

CLASSICAL MECHANICS

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Third Semester

List of Symbols

Placeholder

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Chapter 1

THE LAWS OF MOTION AND CONSERVATION

1.1 An Introduction

1.1.1 Standard Conventions

July 21st.

We will be using the convention of $\hat{e}_x \times \hat{e}_y = \hat{e}_z$, where \times denotes the *cross product*, and \hat{e}_w is the unit vector along the w axis. For two general vectors \vec{A} and \vec{B} , the i^{th} component of the cross product $\vec{C} = \vec{A} \times \vec{B}$ is given as

$$[\vec{C}]_i = \sum_{j,k} \epsilon_{ijk} [\vec{A}]_j [\vec{B}]_k, \quad (1.1)$$

where ϵ_{ijk} is the *Levi-Civita symbol*, which is simply the sign of the permutation of the indices ijk . A *dot product* between the two vectors is defined as

$$\vec{A} \cdot \vec{B} = \sum_i A_i B_i. \quad (1.2)$$

Along with the Levi-Civita symbol, we will also be using the *Kronecker delta* δ_{ij} , which is defined as $\delta_{ij} = 1$ if $i = j$ and 0 otherwise. It can be shown that

$$\epsilon_{ijk} \epsilon_{ilm} = \delta_{jl} \delta_{km} - \delta_{jm} \delta_{kl}. \quad (1.3)$$

Example 1.1. The following are some examples of the above notation:

- *Angular momentum:* $\vec{L} = \vec{r} \times \vec{p} \implies L_i = \epsilon_{ijk} r_j p_k$ where \vec{p} is the momentum vector and \vec{r} is the position vector.
- *Kinetic energy:* $T = \frac{1}{2} m \vec{v} \cdot \vec{v} = \sum_i \frac{1}{2} m v_i v_i = \sum_i \frac{p_i p_i}{2m}$, where \vec{v} is the velocity vector.
- *Torque:* $\vec{\tau} = \vec{r} \times \vec{F} \implies \tau_i = \epsilon_{ijk} r_j F_k$, where \vec{F} is the force vector.

Gradient, Divergence, and Curl

Definition 1.2. The *gradient* of a scalar field f is defined as

$$\vec{\nabla} f := \frac{\partial f}{\partial x} \hat{e}_x + \frac{\partial f}{\partial y} \hat{e}_y + \frac{\partial f}{\partial z} \hat{e}_z. \quad (1.4)$$

The gradient points in the direction of the steepest ascent of the function f . The gradient operator $\vec{\nabla}$

is also defined as

$$\vec{\nabla} := \frac{\partial}{\partial x} \hat{e}_x + \frac{\partial}{\partial y} \hat{e}_y + \frac{\partial}{\partial z} \hat{e}_z. \quad (1.5)$$

This definition can be extended to higher dimensions as well.

The above definition only works really on a *scalar field*, a function from the 3 dimensional space to the real numbers. However, one encounters vector fields as well, which calls for another definition. A *vector field*, in simple terms, is a function that assigns a vector to every point in space.

Definition 1.3. The *divergence* of a vector field $\vec{v}(\vec{r}) = v_x(x, y, z)\hat{e}_x + v_y(x, y, z)\hat{e}_y + v_z(x, y, z)\hat{e}_z$, where v_i is a scalar field, is defined as

$$\vec{\nabla} \cdot \vec{v} := \frac{\partial v_x}{\partial x} + \frac{\partial v_y}{\partial y} + \frac{\partial v_z}{\partial z}. \quad (1.6)$$

The operator carries over from the previous definition.

In Einstein's notation, the components can be rewritten as $\frac{\partial v_i}{\partial x_i} = \partial_i v_i$. A cross product may also be performed on vector fields, leading to the following definition.

Definition 1.4. The *curl* of a vector field $\vec{v}(\vec{r})$ is defined as

$$\vec{\nabla} \times \vec{v} := \left(\frac{\partial v_z}{\partial y} - \frac{\partial v_y}{\partial z} \right) \hat{e}_x + \left(\frac{\partial v_x}{\partial z} - \frac{\partial v_z}{\partial x} \right) \hat{e}_y + \left(\frac{\partial v_y}{\partial x} - \frac{\partial v_x}{\partial y} \right) \hat{e}_z. \quad (1.7)$$

The operator carries over from the previous definitions.

