

COMP108

Data Structures and Algorithms

Trees (Part I)

Professor Prudence Wong

pwong@liverpool.ac.uk

2022-23

Outline

Trees

- ▶ Basic terminologies
- ▶ Binary trees and traversals

Learning outcome:

- ▶ Be able to tell what a tree is
- ▶ Be able to describe different algorithms to traverse a binary tree

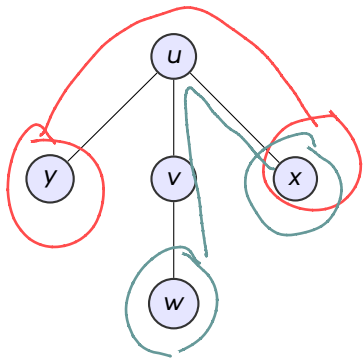
Tree

- ▶ Linked list is data structure where elements are arranged in a linear manner
- ▶ What if there are branches?
- ▶ Linked list is a special type of tree

Tree

Definition

A tree $T = (V, E)$ consists of a set of vertices V and a set of edges E such that for any pair of vertices $u, v \in V$, there is exactly one path between u and v .

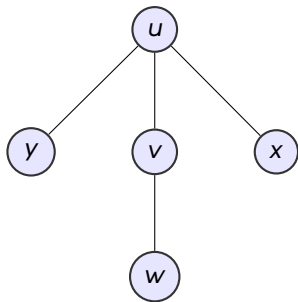


A tree

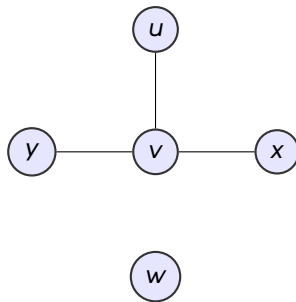
Tree

Definition

A tree $T = (V, E)$ consists of a set of vertices V and a set of edges E such that for any pair of vertices $u, v \in V$, there is exactly one path between u and v .



A tree



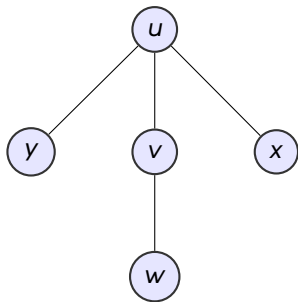
Not a tree

Tree

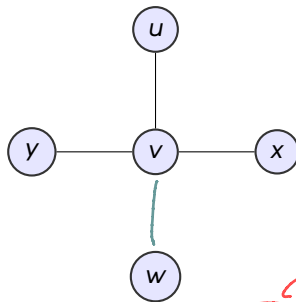
a tree is that there is no cycle (WRONG)

Definition

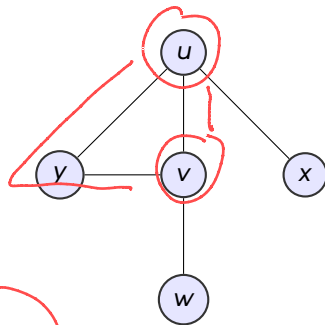
A tree $T = (V, E)$ consists of a set of vertices V and a set of edges E such that for any pair of vertices $u, v \in V$, there is exactly one path between u and v .



A tree



Not a tree



Not a tree

Tree

Equivalent statements

1. There is exactly one path between any two vertices in T
2. T is connected (there is at least one path between any two vertices in T) and there is no cycle (acyclic) in T
3. T is connected and removal of one edge disconnects T
4. T is acyclic and adding one edge creates a cycle
5. T is connected and $m = n - 1$ (where $n \equiv |V|$, $m \equiv |E|$)

Tree

Equivalent statements

1. There is exactly one path between any two vertices in T
2. T is connected (there is at least one path between any two vertices in T) and there is no cycle (acyclic) in T

connected: at least one path; no cycle: at most one path

3. T is connected and removal of one edge disconnects T
4. T is acyclic and adding one edge creates a cycle
5. T is connected and $m = n - 1$ (where $n \equiv |V|$, $m \equiv |E|$)

Tree

Equivalent statements

1. There is exactly one path between any two vertices in T
2. T is connected (there is at least one path between any two vertices in T) and there is no cycle (acyclic) in T

connected: at least one path; no cycle: at most one path

3. T is connected and removal of one edge disconnects T

removal of an edge (u, v) disconnects at least u & v due to (2)

4. T is acyclic and adding one edge creates a cycle

5. T is connected and $m = n - 1$ (where $n \equiv |V|$, $m \equiv |E|$)

Tree

Equivalent statements

1. There is exactly one path between any two vertices in T
2. T is connected (there is at least one path between any two vertices in T) and there is no cycle (acyclic) in T

connected: at least one path; no cycle: at most one path

3. T is connected and removal of one edge disconnects T

removal of an edge (u, v) disconnects at least u & v due to (2)

