

Computability

8.1.1 a Turing Machine (T.M.) is a quintuple

$$M = (Q, \Sigma, \Gamma, \delta, q_0)$$

Q is state set

Σ is input alphabet

$\Gamma \supseteq \Sigma$ is tape alphabet, which at least has a blank symbol $B \in \Gamma \setminus \Sigma$

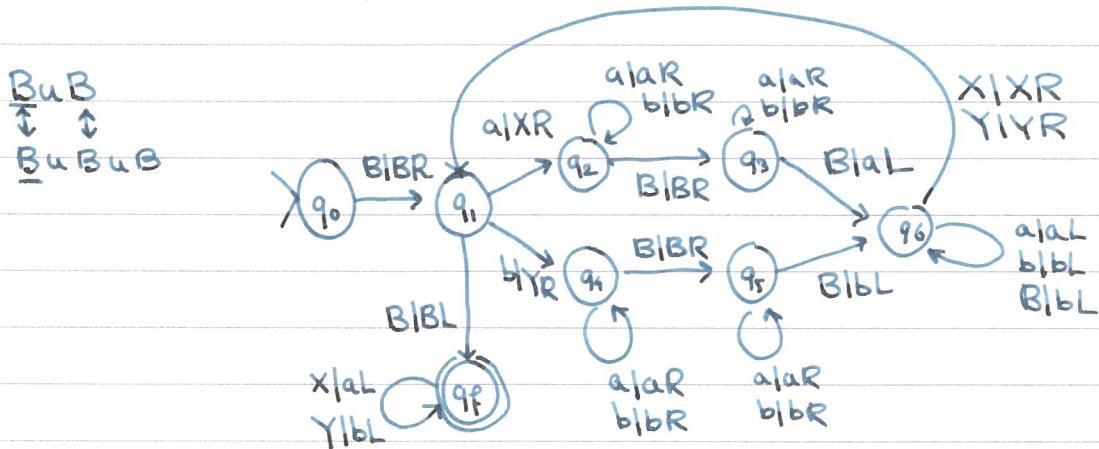
$$\delta : Q \times \Gamma \rightarrow Q \times \{L, R\}$$

(partial function)

q_0 is initial state.

note: partial function is a relation $\mathcal{F} \subseteq A \times B$ with $\forall a \in A (\exists! b \in B (af b) \vee \nexists b \in B (af b))$

ex. COPY with input alphabet $\Sigma = \{a, b\}$:



and for general Σ , there is a COPY machine with $4 + 2|\Sigma|$ states.

8.1.2 tracing a computation: we denote a TM in state q_i with head on tape at pos. n and a tape in state $y_1 \dots y_m \in \Gamma^{<\omega}$ as

$y_1 \dots y_{n-1} \ q_i \ y_n \dots y_m$

In order not to halt abnormally, it is conventional to have a B at pos. 1 to indicate "end of tape"

example: running COPY on BabB:

$q_0 BabB \vdash B q_1 abB \vdash BX q_2 bB$
 $\vdash BX b q_2 B \vdash BX b B q_3 B$
 $\vdash BX b q_6 Ba \vdash BX q_6 b Ba$
 $\vdash B q_6 X b Ba \vdash BX q_6 b Ba$
 $\vdash BX Y q_4 Ba \vdash BX Y B q_5 a$
 $\vdash BX Y Ba q_5 B \vdash BX Y B q_6 b$
 $\vdash BX Y q_6 Bab \vdash BX q_6 Y Bab$
 $\vdash BX Y q_6 Bab \vdash BX q_f Bab \downarrow$

8.2.1 A T.M with final state in addition to $Q, \Gamma, \Sigma, \delta, q_0$ has a subset $F \subseteq Q$. If a computation on an input $s \in \Sigma^{<\omega}$ halts in a $q_i \in F$ then M accepts s. $L(M)$ is the language of all such s.

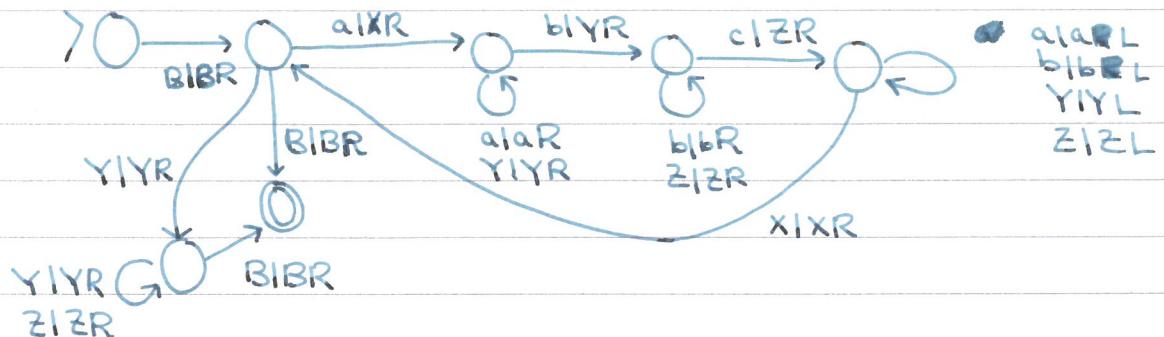
- M recognises $L(M)$.
- if halts on all inputs, it decides $L(M)$.
- a language $L(M)$ is rec. enum. if it is recognized by some T.M.
- it is recursive if it is decided by some T.M.

note you can always reduce F to a single final state q_f by adding transitions and states as follows:

- $Q' = Q \cup \{q_f\}$
- if $\delta(q_i, y) \uparrow$ for $q_i \in F, y \in \Gamma$, then let $\delta'(q_i, y) = [q_f, R]$. Otherwise, $\delta = \delta'$

we see $M' \xrightarrow{\text{halts in}} q_f \Leftrightarrow M \text{ would have halted in } F$.

ex. T.m. that accepts $\{a^i b c^i \mid i \in \mathbb{N}_{\geq 0}\}$:



8.3.1 acceptance criterium: "by halting". This means M accepts an se Σ^{ω} if its computation halts on input s .

- thrm
- ~~the~~ \Leftrightarrow equally powerful in the sense that L is accepted by some M' by halting
 \Leftrightarrow
 L is accepted by some M by final state.

namely, if M' exists, let M be the machine M' with final states Q .

conversely, if M exists, add a "looping state"

$$q_e \cup Q =: Q'$$

$$\delta'(q_e, \gamma) = [q_e, R] \quad \forall \gamma \in \Gamma, \text{ and}$$

$$\delta'(q_i, \gamma) = [q_e, R] \text{ for all } q_i \in F^c, \gamma \in \Gamma \\ \text{such that } \delta(q_i, \gamma) \uparrow$$

then M' loops on $s \Leftrightarrow M$ loops or M halts in non- F state.

$$\Leftrightarrow s \notin L(M)$$

— so $L(M') = L(M)$. □

8.4.1 a multitrack T.M. is a T.M. with its tape divided into multiple tracks. (say, $k \geq 1$)

So its tape is now an element of $(\Gamma^k)^{\leq \omega}$
and $\delta : Q \times \Gamma^k \rightarrow Q \times \{L, R\}$

a language L is accepted by a single-track T.M. M
 $\Leftrightarrow L$ is accepted by a multitrack T.M. M' .

\Rightarrow because $\# k=1$ makes single-track multitrack
(or we can just ignore the upper track).

\Leftarrow because we can set $\Gamma = (\Gamma')^k$,
 $\Sigma = \Sigma' \times \{B\}^{k-1}$ and $\delta = \delta'$ in this way

it does require us to work over different alphabets.

But this is not a weakness as any alphabet can be encoded in 0-1 strings, and we can adapt any TM to work with those.

8.5.1 Two-way Turing m. has its tape extend in 2 directions, its positions resembling \mathbb{Z} rather than \mathbb{N}_0 . The word is still placed on position 1, 2, ...

