# Efficient renaming in Conflict-free Replicated Data Types (CRDTs)

Case Study of a Sequence CRDT: LogootSplit

Matthieu Nicolas (matthieu.nicolas@loria.fr), Gérald Oster, Olivier Perrin COAST team

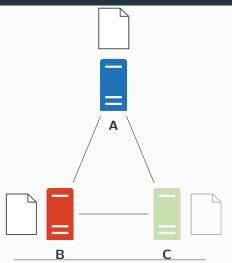
December 12, 2021



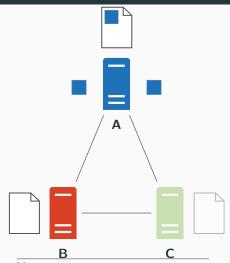




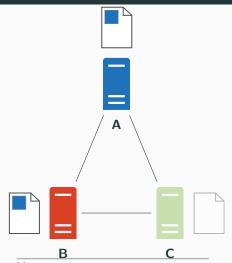




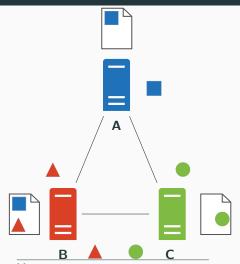
 Replicated data structure



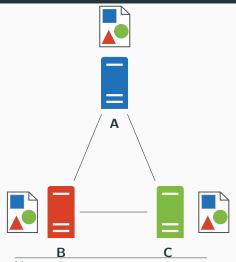
- Replicated data structure
- Updates performed without coordination



- Replicated data structure
- Updates performed without coordination



- Replicated data structure
- Updates performed without coordination



- Replicated data structure
- Updates performed without coordination
- Strong Eventual Consistency

- State of the art of Sequence CRDTs
- Elements are ordered by their identifier, noted here with the following formalism: position<sup>node\_id</sup> node\_seq

<sup>[2]</sup>Luc André et al. Supporting adaptable granularity of changes for massive-scale collaborative editing. In *International Conference on Collaborative Computing:*Networking, Applications and Worksharing - CollaborateCom 2013, pages 50–59,

Austin, TX, USA. IEEE Computer Society, October 2013. DOI:

10.4108/j.cst..collaboratecom.2013.254123

- State of the art of Sequence CRDTs
- Elements are ordered by their identifier, noted here with the following formalism: position<sup>node\_id</sup> node\_seq



Figure 1: State of a sequence which contains the elements "hlo" and their corresponding identifiers

[2]Luc André et al. Supporting adaptable granularity of changes for massive-scale collaborative editing. In *International Conference on Collaborative Computing:*Networking, Applications and Worksharing - CollaborateCom 2013, pages 50–59,
Austin, TX, USA. IEEE Computer Society, October 2013. DOI:

10.4108/icst.collaboratecom.2013.254123.

- State of the art of Sequence CRDTs
- Elements are ordered by their identifier, noted here with the following formalism: position<sup>node\_id</sup> node\_seq





Figure 1: State of a sequence which contains the elements "hlo" and their corresponding identifiers **Figure 2:** State of a sequence which contains the block "hlo"

<sup>[2]</sup>Luc André et al. Supporting adaptable granularity of changes for massive-scale collaborative editing. In *International Conference on Collaborative Computing:*Networking, Applications and Worksharing - CollaborateCom 2013, pages 50–59, Austin, TX, USA. IEEE Computer Society, October 2013. DOI: 10.4108/icst.collaboratecom.2013.254123.

- State of the art of Sequence CRDTs
- Elements are ordered by their identifier, noted here with the following formalism: position node\_id node\_seq







Figure 1: State of a sequence which contains the elements "hlo" and their corresponding identifiers

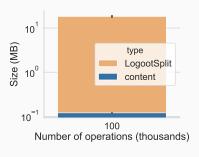
Figure 2: State of a sequence which contains the block "hlo"

Figure 3: State of a sequence which contains the elements "hlo" and their corresponding identifiers

<sup>[2]</sup> Luc André et al. Supporting adaptable granularity of changes for massive-scale collaborative editing. In *International Conference on Collaborative Computing:* Networking, Applications and Worksharing - CollaborateCom 2013, pages 50–59, Austin, TX, USA. IEEE Computer Society, October 2013. DOI: 10.4108/icst.collaboratecom.2013.254123.

