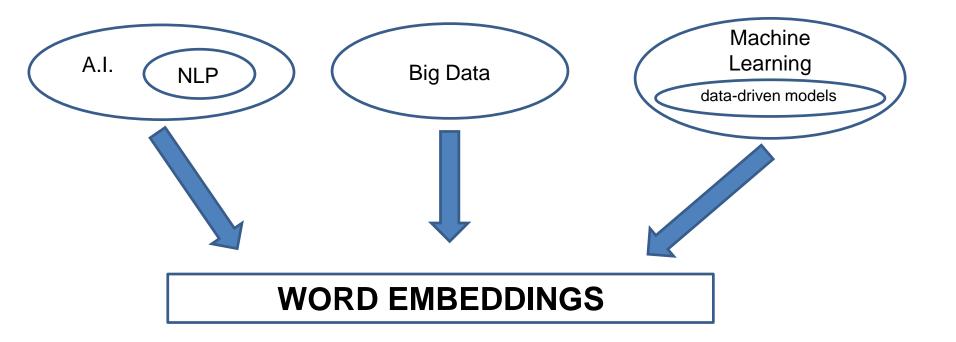
Word Embeddings models: theory and representation

Massimo De Cubellis - ISTAT



Reference framework





Definitions (1/2)

The **artificial intelligence (AI)** is a discipline belonging to the computer science that studies the theories, the methodologies and the techniques that allow to design hardware and software systems, capable of performing tasks typically exclusive of the human intelligence.

Among the main task that A.I. is able to perform, in addition to image / sound recognition or resolution of computational patterns, there is also the natural language processing

Natural language processing, also known as **NLP**, is the automatic elaboration process of information expressed in a natural language.



Definitions (2/2)

In statistics and computer science the term **big data** generically indicates a data collection so extensive in terms of volume, velocity and variety to use specific technologies and analytical methods for the extraction of value or knowledge (Wikipedia)

Machine learning is exactly one of these methods for extracting value and knowledge from (big) data; this technique foresee a learning phase in which a neural network try to identify patterns in the data, through an algorithm that improves the performance at each iteration

Data driven models: they are models in which there aren't pre-defined algorithms or programs that "teach" the computer how to solve a problem



NLP's Applications

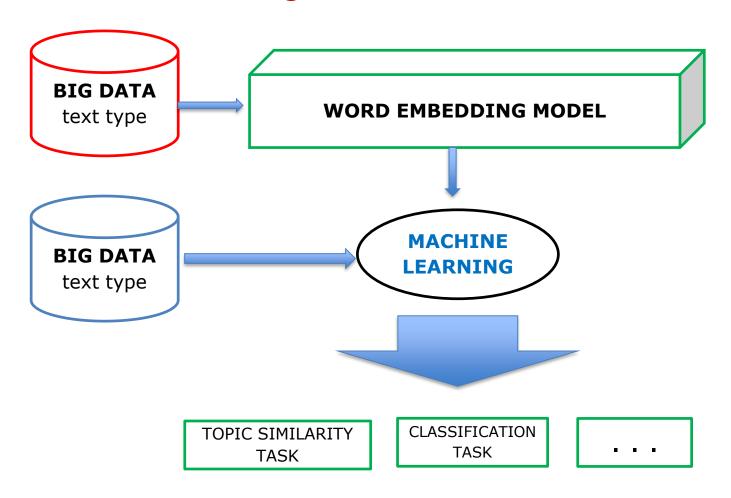
Some examples:

- speech recognition and synthesis
- automatic translation
- chatbot (software to simulate conversations with humans)
- summarization systems
- systems to solve topic similarity and text classification tasks
- sentyment analysis systems

And Word Embedding?



NLP: from Big Data to Statistics tasks





Word Embeddings



Word Embedding models (1/2)

Word Embedding models map words in vectors of a vector space

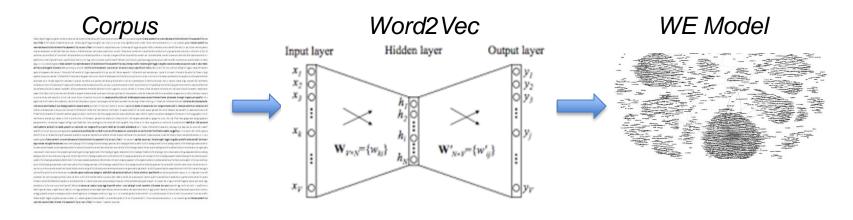
The underlying hypothesis is that similar words appear in similar *contexts*:

- "You shall know a word by the company it keeps"
- 'the cat meows' 'the kitten meows'

Models adopted:

- Word2Vec (Google 2013 Tomas Mikolov)
- GloVe (Stanford University 2014)
- Fast Text (Facebook Research 2016)

Word Embedding models are DATA-DRIVEN

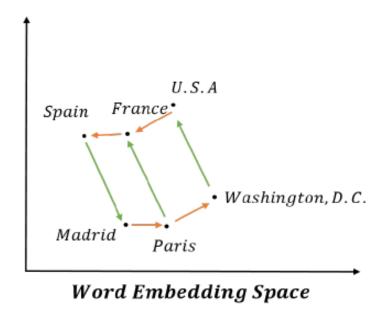


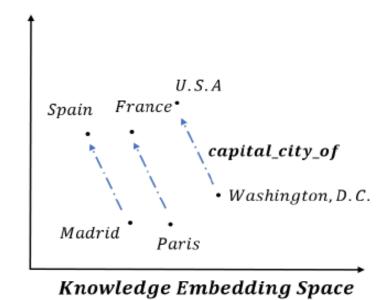


Word Embedding models (2/2)

The first results obtained by Word2Vec (2013) were really amazing:

 words which are close, from a syntactic or semantic point of view, are represented by (almost) parallel vectors (cosine distance metric)





