



Control Structures and Abstraction

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Today

- Recap
- Dynamic scoping
- Abstraction of control
- Methods of parameter passing

Agenda

- 1.
- 2.
- 3





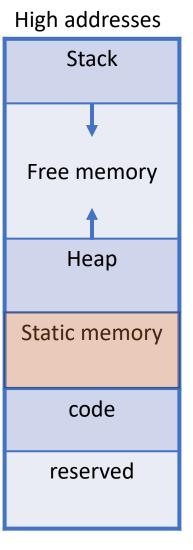
LET'S RECAP...

Recap



Static allocation

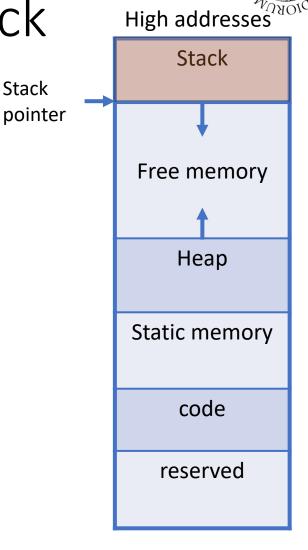
- Performed by the compiler before the execution
- An object has an absolute address (fixed zone of the memory) that is maintained throughout the execution of a program



Low addresses

Dynamic allocation: stack

- For every runtime instance of a subprogram, we have an activation record (or frame), that contains the information about this instance
- In a similar (but simpler) way, each block also has an activation record
- Since we have block-structuredness (nested blocks), the stack (LIFO) is the natural data structure for this
- The stack on which activation records are stored is called the runtime (or system) stack.
- While not necessary, a stack can also be used in languages without recursion, to reduce memory usage



Stack

Low addresses



```
{int fact (int n) {
    if (n<=1) return 1;
    else return n*fact(n-1);
}}</pre>
```

Dynamic chain pointer

Static chain pointer

Return address

Address for result

Address of the location

where the final value of

fact(n) should be placed

Parameters n

Local variables

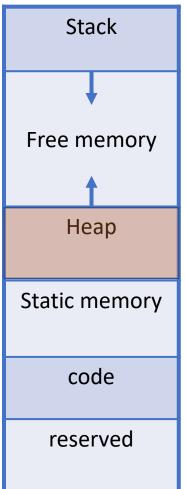
Intermediate results
fact(n-1)



Heap

- Heap: Region of memory in which blocks (and sub-blocks) can be allocated and deallocated at arbitrary moments
- This is necessary when the language allows:
 - Explicit allocation of memory at runtime
 - Objects of varying size (e.g., arrays of variable size)
 - Objects whose lifetime is not LIFO
- Heap management is nontrivial
 - Efficient allocation of space: Avoiding fragmentation
 - Speed of access

High addresses





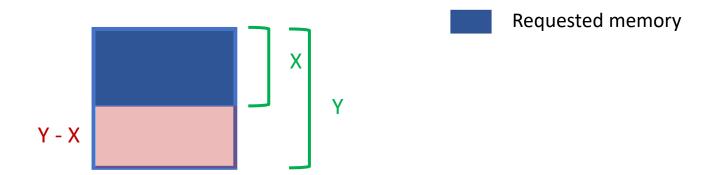
Two types of heap management methods

- We can distinguish two heap management methods:
 - Blocks of fixed size
 - Blocks of variable size



Internal Fragmentation

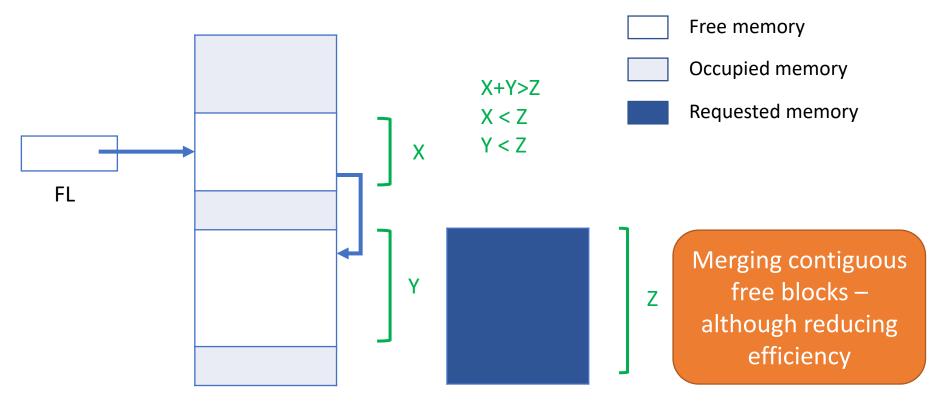
- The needed space is of size X
 - A block of size Y > X is allocated
 - Space of size Y X is wasted





External Fragmentation

 The needed space is available but not usable, as it is broken up into pieces that are too small



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How to deal with fragmentation?

Single free list

- Direct use of free list: when a block is deallocated adjacent blocks are checked and if free, they are compacted
- Free memory compaction: when the end of the space is reached, all blocks active moved to the end and free memory is contiguous

Multiple free lists

- Buddy system: k lists, with the kth list having blocks of size 2^k
- Fibonacci heap: similar, but uses Fibonacci numbers as block size (that grows more slowly than 2^k)





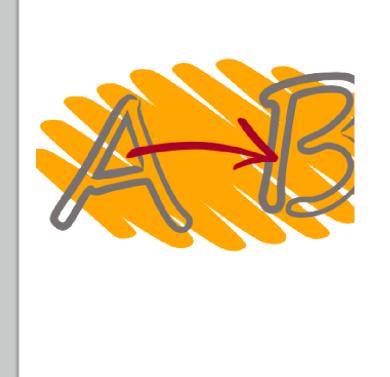
Scoping rules



Implementation of scoping rules

- For resolving non-local references we need to find the activation record that corresponds to the right block in which the name has been declared
- The order in which to examine the activation records depends on the type of scoping considered
- Static scoping
 - Static chain
 - Display
- Dynamic scoping
 - Association-list
 - Central table of the environment





