

# 北京航空航天大學

# 数值分析第三次大作业

院(系)名称: 计算机学院 学生学号: ZY2106339 学生姓名: 陈铭煊

授课教师:谢家新

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# 1. 设计方案

#### 题目描述

本次作业出自《数值分析(第四版)》(颜庆津编著)计算实习说明书第八题:

关于x, y, t, u, v, w的方程组 (A.3)

$$\begin{cases} 0.5\cos t + u + v + w - x = 2.67 \\ t + 0.5\sin u + v + w - y = 1.07 \\ 0.5t + u + \cos v + w - x = 3.74 \\ t + 0.5u + v + \sin w - y = 0.79 \end{cases}$$
(A.3)

以及关于z, t, u的二维数表(见表A-1)确定了一个二元函数z = f(x, y)。

$egin{pmatrix} t & u & & \ z & & \end{matrix}$	0	0.4	0.8	1.2	1.6	2
0	-0.5	-0.34	0.14	0.94	2.06	3.5
0.2	-0.42	-0.5	-0.26	0.3	1.18	2.38
0.4	-0.18	-0.5	-0.5	-0.18	0.46	1.42
0.6	0.22	-0.34	-0.58	-0.5	-0.1	0.62
0.8	0.78	-0.02	-0.5	-066	-0.5	-0.02
1.0	1.5	0.46	-0.26	-0.66	-0.74	-0.5

1. 试用数值方法求出f(x,y)在区域 $D=\{(x,y)|0\leq x\leq 0.8,\ 0.5\leq y\leq 1.5\}$ 上的近似表达式

$$p(x,y) = \sum_{r=0}^k \sum_{s=0}^k c_{rs} x^r y^s$$

要求p(x,y)以最小的k值达到以下的精度

$$\sigma = \sum_{i=0}^{10} \sum_{j=0}^{20} \left[ f\left(x_i, y_j
ight) - p\left(x_i, y_j
ight) 
ight]^2 \leqslant 10^{-7}$$

其中 $x_i = 0.08i, y_j = 0.5 + 0.05j$ 。

2. 计算 $f\left(x_i^*,y_j^*\right),p\left(x_i^*,y_j^*\right)$   $(i=1,2,\cdots,8;j=1,2,\cdots,5)$ 的值,以观察p(x,y)逼近f(x,y)的效果,其中 $x_i^*=0.1i,\ y_i^*=0.5+0.2j$ 。

#### 说明

1. 用迭代方法求解非线性方程组时,要求近似解向量 $x^{(k)}$ 满足以下的精度:

$$rac{\left\|x^{(k)}-x^{(k-1)}
ight\|_{\infty}}{\left\|x^{(k)}
ight\|_{\infty}}\leqslant 10^{-12}$$

- 2. 做二元插值时,要求使用分片二次代数插值。
- 3. 要由程序自动确定最小的k值。
- 4. 打印以下内容:

- (1) 全部源程序;
- (2) 数表:  $(x_i, y_j, f(x_i, y_j))$   $(i = 0, 1, \dots, 10; j = 0, 1, \dots, 20)$ ;
- (3) 选择过程的k和 $\sigma$ 值;
- (4) 达到精度要求时的k和 $\sigma$ 值以及p(x,y)中的系数 $c_{rs}(r=0,1,\ldots,k;\;s=0,1,\ldots,k)$  ;
- (5) 数表:  $(x_i^*, y_i^*, f(x_i^*, y_i^*), p(x_i^*, y_i^*))$   $(i = 1, 2, \dots, 8; j = 1, 2, \dots, 5)$ 。
- 5. 采用f型输出 $x_i,y_j,x_i^*,y_j^*$ 的准确值,其余实型数采用e型输出并且至少显示12位有效数字。

#### 算法分析

#### 总体思路

首先题目中并没有给出f(x,y)的具体形式。由于x,y确定为 $x_i,y_j$ 时,通过解四元非线性方程组,得到t,u也确定,因此我们转而需要求出 $z=f(x,y)=\varphi(t,u)$ ,题目中也没有给出 $\varphi$ ,而是给出了一个z,t,u的数表,按照说明,我们需要用分片二次代数插值来确定 $\varphi$ ,进而确定f(x,y),求出 $f(x_i,y_j)$ 。

求出所有 $f(x_i, y_j)$ 之后,我们需要进行近似拟合,这里我们采用最小二乘曲面拟合方法,详见书P141-P143页。另外注意我们选取幂函数为基函数,这样可以直接求出 $c_{rs}$ 。