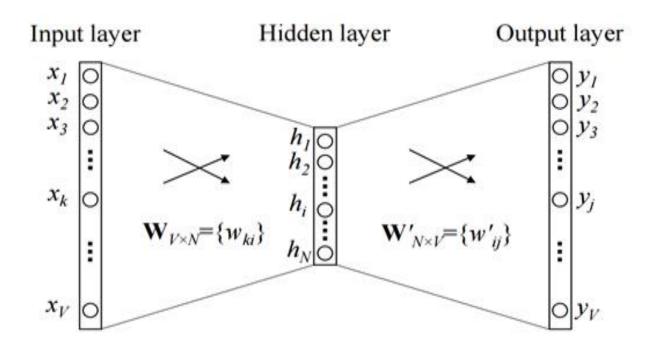


Word2Vec

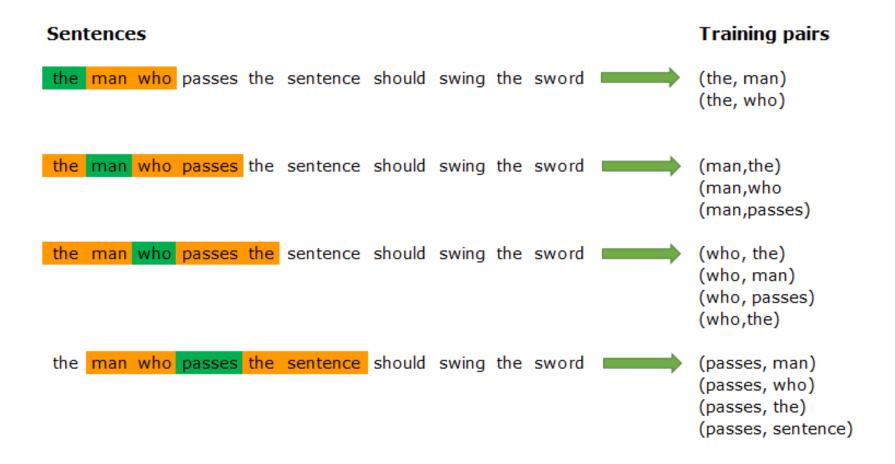


Word2Vec: a simple neural network

Word2Vec is a simple not-supervised neural network with a single hidden layer



Context and sliding window

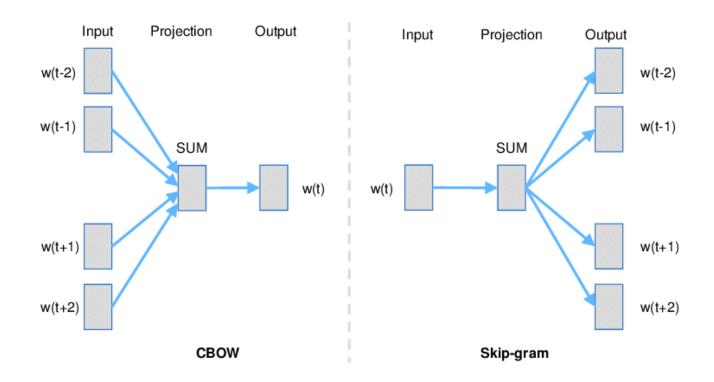




Word2Vec: CBow vs Skip-gram

Word2Vec, during the training phase, can work in two ways:

- predicting the central word given its context (Cbow)
- predicting the context given its central word (Skip-gram)





Word2Vec: The hyperparameters

The main hyperparameters used by Word2Vec are:

- **embedding space dimension**: is the dimension of the vector space used to map the words of the corpus (e.g. 300)
- window size: is the width of the window used to slide the corpus. It defines
 how large the context is.
- Cbow / SkipGram: is the algorithm chosen to train the neural network
- **iteration**: is the number of time, during the whole process of training, in which the weights of the neural network are re-calculated



Neural Network Structure of Skip-Gram

Source Text

Training Samples

The man who passes the sentence should swing the sword. (passes, who) (passes, the)

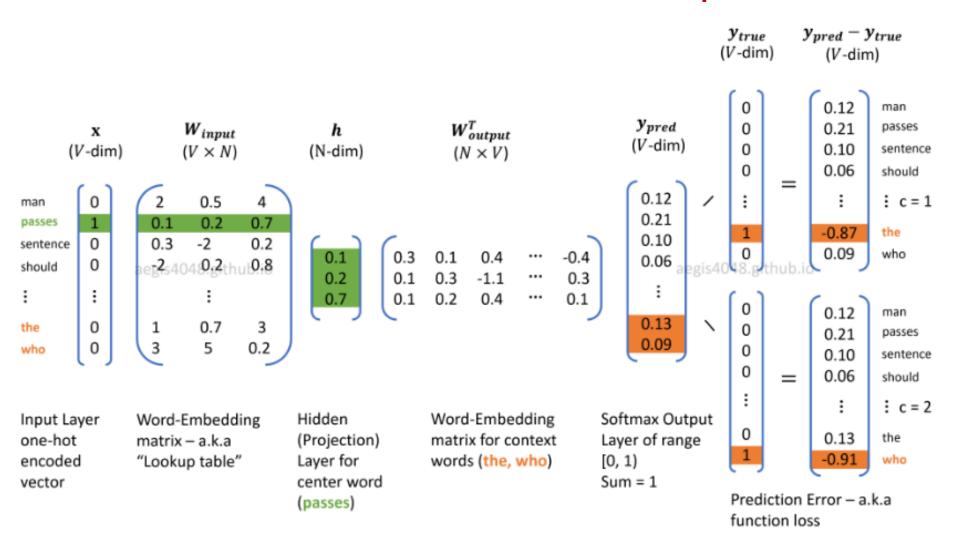
V = 8 (vocabulary dimension)

N = 3 (vector space dimension)

Windows size = 1



Neural Network Structure of Skip-Gram





Neural Network Structure of Skip-Gram

The output of the neural network is a **probability distribution** of dimension *V*, for each of the *V* distinct words of the corpus.

In statistics, the conditional probability of A given B is indicated by P (A | B)

In Skip-Gram, we use the notation *P* (*Wcontext* | *Wcenter*) to indicate the conditional probability of observing a word of the context (*Wcontext*), given its central word (*Wcenter*).

The probability distribution is obtained through the Softmax function



The Softmax function

Formula:

$$\sigma(x_j) = \frac{e^{x_j}}{\sum_i e^{x_i}}$$

The Softmax function compresses a vector of real numbers of size k, in a vector always k-dimensional, of values included in an interval [0,1] whose sum is 1

Example:

- For the vector [1;2;3;4;1;2;3]
- the Softmax function will be the vector
 [0,024; 0,064; 0,175; 0,475; 0,024; 0,064; 0,175]

The function assigns most of the weight to the number 4, whose output value is approximately 20 times greater than the value associated at 1

This function therefore highlights the larger values and hides those that are significantly smaller than the maximum value



Word2Vec – Final comments

The model is trained to make predictions, but at the end of the training, the predictive capacity of the network (its output) will be not used, but will be used his internal structure (network weights) to represent the coordinates of each word of the vocabulary in the embedding space

To train and to build a W.E. may be the best approach for a given NLP problem, but it takes a lot of time, a fast computer with lots of RAM and huge disk space and maybe some experience in perfecting input data and training algorithm. An alternative could be to use W.E. models already trained and ready to use; you can find them on the web.