#### Research issue

Evergrowing overhead: impacts memory, bandwidth and CPU



**Figure 4:** Memory footprint of the data structure

• Operation count: 100k

• Size of content: 100KB

• Size of data structure: 20MB

How to reduce the overhead introduced by the data structure?

#### Our approach

Reassign shorter identifiers and aggregate them into blocks in a fully distributed manner

## Renamable Logo ot Split

- Propose RenamableLogootSplit, LogootSplit with a rename operation
- Can be performed without coordination

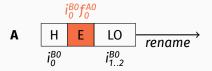


Figure 5: Example of renaming

#### Renamable Logoot Split

- Propose RenamableLogootSplit, LogootSplit with a rename operation
- Can be performed without coordination

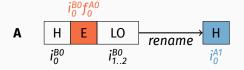


Figure 5: Example of renaming

 Generates a new identifier for the first element, based on its previous identifier

#### RenamableLogootSplit

- Propose RenamableLogootSplit, LogootSplit with a rename operation
- Can be performed without coordination

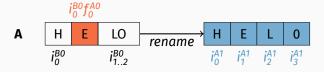


Figure 5: Example of renaming

- Generates a new identifier for the first element, based on its previous identifier
- Then generates contiguous identifiers for all following elements

#### RenamableLogootSplit

- Propose RenamableLogootSplit, LogootSplit with a rename operation
- Can be performed without coordination

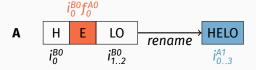


Figure 5: Example of renaming

- Generates a new identifier for the first element, based on its previous identifier
- Then generates contiguous identifiers for all following elements

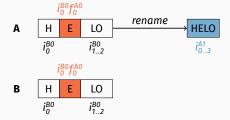


Figure 6: Applying naively concurrent update

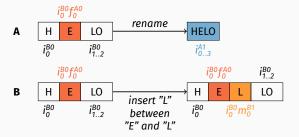


Figure 6: Applying naively concurrent update

 $\bullet$  Can issue operations concurrently to  $\emph{rename}$ 

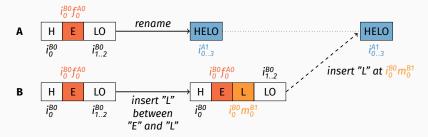


Figure 6: Applying naively concurrent update

• Can issue operations concurrently to rename

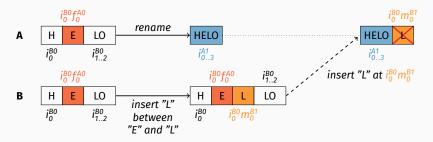


Figure 6: Applying naively concurrent update

- Can issue operations concurrently to rename
- Produce inconsistencies if applied naively

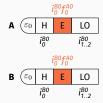


Figure 7: Handling properly concurrent update

• Use epoch-based system to track concurrent operations

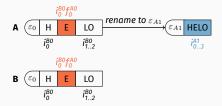


Figure 7: Handling properly concurrent update

• Use *epoch-based* system to track concurrent operations

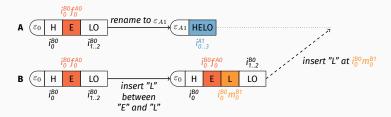


Figure 7: Handling properly concurrent update

• Use *epoch-based* system to track concurrent operations

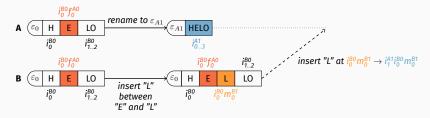


Figure 7: Handling properly concurrent update

- Use epoch-based system to track concurrent operations
- Use transform operations against *rename* ones (OT)

