

# Recursion

Recursion is a technique which is useful when a task can be split into similar, but smaller, subtasks.

When an algorithm calls itself, we say that the algorithm is **RECURSIVE**.

Let's think about the algorithm for calculating the power  $n$  of a natural number  $x$ :  $\text{power}(x, n)$

e.g.  $\text{power}(3, 2) = 8 \Rightarrow 2^3 = x^n$

We can have an **ITERATIVE** algorithm:

**POWER**( $x, n$ )

result = 1

for  $i = 1$  to  $n$  do

result = result \*  $x \rightarrow \text{result} *= x$

return result

RECURSIVE version:

**POWER**( $x, n$ )

if ( $n == 1$ ) then  $\rightarrow$  **BASE** of RECURSION because it produces the obvious result  $\text{pow}(x, 1) = x$

return  $x$

else

return  $x * \text{pow}(x, n-1)$

recursive call

$\rightarrow$  **RECURSIVE STEP**  $\rightarrow$  this goes on until  $n$  reaches 1

last in first out

base case

The execution stack  $\rightarrow$  a stack is a LIFO structure

When an algorithm calls itself (nested call):

- 1) the current execution is paused
- 2) the execution context associated with the current alg-exec is stored in a stack  $\rightarrow$  local variables
- 3) the nested call executes
- 4) after it ends, the previous exec context is retrieved from the stack and the execution is resumed from where it stopped.

Any recursion can be rewritten in an iterative way  $\rightarrow$  it may be hard

## Recursion: Example

Example:  $\text{power}(2, 3)$

1 execution,  $n \neq 1$  thus we call  $\text{power}(x, n-1) \rightarrow \text{power}(2, 2)$

insert context in the stack

Execution stack

→ context  $\{x: 2, n: 1\}$

→ context  $\{x: 2, n: 2\}$

→ context  $\{x: 2, n: 3\}$

2 execution,  $n \neq 1$  thus we call  $\text{power}(2, 1)$

insert context in the stack

3 execution,  $n = 1$  thus we return  $x \Rightarrow$  return 2  
↳ remove context from the stack.

Restore the previous call from the top of the stack

→  $\text{power}(2, 2)$

↳ subcall  $\text{power}(2, 1)$  that already returned 2

↳ return  $2 * 2 = 4$

↳ remove context  $\{x: 2, n: 2\}$  from the stack

Restore the previous call from the stack

context  $\{x: 2, n: 3\} \rightsquigarrow \text{power}(2, 3)$

↳ subcall  $\text{power}(2, 2)$  that already returned 4

↳  $2 * 4 = 8 \rightarrow$  return 8

The recursion depth in this case was 3.

# Mergesort

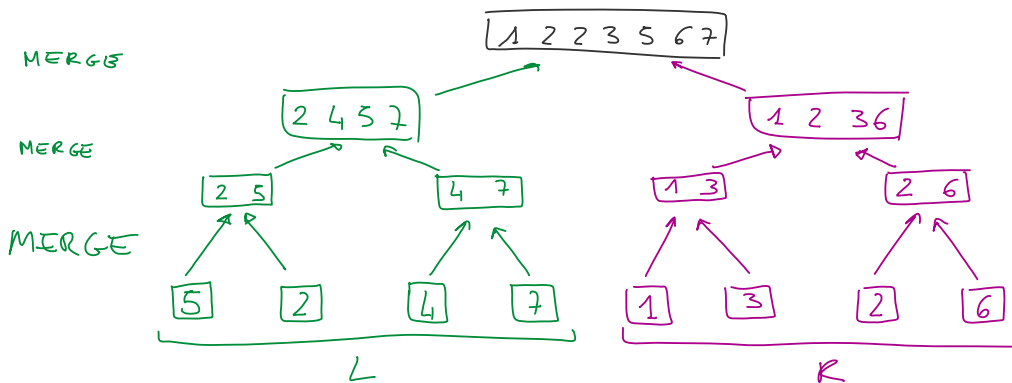
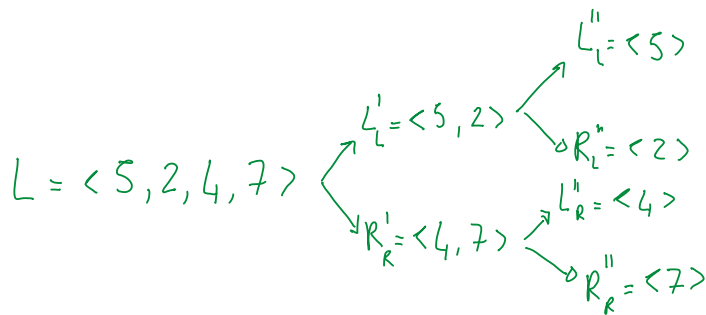
Merge-sort: An example. Input sequence:  $\langle 5, 2, 4, 7, 1, 3, 2, 6 \rangle$