通过从小到大枚举多项式次数k,误差逐渐减小,可以找到最小的满足要求的k。

#### 牛顿法求解固定x, y时的t, u

固定x,y,则方程组(A.3)变成了四元四次非线性方程组,可以用牛顿迭代法求解。首先将项移动到方程左侧,接着构造F(t,u,v,w),这是一个方程向量,每一元素是一个非线性函数,包含关于t,u,v,w的非线性项和常数项。接着求出偏导数矩阵F'(t,u,v,w),这是一个 $4\times 4$ 的矩阵,每个元素是一个非线性函数。

迭代公式为:

$$egin{pmatrix} t^{(k+1)} \ u^{(k+1)} \ v^{(k+1)} \ w^{(k+1)} \end{pmatrix} = egin{pmatrix} t^{(k)} \ u^{(k)} \ v^{(k)} \ w^{(k)} \end{pmatrix} + egin{pmatrix} \Delta t^{(k)} \ \Delta u^{(k)} \ \Delta v^{(k)} \ \Delta w^{(k)} \end{pmatrix}, k = 0, 1, 2, \cdots, n$$

其中 $(\Delta t^{(k)}, \Delta u^{(k)}, \Delta v^{(k)}, \Delta w^{(k)})^T$ 为以下方程组的解

$$F'\left(t^{(k)},u^{(k)},v^{(k)},w^{(k)}
ight)egin{pmatrix} \Delta t^{(k)}\ \Delta u^{(k)}\ \Delta v^{(k)}\ \Delta w^{(k)} \end{pmatrix} = -F\left(t^{(k)},u^{(k)},v^{(k)},w^{(k)}
ight)$$

这里需要实现线性方程组的求解,可以使用列主元高斯消去法。

由题目要求,取相对范数误差小于10-12为终止条件。

因为从表中观察到t,u取值基本在[0,2]上,因此,选取(1,1,1,1)为迭代初始值,能比较有效地减少迭代次数,之后的观察也确实如此。

## 分片二元双二次插值求解 $\varphi(t,u)$

这里我们对原数表,应用分片二元双二次插值法。令

$$t_m = 0.2m, u_n = 0.4n \ (m = 0, 1, \dots, 5; n = 0, 1, \dots, 5)$$

对于给定的t, u, 找到最接近的三个插值点 $t_{i-1}, t_i, t_{i+1}$ 和 $u_{i-1}, u_i, u_{i+1}$ , 即

$$i = egin{cases} \left\lfloor rac{t}{0.2} \right
vert (0.2 \leq t \leq 0.8) \ 1 \ (t < 0.2) \ 4 \ (t > 0.8) \end{cases} \ j = egin{cases} \left\lfloor rac{u}{0.4} 
ight
ceil (0.4 \leq u \leq 1.6) \ 1 \ (u < 0.4) \ 4 \ (u > 1.6) \end{cases}$$

相应的插值多项式为

$$arphi(t,u) = \sum_{k=i-1}^{i+1} \sum_{r=j-1}^{j+1} l_k(t) l_r(u) arphi(t_k,u_r)$$

其中

$$egin{aligned} l_k(t) &= \prod_{q=i-1, q 
eq k}^{i+1} rac{t-t_q}{t_k-t_q} \; (k=i-1, i, i+1) \ l_r(u) &= \prod_{q=i-1, q 
eq k}^{j+1} rac{u-u_q}{u_k-u_q} \; (k=j-1, j, j+1) \end{aligned}$$

得到 $\varphi(t,u)$ 的表达式之后,代入即可得到值。实际操作时一般是根据t,u的值进行现场插值。此时即可求出

#### 乘积型最小二乘曲面拟合

使用幂函数作为基矩阵,有基矩阵如下:

$$B = egin{pmatrix} x_0^0 & \cdots & x_0^k \ dots & \ddots & dots \ x_i^0 & \cdots & x_i^k \end{pmatrix}, \quad G = egin{pmatrix} y_0^0 & \cdots & y_0^k \ dots & \ddots & dots \ y_j^0 & \cdots & y_j^k \end{pmatrix}$$

数表矩阵为:

$$U = egin{pmatrix} f\left(x_0, y_0
ight) & \cdots & f\left(x_0, y_j
ight) \ dots & \ddots & dots \ f\left(x_i, y_0
ight) & \cdots & f\left(x_i, y_j
ight) \end{pmatrix}$$