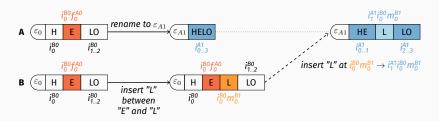


Figure 7: Handling properly concurrent update

- Use epoch-based system to track concurrent operations
- Use transform operations against *rename* ones (OT)

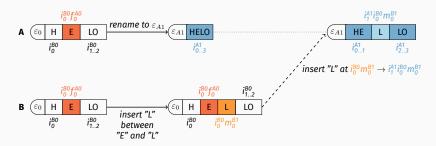


Figure 8: Concurrent rename operations leading to divergent states

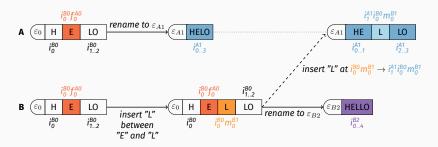


Figure 8: Concurrent rename operations leading to divergent states

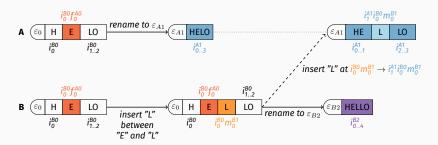


Figure 8: Concurrent rename operations leading to divergent states

• Rename operations are system operations

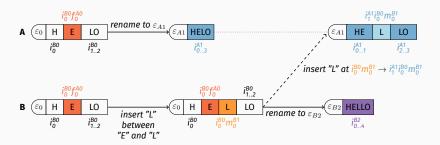


Figure 8: Concurrent rename operations leading to divergent states

- Rename operations are system operations
- Can resolve conflict by only applying one of them

#### How to do so?

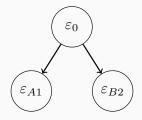


Figure 9: Epoch tree corresponding to previous scenario

#### How to do so?

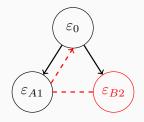


Figure 9: Epoch tree corresponding to previous scenario

• Define total order on epochs to select target epoch

#### How to do so?

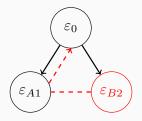


Figure 9: Epoch tree corresponding to previous scenario

- Define total order on epochs to select target epoch
- Design transformation function to revert rename operation

#### Applying concurrent rename operations

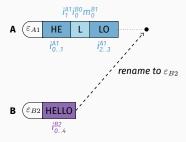


Figure 10: Applying a concurrent rename operation

#### **Applying concurrent rename operations**

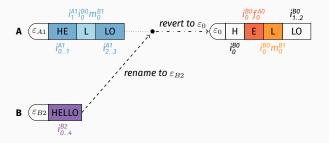


Figure 10: Applying a concurrent rename operation

• Revert state to equivalent one at LCA epoch

#### **Applying concurrent rename operations**

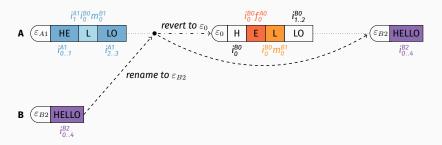


Figure 10: Applying a concurrent rename operation

- Revert state to equivalent one at LCA epoch
- Apply then rename operations leading to target epoch

#### **Downsides**

#### Need to store former state until no more concurrent operations

- Can garbage collect it once the *rename* operation is causally stable<sup>[3]</sup>
- Can offload it to the disk meanwhile

#### Need to propagate former state to other nodes

- Can compress the operation to minimise bandwidth consumption
- Can trigger rename operations at a given number of blocks

 $<sup>^{[3]}</sup>$ Carlos Baquero et al. Making operation-based crdts operation-based. In Kostas Magoutis et al., editors, *Distributed Applications and Interoperable Systems*, pages 126–140, Berlin, Heidelberg. Springer Berlin Heidelberg, 2014 .