The first operation is  $\Rightarrow$  DIVIDE  $\leadsto L = (A, p, q) = (A, 1, q)$  where  $q = \lfloor (p+r)/2 \rfloor = 4$   
 $R = (A, q+1, r) = (A, 5, 8)$

$\hookrightarrow L = \langle 5, 2, 4, 7 \rangle$      $R = \langle 1, 3, 2, 6 \rangle$

The 2<sup>nd</sup> and 3<sup>rd</sup> operations are RECURSIVE calls of merge-sort

$\hookrightarrow$  we keep dividing the sequences



MERGE-SORT( $A, p, r$ )

if  $p < r$  then

$q = \lfloor (p+r)/2 \rfloor$

MERGE-SORT( $A, p, q$ )

MERGE-SORT( $A, q+1, r$ )

MERGE( $A, p, q, r$ )

Example

We keep dividing the sequences until  $p \geq r$

It's actually  $q+1$  in the second recursive call

when we divide  $\langle 2, 6 \rangle$ ,  $r=8$  and  $p=7 \Rightarrow q=7$   
 then we have  $\langle 6 \rangle$  with  $r=8$  and  $p=8 \Rightarrow q=8 \geq r \Rightarrow$  stop

# Merge pseudocode

Input sequence:  $\langle 5, 2, 4, 7, 1, 3, 2, 6 \rangle$   $p=1$   $r=8$   $q = \lfloor (p+r)/2 \rfloor$

MERGE(A, p, q, r)  $\leadsto$  instance size:  $n = r - p + 1$

$n_1 = q - p + 1$  // set the length of the array

$n_2 = r - q$  //

let  $L[1, \dots, n_1+1]$  and  $R[1, \dots, n_2+1]$  be new arrays

$\Theta(n)$   $\left[ \begin{array}{l} \text{for } i = 1 \text{ to } n_1 \text{ do} \\ \quad L[i] = A[p+i-1] \end{array} \right]$  // copy the elements in A in L

$\Theta(n)$   $\left[ \begin{array}{l} \text{for } j = 1 \text{ to } n_2 \text{ do} \\ \quad R[j] = A[q+j] \end{array} \right]$  // copy the elements in R

$L[n_1+1] = \infty$   
 $R[n_2+1] = \infty$  } sentinels

$i = 1$

$j = 1$

$\text{for } k = p \text{ to } r \text{ do}$

$\text{if } L[i] \leq R[j] \text{ then}$   
 $\quad A[k] = L[i]$   
 $\quad i++$

} copy the smallest element in A  
in this case we take it from L  
and then we move to the next position

$\text{else}$   
 $\quad A[k] = R[j]$   
 $\quad j++$

} in this case we take the element from R

This loop iterates over all the elements to be merged

$\Theta(n)$

The merge procedure takes  $\Theta(n)$ . Let's analyse MERGE-SORT.

MERGE-SORT(A, p, r)

$\text{if } p < r \text{ then}$   $\leadsto$  if  $p \geq r$  then A has at most 1 element  $\Rightarrow$  already sorted.

$q = \lfloor (p+r)/2 \rfloor$  else  $\rightarrow$  keep dividing the sequence until  $|A|=1$  or then MERGE

MERGE-SORT(A, p, q)

MERGE-SORT(A, q+1, r)

MERGE(A, p, q, r)

## Analysis of the running time

$$T(n) = \begin{cases} \Theta(1) & \text{if } n \leq c \\ 2T\left(\frac{n}{2}\right) + D(n) + C(n) & \text{otherwise} \end{cases} \quad \left| \rightarrow \text{general case for a recursive algorithm} \right.$$

$T(n)$  is the running time of the algorithm. In the case the size of the problem is smaller than a constant  $c$ , then the running time is constant  $\leadsto \Theta(1)$

Let's suppose that we divide the input instance in 2 subproblems of size  $\frac{n}{2}$ , then each subproblem requires  $T\left(\frac{n}{2}\right)$  to be solved which is  $2T\left(\frac{n}{2}\right)$  for the whole problem.

To this we need to add the divide time  $D(n)$  and the combine time  $C(n)$

For analysing MERGE-SORT, let's consider  $n = \text{power of } 2$  such that each subproblem is exactly  $\frac{n}{2} \rightarrow$  this assumption has no sizeable impact on the running time

Divide: We split an array in 2, the cost is constant:  $D(n) = \Theta(1)$

Conquer: We need to solve 2 subproblems of size  $\frac{n}{2}$ , thus  $2T\left(\frac{n}{2}\right)$

Combine: We saw that the merge procedure takes  $\Theta(n)$

$$\downarrow T(n) = \begin{cases} \Theta(1) & \text{if } n \leq c \Rightarrow n=1 \\ 2T\left(\frac{n}{2}\right) + \Theta(n) & \text{otherwise} \end{cases}$$