根据书P142, 系数矩阵表达式为:

$$C = (B^T B)^{-1} B^T U G (G^T G)^{-1}$$

于是我们首先求解方程:

$$(B^TB)D = B^TUG$$

得到矩阵 D后求解

$$(G^T G)^T C^T = D^T$$

即可得到C,这里可以继续使用之前的列主元高斯消去法,只是常量从向量变成了矩阵。

#### 求解给定点的f值与p值

 $f(x_i^*,y_j^*)$ 的计算和 $f(x_i,y_j)$ 相同。将 $(x_i^*,y_j^*)$ 代入原方程组,求解相应 $(t_{ij}^*,u_{ij}^*)$ ,进行分片双二次插值求得 $f(x_i^*,y_j^*)$ 。 $p(x_i^*,y_j^*)$ 只需代入上一步求得的二元多项式即可。

# 2. 源程序代码

#### 简介

本程序采用C++17标准编写,模块清晰,通用性强,效率优秀,使用CMake (version ≥ 3.16) 组织代码,使用MSVC 8.1或GCC 9.3.0编译器均可编译通过。文件结构如下:

```
CMakeLists.txt
| InterpolationUtil.cpp
 InterpolationUtil.h
LinearEqUtil.cpp
 LinearEqUtil.h
 main.cpp
 Matrix.cpp
 Matrix.h
 NonLinearEqUtil.cpp
 NonLinearEqUtil.h
 NonLinFormula.cpp
 NonLinFormula.h
  NonLinItemMatrix.cpp
 NonLinItemMatrix.h
 NonSqMatrix.cpp
 NonSqMatrix.h
 Vector.cpp
 Vector.h
 ZeroRangeGuard.h
```

对于向量和矩阵的实现,分别在 Vector 和 Matrix 类中。另外因为曲面拟合的需要,实现了 Matrix 的友元类 NonSqMatrix ,用于支持非方阵的矩阵运算,并且能在长宽相等时高效转换为 Matrix 。

对于线性方程组的求解,实现在类 LinearEqutil 中,在以往的基础上将单个常向量扩展为多个,这样就可以一次性求解系数矩阵相同的多个线性方程组,也即处理方程右侧为矩阵的情况。

我们实现了NonLinFormula和NonLinItemMatrix类,前者为代数式运算提供方便。后者主要用于牛顿迭代法,储存F和F'。这两个类避免了对算式的硬编码,提高了程序的通用能力。

对于牛顿迭代法,被简洁地实现在了NonLinearEqUtil::solveByNewtonMethod中。

对于分片二元双二次插值算法求给定点的值,实现在了

InterpolationUtil::twoDimQuadLagrangeInterpolation函数中。其步骤均以向量化的形式描述。

对于乘积型最小二乘曲面拟合算法,因为步骤简单,实现在了main.cpp中。在一个循环中不断尝试增长次数k,直到达到误差要求为止,最后输出拟合的系数。

# 内容

# 3. 上机计算结果

以某次运行为例,得到结果:

数表 $(x_i, y_i, f(x_i, y_i))$ 

```
.00E+00 .500E+00
                        .446504018481E+00
.00E+00 .550E+00
                        .324683262928E+00
.00E+00 .600E+00
                        .210159686683E+00
.00E+00 .650E+00
                        .103043608316E+00
.00E+00 .700E+00
                        .340189556268E-02
.00E+00 .750E+00
                        -.887358136380E-01
.00E+00 .800E+00
                        -.173371632750E+00
.00E+00 .850E+00
                        -.250534611467E+00
.00E+00 .900E+00
                        -.320276506388E+00
.00E+00 .950E+00
                        -.382668066110E+00
.00E+00 .100E+01
                        -.437795766738E+00
.00E+00 .105E+01
                        -.485758941444E+00
.00E+00 .110E+01
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.00E+00 .115E+01
                        -.560638479797E+00
.00E+00 .120E+01
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.00E+00 .135E+01
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.16E+00 .850E+00
                        .206074006784E-02
```

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.48E+00 .125E+01
                        -.188398090610E+00
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.56E+00 .650E+00
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.56E+00 .750E+00
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.56E+00 .900E+00
                         .711911352264E+00
.56E+00 .950E+00
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.56E+00 .100E+01
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.56E+00 .105E+01
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.56E+00 .125E+01
                        - 688187019710F-01
.56E+00 .130E+01
                        -.154649344213E+00
.56E+00 .135E+01
                         -.234152666459E+00
.56E+00 .140E+01
                        -.307391091913E+00
```