Word2Vec – Final comments

Factors influencing the model performance:

- size of corpus: bigger corpora perform better than small ones
- quality of corpus: very noisy, fragmented and poorly curated texts generally produce lower quality embedding spaces
- nature of the corpus: corpus dealing with specific topics produce
 W.E. excellent for tasks related to those topics



Queries of W.E. models



«Distance» test

It is a function of questioning the vector space created during the training phase, which returns the 'n' closer vectors (words) to a given vector (word searched).

Note: It is also possible to search vectors (words) closest to a vector, that is the linear combination (e.g. sum) of many vectors (words) [it helps in case of polysemic words]



Test Distance

```
Enter word or sentence (EXIT to break): roma
Word: roma Position in vocabulary: 88
                                              Word
                                                         Cosine distance
                                            torino
                                                               0.719132
                                           palermo
                                                               0.642643
                                           napoli
                                                               0.628983
                                          bologna
                                                               0.613869
                                     civitavecchia
                                                               0.566682
                                           firenze
                                                               0.549364
                                          pomezia
                                                               0.547425
                                           milano
                                                               0.538685
                                         fiumicino
                                                               0.535253
                                                               0.533268
                                                rm
                                        colleferro
                                                               0.528270
                                           viterbo
                                                               0.524749
                                           catania
                                                               0.513795
                                          cagliari
                                                               0.506348
                                          trieste
                                                               0.504314
                                          bergamo
                                                               0.503563
                                          frascati
                                                               0.503168
```

frosinone



0.503045

Test Distance

```
Enter word or sentence (EXIT to break): roma rieti viterbo
Word: roma Position in vocabulary: 88
Word: rieti Position in vocabulary: 4228
Word: viterbo Position in vocabulary: 5628
                                              Word
                                                         Cosine distance
                                         frosinone
                                                                0.672671
                                           perugia
                                                                0.644043
                                             terni
                                                                0.641548
                                            torino
                                                                0.637691
                                                                0.637166
                                            teramo
                                            latina
                                                                0.622739
                                           cosenza
                                                                0.616780
                                           bologna
                                                                0.616200
                                           catania
                                                                0.609594
                                           palermo
                                                                0.600828
                                        colleferro
                                                                0.597391
                                           firenze
                                                                0.594622
                                           bergamo
                                                                0.591040
                                                                0.588208
                                           pescara
                                           aprilia
                                                                0.581499
```



«Word-Analogy» test

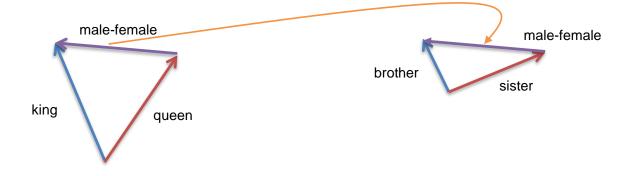
It is a function of questioning the vector space that, with the insertion of three vectors (words), returns the most representative words to solve the analogy.

e.g.

king :
$$queen = male$$
 : $female$

where the relation 'male-female' applied to the word 'queen' to obtain the word 'king' [king = queen + (male - female)],

it can be applied to other words





Word Analogy test

List of some natural language relationships 'captured' by the W.E. model

- Syntactic
 - ✓ male female
 - √ singular plural
 - ✓ superlatives diminutive
 - √ synonyms opposite
- Semantics:
 - ✓ man woman
 - ✓ nationatility nation
 - √ nation capital city
 - √ region regional capital city
 - √ initial province province
 - ✓ political party of belonging
 - √ newspaper city of reference
 - ✓
 - **√**



«Accuracy Top 1» test

It's a Word Analogy test that tries to solve a list of syntactic and semantic analogy, organized in groups, and reported into a benchmark file.

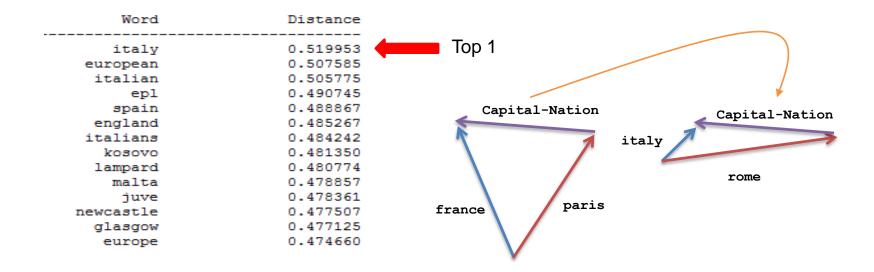
The test is passed only if the correct word is the <u>first</u> of those proposed to complete the analogy



Analogy Test – relationship: Capital - Nation



bash-4.2\$./word-analogy models/GoogleNews-vectors-negative300.bin Enter three words (EXIT to break): paris france rome





Analogy Test – relationship: Singular - Plural









bash-4.2\$./word-analogy models/GoogleNews-vectors-negative300.bin Enter three words (EXIT to break): boy boys car

Word	Distance	
cars	0.669246	Top 1
vehicle	0.562532	
vehicles	0.524835	
Car	0.523344	
Subaru_WRX	0.509807	
Ford_Focus	0.503423	
Porsches	0.496703	
Honda_Civic	0.488979	
SUV	0.485614	
sedan	0.481740	
Chevrolet	0.480740	



Analogy Test – relationship: Nation - Newspaper









bash-4.2\$./word-analogy models/GoogleNews-vectors-negative300.bin Enter three words (EXIT to break): Germany Der_Spiegel England

Word	Distance	
Daily_Telegraph Daily_Mail Guardian	0.521107 0.506416 0.490951	— Top 1
Wisden_Cricket ticker_symbol_BNK	0.485638 0.483723	
Wisden_Cricketer stock_symbol_BNK	0.482764 0.481484	
Gloucestershire_Echo Scyld_Berry Newcastle_Evening_Chronicle	0.475339 0.468583 0.457495	
LSO_St_Lukes Telegraph	0.456623 0.456241	
London_Evening_Standard Mike_Selvey	0.456229 0.444308	



Analogy Test – relationship: Geometric Figures

bash-4.2\$./word-analogy models/GoogleNews-vectors-negative300.bin Enter three words (EXIT to break): three triangle four

	Word	Distance		
equ	triangles uilateral_triangle	0.524841 0.516976		
	rectangle rhombus	0.515894 0.514743		Top 4
	equilateral	0.506330	•	
i	sosceles_triangle	0.478837		
	concentric	0.468067		
	semicircles	0.457456		
	hexagon	0.453780		



