

GROUP THEORY

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List of Symbols

Placeholder

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Chapter 1

INTRODUCTION TO GROUP THEORY

1.1 Set Theory

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We begin with some basic assumptions to introduce set theory. The symbol \in is used to denote membership in a set. A statement using this in set theory may be stated as $x \in y$, which can be either true or false. Once we have developed this language to discuss sets, we can introduce some axioms.

Axiom 1.1. There exists a set with no elements, the *empty set* \emptyset .

Formally, the above axiom is $\exists x(\forall y(y \notin x))$.

Axiom 1.2. Two sets are equal if they have the same elements.

From the above two axioms, we can infer a unique empty set. A notion of subsets may also be declared.

Definition 1.3. We say the set A is a *subset* of the set B , denoted $A \subseteq B$, if every element of A is also an element of B .

We also have a bunch of similarity axioms stated below.

Axiom 1.4 (Similarity axioms). We have the following:

1. If x, y are sets, then $\{x, y\} \Rightarrow \{x, \{x, y\}\}$ (not an ordered pair).
2. If A is a set, then $\bigcup A = \{x \mid \exists y \in A, x \in y\}$ is a set.
3. There exists a *power set* for every set; given a set A , there exists a set $P(A)$ such that for all $B \subseteq A$, $B \in P(A)$. Formally, $\forall A \exists P(A)(\forall B \subseteq A, B \in P(A))$.
4. The *infinite axiom*: Formally, $\exists I(\emptyset \in I \wedge \forall y \in I(P(y) \in I))$.
5. If A and B are sets, then $A \times B = \{(x, y) \mid x \in A, y \in B\}$ is a set.

Before discussing the last axiom, we define a relation on sets.

Definition 1.5. A *relation* R on a set A is a subset $R \subseteq A \times A$. If $(x, y) \in R$, we write xRy .

Axiom 1.6 (The *axiom of choice*). Let A be a collection of non-empty and disjoint sets. Then there exists a set C consisting of exactly one element from each set in A .

Definition 1.7. A relation R on a set A is said to be:

- *reflexive* if $xRx \forall x \in A$,
- *symmetric* if $xRy \Rightarrow yRx$,
- *transitive* if $xRy \wedge yRz \Rightarrow xRz$,
- *antisymmetric* if $xRy \wedge yRx \Rightarrow x = y$.

Definition 1.8. A *partial order* on a set A is a reflexive, transitive, and antisymmetric relation on A .

Some examples of partially ordered sets include (R, \leq) , $(P(\mathbb{R}), \subseteq)$.

Definition 1.9. A *total order* R on a set A is a partial order such that for all $x, y \in A$, either xRy or yRx .

Again, (R, \leq) is a totally ordered set, but not $(P(\mathbb{R}), \subseteq)$.

Definition 1.10. A total order \leq on a set A is said to be a *well-order* if given any non-empty subset $B \subseteq A$, there exists $x \in B$ such that for all $y \in B$, $x \leq y$.

The below theorem may be derived from the above definitions and axioms.

Theorem 1.11 (The *well-ordering principle*). *Every set can be well-ordered.*

We may note that the well-ordering principle and the axiom of choice are equivalent.

Definition 1.12. A *chain* in partially ordered set A , with relation \prec , is a subset of A which is totally ordered with respect to \prec .

Definition 1.13. Let $C \subseteq A$ be a subset in a partially ordered set (A, \prec) . An element $x \in A$ is an *upper bound* of C if for all $y \in C$, $y \prec x$.

Definition 1.14. An element $x \in A$ is a *maximal element* of a partially ordered set (A, \prec) if for all $y \in A$, $x \prec y \Rightarrow x = y$.

Lemma 1.15 (Zorn's lemma). *Let A be a set and let \prec be a partial order on A such that every chain in A has an upper bound. Then A has a maximal element.*

Theorem 1.16. *The following are equivalent:*

1. *The axiom of choice,*
2. *The well-ordering principle,*
3. *Zorn's lemma.*

Proof. We begin with 2. implies 3.; let A be a non-empty set. Consider

$$\mathcal{C} = \{(B, \leq) \mid B \subseteq A \text{ and } \leq \text{ is a well-order on } B\}. \quad (1.1)$$

We note that \mathcal{C} is non-empty since if we pick $B = \{x\}$ for some $x \in A$, then $x \leq x$ and $(B, \leq) \in \mathcal{C}$. Let $(B, \leq), (C, \leq') \in \mathcal{C}$. We say $(B, \leq) \preceq (C, \leq')$ if there exists $y \in C$ such that

$$B = \{x \in C \mid x \leq' y\} (= I(c, y)) \text{ and } \leq = \leq'|_B, \text{ or } (B, \leq) = (C, \leq') \quad (1.2)$$

Note that \preceq is a partial order on \mathcal{C} and is clearly reflexive.

