

# COMP15111 Notes

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Note, extra space has been allocated for the right hand margin to allow for more extensive margin notes. Also, it gives you space to make your own annotations and perhaps try some problems of your own.

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# 1 Lecture 1: Introduction

## 1.1 A Computational Model

The simplest, earliest, commonest, most important computational model is the **Von-Neumann Imperative Procedural Computer Model**

According to this model, a computer can:

1. Store information
2. Manipulate the stored information
3. Make decisions depending on the stored information

## 1.2 Simple View Of A Computer

The simplest model of a computer can be represented as:

$$Memory \Leftrightarrow Bus \Leftrightarrow Processor$$

### 1.2.1 Memory

Memory is a set of locations which can hold information, such as numbers(or programs). Each memory location has a unique (numerical) address, and there are typically thousands of millions of different locations. There are various ways of depicting memory; a common one is a 'hex dump' that often looks something like this:

Address	Values (8 bit numbers)	Characters
00000000	48 65 6c 6c 6f 0a	Hello.

Run the command *hexdump* to generate hexdumps.

Each item that is in the memory has a unique address.

### 1.2.2 Bus

A bus is a bidirectional communication path. It is able to transmit addresses and numbers between components inside the computer.

### 1.2.3 Processor

The processor obeys a sequence of instructions, commonly referred to as a program. Historically the processor was often referred to as a CPU, however, this is inappropriate nowadays since typical processors consist of several processing cores.

## 1.3 Three-address instructions

Every kind of processor has a different set of instructions, real world examples include: Pentium, ARM and others

Each three-address instruction:

1. Copies the values from any two memory locations and sends them to the processor (source operands)
2. Copies some operation e.g. adds the copied numbers together
3. Copies the result back from the processor into a third memory location (destination operand)

For example, if we wanted to convert the Java code  $sum = a + b;$  into a three-address instruction we would:

1. Identify the two *source operands*:  $a$  holds 2,  $b$  holds 3
2. Perform the *operation*:  $2 + 3 = 5$
3. Let the variable  $sum$  equal the answer 5. This is the *destination operand*

### 1.3.1 Three address example

**Question:** Convert the Java code  $product = c * d;$  into the three-address style and draw a two box view of it.

First we need to re-write the Java code in the three-address style:

$$product \leftarrow c * d$$

Now we can draw the box view of it:

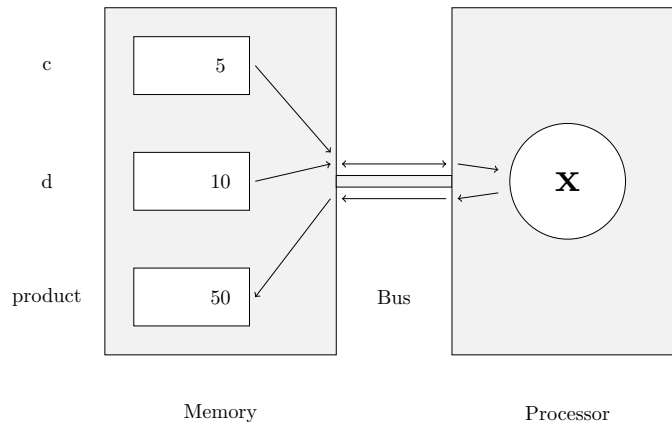


Figure 1: An example of the two box model

### 1.3.2 Memory bottleneck

Most processors can process instructions faster than they can be fed by memory. Each instruction in the three-address cycle requires four memory cycles:

1. Fetch the instruction
2. Read the first operand
3. Read the second operand
4. Write the result to memory

Each of these memory cycles could take hundreds of processor clock cycles to complete, and so in this time the processor would be doing nothing. However, most modern processors employ a *cache* to temporarily store commonly accessed memory locations, and so avoid some of the memory cycles.

## 1.4 Registers

Registers are very small amounts of storage build into a processor. Since they are inside the processor data doesn't need to be transferred over the bus, and so they are very fast. Registers are used instead of the main memory which speeds up program execution.

Each register can only hold one value and each processor will only generally have a few dozen registers (e.g. ARM has sixteen).