This means abnormal termination cannot happen.

any T.M M on \mathbb{N}_0 can be turned into an equivalent T.M M' on \mathbb{Z} by letting it go into an additional error state if it "crosses 0".

let M : $\xrightarrow{>q_0 \xrightarrow{\text{BIBR}} \dots}$

let $M' : \xrightarrow{>q_0 \xrightarrow{\text{BIBL}} \textcircled{ } \xrightarrow{\text{BIR#R}} q_0 \xrightarrow{M} \dots}$

#|#R| from every $q \in Q_M$,

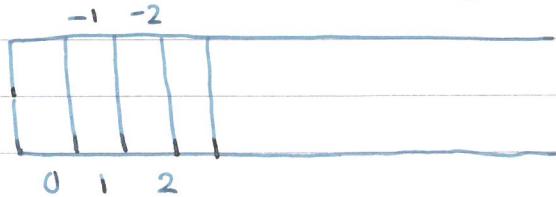
$\xrightarrow{q_0} \text{add } \delta(q, \#) = (q, \#R)$

then M' goes into $q_f \notin F \Leftrightarrow M$ halts abnormally.

Conversely, if M^* accepts a language $L(M^*)$ and is 2-way, we can construct a 2-track tm M'

namely $Q' = (Q \cup \{q_s, q_t\}) \times \{U, D\}$, $q'_0 = [q_s, D]$
 $\Gamma' = \Gamma \cup \{\#\}$

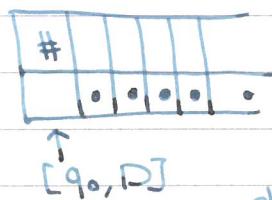
- the negative part of \mathbb{Z} extends to the right on the upper tape



- q_s is used to place $\#$ on the upper tape at pos. 0
- q_t is used to go to state $[q_0, D]$
- $\#$ is used to indicate when the head crosses 0 and should go from U to D or D to U.

$$\begin{array}{ll} 1 & \delta'([q_s, D], [B, B]) = [[q_t, D], [B, \#], R] \\ 2 & \delta'([q_t, D], [\gamma, B]) = [[q_0, D], [B, \gamma, B], L] \end{array}$$

\Rightarrow we have



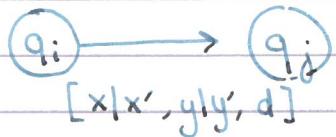
$$\begin{array}{ll} 3 \text{ whenever } & \delta(q_i, \gamma) = [q_j, \tilde{\gamma}, d], \gamma \in \Gamma, \times \neq \# \\ & \delta'([q_i, D], [\gamma, \times]) = [[q_j, D], (\tilde{\gamma}, \times), d] \\ & \delta'([q_i, U], [\gamma, \times]) = [[q_j, U], (\times, \tilde{\gamma}), d'] \end{array}$$

where d' is the opposite direction of d .

(there are the "ordinary" translations of trans. in non-zero positions).

$$\begin{array}{ll} 4 & \delta'([q_i, D], [x, \#]) = [[q_j, D], [y, \#], R], \text{ if } \delta(q_i, x) = [q_j, y, R] \\ 5 & \delta'([q_i, D], [x, \#]) = [[q_j, U], [y, \#], R], \text{ if } \delta(q_i, x) = [q_j, y, L] \\ 6 & \delta'([q_i, U], [x, \#]) = [[q_j, U], [y, \#], R], \text{ if } \delta(q_i, x) = [q_j, y, L] \\ 7 & \delta'([q_i, U], [x, \#]) = [[q_j, D], [y, \#], L], \text{ if } \delta(q_i, x) = [q_j, y, R] \end{array}$$

Diagrammatic notation for 2-track
 turing machines : since $\delta(q_i, [x]) = [q_j, [x', y], d]$
 we denote transitions as



8.6.1 multtape T.M. has k tapes and k tape heads
 that can be independently moved at transitions,
 i.e δ is of the form

$$\delta: Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R, S\}^k$$

for convenience we also allow heads to remain
 stationary (S), but this is no loss of generality,
 as we can always add transitions where a head
 moves R then L to emulate S.

transitions are drawn as $[x|x'd \ y|y'd \dots]$

thrm a multtape (precisely, a 2-tape) T.M. can be simulated
 on a 5-track T.M.

proof track 1 & 3 keep the state of tape 1 & 2
 track 2 & 4 keep the tape head's position of tape
 1 & 2 respectively. They do this with ~~an~~ auxiliary
 symbols $\#, X \in \Gamma' \setminus \Gamma$. There are directions $\{L, R, S, U\}$.
 track 5 is used to reposition tape heads

- initially, we write $\#$ at pos. 0 on track 5
 and X at pos. 0 on track 2 and track 4.

U indicates
unknown

states Q' are 8-tuples of the form

$$[s, q_i, x_1, x_2, y_1, y_2, d_1, d_2]$$

s "status"

$$q_i \in Q$$

$$x_i, y_i \in \Sigma \cup \{\#\}$$

$$d_i \in \{L, R, S, U\}$$

$$\delta(q_i, x_1, x_2) = [q_j, y_1, d_1, y_2, d_2]$$

actions : M begins the simulation of a transition in state $[f_1, q_i, u, u, u, u, u]$ at position 0 on the tracks.

f_1 1) find first symbol: M' moves right until it reads the X on track 2. When this happens, it enters state $[f_1, q_i, x_1, u, u, u, u, u]$

where x_1 is the symbol on track 1 under X
then M' returns to initial position.

Here, # on track 5 is used to indicate the initial position.

f_2 2) find second symbol: the state $[f_1, q_i, x_1, u, u, u, u, u]$

is different from $[f_1, q_i, u, u, u, u, u]$, so M' "knows" it is in a different phase. Now it will find the 2nd type position symbol by looking for X on track 3 and reading x_2 from track 3: enters $[f_2, q_i, x_1, x_2, u, u, u, u]$ then moves back to initial position

P_1 3) M' enters state $[p_1, q_j, x_1, x_2, y_1, y_2, d_1, d_2]$

where these are filled in based on our knowledge of $\delta(q_i, x_1, x_2) = [q_j, y_1, d_1, y_2, d_2]$ and the fact that we can uniquely define this transition based on the state [...] and M' being at pos 0.

p_1 4) print symbol 1: M' searches for X on track 2.

it uses 1 transition, uniquely determined by the status p_1 and all info $x_1, y_1, d_1, \text{#}$, contained in the state, to

1) move X on track 2

2) replace x_1 by y_1 on track 1

then it moves back to pos.0 and changes status to p_2

p_2 5) print symbol 2: analogous. For tracks 4, 3 and symbols x_2, y_2, d_2
 M' can "know" what to do because it sees that its status is

move back to initial position

& change state to $[f_1, q_j, u, u, u, u, u]$.

wake up.



8.7.1

nondeterminism for Turing machines

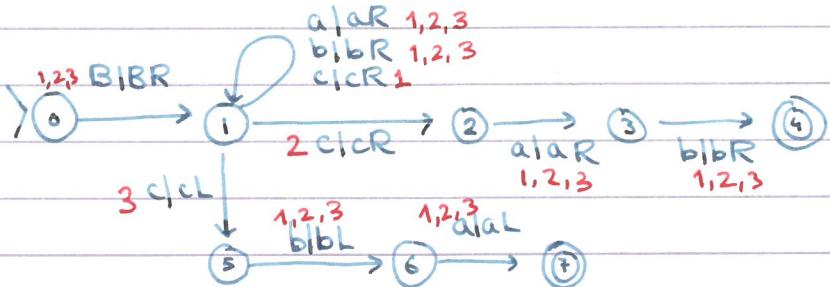
we formalize "there being no unique outcome of a computation" with "sets of ~~out~~ transitions" rather than transitions.

that is, $\delta : Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{L, R\})$

and δ need not be partial anymore since we can model "no transition" simply by setting $\delta(q_i, x) = \emptyset$

def!
a $s \in \Sigma^{<\omega}$ is accepted by a non-deterministic Turing machine if there is at least one trace of computation that terminates in F

ex. (Sudkamp, 8.7.1):



accepts any word which either contains (abc) or (cab) as substring.

— like with NFA and DFA, nondeterminism does not lead to the class of languages that are recognized being larger:

$\exists M'$ deterministic TM. accepts $L \iff \exists M$ nondeterministic TM. that accepts L .

where \Rightarrow is obvious since $\delta'(q, x) = \begin{cases} \emptyset & \text{if } \delta(q, x) \uparrow \\ \{\delta(q, x)\} & \text{if } \delta(q, x) \downarrow \end{cases}$
 makes every deterministic TM also a nondeterministic TM.