GloVe

Global Vectors for Word Representation



GloVe

It's one of the models used for the production of Word Embeddings

It combines the advantages of the two major families of NLP models in the literature:

- global matrix factorization (latent semantyc analysys LSA)
- local context window methods (Word2Vec)

The first family of models is based on the c.d. term-document-matrix; they are able to exploit the statistical information of the texts (counts, word frequency distribution, etc.), but they are not suitable for solving analogies

The second family of models is very efficient in solving analogies, but, before the advent of GloVe, it didn't use statistical information (in explicit manner)



GloVe

GloVe = Global Vector means that in producing the model we have to consider:

- in addition to the foundation of Word2Vec for which close words from a syntactic / semantic point of view, co-occur in the same context
- also the statistics on the co-occurrence at global level in the corpus

The co-occurrence statistics show a primary source of information and unlike Word2Vec, GloVe exploits them explicitly, implementing in his algorithms the *matrix of word-word co-occurrence*

X = matrix of word-word co-occurence counting

 X_{ij} = number of times that word j appear in the context of word i

 $X_i = \sum_{k} X_{ik}$ Sum of frequencies of the k words in the i context

	X i j	j						
_		ice	steam	solid	gas	water	fashion	
	ice	0	3	10	1	24	2	40
	steam	2	0	2	12	15	0	31
۱.	solid	20	2	0	3	3	0	28
١'	gas	2	12	0	0	3	0	17
	water	20	14	2	3	0	1	40
	fashion	2	1	1	1	1	0	6



Co-occurence matrix potentiality (1/2)

The main intuition underlying the GloVe model is the simple observation that ratios of word-word co-occurrence probabilities have the potential for encoding some form of meaning

$$P_{ij} = P(j/i) = \frac{X_{ij}}{I}$$
 Probability that the word j appear in the context of the word i
 X_i i.e.: P(ice | water) = 24 / 40

	P_{ij}	j						
		ice	steam	solid	gas	water	fashion	
	ice	-	3 / 40	10 / 40	1 / 40	24 / 40	2 / 40	1
	steam	2/31	-	2/31	12 / 31	15 / 31	-	1
۱.	solid	20 / 28	2 / 28	1	3 / 28	3 / 28	-	1
l '	gas	2 / 17	12 / 17	-	-	3 / 17	-	1
	water	20 / 40	14 / 40	2 / 40	3 / 40	-	1 / 40	1
	fashion	2/6	1/6	1/6	1/6	1/6	-	1



Co-occurence matrix potentiality (2/2)

Suppose we want to analyze the relation between the two words i = ice and j = steam; we can study this relation analyzing the ratio between their probability to co-occour with the probe word $\mathbf{k} \rightarrow P_{ik} / P_{jk}$

where

$$P_{ik} = P(k/i) = X_{ik} / X_i$$
 probability that word k appear in the context of word i
 $P_{jk} = P(k/j) = X_{jk} / X_j$ probability that word k appear in the context of word j

- for probe words related to i but not to $j | P_{ik} / P_{jk} |$ will be large (es.: solid)
- for probe words related to j but not to $i P_{ik} / P_{jk}$ will be small (es.: gas)
- for probe words that are either related to both i and j or neither P_{ik} / P_{jk} will be close to 1 (es.: water, fashion)

P_{ik} / P_{jk}	probe words (k)					
_	solid	gas	water			
i = ice j = steam	(10/40) / (2/31) = 3,75	(1/40) / (12/31) = 0,06	(24/40) / (15/31) = 1,24			



Global Vectors for Word Representation - GloVe

GloVe has been designed to make explicit what Word2Vec did implicitly. It directly exploits words co-occurrences to define the structure of the embedding space:

- High co-occurrency words are mapped to almost parallel vectors
- Low co-occurrency words are mapped to almost perpendicular vectors

Indeed, GloVe minimizes the following loss function:

$$J = \sum_{i,j=1}^{V} f(X_{ij}) \left(w_i^T \tilde{w}_j + b_i + \tilde{b}_j - \log X_{ij} \right)^2$$

where:

- $w_i^T \tilde{w}_j \rightarrow$ is the dot product of the image vectors of words i and j

The dot product has a maximum for parallel words and a minimum for orthogonal ones.

- $X_{ij} \rightarrow$ is the i, j element of the matrix of word-word co-occurrence

i, *j* span over the whole vocabulary of the corpus, but the co-occurrences are always calculated using a sliding window that defines the context. The matrix is calculated only once at the beginning, and then remains fixed during the training.



FastText



Fast Text

- It was developed by Facebook Research in 2016
- in addition to the words it also uses a lower level, its characters or n-grams, as input for creating W.E. (in some ways, a word becomes the context of itself)
- it introduce the concept of hierarchy (among characters and words): a word represents a label for its n-grams
- it can create W.E. models, starting from smaller data sets (small corpus)
- the training phase is much faster than Word2Vec and GloVe
- it implements the generalization. It means that the models generated by FastText are able to represent in the embedding space the words not included in the starting corpus, too



Word2Vec, GloVe and FastText in one sentence

- Word2Vec: is founded on the concept that similar words appear in similar contexts
- GloVe extends Word2Vec introducing statistics on the words' cooccurrences in the corpus, at global level
- FastText improves Word2Vec generalizing the model to unknown words, that are not included in the input corpus



W.E. experiences in Istat

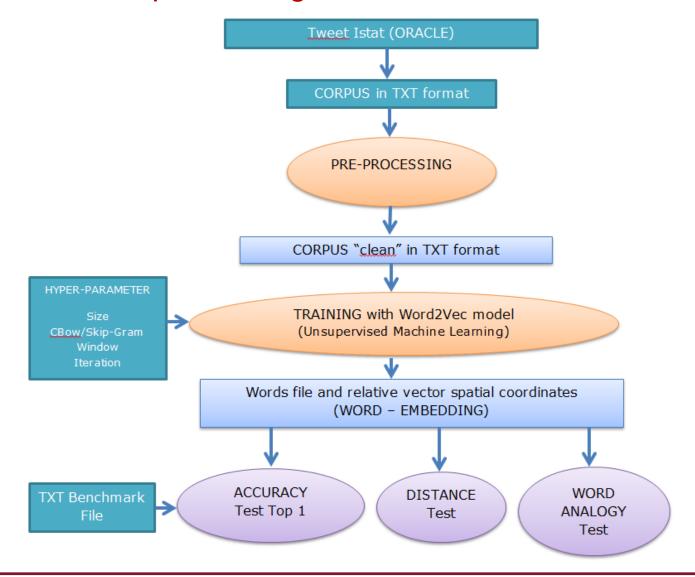


Why Word Embedding in Istat?