Static (lexical) scoping

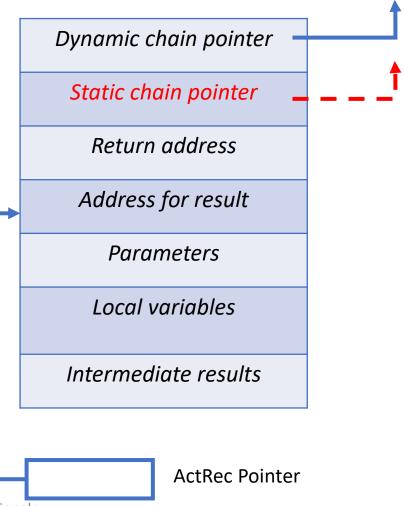


- In static scoping the order in which the activation records have to be consulted for resolving non-local references is not the one of the stack
- The first activation record within which to look is defined by the textual structure of the program
- To this aim we can use the static chain





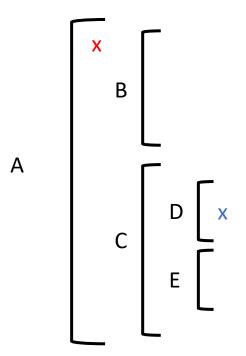
- Static chain pointer: Pointer to the activation record of the block that immediately contains the text of the current block
- A static link depends on the static nesting of the declarations of the procedure

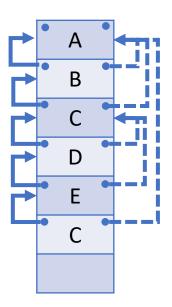




Follow the static chain

• Sequence of calls: A, B, C, D, E, C





In order to retrieve the correct reference to x (a non-local reference in D), we need to follow the static chain



Static chaining: two issues

- How many steps we need to carry out in the static chain at runtime?
 - We would like to be efficient at runtime without checking each activation record declaration along the static chain
- How do we know what is the static chain pointer of a new activation record?



How many steps in the static chain?

- Given a variable used in a block, at compilation time we can compute
 - The chain offset: how many steps back in the static chain we have to carry out in order to reach the activation record of the block in which it is declared
 - The local offset: the offset in the activation record to reach the variable declaration
- At runtime, we can use the chain and local offset to reach the definition of the variable





At compilation time

- The static depth of a block is an integer associated with a static scope whose value is the depth of nesting of that scope
- The chain offset of a nonlocal variable is the difference between the static depth of the usage and that of the scope where it is declared
- We can determine (chain, offset, local offset)
- At runtime we know that x in D is (2, local offset)

Assuming that A is the main

$$\begin{array}{c|c}
X \\
B \\
SD (A) = 0 \\
SD (B) = SD (C) = 1 \\
SD (D) = SD (E) = 2
\end{array}$$

$$\begin{array}{c|c}
C \\
E \\
E
\end{array}$$

$$CO(x, D) = SD(D) - SD(A) = 2 - 0 = 2$$



How to determine the static link of the callee?

- Usually, when a new block is entered, the caller should determine the static chain pointer and pass it to the callee
- Infos can be determined at compilation time
- The caller has the following information:
 - Static nesting of blocks determined by the compiler: if the caller is at nesting level m and the callee n, the distance between them is k=m-n+1
 - Its own activation record





How to determine the static link of the callee?

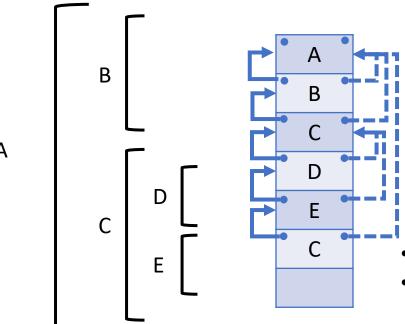
- When the caller C calls the callee P, we can have only two cases (according to the visibility rules):
 - a. P is immediately included in C (callee declared inside the caller) k=0 (the distance between C and P is 0)
 - b. P is in a block at k steps from C (called routine external to the caller) k > 0 (the distance between C and P is k > 0)
 - For the visibility rules, P has to necessarily be located in an outer blocks which includes the caller's block, otherwise we could not call it
 - The activation record of such an outer block should already be on the stack
- We hence have two cases:
 - If k = 0, C passes its own activation record pointer to P
 - If k > 0, C finds the pointer after k steps along the static chain





How to determine the static pointer of the callee?

Sequence of calls: A, B, C, D, E, C



A
$$\rightarrow$$
 B (k = SD (A) – SD (B) + 1 = 0 – 1 + 1 = 0)
B \rightarrow C (k = SD (B) – SD (C) + 1 = 1 -1 + 1 = 1)
C \rightarrow D (k = SD (C) – SD (D) + 1 = 1 -2 +1 =0)
D \rightarrow E (k = SD(D) – SD (E) = 2 – 2 + 1 = 1)

 $E \rightarrow C (k = SD (E) - SD (C) = 2 - 1 + 1 = 2)$

- From A to B we pass the static pointer of A to B
- From B to C we do a step of the chain and we pass the static pointer of A to C
- From C to D we pass the static pointer of C to D
- From D to C we do two steps of the chain and we pass the static pointer of A to C





Display



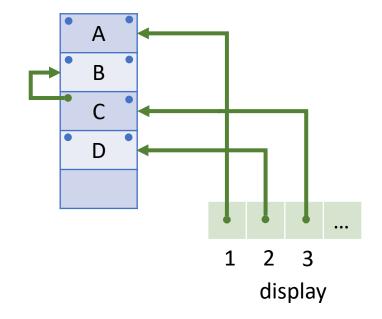
The display

- Static scoping with static chain can be costly (to reach level k -> k accesses to memory)
- We can reduce the costs from scanning the chain to a constant using the display
- The display is a vector containing as many elements as the levels of block nesting in the program
- The k-th element of the vector contains the pointer to the activation record at nesting level k currently active.



