Efficient Renaming in Sequence CRDTs

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Abstract

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- 1 Introduction
- 2 Background
- 2.1 LogootSplit
- 2.2 Limits
- 3 Overview
- 3.1 System Model
- 3.2 Proposed approach
 - Propose a new Conflict-free Replicated Data Type (CRDT): *RenamableLogootSplit*
 - Combines LogootSplit with a renaming mechanism
 - Purposes of this mechanism are to reassing shorter identifiers to elements and to aggregate them into fewer blocks in a fully distributed manner
 - Describe this new CRDT's behavior in centralised settings first in section 4, for the sake of simplicity
 - Describe its behavior in distributed settings in section 5

4 RenamableLogootSplit in centralised settings

- 4.1 rename operation
- 4.2 Dealing with concurrent simple updates

5 RenamableLogootSplit in distributed settings

- May encounter concurrent *rename* operations in this setting
- The *rename* operation is not commutative with itself
- Deal with a conflict in case of concurrent *rename* operations
- No user intention attached to *rename* operations, operations behind the scene
- Can actually solve the conflict by arbitrary deciding with which operation to continue
 - Call the operation with which nodes continue the winning one TODO: trouver un meilleur terme, "primary"? Matthieu
 - Call the others the losing ones TODO: trouver un meilleur terme, "secondary"? Matthieu

5.1 Breaking tie between concurrent *rename* operations

- Define *priority* between concurrent *rename* operations to make this decision
- A (total?) order relation between epochs NOTE: le total dépend si on considère qu'on utilise priority seulement pour trancher entre epochs concurrentes ou si on l'utilise aussi pour des epochs causalement liées Matthieu
- May actually choose various strategies to define this relation
- In this work, use lexicographical order as a tiebreaker between conflicting operations
- But new strategies could be designed, for example based on metrics representing the accumulated work embodied by operations. This topic will be further discussed in subsection 7.2

5.2 Reverting rename operations

- Nodes may have applied losing rename operations
- Have to revert the effects of losing operations before applying the winning one to ensure convergence
- Designed a dedicated function : reverseRenameId()
- Its goals are the following:
 - Revert ids to their former value, for ids generated before or concurrently to the applied *rename* operation
 - Generate new values complying with the intended order for ids generated after the applied *rename* operation

5.3 Garbage collection of former states

- Nodes have to store epochs and corresponding *former states* to transform operations from concurrent or previous epochs to the current one
- Epochs and *former states* can thus be garbage collected once they are not needed anymore
- An epoch can be safely garbage collected once
- 1. The given epoch e is a leaf and a concurrent and primary epoch e' is causally stable
- 2. The given epoch e is the root of the epoch tree, has only one child e' and e' is causally stable

Algorithm 1 Rename identifier

function RENAMEID(id, renamedIds, nId, nSeq)

```
▶ id is the identifier to rename
▶ renamedIds is the former state shared by the rename op
▶ nId is node id of the node which issued the rename op
▶ nSeq is node seq of the node which issued the rename op
    length \leftarrow renamedIds.length
   firstId \leftarrow renamedIds[0]
    lastId \leftarrow renamedIds[length - 1]
    pos \leftarrow getPosition(firstId)
   if id < firstId then
        newFirstId \leftarrow new Id(pos, nId, nSeq, 0)
        return renameIdLessThanFirstId(id, firstId, newFirstId)
    else if id \in renameIds then
        index \leftarrow findIndex(id, renamedIds)
        return renameIdFromIndex(pos, nId, nSeq, index)
    else if lastId < id then
        newLastId \leftarrow new Id(pos, nId, nSeq, length - 1)
        return renameIdGreaterThanLastId(id, lastId, newLastId)
    else
        return renameIdFromPredecessorId(id, renamedIds)
    end if
end function
function RENAMEIDFROMPREDECESSORID(id, renamedIds)
    index \leftarrow findIndexOfPred(id, renamedIds)
    predId \leftarrow renamedIds[index]
    newPredId \leftarrow new Id(pos, nId, nSeq, index)
    if predId.length + 1 < id.length then
        prefix \leftarrow concat(predId, MIN\_TUPLE)
        tail \leftarrow getTail(id, prefix.length)
        if isPrefix(prefix, id) and tail < predId then</pre>
            return concat(newPredId, tail)
        end if
    end if
    succId \leftarrow renamedIds[index + 1]
    if succId.length + 1 < id.length then
        offset \leftarrow getOffset(succId) - 1
        predOfSuccId \leftarrow createIdFromBase(succId, offset)
        prefix \leftarrow concat(predOfSuccId, MAX\ TUPLE)
        tail \leftarrow getTail(id, prefix.length)
        if isPrefix(prefix, id) and succId < tail then
            return concat(newPredId, tail)
        end if
    end if
    return concat(newPredId, id)
end function
```

