# DISTRIBUTED AND PRIVACY PRESERVING MACHINE LEARNING

A COMPARISON REVIEW OF APPROACHES

Sana Imtiaz November 18, 2019

## A PRIMER TO PRIVACY PRESERVATION

- Why is it important?
  - Machine learning is widely used in practice to produce predictive models for various applications
  - Models are more accurate when trained on huge amount of data
  - Massive data collection raises privacy concerns
  - ML model may inadvertently and implicitly store some of its training data
  - Careful analysis of the model may reveal sensitive information

Distributed and private ML to the rescue!



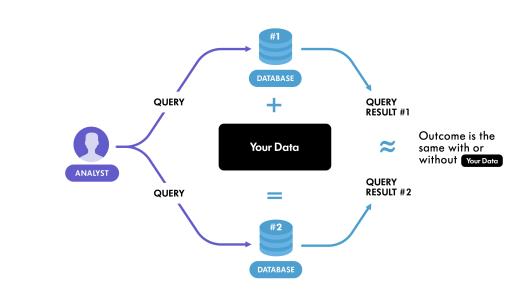
#### PAPERS TO BE REVIEWED

Problem: Distributed and privacy preserving machine learning (ML)

#### Approaches to address the problem:

- 1. Semi-supervised Knowledge Transfer for Deep Learning from Private Training Data (ICLR 2017)
- 2. SecureML: A System for Scalable Privacy-Preserving Machine Learning (IEEE S&P 2017)
- 3. Chiron: Privacy-preserving Machine Learning as a Service (arXiv; 2018)

#### DIFFERENTIAL PRIVACY

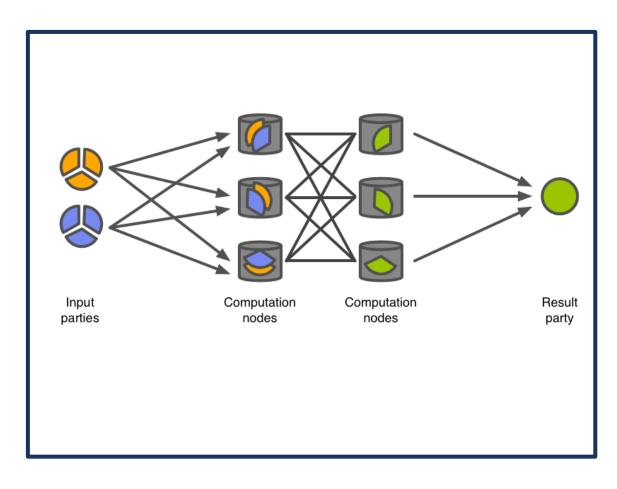


**Definition 2.4** (Differential Privacy). A randomized algorithm  $\mathcal{M}$  with domain  $\mathbb{N}^{|\mathcal{X}|}$  is  $(\varepsilon, \delta)$ -differentially private if for all  $\mathcal{S} \subseteq \text{Range}(\mathcal{M})$  and for all  $x, y \in \mathbb{N}^{|\mathcal{X}|}$  such that  $||x - y||_1 \leq 1$ :

$$\Pr[\mathcal{M}(x) \in \mathcal{S}] \le \exp(\varepsilon) \Pr[\mathcal{M}(y) \in \mathcal{S}] + \delta,$$

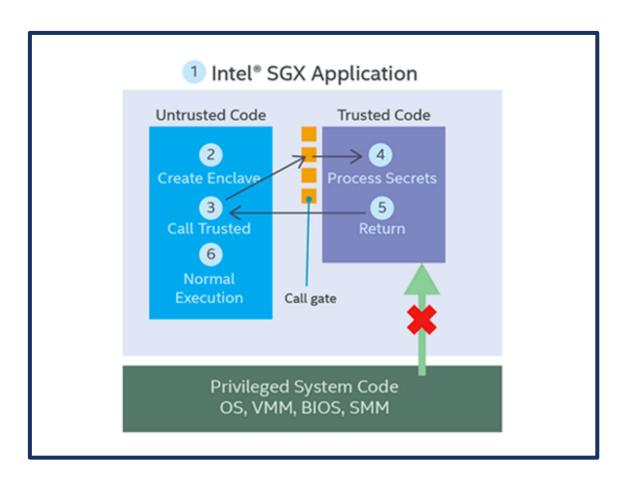
- Server is trusted party in this model
  - Data is fully disclosed to the provider/server
- Designed primarily for databases-based queries
- Laplacian noise added to the query result to ensure privacy preservation

