## Monte Carlo Search Tree and Its Applications

#### Max Magnuson

Senior Seminar
Division of Science and Mathematics
University of Minnesota, Morris
Morris, Minnesota, USA

April 25, 2015



#### Kasparov vs Deep Blue





### Kasparov vs Deep Blue

Great display of artifical intelligence (AI) Techniques employed by IBM

- Brute force deterministic approach
- human knowledge

#### Limitation

scalability into larger search spaces

Monte Carlo tree search (MCTS) is an alternative method



#### **Outline**

Introduction

Naive MCTS Implementation

Applying MCTS to Go

Applying MCTS to Narrative Generation

Conclusion



- Combines random sampling and game trees
- Probabilistic not deterministic
- Useful for problems with larger search spaces



Introduction

# Two MCTS Applications

#### Go

- Board game about positional advantage
- Game board for Chess: 8x8
- Possible games of Chess: 10<sup>120</sup>
- Game board for Go: 19x19
- Possible games of Go: 10<sup>761</sup>

#### Narrative generation

- Useful Applications
  - Video game replay value
  - educational applications
- The search space scales with the number of characters, items, locations, and actions



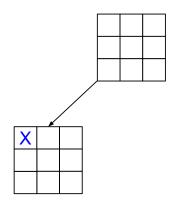
Naive MCTS Implementation

Applying MCTS to Go

Applying MCTS to Narrative Generation

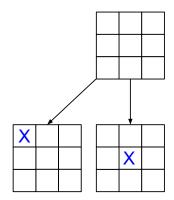


# TicTacToe Diagram

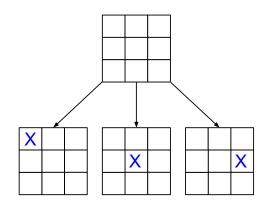




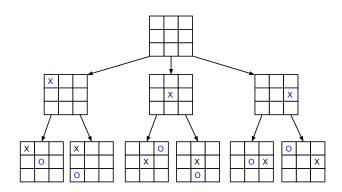
# TicTacToe Diagram



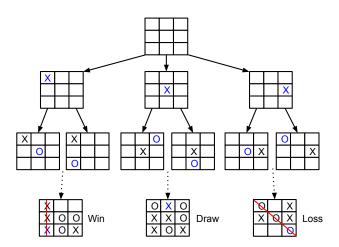






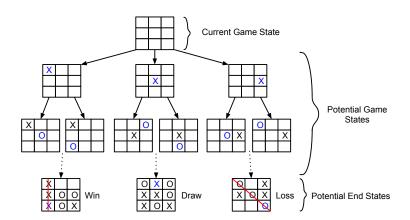




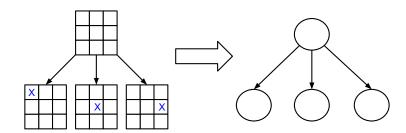




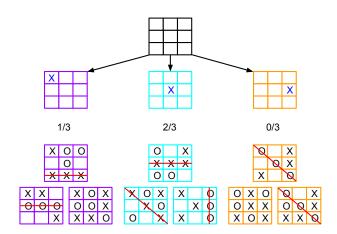
## TicTacToe Diagram



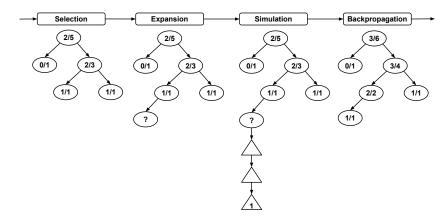




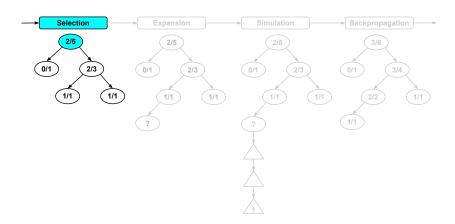




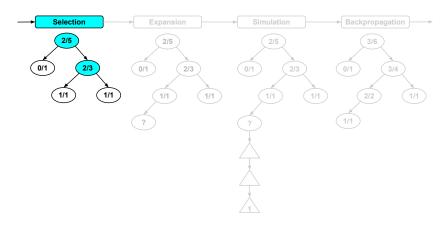




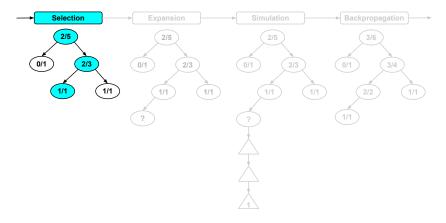




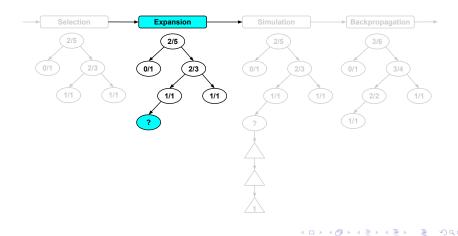