In Einstein's notation, the components can be rewritten as $[\vec{\nabla} \times \vec{v}]_i = \epsilon_{ijk} \partial_j v_k$, where j and k are cycled over.

1.1.2 The Laws: Mechanics of a Particle

We first discuss the third law of action-reaction pairs. Simply stated, every action has an equal and opposite reaction. It is important to note that the two action-reaction forces never act on the same body, and hence do not cancel each other out. If a human stands on a spring scale in an elevator, the human exerts a normal force $N_{h/s}$ on the spring, and the spring exerts a normal force $N_{s/h}$ on the human. The spring scale actually measures the force $N_{s/h}$. If the elevator were to accelerate upwards, then the force $N_{h/s}$ remains the same, yet the force $N_{s/h}$ increases, leading to a higher reading on the scale. One must also note that equal in magnitude and opposite direction does not necessarily mean that they act along the same line. The second law of motion states $\vec{F} = m\vec{a}$, where \vec{F} is the net force acting on a body, m is the mass of the body, and \vec{a} is the acceleration of the body.

To formalize this, we discuss the mechanics of a single particle. The particle is at position vector \vec{r} , and moves with the velocity vector $\vec{v} = \frac{d\vec{r}}{dt}$. The *momentum* of the particle is defined as $\vec{p} := m\vec{v}$. The net force acting on the particle is defined as $\vec{F} := \frac{d\vec{p}}{dt}$. If the mass is constant, *i.e.*, independent of time, then we can write

$$\vec{F} = m \frac{d\vec{v}}{dt} = m\vec{a} = m \frac{d^2\vec{r}}{dt^2}. \quad (1.8)$$

We call our reference frame an *inertial frame* if $\vec{F} = \frac{d\vec{p}}{dt}$ holds true when independently measured. If the net force acting on the particle is zero, then $\frac{d\vec{p}}{dt} = 0$, implying that the momentum \vec{p} is conserved. This is one of the conservation laws.

The *angular momentum* of the particle is defined as $\vec{L} := \vec{r} \times \vec{p}$. The net *torque* acting on the particle is defined as $\vec{\tau} := \vec{r} \times \vec{F} = \vec{r} \times \frac{d}{dt}(m\vec{v})$. If we again assume that the mass is constant, we then have

$$\frac{d\vec{L}}{dt} = \frac{d}{dt}(\vec{r} \times \vec{p}) = \vec{r} \times \frac{d\vec{p}}{dt} = \vec{r} \times \vec{F} = \vec{\tau}. \quad (1.9)$$

Thus, if $\vec{\tau} = 0$, then \vec{L} is conserved. This is another conservation law. Note that in both the conservation laws, the mass is taken to be constant.

Now, suppose that the particle moves from position i , for initial, to position f , for final, taking $d\vec{s}$ steps, and gets acted upon by a force \vec{F} for time dt . The *work* done by the force is defined as $W := \int_i^f \vec{F} \cdot d\vec{s}$. If the mass is constant, then we have

$$W = \int_i^f m \vec{a} \cdot d\vec{s} = m \int_i^f \frac{d\vec{v}}{dt} \cdot \vec{v} dt = \frac{m}{2} \int_i^f dt \frac{d}{dt} (\vec{v} \cdot \vec{v}) = \frac{m}{2} (v_f^2 - v_i^2) = T_f - T_i, \quad (1.10)$$

where T denotes the *kinetic energy* of the particle. This is, roughly stated, the *work-energy theorem*. Again, note that the mass is taken to be constant. If the external work done W is zero, then T is conserved. This is our third conservation law. If the work done between two positions is independent of the path taken, then the force is termed a *conservative force*. If the path starts and ends at the same point, then the work done is zero in case of a conservative force. Thus, $\oint_C \vec{F} \cdot d\vec{s} = 0$, where C is a closed path. The opposite is also true; a necessary and sufficient condition for a force to be conservative is $\vec{F} = -\vec{\nabla}V$, where V is a scalar field called the *potential energy*. In this case,

$$W = \oint_C \vec{F} \cdot d\vec{s} = - \oint_C \vec{\nabla}V \cdot d\vec{s} = - \oint_C dV = 0. \quad (1.11)$$

By the work-energy theorem, for an open path, we have

$$\int_i^f \vec{F} \cdot d\vec{s} = - \int_i^f \vec{\nabla}V \cdot d\vec{s} = -(V_f - V_i) = T_f - T_i \implies T_f + V_f = T_i + V_i. \quad (1.12)$$

Thus, the quantity $T + V$ is always conserved in a conservative field; this quantity is termed the *total energy*, $E = T + V$.