The display

- The static chain is represented by an array, called the display
 - The k-th element of the display is a pointer to the activation record of the subprogram at nesting level k currently active
- If the display is in memory, a constant number of accesses
 (2) to memory is enough

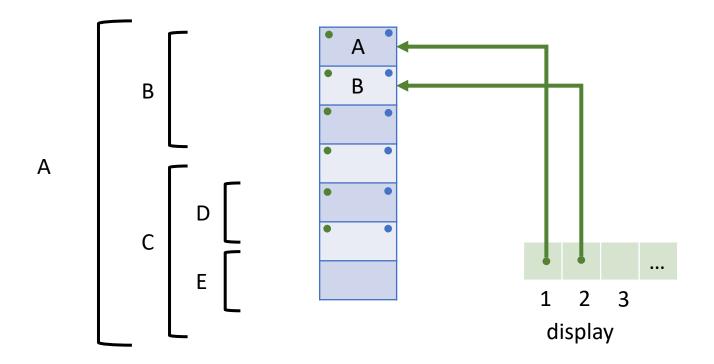




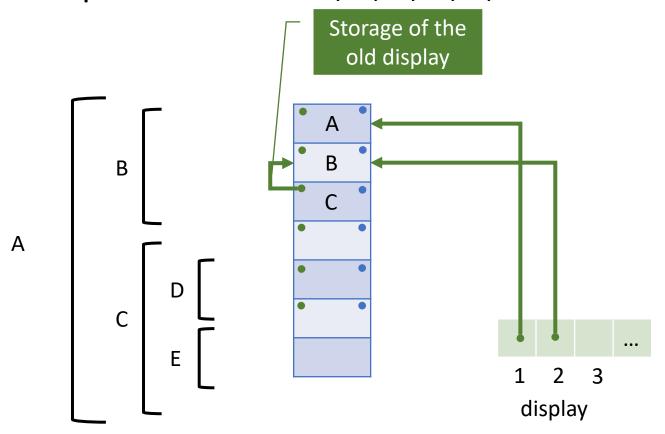
Maintaining the display

- When an environment is entered (at level k)
 - Save the old value at position k (if it exists)
 - Update the pointer stored in the vector
 - If the callee is at level n and the caller at level m < n, the active display is the one formed by the first m elements and the rest of the display is re-activated when the called routine ends.
 - If the caller is at level n and the callee at level n+1, we could still need the old values at level n+1
- At the exit of the environment
 - move the saved display pointer from the activation record back into the display at position k

