies to a particular grammar, the starting point will be rewritten a number of times using the set of production rules. The rewriting system will give a set of instructions, sometimes called the resultant string. This resultant string is passed to the second system called the interpreter. The interpreter runs through each character or instruction within the resulting string and interprets its meaning, this acts as a set of instructions that are carried out by turtle graphics to eventually represent a model of a plant on the screen. This chapter will focus on the rewriting system. This includes formally defining a context-free grammar which the L-system must obey. Once the grammar has been formalized, a lexical analyser and parser, similar to those used in interpreters and compilers in computing, can be created to represent the context-free L-system grammar, essentially, creating a compiler for a context-free L-system grammar. Using this compiler we can write an L-system, similar to a computer program, the L-system "program" will be passed into the lexical analyser and then through the parser, where each component of the L-system will be identified and parsed into the appropriate computational structures. If the syntax is valid and the parser is successful, the structures created during parsing will be used to rewrite the L-system to a given generation. If the L-system provided does not match the context-free grammar definition, an appropriate error message can be displayed.

For simple D0L-system seen in section 2.3, creating a rewriter for a simple D0L-system like this is quite simple, each rewritable symbol is only made up of a single character, and because the D0L-system is deterministic we know that there is no randomness when determining the matching rule. This is just a case of storing the starting string, and then iterating through this string one character at a time and when a symbol matches that in a rule, replace that character with the string provided by the rule. This can be implemented relatively simply, however, to implement the parametric 0L-system, where each instruction is a module with potentially multiple parameters and each parameter could be a mathematical expression, the rewriting system will have to better understand what each part of the L-system is specifying based on the grammar and its context in the L-system. The lexical analyser and parser can be used for this purpose in order to classify each part of the L-system and prepare it for rewriting.

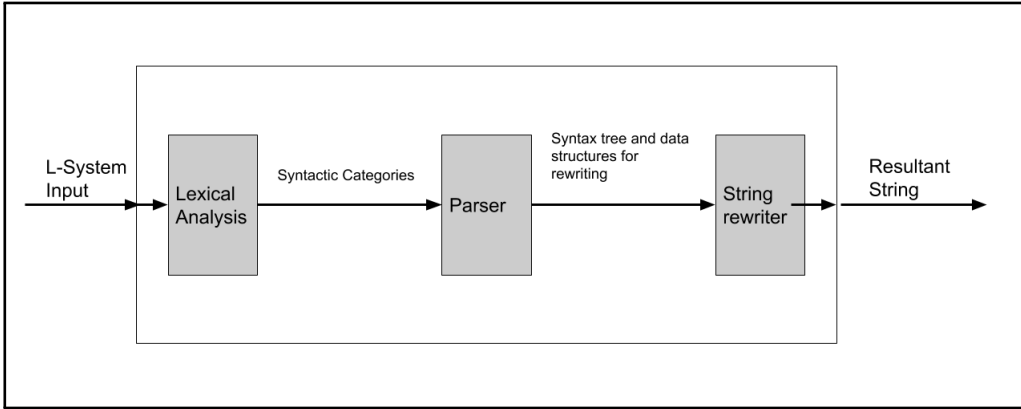


Figure 4.1: Diagram of the Parts of The Rewriting System.

The rewriting system, refers to three specific parts, as seen in the diagram above. The L-system input is passed to the rewriter in the form of a file, or string of text, this string of text is then processed by the lexical analyser, the result of the lexical analyser is a set of syntactic categories which is used by the parser to create the relevant data structures and syntax tree, this information is then used by the string rewriter which finally produces the resultant string that can be interpreted by a domain specific interpreter. Each part of the L-system rewriter will be explained in more detail in following sections.

## 4.1 Environment and Tools

This majority of the implementation of the L-system will be written in the C and C++ programming languages [Stroustrup, 2000], and I will be using the modern Open Graphics Library or OpenGL. The OpenGL framework is one of the industry standards for creating 3D graphics applications, and is a cross platform API for interacting with the GPU in a low level way. The low level nature of OpenGL is important as some of the structures and models we are going to be displaying and simulating can be graphically intensive [Sellers et al., 2013] [Movania et al., 2017]. OpenGL was originally intended to be an API for the C and C++ programming languages, and therefore we can have a programming language and graphics API which have a strong emphasis on performance.

There are also a number of libraries which will provide some extra functionality. The standard library for C and C++ provides many useful structures and functions which will be incredibly useful during the development process. For more specialised mathematics capabilities the OpenGL Mathematics Library (GLM) library holds many mathematics classes and functions for conveniently dealing with some 3D mathematics such as vectors, matrices and quaternions. Another important library is the Graphics Library Framework (GLFW) which is a multiplatform API for creating and managing user interface windows, events and user input [GLFW development team, 2019].

In order to keep track of changes and manage versions Git is a free and open source version control software, that is able to keep track of changes that have been made to the files within a project folder. It will be used to keep track of previous versions of the project throughout the development process. Git can be used in conjunction with Github, which is an online web application that stores git repositories. This acts as a backup as well as



containing all previous versions of the project [Torvalds, ].

## 4.2 The L-system as an interpreted grammar

Traditionally an interpreter in computing is a program that takes program code as input, where it is then analyzed and interpreted as it is encountered in the execution process. All of the previously encountered information is kept for later interpretations. The information about the program can be extracted by inspection of the program as a whole, such as the set of declared variables in a block, a function, etc [Wilhelm and Seidl, 2010]. In essence, the L-system rewriter contains a type of interpreter, this should not be confused with the interpreter that processes the resultant string using turtle graphics. Due to this confusion of terms I will refer the system containing the lexical analyser, L-system parser and the string rewriter as the L-system rewriter, instead of an interpreter in the computational sense.

A similarity can be drawn between traditional interpreted languages and the L-system rewriter. The L-system rewriter defines a set of constant variables, a starting point and then some production rules. This information can then be used to rewrite the starting string a number of times. Later on, it may be decided that, instead of five generations of rewriting, the rewriter should instead generate ten rewrites. Some information about the L-system is still valid, the production rules, axiom and constants have not changed and therefore, this information can be used in order to interpret to the tenth generation instead. This can be used to go from the current state of the L-system rewriter and just rewrite another five times. Instead of throwing all the information away and starting from scratch. Furthermore, if we would like to retrieve the resultant string, this can simply be asked for from the L-system rewriter.