For transitivity, if we take $B \preceq C$ and $C \preceq D$, then $B = C$ or $B = I(C, y)$ for some $y \in C$, and $C = D$ or $C = I(D, z)$ for some $z \in D$. If equality holds in either case, then clearly $B \preceq D$. If $B = I(C, y)$ and $C = I(D, z)$. Clearly, $B = I(D, y)$.

Now let $T = (\{(B_i, \leq_i) \mid i \in I\})$ be a chain in \mathcal{C} . Let $B = \bigcup_{i \in I} B_i$, and $\leq = \bigcup_{i \in I} \leq_i$. Note that this makes sense since if $x \in B_i$ and $y \in B_j$ with $B_i \preceq B_j$, then $x, y \in B_j$. So, we assign $x \leq y$ if $x \leq_j y$. Now let $C \subseteq B$ be non-empty. Also let $x \in C$; then $x \in B_i$ for some $i \in I$. Let $w = \min(B_i \cap C)$. We claim that $w = \min C$. For $y \in C$, if $y \in B_i$ then $w \leq y$. If $y \notin B_i$ then $y \in B_j \in T$. Since T is a chain, either $B_i \preceq B_j$ or $B_j \preceq B_i$; the latter is not possible since $y \notin B_i$. Thus, $B_i = I(B_j, z)$, for some $z \in B_j$, and for any $x \in B_i$, $w \leq x \leq y$.

So $(B, \leq) \in \mathcal{C}$ and it is an upper bound of T ; to realize it is an upper bound, we show that $B_i \preceq B$ for all valid i . If $B_i = B$, we are done. Otherwise, let $x = \min(B \setminus B_i)$. Then $B_i = I(B, x)$, and $B_i \preceq B$. Thus, by Zorn's lemma, \mathcal{C} has a maximal element—call it (M, \leq) .

We now claim that $M = A$. If $M \subsetneq A$, then let $a \in A \setminus M$. If we let $\hat{M} = (M \cup \{a\}, \leq')$ where $x \leq' a$ for all $x \in M$, then $M = I(\hat{M}, a)$ but this is a contradiction to the fact that (M, \leq) is a maximal element. Thus, $A = M$.

Next comes 1. implies 3. Let X be a partially ordered set such that every chain has an upper bound. Suppose X has no maximal element; we will utilise the axiom of choice to arise at a contradiction. For every chain T in X , there exists a strict upper bound c_T . Define a function f sending chains T in X to X as $f(T) = c_T \notin T$. Such a function f exists by the axiom of choice. A subset $A \subseteq X$ is called a *conforming subset* if A is well-ordered, with respect to order on X , and for all $x \in A$, $f(I(A, x)) = x$. We claim that if A and B are conforming subsets of X , then $A = B$ or one is the initial segment of the other. For now, let us take this claim to be true. We shall prove it later.

If $f(\emptyset) = x$ then $A = \{x\}$. Note that A is conforming. But $I(A, x) = \emptyset \implies f(I(A, x)) = x$. Let U be the union of all conforming subsets of X . Then U is conforming since if $x \in U$ then $x \in B$ for some B conforming and $x = f(I(B, x)) = f(I(U, x))$. Let $f(U) = w$. Define a new set $\tilde{U} = U \sqcup \{w\}$, which is well-ordered and conforming. Then $U = I(\tilde{U}, w)$, which is a contradiction.

Coming back to the claim, suppose $x \in A \setminus B$. We wish to show that $B = I(A, x)$ for some $x \in A$. Let $x = \min(A \setminus B)$. We claim that this x works. $I(A, x) \subseteq B$ holds since if $y \in A$ and $y < x$ then $y \in B$, or else $x \neq \min(A \setminus B)$. Suppose, now, that the equality does not hold. Take $y = \min(B \setminus I(A, x))$ and $z = \min(A \setminus I(B, y))$. We claim that $I(A, z) = I(B, y)$. Take $v \in I(A, z)$; then $v < z$ implies $v \in I(B, y)$ since $z = \min(A \setminus I(B, y))$. Taking $u \in I(B, y)$, we have $u \in I(A, x) \implies u < x$ since $y = \min(B \setminus I(A, x))$. If $z \leq u$, then $z \in I(A, x) \subseteq B \implies z \in I(B, y)$ contradicting the fact that $z = \min(A \setminus I(B, y))$. Thus, $z > u$ and $y \in I(A, z)$. Finally, $z = f(I(A, z)) = f(I(B, y)) = y$ implies $z = x = y$. But this is a contradiction since $x \in A \setminus B$ and $y \in B$. ■

Definition 1.17. A relation R on a set A is said to be an *equivalence relation* if it is reflexive, symmetric, and transitive. Let $x \in A$. Then $[x] = \{yRx \mid y \in A\} \subseteq A$ is called the *equivalence class* of x .

