Efficient renaming in Conflict-free Replicated Data Types (CRDTs)

Case Study of a Sequence CRDT: LogootSplit

Matthieu Nicolas (matthieu.nicolas@inria.fr) COAST team Supervised by Gérald Oster and Olivier Perrin April 21, 2020









Context

- Working on data replication in Local-first softwares^[1]
- Currently focusing on Sequence Conflict-free Replicated Data Types (CRDTs)
 - Notably used for real-time collaborative text editing

^[1]Martin Kleppmann et al. Local-first software: you own your data, in spite of the cloud. In *Proceedings of the 2019 ACM SIGPLAN International Symposium on New Ideas, New Paradigms, and Reflections on Programming and Software*, Onward! 2019, pages 154–178, Athens, Greece. Association for Computing Machinery, 2019. ISBN: 9781450369954. DOI: 10.1145/3359591.3359737. URL: https://doi.org/10.1145/3359591.3359737.

LogootSplit^[2]

- State of the art of Sequence CRDTs
- Elements are ordered by their identifier, noted here with the following formalism: position node id node seq offset

^[2]Luc André et al. Supporting adaptable granularity of changes for massive-scale collaborative editing. In *International Conference on Collaborative Computing:*Networking, Applications and Worksharing - CollaborateCom 2013, pages 50–59,

Austin, TX, USA. IEEE Computer Society, October 2013. DOI:

10.4108/icst.collaboratecom.2013.254123.

LogootSplit^[2]

- State of the art of Sequence CRDTs
- Elements are ordered by their identifier, noted here with the following formalism: position^{node id node seq}



Figure 1: State of a sequence which contains the elements "hlo" and their corresponding identifiers

^[2]Luc André et al. Supporting adaptable granularity of changes for massive-scale collaborative editing. In *International Conference on Collaborative Computing:*Networking, Applications and Worksharing - CollaborateCom 2013, pages 50–59, Austin, TX, USA. IEEE Computer Society, October 2013. DOI:

10.4108/icst.collaboratecom.2013.254123.

LogootSplit^[2]

- State of the art of Sequence CRDTs
- Elements are ordered by their identifier, noted here with the following formalism: position node id node seq offset



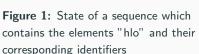




Figure 2: State of a sequence which contains the block "hlo"

^[2]Luc André et al. Supporting adaptable granularity of changes for massive-scale collaborative editing. In *International Conference on Collaborative Computing:*Networking, Applications and Worksharing - CollaborateCom 2013, pages 50–59, Austin, TX, USA. IEEE Computer Society, October 2013. DOI: 10.4108/icst.collaboratecom.2013.254123.





Figure 3: Example of concurrent insert operations

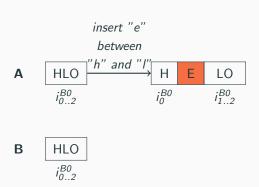


Figure 3: Example of concurrent insert operations

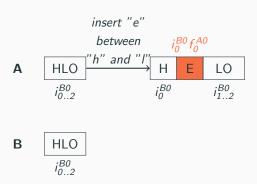


Figure 3: Example of concurrent insert operations

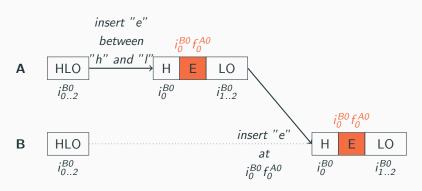


Figure 3: Example of concurrent insert operations

Research issue

Limits

- Unbounded size of identifiers
- Overhead of the data structure increasing over time

Research issue

Limits

- Unbounded size of identifiers
- Overhead of the data structure increasing over time

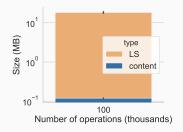


Figure 4: Footprint of the data structure

How to reduce the overhead introduced by the data structure ?

Related work

- Core-nebula approach^[3]
 - Reassigns shorter identifiers to elements...
 - ... but requires consensus
- LSEQ^[4]
 - Set of strategies to reduce the growth of identifiers . . .
 - ... but overhead still proportional to number of elements

^[3] Marek Zawirski et al. Asynchronous rebalancing of a replicated tree. In *Conférence Française en Systèmes d'Exploitation (CFSE)*, page 12, Saint-Malo, France, May 2011. URL: https://hal.inria.fr/hal-01248197.

^[4]Brice Nédelec et al. A scalable sequence encoding for collaborative editing. Concurrency and Computation: Practice and Experience:e4108. URL: https://onlinelibrary.wiley.com/doi/abs/10.1002/cpe.4108.