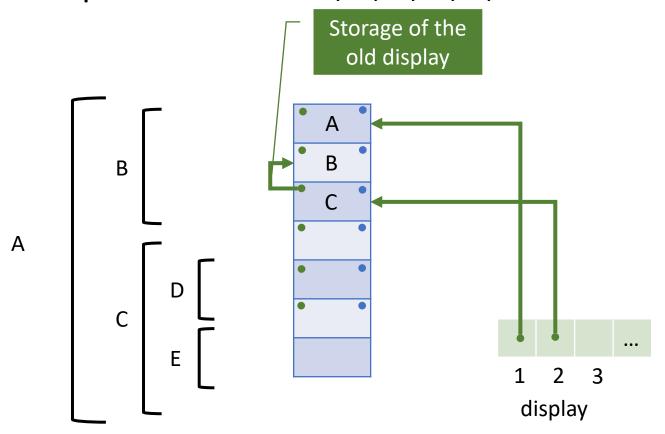




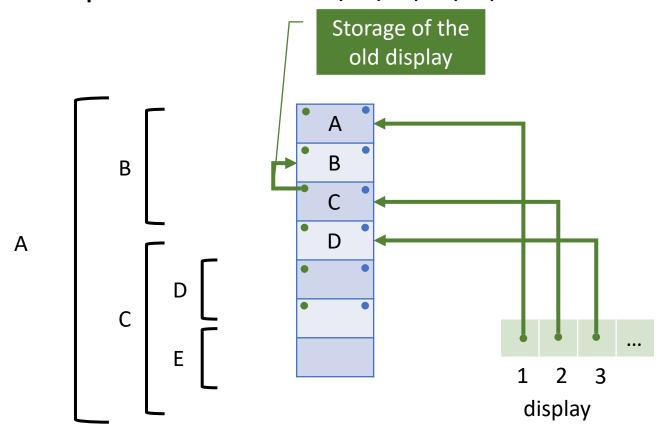




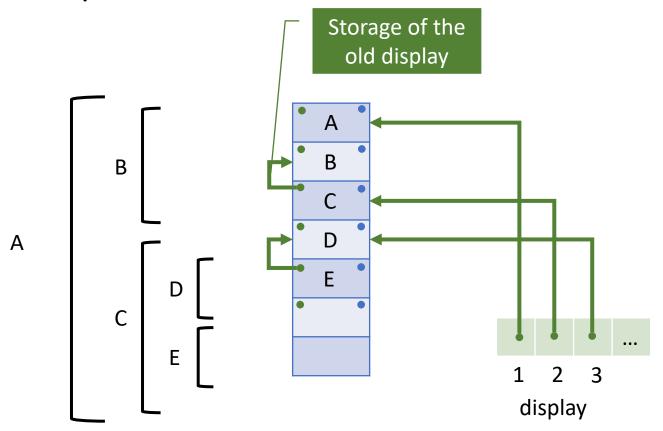




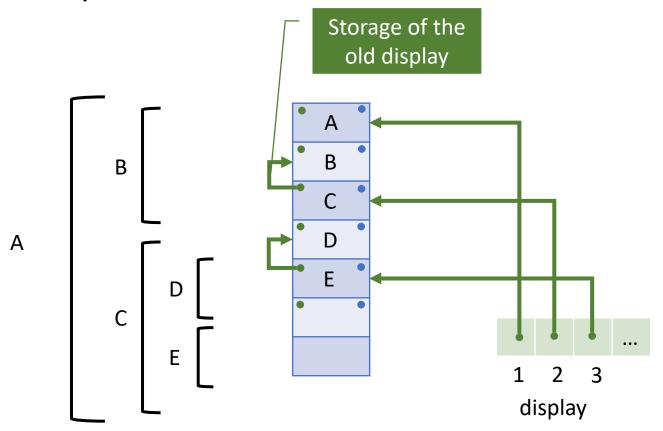




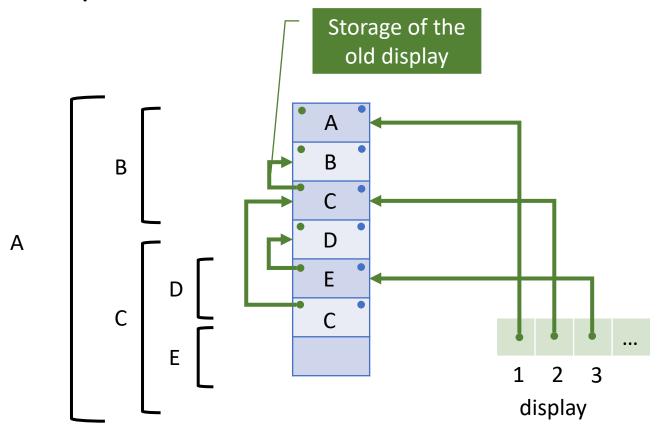




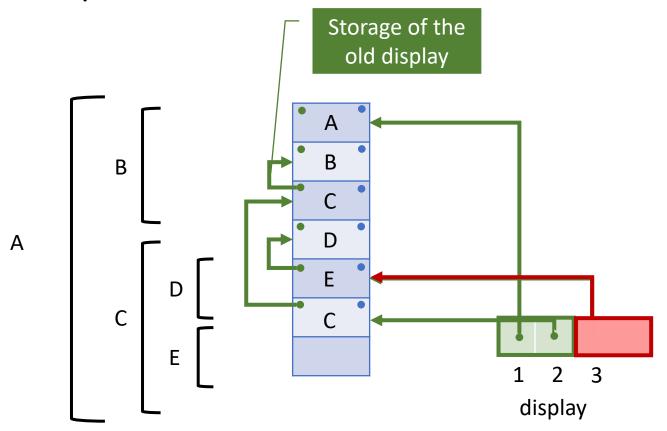










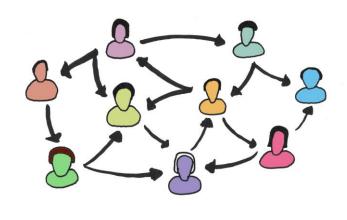




Static chain versus display

- The display can be kept in registers, if there are enough - it speeds up access and maintenance
- Overall: Static chain is better, unless the display can be kept in registers
- Modern implementations rarely use this technique, as static chains longer than 3 are rare

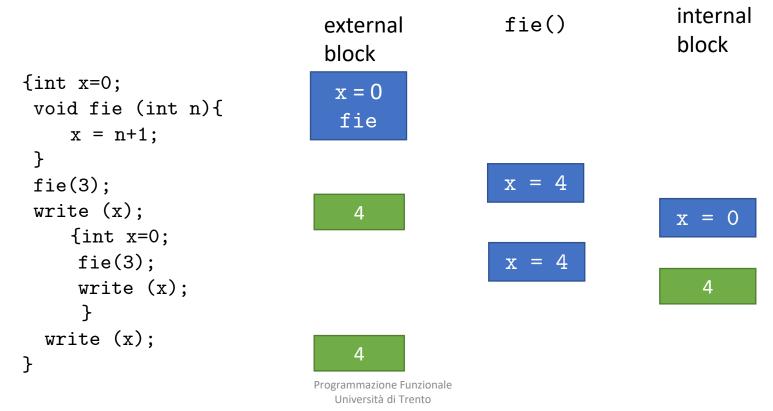




Dynamic scoping

Dynamic scoping

 In dynamic scoping a non-local name is resolved in the block that has been most recently activated and has not yet been deactivated





Dynamic scoping

- Under dynamic scoping, the association between names and denotable objects depends on
 - The flow of control at runtime
 - The order in which subprograms are called
- The basic rule is simple:
 - The current association for a name is the one determined by the last association that has been called and not yet destroyed

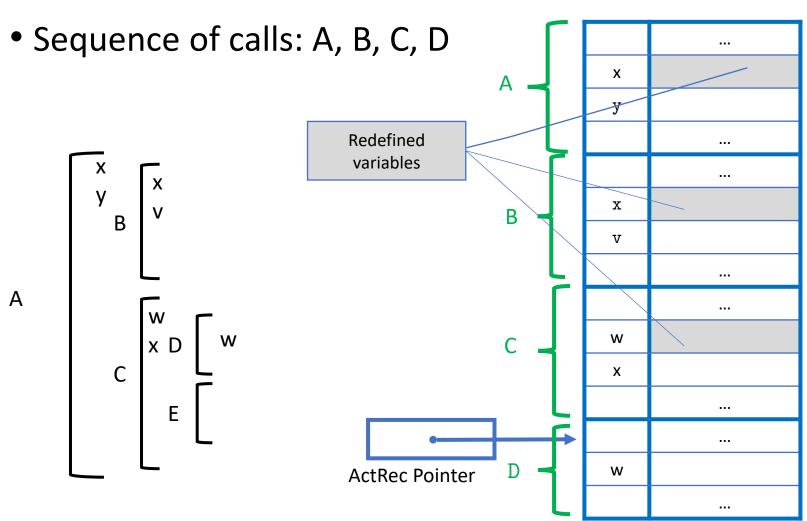


Implementation is simple

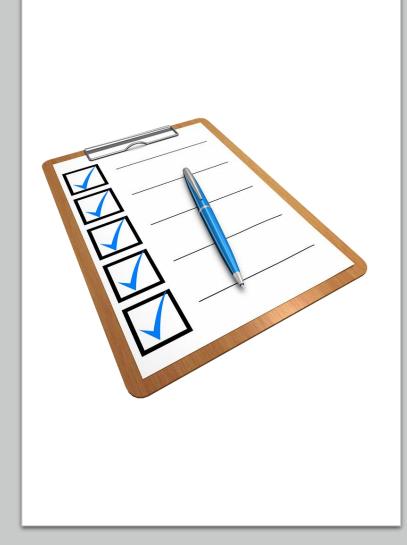
- Since the non-local environments are in the order in which they are activated at runtime, it is enough to look in the stack
- The names can be stored directly in the activation record
- Search for names via the stack until we do not find the activation record in which x is declared