6 Evaluation

6.1 Simulations and benchmarks

6.2 Results

Convergence

- Verified that nodes reach the same final state
- Did not spot any divergence in our results
- While it is an empirical result, not a proof...
- ... it provides some confidence in our algorithms

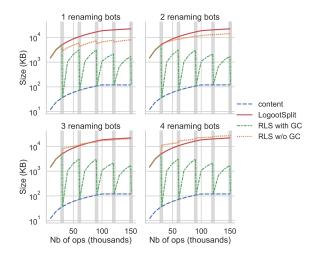
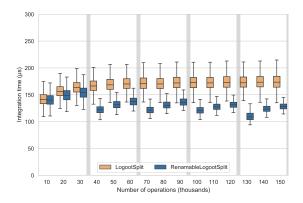


Figure 1. Evolution of the size of the document

Memory overhead

- Display in Figure 1 the evolution of the size of the document throughout its lifetime
- Compare the obtained results according to the number of *renaming bots*, i.e. the number of bots authorized to issue *rename* operations
- For each diagram, present 4 different data
- Blue dashed line represents the size of the content
- Red line represents the size of the LogootSplit document
- Green dashed-dotted line represents RenamableLogootSplit best-case scenario. In this scenario, nodes assume that *rename* operations become causally stable as soon as nodes received them. Nodes are able to garbage collect metadata introduced by the renaming mechanism, such as the *former states*, instantaneously
- Orange dotted line represents RenamableLogootSplit worst-case scenario. In this scenario, nodes assume that *rename* operations never become causally stable. Nodes have thus to store renaming mechanism metadata indefinitely
- Observe that RenamableLogootSplit is able to dispose of its overhead eventually, since overhead is garbage

- collected as *rename* operations become causally stable. And this result is independent of the number of *renaming bots*.
- Observe that RenamableLogootSplit still outperforms LogootSplit in its worst-case scenario while the number *renaming bots* remains low (1 or 2). This result can be explained by the fact that the renaming mechanism enable us to scrap as well the overhead of the data structure used in LogootSplit to represents the sequence.
- But as the number of concurrent *rename* operations increases, the performances of RenamableLogootSplit decreases as the number of *former states* that nodes have to store to transform operations expand
- So a greater number of *renaming bots* may lead to a temporary expanded overhead, but which eventually subsides once causal stability is achieved.
- In subsection 7.1, we discuss that *former states* may be offloaded until causal stability is achieved to address the temporary memory overhead



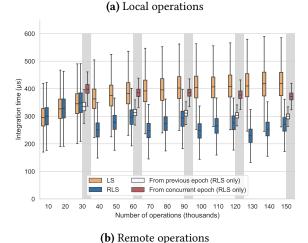


Figure 2. Integration time of standard operations

Integration times of standard operations

- In Figure 2, compare the evolution of integration time of respectively local and remote operations on Logoot-Split and RenamableLogootSplit documents
- Orange boxplots correspond to times on LogootSplit documents while blue ones correspond to times on RenamableLogootSplit documents
- Observe that integration times are faster on Renamable-LogootSplit, as *rename* operations improve the internal representation of the sequence
- In Figure 2b, also measure the integration times of remote operations from previous epochs, displayed in white, and of operations from concurrent epochs, displayed in red
- Observe a negligible overhead for operations from previous epochs compared to remote operations from the same epochs, as nodes have to rename them beforehand. But still outperforms LogootSplit
- Observe an additional overhead for operations from concurrent epochs, as nodes have to reverse the effect of the concurrent epoch first. Achieve performances comparable to LogootSplit ones in this worst-case scenario