## 1.5 Instruction Styles

### 1.5.1 One address

The one address style can only use up to one memory location in each instruction, all other operands must be registers. An example may be:

$$R1 \leftarrow R0 + \textit{memory location}$$

### 1.5.2 Load-store

The load-store style cannot perform operations on memory locations at all. Instead, values from memory must be loaded into a registers before the operation takes place and then the operation can be performed on the registers. Following the operation, the result must be stored back into memory again.

$$\begin{aligned} R1 &\leftarrow \textit{memory location} \\ R1 &\leftarrow R0 + R1 \\ \textit{memory location} &\leftarrow R1 \end{aligned}$$

This means that we need extra instructions to do stuff with memory locations:

1. **Load** the value from memory into a register before the operation.
2. **Store** the value in the register back to memory after the operation.

For example, the Java code  $\textit{Sum} = a + b + c;$  would be run as:

$$\begin{array}{llll} R1 & \leftarrow & a & \text{(i.e. load from a)} \\ R2 & \leftarrow & b & \text{(i.e. load from b)} \\ R3 & \leftarrow & R1 + R2 & \text{(i.e. a+b)} \\ R4 & \leftarrow & c & \text{(i.e. load from c)} \\ R5 & \leftarrow & R3 + R4 & \text{(i.e. (a+b)+c)} \\ \textit{Sum} & \rightarrow & R5 & \text{(i.e. store to sum)} \end{array}$$

You can see that the load-store style favours lots of very simple, very fast instructions.

## 2 Lecture 2

Computers obey programs which are sequences of instructions. Instructions are coded as values in memory. The sequences are held in memory adjacent memory locations. Values in memory can be interpreted as you please, from numbers to text, to images or anything really!

Any given set of binary digits can be read as a decimal number, but not always as text, so values in memory are often represented as numbers for convenience.

### 2.1 Assembly Language

Assembly language is a means of representing machine instructions in a human readable form.

Each type of processor has its own assembly language (since each language is specific to a partial architecture) but they typically have a lot in common:

- A mnemonic, that specifies the type of operation
- A destination, such as a register or memory location
- And one or more sources that may be registers or memory locations.
- Possibly with a comment too which will help programmers understand what's happening and aren't interpreted by the assembler.

When a program has been written in assembler, it must be *assembled* by an *assembler* to run it.

### 2.2 ARM instructions

ARM has many instructions but we only need three categories:

- Memory operations that move data between the memory and the registers.
- Processing operations that perform calculations using value already in registers.
- Control flow instructions are used to make decisions, repeat operations etc.

### 2.3 Transferring data between registers and memory

Memory operations load a register from the memory or store a register value to the memory.

For example,  $a$  into register 1 ( $R1 \leftarrow a$ ) we would write: `LDR R1, a`

Or to store the value in register 5 into  $sum$  ( $sum \leftarrow R5$ ): `STR R5, sum`

In these examples,  $a$  and  $sum$  are aliases for the addresses of memory locations.

### 2.4 ARM processing instructions

ARM has many different instructions to perform operations such as addition, subtraction and multiplication.

The syntax for such operations is usually:

```
1          [operand]          [destination register] [register 1]    [register 2]
```

For example, to add two numbers together, we might write:

```
1          ADD      R2, R0, R1
```

This will add the value of R0 to the value of R1 and store it in R2.

## 2.5 ARM control instructions

The most common control instruction is the branch. Similar to `GOTO` in other languages, a branch will change the PC register (see section 2.6) to another value so the order of execution of the program is changed.

Branches can be made to be conditional by appending a conditional operator (coming up later) on to the command.

The syntax is something like:

```
1          B[conditional operator] [branch name]
```

Some examples of different conditional operators are:

Command	Function
B	Branches to a different location in the code.
BNE	Branches, but only if the previous condition was false.
BEQ	Branches, but only if the previous condition was true.

## 2.6 Stored programs and the Program Counter

A computer can make decisions, and choose which instructions to obey next depending upon the results of those decisions. A **Program Counter** (PC) register is used to hold the memory address of the next instruction to be executed. ARM uses register 15 as its PC.

## 2.7 Fetch-Execute Cycle

The processor must first fetch instructions from memory before it can execute them. This is called the fetch-execute cycle, and it involves:

1. **Fetch**: copy the instruction, pointed to by the PC, from memory and set PC to point to the next instruction
2. **Execute**: obey the instruction (exactly as before)
3. Repeat.