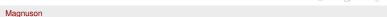


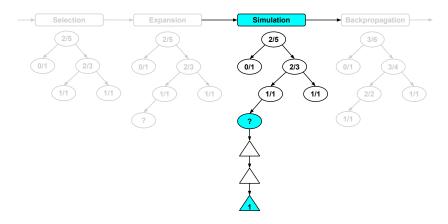




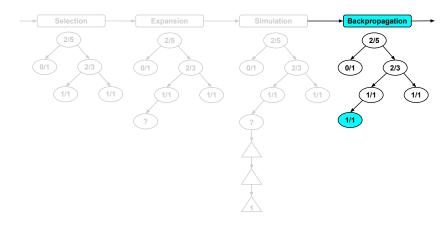




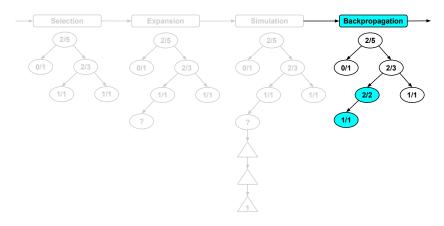




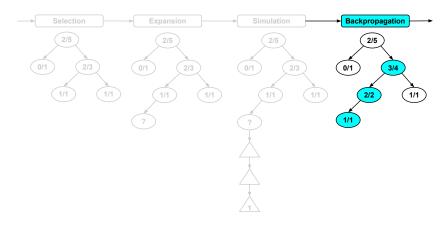




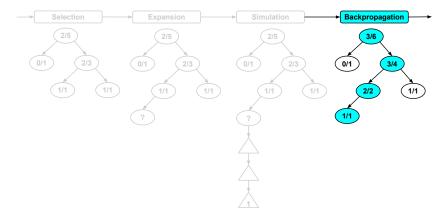




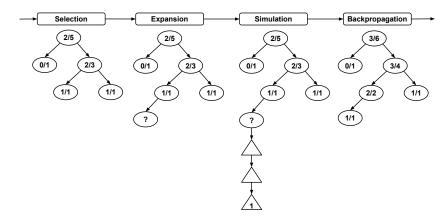




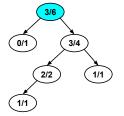


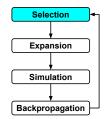


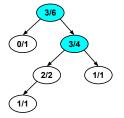


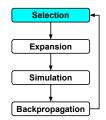


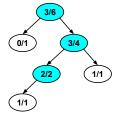


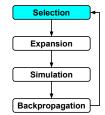


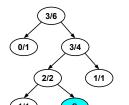


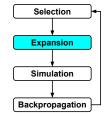


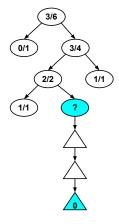


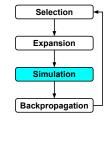


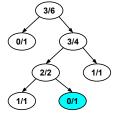


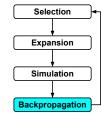










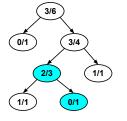


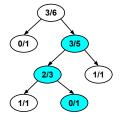
Selection

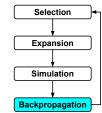
Expansion

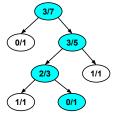
Simulation

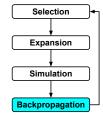
**Backpropagation** 

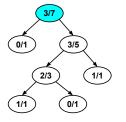


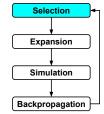


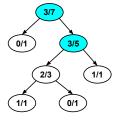


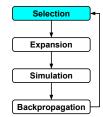


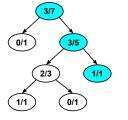


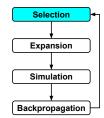


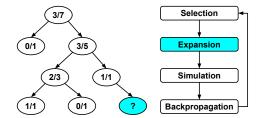




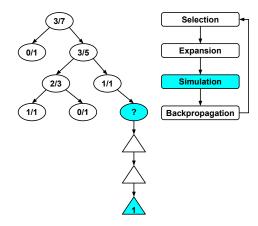




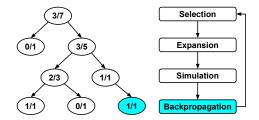




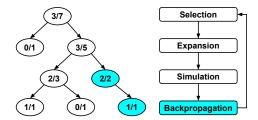




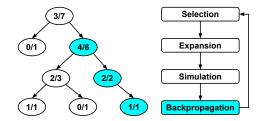




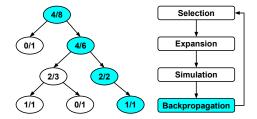
## Four Steps Diagram











## What Happens When We Choose a Move?

#### Now we have:

A tree structure

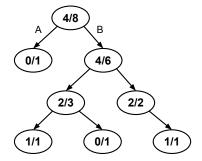
Naive MCTS Implementation

A method of generating the tree

What happens when we need to choose a move?