Galilean Transformation

July 23rd.

Suppose one observer sits at a frame of reference O and another observer sits at a frame of reference O' , which is moving away from O with a constant velocity \vec{v} . In this case, the positions of the two observers can be related as $\vec{r}' = \vec{r} - \vec{v}t$. However, this is based on the assumption that $t' = t$; time is experienced the same by both the observers. Newton made this assumption without any justification and it seemed right at the time. It is still important to note that Newton's form of $\vec{F} = m \frac{d^2\vec{r}}{dt^2}$ holds true in both cases—it is independent of the frame of reference. Unless the velocity \vec{v} is much, much higher (comparable to the speed of light), we can confidently make the assumption $t = t'$ and work with Newton's equations. A transformation of coordinates between two frames of reference which differ only by constant relative motion is termed a *Galilean transformation*.

All in all, *this* is where mechanics ends of a single particle mass. Note that we have not introduced the notion of internal or external forces. For much more complicated bodies made up of many more particles, more theory has to be introduced. We now discuss a system of particles.

1.1.3 The Laws: System of Particles

The first step is to choose a frame of reference and a coordinate system, and stick to it. Every particle is then assigned a position in time \vec{r}_i , a velocity $\dot{\vec{r}}_i$, and its acceleration $\ddot{\vec{r}}_i$. Along with this, each particle experiences an external force \vec{F}_i^{ext} and forces by the other particles \vec{F}_{ji} for $j \neq i$; we make the assumption that no particle exerts a force on itself. By Newton's second law, we obtain

$$\vec{F}_i^{\text{tot}} = \vec{F}_i^{\text{ext}} + \sum_{j \neq i} \vec{F}_{ji} = \frac{d\vec{p}_i}{dt}. \quad (1.13)$$

If N_p denotes the number of particles, and we assume that $m_i(t) \equiv m_i$, summing over all particles leads to

$$\sum_{i=1}^{N_p} \sum_{j=1, j \neq i}^{N_p} \vec{F}_{ji} + \sum_{i=1}^{N_p} \vec{F}_i^{\text{ext}} = \sum_{i=1}^{N_p} \frac{d}{dt} (m_i \vec{v}_i) = \frac{d^2}{dt^2} \left(\sum_{i=1}^{N_p} m_i \vec{r}_i \right). \quad (1.14)$$

We invoke the weak form of Newton's third law, which states $\vec{F}_{ji} + \vec{F}_{ij} = 0$ for all pairs $i \neq j$. With this, it is easy to see that the first summation term of the above equations drops out. Let us denote the total mass of the system by M_{tot} . Thus, we then have

$$\Rightarrow \sum_{i=1}^{N_p} \vec{F}_i^{\text{ext}} = \frac{d^2}{dt^2} \left(\frac{\sum_{i=1}^{N_p} m_i \vec{r}_i}{\sum_{i=1}^{N_p} m_i} \cdot M_{\text{tot}} \right) \quad (1.15)$$

$$\Rightarrow \vec{F}_{\text{tot}}^{\text{ext}} = M_{\text{tot}} \cdot \frac{d^2}{dt^2} \left(\frac{\sum_{i=1}^{N_p} m_i \vec{r}_i}{\sum_{i=1}^{N_p} m_i} \right) = M_{\text{tot}} \ddot{\vec{R}}_{CM} \quad (1.16)$$

where the quantity \vec{R}_{CM} is termed the *center of mass* of the system. For the total momentum of the system, we work roughly the same—

$$\vec{P}_{\text{tot}} = \sum_{i=1}^{N_p} \vec{p}_i = M_{\text{tot}} \cdot \frac{d}{dt} \left(\frac{\sum_{i=1}^{N_p} m_i \vec{r}_i}{M_{\text{tot}}} \right) = M_{\text{tot}} \dot{\vec{R}}_{CM}. \quad (1.17)$$