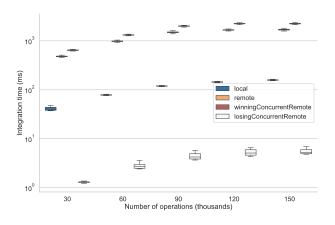


Figure 3. Integration time of rename operations

Integration time of rename operation

- In figure Figure 3, display integration times of the different kinds of *rename* operations
- Main result is that rename operations are generally expensive compared to other operations. Local rename operations, in blue, takes hundred of milliseconds while remote ones, in orange, may reach seconds if delayed for too long. Should design the strategy to trigger rename operations according to this result to prevent a negative impact on the user experience
- Another interesting result is that, while *winning rename* operations are expensive to integrate, *losing* ones are cheap. Can thus significantly reduce computations

by integrating concurrent *rename* operations in correct order. Will discuss this topic in subsection 7.3

7 Discussion

7.1 Offloading on disk unused former states

- *Former states* are only needed to transform operations from previous or concurrent epochs
- May receive these kind of operations in 2 cases : *rename* operations are being issued or nodes (re)joined the collaboration
- Between these events, *former states* won't actually be needed
- Can offload *former states* on disk to reduce the memory overhead until causal stability is achieved, without impacting much performances

7.2 Designing more effective priority relation

- While simple and ensuring convergence, the *priority* relation designed and used in this paper introduces a significant computational overhead in some cases
- For example a single node, disjoined from the collaboration for a long time, may force every other nodes to revert *rename* operations they issued meanwhile because of its own primary *rename* operation
- Should define a *priority* which aims to reduce the global amount of computations of the system, while still ensuring convergence
- To this end, could integrate some metrics representing the work done beforehand in *rename* operations
- And build a new *priority* relation based on these metrics

7.3 Postponing transition to new epoch in case of high concurrency

- Primary remote *rename* operations are expensive to integrate as nodes have to browse and rename their whole current state in the process
- It can introduce a significant computational overhead in some cases
- For example a node may receive concurrent *rename* operations in the reverse order to the one set by the *priority* relation
- The node would then consider each operation as the primary one and rename its state in a successive manner
- On the other hand, secondary remote *rename* operations are cheap to integrate as nodes simply add to their state a reference to the corresponding *former* state
- To reduce the likelihood and the negative impact of the scenario described previously, we can decompose the integration of *rename* operations into two parts in case of concurrency detection

- Nodes first process rename operations as secondary ones. It enables nodes to integrate remote insert and remove operations, even from concurrent epochs, by transforming them
- Then once nodes obtain a given amount of confidence that one *rename* operation is the primary one, proceed to the renaming of their states
- This strategy introduces a slight overhead for each *insert* or *remove* operation received during this period, but reduces the probability of erroneously integrating *rename* operations as primary ones

8 Related work

- 8.1 The core-nebula approach
- 8.2 The LSEQ approach
- 9 Conclusions and future work

A Algorithms

```
Algorithm 2 Remaining functions to rename identifier
```

function RENAMEIDFROMINDEX(pos, nId, nSeq, index)
 return newId(pos, nId, nSeq, index)
end function

```
function RENAMEIDLESSTHANFIRSTID(id, firstId, newFirstId)

offset ← getOffset(firstId) − 1

predOfFirstId ← createIdFromBase(firstId, offset)

predNewFirstId ← createIdFromBase(newFirstId, −1)

prefix ← concat(predOfNewFirstId, MAX_TUPLE)

if isPrefix(prefix, id) then

tail ← getTail(id, prefix.length)

return concat(predNewFirstId, tail)

else if id < newFirstId then

return id

else

return concat(predNewFirstId, id)

end if

end function
```

```
function RENAMEIDGREATERTHANFIRSTID(id, lastId, newLastId)

prefix ← concat(lastId, MIN_TUPLE)

if newLastId < lastId and isPrefix(prefix, id) then

tail ← getTail(id, prefix.length)

return tail

else if newLastId < id then

return id

else

return concat(newLastId, id)

end if

end function
```

References