4. T is acyclic and adding one edge creates a cycle

adding (u, v) creates one more path between u & $v \implies$ a cycle

5. T is connected and $m = n - 1$ (where $n \equiv |V|$, $m \equiv |E|$)

Tree

Equivalent statements

1. There is exactly one path between any two vertices in T
2. T is connected (there is at least one path between any two vertices in T) and there is no cycle (acyclic) in T

connected: at least one path; no cycle: at most one path

3. T is connected and removal of one edge disconnects T

removal of an edge (u, v) disconnects at least u & v due to (2)

4. T is acyclic and adding one edge creates a cycle

adding (u, v) creates one more path between u & $v \implies$ a cycle

5. T is connected and $m = n - 1$ (where $n \equiv |V|$, $m \equiv |E|$)

proof by induction

Tree

Lemma

$P(n)$: If a tree T has n vertices and m edges, then $m = n - 1$.

Proof.

By induction on the number of vertices.

Base case: A tree with single vertex does not have an edge.

Induction step: $P(n-1) \implies P(n)$ for $n > 1$?

$$m=0, n=1 \implies m=n-1$$

hypothesis:

$$m_1 = n_1 - 1$$

$$m_2 = n_2 - 1$$

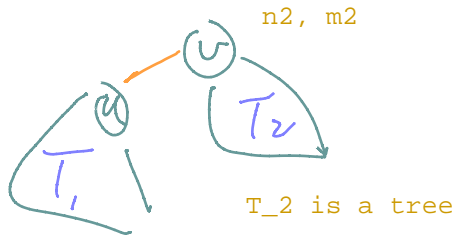
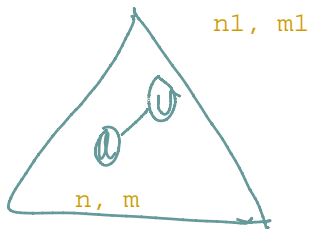
$$n = n_1 + n_2$$

$$m = m_1 + m_2 + 1$$

$$m = m_1 + m_2 + 1$$

$$= (n_1 - 1) + (n_2 - 1) + 1$$

$$= n_1 + n_2 - 2 + 1 = n_1 + n_2 - 1 = n - 1$$



T_1 is a tree

T_2 is a tree

Tree

Lemma

$P(n)$: If a tree T has n vertices and m edges, then $m = n - 1$.

Proof.

By induction on the number of vertices.

Base case: A tree with single vertex does not have an edge.

Induction step: $P(n - 1) \implies P(n)$ for $n > 1$?

- Remove an edge from the tree T . By (3), T becomes disconnected. Two connected components T_1 and T_2 are obtained, neither contains a cycle (otherwise the cycle is also present in T).

Tree

Lemma

$P(n)$: If a tree T has n vertices and m edges, then $m = n - 1$.

Proof.

By induction on the number of vertices.

Base case: A tree with single vertex does not have an edge.

Induction step: $P(n - 1) \implies P(n)$ for $n > 1$?

- ▶ Remove an edge from the tree T . By (3), T becomes disconnected. Two connected components T_1 and T_2 are obtained, neither contains a cycle (otherwise the cycle is also present in T).
- ▶ Therefore, both T_1 and T_2 are trees.

Let n_1 and n_2 be the number of vertices in T_1 and T_2 .

$$\implies n_1 + n_2 = n$$

Tree

Lemma

$P(n)$: If a tree T has n vertices and m edges, then $m = n - 1$.

Proof.

By induction on the number of vertices.

Base case: A tree with single vertex does not have an edge.

Induction step: $P(n - 1) \implies P(n)$ for $n > 1$?

- ▶ Remove an edge from the tree T . By (3), T becomes disconnected. Two connected components T_1 and T_2 are obtained, neither contains a cycle (otherwise the cycle is also present in T).

- ▶ Therefore, both T_1 and T_2 are trees.

Let n_1 and n_2 be the number of vertices in T_1 and T_2 .

$$\implies n_1 + n_2 = n$$

- ▶ By the induction hypothesis, T_1 and T_2 contains $n_1 - 1$ and $n_2 - 1$ edges, respectively.

Tree

Lemma

$P(n)$: If a tree T has n vertices and m edges, then $m = n - 1$.

Proof.

By induction on the number of vertices.

Base case: A tree with single vertex does not have an edge.

Induction step: $P(n - 1) \implies P(n)$ for $n > 1$?

- ▶ Remove an edge from the tree T . By (3), T becomes disconnected. Two connected components T_1 and T_2 are obtained, neither contains a cycle (otherwise the cycle is also present in T).

- ▶ Therefore, both T_1 and T_2 are trees.