We note that $\bigcup_{x \in A} [x] = A$ and for $x, y \in A$, either $[x] \cap [y] = \emptyset$ or $[x] = [y]$. Thus, we get a partition of A into equivalence classes.

Let I be an indexing set, and let A_i be sets for all $i \in I$. Then the existence of $X_{i \in I} A_i = \{f : I \rightarrow \bigcup A_i \mid f(i) \in A_i \text{ for all } i \in I\}$ is another way of stating the axiom of choice.

Theorem 1.18 (The *principle of induction*). Let $S(n)$ be statements about the naturals $n \in \mathbb{N}$. Suppose $S(1)$ holds and for all $k \in \mathbb{N}$, $S(k) \implies S(k+1)$. Then $S(n)$ holds true for all $n \in \mathbb{N}$.

Let I be a well-ordered set and let $S(i)$ be statements for all $i \in I$. Suppose that if $S(j)$ holds for all $j < i$, then $S(i)$ holds. Then $S(i)$ holds for all $i \in I$. This is the *principle of transfinite induction*, which is also equivalent to the axiom of choice. We now properly introduce the theory of groups.

1.2 Groups

We first define a group.

Definition 1.19. A *group* is a triple (G, \cdot, e) where G is a set, $\cdot : G \times G \rightarrow G$ is a binary operation on G , and $e \in G$ is an element of G satisfying the following axioms:

- The property of *associativity*: For $a, b, c \in G$, $(a \cdot b) \cdot c = a \cdot (b \cdot c)$.
- The property of the *identity element*: For all $a \in G$, $a \cdot e = e \cdot a = a$. e is referred to as the identity element.
- The existence and property of the *inverse element*: For all $a \in G$, there exists $b \in G$ such that $a \cdot b = b \cdot a = e$.

In addition, (G, \cdot, e) is also termed an *abelian group* if for all $a, b \in G$, $a \cdot b = b \cdot a$, that is, commutativity holds.

A group may also be rewritten as (G, \cdot) , or just G . Some examples include $(\mathbb{Z}, +)$, $(\mathbb{Q}, +)$, $(\mathbb{R}, +)$, $(\mathbb{C}, +)$. The set (\mathbb{Q}, \cdot) is not a group since 0 does not have an inverse. However, (\mathbb{Q}^*, \cdot) is a group, where $\mathbb{Q}^* = \mathbb{Q} \setminus \{0\}$. All these groups are also abelian. An example of a non-abelian group is S_n , the set of all bijections from $\{1, 2, \dots, n\}$ to itself, under the binary operation of composition of functions. Another non-abelian group is $(GL_n(\mathbb{R}), \cdot)$, for $n \geq 2$, the set of all invertible real $n \times n$ matrices.

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From the axioms, arise basic properties related to groups.

Proposition 1.20. Let (G, \cdot, e) be a group.

1. Let $a \in G$ be such that $a \cdot b = b$ for all $b \in G$. Then $a = e$; the identity element is unique.
2. Each element $a \in G$ has a unique inverse. Thus, the inverse of a is then termed a^{-1} .
3. $(a^{-1})^{-1} = a$ holds for all $a \in G$.
4. For all $a, b \in G$, $(a \cdot b)^{-1} = b^{-1} \cdot a^{-1}$.
5. Let $a \in G$ be such that $a \cdot b = b$ for some $b \in G$. Then $a = e$.

Proof. 1. Choose b to be e . Then $a \cdot e = e$ by hypothesis, and $a \cdot e = a$ by the property of the identity element. Thus, $a = e$.

2. Let $a \in G$ and $b \in G$ be such that $a \cdot b = b \cdot a = e$. Let $c \in G$ be also such that $c \cdot a = e$. Thus, $(c \cdot a) \cdot b = e \cdot b \Rightarrow c \cdot (a \cdot b) = e \cdot b \Rightarrow c \cdot e = b \Rightarrow c = b$.