← is more difficult : how do we simulate all the traces such that an accepting trace is found in finite time ?

Systematically ordering & interleaving the different traces of computation such that any ^{finite =} halting trace is encountered in finite time (assuming there is no abnormal termination in any of the traces) :

- For each state, symbol pair $(q_i, x) \in Q \times T, \delta(q_i, x) \neq \emptyset$ ^{label order} the alternatives a from 1 to ~~n~~
 $n = \max_{(q_i, x) \in Q \times T} |\delta(q_i, x)|$. If (q_i, x) has fewer than n transitions, one transition is assigned remaining labels to complete the ordering. If $\delta(q_i, x) = \emptyset$, no labels are assigned. See next page for example 8.7.1 from Sudkamp.

see previous page for the ^{same} labels in the figure

state	T	δ	state	T	δ
q_0	B	1 $q_1 B R$ 2 $q_1 B R$ 3 $q_1 B R$	q_2	a	1 $q_3 a R$ 2 $q_3 a R$ 3 $q_3 a R$
q_1	a	1 $q_1 a R$ 2 $q_1 a R$ 3 $q_1 a R$	q_3	b	1 $q_4 b R$ 2 $q_4 b R$ 3 $q_4 b R$
q_1	b	1 $q_1 b R$ 2 $q_1 b R$ 3 $q_1 b R$	q_5	b	1 $q_6 b L$ 2 $q_6 b L$ 3 $q_6 b L$
q_1	c	1 $q_1 c R$ 2 $q_2 c R$ 3 $q_5 c L$	q_6	a	1 $q_7 a L$ 2 $q_7 a L$ 3 $q_7 a L$

only these are distinct. $\Rightarrow \max |\delta(q_i, x)| = 3$

a computation is then uniquely defined by

- an input word $w \in \Sigma^{\leq \omega}$
- a sequence (m_1, m_2, \dots, m_k) where m_i is the number label of the transition picked in the i -th step.

such a computation may not yet have halted, mind or it may already have halted prematurely.

$\Rightarrow (m_1, \dots, m_k), w \mapsto$ computation is not an injection or anything. It is a surjection, and therefore useful in enumerating all traces

ex take machine 8.7.1 and word $\Delta_{ba} \cdot abc$.

An accepting trace is

$$q_0 B \xrightarrow[3]{1,2,3} q_1 B \xrightarrow[3]{1,2,3} q_2 B \xrightarrow[1,2,3]{1,2,3} q_3 B \xrightarrow[1,2,3]{1,2,3} q_4 B$$

so any sequence in $\{1,2,3\}^3 \times \{3\} \times \{1,2,3\}^2$ will give this computation that accepts abc

Let M be a nondeterministic T.m that accepts by halting.

M' has : $\Sigma' = \Sigma$ $\Gamma' = \{x, \#x \mid x \in T'\}$
 $\cup \{1, 2, \dots, n\}$

M' is a three-tape deterministic T.m.

tape 1 : store input

tape 2 : copy input, simulate M , erase, repeat

tape 3 : hold sequence of form $\#m_1 \# \dots \# m_k$

(m_1, \dots, m_k)

~~Computability~~

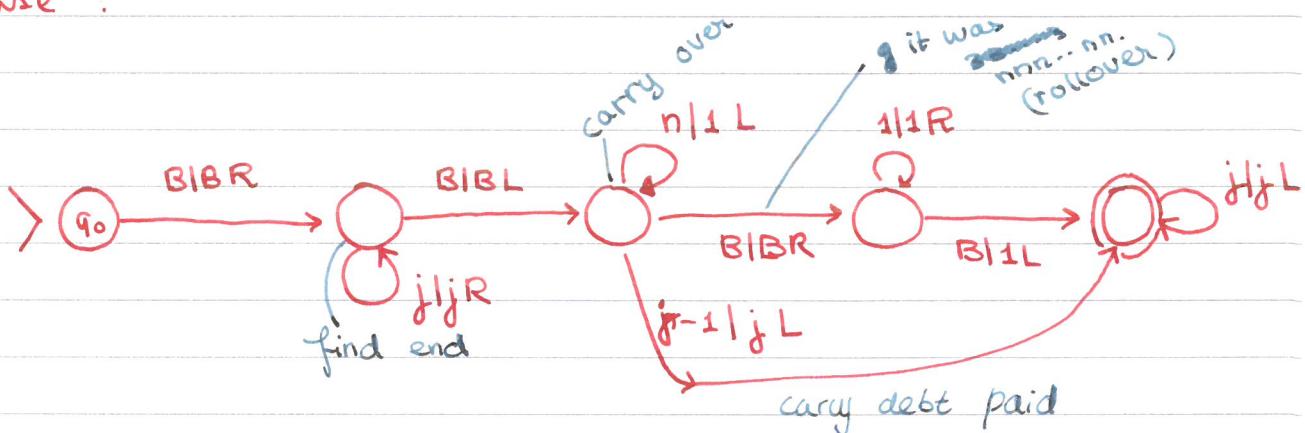
~~Practice exams (22-23)~~

M' does the following:

- 1) write a sequence $(m_1 \dots m_k)$ on tape 3
- 2) copy input w from tape 1 to tape 2
- 3) simulate M on w on tape 2, guided by sequence on tape 3
- 4) if the simulation halts ~~at~~ prior to finishing the sequence $(m_1 \dots m_k)$, accept
- 5) if the sequence is finished generate the next sequence in lexicographical order and continue with 1) (after clearing tape 2)

The values on t.3 are generated in lexicographic order. This ensures that if a computation with guiding seq. $(m_1 \dots m_k)$ does not halt, then all shorter computations did not halt either. It implies that if there is a halting computation, it will be reached in finite time.

To generate the next $(m_1 \dots m_k)$ in lexicographic order, we:



M knows when to stop simulating by reading a blank on tape 3

M knows where the left boundary on tape 2 is by marking the B at pos 0 on tape 2 with #: we $\#B \in T'$

~~M has states~~

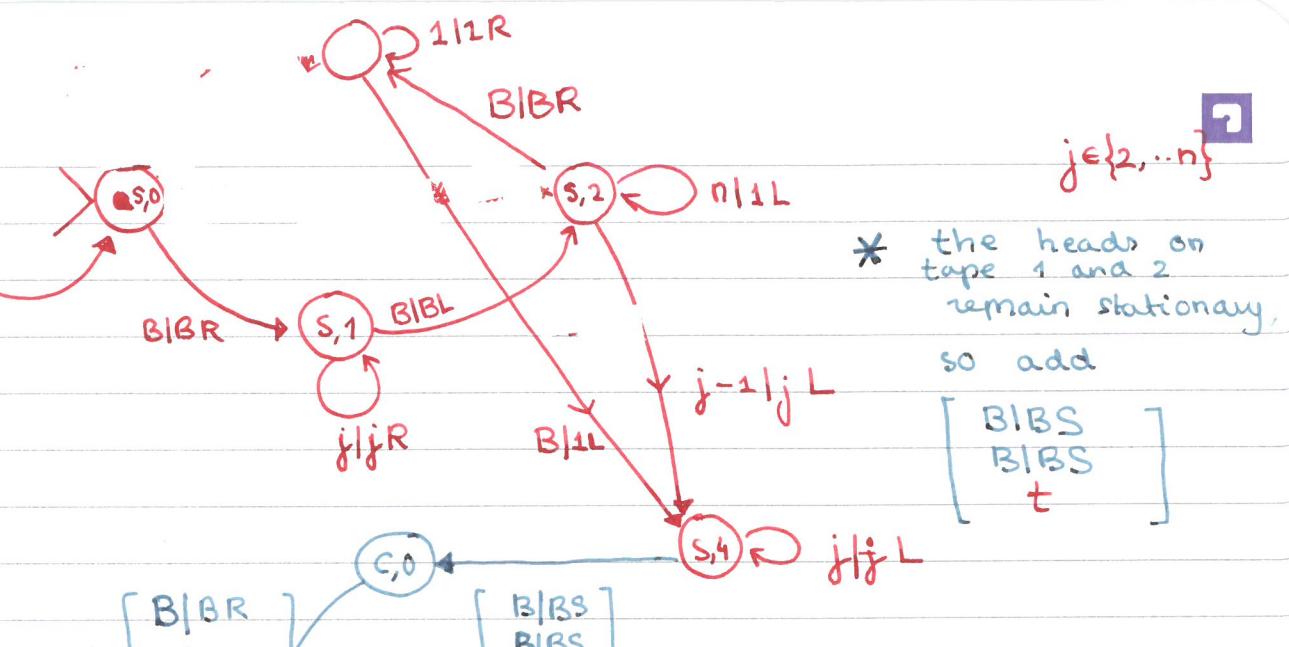
— machine M' , rigorously.