In ARM, the PC starts with a value of `0x00000000` when the program is initially run. On each cycle of the Fetch-Execute cycle, the PC is incremented by 4, since instructions each occupy 4 memory locations.

## 2.8 Decision Making

In order to make decisions, the computer mustn't just execute instructions one after the other in a linear manner. Instead, branches must be used to change the sequence of instructions to be executed.

In order to perform a conditional branch, we must first perform a compare command to perform the comparison before we do the branch.

### 2.8.1 An example

If we wanted to do a 1 discount on a shopping list if the price was over 20, we would do the following:

```
1          LDR      R0, total      ; Load the total price into R0
2
3          CMP      R0, #20        ; Compare R0 and 20 (the literal)
4          BLT      nodiscount     ; If the price is too low, then don't discount
5          SUB      R0, #1         ; Deduct 1
6          STR      R0, total      ; Store the result back into memory
7
8  nodiscount  SVC      2          ; Finish
9
10 total      DEFW    25          ; Lets say the total is \$25
```

## 2.9 Allocating memory

The DEFW (define word) operation puts a value in memory before the program is run. Any define operation is executed before the program is run.

The actual memory location that is used to store the value isn't known to the running program, however, an *alias* is attached to the memory location by the programmer and the memory location can be referenced through that.

The syntax for the DEFW command is as follows:

```
1  myage  DEFW    18
```

Where *myage* is the alias and 18 is the value.

DEFW can also be used to define a number of words:

```
1  squares DEFW    0, 1, 4, 9, 16, 25
```

DEFB stores a single byte in memory. It is useful for strings such as "hello":

```
1  hi      DEFB    "hello"
```

DEFS sets a block of bytes to a set value:

```
1  reserved_space  DEFS    10, 5
```

The above will set 10 bytes to the value '5'.

The label is associated with the lowest address (i.e. 0)



### 3 Lecture 3: Storing values

### 4 Lecture 4: ARM assembly programming

#### 4.1 Different types of values

ARM has the capacity to work with many different types and sizes of values. Each type has a different use case. The main ones are described below:

Name	length	Use
Byte	8 bits	Used for characters
Word	32 bits	Used for integer es, addresses and instructions

There are other types too (such as the halfword and doubleword) but they aren't needed for this module.

ARM processors require that memory locations are aligned. This means that values stored in memory start at specific places. For example, a word address must be a multiple of four.

This means that after a `DEFW` statement, the `ALIGN` command must be called (See 4.10.2 for more on the `ALIGN` command.).

#### 4.2 Loading and storing values in memory

The commands `LDR` and `STR` are used to move values between memory and registers. The commands are detailed in full below:

Command	Function
<code>STR</code>	Copies the whole (32 bit) register into memory.
<code>LDR</code>	Loads a 32 bit word from memory into a register.
<code>STRB</code>	Stores a single 8 bit byte into memory from a register.
<code>LDRB</code>	Loads a byte from memory into a register. The upper 24 bits of the register are zeroed.

#### 4.3 Endianness

Endianness is a property of a memory location that defines the order of the bits. There are two types of endianness, **little endian** and **big endian**.

In the word `0x12345678` there are four bytes:

- `0x12`
- `0x34`
- `0x56`
- `0x78`

In little endian, the first byte would be `0x12` since bits are read from left to right in little endian.

In big endian, the first byte would be `0x78` since bits are read from right to left in big endian.

This is important when we decide what the most and least significant bits in a word are. For example, in this instance the *lsb* is 0x12 in little endian, but 0x78 in big endian.

N.b. The least significant bit is the smallest address.

In this course, little endian is used, though ARM can use either.

## 4.4 Addressing memory

ARM uses 32 bit addresses, so there are  $2^{32}$  different bytes that can be addressed in memory (or  $\frac{2^{32}}{4}$  different words). However, there is no guarantee that the system on which the program is running will have that much memory available.

## 4.5 Instruction encoding

Each ARM instruction is encoded into a four byte word. The exact meaning of each of the bits varies per instruction.