3. Easy to see since $a^{-1} \cdot a = a \cdot a^{-1} = e$ which just means that the inverse of a^{-1} is a .

4. Also easy since $(a \cdot b) \cdot (b^{-1} \cdot a^{-1}) = (b^{-1} \cdot a^{-1}) \cdot (a \cdot b) = e$.

5. Finally, right multiplying b^{-1} leads to $a = a \cdot b \cdot b^{-1} = b \cdot b^{-1} = e$. ■

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Definition 1.21. The *order* of a group G is the cardinality of the set G , and is denoted by $|G|$, $o(G)$, or $\text{ord}(G)$. If $|G|$ is finite, we say G is a *finite group*.

We provide some examples.

Example 1.22. • The *trivial group* is $G = \{e\}$, with $e \cdot e = e$. Here, $|G| = 1$, and it is the smallest possible finite group. Similarly, one can form a group with two elements as $G = \{e, a\}$, with $a \cdot a = e$ and $a \cdot e = e \cdot a = a$.

- Another important example is the set of all bijections of a set X , denoted by $S(X)$. It forms a group under composition. Here, if $f, g \in S(X)$, then $f \circ g \in S(X)$. Similarly, the bijection $\text{id}_X(x) = x$ for all $x \in X$ is the identity element of $S(X)$. Associativity also holds, and the inverse of $f \in S(X)$ is simply the inverse mapping $f^{-1} \in S(X)$ to get $f \circ f^{-1} = f^{-1} \circ f = \text{id}_X$. If $X = \{1, 2, \dots, n\}$, then $S(X)$ is also denoted by S_n , with $|S_n| = n!$. If the set X is infinite, then so is $S(X)$.
- The set $\mathbb{Z}/n\mathbb{Z}$ is a group when equipped with the binary operation of addition (+). Here, $|\mathbb{Z}/n\mathbb{Z}| = n$.
- The set $\mu_n = \{e^{2\pi i m/n} \mid 1 \leq m \leq n\}$ is a group with respect to multiplication. Again, $|\mu_n| = n$.

Order is also defined for elements.

Definition 1.23. Let (G, \cdot, e) be a group. The *order of an element* $a \in G$, denoted $o(a)$, $\text{ord}(a)$, or $|a|$, is the least $n \geq 1$ such that $a^n = e$. If no such n exists, then we term $|a| = \infty$.

Examples follow.

Example 1.24. • In μ_n , $o(e^{2\pi i/n}) = n$.

- Similarly, in $\mathbb{Z}/n\mathbb{Z}$, $o([1]_n) = n$. For a general element $[a]_n \in \mathbb{Z}/n\mathbb{Z}$, the order is $o([a]_n) = \frac{n}{\gcd(a, n)}$.

Proposition 1.25. Let G be a finite group. For all $a \in G$, $o(a)$ is finite.

Proof. Let $a \in G$. We look at $a, a^2, a^3, \dots \in G$. Since G is finite, not all are distinct; there exists $m > n$ such that $a^m = a^n$. Multiplying by a^{-n} , we have $a^{m-n} = a^{n-n} = e$, and the order of a is finite. ■

1.2.1 The S_n Group

To understand the order better, we look specifically at S_3 .

Example 1.26. The elements in S_3 are

$$\begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{pmatrix}, \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}, \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix}, \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}, \text{ and } \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix}. \quad (1.3)$$

Alternatively, the elements may be (correspondingly) written as

$$e, (1 \ 2), (2 \ 3), (1 \ 3), (1 \ 2 \ 3), \text{ and } (3 \ 2 \ 1). \quad (1.4)$$

It is easy to see that the orders of $e, (1 \ 2), (1 \ 2 \ 3)$ are 1, 2, 3, respectively. The elements $(1 \ 2), (2 \ 3)$, and $(1 \ 3)$ are termed *transpositions*. In general, an element $\sigma \in S_n$ is called a *transposition* if there exists $1 \leq a \neq b \leq n$ such that $\sigma(a) = b$ and $\sigma(b) = a$, but $\sigma(x) = x$ for all $x \notin \{a, b\}$.

An element $\sigma \in S_n$ is called a *cycle* if there exists distinct $1 \leq a_1, a_2, \dots, a_m \leq n$ such that $\sigma(a_i) = a_{i+1}$ for $1 \leq i \leq m-1$, $\sigma(a_m) = a_1$, and $\sigma(x) = x$ for all $x \notin \{a_1, a_2, \dots, a_m\}$. Thus, a transposition is really just a cycle of length 2. If σ is a cycle of length m , then $o(\sigma) = m$.

In the above, $\sigma^i(a_1) = a_{i+1}$ if $i < m$. Thus, $\sigma^i \neq e$ for $i < m$. But for m -times composition, we have $\sigma^m(a_i) = a_i$ for all $1 \leq i \leq m$. Hence, the order of σ is really m .