The lexical analyser and parser are a necessary part in order to carry out rewriting. Without them it would be difficult to find the syntactic roles of each part of the L-system, take the module:  $F(2*3, x * (2 + y))$  as an example, here there is a single module with two parameters, one parameter has the expression  $(2 * 3)$  and the other has the expression  $(x * (2+y))$ , these complex structures within a grammar require knowledge about the structure of the grammar it represents. The lexical analyser firstly makes sure that all the syntax within the L-system is correct and assigns each word or symbol to a syntactic category, the parser then splits the L-system into its component parts and describes each parts syntactic roll. This provides the understanding that  $x$  and  $y$  are variables within a module and do not represent another module, as well as where the values of  $x$  and  $y$  could be found.

The trade off of creating an L-system with more complexity within the grammar itself is that it become more difficult to write a valid L-system to represent a particular structure, the advantage of using an rewriter specifically designed for a CFG like the parametric OL-system grammar is that it can make it simpler to debug any syntactic errors within an L-system, as well as make the string rewriting much faster. This means that writing a L-system becomes similar to rewriting a recursive program and any syntactic mistakes made in writing this “program” results in a meaningful error describing what was incorrect.

### 4.3 The Syntax of a Parametric L-system

This section will cover the valid syntax for the parametric L-system rewriter, the syntax for the parametric 0L-system is similar to the definition of the L-systems given by Prusinkiewicz and Lindenmayer in section 2.4.1, this is to keep consistent with how most L-systems are defined. There are some additions and modifications to the syntax definition provided by Prusinkiewicz and Lindenmayer in order to construct a L-system that includes branching, constant variable definitions, object specifications, parametric L-system concepts, randomness as well as stochastic L-systems [Prusinkiewicz and Lindenmayer, 2012].

This parametric 0L-system is made up of five major parts, each part can be categorised as a statement, these statements are the define statements, include statements, a single generation statement, a single axiom statement and a one or more production rule statements [Prusinkiewicz and Hanan, 2013]. All of these statements collectively form a parametric 0L-system. Each statement starts with a # character and ends with a ; character, this is useful to the lexer and parser and allows two statements to be written on the same line.

The order of statements should be listed as follows:

```
#generations statement;
#define statements;
...
#include statements;
...
#axiom statement;
#production statements;
...
```

(4.1)

The order for some of statements does not necessarily matter, such as the generations statement which can be defined anywhere within the L-system, however, there are some parts that are required to be in a particular order, for instance, the define and include statements must appear above the axiom and productions statements as they define values used within the axiom and production rules. It is best practice to specify the L-system in the above order as to avoid any conflicts or errors.

Another design decision that has been made, is that all numbers within the L-system are represented as floating point numbers. Other data types could be added to the definition of the L-system in the future, however, there is some added complexities in doing so, such as the conversion from one type to another, or having to specify which data type a variable represents. For all intents and purposes, the floating point data type provides all the necessary functionality needed for the L-system, therefore, it seems unnecessary to add extra data types.

## 4.4 Scanner - Flex

D. Cooper and L. Torczon write that "The scanner, or lexical analyser, reads a stream of characters and produces a stream of words. It aggregates characters to form words and applies a set of rules to determine whether or not each word is legal in the source language. If the word is valid, the scanner assigns it a syntactic category, or part of speech" [Cooper and Torczon, 2011]. The lexer for parametric 0L-system will need to do exactly that. To get to a stage where we can successfully rewrite the L-system string, the rewriter must first understand what each word and symbol represents, in the form of a syntactic category, and whether or not the word or symbol is valid or not.

It is possible to write custom Lexer, however, it can be quite complicated and time consuming to design and implement, and once a custom Lexer has been created it can be difficult to change functionality at a later stage. Luckily there is a well known program known as the Fast Lexical Analyzer Generator (Flex), Flex takes in a file which contains the lexical rules of the language, it uses these rules to create a Lexer program. When Flex is run it will create a Lexer in the form of a C program. We can use the generated Lexer on the L-system that we would like to rewrite, taking the word within the L-system and assigning a syntactic category to it. In order to create a lexer with Flex, the lexical rules in the form of syntactic categories must be defined. Below are the valid syntactic categories of a parametric 0L-system:

Syntactic Word	Syntactic Category	Meaning
,	T_COMMA	Separation between module parameters
:	T_COLON	Separation between production rule parts
;	T_SEMICOLON	End of a statement
#	T_HASH	Beginning of a statement
(	T_PARENL	Start of a modules parameters or specifies precedence in an expression
)	T_PAREN R	End of a modules parameters or specifies precedence in an expression
{	T_BRACKETL	Start of a random range
}	T_BRACKET R	End of a random range
~	T_TILDE	Stochastic operator
==	T_EQUAL_TO	Relational operator stating equal to
!=	T_NOT_EQUAL_TO	Relational operator for not equal to
<	T_LESS_THAN	Relational operator for less than
>	T_GREATER_THAN	Relational operator for greater than
< =	T_LESS_EQUAL	Relational operator for greater or equal
> =	T_GREATER_EQUAL	Relational operator for greater or equal
[	T_SQUARE_BRACE L	Module name (branching save state)
]	T_SQUARE_BRACE R	Module name (branching load state)
+	T_PLUS	Arithmetic operator for addition, or Module name (Yaw right)
-	T_MINUS	Arithmetic operator for subtraction, or Module name (Yaw left)
/	T_FORWARD_SLASH	Arithmetic operator for division, or Module name (Pitch up)
\	T_BACK_SLASH	Module name (Pitch down)
*	T_STAR	Arithmetic operator for multiplication, or Condition in a production rule which is true
^	T_HAT	Arithmetic operator for and exponent, or Module name (Roll right)
&	T_AMPERSAND	Module name (Roll left)
!	T_EXCLAMATION	Module name (Set size of branch)
\$	T_DOLLAR	Module name
=	T_ASSIGN	Assignment operator used to set generations
#n	T_GENERATIONS	Declaration of the number of generations
#w	T_AXIOM	Declaration of the axiom
#define	T_DEFINE	Declaration of the define
#object	T_OBJECT	Declaration of the object
[0-9]+.[0-9]+ [0-9]+	T_FLOAT	Regular expression for a floating point number
[a-zA-Z_][a-zA-Z0-9_]*	T_VAR_NAME	Regular expression for a module or variable name

Table 4.1: Table of Valid Lexer Words

From the table above there are a number syntactic categories which contain more than one meaning to the grammar, for instance the ( and ) parenthesis have two meanings, it is either to specify the begining and end of a modules parameters or it specifies precedence within an expression. It is not up to the scanner to determine what the each particular parentheses means, or that it has a meaning at all, the lexer only recognises that it falls into the syntactic categories, T\_PARENL and T\_PAREN R. Deriving the meaning of a given token or sytactic category is left up to the parser which is more aware of the context of each syntactic word. Similarly, the symbols [, +, -, /, \, ^, &, ! and \$ are valid module names; Moreover, it is possible for a T\_VAR\_NAME to also be a module name, these symbols need to be specifically defined as their own syntactic category, as they not only represent a module name but can also represent a different meaning depending on their context. For instance, the +, -, / are valid module names, but they also are mathematical symbols used within an

arithmetic expression. The scanner must separate these symbols and keep them in their own syntactic category in order for the parser to be able to understand the same symbol in multiple contexts.