Differentiating the above with respect to time gives us Newton's second law of motion for a system of particles.

$$\frac{d\vec{P}_{\text{tot}}}{dt} = M_{\text{tot}} \frac{d^2 \vec{R}_{CM}}{dt^2} = \vec{F}_{\text{tot}}^{\text{ext}}. \quad (1.18)$$

Thus, \vec{P}_{tot} is conserved if the total external force is zero. This is our conservation of momentum law for a system of particles. Similarly, we can derive the law of conservation of angular momentum by defining $\vec{L}_i = \vec{r}_i \times \vec{p}_i$, and $\vec{L}_{\text{tot}} = \sum_{i=1}^{N_p} \vec{L}_i$. Noting that $\frac{d\vec{r}}{dt} \times \vec{p} = \vec{v} \times m\vec{v} = 0$, we get

$$\frac{d\vec{L}_{\text{tot}}}{dt} = \frac{d}{dt} \left(\sum_{i=1}^{N_p} \vec{r}_i \times \vec{p}_i \right) = \sum_{i=1}^{N_p} \vec{r}_i \times \frac{d\vec{p}_i}{dt} = \sum_{i=1}^{N_p} \vec{r}_i \times \vec{F}_i^{\text{ext}} + \sum_{i,j=1, j \neq i}^{N_p} \vec{r}_i \times \vec{F}_{ji} \quad (1.19)$$

$$\Rightarrow \frac{d\vec{L}_{\text{tot}}}{dt} = \sum_{i=1}^{N_p} \vec{r}_i \times \vec{F}_i^{\text{ext}} = \sum_{i=1}^{N_p} \vec{\tau}_i^{\text{ext}} = \vec{\tau}_{\text{tot}}^{\text{ext}}. \quad (1.20)$$

In the above, we have made use of the strong form of Newton's third law, which states that the opposite and equal forces also act on the same line joining them. If the total external torque vanishes, then the total angular momentum is conserved.

July 28th.

For the i^{th} particle, its position vector and the positive vector of the center of mass are related as $\vec{r}_i = \vec{R}_{CM} + \vec{r}'_i$, where \vec{r}'_i is the relative position between them. Taking the time derivative gives us $\vec{v}_i = \vec{v}_{CM} + \vec{v}'_i$, giving the instantaneous relative velocity between them. Using this, we rewrite as

$$\vec{L}_{\text{tot}} = \sum_{i=1}^{N_p} m_i (\vec{r}'_i + \vec{R}_{CM}) \times (\vec{v}'_i + \vec{v}_{CM}). \quad (1.21)$$

Looking at the center of mass terms (\vec{R}_{CM} and \vec{v}_{CM}), we have

$$T_1 = \left(\sum_{i=1}^{N_p} m_i \right) \vec{R}_{CM} \times \vec{v}_{CM} = \vec{R}_{CM} \times (M_{\text{tot}} \vec{v}_{CM}) = \vec{R}_{CM} \times \vec{P}_{\text{tot}} = \vec{L}_{CM,O}, \quad (1.22)$$

where $\vec{L}_{CM,O}$ denotes the angular momentum of the center of mass about the chosen origin. Looking at the relative terms (\vec{r}'_i and \vec{v}'_i), we have

$$T_2 = \sum_{i=1}^{N_p} m_i \vec{r}'_i \times \vec{v}'_i = \sum_{i=1}^{N_p} \vec{r}'_i \times \vec{p}'_i = \sum_{i=1}^{N_p} \vec{L}_{i,CM}, \quad (1.23)$$

where $\vec{L}_{i,CM}$ denotes the angular momentum of the i^{th} particle about the center of mass. Looking at the terms \vec{r}_i' and \vec{v}_{CM} leads to an evaluation of zero. Similarly, looking at the terms \vec{R}_{CM} and \vec{v}_i' also results to an evaluation of zero. Thus, with all terms taken care of, we have

$$\vec{L}_{\text{tot}} = \vec{L}_{CM,O} + \sum_{i=1}^{N_p} \vec{L}_{i,CM}. \quad (1.24)$$