M' consists of phases

- generate sequence
- copy
- simulate
- erase

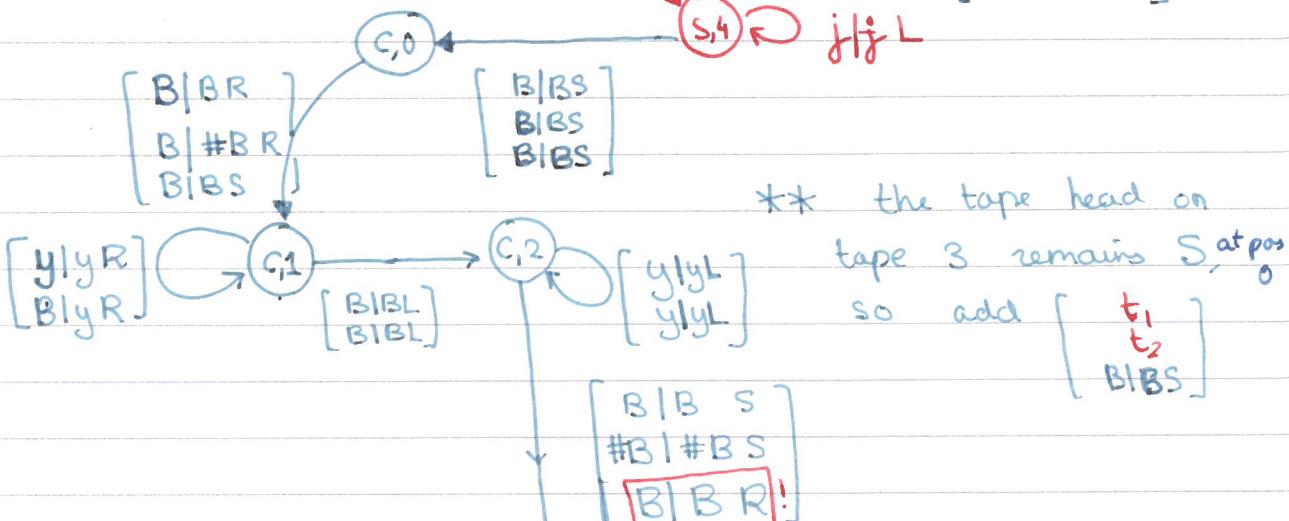
we therefore have states

$$Q_{M'} = Q_M \cup \{q_{S,j} \mid j=0,1,2,3,4\} \cup \{q_{C,j} \mid j=0,1,2\} \cup \{q_{E,j} \mid j=0,1,2,3\}$$



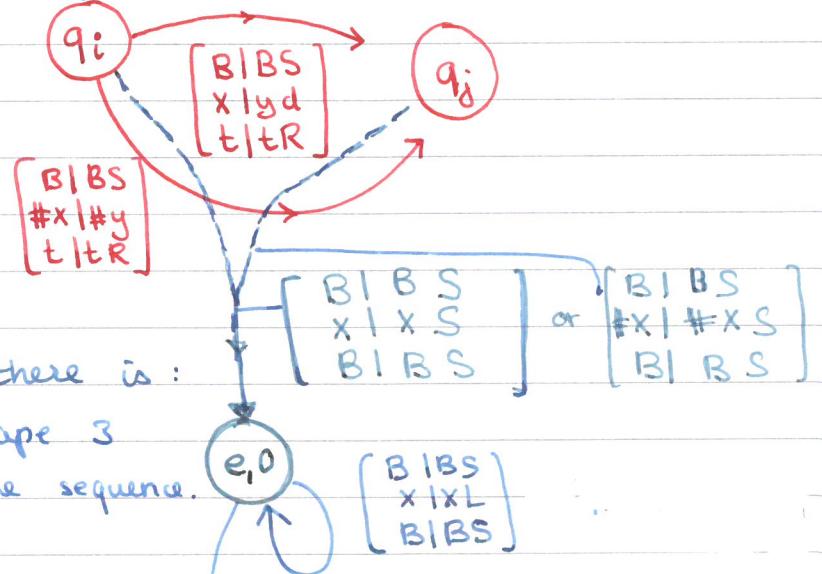
$j \in \{2, \dots, n\}$

* the heads on tape 1 and 2 remain stationary, so add $\begin{bmatrix} BIBS \\ BIBS \\ t \end{bmatrix}$



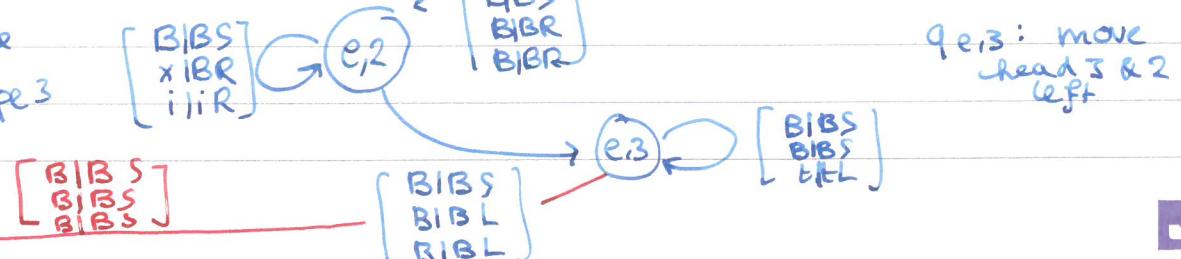
** the tape head on tape 3 remains S , at pos t , so add $\begin{bmatrix} t_1 \\ t_2 \\ BIBS \end{bmatrix}$

for (q_j, y, d)
 \in
 $\delta(q_j, x)$
with ordering label
 t .



how far to erase?
seq. on tape 3 has length
 $k \Rightarrow$ at most k transitions
 \Rightarrow only length k of tape 2 is used

$q_{e,2}$: erase along tape 3

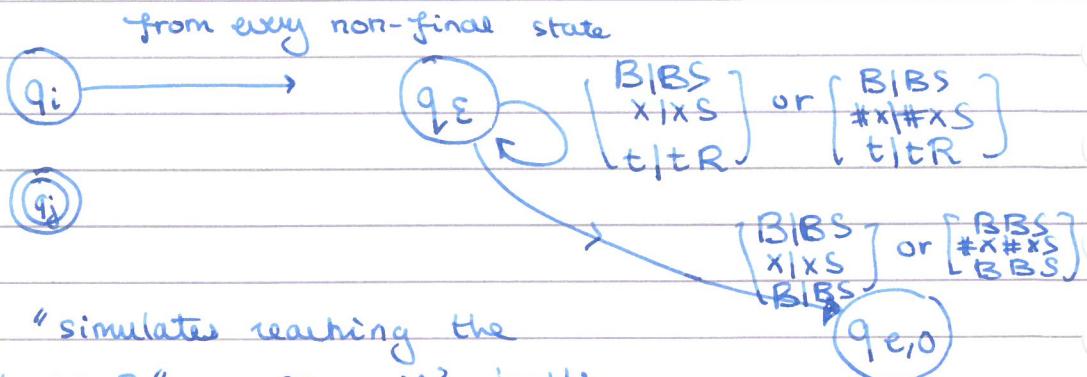


$q_{e,0}$: move head 2 left
 $q_{e,1}$: move head 3 left

$q_{e,3}$: move head 3 & 2 left

for a NTM that accepts by final state, we add a state

(If every computation in a non-deterministic turing machine halts, so will every computation in the corresponding M' deterministic in this way)



then q_e "simulates reaching the end of tape 3", so M' halts

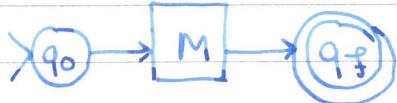
$\Leftrightarrow M$ halts with final state for some tape.