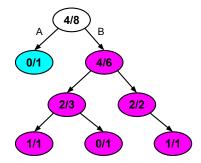


# Choosing a Move



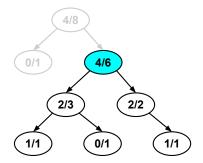


# Choosing a Move

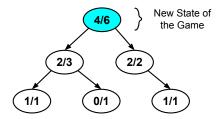




# Choosing a Move









- We might overlook better paths
- Exploration vs Exploitation
  - Exploration looks at more options
  - Exploitation focuses on the most promising path
- Must find a balance between the two



## Upper Confidence Bound Applied to Trees (UCT)

$$UCT(node) = \underbrace{\frac{W(node)}{N(node)}}_{\text{Value of the Node}} + \underbrace{\sqrt[C]{\frac{In(N(parentNode))}{N(node)}}}_{\text{Exploration Bonus}}$$

- W represents the number of simulated wins
- N represents the total number of simulations
- C is an experimental constant
- Used during tree traversal
- Balances exploration vs exploitation



## **Outline**

Introduction

Naive MCTS Implementation

Applying MCTS to Go

Applying MCTS to Narrative Generation

Conclusion

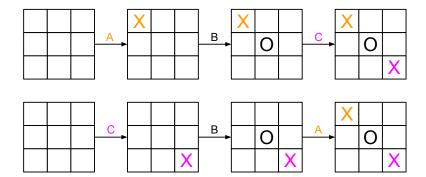


What variations can we make specific to Go? In Go each player takes turn placing pieces on a game board

- How much does the order of these moves matter?
- Can we use this to improve MCTS in the context of Go?



## Tree Redundancy



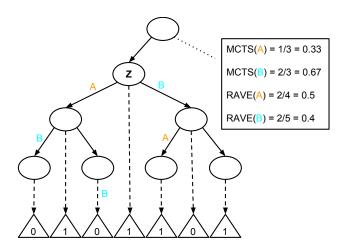


## Rapid Action Value Estimate (RAVE)

- Takes advantage of tree redundancy
- Moves have no contextual dependencies
- Stores the value of a move with in a subtree at each node

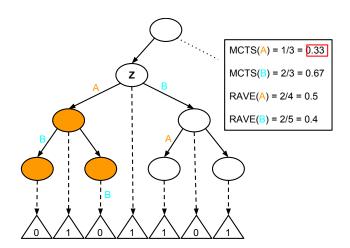


## **RAVE Diagram**

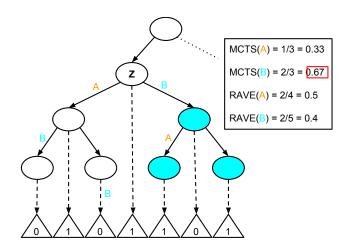




## **MCTS Values**

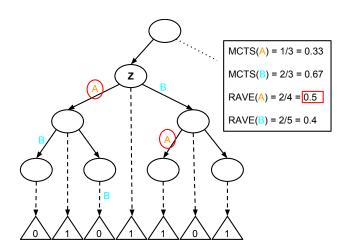






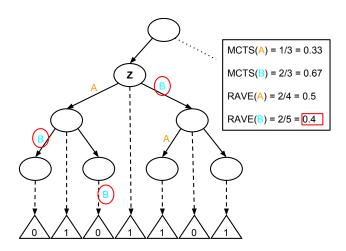


## **RAVE Values**

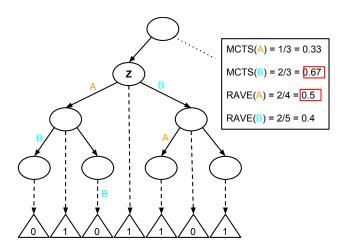




## **RAVE Values**









#### **RAVE**

- Very powerful approach
- Each simulation provides us with more information
- Sometimes we do need contextual dependencies
  - Example: Close tactical battles



### MC RAVE

- Combines MCTS values with RAVE values
- Uses a weighted average
- Favors RAVE values when fewer simulations have been performed
  - Contextual dependencies are unknown
- Favors MCTS values when more simulations have been performed
  - Contextual dependencies are more developed



- Deterministic approaches could hardly defeat low level amateurs
- Computer Go programs use MC RAVE
  - MoGo
  - Crazy Stone
- Can compete against top pros in 9x9 Go
- Can compete against top pros in handicapped 19x19 Go



## **Outline**

Naive MCTS Implementation

Applying MCTS to Go

Applying MCTS to Narrative Generation



### Narrative Generation

### Kartal et al applied MCTS to Narrative Generation

- Crime story
- Goals and set up of the story set by the user
  - Example Setup: The detective starts in his office
  - Example Goal: The killer must be arrested

#### Unlike Go and other games

- Slightly different tree structure
- Evaluation function needed



#### **Actions**

**Move(A, P):** A moves to place P.

Kill(A, B): B's health to zero(dead).