Example







Association-List

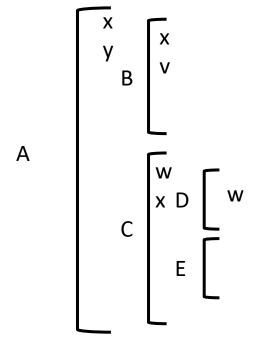


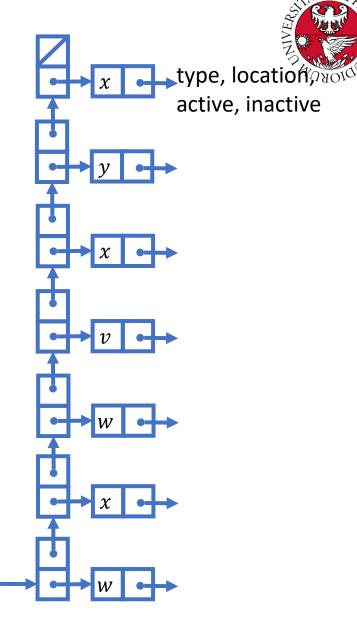
A-List

- The name-object associations are stored in an appropriate structure, managed as a stack
- When the execution of a program enters a new environment, the new local associations are inserted into the A-list.
- When an environment is left, the local associations are removed from the A-list.
- The information about the denoted objects will contain the location in memory where the object is actually stored, its type, a flag which indicates whether the association for this object is active.

Example

• Sequence of calls: A, B, C, D





A-List start



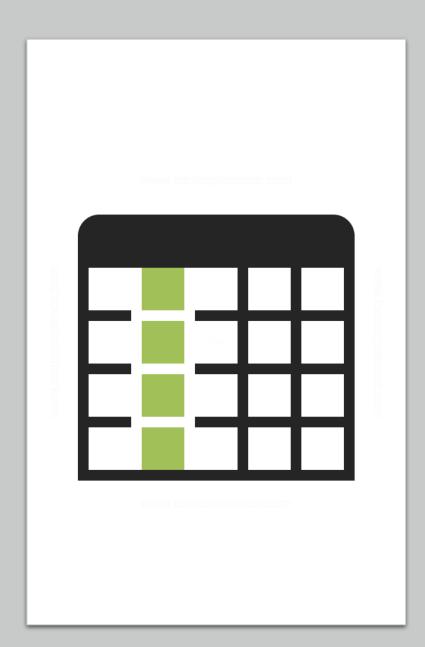
Activation record and A-list storing drawbacks

- 1. Names must be stored in structures present at runtime (differently from the case of static scoping)
- 2. The runtime search introduces inefficiency



A-List

- Simple to implement
- Memory use: Names are listed explicitly (as for the activation records)
- Management costs:
 - Entrance/exit from a block: Insertion/removal from a stack
- Access cost: Linear in the depth of the A-list (as for the activation records)
- The average access cost can be reduced, but at the cost of increasing the work on entrance/exit from a block





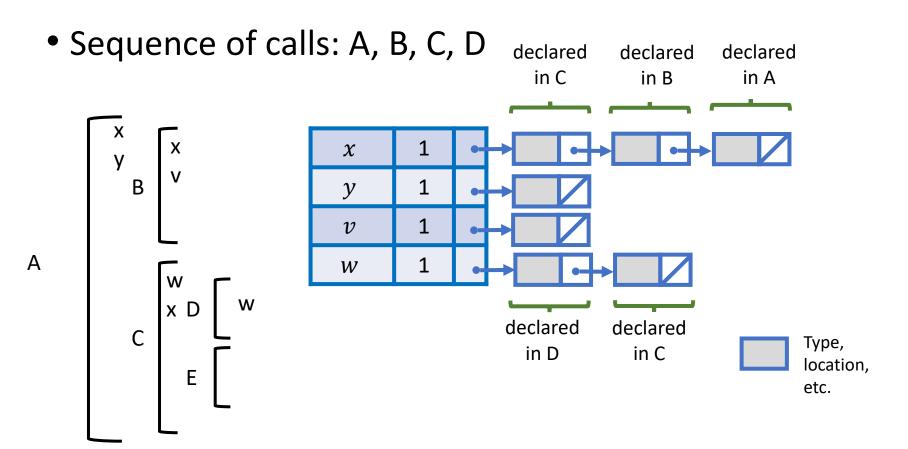
Central Referencing Environment Table

Central Referencing environment Table (CRT)

- All the blocks in the program refer to a central table (the CRT)
- The table stores all the distinct names of the program
 - If they are all known at compile time: access in constant time
 - Otherwise, use hash functions
- Each name has:
 - a flag indicating whether it is active
 - an association list (info about the object associated with the name)
 - most recent first
 - o followed by the inactive ones
- Constant access time Avoids the costly scanning of Alists



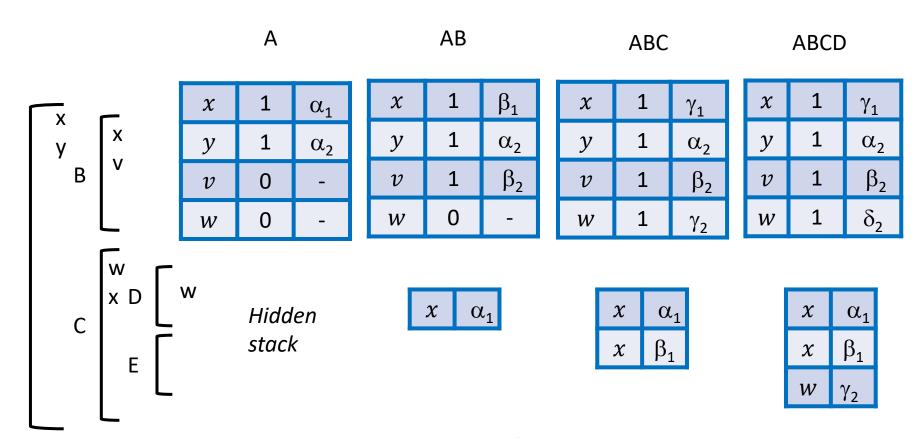
An example





CRT and hidden stack

• Sequence of calls: A, B, C, D





CRT

- More complex than A-List
- Lower memory usage
 - If names are used statically, the names themselves are not needed
 - In any case, each name is stored only once
- Costs of management
 - Entry/exits from a block: management of all the lists of all the names present in the block
- Access time: constant (2 indirect accesses)





Exercise 3.1

 Consider the following program fragment written in a pseudo-language using static scoping.

```
void P1 {
   void P2 {body-of-P2}
   void P3 {
      void P4 { body-of-P4 }
      body-of-P3
   }
   body-of-P1
}
```

 Draw the activation record stack region that occurs between the static and dynamic chain pointers when the following sequence of calls, P1, P2, P3, P4, P2 has been made (is it understood that at this time they are all active: none has returned).