Recall that $W_{if} = T_f - T_i$ holds regardless of the nature of the force. If the force is conservative, then potential is introduced and $T_f + V_f = T_i + V_i$ holds true even when W_{if} is non-zero. We translate this for a system of particles.

$$W_{i \rightarrow f} = \int_i^f \sum_{i=1}^{N_p} \vec{F}_i \cdot d\vec{S}_i, \quad (1.25)$$

where $\vec{F}_i = \vec{F}_i^{\text{ext}} + \sum_{j=1, j \neq i}^{N_p} \vec{F}_{ji}$ (Note that the i in the integral bound represents the initial state and has no relation to the indexing i). Thus, we have

$$W_{i \rightarrow f} = \int_i^f \sum_{i=1}^{N_p} \vec{F}_i^{\text{ext}} d\vec{S}_i + \int_i^f \sum_{i=1}^{N_p} \left(\sum_{j=1, i \neq j}^{N_p} \vec{F}_{ji} \cdot d\vec{S}_i \right). \quad (1.26)$$

Let us focus on the first term for now.

$$\int_i^f \sum_{i=1}^{N_p} \vec{F}_i^{\text{ext}} d\vec{S}_i = \int_i^f \sum_{i=1}^{N_p} m_i \vec{v}_i \cdot \vec{v}_i dt = \sum_{i=1}^{N_p} \int_i^f d \left(\frac{1}{2} m_i v_i^2 \right) = \sum_{i=1}^{N_p} \int_i^f dT_i. \quad (1.27)$$

If we define $T_{\text{tot}} = \sum_{i=1}^{N_p} T_i$, we get

$$W_{i \rightarrow f} = T_{\text{tot},f} - T_{\text{tot},i}. \quad (1.28)$$

This equation is the work-energy theorem for a system of particles. Before dealing with the second term, let us try to alter the kinetic energies to relate to the center of mass instead. We have

$$T_{\text{tot}} = \frac{1}{2} \sum_{i=1}^{N_p} m_i (\vec{v}_{CM} + \vec{v}_i') \cdot (\vec{v}_{CM} + \vec{v}_i') = \frac{1}{2} M_{\text{tot}} |\vec{v}_{CM}|^2 + \frac{1}{2} \sum_{i=1}^{N_p} m_i |\vec{v}_i'|^2. \quad (1.29)$$

We now make our first assumption: *the external forces are conservative*. Thus,

$$\int_i^f \sum_{i=1}^{N_p} \vec{F}_i^{\text{ext}} \cdot d\vec{S}_i = - \int_i^f \vec{\nabla}_i V_i^{\text{ext}} \cdot d\vec{S}_i = \sum_{i=1}^{N_p} \left(- \int_i^f \vec{\nabla}_i V_i^{\text{ext}} \cdot d\vec{S}_i \right) \quad (1.30)$$

Our next assumption is that the force \vec{F}_{ij} , for all $i \neq j$, is conservative. This implies that for all pairs $i \neq j$, there exists V_{ij} such that $\vec{F}_{ij} = -\vec{\nabla}_j V_{ij}$. Another assumption we make is the fact that \vec{F}_{ij} is central; that the potential V_{ij} between the i^{th} and j^{th} particles must only depend on the absolute distance between them. That is, $V_{ij} = V_{ij}(|\vec{r}_i - \vec{r}_j|) = V_{ji}(|\vec{r}_i - \vec{r}_j|)$. Thus, from both these assumptions, we get $\vec{F}_{ij} = -\vec{\nabla}_j V_{ij} \Leftrightarrow \vec{F}_{ji} = -\vec{\nabla}_i V_{ij}$. This then shows that $\vec{F}_{ji} = -\vec{F}_{ij}$, so our assumptions are valid. Also, it can be shown that the gradient can be rewritten as

$$\vec{\nabla}_j V_{ij}(|\vec{r}_i - \vec{r}_j|) = (\vec{r}_i - \vec{r}_j) f(|\vec{r}_{ij}|). \quad (1.31)$$