- Eurostat encourages the experimentation of new techniques that use Big Data for the production of official statistics (Scheveningen Memorandum, February 2014)
- Among the Big Data sources, textual ones are certainly among the most available (e.g. text coming from Social Media or web scraping activities)
- One of the latest techniques in computational linguistics subject was Word Embeddings

Flow chart of processing activities of Italian Twitter







Pre-Processing

It consists of the following "cleaning" activities of the corpus, before it is passed to the next stage of training.

Remove of:

- URL
- hashtag
- special characters
- Double spaces
- Word of one character



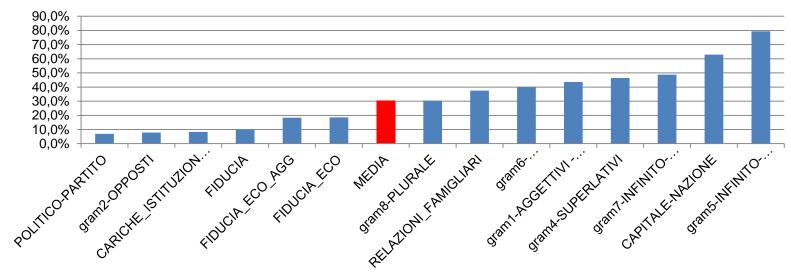
Features of "our" word embedding model

Dimension: 140 millions of tweets (period: February 2016 – June 2018)

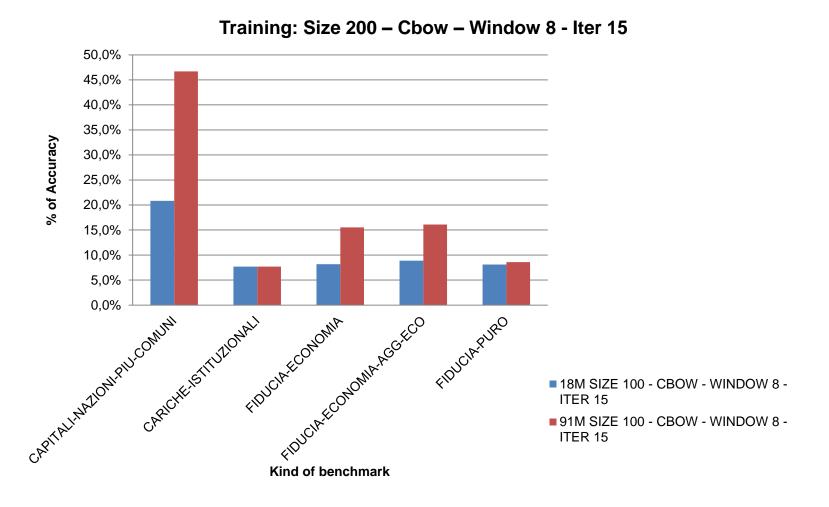
Type of corpus: tweets

- deal on sport, current events and politics topics
- have a maximum length of 140/280 characters (from September 2017)

Test analogy - Accuracy Top 1



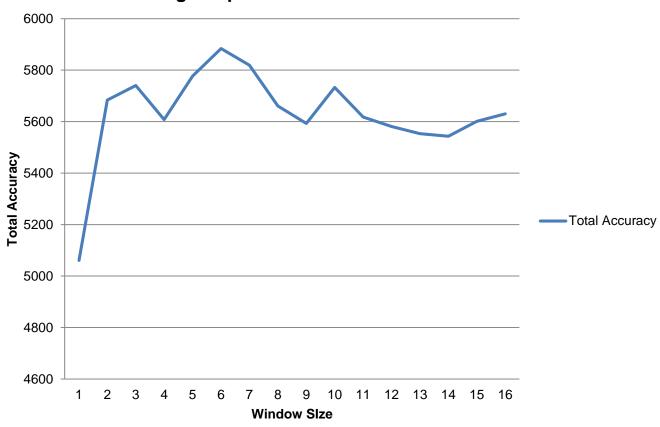
Accuracy test: corpus dimension





Accuracy test: window size

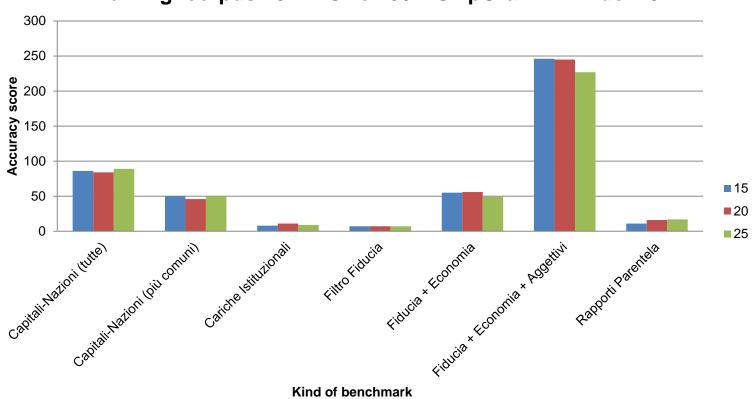






Accuracy test: number of iteration







Reached results

- We have tested Word Embedding techniques based on the Word2Vec algorithm on a large corpus of textual data in Italian language: the collection of ~ 90 million Tweets caught in about a year by our data collection procedures from Twitter
- We studied the quality of the results obtained by varying the main hyperparameters of the Word2Vec algorithm by selecting the set that provides maximum accuracy top1
- We built the Word Embedding models on Tweet captured both the 'Trusted Filter' and 'Istat Filter' using the best hyperparameters



Representation of W.E. models



Exploring and visualizing big embedding models through graphs:

Targets

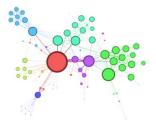
 to explore the model around a semantic area and to represent the relationships between words emerging from specific corpus

Issues

- The WE model has a high dimension in the order of 200/300 vector coordinates
- There are millions of words, therefore millions of vectors in the embedding space

We need a tool that help us to perform this task

Use Graphs



The graphs allow

- a visualization of the model in two dimensions
- a representation of relationships between entities (words)

Why graphs?

Word embeddings are vectors represented in a very high dimensional space.

How we can visualize and handle these objects?

Statistical methods to reduce space dimensionality maintaining the relationships between vectors:

- PCA
- T-SNE

Our proposal is to introduce the expressive power of graphs to represent word embedding.