Exercise 3.2

 Given the following code fragment in a pseudo-language with static scope and labelled nested blocks (indicated by A: { ... })

```
A: { int x = 5; goto C;
    B: {int x = 4; goto E;
    }
    C: {int x = 3;
        D: {int x = 2;}
        goto B;
        E: {int x = 1; // (**)
        }
    }
}
```

 The static chain is handled using a display. Draw a diagram showing the display and the stack when execution reaches the point indicated with the comment (**). As far as the activation record is concerned, indicate what the only piece of information required for display handling is.

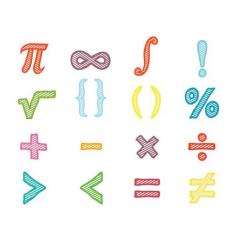




Exercise 3.3

 Using some pseudo-language, write a fragment of code such that the maximum number of activation records present on the stack at runtime is not statically determinable







Expressions and commands



Expressions

- Syntactic entities whose evaluation either produces a value or does not terminate (undefined expression)
- Usually composed of:
 - A single entity (constant, variable)
 - An operator applied to a number of arguments (which are also expressions)
- Three notational systems:
 - Infix: a + b

brackets and precedence rules to avoid ambiguity

- Prefix (Polish): + a b
- Suffix (reverse Polish): a b +

If arity of operators is known —no need of parenthesis and precedence rules



Side effects

- Side effect: action that changes the state
- Hidden side effect: action that influences the result (partial or final) of a computation outside the context in which it is found
- In imperative languages expression evaluation can modify the value of variables

(a+f(b))*(c+f(b))

if f modifies the value of its operand as side effect, the result of the first call to f may differ from the second

- Languages follow various approaches:
 - Pure declarative: do not allow side effects
 - Others: forbid the use in expressions of functions that cause side effects
 - Others (e.g., Java): specifies the order of evaluation, from left to right



Undefined Operators

- Two evaluation strategies:
 - eager evaluation: first evaluating all the operands and then applying the operator to the values
 - lazy evaluation: operands are evaluated only when needed
- Some expressions in programming languages can be evaluated even when some operands are missing

a == 0 ? b : b/a

This expression in C demands for lazy evaluation because b/a would be evaluated even when a is equal to 0

Lazy evaluation is more expensive to implement



Short-circuit evaluation

- Lazy evaluation of boolean expressions is often called short-circuit evaluation
- We arrive at the final value before knowing the value of all of the operands.

a == 0 || b/a > 2

This expression in C with lazy/ short-circuit evaluation has value true
With eager evaluation, we may get an error

- The order evaluation of subexpressions can influence the efficiency of the evaluation
- In ML → eager evaluation except for andalso and orelse that use the lazy evaluation



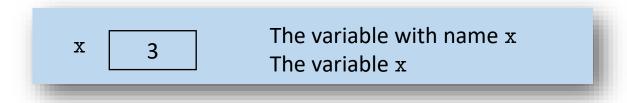
Commands

- Command: a syntactic entity whose evaluation does not necessarily return a value but can have a side effect
- Commands
 - Typical of the imperative paradigm
 - Not present in the functional and logical paradigms
 - In some cases yield a result (e.g., an assignment in C)
- The purpose of a command is the modification of the state
- The assignment command is the elementary construct in the computational mechanism for languages with commands.



Variables

- Variable: in mathematics, an unknown that can take values from a predefined domain (that cannot be modified anymore)
- In computer science it depends on the paradigm
- In classical imperative languages (Pascal, C, Ada, etc.,):
 - modifiable variable: the value can be modified
 - A container of values that have a name





Different models

- In some imperative languages (object-oriented ones)
 - a variable is a reference to a value, which has a name and is stored in the heap
 - similar to pointers but without the possibility to directly accessing the location
- In logical languages
 - a variable can be modified only under certain conditions (instantiation)
- In pure functional languages (Lisp, ML, Hashkell, SmallTalk)
 - a variable is an identifier that stands for a value (not modifiable), as in mathematics



Assignments

- Assignment: basic command that modifies the value of a variable
- We distinguish between (I-value opAss r-value)
 - I-values: locations
 - r-values: values stored in locations
- In general a binary operator in infix form exp1 OpAss exp2
 - compute the l-value of exp1, determining the container loc
 - compute the r-value of exp2
 - modify the content of loc with the computed value
- Some languages (e.g., Java) allow the left-hand side to be evaluated before the right-hand side
- Others (e.g., C) leave the decision to the implementer.



Assignments

In some languages (e.g., C) The assignment also produces a value

```
Besides assigning the value 2 to x, it also returns the value 2 (y = (x = 2)); It assigns the value 2 to x and y
```

- There exist also other assignment operators that can be used
 - For optimization reasons
 - For increasing code readability
- For example the += operator x+=1;
 - It adds to the r-value of the expression on the left the quantity on the right
 - It assigns the result to the location obtained as the I-value of the expression on the left

```
b = 0; The result is that a[1]+1 is a[index(3)]+=1; assigned to a[1]
```



Other commands

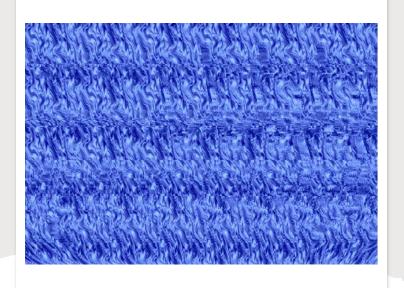
- Sequential commands: (C1;C2)
- Composite commando or blocks: {}, begin ...
 end
- Conditional commands: if and case
- Iterative commands:
 - Unbounded (logical condition): while, repeat, do
 - Bounded: do, for