It is also important to note that there are two special types of tokens, these being the `T_FLOAT` and `T_VAR_NAME` which not only are part of a syntactic category but also contain a value, for instance `T_FLOAT` has a floating point value and `T_VAR_NAME` has a string value. These values must be kept and provided to the parser.

## 4.5 Parser - Bison

The parsers job is to find out if the input stream of words from the Lexer makes up a valid sentence in the language. The Parser fits the syntactical category to the grammatical model of the language. If the Parser is able to fit the syntactical category of the word to the grammatical model of the language then the syntax is seen to be correct. If all of the syntax is correct the Parser will output a syntax tree and build the structures for use later on during the compilation process [Cooper and Torczon, 2011].

## 4.6 Building a Generalised L-system Grammar

We are now able to represent complex three dimensional tree structures in the form of a L-system rule set. In a computing sense this rule set can be seen as a type of program. In the program we define the number of generations we would like to generate, the starting point (Axiom) some constant variables (`#define`) and the set of production rules.

Using this information, we iterate through the from generation to generation rewriting the strings and then at the end provide a resulting string which will then be interpreted and displayed on the screen.

We can represent the languages grammar in the form of a Backus-Naur Form, BNF is a notation for Context-Free Grammars used to describe the syntax of different languages. In this case the BNF is used below to describe the syntax of the parametric L-system grammar.

For example:

```
<expression> → number
<expression> → ( <expression> )
<expression> → <expression> + <expression>
<expression> → <expression> - <expression>
```

The first line states that an `<expression>` can be any number, line two states that an `<expression>` can also be an expression that is inside parenthesis. Line three states that an `<expression>` can be an `<expression>` added to another `<expression>`, furthermore line four states that an `<expression>` can also be an `<expression>` subtracted by another `<expression>`.

The above grammar can be expressed as follows:

$$\begin{aligned}\langle \text{expression} \rangle &::= \text{number} \\ &| (\langle \text{expression} \rangle) \\ &| \langle \text{expression} \rangle + \langle \text{expression} \rangle \\ &| \langle \text{expression} \rangle - \langle \text{expression} \rangle\end{aligned}$$

Here the  $|$  symbol can be articulated as an OR, therefore it can be said that an  $\langle \text{expression} \rangle$  can be a number OR an  $\langle \text{expression} \rangle$  surrounded by parenthesis, OR an  $\langle \text{expression} \rangle$  added to another  $\langle \text{expression} \rangle$  OR an  $\langle \text{expression} \rangle$  subtracted by another  $\langle \text{expression} \rangle$ .

In addition to this, any statement that is not surrounded by  $\langle \rangle$ , states it must match that particular string. The  $\in$  followed by an  $|$  states that it can either be nothing or another statement.

#### 4.6.1 Backus-Naur Form of the L-system Grammar

$$\begin{aligned}
\langle \text{prog} \rangle &::= \epsilon \mid \langle \text{statements} \rangle \text{ EOF} \\
\langle \text{statements} \rangle &::= \epsilon \mid \langle \text{statement} \rangle \langle \text{statements} \rangle \\
\langle \text{statement} \rangle &::= \text{ EOL} \mid \langle \text{generations} \rangle \mid \langle \text{definition} \rangle \mid \langle \text{object} \rangle \mid \langle \text{axiom} \rangle \mid \langle \text{production} \rangle \\
\langle \text{generations} \rangle &::= \text{ \#define } \langle \text{float} \rangle ; \\
\langle \text{float} \rangle &::= [0-9]^+ . [0-9]^+ \mid [0-9]^+ \\
\langle \text{variable} \rangle &::= [\text{a-zA-Z\_}] [\text{a-zA-Z0-9\_}]^* \\
\langle \text{number} \rangle &::= \langle \text{float} \rangle \mid - \langle \text{float} \rangle \\
\langle \text{range} \rangle &::= \{ \langle \text{number} \rangle , \langle \text{number} \rangle \} \\
\langle \text{definition} \rangle &::= \text{ \#define } \langle \text{variable} \rangle \langle \text{number} \rangle ; \\
\langle \text{object} \rangle &::= \text{ \#object } \langle \text{variable} \rangle \langle \text{variable} \rangle ; \\
\langle \text{module} \rangle &::= \langle \text{variable} \rangle \mid + \mid - \mid / \mid \backslash \mid \wedge \mid \& \mid \$ \mid [ \mid ] \mid ! \\
&\quad \mid + ( \langle \text{param} \rangle , \langle \text{paramList} \rangle ) \\
&\quad \mid - ( \langle \text{param} \rangle , \langle \text{paramList} \rangle ) \\
&\quad \mid / ( \langle \text{param} \rangle , \langle \text{paramList} \rangle ) \\
&\quad \mid \backslash ( \langle \text{param} \rangle , \langle \text{paramList} \rangle ) \\
&\quad \mid \wedge ( \langle \text{param} \rangle , \langle \text{paramList} \rangle ) \\
&\quad \mid \& ( \langle \text{param} \rangle , \langle \text{paramList} \rangle ) \\
&\quad \mid \$ ( \langle \text{param} \rangle , \langle \text{paramList} \rangle ) \\
&\quad \mid [ ( \langle \text{param} \rangle , \langle \text{paramList} \rangle ) \\
&\quad \mid ] ( \langle \text{param} \rangle , \langle \text{paramList} \rangle ) \\
&\quad \mid ! ( \langle \text{param} \rangle , \langle \text{paramList} \rangle ) \\
\langle \text{axiom} \rangle &::= \text{ \#w : } \langle \text{axiomStatementList} \rangle ; \\
\langle \text{axiomStatementList} \rangle &::= \epsilon \mid \langle \text{axiomStatement} \rangle \langle \text{axiomStatementList} \rangle \\
\langle \text{axiomStatement} \rangle &::= \langle \text{module} \rangle \\
\langle \text{paramList} \rangle &::= \epsilon \mid \langle \text{param} \rangle \langle \text{paramList} \rangle \\
\langle \text{param} \rangle &::= \langle \text{expression} \rangle \\
\langle \text{expression} \rangle &::= \langle \text{variable} \rangle \mid \langle \text{number} \rangle \mid \langle \text{range} \rangle \\
&\quad \mid \langle \text{expression} \rangle + \langle \text{expression} \rangle \\
&\quad \mid \langle \text{expression} \rangle - \langle \text{expression} \rangle \\
&\quad \mid \langle \text{expression} \rangle * \langle \text{expression} \rangle \\
&\quad \mid \langle \text{expression} \rangle / \langle \text{expression} \rangle \\
&\quad \mid \langle \text{expression} \rangle \wedge \langle \text{expression} \rangle \\
&\quad \mid ( \langle \text{expression} \rangle ) \\
\langle \text{production} \rangle &::= \text{ \# } \langle \text{variable} \rangle : \langle \text{predecessor} \rangle : \langle \text{condition} \rangle : \langle \text{successor} \rangle ;
\end{aligned}$$