Let $\vec{r} = \vec{r}_i = \vec{r}_j$. Then, the j^{th} component is

$$\left[\vec{\nabla}_j V_{ij}(|\vec{r}|) \right]_j = \frac{\partial V_{ij}}{\partial r} \left[\vec{\nabla}_j r \right]_j = \frac{\partial}{\partial x_j} ((x_i - x_j)^2 + (y_i - y_j)^2 + (z_i - z_j)^2)^{1/2} = \frac{-(x_i - x_j)}{r}. \quad (1.32)$$

Therefore,

$$\vec{\nabla}_j V_{ij}(|\vec{r}|) = \left(-\frac{1}{r} \frac{\partial V_{ij}}{\partial r} \right) ((x_i - x_j)\hat{e}_x + (y_i - y_j)\hat{e}_y + (z_i - z_j)\hat{e}_z) = f(|\vec{r}_{ij}|)(\vec{r}_i - \vec{r}_j) \quad (1.33)$$

as desired. Coming back to the second term of the work equation, we now have

$$\sum_{i,j=1;i \neq j}^{N_p} \int_i^f \vec{F}_{ji} \cdot d\vec{S}_i = \sum_{1 \leq i < j \leq N_p} \int_i^f \left(\vec{F}_{ij} \cdot d\vec{S}_j + \vec{F}_{ji} \cdot d\vec{S}_i \right). \quad (1.34)$$

Focusing on just the integral for now gives us

$$\int_i^f \left(\vec{F}_{ij} \cdot d\vec{S}_j + \vec{F}_{ji} \cdot d\vec{S}_i \right) = - \int_i^f \left((\vec{\nabla}_j V_{ij}) \cdot d\vec{S}_j + (\vec{\nabla}_i V_{ij}) \cdot d\vec{S}_i \right) = - \int_i^f \vec{\nabla}_{ij} V_{ij} \cdot d\vec{S}_{ij} \quad (1.35)$$

where $d\vec{S}_{ij} = d\vec{S}_i - d\vec{S}_j$. Thus, the second term is finally

$$- \sum_{i,j=1;i \neq j}^{N_p} \frac{1}{2} \int_i^f \vec{\nabla}_{ij} V_{ij} \cdot d\vec{S}_{ij} \quad (1.36)$$

which gives us

$$T_{\text{tot},f} - T_{\text{tot},i} = - \sum_{i=1}^{N_p} (V_{i,\text{final}}^{\text{ext}} - V_{i,\text{init}}^{\text{ext}}) + \left(-\frac{1}{2}\right) \sum_{i,j=1;i \neq j}^{N_p} (V_{ij}^{\text{final}} - V_{ij}^{\text{init}}) = -(V_{\text{tot},\text{init}}^{\text{sys}} - V_{\text{tot},\text{final}}^{\text{sys}}). \quad (1.37)$$

We conclude that the total energy, $E_{\text{sys}}^{\text{tot}} = T_{\text{tot}}^{\text{sys}} + V_{\text{tot}}^{\text{sys}}$ is conserved.

1.2 The Laws: Rigid Bodies

July 30th.

We now extensively study the mechanics of rigid bodies. By a *rigid body*, we mean one where the distance between any pair of particles remains constant; for all i, j , we have

$$|\vec{r}_i(t) - \vec{r}_j(t)|^2 = c_{ij}^2. \quad (1.38)$$

Now comes the question of the coordinate system. For a rigid body, the cartesian coordinate system may not always be the nicest to work with. For example, suppose we have a particle always travelling on the surface of a sphere of radius R . Then its coordinates are always related as $x^2(t) + y^2(t) + z^2(t) = R^2$. To come up with a more suitable coordinate system, we look at 2 dimensions first.

1.2.1 Coordinate Systems

If a particle is at (x, y) , then we can rewrite its coordinates as (r, ϕ) , where r is the distance from the origin, and ϕ is the counter-clockwise angle made with the positive x -axis. Here, they are related as $x = r \cos \phi$ and $y = r \sin \phi$. If the particle moves around in a circle, then choosing the latter coordinate system, known as the *polar coordinate system*, proves to be useful since $\dot{r}(t) = 0$ and only the analysis on ϕ is to be done.