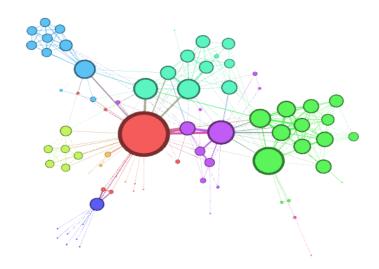
What is a graph?

A graph is a mathematical structure consisting of a set of nodes and links between them

Nodes represent entities C

The edges (also called links or lines) represent relationships between entities





A word embedding graph

For a WE model:

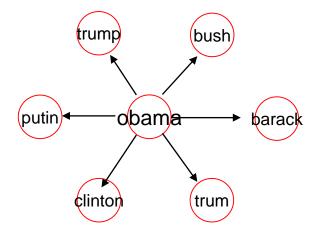
- words are nodes
- distance relationships are edges (also called links or lines)

Each word is connected to the first W words nearest in the cosine distance metric

```
model.wv.most_similar(positive=['obama'],topn=100)

[(u'trump', 0.7187066078186035),
   (u'bush', 0.6275098323822021),
   (u'barack', 0.6016936302185059),
   (u'trum', 0.595779538154602),
   (u'clinton', 0.5875608921051025),
   (u'putin', 0.579934298992157),
   (u'hillary', 0.5499014854431152),
   (u'incredibilevedere', 0.5437788367271423),
   (u'obam', 0.5359461307525635),
   (u'donald', 0.5123184323310852),
   (u'washington', 0.5050041675567627),
   (u'hollande', 0.49855348467826843),
   (u'russia', 0.4958000183105469),
```

W width is a parameter that indicates the number of connected words



GEOMETRIC graph

Parameters:

SEEDS = set of words from which the exploration starts

W = number of closest words to include at each iteration

N = Iteration number

If exploration always finds new words (i.e. there are no cycles), the number of nodes grows exponentially with the iterations. N 2=1+3^1+3^2

NOTE:

The semantic relationships between the SEEDS and the words included at iteration *k* decreases quickly with *k*

W=3

N=2



GEOMETRIC graph

Parameters:

SEEDS = set of words from which the exploration starts

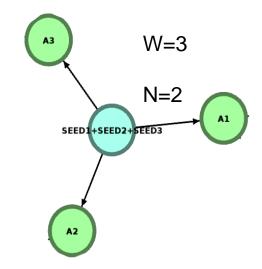
W = number of closest words to include at each iteration

N = Iteration number

If exploration always finds new words (i.e. there are no cycles), the number of nodes grows exponentially with the iterations. N_2=1+3^1+3^2

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The semantic relationships between the SEEDS and the words included at iteration *k* decreases quickly with *k*





GEOMETRIC graph

Parameters:

SEEDS = set of words from which the exploration starts

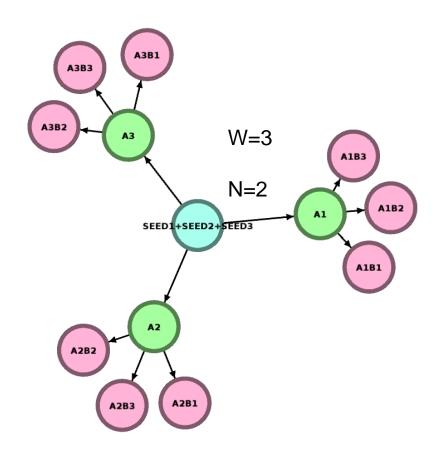
W = number of closest words to include at each iteration

N = Iteration number

If exploration always finds new words (i.e. there are no cycles), the number of nodes grows exponentially with the iterations. N_2=1+3^1+3^2

NOTE:

The semantic relationships between the SEEDS and the words included at iteration k decreases quickly with k





Parameters:

SEEDS = set of words from which the exploration starts

W = number of closest words to include at each iteration

N = Iteration number N=2

At each iteration, a virtual node is created consisting of the sum of the words found.

The number of nodes, grows linearly with the iterations

N i = 1 + W * i

NOTE:

The graph is easy to read and tends to identify a "semantic direction" within the embedding space, as if a story is being told



W=2



Parameters:

SEEDS = set of words from which the exploration starts

W = number of closest words to include at each iteration

N = Iteration number

At each iteration, a virtual node is created consisting of the sum of the words found.

The number of nodes, grows linearly with the iterations

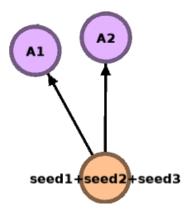
$$N i = 1 + W * i$$

NOTE:

The graph is easy to read and tends to identify a "semantic direction" within the embedding space, as if a story is being told

W=2

N=2





Parameters:

SEEDS = set of words from which the exploration starts

W = number of closest words to include at each iteration

N = Iteration number

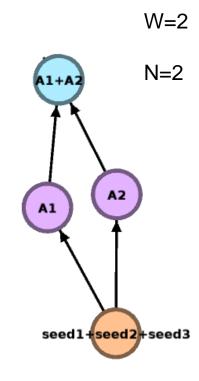
At each iteration, a virtual node is created consisting of the sum of the words found.

The number of nodes, grows linearly with the iterations

$$N_i = 1 + W * i$$

NOTE:

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Parameters:

SEEDS = set of words from which the exploration starts

W = number of closest words to include at each iteration

N = Iteration number

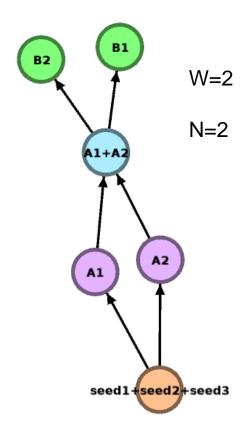
At each iteration, a virtual node is created consisting of the sum of the words found.