$$\begin{aligned}
\langle \text{predecessor} \rangle &::= \langle \text{predecessorStatementList} \rangle \\
\langle \text{predecessorStatementList} \rangle &::= \epsilon \mid \langle \text{predecessorStatement} \rangle \langle \text{predecessorStatementList} \rangle \\
\langle \text{predecessorStatement} \rangle &::= \langle \text{module} \rangle \\
\langle \text{condition} \rangle &::= * \\
&\quad \mid \sim \langle \text{float} \rangle \\
&\quad \mid \langle \text{leftExpression} \rangle \langle \text{operator} \rangle \langle \text{rightExpression} \rangle \\
\langle \text{leftExpression} \rangle &::= \langle \text{expression} \rangle \\
\langle \text{rightExpression} \rangle &::= \langle \text{expression} \rangle \\
\langle \text{operator} \rangle &::= == \mid != \mid <= \mid >= \mid > \mid < \\
\langle \text{successor} \rangle &::= \langle \text{successorStatementList} \rangle \\
\langle \text{successorStatementList} \rangle &::= \epsilon \mid \langle \text{successorStatement} \rangle \langle \text{successorStatementList} \rangle \\
\langle \text{successorStatement} \rangle &::= \langle \text{module} \rangle
\end{aligned}$$

### 4.6.2 Constants and Objects

Defining constants and objects is similar syntactically, the keyword `define` or `include` is used, followed by a variable name followed by a value, the value for a constant is a floating point number and the value for an `include` is a name of an object within the predefined object library. An example of the defining a constant and an object can be seen below:

$$\begin{aligned}
&\#define \text{ num } 10; \\
&\#define \text{ pi } 3.1415; \\
&\#include \text{ F BRANCH}; \\
&\#include \text{ S SPHERE};
\end{aligned} \tag{4.2}$$

The definition variables can be stored as a table, called a constants table, which keeps track of all of the constant variable names as well as their values defined by the L-system, as seen in the table below:

Variable Name	Value
num	10.0
pi	3.1415

Table 4.2: Table of turtle instruction symbols and their meaning to the interpreter

The object table structure is very similar to the constants table, the object table holds the module name, and name of the object in the predefined object library. The object table will not be used during rewriting but will be necessary to provide information during the interpretation of the resulting string about which objects each module should render.



Module Name	Object Name
F	BRANCH
S	SPHERE

Table 4.3: Table of turtle instruction symbols and their meaning to the interpreter

### 4.6.3 Implementing Modules and Strings

For the purposes of the rewriter it is important to understand that there are three major parts of a module, there is a module name, which is a string of characters or a symbol, secondly there is a list of parameters signified by open and close parenthesis, there can be zero or more parameters listed. If there are no parameters for a module you can specify it without parenthesis, however, there should then be a space between the module without parenthesis and the next module. Thirdly, each parameter can either be made up of a number, variable, random number range or a mathematical expression containing numbers, variables and parentheses signifying precedence.

There are two types of modules, one being a module definition and the other a module call. The module definition stands as a type of template of a module within a production rule, these templates do not have to hold actual values but the values will be substituted during the rewriting process. The module calls would appear either in the axiom or in the resultant string, the parameters of a module call will hold an actual numerical value. Below is an example outlining the difference between the module definition and module calls.

$$\begin{aligned} \#w : A(10); \\ \#p1 : A(x) : * : A(x)A(x); \end{aligned} \tag{4.3}$$

In example 4.3 above, the module  $A(10)$  within the axiom, is a module call, as it contains the numerical value of 10 in the first parameter. In the production rule  $p1$  the module  $A(x)$  within the predecessor is a module definition, it states that module  $A$ 's first parameter has a local variable  $x$ , the calling modules value will substitute  $x$  and will replace the value of  $x$  anywhere within the successor statement,  $p1$ 's successor has two modules  $A(x)A(x)$ , also module definitions however the value  $x$  will be substituted with the calling modules value. When a production rules successor rewrites the calling module, the successors modules must have a numerical value, they then become module calls within the resultant string.

A string in the context of a parametric L-system is a vector of modules, the modules are linked one after the other creating a type of string, but instead of characters or symbols we have a string of modules.