Abstraction

- Identify important properties of the thing that we want to describe
- Concentrate on relevant questions and ignore the others
- What is relevant depends on our aim
- In a programming language
 - Control abstraction: hide procedural data
 - Data abstraction: definition and usage of sophisticated data types





Abstraction of control



Abstraction of control

- Main mechanism: subprogram/procedure/function
- Subprogram: piece of code identified by its name, with a local environment and exchanging information with the rest of the code using parameters

```
Two main constructs
    definition

Int foo (int n, int a) {
        int tmp=a;
        if (tmp==0) return n;
        else return n+1;
    }
    ...
    int x;
    x = foo(3,0);
    x = foo(x+1,1);
```



Mechanisms for exchanging information with external code

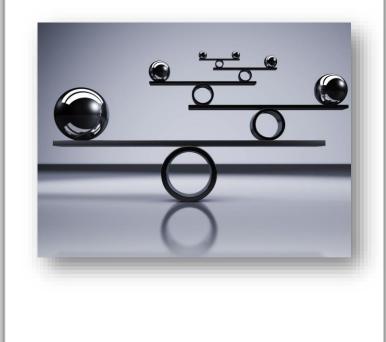
- Parameters
- Return value
- Nonlocal environment



Methods for passing parameters

- Three classes of parameters:
 - Input parameters
 - Output parameters
 - Input/output parameters
- Two principal methods
 - By value
 - By reference (or variable)





Call by value



Call by value

- The value is the actual one (r-value) assigned to the formal parameter, that is treated like a local variable
- Transmission from main to proc ⇒
- Modifications to the formal parameter do not affect the actual one
- On procedure termination, the formal parameter is destroyed (together with the local environment)
- No way to be used to transfer information from the callee to the caller!



An example

```
int y = 1;
void foo (int x)
x = x+1;

y = 1;
foo(y+1);

x assumes the initial value 2

x is incremented to 3

x is destroyed

y+1 is evaluated, and its value assigned to x

y is still 1
```

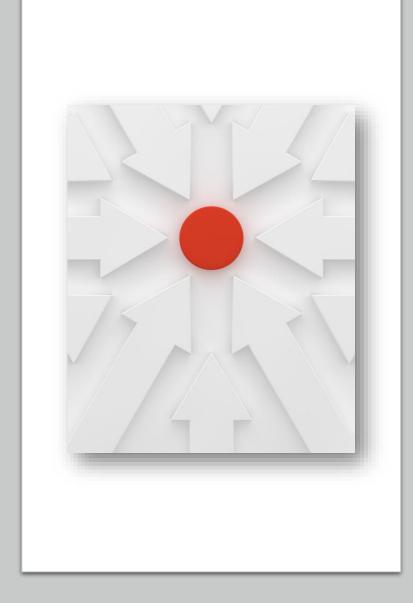
- The formal parameter x is a local variable
- There is no link between x in the body of foo and y (y never changes its value)
- On exit from foo, x is destroyed
- It is not possible to transmit data from foo via the parameter



Some considerations

- Expensive for large amounts of data, as they must be copied
- Cheap in terms of cost of accessing the formal parameter (same as accessing local variable)
- Used in Java, Pascal, ML and C





Call by reference



Call by reference (or variable)

- A reference (address) to the actual parameter (an expression with I-value) is passed to the function
- The actual parameter must be an expression with I-value
- References to the formal parameter are references to the actual one (aliasing)
- Transmission from and to main and proc
- Modifications to the formal parameter are transferred to the actual one
- On procedure termination the link between formal and actual is destroyed



An example

```
int y = 1;
void foo (reference int x) {
    x = x+1;
}
...
y = 1;
foo(y);
```

```
x is another name for y

x is incremented to 2

x and its link with y are destroyed

a reference is passed

y is 2
```

X

- A reference (address, pointer) is passed
- x is an alias of y
- The actual value is an I-value
- On exit from foo, the link between x and the address of y is destroyed
- Transmission: Two-way between foo and the caller



Another example

```
x V[1] 2
```

```
int[] V = new V[10];
int i=0;
void foo (reference int x) {
    x = x+1;
}
...
V[1] = 1;
foo(V[i+1]);
```

```
x is a name for V[1]

x is incremented to 2

x and its link with V[1] are destroyed

a reference to V[1] is passed

V[1] is 2
```

- The actual parameter does not necessarily need to be a variable but can also be an expression
- In case of an expression the I-value is evaluated at call time
- During the calling sequence, the I-value of the actual parameter is stored in the activation record of the function



Some considerations

- Cheap in terms of storing (only an address need to be stored)
- Indirect access which can be implemented at a low cost



Call by value vs call by reference

- Simple semantics. The body of the procedure does not need to know how the procedure was called (referential transparency)
- Implementation fairly simple
- Call could be expensive due to copy operations
- Need for other mechanisms to communicate with the called procedure

Call by value

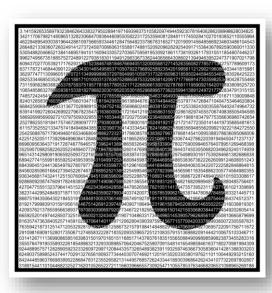


- Complicated semantics: aliasing
- Simple implementation
- Call is efficient
- Reference to formal parameter slightly more expensive

Call by reference







Call by constant



Call by constant/read -only

- Call-by-value could be expensive
 - Large data items are copied even if they are not modified
 - If they are not modified, we can keep the semantics of passing by value, implementing it via call by reference
- Read-only parameter method: ⇒
 - Procedures are not allowed to change the value of the formal parameter (could be statically controlled by the compiler)
 - Implementation could be at the discretion of the compiler ("large" parameters passed by reference, "small" by value)
 - It can be thought as a sort of annotation
 - In Java: final

void foo (final int x){ //x cannot be modified

■ In C/C++: const





Call by result



Call by result

- The actual parameter is an expression that evaluates to an I-value
- No link between the formal and the actual parameter in the body
- The local environment is extended between an association between the formal parameter and a new variable
- When the procedure terminates, the value of the formal parameter is assigned to the location corresponding to Ivalue of the actual parameter
- Output-only communication: no way to communicate from main to proc