# Evaluation

Ran simulations to compare performance of

RenamableLogootSplit to LogootSplit one

#### **Scenario**

- Simulate collaborative editing sessions using either LogootSplit or RenamableLogootSplit
- Phase 1 (content generation): 80/20% of insert/remove
- Phase 2 (editing): 50/50% of insert/remove
- Nodes switch to phase 2 when document reaches critical size (15 pages 60k elements)

### **Results - Convergence**

- Compared final content of nodes per sessions
- Did not observe any divergence
- Empirical result, not a proof...
- ... but represents first step towards the validation

# **Results - Memory footprint**

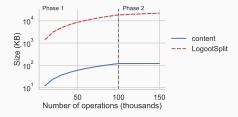


Figure 11: Evolution of the size of the document

# **Results - Memory footprint**

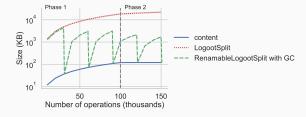


Figure 11: Evolution of the size of the document

• Rename resets the overhead of the CRDT, if can garbage collect

# **Results - Memory footprint**

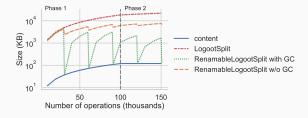


Figure 11: Evolution of the size of the document

- Rename resets the overhead of the CRDT, if can garbage collect
- Rename still reduces by 66% the size otherwise

### Results - Integration time of insert operations

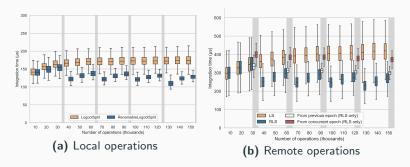


Figure 12: Evolution of the integration time of insert operations

# **Results - Integration time of insert operations**

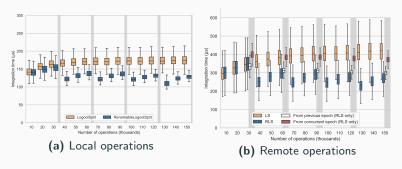


Figure 12: Evolution of the integration time of *insert* operations

• Rename reduces integration times of future operations

# Results - Integration time of insert operations

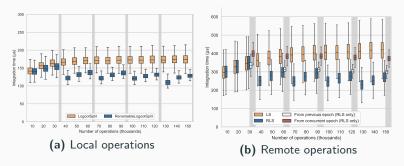


Figure 12: Evolution of the integration time of *insert* operations

- Rename reduces integration times of future operations
- Transforming concurrent operations is actually faster than applying them on former state

# Results - Integration time of rename operations

Parameters		Integration Time (ms)					
Туре	Nb Ops (k)	Mean	Median	99 <sup>th</sup> Quant.	Std		
Local	30	41.75	38.74	71.68	6.84		
	90	119.19	118.87	124.22	2.49		
	150	158.04	157.95	164.38	2.49		
Remote	30	481.32	477.13	537.30	17.11		
	90	1491.28	1481.83	1657.58	51.10		
	150	1694.17	1675.95	1852.55	59.94		

Table 1: Integration time of rename operations

# Results - Integration time of rename operations

Parameters		Integration Time (ms)					
Туре	Nb Ops (k)	Mean	Median	99 <sup>th</sup> Quant.	Std		
Local	30	41.75	38.74	71.68	6.84		
	90	119.19	118.87	124.22	2.49		
	150	158.04	157.95	164.38	2.49		
Remote	30	481.32	477.13	537.30	17.11		
	90	1491.28	1481.83	1657.58	51.10		
	150	1694.17	1675.95	1852.55	59.94		

Table 1: Integration time of rename operations

• Noticeable by users if delayed too much

# Results - Integration time of rename operations

Parameters		Integration Time (ms)					
Туре	Nb Ops (k)	Mean	Median	99 <sup>th</sup> Quant.	Std		
Local	30	41.75	38.74	71.68	6.84		
	90	119.19	118.87	124.22	2.49		
	150	158.04	157.95	164.38	2.49		
Remote	30	481.32	477.13	537.30	17.11		
	90	1491.28	1481.83	1657.58	51.10		
	150	1694.17	1675.95	1852.55	59.94		