### 4.6.4 Implementing Arithmetic Expressions Trees

As stated within the BNF of the L-system grammar an expression is either a variable name, a number or a random range, it is also possible that an expression is part of another expression. Take the example:  $5 \times 4 + n$ , here there are three expressions 5, 4 and  $n$

however,  $5 \times 4$  is also an expression, as well as  $4 + n$ . An expression can also be described as any of the aforementioned expressions between a set of parenthesis such as  $(4 + n)$ . The result of the expression is calculated from left to right unless the parenthesis are used which prioritises the encapsulated expression to be calculated first. We can represent this expression as an expression tree in the diagram below:

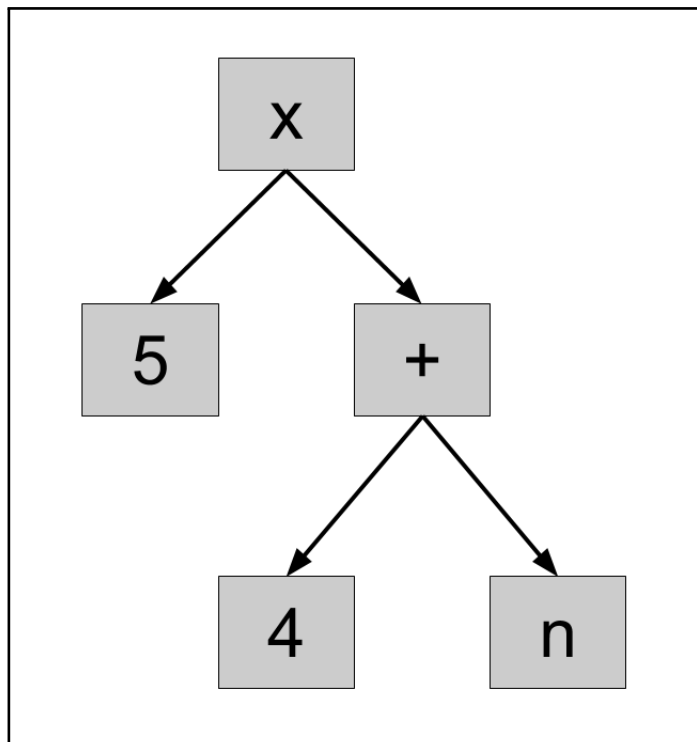


Figure 4.2: Diagram of an expression tree.

The parser provides a syntax tree, which makes it easy to generate the above expression tree, this can have four types of nodes, a variable, number, random range or a operator. The end nodes of the expression tree must be either a number, variable or random range; moreover, a connecting node within the tree must be an operator. We can then traverse the generated tree, and replace the variables with their associated value, and for random ranges we can generate the random value and assign it to the node. A second traversal during the rewriting process can then computes the result of the expression.

#### 4.6.5 Implementing Random Ranges

A random range provides a method of declaring a variable which represents a number that should be randomly generated between two bounds. A random range declared within a define statement will generate a random number when that constant variable is added to the constants table. A random range declared within the axiom will generate random number before the string rewriting process begins, this ensures that the number. Conversely, if a random range is defined within the successor of a production rule, the number should be generated during the rewriting process when the current module within the string is successfully matched to the predecessor at the same time as the expressions within the successors are being evaluated. The values are generated during the rewriting process rather than prior is so that each time a module is matched to the rule, the successor will generate a different value.

The random number is generated using a simple psuedo-random number generator which gives a uniform distribution between a minimum and a maximum value. It is possible to implement a different type of random distribution if this is something required by the problem domain, however for the purposes of generating plant-life a uniform distribution should be sufficient.

### 4.6.6 Implementing Stochastic Rules

Each rule belonging to a stochastic group of rules provides a probability value of how likely it is that the particular rule is selected during the rewriting process. For production rules to be part of the same stochastic group they are required to meet four criteria:

- The stochastic operator  $\sim$  must be used with a probability between 0.0 and 1.0.
- The predecessor module name must match the other predecessor module names within that stochastic group.
- The number of parameters within the predecessor must match the number of parameters of other production rules within that stochastic group.
- The total probability of all of the production rules within the stochastic group must not exceed 1.0 or be less than 0.0.

Each time a rule is added to a stochastic group an entry a stochastic rule table is created in order to keep track of which rules are associated with which stochastic group as well as the probability of each rule. Using the stochastic rules below, we can generate a stochastic rule table as seen in table 4.4.

$$\begin{aligned}
 p_1 : F(x) : \sim 0.33 : F(x)[+(r)F(x)]F(x)[-(r)F(x)]F(x) \\
 p_2 : F(x) : \sim 0.33 : F(x)[+(r)F(x)]F(x) \\
 p_3 : F(x) : \sim 0.34 : F(x)[-(r)F(x)]F(x)
 \end{aligned}
 \tag{4.4}$$

Stochastic Group	Rule Name	Probability
F1	p1	0.33
	p2	0.33
	p3	0.34

Table 4.4: Table of the stochastic rules probabilities within a stochastic group.

The stochastic name can be generated by using the module name of the predecessor of the production rule as well as the number of parameters within the predecessor module. In the example above we can use the predecessor name F which has a single parameter, making the stochastic name F1. This serves as a unique identifier for the stochastic group. Once all of the production rules have been processed and added to the stochastic rule table, each groups probabilities should be added together and the total should equal 1.0, certain tolerences should put in place to account for floating point error.

During the rewriting process the module that is to be rewritten will be matched to a particular stochastic group. A uniformly distributed random number is then generated between 0.0 and 1.0. A range for each rule will then be generated, for instance, p1 will be between 0.0 and 0.33, p2 will be between 0.33 and 0.66 and finally p3 will be between 0.66 and 1.0. The production rule with the range that the random number falls between is then selected and used for rewriting.

## 4.7 The String Rewriter

Once the L-system has been processed by both the lexical analyser and the parser, all of the data structures and information for rewriting, has been retrieved and organised. The string rewriter, is the final stage which uses this data by starting with a current string of modules which is originally set to the axiom string. The string rewriter will then iterate over each module within the current string carrying out the production rules. Once all of the modules have been rewritten withing the current string the result of the rewriting for that generation becomes the new current string. This process is carried out for the number of generations specified within the L-system and will finally provide a resultant string of modules. The pseudocode for the rewriter can be seen below:

## Chapter 5

# Physics Simulation

The motion of plants is something that can be overlooked, it is very subtle, however, when completely devoid of movement, the plant starts looking very unnatural. We will now be looking into simulating the animation of plants, and building this functionality into the parametric 0L-system, covered in previous sections. Not all 3D applications may need to make use of simulating the plants motion, therefore, the solution will allow us to declare parameters of the plants 3D animation, or if not necessary, leave them out entirely.

[Barron et al., 2001]

### 5.1 Motion Equations

Torque

$$\tau = I\alpha$$

Where  $\tau$  is the torque,  $I$  is the moment of inertia and  $\alpha$  is the angular acceleration.

$$\tau = f \otimes R$$

Where  $\tau$  is the torque,  $f$  is the force acting on the end of the branch and  $R$  is the vector representing the length and orientation of the branch.