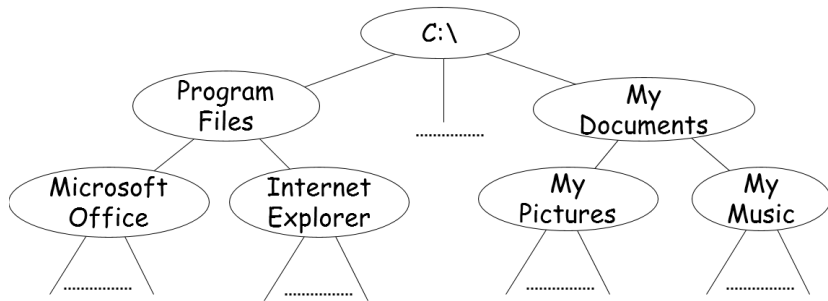
Let n_1 and n_2 be the number of vertices in T_1 and T_2 .

$$\implies n_1 + n_2 = n$$

- ▶ By the induction hypothesis, T_1 and T_2 contains $n_1 - 1$ and $n_2 - 1$ edges, respectively.
- ▶ Hence, T contains $(n_1 - 1) + (n_2 - 1) + 1 = n - 1$ edges.

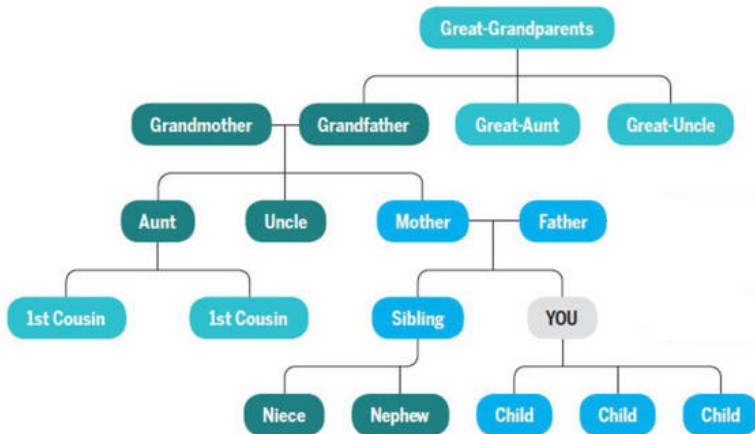
Rooted trees

Tree with hierarchical structure, e.g., folder structure of file system

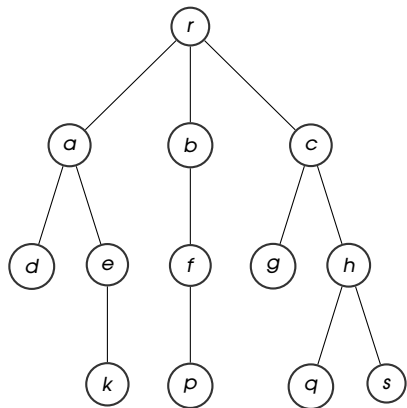


Rooted trees - Family trees

Credit: cdn health

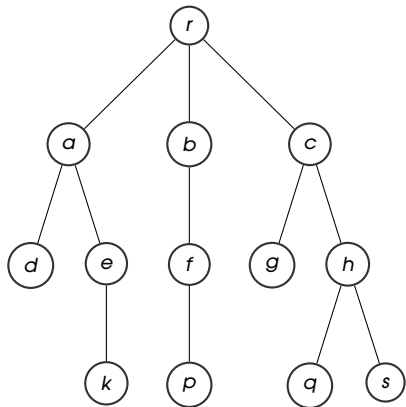


Rooted trees - Terminologies



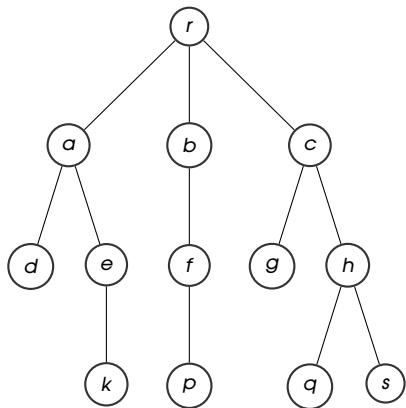
- ▶ Topmost vertex is called the **root**

Rooted trees - Terminologies



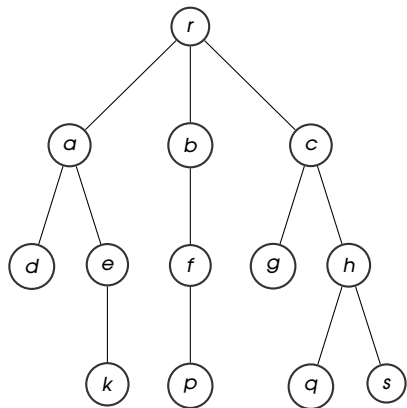
- ▶ Topmost vertex is called the **root**
- ▶ A vertex u may have some **children** below it, u is called the **parent** of its children.