The number of nodes, grows linearly with the iterations

$$N_i = 1 + W * i$$

NOTE:

The graph is easy to read and tends to identify a "semantic direction" within the embedding space, as if a story is being told





Parameters:

SEEDS = set of words from which the exploration starts

W = number of closest words to include at each iteration

N = Iteration number

At each iteration, each found word generates a virtual node which is the sum of all the words belonging to non-virtual nodes along the shortest path connecting the current word to the SEEDS node

The graph is compact and full of cyclic paths

Exploration remains in the initial semantic area

W=2

N=2





Parameters:

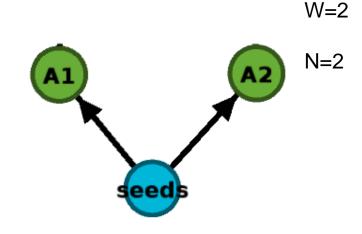
SEEDS = set of words from which the exploration starts

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At each iteration, each found word generates a virtual node which is the sum of all the words belonging to non-virtual nodes along the shortest path connecting the current word to the SEEDS node

The graph is compact and full of cyclic paths





Parameters:

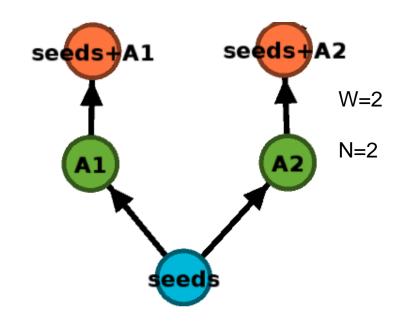
SEEDS = set of words from which the exploration starts

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The graph is compact and full of cyclic paths





Parameters:

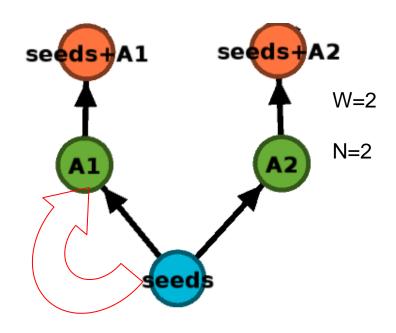
SEEDS = set of words from which the exploration starts

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At each iteration, each found word generates a virtual node which is the sum of all the words belonging to non-virtual nodes along the shortest path connecting the current word to the SEEDS node

The graph is compact and full of cyclic paths





Parameters:

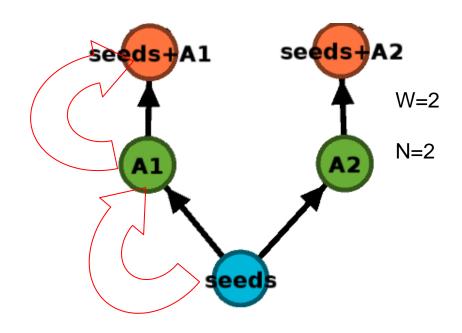
SEEDS = set of words from which the exploration starts

W = number of closest words to include at each iteration

N = Iteration number

At each iteration, each found word generates a virtual node which is the sum of all the words belonging to non-virtual nodes along the shortest path connecting the current word to the SEEDS node

The graph is compact and full of cyclic paths





Parameters:

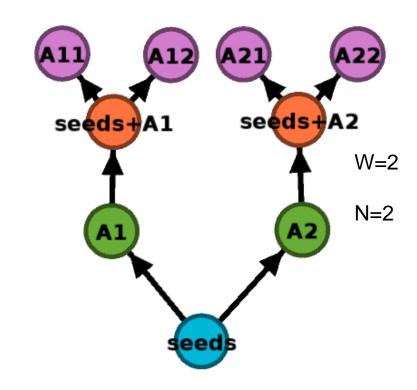
SEEDS = set of words from which the exploration starts

W = number of closest words to include at each iteration

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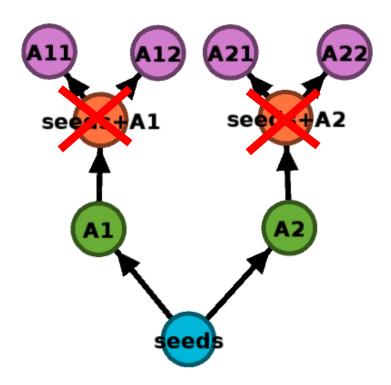
At each iteration, each found word generates a virtual node which is the sum of all the words belonging to non-virtual nodes along the shortest path connecting the current word to the SEEDS node

The graph is compact and full of cyclic paths



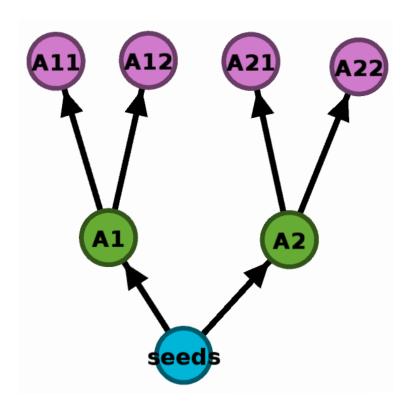


Finally, to make the graph more readable, the virtual nodes are eliminated.





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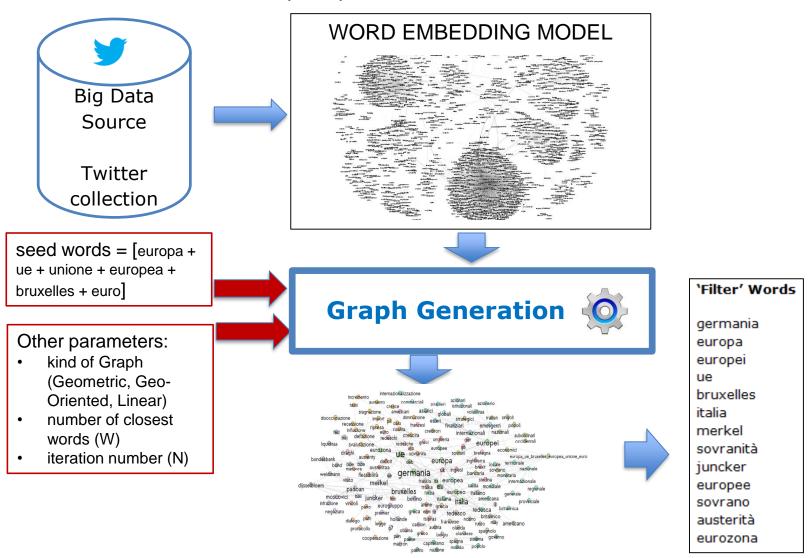