— This does not yet show that M' always halts. However, it is indeed a theorem that such an M' can be constructed (Sudkamp ex. 3.23)

\Rightarrow if there is a halting NTM accepting L , then L is recursive.

g.1.1 a DTM one tape with single final state,
 $M = (Q, \Gamma, \Sigma, \delta, q_0, q_f)$, computes a function $\Sigma^{\omega} \rightarrow \Sigma^{\omega}$ if

- 1) $\delta(q_0, x) \uparrow \Leftrightarrow x \neq B$ and $\delta(q_0, B) = [q_i, B, R]$
- 2) $\forall i \forall x, y \delta(q_i, x) \neq [q_0, y, d]$
- 3) $\delta(q_f, B) \uparrow$
- 4) $f(u) = v \Leftrightarrow q_0 Bu \xrightarrow{M} q_f Bv$
- 5) $\bullet f(u) \uparrow \Leftrightarrow q_0 Bu \uparrow$

M is depicted as macro 

and often explained with diagram

$$\begin{array}{c} B u B \\ \updownarrow \quad \updownarrow \\ B v \dots \end{array}$$

If f is n -ary ($n \geq 0$), $f: (\Sigma^{\omega})^n \rightarrow \Sigma^{\omega}$
then the arguments are placed on tape separated
by a single B

a total k -ary function $r: (\Sigma^{\omega})^k \rightarrow \{0,1\}$
defines a k -ary relation on Σ^{ω} .

g.2 A number-theoretic function has the form
 $\mathbb{N}^k \rightarrow \mathbb{N}$. We encode $x \in \mathbb{N}$ as the
string 1^{x+1} over the alphabet $\Sigma = \{1\}$

for a $L \subseteq \Sigma^{\omega}$, the characteristic function
is a total function $\chi_L: \Sigma^{\omega} \rightarrow \{0,1\}$.

L is recursive \Leftrightarrow there is a t.M. that
computes χ_L

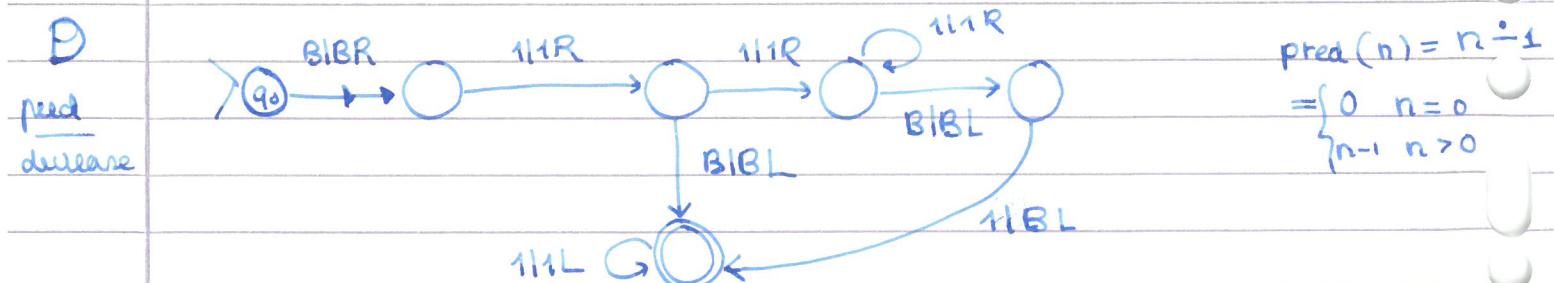
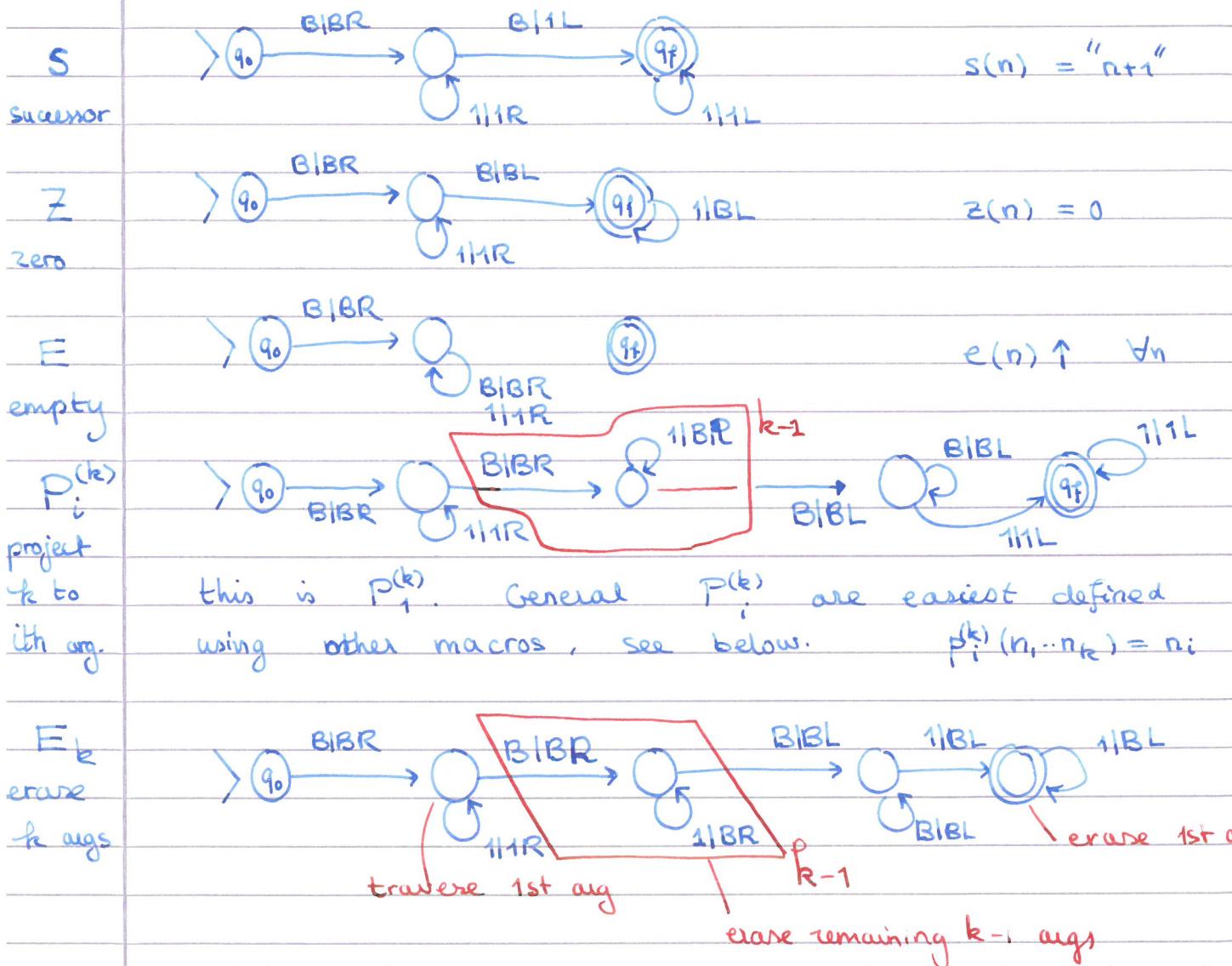
L is r.e \Leftrightarrow there is a t.M. that computes
one of its partial characteristic
functions

where a partial char. function for L is a function $\hat{\chi}_L: \Sigma^{<\omega} \rightarrow \{0, 1\}$ such that

$$\hat{\chi}_L(w) = 1 \text{ if } w \in L \text{ and}$$

$$\hat{\chi}_L(w) = 0 \text{ or } \hat{\chi}_L(w) \uparrow \text{ if } w \notin L.$$

some macros:



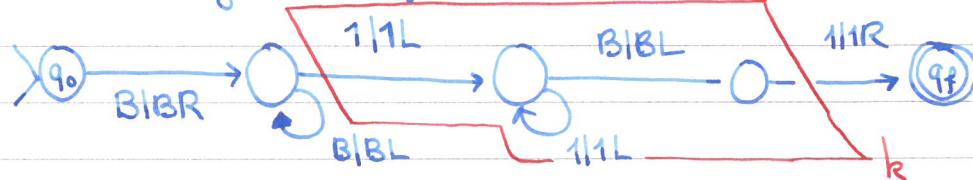
since there is only a transition $B|BR$ out of q_0 and no $B|B-$ out of q_f , we can concatenate macros to compute compositions of computable functions

$\Rightarrow T\text{-comp } f_n \text{ are closed under composition!}$

other macros:

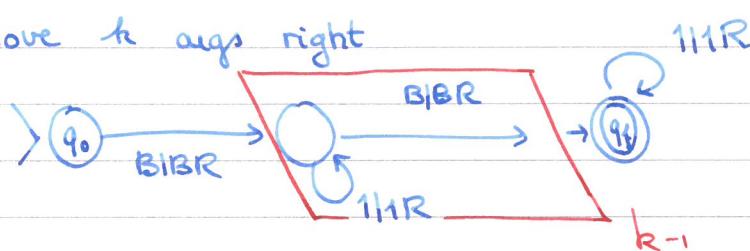
ML_k

move k arguments left



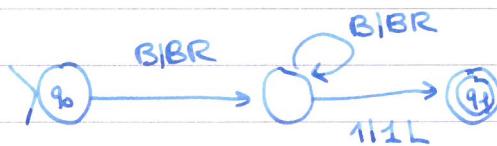
MR_k

move k args right



FR

find right



$$\begin{array}{c} B \quad B^i \bar{n} B \\ \Downarrow \quad \Downarrow \\ B^i \underline{B} \bar{n} B \end{array}$$

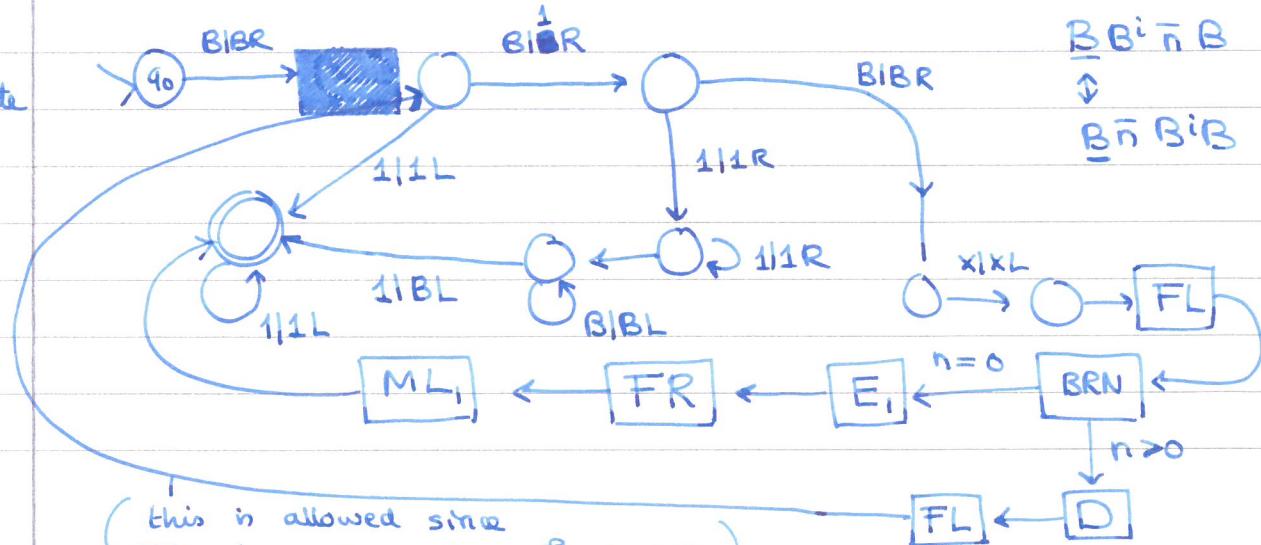
FL

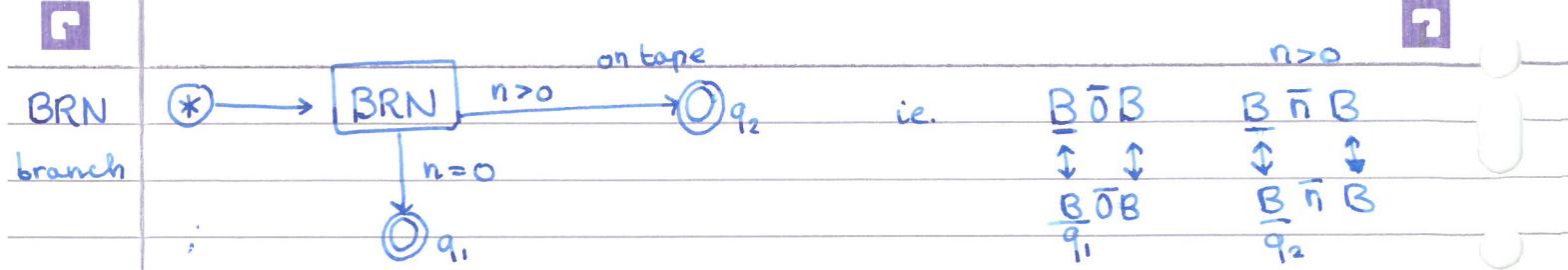
find left



$$\begin{array}{c} B \bar{n} B^i B \\ \Downarrow \quad \Downarrow \\ B \bar{n} \underline{B} B^i \end{array}$$

T
translate





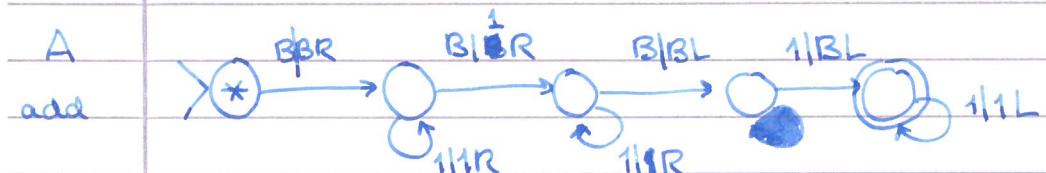
$n > 0$

$$\begin{array}{c} \underline{B\bar{0}B} \\ \uparrow \quad \downarrow \\ \underline{B\bar{0}B} \\ q_1 \end{array} \quad \begin{array}{c} \underline{B\bar{n}B} \\ \uparrow \quad \downarrow \\ \underline{B\bar{n}B} \\ q_2 \end{array}$$

note we assume Erase(b) does not read any tape symbol beyond the first k arguments & trailing one B :

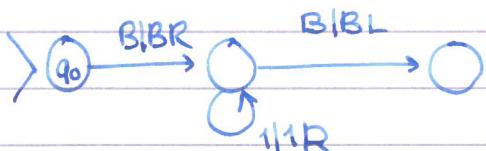
$\underbrace{B\bar{n}_1 \dots \bar{n}_k B}_{\text{only this.}}$

Then $P_i^{(k)}$ is given by



S

$$\text{sub}(n,m) = n - m = \begin{cases} 0 & n < m \\ n-m & \text{otherwise} \end{cases}$$



F

F

def

a number-theoretic function $\mathbb{N}^n \rightarrow \mathbb{N}^k$ is computable if there is a Turing machine that computes it

g.4.3

Since such T.M must satisfy points 1) - 5) of g.1.1, they can be "composed".