```
.56E+00 .145E+01
                        - 374434862348F+00
.56E+00 .150E+01
                         -.435360556536E+00
.64E+00 .500E+00
                         .221566786369E+01
.64E+00 .550E+00
                        .203420113361E+01
.64E+00 .600E+00
                         .185695514362E+01
.64E+00 .650E+00
                        .168435816416E+01
.64E+00 .700E+00
                        .151677635240E+01
.64E+00 .750E+00
                        .135451904115E+01
.64E+00 .800E+00
                        .119784408667E+01
.64E+00 .850E+00
                         .104696304942E+01
.64E+00 .900E+00
                        .902046083802E+00
.64E+00 .950E+00
                        .763226477663E+00
.64E+00 .100E+01
                        .630604821954E+00
.64E+00 .105E+01
                        .504252814597E+00
.64E+00 .110E+01
                         .384216715546E+00
                        .270520476641E+00
.64E+00 .115E+01
.64E+00 .120E+01
                        .163168572400E+00
.64E+00 .125E+01
                        .621485581168E-01
.64E+00 .130E+01
                        -.325666193968E-01
.64E+00 .135E+01
                         -.121016534844E+00
.64E+00 .140E+01
                        -.203251399623E+00
.64E+00 .145E+01
                        -.279330359558F+00
.64E+00 .150E+01
                        -.349319957540E+00
.72E+00 .500E+00
                        .246468422266E+01
.72E+00 .550E+00
                         .227805897940E+01
.72E+00 .600E+00
                        .209525125084E+01
.72E+00 .650E+00
                        .191671812800E+01
.72E+00 .700E+00
                        .174285462878E+01
.72E+00 .750E+00
                        .157399842733E+01
.72E+00 .800E+00
                         .141043483523E+01
.72E+00 .850E+00
                        .125240175061E+01
.72E+00 .900E+00
                        .110009440963E+01
.72E+00 .950E+00
                        .953669851261E+00
.72E+00 .100E+01
                        .813251055249E+00
.72E+00 .105E+01
                         .678930742966E+00
.72E+00 .110E+01
                        .550774848504E+00
.72E+00 .115E+01
                         .428825676973E+00
.72E+00 .120E+01
                        .313104771740E+00
.72E+00 .125E+01
                         .203615514033E+00
.72E+00 .130E+01
                        .100345478241E+00
.72E+00 .135E+01
                        .326856518657E-02
.72E+00 .140E+01
                         -.876530659133E-01
.72E+00 .145E+01
                        -.172467247819E+00
.72E+00 .150E+01
                         -.251230220752E+00
.80E+00 .500E+00
                         .271781110947E+01
.80E+00 .550E+00
                        .252639950126E+01
.80E+00 .600E+00
                         .233841138686E+01
.80E+00 .650E+00
                         .215432937728E+01
.80E+00 .700E+00
                         .197457455665E+01
.80E+00 .750E+00
                         .179951057910E+01
.80E+00 .800E+00
                        .162944822055E+01
.80E+00 .850E+00
                         .146465004375E+01
.80E+00 .900E+00
                         .130533496765E+01
.80E+00 .950E+00
                         .115168262131E+01
.80E+00 .100E+01
                         100383741991F+01
.80E+00 .105E+01
                         .861912337228E+00
.80E+00 .110E+01
                         .725992371111E+00
.80E+00 .115E+01
                        .596137711520E+00
```

```
.80E+00 .120E+01 .472386627914E+00
.80E+00 .125E+01 .354758095898E+00
.80E+00 .130E+01 .243254184181E+00
.80E+00 .135E+01 .137862222525E+00
.80E+00 .140E+01 .385567703264E-01
.80E+00 .145E+01 -.546985959345E-01
.80E+00 .150E+01 -.141949659709E+00
```

#### k值和 $\delta$

```
k = 1 delta = .322090897364E+01
k = 2 delta = .465996003327E-02
k = 3 delta = .172117537914E-03
k = 4 delta = .330953429925E-05
k = 5 delta = .254137771997E-07
```

#### 当k=5时,精度已经达到了要求,此时p(x,y)中的系数 $c_{rs}$ 为

.202123044262E+01 .848606392858E+00	366842636522E+01 415897940397E+00	.709247679136E+00
.319191902005E+01 .163105207331E+01	741167262380E+00 484652918264E+00	269700015696E+01
.256783376068E+00 799465014205E-01	.158052371105E+01 .101380257369E+00	464732264229E+00
268881423675E+00 811992184212E+00	732402030864E+00 .305416495777E+00	.108086081306E+01
.216915251451E+00 .250488511538E+00	175276128774E+00 144991789392E+00	791840695272E-01

## 数表 $(x_i^*, y_j^*, f(x_i^*, y_j^*), p(x_i^*, y_j^*))$