Applications



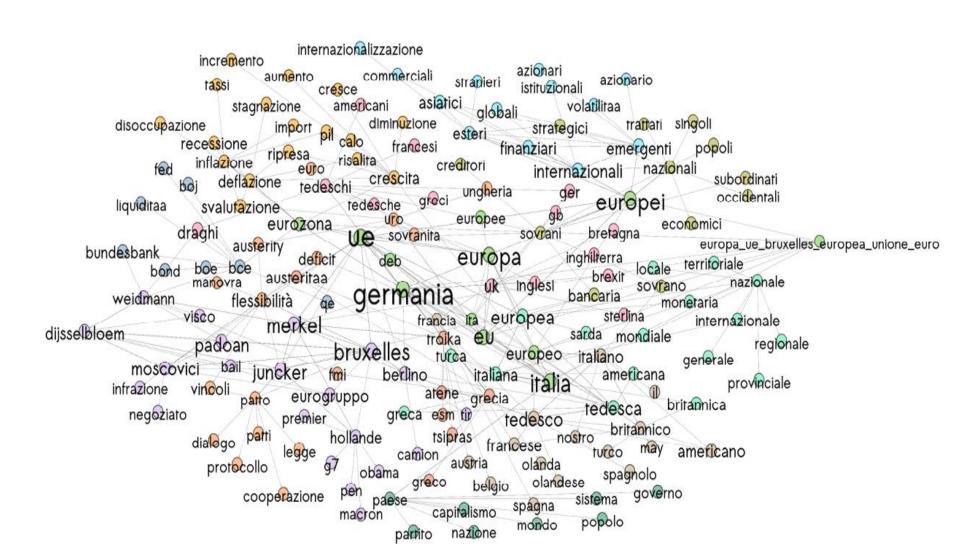
Use case 1: select the best key words used to filter the Twitter API; the aim is to realize a new filter on 'Europe' topic.



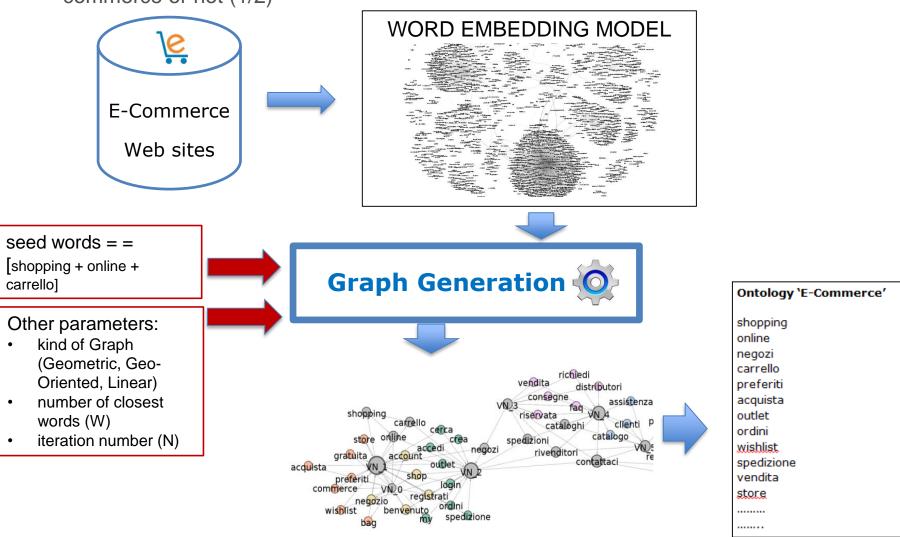


Use case 1: Geometric Graph exploration

seed words = [europa + ue + unione + europea + bruxelles + euro]
 W (number of closest words to include at each iteration) = 8
 N (iteration number) = 3

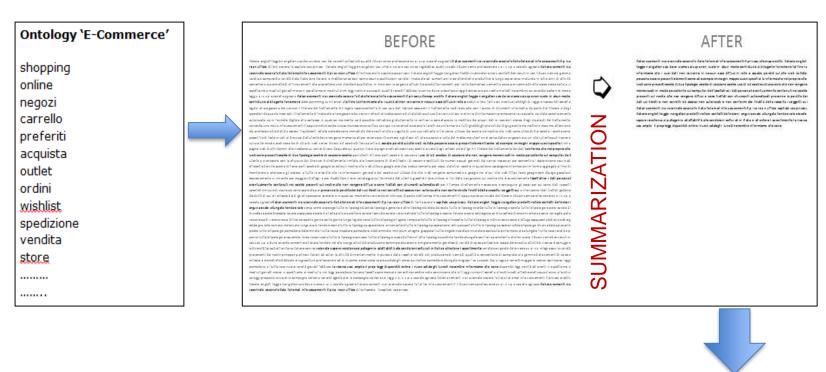


Use case 2: to use a word embedding model as an efficient word encoding layer to form an input for a Deep-Learning Classifier to classify enterprise web sites in e-commerce or not (1/2)





Use case 2: to use a word embedding model as an efficient word encoding layer to form an input for a Deep-Learning Classifier to classify enterprise web sites in e-commerce or not (2/2)

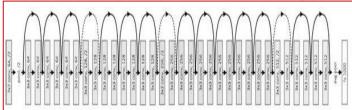


1 - E-Commerce



1 – NON E-Commerce



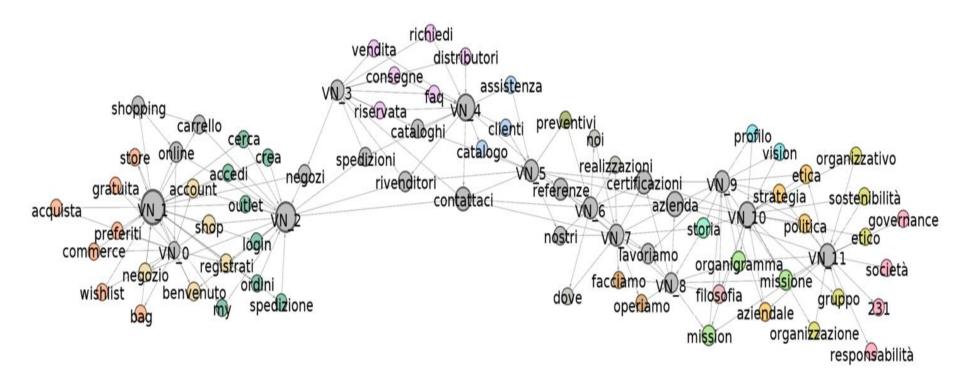


Deep Learning Classifier



Use case 2: Linear Graph exploration

seed words = [shopping+ online + carrello]
 W (number of closest words to include at each iteration) = 12
 N (iteration number) = 11





References

«Proceedings of the 14th international conference on statistical analysis of textual data (pagg. 174-182) - "Word Embeddings: a Powerful Tool for Innovative Statistics at Istat" (ISBN: 978-88-3293-137-2) – Authors: Fabrizio De Fausti - Massimo De Cubellis – Diego Zardetto

"Efficient Estimation of Word Representations in Vector Space" – Authors: Tomas Mikolov, Kai Chen, Greg Corrado, Jeffrey Dean

https://nlp.stanford.edu/projects/glove/

https://www.aclweb.org/anthology/N13-1090.pdf

https://github.com/tmikolov/word2vec



WORD EMBEDDING MODEL

Thank

you

• for

your

attention !!!