An example

```
void foo (result int x) {
    x = 8;
}
...
y = 1;
foo(y);
```

```
x is a local variable
x is 8
the value of x is assigned to the current
l-value of y
x is destroyed
```

y is 8

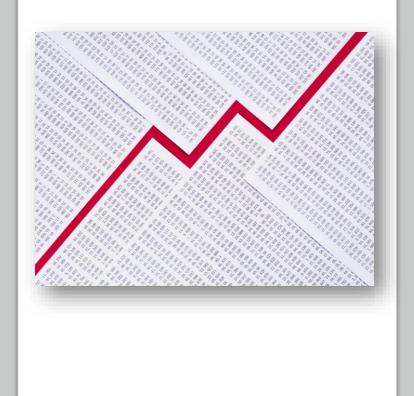
- Dual of the call by value
- No link between x and y in the body of foo
- When foo ends, the value of x is assigned to the location obtained with the l-value of y
- It is important when the I-value of y is determined (when the function is called or when it terminates)



Some considerations

- Same as the call by value: Large copying cost for large data
- Good for functions that must return more than one value





Call by value result



Call by value-result

- Bidirectional communication using the formal parameter as a local variable
- The actual parameter must be an expression that can yield an I-value
- At the call, the actual parameter is evaluated and the r-value assigned to the formal parameter.
- At the end of the procedure, the value of the formal parameter is assigned to the location corresponding to the actual parameter



An example

```
void foo (value-result int x) {
    x = x+1;
}
...
y = 8;
foo(y);
```

```
x is a local variable

the value of y assigned to x

x = 9

the value of x assigned to y

x is destroyed

y is 9
```

- No link between x and y in the body of foo
- When foo ends, the value of x is assigned to the location obtained with the l-value of y



Some considerations

Large copying cost for large data





Call by name



Call by name

- Aim: give a precise semantics to parameter passing
- Copy-rule mechanism of the actual parameter to the formal on
- A call to P is the same as executing the body of P after substituting the actual parameters for the formal one
- "Macro expansion", implemented in a semantically correct way: every time the formal parameter appears we reevaluate the actual one
- Input and output parameters
- Appears to be simple but ... it is not that simple: it has to deal with variables with the same name
- No longer used by any imperative language



An example

```
int x=0;
int foo (name int y) {
    int x = 2;
    return x + y;
}
...
int a = foo(x+1);
```

- Blindly applying the copy rule would lead us to a result of x+x+1=5
- Incorrect result as it would depend on the name of the local variable
- With a body {int z = 2; return z + y;} the result would have been z+x+1=3
- When the body contains the same name of the actual parameter, we say that it is captured by the local declaration
- In order to avoid substitutions in which the actual parameter is captured by the local declaration, we impose that the formal parameter – even after the substitution – is evaluated in the environment of the caller and not of the callee
- Substitute the actual parameter together with its evaluation environment – fixed at the time of the call



Actual parameter evaluation

```
int y;
void fie (int x){
   int y;
   x = x + 1; y = 0;
}
...
y = 1;
fie(y);
```

```
x is y (external)

x is 2

y (local) is 0
```

- A pair <exp,env> is passed, where
 - exp is the actual parameter, not evaluated
 - env is the evaluation environment
- Every time the formula is used, exp is evaluated in env



Actual parameter evaluation

```
int i = 2;
int fie (name int y) {
    return y y The second time i is 3
}
The first time i is 2
int a = fie(i++);

int a = fie(i++);

int i = 2;
    and then incrementing the value of the variable by 1
    When fie is called, i has to be evaluated twice
```

 The actual parameter must be evaluated every time the formal parameter is encountered

Call by name vs call by valueresult

```
void fiefoo (valueresult int x,
valueresult int y) {
        x = x+1;
        y = 1;
}
...
int i = 1;
int[] A = new int[5];
A[1]=4;
fiefoo(i,A[i]);
```

```
x is 1, y is A [1]
x is 2
```

y is 1

call- by value-result

```
i is 2, A[1] is 1
```

```
x is i, y is A[i]
```

x is 2

y is 1

```
call- by name
```

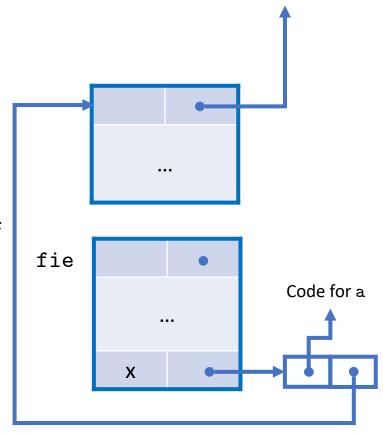
```
void fiefoo (name int x,
name int y) {
        x = x+1;
        y = 1;
}
...
int i = 1;
int[] A = new int[5];
A[1]=4;
fiefoo(i,A[i]);
```

```
i is 2, A[1] is 4, A[2]=1
```



Some considerations

- More expensive, as the whole environment must be passed
- How do we pass the pair <exp, env> (closure)?
 - A pointer to the text of exp
 - A pointer to the activation record of the calling block
- This lets us pass functions as arguments to other procedures





To sum up

- It supports input and output parameters
- The actual parameter can be an arbitrary expression but has to evaluate to an I-value if the formal parameter is used at the left of an assignment
- It can happen that actual and formal are aliased
- The actual parameter is evaluated every time the formal parameter occurs
- The environment is extended with an association between the formal and a closure – composed of the actual parameter and the environment in which the call occurs



Summary

- Abstraction of control
- Methods of parameter passing
- Higher-order functions
 - Functions as parameters
 - Functions as results





Readings

- Chapter 6 and 7 of the reference book
 - Maurizio Gabbrielli and Simone Martini "Linguaggi di Programmazione - Principi e Paradigmi", McGraw-Hill









- Exception handling
- Data structures