For example, in the branch instruction, the first four bits specify the condition, the second four bits represent the actual operation to perform (i.e. branch) and the remaining twenty four bits define the memory location of the next instruction to branch to.

However, this presents a problem. We only have twenty four bits with which to define the next location to branch to, which allows us to define  $2^{24}$  different locations. However, there are  $2^{32}$  possible addresses that we could use!

This problem is overcome by treating the 24 bits as an offset to the address of the current instruction. This works since most of the time, the address that is being branched to is fairly close to the current instruction.

In order to be able to branch to addresses before and after the current instruction, we must use two's complement to allow signed integers to be used to specify the offset. This means we can branch to any instruction at an address  $\pm 2^{23}$  from the current instruction.

## 4.6 Literals

ARM is able to encode literal values into instructions. This saves time having to access registers or memory in order to perform operations such as arithmetic.

An example is to increment a register:

```
1      ADD    R1, R1, #1
```

However, ARM only assigns up to 12 bits for a literal value, so we can only have  $2^{12}$  values. However, ARM employs a strange method of encoding these values so that more useful values are available (for example, #512 is allowed, but #257 isn't).

### 4.6.1 Negative literals

Technically, ARM doesn't support negative literals, however, the assembler will usually be able to find a way to implement them. Some examples are given below:

```
ADD R1, #-1  →  SUB R1, #1
CMP R2, #-2  →  CMN R2, #2
MOV R3, #-3  →  MVN R3, #3
```

CMN is compare negative.  
MVN is move not.

## 4.7 Supervisor calls

Supervisor calls are functions implemented by the operating system, not ARM itself. The parameter of an SVC call defines its exact operation.

SWI is another name for SVC - they do the same thing.

In this module, the SVC call does the following for each parameter:

SVC 0	Output a character
SVC 1	Input a character
SVC 2	Stop execution
SVC 3	Output a string
SVC 4	Output an integer

## 4.8 Pseudo instructions

The ARM assembler provides some instructions that are translated into sequences of more complicated instructions at the time of assembly for our convenience.

One such instruction is loading a literal into a register. This is done using the **LDR** command as usual, however a literal is used with the '=' character instead of a '#'. E.g. to load the value 100 into register one, we do:

```
1          LDR      R1,      =100
```

However, this is a pseudo instruction and will be converted by the assembler to:

```
1          MOV      R1,      #100
```

However, if the number is very large, it becomes:

```
1  constant      DEFB      100
2                LDR      R1, constant
```

## 4.9 Loading an address into a register

The **ADR** command loads an address into a register, for example:

```
1  constant      DEFB      100
2                ADR      R1, constant
```

Will load the memory address of **constant** into register one.

## 4.10 Directives

Directives are evaluated at the time of assembly.

### 4.10.1 DEF commands

The **DEF{W,B,S}** command reserves an amount of memory dependent on the operation used (see the table) and puts an initial value in it.

Command	Function
DEFW <b>num</b>	Reserves a <i>word</i> of memory and puts the initial value <b>num</b> in it.
DEFB <b>value</b>	Reserves <i>byte(s)</i> of memory and puts the initial value <b>value</b> in it. Note that the value can be a string literal, in which case the number of bytes reserved will be equal to the length of the string.
DEFS <b>size, fill</b>	Reserves a <i>block</i> of memory of <b>size</b> bytes and initialises them with the value <b>fill</b> .

#### 4.10.2 Align

The align command leaves as many blank bytes as needed so that the next item in memory will start at a word boundary (a multiple of 4).

#### 4.10.3 Entry

Sets the PC at the start of the program (i.e. where the program should start from)

#### 4.10.4 EQU

Allows you to name a literal, which can go a long way to making the code more maintainable. We could define the literal 18 as *drinking\_age*:

```

1  drinking_age    EQU    #18
2                  ; Check the person is over 18
3                  CMP     R1, #drinking_age
4                  BLT     too_young

```

## 5 Lecture 5: Arithmetic

### 5.1 Making good use of registers

Registers are a precious resource when programming on ARM. When writing software to evaluate expressions, it's often tempting to load all the variables into registers first, and then perform the arithmetic in separate registers like so:

```

1          ; a = b + c + d
2      LDR    R1, b
3      LDR    R2, c
4      LDR    R3, d
5      ADD    R4, R1, R2
6      ADD    R5, R4, R3
7      STR    R5, a