Our approach

Reassign shorter identifiers and aggregate them into blocks in a fully distributed manner

Renamable Logoot Split

- Propose RenamableLogootSplit, LogootSplit with a rename operation
- Can be perform without coordination
- Today, focus on scenario without concurrent *rename* operations

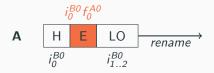


Figure 5: Example of renaming

RenamableLogootSplit

- Propose RenamableLogootSplit, LogootSplit with a rename operation
- Can be perform without coordination
- Today, focus on scenario without concurrent *rename* operations

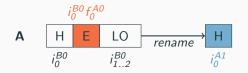


Figure 5: Example of renaming

 Generates a new identifier for the first element, based on its previous identifier

RenamableLogootSplit

- Propose RenamableLogootSplit, LogootSplit with a rename operation
- Can be perform without coordination
- Today, focus on scenario without concurrent *rename* operations

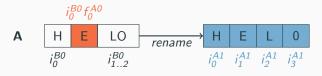


Figure 5: Example of renaming

- Generates a new identifier for the first element, based on its previous identifier
- Then generates contiguous identifiers for all following elements

RenamableLogootSplit

- Propose RenamableLogootSplit, LogootSplit with a rename operation
- Can be perform without coordination
- Today, focus on scenario without concurrent *rename* operations

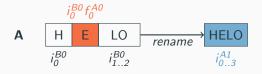
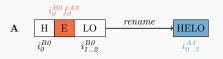


Figure 5: Example of renaming

- Generates a new identifier for the first element, based on its previous identifier
- Then generates contiguous identifiers for all following elements

• Others may perform updates concurrently to a rename operation



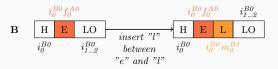


Figure 6: Example of concurrent insert

• Others may perform updates concurrently to a rename operation

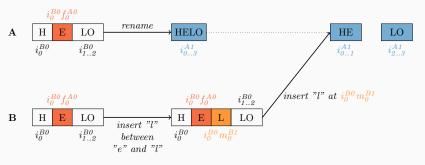


Figure 6: Example of concurrent insert

• Others may perform updates concurrently to a rename operation

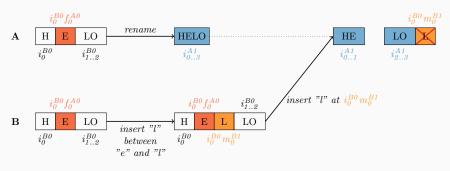


Figure 6: Example of concurrent insert

May lead to inconsistencies

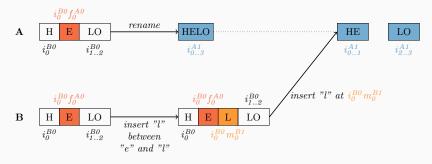


Figure 7: Example of concurrent insert

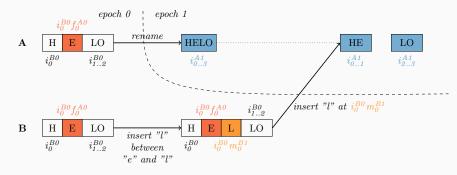


Figure 7: Example of concurrent insert

• Use *epoch-based* system to track concurrent operations

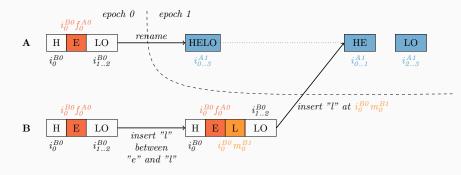


Figure 7: Example of concurrent insert

- Use epoch-based system to track concurrent operations
- Transform operations against rename ones (OT)

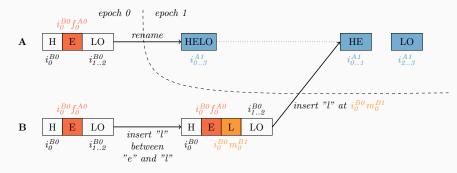


Figure 7: Example of concurrent insert

- Use *epoch-based* system to track concurrent operations
- Transform operations against rename ones (OT)
 - 1. Find predecessor in former state $(i_0^{B0} f_0^{A0})$

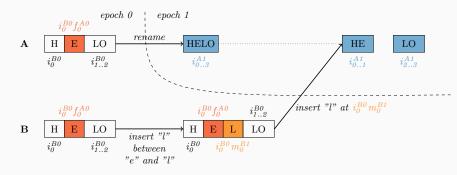


Figure 7: Example of concurrent insert

- Use epoch-based system to track concurrent operations
- Transform operations against rename ones (OT)
 - 1. Find predecessor in former state $(i_0^{B0} f_0^{A0})$
 - 2. Find its counterpart in new state (i_1^{A1})