- there is only a BIBR transition out of q_0
- there is no Bl-- transition out of q_f
- so "merging" q_0 of M_2 with q_f of M_1 gives a new machine $\rightarrow [M_1] \rightarrow [M_2] \rightarrow$ which is therefore deterministic and computes precisely $m_2 \circ m_1$

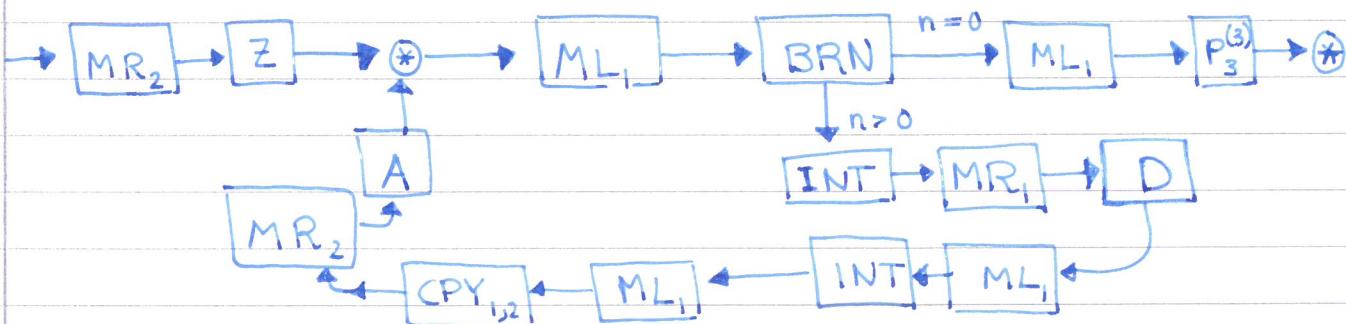
ex.

$$\begin{aligned} \text{MULT : by Peano arithmetic, } \\ n \cdot s(m) &= (n \cdot m) + n \\ n \cdot 0 &= 0 \end{aligned}$$

approach:

$$\begin{aligned} &- \bar{m} \quad \bar{n} \\ &- \bar{m} \quad \bar{n} \quad \bar{0} \\ &- \bar{m} \quad \bar{n} \quad \bar{a} \\ &- \bar{m} \quad \bar{n}-1 \quad \bar{a} \xrightarrow{n>0} \bar{m} \\ &- \bar{m} \quad \bar{n}-1 \quad \bar{a}+m \end{aligned}$$

Therefore



def

g.4.1

Here, the (only natural) convention is that $f \circ g(n) \uparrow$ if $g(n) \uparrow$ or $f(g(n)) \uparrow$

g.5.2

(Vectorized composition) If $g_1 \dots g_n : \mathbb{N}^k \rightarrow \mathbb{N}$
then we let $(g_1 \dots g_n) : \mathbb{N}^k \rightarrow \mathbb{N}$ through
 $(g_1 \dots g_n)(\vec{x}) = \begin{cases} \uparrow & \text{if } \exists j \quad g_j(\vec{x}) \uparrow \\ (g_1(\vec{x}) \dots g_n(\vec{x})) & \text{otherwise} \end{cases}$

& in this way, we can compose :

$$f \circ (g_1 \dots g_n) \quad \text{where} \quad g_i : \mathbb{N}^k \rightarrow \mathbb{N} \\ f : \mathbb{N}^n \rightarrow \mathbb{N}$$

9.5.2 A Turing machine is defined by its transition function, " δ " in Sudkamp. Such a transition function is determined by a finite set of instructions in $Q \times \Gamma \times Q \times \Gamma \times \{L, R\}$.

\Rightarrow We can embed the set of Turing computable functions T_C in $P_{fin}(Q \times \Gamma \times Q \times \Gamma \times \{L, R\})$

where $P_{fin}(X) = \{S \subseteq X \mid S \text{ finite}\}$.

$$T_C \hookrightarrow P_{fin}(Q \times \Gamma \times Q \times \Gamma \times \{L, R\})$$

it can be shown that $P_{fin}(S) \cong S^*$

and if S is finite then $|S^*| = \omega$

$\Rightarrow |T_C| \leq \omega$

\Rightarrow There are only countably many T_C functions.

But there are at least $|\mathbb{N}|^{|\mathbb{N}^I|} = \omega^\omega > \omega$
(partial) number-theoretic functions

\Rightarrow There are many more incomputable functions than computable functions

13.1.- (explicit example: Ackermann's function)

i) $A(0, y) = y + 1$

ii) $A(x+1, 0) = A(x, 1)$

iii) $A(x+1, y+1) = A(x, A(x+1, y))$

note: this is not primitive recursion, so you would need to prove existence first.

13.6.2 For every one-variable $f \in PR$, $\exists x \quad f(x) < A(x, x)$

13

(Primitive recursion) given a well-order (L, \leq) with limit elements $I \subset L$ and successors $s(x), x \in L$, If $g: L^n \times I \rightarrow S$ is a map and $h: L^{n+2} \rightarrow S$ is a map (where S is any set) then there is a unique map $f: L^{n+1} \rightarrow S$ st

$$\forall \vec{x} \in L^n \quad \forall \ell \in I \quad f(\vec{x}, \ell) = g(\vec{x}, \ell)$$

$$\forall \vec{x} \in L^n \quad \forall y \in L \quad f(\vec{x}, s(y)) = h(\vec{x}, y, f(\vec{x}, y))$$

f is "defined" by primitive recursion from g, h .

proof

uniqueness: suppose f, \tilde{f} both suffice, but

$$f(\vec{x}, y) \neq \tilde{f}(\vec{x}, y), \text{ where we assume wlog that } y = \min \{ \tilde{y} : \exists \vec{x} \in L^n \quad f(\vec{x}, \tilde{y}) \neq \tilde{f}(\vec{x}, \tilde{y}) \}$$

- if y is a limit element, clearly $f(\vec{x}, y) = g(\vec{x}, y) = \tilde{f}(\vec{x}, y)$
- if not, $y = s(z), z \in L$, and $f(\vec{x}, z) = \tilde{f}(\vec{x}, z)$
 $\Rightarrow f(x, s(z)) = h(x, z, f(\vec{x}, z)) = h(x, z, \tilde{f}(\vec{x}, z)) = \tilde{f}(\vec{x}, z)$

so f is unique.

existence: for $y \in L$, we can define $f_y: L^n \times L \xrightarrow{y} S$

$$\text{By } f_y(\vec{x}, \ell) = g(\vec{x}, \ell) \text{ for limit } \ell$$

$$\cdot f_{s(y)}(\vec{x}, u) = \begin{cases} f_y(\vec{x}, u) & \text{if } u < y \\ h(\vec{x}, y, f_y(\vec{x}, y)) & \text{if } u = y \end{cases}$$

The definition of f_y does not involve a recursive equation. Moreover, we see $f_y \subseteq f_z$ for $y \leq z$, so $\bigcup_{z \in L} f_z$ is a function, and it is defined on $L^n \times L$

$$\Rightarrow f = \bigcup_{z \in L} f_z \text{ is our unique and required fnc. } \square$$

def

(Prim. rec. Func. set) The set PR constitutes the smallest subset of functions $N^n \rightarrow N$ such that

- 1) $z = c^{(1)}_0 \in PR$, $s: x \mapsto "x+1" \in PR$, $p_i^{(n)} \in PR$
- 2) PR is closed under (vectorized) composition
- 3) PR is closed under primitive recursion.

$$\begin{array}{ll} \text{vbd} & \text{add}(n, 0) = n, \quad \text{add}(n, m+1) = \text{add}(n, m) + 1 \\ \text{vbd} & \text{mult}(n, 0) = 0, \quad \text{mult}(n, m+1) = \text{mult}(n, m) + n \end{array}$$

thrm Every f.e.P.R. is Turing-computable.

13.1.3

proof We already have macros for $Z, S, P^{(n)}$, and we know that compositions of T.c fnc. are T.c.

We only need to show that a fnc. defined through primitive recursion, can be effectively computed.

We show this for $\vec{x} \in \mathbb{N}$. The case $\vec{x} \in \mathbb{N}^n$ is analogous.

Start : 1) $\underline{B} \vec{x} B \vec{y}$

2) $\underline{B} \vec{0} \underline{B} \vec{x} B \vec{y} \rightarrow y > 0$

$y=0$ (3) $\underline{B} \vec{0} \underline{B} \vec{x} B \vec{y} \underline{B} \vec{x} B \vec{y-1}$

4) call $g ; ML_2$ $\underline{B} \vec{0} \underline{B} \vec{x} B \vec{y} \dots \underline{B} \vec{x} B g(0) = f(\vec{x}, 0)$

5) call $h ; ML$

$\rightarrow MR_2 \rightarrow \exists \rightarrow ML_1 \rightarrow INT \rightarrow ML_1 \rightarrow INT \rightarrow MR_2$

$\xrightarrow{\quad} O \xleftarrow{\quad}$
 $BRN \xrightarrow{y>0} ML_1 \rightarrow CPY_2 \rightarrow MR_2 \rightarrow D$
 $\downarrow y=0$

$ML_1 \rightarrow CPY_{1,1} \rightarrow MR_1 \rightarrow G$

$ML_3 \rightarrow BRN \xrightarrow{>0} MR_1 - H$
 $\downarrow O$
 $P^{(5)}_5$

This writes a call
"stack" on the tape,
so to speak.