Mass

$$M = \Pi r^2 h$$

Where  $M$  is the mass represented in kg,  $r$  is the radius of the branch in meters and  $h$  is the height of the branch in meters.

Inertia

$$I = \frac{1}{3}ML^2$$

Where  $I$  is the inertia of the branch,  $M$  is the Mass of the branch and  $h$  is the height of the branch.

Angular Acceleration

$$\omega = \omega_0 \alpha t$$

Where  $\omega$  is the angular velocity,  $\omega_0$  is the previous angular velocity,  $\alpha$  is the angular acceleration and  $t$  is the change in time.

Next angle equation

$$\theta = \theta_0 + \omega_0 t + \frac{1}{2} \alpha t^2$$

Where  $\theta$  is the angle,  $\theta_0$  is the previous angle,  $w_0$  is the previous angular velocity,  $t$  is the change in time and  $\alpha$  is the angular acceleration.

## 5.2 Hook's Law

$$f = -k_s x + k_d v$$

Where  $f$  is the force exerted by the spring,  $k_s$  is the spring constant and  $x$  is the total displacement of the spring. We then would also like to add a dampening force which is the  $k_d v$  part where  $k_d$  is the dampening constant and  $v$  is the velocity at the end of the spring.

# Chapter 6

## String Interpreter Implementation

The string interpreter is one of the major components of the L-system, it is the final step in the process of procedural generation. The L-system rewriter takes an L-system input and carries out a set of production rules and eventually provides the resulting string of modules. The rewriter forms the overall structure and calculates the values of any module parameters that will be used within the string interpreter, however, up until this point the string of modules does not hold any particular meaning, it is simply the result of a set of production rules. The string interpreter then interprets the string of modules in a way that best serves the problem domain. The string interpreter for the generation of plant-life has three stages, the first part is a turtle graphics interpreter which takes the string of modules as a set of instructions and uses the instructions to generate a skeleton of the plant which has many joints that make up each branch segment of part of the plant. The tree skeleton is then used to generate 3D models that make up the plant itself. These models can finally be passed to the renderer which finally renders the plant on the screen. The stages of string interpretation can be seen in figure 6.1 below.

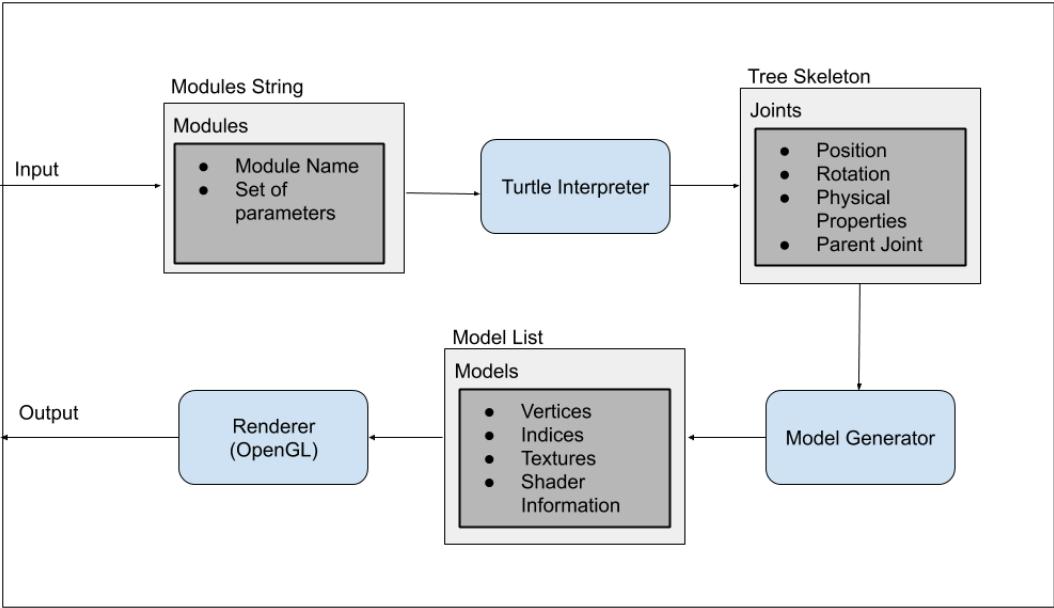


Figure 6.1: Diagram of the stages of L-system interpretation and rendering

This chapter will outline each stage in the string interpreter implementation for generating 3D models of plant structures as well as well as talking about how the interpreter is able to simulate and animation the plants movements under forces such as gravity and wind in real

time.

### 6.1 Turtle Graphics Interpreter

The main job of the turtle graphics interpreter is to take the string of modules from the L-system rewriter and interpret it as a list of turtle graphics instructions which can be used to create a skeleton of joints that hold information as to its 3D properties like position, orientation, physical properties as well as its parent joint. The information for each joint is as follows:

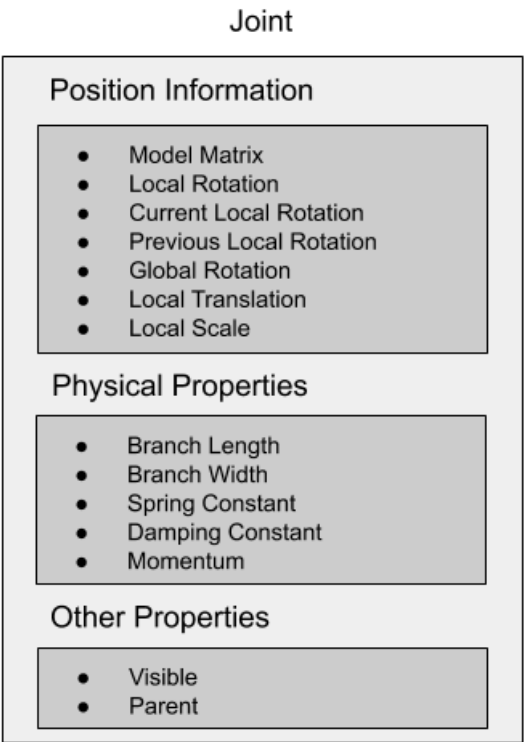


Figure 6.2: Diagram for the properties of a joint

As you can see from figure 6.2, there is large amount of information stored as to the position and orientation of each joint, each rotation is stored in both a local and global space, local space refers to the rotation of the joint relative to its parent joints rotation, this is useful as it allows the manipulation of subsequent child joints but leaving parent joints unchanged. Global space, also known as world space, is the rotation of the joint relative to the world itself this is useful for understanding the current rotation of the joint relative to the world for instance calculating the torque or force calculations due to gravity. Furthermore it is important to store both the current and previous rotations to calculate the rate of change for physics calculations.