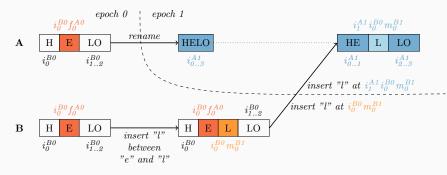


Figure 7: Example of concurrent insert

- Use epoch-based system to track concurrent operations
- Transform operations against rename ones (OT)
 - 1. Find predecessor in former state $(i_0^{B0} f_0^{A0})$
 - 2. Find its counterpart in new state (i_1^{A1})
 - 3. Prepend it to given id to form new id $(i_1^{A1}i_0^{B0}f_0^{A0})$

Need to store former state until no more concurrent operations

^[5]Carlos Baquero et al. Making operation-based crdts operation-based. In Kostas Magoutis et al., editors, *Distributed Applications and Interoperable Systems*, pages 126–140, Berlin, Heidelberg. Springer Berlin Heidelberg, 2014.

Need to store former state until no more concurrent operations

- Can garbage collect it once the rename operation is causally stable^[5]
- Can offload it to the disk meanwhile

^[5]Carlos Baquero et al. Making operation-based crdts operation-based. In Kostas Magoutis et al., editors, *Distributed Applications and Interoperable Systems*, pages 126–140, Berlin, Heidelberg. Springer Berlin Heidelberg, 2014.

Need to store former state until no more concurrent operations

- Can garbage collect it once the rename operation is causally stable^[5]
- Can offload it to the disk meanwhile

Need to propagate former state to other nodes

^[5]Carlos Baquero et al. Making operation-based crdts operation-based. In Kostas Magoutis et al., editors, *Distributed Applications and Interoperable Systems*, pages 126–140, Berlin, Heidelberg. Springer Berlin Heidelberg, 2014.

Need to store former state until no more concurrent operations

- Can garbage collect it once the rename operation is causally stable^[5]
- Can offload it to the disk meanwhile

Need to propagate former state to other nodes

• Can compress the operation to minimise bandwidth consumption

^[5]Carlos Baquero et al. Making operation-based crdts operation-based. In Kostas Magoutis et al., editors, *Distributed Applications and Interoperable Systems*, pages 126–140, Berlin, Heidelberg. Springer Berlin Heidelberg, 2014.



Scenario

• Assumption: Only one node can issue rename operations

Ran simulations to evaluate proposed approach:

- 10 nodes share and edit a document collaboratively
- Nodes use either LogootSplit or RenamableLogootSplit according to session

Scenario

• **Assumption:** Only one node can issue *rename* operations

Ran simulations to evaluate proposed approach:

- 10 nodes share and edit a document collaboratively
- Nodes use either LogootSplit or RenamableLogootSplit according to session
- Phase 1 (content generation): 80/20% of insert/remove
- Phase 2 (refactoring): 50/50% of insert/remove
- Nodes switch to phase 2 when document reaches critical size (15 pages 60k elements)

Scenario

• **Assumption:** Only one node can issue *rename* operations

Ran simulations to evaluate proposed approach:

- 10 nodes share and edit a document collaboratively
- Nodes use either LogootSplit or RenamableLogootSplit according to session
- Phase 1 (content generation): 80/20% of insert/remove
- Phase 2 (refactoring): 50/50% of insert/remove
- Nodes switch to phase 2 when document reaches critical size (15 pages 60k elements)
- Overall, nodes perform 150k operations on the document
- In the case of *RenamableLogootSplit*, trigger a *rename* operation every 30k operations

Results - Convergence

- Compared final content of nodes per sessions
- Did not observe any divergence

Results - Convergence

- Compared final content of nodes per sessions
- Did not observe any divergence
- Empirical result, not a proof...
- ... but represents first step towards the validation

Results - Overhead of the data structure

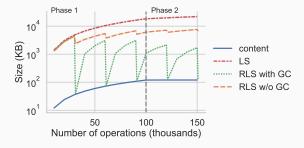


Figure 8: Evolution of the size of the document

Results - Overhead of the data structure

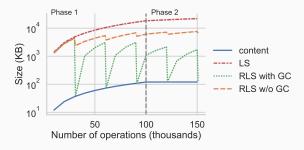


Figure 8: Evolution of the size of the document

• Rename resets the overhead of the CRDT, if can garbage collect

Results - Overhead of the data structure

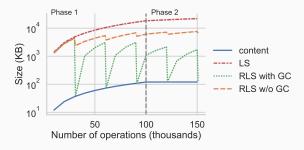


Figure 8: Evolution of the size of the document

- Rename resets the overhead of the CRDT, if can garbage collect
- Rename still reduces by 66% the size otherwise