There are other versions
that do not expand a
stack first, but simply
keep a counter.

This proves that primitive recursively defined fnc. are Turing computable



table	$\text{add} = (+)$	$x + 0 = x$	$x + (y+1) = (x+y)+1$
13.1	$\text{mult} = (\cdot)$	$x \cdot 0 = 0$	$x \cdot s(y) = (x \cdot y) + x$
	pred	$\text{pred}(0) = 0$	$\text{pred}(x+1) = x$
	$\text{sub} = (\dot{-})$	$\text{sub}(n, 0) = n$	$\text{sub}(n, s(m)) = \text{pred}(\text{sub}(n, m))$
	$\text{exp} = \dot{\wedge}$	$\text{exp}(x, 0) = 1$	$\text{exp}(x, s(y)) = x \cdot \text{exp}(x, y)$
	const_y	$\text{const}_y(x) = y$	

def
13.2.— A number-theoretic predicate is a number-theoretic fnc. with range $\{0, 1\}$.

table	Sgn	$\text{sgn}(0) = 0$	$\text{sgn}(y+1) = 1$
13.2	cosgn	$\text{cosgn}(0) = 1$	$\text{cosgn}(y+1) = 0$
	lt	$\text{lt}(x, 0) = 0$	$\text{lt}(y+1) = \text{sgn}(x - y)$
	gt	$\text{sgn}(y - x)$	
	eq	$\text{cosgn}(\text{lt}(x, y) + \text{gt}(x, y))$	
	neq	$\text{sgn}(\text{lt}(x, y) + \text{gt}(x, y))$	

logical operators : $\text{not } p_1 \equiv \text{cosgn} \circ p_1$
 $p_1 \wedge p_2 \equiv p_1 \cdot p_2 = \text{mult} \circ (p_1, p_2)$
 $p_1 \vee p_2 \equiv \text{sgn}(p_1 + p_2) = \text{sgn} \circ \text{add} \circ (p_1 \cdot p_2)$

13.2.1 if $g \in PR$ and $f : \mathbb{N}^n \rightarrow \mathbb{N}$ with $g(\vec{x}) \neq f(\vec{x})$
thrm for a finite set $\{\vec{x}_1, \dots, \vec{x}_n\} \subseteq \mathbb{N}^n$. Then $f \in PR$

$$f(\vec{x}) = \left[\prod_{i=1}^n \text{ne}(\vec{x}, \vec{x}_i) \right] \cdot g(\vec{x}) + \sum_{i=1}^n \text{eq}(\vec{x}, \vec{x}_i) \cdot \underbrace{f(\vec{x}_i)}_{\text{constant}}$$

This is clearly a "finite" composition of PR functions \square

13.2.2 Permuting variables preserves PR.

thm

$\tau \in \text{TT}_n$. Then $\tau = (p_{\tau(1)}^{(n)} \dots p_{\tau(n)}^{(n)})$
so $\tau \in PR$. It follows $f \circ \tau \in PR$, $\forall f \in PR$, by def \square

§13.3

13.3.1 If $g : \mathbb{N}^n \times \mathbb{N} \rightarrow \mathbb{N}$ is PR then
thrm

$$\begin{aligned} i) \quad f(\vec{x}, y) &= \sum_{i=0}^y g(\vec{x}, i) \\ ii) \quad f(\vec{x}, y) &= \prod_{i=0}^y g(\vec{x}, i) \quad \text{are PR.} \end{aligned}$$

proof i) $f(\vec{x}, 0) = 0$
 $f(\vec{x}, y+1) = f(\vec{x}, y) + g(\vec{x}, y+1)$
ii) $f(\vec{x}, 0) = 1$
 $f(\vec{x}, y+1) = f(\vec{x}, y) \cdot g(\vec{x}, y+1)$

13.3.2 If $\ell : \mathbb{N}^n \rightarrow \mathbb{N}$, $u : \mathbb{N}^n \rightarrow \mathbb{N}$ are PR, then

$$\begin{aligned} i) \quad f(\vec{x}) &= \sum_{i=\ell(\vec{x})}^{u(\vec{x})} g(\vec{x}, i) \quad ii) \quad f(\vec{x}) &= \prod_{i=\ell(\vec{x})}^{u(\vec{x})} g(\vec{x}, i) \quad \text{are PR} \end{aligned}$$

proof i), ii) assure $\ell(\vec{x}) \leq u(\vec{x})$ with predicate
 $W(\vec{x}) := (t(\ell(\vec{x}), u(\vec{x})) \quad (\text{wrong})$
 $G(\vec{x}) := ge(\ell(\vec{x}), u(\vec{x})) \quad (\text{good})$

define $\tilde{g}(\vec{x}, y) = g(\vec{x}, \ell(\vec{x}) + y)$
 ~~$\tilde{g}(\vec{x}, y) = g(\vec{x}, \ell(\vec{x}) + y)$~~

this is PR since in the base, we depend only on g ,
which is another fnc than \tilde{g} , and in the recursive
case we depend only explicitly on $g(\vec{x}, y)$

$\tilde{g} \in \text{PR}, l, u \in \text{PR}$ gives $\vec{x} \mapsto \sum_{i=0}^y \tilde{g}(\vec{x}, i + u(\vec{x}) - \ell(\vec{x})) \in \text{PR}$
To ensure that $f = \tilde{g}$ only when $u(\vec{x}) \geq \ell(\vec{x})$
we use W and G .

$$\begin{aligned} \tilde{f}_1(\vec{x}, y) &= \sum_{i=0}^y \tilde{g}(\vec{x}, i) \in \text{PR} \quad (\text{thrm 13.1}) \\ \tilde{f}_2(\vec{x}, y) &= \prod_{i=0}^y \tilde{g}(\vec{x}, i) \in \text{PR} \end{aligned}$$

$$\Rightarrow i). \quad f(\vec{x}) = W(\vec{x}) \cdot 0 + G(\vec{x}) \cdot \tilde{f}_1(\vec{x}, u(\vec{x}) - \ell(\vec{x}))$$

$$ii). \quad f(\vec{x}) = W(\vec{x}) \cdot 1 + G(\vec{x}) \cdot \tilde{f}_2(\vec{x}, u(\vec{x}) - \ell(\vec{x})) \quad \text{are PR} \quad \square$$

def

13.3.-

(Bounded minimization) let $p: \mathbb{N}^{n+1} \rightarrow \mathbb{N}$ be PR
and $p(\vec{x}, y) \in \{0, 1\} \forall \vec{x} \forall y$.

$$\mu z \leq y. [p(\vec{x}, z)] = \begin{cases} \min \{z \in \mathbb{N} \mid z \leq y, p(\vec{x}, z) = 1\} \\ y+1 \text{ if the above set is } \emptyset. \end{cases}$$

thrm

13.3.3

$f(\vec{x}, y) := \mu z \leq y. [p(\vec{x}, z)]$ is PR if p is.

proof

$$f(\vec{x}, 0) = 0 \text{ if } p(\vec{x}, 0) \text{ else } 1$$

$$= \text{cosg}(p(\vec{x}, 0))$$

$$f(\vec{x}, y+1) = \begin{cases} f(\vec{x}, y) & \text{if } f(\vec{x}, y) \neq y+1 \\ \text{else } (y+1 \text{ if } p(\vec{x}, y+1) \\ \text{else } y+2) \end{cases}$$

$$= \text{ne}(f(\vec{x}, y), y+1) \cdot f(\vec{x}, y) +$$
$$\text{eq}(f(\vec{x}, y), y+1) \cdot (p(\vec{x}, y+1) \cdot (-1) + y+2)$$

clearly PR.

$\vec{x} \mapsto \text{cosg}(p(\vec{x}, 0))$ is our base fnc.

The recursive fnc is only dependent explicitly on y , $f(\vec{x}, y)$ and \vec{x} .