The physical properties for each joint are the parts that will affect the model generation stage as well as the physics simulation. These include the length of the branch stemming from the joint position, the width of the branch, the spring constant or stiffness of the branch, the damping constant for slowing down the branch oscillation and the current momentum of the branch.



## 6.2 Model Generator

Modeling the branches of a plant is the most important part behind the overall look and feel of that plant. The L-system described in the previous sections is able to describe the most important details about the plants structure. For instance the width, length, weight and other important information. Our job now is to take this information and intelligently generate a model consisting of vertices, normals, texture coordinates and other information that can then be provided to the GPU and then rendered on the screen.

The most obvious way to generate a model for a branching structure would be to take a number of cylinders and to rotate and stack them according to the branching structure. In this way we are able to represent the overall branching structure of the tree. However there is a problem pointed out by Baele and Warzée "The branches junction causes a continuity problem: to simply stack up cylinders generates a gap" [Baele and Warzee, 2005]. This can be shown in the figure below:

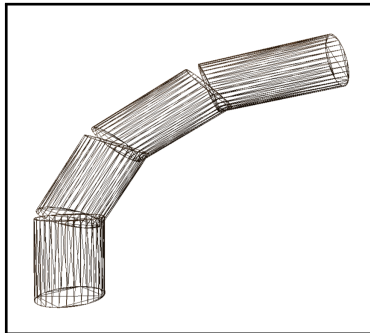


Figure 6.3: Example of the continuity problem faced with stacked branching with a  $25^\circ$  bend per joint.

This simple method of stacking cylinders gives a reasonable looking tree structure and it is usually good enough when the angles of branches are not more than about  $25^\circ$  and the size of the branches do not change. However for a much more convincing tree structure we will want to do better than this. The logical next step would be to actively link the branch segments together.

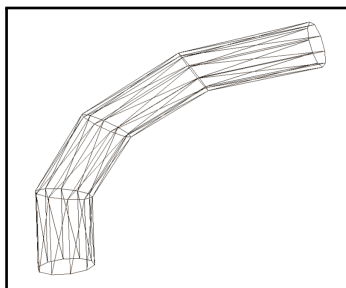


Figure 6.4: Example of linked branching with a  $25^\circ$  bend per joint.

## 6.3 Renderer

# 6.4 Displaying the L-system Instructions

## 6.4.1 Basic 2D L-systems

There are a number of fractal geometry that have become well known particularly with regards to how they can seemingly imitate nature [Mandelbrot, 1982]. Particularly with the geometry such as the Koch snowflake which can be represented using the following L-system.

**Koch Curve:**

```
#n = 4;  
#define r 90;  
#w : F(1);  
#p1 : F(x) : * : F(x)+(r)F(x)-(r)F(x)-(r)F(x)+(r)F(x);
```

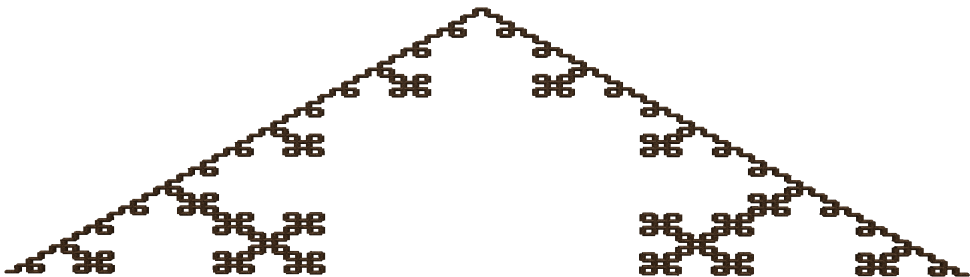


Figure 6.5: Koch Curve.

**Sierpinski Triangle:**

```
#n = 4;  
#define r 60;  
#w : F(1);  
#p1 : F(x) : * : X(x)-(r)F(x)-(r)X(x);  
#p2 : X(x) : * : F(x)+(r)X(x)+(r)F(x);
```

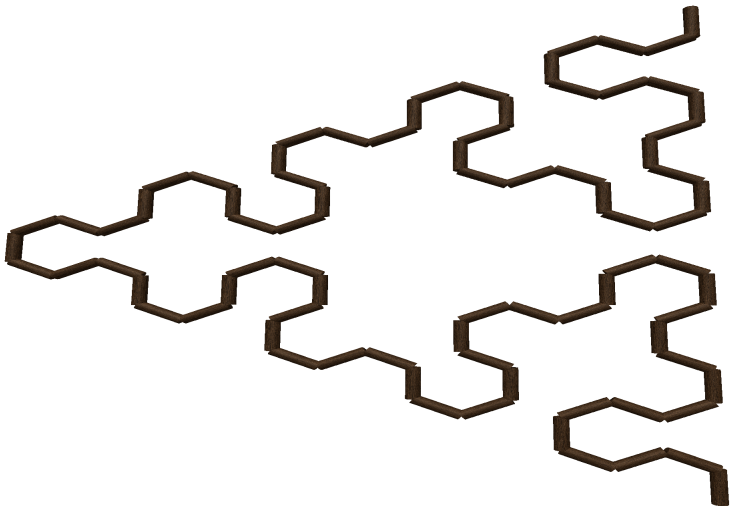


Figure 6.6: Sierpinski Triangle.

**Fractal Plant:**

**Alphabet:** X, F

**Constants:** +, -, [, ]

**Axiom:** X

**Angle:**  $25^\circ$

**Rules:**

$X \rightarrow F - ([X] + X) + F [+FX] - X$

$F \rightarrow FF$



Figure 6.7: Fractal Plant.

**Fractal Bush:**

**Alphabet:** F

**Constants:** +, -, [, ]

**Axiom:** F

**Angle:**  $25^\circ$

**Rules:**

$F \rightarrow FF + [+F - F - F] - [-F + F + F]$

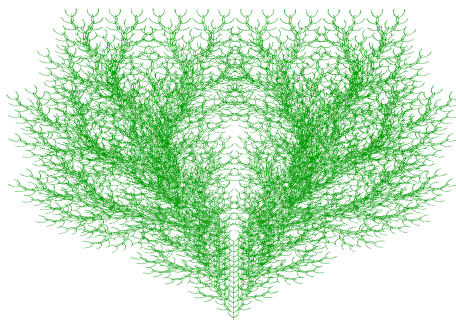


Figure 6.8: Fractal Bush.