## SECURE MULTI-PARTY COMPUTATION



- Parties jointly compute a function using their inputs, while keeping these inputs private
- Uses secret sharing; divide and distribute one secret value over several nodes or users, so that no one knows anything about the secret value
- To retrieve the secret value, a minimum quorum of users must pool their data together

#### PRIVATE COMPUTE UNITS



- I. App is built with trusted and untrusted parts
- 2. App runs and creates the enclave, which is placed in trusted memory
- 3. Trusted function is called, and execution is transitioned to the enclave
- 4. Enclave sees all processed data in clear; external access to the enclave data is denied
- 5. Function returns; enclave data remains in trusted memory
- 6. Normal execution resumes

# PAPER I

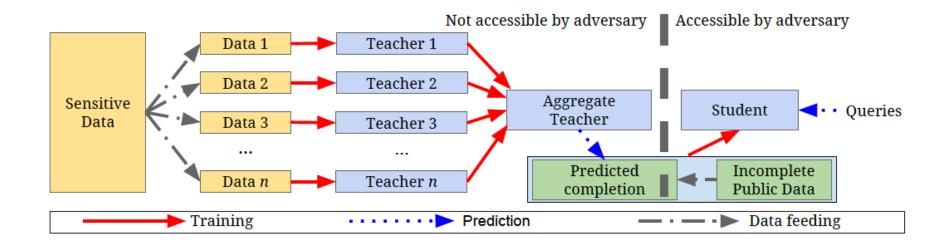
SEMI-SUPERVISED KNOWLEDGE TRANSFER FOR DEEP LEARNING FROM PRIVATE TRAINING DATA

#### SEMI-SUPERVISED KNOWLEDGE TRANSFER

Generally applicable approach to providing privacy guarantees for training data: Private Aggregation of Teacher Ensembles (PATE)

- Combines multiple models trained with disjoint datasets in a black-box fashion
- Models trained on sensitive data are not published
  - Used as "teachers" for a "student" model
- Student model learns to predict an output chosen by noisy voting among all of the teachers
- Student cannot directly access an individual teacher or the underlying data or parameters

## SEMI-SUPERVISED KNOWLEDGE TRANSFER



- Uses differential privacy to limit the effect of any single sensitive data item on the student's learning
- Assumes the student has access to additional unlabeled public or non-sensitive data
- Variant using GANs for student learning (PATE-G) performs the best for different tested learning methods

## SEMI-SUPERVISED KNOWLEDGE TRANSFER

#### Aggregation mechanism

Counts majority vote for teachers in label classification

$$f(x) = \arg\max_{j} \left\{ n_{j}(\vec{x}) + Lap\left(\frac{1}{\gamma}\right) \right\}$$

- Adds random Laplacian noise to the vote counts n<sub>i</sub> to introduce ambiguity
- Here, j is the assigned class label for input  $\vec{x}$ ,  $\gamma$  is a privacy parameter, and Lap(b) is the Laplacian distribution with location 0 and scale b

# PAPER II

SECUREML: A SYSTEM FOR SCALABLE PRIVACY-PRESERVING MACHINE LEARNING

#### SECUREML: SCALABLE PRIVACY-PRESERVING MACHINE LEARNING

- Uses cryptography to ensure privacy preservation guarantees
- Server-aided setting where the clients outsource the computation to two untrusted but non-colluding servers SO and ST
  - evaluator and a cloud service provider
- Clients distribute (secret-share) their inputs among the two servers in a setup phase and need not be involved in future computation
- Uses a combination of efficient techniques for boolean computation such as garbled circuits and Oblivious
  Transfer-extension, and arithmetic computation such as offline/online multiplication triplet shares