```
.10E+00 .700E+00
                        .194720407918E+00
                                                .194730357326E+00
.10E+00 .900E+00
                        -.183036970312E+00
                                                -.183041838360E+00
                                                -.445500042115E+00
.10E+00 .110E+01
                        -.445497629269E+00
.10E+00 .130E+01
                        -.597566705305E+00
                                                -.597558856878E+00
.10E+00 .150E+01
                        -.646459593647E+00
                                                -.646446111301E+00
.20E+00 .700E+00
                        .405979189288E+00
                                                .405989539896E+00
.20E+00 .900E+00
                        -.225158150340E-01
                                                -.225211162936E-01
.20E+00 .110E+01
                        -.338220789483E+00
                                                -.338224022407E+00
.20E+00 .130E+01
                        -.544437827296E+00
                                                -.544430450939E+00
.20E+00 .150E+01
                        -.647361337978E+00
                                                -.647348010632E+00
.30E+00 .700E+00
                        .634777195151E+00
                                                .634787453107E+00
.30E+00 .900E+00
                        .158801342082E+00
                                                .158796295645E+00
                        -.207365658661E+00
                                                -.207368580088E+00
.30E+00 .110E+01
.30E+00 .130E+01
                        -.465357900500E+00
                                                -.465349923350E+00
.30E+00 .150E+01
                        -.620270952047E+00
                                                -.620257138501E+00
.40E+00 .700E+00
                        .878960023174E+00
                                                .878969865343E+00
.40E+00 .900E+00
                        .358650822218E+00
                                                .358646043348E+00
.40E+00 .110E+01
                        -.552527776174E-01
                                                -.552554368705E-01
.40E+00 .130E+01
                        -.362679502890E+00
                                                 -.362671062971E+00
.40E+00 .150E+01
                        -.567564742128E+00
                                                -.567550582812E+00
.50E+00 .700E+00
                        .113661091016E+01
                                                .113662035311E+01
```

.50E+00 .900E+00	.574980553226E+00	.574975843087E+00
.50E+00 .110E+01	.115992426843E+00	.115989321215E+00
.50E+00 .130E+01	238568293365E+00	238560419182E+00
.50E+00 .150E+01	491434391617E+00	491420900990E+00
.60E+00 .700E+00	.140604179891E+01	.140605068696E+01
.60E+00 .900E+00	.805941716346E+00	.805937302046E+00
.60E+00 .110E+01	.304429275827E+00	.304425831955E+00
.60E+00 .130E+01	950161177620E-01	950089457219E-01
.60E+00 .150E+01	393902305233E+00	393889837754E+00
.70E+00 .700E+00	.168578351531E+01	.168579121747E+01
.70E+00 .900E+00	.104988138137E+01	.104987773885E+01
.70E+00 .110E+01	.508293841773E+00	.508291045085E+00
.70E+00 .130E+01	.661488102960E-01	.661563554850E-01
.70E+00 .150E+01	276834338871E+00	276822042898E+00
.80E+00 .700E+00	.197457455665E+01	.197458126116E+01
.80E+00 .900E+00	.130533519966E+01	.130533200412E+01
.80E+00 .110E+01	.725992430530E+00	.725989310430E+00
.80E+00 .130E+01	.243254198540E+00	.243260790494E+00
.80E+00 .150E+01	141949656522E+00	141938789062E+00

经过与他人的对比验证, 可知结果正确。

此外,在Release模式下,无输出时进行时间测量,基本上在2000~3000微秒之间。

# 4. 讨论分析

在本次程序设计中,主要面临的困难和思考有如下方面:

- 1. 步骤较多, 需要仔细整理出合理的逻辑。
- 2. 对于牛顿迭代法的初值一开始没有很好的把握,一开始采用正太随机法生成初始向量,发现虽然能迭代收敛,但需要步数较多,有时可能要500步尚未迭代完毕。在参考了他人的思路之后,意识到题目中给出了t,u的大致范围,因此,可以为向量初始值设定为(1,1,1,1),这样迭代次数便稳定下来,基本6次即可达到所需精度。
- 3. 如何在程序的高效性,可复用性,简洁性做平衡,是很困难的。通过反复的设计和抽象,使得我的代码水平有了进一步提升。