#### **6.4.2 The Use of L-systems in 3D applications**

L-systems have been talked about and researched since its inception in 1968 by Aristid Lindenmayer. Over the years it's usefulness in modelling different types of plant life has been very clear, however its presence has been quite absent from any mainstream game engines for the most part, these engines relying either on digital artists skill to develop individual plants or on 3rd party software such as SpeedTree. These types of software use a multitude of different techniques however their methods are heavily rooted in Lindenmayer Systems.

## Chapter 7

# Findings and Data Analysis

# Chapter 8

# Discussion

## Chapter 9

## Conclusions

# Acronyms

**2D** Two Dimensional. 24

**3D** Three Dimensional. 24, 25

**API** Application Programming Interface. 29

**BNF** Backus-Naur Form. 34, 36, 40

**CFG** Context-Free Grammar. 30, 34

**Flex** Fast Lexical Analyzer Generator. 32

**GLFW** Graphics Library Framework. 29

**GLM** OpenGL Mathematics Library. 29

**GPU** Graphics Processing Unit. 29

**LIFO** Last In First Out. 14



# Glossary

**Lexer** A computer program that performs lexical analysis. 32, 34

**OpenGL** The Open Graphics Library is a cross-platform, cross-language application programming interface used in creating graphics applications. 29

**Parser** A computer program that performs parsing. 34

# Appendix A

## Appendix

### A.1 Appendix 1

### A.2 Bibliography

# Bibliography

- [Baele and Warzee, 2005] Baele, X. and Warzee, N. (2005). Real time l-system generated trees based on modern graphics hardware. In *International Conference on Shape Modeling and Applications 2005 (SMI'05)*, pages 184–193. IEEE.
- [Barron et al., 2001] Barron, J. T., Sorge, B. P., and Davis, T. A. (2001). *Real-time procedural animation of trees*. PhD thesis, Citeseer.
- [Cooper and Torczon, 2011] Cooper, K. and Torczon, L. (2011). *Engineering a compiler*. Elsevier.
- [Eichhorst and Savitch, 1980] Eichhorst, P. and Savitch, W. J. (1980). Growth functions of stochastic lindenmayer systems. *Information and Control*, 45(3):217–228.
- [GLFW development team, 2019] GLFW development team (2019). Glfw documentation. <https://www.glfw.org/documentation.html>.
- [Haubenwallner et al., 2017] Haubenwallner, K., Seidel, H.-P., and Steinberger, M. (2017). Shapegenetics: Using genetic algorithms for procedural modeling. In *Computer Graphics Forum*, volume 36, pages 213–223. Wiley Online Library.
- [Juuso, 2017] Juuso, L. (2017). Procedural generation of imaginative trees using a space colonization algorithm.
- [Kókai et al., 1999] Kókai, G., Ványi, R., and Tóth, Z. (1999). Parametric l-system description of the retina with combined evolutionary operators. *Banzhaf et al.[3]*, pages 1588–1595.
- [Lindenmayer, 1968] Lindenmayer, A. (1968). Mathematical models for cellular interaction in development, i. filaments with one-sided inputs, ii. simple and branching filaments with two-sided inputs. *Journal of Theoretical Biology*, 18:280–315.
- [Lindenmayer, 1971] Lindenmayer, A. (1971). Developmental systems without cellular interactions, their languages and grammars. *Journal of Theoretical Biology*, 30(3):455–484.
- [Mandelbrot, 1982] Mandelbrot, B. B. (1982). *The fractal geometry of nature*, volume 2. WH freeman New York.
- [Movania et al., 2017] Movania, M. M., Lo, W. C. Y., Wolff, D., and Lo, R. C. H. (2017). *OpenGL – Build high performance graphics*. Packt Publishing Ltd, 1 edition.

- [Prusinkiewicz, 1986] Prusinkiewicz, P. (1986). Graphical applications of l-systems. In *Proceedings of graphics interface*, volume 86, pages 247–253.
- [Prusinkiewicz and Hanan, 1989] Prusinkiewicz, P. and Hanan, J. (1989). *Other applications of L-systems*. Springer New York, New York, NY.
- [Prusinkiewicz and Hanan, 1990] Prusinkiewicz, P. and Hanan, J. (1990). Visualization of botanical structures and processes using parametric l-systems. In *Scientific visualization and graphics simulation*, pages 183–201. John Wiley & Sons, Inc.
- [Prusinkiewicz and Hanan, 2013] Prusinkiewicz, P. and Hanan, J. (2013). *Lindenmayer systems, fractals, and plants*, volume 79. Springer Science & Business Media.
- [Prusinkiewicz and Lindenmayer, 2012] Prusinkiewicz, P. and Lindenmayer, A. (2012). *The algorithmic beauty of plants*. Springer Science & Business Media.
- [Sellers et al., 2013] Sellers, G., Wright Jr, R. S., and Haemel, N. (2013). *OpenGL superBible: comprehensive tutorial and reference*. Addison-Wesley.
- [Smith, 1984] Smith, A. R. (1984). Plants, fractals, and formal languages. *ACM SIGGRAPH Computer Graphics*, 18(3):1–10.
- [Stroustrup, 2000] Stroustrup, B. (2000). *The C++ programming language*. Pearson Education India.
- [Torvalds, ] Torvalds, L. Git documentation. <https://git-scm.com/doc>.
- [Vaario et al., 1991] Vaario, J., Ohsuga, S., and Hori, K. (1991). Connectionist modeling using lindenmayer systems. In *Information Modeling and Knowledge Bases: Foundations, Theory, and Applications*. Citeseer.
- [Wilhelm and Seidl, 2010] Wilhelm, R. and Seidl, H. (2010). *Compiler design: virtual machines*. Springer Science & Business Media.
- [Worth and Stepney, 2005] Worth, P. and Stepney, S. (2005). Growing music: musical interpretations of l-systems. In *Workshops on Applications of Evolutionary Computation*, pages 545–550. Springer.
- [Yokomori, 1980] Yokomori, T. (1980). Stochastic characterizations of eol languages. *Information and Control*, 45(1):26–33.