

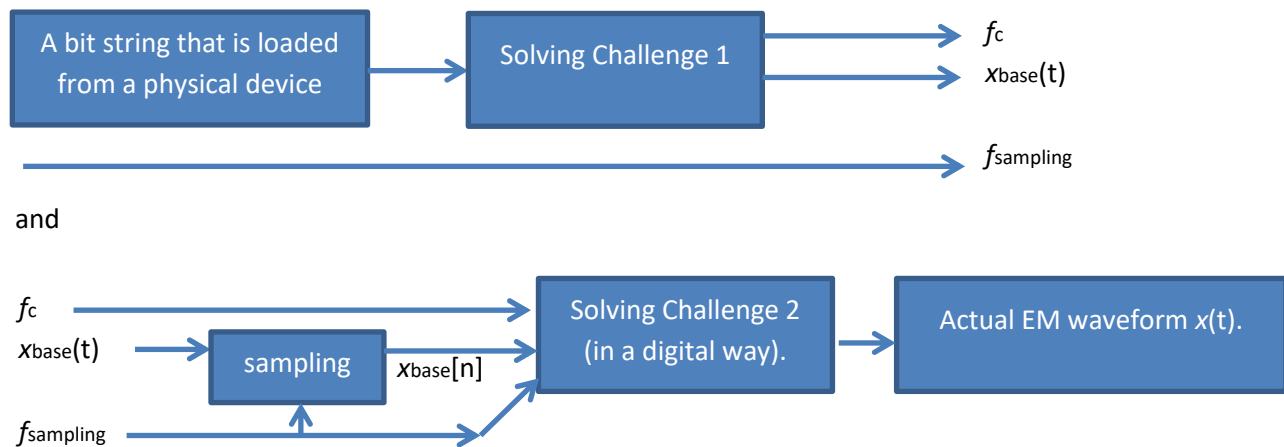
## Lecture 4 / Homework 4 for The Software Defined Radio (SDR) of Purdue VIP

Prepared by Prof. Chih-Chun Wang

**Recap:** The main difficulty of learning how to use the USRP is due to the following two challenges, see the diagram as well.

Challenge 1: We need to learn the basic principle of digital communication.

Challenge 2: We need to learn how to implement a communication system in a digital way.



Since the USRP only takes  $f_c$ ,  $x_{base}[n]$ , and  $f_{sampling}$  as input, our goal thus becomes given a bit string  $b_1, b_2, \dots, b_k, \dots$ , how to design the corresponding  $f_c$ ,  $x_{base}[n]$ , and  $f_{sampling}$  and use them as the input to the USRP. Also notice that the carrier frequency  $f_c$  is usually licensed from FCC and thus is hard to control, and the parameter  $f_{sampling}$  is limited by the hardware specification of the USRP, which is also beyond our control. As a result the main goal of the digital communication system design is

### Main goal:

Given a bit string  $b_1, b_2, \dots, b_k, \dots$ , and the pre-specified system parameters takes  $f_c$  and  $f_{sampling}$ , how to design the corresponding  $x_{base}[n]$  as the input to the USRP.

In p. 4 of Lecture 3, we have discussed a 3-step process how to generate from a bit string  $b_1, b_2, \dots, b_k, \dots$ , to the corresponding  $x_{base}[n]$ . The 3-step process described in p. 4 of Lecture 3 is limited to the following parameters (1) sending 3 bits  $b_0, b_1$ , and  $b_2$ ; (2) target bit rate is 2bps; (3) the assumed sampling rate is  $f_{sampling}=20\text{Hz}$ ; and (4) the  $f_0(t)$  used in the process is described by  $f_0(t)=\text{sinc}(t/T)$ , where  $T=0.5$  seconds is the time shifting parameter.

The following is a more general description of how to generate  $x_{\text{base}}[n]$  in a more general setting. The question we are trying to solve is

### Setting:

Recall from p. 3 of Lecture 1 that we can send a group of 2 bits using 4 distinct waveforms. If we would like to send  $k$  groups of 2 bits, then we can finish transmissions within  $k \cdot T$  seconds. The overall data rate is  $(2k)/(kT) = 2/T$  bps. For the following, we will demonstrate how to send a group of  $M$  bits using  $2^M$  distinct waveforms. If we would like to send  $k$  groups of  $M$  bits, then we can finish transmissions within  $k \cdot T$  seconds. The overall data rate is  $(kM)/(kT) = M/T$  bps.

The following 4-step process describes how to (1) convert the  $kM$  bits into  $x_{\text{base}}[n]$  with the group size being  $M$  bits and the shift parameter being  $T$ ; (2) target bit rate is  $M/T$  bps; (3) the assumed sampling rate is  $f_{\text{sampling}}$ ; and (4) the  $f_0(t)$  used in the process is described by a general form  $f_0(t) = f_{\text{filter},T}(t)$ , where  $f_{\text{filter},T}(t)$  is a function depending on the  $T$  value of the system.