**Earthquake(P):** An earthquake strikes at place P. This causes people at P to die (health=0), items to be stuck, and place P to collapse.

- Actions drive the story
- Different actions take the place of moves as nodes
- Set threshold during simulation



### **Evaluation function**

- Method of giving nodes value
- Ensures stories are interesting
- Incorporates believability and goal completion
  - Actions are believable based on context
    - Example: Inspector searches for clues
    - Example: Character A kills Character B
  - Important to complete the goals set by the user
- The value is between 0 and 1
- Product of every action in a story

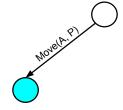


### Narrative Generation Test

#### MCTS compared against three deterministic algorithms

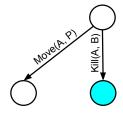
- Breadth-first search
  - Expands tree level by level
- Depth-first search
  - Expands tree down one path at a time
- Best-first search
  - Expands tree by choosing the node with the highest estimated value





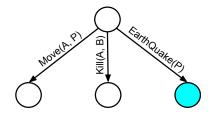


## **Breadth-First Search**



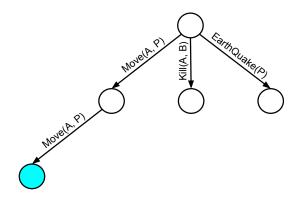


## **Breadth-First Search**



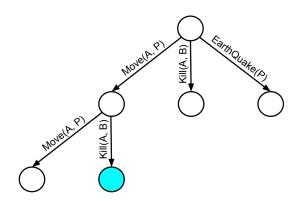


#### **Breadth-First Search**



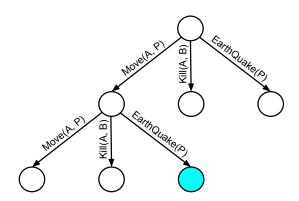


#### **Breadth-First Search**





#### **Breadth-First Search**













# Depth-First Search





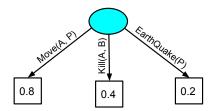




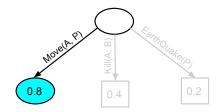
## Depth-First Search



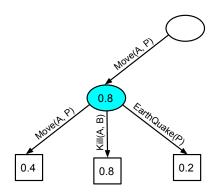






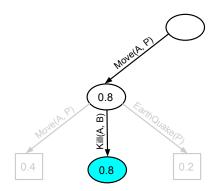




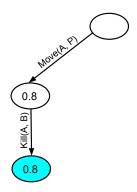




Applying MCTS to Narrative Generation









#### **Test Conditions**

#### Goals for the narrative:

- At least two people are killed
- The killer is arrested

Each algorithm was given two budgets

- ▶ 100,000 nodes
- 3 million nodes

Each algorithm ran three times

The score of the narratives were averaged



|        | MCTS | Breadth-first | Depth-first | Best-first |
|--------|------|---------------|-------------|------------|
| Low    | 0.07 | 0.05          | < 0.001     | 0.005      |
| Budget |      |               |             |            |
| High   | 0.9  | 0.06          | <0.01       | < 0.01     |
| Budget |      |               |             |            |

- MCTS performed the best in both
- Breadth-first came the closest out of the deterministic algorithms



Alice picked up a vase from her house. Bob picked up a rifle from his house. Bob went to Alice's house. While there, greed got the better of him and Bob stole Alice's vase! This made Alice furious. Alice pilfered Bob's vase! This made Bob furious. Bob slayed Alice with a rifle! Bob fled to downtown. Bob executed Inspector Lestrade with a rifle! Charlie took a baseball bat from Bob's house. Sherlock went to Alice's house. Sherlock searched Alice's house and found a clue about the recent crime. Bob fled to Alice's house. Sherlock wrestled the rifle from Bob! This made Bob furious. Sherlock performed a citizen's arrest of Bob with his rifle and took Bob to jail.



## Low Scoring Example from Breadth-First

Sherlock moved to Alice's House. An Earthquake occurred at Alice's House! Sherlock and Alice both died due to the earthquake.



Conclusion

Naive MCTS Implementation

Applying MCTS to Go

Applying MCTS to Narrative Generation

Conclusion



#### Conclusion

- MCTS successful in extending AI capabilities
- Tackles problems with larger search spaces
- Effective in Go and Narrative Generation.
- Applicable to other problems
  - Can outperform humans in many puzzles
  - Real time games
  - Super Mario Brothers