## SECUREML: SCALABLE PRIVACY-PRESERVING MACHINE LEARNING

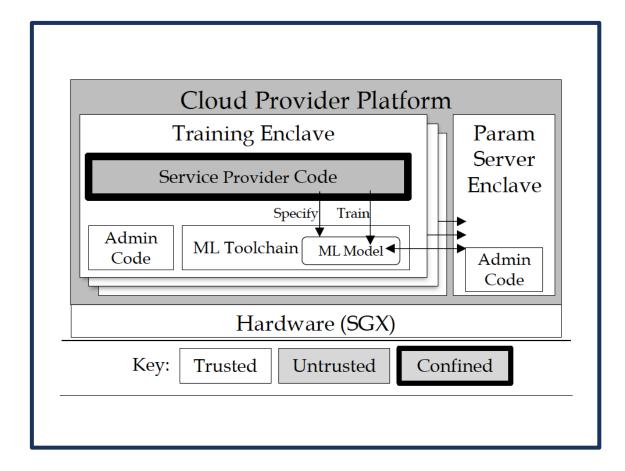
#### Note:

- By scalability, the authors imply that the system can handle large-scale datasets
- The system itself works in a 2-party scenario, where we may be able to scale the individual components of the system
- Not scalable in terms of traditional distributed systems

# PAPER III

CHIRON: PRIVACY-PRESERVING MACHINE LEARNING AS A SERVICE

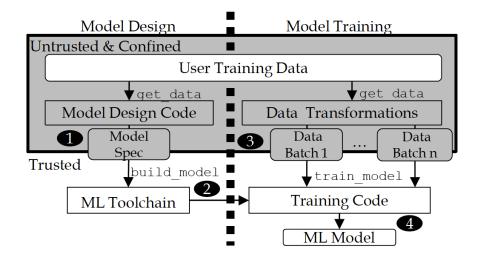
#### CHIRON: PRIVACY-PRESERVING ML AS A SERVICE



- Uses Intel SGX architecture and sandbox technology to provide privacy guarantees
- Applicable to ML as a service mechanisms provided by cloud providers
  - Users can not see inherent details of trained model and parameters
  - Providers do not see the training data
  - Clients can verify the validity of ML models offered
  - Third-party provider used as a trusted base to verify the validity of the model and the client

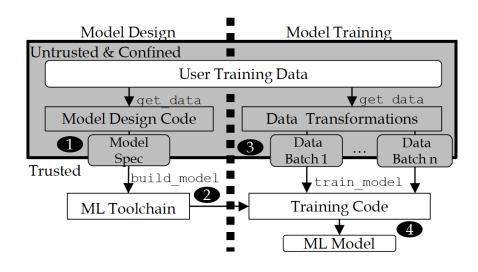
#### CHIRON: PRIVACY-PRESERVING ML AS A SERVICE

- Training code is public its integrity can be remotely attested
- Users get a public-private key pair to communicate with the enclaves
- Service provider loads code in the sandbox and makes one or more training enclaves available to the user
- User connects to training enclaves and submits data



#### CHIRON: PRIVACY-PRESERVING ML AS A SERVICE

- Service provider code examines data, then generates a model specification (model architecture, loss function, optimization function, and training hyperparameters) and passes it to the ML toolchain
- 2. ML toolchain uses the specs to generate model-training code
- Service provider code transforms data and breaks it into batches for training
- 4. Model-training code is invoked for each batch, updating the model
- 5. After the model has been created, the user measures its test accuracy on a validation set and and proceeds to use the model



#### Trust Assumptions

#### I. PATE-G

- Completely trusts the ML service provider
- Does not trust the clients that may query the results of model

#### SecureML

- No trust assumptions on clients
- Adversary may collude with one of the serves but the servers do not collude among each other

#### 3. Chiron

- Trust assumption on clients
- Protect users' data from malicious providers of ML-as-a-service
- Places trust on a verifiable and trusted third party