Extending this idea into three dimensions, we first assign a new unit vector \hat{e}_r that points in the same direction as \vec{r} . θ is an angle measured from the positive z -axis, and takes the values $[0, \pi]$, with $\theta = 0$ at the north pole and $\theta = \pi$ at the south pole. The unit vector associated with θ is \hat{e}_θ which points tangentially along the longitude from the north to the south. Next, ϕ is termed the angle the positive x -axis makes with the projection of \vec{r} onto the xy -plane in a counter-clockwise manner. Thus, $\phi \in [0, 2\pi]$. The unit vector associated with ϕ is \hat{e}_ϕ in such a way that $\hat{e}_r \times \hat{e}_\theta = \hat{e}_\phi$. This is the *spherical coordinate system*. It is important to note that the unit vectors are *not* fixed and are, in fact, functions of r , θ , and ϕ .

Remark 1.5. The cartesian coordinates and the spherical coordinates are related as

$$x(t) = r(t) \sin \theta(t) \cos \phi(t), \quad (1.39)$$

$$y(t) = r(t) \sin \theta(t) \sin \phi(t), \quad (1.40)$$

$$z(t) = r(t) \cos \theta(t). \quad (1.41)$$

If $r(t) = R$ is fixed, then $x^2 + y^2 + z^2 = R^2$ and, thus,

$$\dot{x}(t) = R\dot{\theta}(t) \cos \theta(t) \cos \phi(t) - R\dot{\phi}(t) \sin \theta(t) \sin \phi(t), \quad (1.42)$$

$$\dot{y}(t) = R\dot{\theta}(t) \cos \theta(t) \sin \phi(t) + R\dot{\phi}(t) \sin \theta(t) \cos \phi(t), \quad (1.43)$$

$$\dot{z}(t) = -R\dot{\theta}(t) \sin \theta(t). \quad (1.44)$$

Our next goal is to derive expressions for gradient, divergence, and curl in the coordinates (r, θ, ϕ) . For any function f of (x, y, z) , it can also be a function f of (r, θ, ϕ) . One might be tempted to think that the gradient, or divergence or curl, can simply be found by replacing $x, y, z, \hat{e}_x, \hat{e}_y, \hat{e}_z$ with $r, \theta, \phi, \hat{e}_r, \hat{e}_\theta, \hat{e}_\phi$ in the equation(s), but then one has the problem of dimensions. Figuring out the exact equations is left as an exercise the reader.

In the *cylindrical coordinate system*, one has (ρ, ϕ, z) , where ϕ and z retain their usual meaning from the spherical and cartesian coordinates respectively, and ρ is the distance of the coordinate from the z -axis. In this case, the unit vector associated with ρ satisfies $\hat{e}_\rho \times \hat{e}_\phi = \hat{e}_z$. The reader is urged to formulate the relationships between the three coordinate systems.

1.2.2 Generalized Coordinates

Coming back to the rigid body mechanics, the inter-particle distance equation can be rewritten as

$$(x - x_C(t))^2 + (y - y_C(t))^2 + (z - z_C(t))^2 - R^2 = 0. \quad (1.45)$$

Such an equation which can be written in the form

$$f(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_{N_p}, t) = 0 \quad (1.46)$$

is termed a *holonomic constraint*. These are again of two types; a mechanical system is *rheonomous* if its equations of constraints contain time as an explicit variable. These are hard to deal with. On the other hand, a mechanical system is *scleronomous* if the equations of constraints do not contain the time as an explicit variable.

We now discuss the notion of *generalized coordinates*, starting with N_p particles in d dimensions. Thus, we will have dN_p independent coordinates to deal with. For now, we deal with $d = 3$. Suppose we also have k equations of constraints which are holonomic in nature. Combining, we then have

$$(3N_p - k) \text{ independent 'generalized' coordinates} \quad (1.47)$$

which are termed $\{q_j\}$.

Equilibrium

August 4th.