Rooted trees - Terminologies



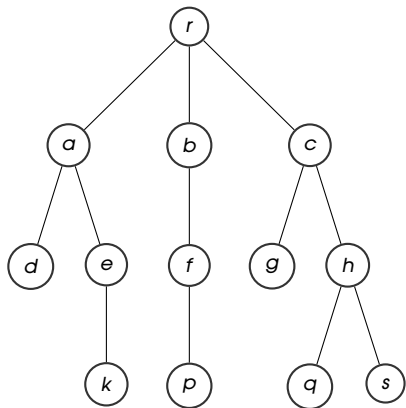
- ▶ Topmost vertex is called the **root**
- ▶ A vertex u may have some **children** below it, u is called the **parent** of its children.
- ▶ **Degree of a vertex** is the no. of children it has
- ▶ **Degree of a tree** is the max degree of all vertices

Rooted trees - Terminologies



- ▶ Topmost vertex is called the **root**
- ▶ A vertex u may have some **children** below it, u is called the **parent** of its children.
- ▶ **Degree of a vertex** is the no. of children it has
- ▶ **Degree of a tree** is the max degree of all vertices
- ▶ A vertex with no child (degree-0) is called a **leaf**
- ▶ Vertices other than leaves/root are called **internal vertices**

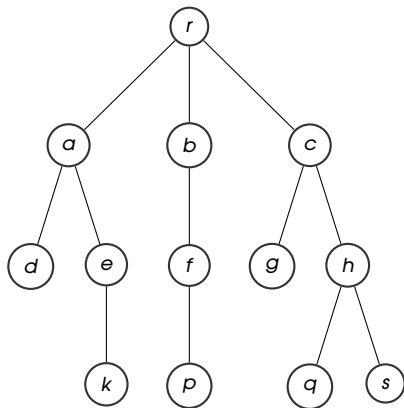
Rooted trees - Terminologies



r is **parent** of **children** a, b, c

- ▶ Topmost vertex is called the **root**
- ▶ A vertex u may have some **children** below it, u is called the **parent** of its children.
- ▶ **Degree of a vertex** is the no. of children it has
- ▶ **Degree of a tree** is the max degree of all vertices
- ▶ A vertex with no child (degree-0) is called a **leaf**
- ▶ Vertices other than leaves/root are called **internal vertices**

Rooted trees - Terminologies



r is **parent** of **children** a, b, c

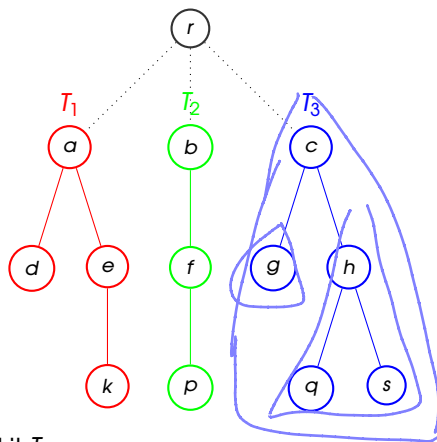
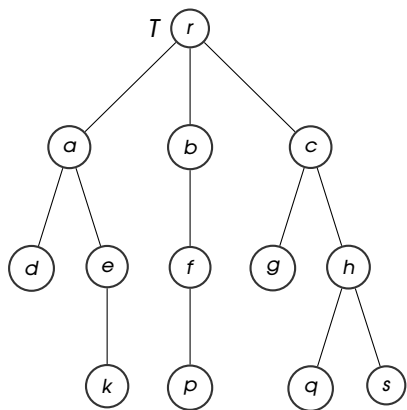
- ▶ Topmost vertex is called the **root**
- ▶ A vertex u may have some **children** below it, u is called the **parent** of its children.
- ▶ **Degree of a vertex** is the no. of children it has
- ▶ **Degree of a tree** is the max degree of all vertices
- ▶ A vertex with no child (degree-0) is called a **leaf**
- ▶ Vertices other than leaves/root are called **internal vertices**

deg-0: d, k, p, g, q, s (leaves)

deg-1: b, e, f ; **deg-2:** a, c, h

deg-3: r

Rooted trees - More terminologies



- ▶ the vertices rooted at a form a **subtree**, called it T_1
- ▶ similarly, subtree T_2 is rooted at b , subtree T_3 is rooted at c
- ▶ we say that the tree T has three subtrees T_1 , T_2 and T_3

Summary

Summary: Trees - basic terminologies

Next: Binary trees

For note taking