Note that S_3 is non-abelian since $(1 \ 2)(1 \ 3) = (1 \ 3 \ 2)$, but $(1 \ 3)(1 \ 2) = (1 \ 2 \ 3)$.

Definition 1.27. Let $\sigma, \tau \in S_n$ be cycles. They are called *disjoint cycles* if $\sigma = (a_1, \dots, a_m)$ and $\tau = (b_1, \dots, b_k)$, and $\{a_1, \dots, a_m\} \cap \{b_1, \dots, b_k\} = \emptyset$.

If σ and τ are disjoint cycles then they commute; that is, $\sigma \circ \tau = \tau \circ \sigma$.

Proposition 1.28. *Every element of S_n can be written as a product of disjoint cycles.*

Proof. Let $\sigma \in S_n$, and let k be the least positive integer such that $\sigma^k(1) = 1$. Then let $\tau_1 = (1 \ \sigma(1) \ \sigma^2(1) \ \dots \ \sigma^{k-1}(1))$. Let S'_1 be the *support* of τ_1 , defined as $\text{supp}(\tau_1) = \{1, \sigma(1), \dots, \sigma^{k-1}(1)\}$. If $S'_1 = \{1, 2, \dots, n\}$, we are done. Otherwise, let $a_2 = \min(\{1, 2, \dots, n\} \setminus S'_1)$. Let k_2 be the least positive integer such that $\sigma^{k_2}(a_2) = a_2$, and then let $\tau_2 = (a_2 \ \sigma(a_2) \ \dots \ \sigma^{k_2-1}(a_2))$. Then τ_2 is a cycle of length of k_2 . Again, let $S'_2 = \text{supp}(\tau_2)$. We claim that $S'_1 \cap S'_2 = \emptyset$.

If $\sigma(a_2)$ were in S'_1 , then we would have $\sigma^i(i) = a_2 \in S'_1$, but a_2 was taken from $\{1, 2, \dots, n\} \setminus S'_1$. Similarly, if $\sigma^j(a_2) \in S'_1$, then a similar problem arises. Thus, the sets have to be disjoint.

Continue this way to get $\tau_1, \tau_2, \dots, \tau_l$ until $S'_1 \cup S'_2 \cup \dots \cup S'_k = \{1, 2, \dots, n\}$. The process stops since S'_1, S'_2, \dots, S'_k are non-empty. Thus, we conclude that $\tau_1 \circ \tau_2 \circ \dots \circ \tau_l$ is the disjoint cycle decomposition of σ . ■

For ease of notation, we will write $\sigma \circ \tau$ as $\sigma\tau$.

Proposition 1.29. *Let $\sigma \in S_n$ and $\sigma = \tau_1\tau_2 \cdots \tau_k$ be a disjoint cycle decomposition of σ . Then, $|\sigma| = \text{lcm}(|\tau_1|, |\tau_2|, \dots, |\tau_k|)$.*

Proof. The proof of this proposition is left as an exercise to the reader. ■

1.3 Subgroups

We begin with the definition.

Definition 1.30. A non-empty subset H of a group (G, \cdot) is called a *subgroup* if the following properties hold.

1. For all $a, b \in H$, $a \cdot b \in H$.
2. For all $a \in H$, $a^{-1} \in H$.

In such a scenario, we write $H \leq G$.

More properties of a subgroup can be inferred.

Proposition 1.31. *The following properties hold true for a subgroup $H \leq G$, where (G, \cdot, e) is a group.*

1. $e \in G$.
2. (H, \cdot, e) is a group.

Proof. 1. H is non-empty, so there exists $a \in G$ such that $a \in H$. From the definition, $a^{-1} \in H$ also. Since H is closed under the binary operation, we have $a \cdot a^{-1} = e \in H$.

2. We show that (H, \cdot, e) satisfies the group axioms. From definition, \cdot is an associative binary operation on H . Also, e is the identity element in H . Again, from the definition, each $a \in H$ has an inverse $a^{-1} \in H$. ■

Equivalently, H is a subgroup if the following holds.

Theorem 1.32. *Let G be a group and $H \subseteq G$ be non-empty. Then H is a subgroup of G if and only if $a \cdot b^{-1} \in H$ for all $a, b \in H$.*

Proof. The forward implication is left as an exercise to the reader. If $a \in H$ then $a \cdot a^{-1} \in H$ shows that $e \in H$. Since $e, a \in H$, $e \cdot a^{-1} = a^{-1} \in H$. If $a, b \in H$, then $a, b^{-1} \in H \implies a \cdot (b^{-1})^{-1} \in H \implies ab \in H$ ■

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