Results - Integration time of insert operations

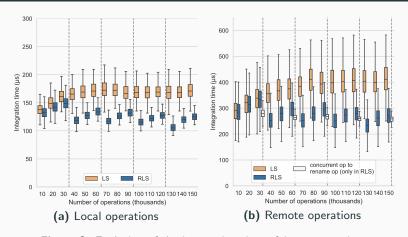


Figure 9: Evolution of the integration time of insert operations

Results - Integration time of insert operations

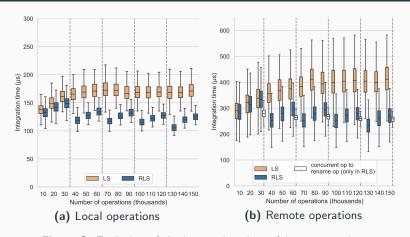


Figure 9: Evolution of the integration time of insert operations

• Rename resets integration times of future operations

Results - Integration time of insert operations

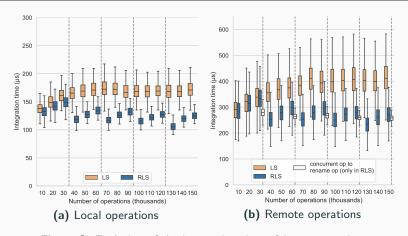


Figure 9: Evolution of the integration time of insert operations

- Rename resets integration times of future operations
- Transforming concurrent operations is actually faster than applying them on former state

Results - Integration time of rename operations

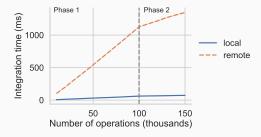


Figure 10: Evolution of the integration time of *rename* operations

Results - Integration time of rename operations

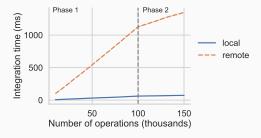


Figure 10: Evolution of the integration time of *rename* operations

Noticeable by users if delayed too much

Results - Integration time of rename operations

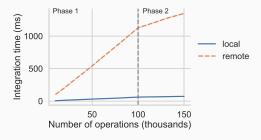


Figure 10: Evolution of the integration time of *rename* operations

- Noticeable by users if delayed too much
- When to trigger *rename* operations?

To wrap up

Done

- Designed a rename operation for LogootSplit
- Defined transformation functions to deal with concurrent updates

To wrap up

Done

- Designed a rename operation for LogootSplit
- Defined transformation functions to deal with concurrent updates

Work in progress

- Implementing support of concurrent rename operations in MUTE^[6], our P2P collaborative text editor
- Proceeding to its validation
- Designing the strategy to trigger rename operations

To wrap up

Done

- Designed a rename operation for LogootSplit
- Defined transformation functions to deal with concurrent updates

Work in progress

- Implementing support of concurrent rename operations in MUTE^[6], our P2P collaborative text editor
- Proceeding to its validation
- Designing the strategy to trigger rename operations

To do

- Prove formally the correctness of the mechanism
- Investigate the combination of OT techniques and CRDTs

[6] Matthieu Nicolas et al. MUTE: A Peer-to-Peer Web-based Real-time Collaborative Editor. In Proceedings of European Conference on Computer-Supported Cooperative Work - Panels, Posters and Demos, 2017.

Thanks for your attention, any questions?



LogootSplit identifiers

 To comply with these constraints, LogootSplit proposes identifiers composed of quadruplets of integers of the following form:

- priority allows to determine the position of this identifier compared to others
- siteld refers to the node's identifier, assumed to be unique
- seq refers to the node's logical clock, which increases monotonically with local operations
- offset refers to the element position in its original block

Identifier constraints

• To fulfill their role, identifiers have to comply to several constraints:

Globally unique

• Identifiers should never be generated twice, neither by different users nor by the same one at different times

Totally ordered

 We should always be able to compare and order two elements using their identifiers

Dense set

 We should always be able to add a new element, and thus a new identifier, between two others

Handling concurrent rename

The topic of a later contribution

Handling concurrent rename

The topic of a later contribution

rename operation not commutative

Handling concurrent rename

The topic of a later contribution

rename operation not commutative

To fix this:

- Define a total order between rename operations
- Pick a "winner" operation between concurrent renames
- Define additional transformation functions to undo the effect of "losing" ones

Perspectives |

Propose a strategy to avoid conflicting rename operations

 How to minimise likelihood of concurrent rename operations without coordinating?

Perspectives

Propose a strategy to avoid conflicting rename operations

 How to minimise likelihood of concurrent rename operations without coordinating?

Propose a smarter strategy to choose the "winning" renaming

• How to minimise the overall computations?

Experimental settings

- Use Node.js version 13.1.0
- Obtained documents sizes using our fork of object-sizeof [7]
- Ran benchmarks on a workstation equipped of a Intel Xeon CPU E5-1620 (10MB Cache, 3.50 GHz) with 16GB of RAM running Fedora 31
- Measured times using process.hrtime.bigint()

^[7]https://www.npmjs.com/package/object-sizeof