#### **Performance**

- Paper I: For training data partitions n = 250
  - Average test accuracy of individual teachers is 83:86% for MNIST and 83:18% for SVHN
- Paper II:
  - MNIST dataset, the model trained by Tensorflow (with softmax) can reach 94.5% accuracy on all 10 classes, while we reach 93.4% using our proposed function

Dataset	$\varepsilon$	$\delta$	Queries	Non-Private Baseline	Student Accuracy
MNIST	2.04	$10^{-5}$	100	99.18%	98.00%
MNIST	8.03	$10^{-5}$	1000	99.18%	98.10%
SVHN	5.04	$10^{-6}$	500	92.80%	82.72%
SVHN	8.19	$10^{-6}$	1000	92.80%	90.66%

#### Performance

- Paper III: Using 16 training enclaves and ImageNetLite dataset
  - Chiron slows down ImageNetLite training by 16%, while preserving the accuracy of the trained model
  - Other results in Table 2

	Top1(%)	Top5(%)	Train(hr)	Query(sec)
Baseline	55.12	78.51	39.83	3825.30
Chiron	52.41	76.42	38.85	3843.53

**Table 2.** Model accuracy, training time, and query time for ImageNetLite. Top 1 is the accuracy for the most likely prediction. Top 5 is the accuracy of the five most likely predictions. Query shows time for querying 100,000 images in batches of 1,000.

#### Shortcomings and weaknesses

- Semi-supervised knowledge transfer:
  - large set of trials needed to figure out the right size for data partitions and privacy parameters
  - Public and private portions of datasets must be available
- SecureML:
  - No real scalability shown, though claimed in the paper
- Chiron: dedicated ML toolchain
  - Service provider code must use Chiron's ML toolchain to define the model
  - All other forms of output are disallowed by Chiron's confinement



# CONCLUSIONS

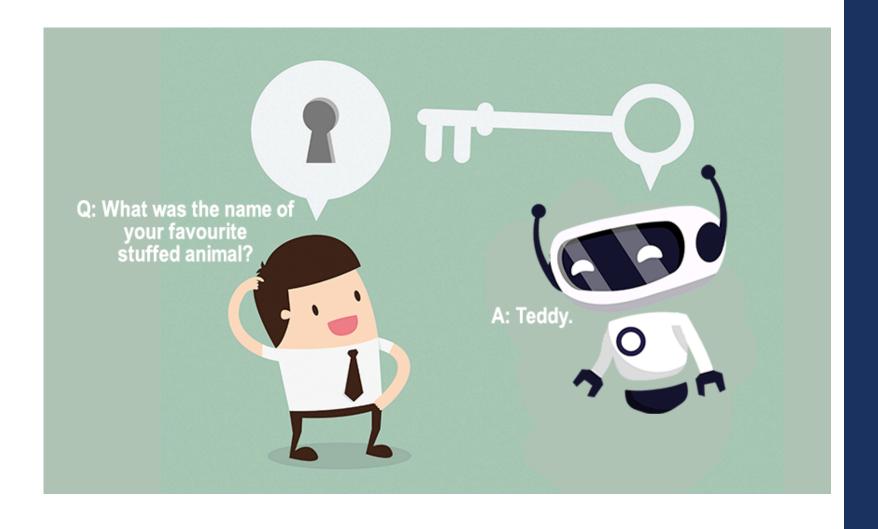
TAKEAWAYS AND FUTURE WORK

#### CONCLUSIONS

- The field of distributed privacy-preserving machine learning is nascent and has huge room for research
- The tuning of privacy-preservation parameters often needs to be done on a hit-and-trial basis, which might not be ideal for big data scenarios
  - For example, Paper I used 250 partitions of data (determined by experiment) and fine turned different privacy settings for the best results quoted in the paper
- Cryptography based solutions have huge impact on efficiency of the system though they provide very strong privacy guarantees

## **CONCLUSIONS**

- Privacy preserving ML as a service is a good solution but places trust on third-party and efficiency requirements
   need further investigation
- Appropriate choice for privacy preserving method can be applied under these considerations:
  - Availability of public and private versions of dataset
  - Efficiency and accuracy constraints
  - Adversary model and trust assumptions



# QUESTIONS