```

However, this is pointless - the values in the registers R4 and R5 aren't going to be needed again, so we may as well do:

```

1  ; a = b + c + d
2  LDR    R1, b
3  LDR    R2, c
4  LDR    R3, d

```

```

5  ADD    R1, R1, R2
6  ADD    R1, R1, R3
7  STR    R1, a

```

But, we can optimise even further here. Instead of loading all the variables into registers before we do arithmetic, we can save a register and load only the ones we need before each ADD instruction:

```

1  ; a = b + c + d
2  LDR    R1, b
3  LDR    R2, c
4  ADD    R1, R1, R2
5  LDR    R2, d
6  ADD    R1, R1, R2
7  STR    R1, a

```

Sometimes, it's useful to re-order an arithmetic expression so it can be implemented using less registers. This can often be achieved by increasing the nesting of brackets in an expression such as this:

$$(b - e) + (c * d) = ((c * d) + b - e)$$

Though these expressions are both equal, the right hand side will use a register less in ARM code, since each instruction can be executed sequentially, however the left hand side requires two expressions to be evaluated (and stored in a total of three registers) and then both expressions added together.

## 5.2 Using literals in expressions

If there is a literal in the expression you want to evaluate, then it's possible to use a literal instead of a register. For example, these two programs will end up with the same answer in R0 but the one on the right uses less registers:

1	;	(a + 5) * b	1	;	(a + 5) * b
2	LDR	R0, 5	2	LDR	R0, a
3	LDR	R1, a	3	ADD	R0, R0, #5
4	ADD	R0, R0, R1	4	LDR	R1, b
5	LDR	R1, b	5	MUL	R0, R0, R1
6	MUL	R0, R0, R1	6		
7			7		
8	five	DEFW 5	8		
9			9		

Literals can be any expression that the assembler can evaluate, for example, the following are all valid:

```

ADD R0, R0, #(1 + 2)
ADD R0, R0, #-2
ADD R0, R0, #(2 - 1)

```

Note that MUL cannot use literals. To get around this, first MOV the literal into a register and then multiply.

## 5.3 Status flags

ARM has some 1-bit status flags that are set after a `CMP` instruction as shown below:

Flag	Meaning
Negative	Previous result was negative
Zero	Previous result was zero
Carry	Previous add or subtract generated a carry
Overflow	The previous add or subtract overflowed and went out of range

You can get any data operation to alter the flags by appending `S` to the instruction. For example:

```
SUBS R0, R1, R2
```

The above command will subtract `R2` from `R1` and store the result in `R0`, but it will also set the flags according to the value of `R0`. For example, if the value in `R0` was negative after the operation, the **Negative** flag would be set.

## 5.4 Other useful arithmetic commands

The `RSB` command is reverse subtract, and is useful for negating a literal:

```
RSB R1, R0, #0; R1 = 0 - R0 = -R0
```

The `MLA` command is multiply and add. It can only use registers as operands.

```
MLA R1, R2, R3, R4 ; R1 = (R2 * R3) + R4
```

# 6 Lecture 6: If and While

## 6.1 If statements in ARM

Given an if statement written in Java such as this:

```
1  if(condition)
2  {
3      actionStatement;
4  }
```

How would we convert that into ARM assembly? The best way is to do the following:

1. Check to see if the condition is false
2. If it is, then branch to the end of the if statement
3. Otherwise, perform the action statement

An example implementation may be:

```
1      CMP    R1, R2          ; Compare two registers
2      BGE    endif          ; The condition
3      MOV    R1, #0         ; Whatever the action statement may be
4  endif
```

Note that it is often easier to implement the inverse of some comparisons. For example, if we were evaluating ( $a \geq b$ ) we could do either of these:

- 1. Check whether  $a$  is greater than  $b$
- 2. Check whether  $a$  is equal to  $b$
- 3. Work out how to branch away if both are false
- 1. Inverse the comparison and check if  $a$  is less than  $b$
- 2. Branch away if it is

## 6.2 If else statements

If else statements are much like if statements, except that if the condition is false then you branch to the else action, and if the condition is true, then you branch to after the else statement once you've executed the action. Here's an example:

Lets implement `if(a==b) a += 1; else b += 1; :`

```

1      LDR    R0, a
2      LDR    R1, b
3      CMP    R0, R1    ; Compare
4      BNE    else      ;If they aren't equal
5      ADD    R0, R0, #1
6      B      end
7  else  ADD    R1, R1, #1
8  end

```

## 6.3 While statements

Implementing a while statement in ARM assembler is very similar to implementing an if statement, except after you have executed the action statement, you branch back to the initial condition again, like so:

```

1  start  CMP    R1, R2          ; Compare two registers
2          BGE    endif          ; The condition
3          ADD    R1, R1, #1      ; Whatever the action statement may be
4          B      start          ; Branch back to the start
5  endif

```

Note that if the code in your while loop is being executed repeatedly, then it's probably worth optimising it!

In ARM, we can make *any* instruction have a conditional code on it. Consequently, we can get rid of a branch instruction, like so:

```

1  start  CMP    R1, R2          ; Compare two registers
2          ADDLT   R1, R1, #1      ; Add only if the flag is less than
3          BLT     start          ; Branch back to the start
4  endif

```

You can compare the result of an arithmetic instruction with zero by appending *S* to the instruction like so:

```

1  start  ADDS    R0, #1
2          BNE    start
3  endif

```

This will keep adding 1 to R0 until it reaches 0. This is often useful when translating for loops that count up to a number. Instead of counting up, you can count down using the **SUBS** instruction and only iterate if the result isn't zero.

## 7 Lecture 7: Addresses and Addressing

When a processor references memory it needs to produce an address.

The address needs the same number of bits as the memory address. i.e. 32 in ARM  
addressing modes - mechanisms for generating addresses

### 7.1 Direct Addressing

Direct addressing is a mode where the address is simply contained within the instruction.

This requires an instruction longer than the address size which is a problem because ARMs maximum bit length is 32.

So far, we assumed that direct addressing uses LDR/STR instructions, for example:

```
LDR  R0,  b
LDR  R1,  c
ADD  R0,  R0, R2
STR  R0,  a
```

This looks like direct addressing but on ARM it's 'faked' by the assembler as a pseudo-instruction

#### 7.1.1 Problems with direct addressing

ARM: both instructions and addresses are 32 bits, but the instruction also specifies operation so it can't contain every possible address.

Solution: allow a register to contain an address, use the address in the register to do loads and stores.

This is **Register Indirect Addressing**

### 7.2 Register Indirect Addressing

The address is held in the register

It takes only a few bits to select a register (4 bits in the case of ARM R0-R15)

A register can (typically) hold an arbitrary address (32 bits in the case of ARM)

ARM has register indirect addressing

**Example** loading a register from a memory location: LDR R0, b

Could be done using register indirect addressing:

```
ADR  R2,  b      move the address of b into R2
LDR  R0,  [R2]   use address in R2 to fetch the value of b
```



This is still a bit limited - addresses are:

- range limited (within ADR 'instruction')
- fixed

but:

- ADRL pseudo-op allows larger range (at a price)
- having addresses a variable once it is often used again
- variable are usually 'near' each other

### 7.2.1 Address Arithmetic

We can operate on registers, so we can:

- store/load/move addresses
- do arithmetic to calculate addresses

Rather than use e.g. extra ADD instructions, we often use **Base + Offset Addressing** - address addition done within the operand.

We have actually been using this all along: Base = PC register.

## 7.3 Offset Addressing

In offset addressing the address is calculated from a register value and a number.

The register specifier is just a few bits, The offset can be 'fairly small'.

With one register 'pointer' any of several variables in nearby addresses may be addressed.

ARM allows offsets of 12 bits in LDR/STR

These bits can be added or subtracted, for example:

```
LDR    R0, [R1, #8]
STR    R3, [R6, #-0x240]
LDR    R7, [R2, #short-constant]
```

This provides a range of  $\pm 4$  kilobytes around a 'base' register

In practice this method is adequate for most purposes.

## 8 Lecture 8: Case study

## 9 Lecture 9: Stacks

## 10 Lecture 10: Methods, parameters, variables and stacks