### Task 1:

Compare the scheme described in the later part of this lecture notes with the 3-step process in p. 4 of Lecture 3. Make sure that you understand that the setting in this page is simply a generalization of the setting for p. 4 of Lecture 3.

### Task 2:

We know that we have  $kM$  bits to be sent out in groups of  $M$  bits. How many entries are there in  $x_{\text{base}}[n]$ ?

### A general 4-step process

Step 0: We choose a so-called “symbol mapper”  $f_{\text{Sym}}(b_1 b_2 \dots b_M)$  that takes  $M$  bits as input and output a complex number. For example, when  $M=4$ , one popular choice is

#### Equation 1

$$f_{\text{Sym}}(b_1 b_2 b_3 b_4) = 2(-1)^{b_1 + (-1)^{b_2} + j(2(-1)^{b_3} + (-1)^{b_4})}$$

### Task 3:

For the above choice of  $f_{\text{Sym}}(b_1 b_2 b_3 b_4)$ , what is the value of  $f_{\text{Sym}}(0111)$ ? Plot  $f_{\text{Sym}}(0111)$  in a complex plane. (Note that this choice of  $f_{\text{Sym}}(b_1 b_2 b_3 b_4)$  is usually called 16QAM.)

Step 1: Convert the analog waveform  $f_{\text{filter},T}(t)$  through sampling with sampling rate  $f_{\text{sampling}}$  Hz. Denote the output by  $f_{\text{filter},T}[n]$ .

Step 2: Since the target throughput is  $M/T$  bps and the sampling rate  $f_{\text{sampling}}$  Hz, we see that a  $kM$ -bit string  $b[1]$  to  $b[kM]$  will eventually be converted to an  $x_{\text{base}}[n]$  array with  $kTf_{\text{sampling}}$  entries. We first construct a  $kTf_{\text{sampling}}$ -entry array  $b_{\text{upsampling}}[n]$  as follows. If  $n$  is a multiple of  $Tf_{\text{sampling}}$ , then set the value of  $b_{\text{upsampling}}[n]$  to the value of  $f_{\text{Sym}}(b[nM/(Tf_{\text{sampling}})+1], b[nM/(Tf_{\text{sampling}})+2], \dots, b[nM/(Tf_{\text{sampling}})+M])$ . If  $n$  is not a multiple of  $Tf_{\text{sampling}}$ , then set the value of  $b_{\text{upsampling}}[n]$  to zero.

(This step is sometimes called upsampling.)

Step 3: Compute  $x_{\text{base}}[n]$  by taking the *convolution sum* of  $b_{\text{upsampling}}[n]$  and  $f_{\text{filter},T}[n]$ .

#### Task 4:

Compare the above steps with the 3-step process in p. 4 of Lecture 3. Make sure that you understand that the new 4-step approach in this document is simply a generalization of the steps in p. 4 of Lecture 3. Answer the following question: How to choose the  $M$  value, the symbol mapper  $f_{\text{Sym}}(b_1b_2\dots b_M)$ , the  $T$  value, and the  $k$  value so that the above 4-step process collapses to the 3-step process in p. 4 of Lecture 3?

**Task 5:** Discuss with TA for this task.

When using  $M=4$  and the  $f_{\text{Sym}}(b_1b_2\dots b_M)$  described in Equation 1 with  $T=0.5$ , what is the *continuous time* complex-valued waveform  $x_{\text{base}}(t)$  when we are sending 8 bits  $b_1$  to  $b_8 = 01101100$ ? You can assume that the  $f_0(t)=f_{\text{filter},T}(t)$  we use is simply  $\text{sinc}(t/T)$ . However, make sure you know how to answer this question for arbitrary  $f_{\text{filter},T}(t)$ . If you can only look at the  $x_{\text{base}}(t)$ , are you able to deduce the values of the original  $b_1$  to  $b_8$ ? Hint: you need to look at the real and the imaginary part of  $x_{\text{base}}(t)$  separately.

#### Task 6:

When  $M=6$ , a popular choice of  $f_{\text{Sym}}(b_1b_2\dots b_M)$  is

Equation 2

$$f_{\text{Sym}}(b_1b_2b_3b_4b_5b_6) = 4(-1)^{b_1} + 2(-1)^{b_2} + (-1)^{b_3} + j(4(-1)^{b_4} + 2(-1)^{b_5} + (-1)^{b_6})$$

For the above choice of  $f_{\text{Sym}}(b_1b_2b_3b_4b_5b_6)$ , what is the value of  $f_{\text{Sym}}(011110)$ ? Plot  $f_{\text{Sym}}(011110)$  in a complex plane. (Note that this choice of  $f_{\text{Sym}}(b_1b_2b_3b_4b_5b_6)$  is usually called 64QAM.) Can you derive a rule how we designed this particular  $f_{\text{Sym}}(b_1b_2b_3b_4b_5b_6)$ ? What if we are interested in  $M=8$ ? What will be the corresponding  $f_{\text{Sym}}(b_1b_2b_3b_4b_5b_6b_7b_8)$ ?