Table 1: Integration time of rename operations

- Noticeable by users if delayed too much
- Need to improve remote integration time

### Conclusion

#### Done

- Designed a *rename* operation for LogootSplit
- Compared its performance to one of LogootSplit

### Conclusion

#### Done

- Designed a rename operation for LogootSplit
- Compared its performance to one of LogootSplit

#### Work in progress

- Publishing it
- Writing the manuscript

### Conclusion

#### Done

- Designed a rename operation for LogootSplit
- Compared its performance to one of LogootSplit

#### Work in progress

- Publishing it
- Writing the manuscript

#### To do

- Prove formally the correctness of the mechanism
- Design better strategies to select the target epoch
- Improve performance of *rename* operations

Thanks for your attention, any questions?



# LogootSplit identifiers

 To comply with these constraints, LogootSplit proposes identifiers composed of quadruplets of integers of the following form:

$$position_{offset}^{node\_id \ node\_seq}$$

- position allows to determine the position of this identifier compared to others
- node\_id refers to the node's identifier, assumed to be unique
- node\_seq refers to the node's logical clock, which increases monotonically with local operations
- offset refers to the element position in its original block

#### Identifier constraints

• To fulfill their role, identifiers have to comply to several constraints:

#### Globally unique

• Identifiers should never be generated twice, neither by different users nor by the same one at different times

#### **Totally ordered**

 We should always be able to compare and order two elements using their identifiers

#### Dense set

 We should always be able to add a new element, and thus a new identifier, between two others

#### Related work

- Core-nebula approach<sup>[4]</sup>
  - Reassigns shorter identifiers to elements. . .
  - ... but requires consensus
- LSEQ<sup>[5]</sup>
  - Set of strategies to reduce the growth of identifiers . . .
  - ... but overhead still proportional to number of elements

<sup>[4]</sup> Marek Zawirski et al. Asynchronous rebalancing of a replicated tree. In *Conférence Française en Systèmes d'Exploitation (CFSE)*, page 12, Saint-Malo, France, May 2011. URL: https://hal.inria.fr/hal-01248197.

<sup>[5]</sup>Brice Nédelec et al. A scalable sequence encoding for collaborative editing. Concurrency and Computation: Practice and Experience:e4108. URL: https://onlinelibrary.wiley.com/doi/abs/10.1002/cpe.4108.

### **Perspectives**

### Propose a strategy to avoid conflicting rename operations

 How to minimise likelihood of concurrent rename operations without coordinating?

### Propose a smarter strategy to choose the "winning" renaming

• How to minimise the overall computations?

# Results - Integration time of rename operations (complete)

Parameters	Integration Time (ms)				
Туре	Nb Ops (k)	Mean	Median	99 <sup>th</sup> Quant.	Std
Local	30	41.75	38.74	71.68	6.84
	90	119.19	118.87	124.22	2.49
	150	158.04	157.95	164.38	2.49
Direct remote	30	481.32	477.13	537.30	17.11
	90	1491.28	1481.83	1657.58	51.10
	150	1694.17	1675.95	1852.55	59.94
Cc. int. greater epoch	30	643.53	643.57	682.80	13.42
	90	1998.23	1994.08	2111.98	45.37
	150	2241.92	2233.61	2351.02	52.20
Cc. int. lesser epoch	30	1.36	1.30	3.53	0.37
	90	4.45	4.23	5.81	0.71
	150	5.53	5.26	8.70	0.79

Table 2: Integration time of rename operations

### **Experimental settings**

- Use Node.js version 13.1.0
- Obtained documents sizes using our fork of object-sizeof [6]
- Ran benchmarks on a workstation equipped of a Intel Xeon CPU E5-1620 (10MB Cache, 3.50 GHz) with 16GB of RAM running Fedora 31
- Measured times using process.hrtime.bigint()

<sup>[6]</sup>https://www.npmjs.com/package/object-sizeof