The case of *equilibrium* simply means $\vec{F}_i = 0$ for $i = 1, 2, \dots, N_p$. Trivially, the vector sum $\sum_{i=1}^{N_p} \vec{F}_i$ is also zero. More importantly, $\vec{F}_i \cdot \delta \vec{r}_i = 0$ and no work is being done by the system. Here, $\delta \vec{r}_i$ is the *virtual displacement* of each particle and are not independent of each other. The virtual displacements are consistent with the constraints of the system.

$$\sum_{i=1}^{N_p} (\vec{F}_i^{(a)} + \vec{f}_i) \cdot \delta \vec{r}_i = 0 \quad (1.48)$$

where $\vec{F}_i^{(a)}$ is the applied force, and \vec{f}_i is the constraint force. We now make our first assumption: that the constraint forces do no work under virtual displacements. That is, $\vec{f}_i \cdot \delta \vec{r}_i = 0$. Of course, this implies that

$$\sum_{i=1}^{N_p} \vec{F}_i^{(a)} \cdot \delta \vec{r}_i = 0 \quad (1.49)$$

This is the *principle of virtual work*: the total virtual work done by the applied forces vanishes. Note that this does not imply that each applied force is zero. We now extend this to the case of dynamics. In this

case, Newton's second law gives us $\vec{F}_i = \dot{\vec{p}}_i$, where \vec{p}_i is the linear momentum of the i th particle. Then we have:

$$\vec{F}_i - \dot{\vec{p}}_i = 0 \Rightarrow \sum_{i=1}^{N_p} (\vec{F}_i^{(a)} + \vec{f}_i - \dot{\vec{p}}_i) \cdot \delta \vec{r}_i = 0 \quad (1.50)$$

Again invoking the assumption that the constraint forces do no virtual work, we find:

$$\sum_{i=1}^{N_p} (\vec{F}_i^{(a)} - \dot{\vec{p}}_i) \cdot \delta \vec{r}_i = 0 \quad (1.51)$$

This is known as *d'Alembert's principle*. It states that the difference between the applied forces and the time derivative of the momentum (i.e., the inertial forces) does no virtual work.

Suppose $\vec{r}_i = \vec{r}_i(q_1, \dots, q_n, t)$ for all $i = 1, 2, \dots, N_p$, with n generalized coordinates. We transfer many definitions to the idea of generalized coordinates

The first step is the definition of the velocities. We have

$$\vec{v}_i = \frac{d\vec{r}_i}{dt} = \sum_{j=1}^n \frac{\partial \vec{r}_i}{\partial q_j} \frac{dq_j}{dt} + \frac{\partial \vec{r}_i}{\partial t} \quad (1.52)$$

The virtual displacement is again a function of the generalized coordinates, so we can write this as

$$\delta \vec{r}_i = \sum_{j=1}^n \frac{\partial \vec{r}_i}{\partial q_j} \delta q_j. \quad (1.53)$$

Moving forward,

$$\sum_{i=1}^{N_p} \vec{F}_i^{(a)} \cdot \delta \vec{r}_i = \sum_{i=1}^{N_p} \vec{F}_i^{(a)} \cdot \left(\sum_{j=1}^n \frac{\partial \vec{r}_i}{\partial q_j} \delta q_j \right) = \sum_{j=1}^n \left(\sum_{i=1}^{N_p} \vec{F}_i^{(a)} \cdot \frac{\partial \vec{r}_i}{\partial q_j} \right) \delta q_j = \sum_{j=1}^n Q_j \delta q_j \quad (1.54)$$

where Q_j is the scalar $\sum_{i=1}^{N_p} \vec{F}_i^{(a)} \cdot \frac{\partial \vec{r}_i}{\partial q_j}$. Next, using Newton's law,

$$\dot{\vec{p}}_i \cdot \delta \vec{r}_i = m_i \ddot{\vec{r}}_i \cdot \delta \vec{r}_i \Rightarrow \sum_{i=1}^{N_p} m_i \ddot{\vec{r}}_i \cdot \delta \vec{r}_i = \sum_{j=1}^n \left(\sum_{i=1}^{N_p} m_i \ddot{\vec{r}}_i \cdot \frac{\partial \vec{r}_i}{\partial q_j} \right) \delta q_j. \quad (1.55)$$

A bit of algebra shows us

$$m_i \ddot{\vec{r}}_i \cdot \frac{\partial \vec{r}_i}{\partial q_j} = \frac{d}{dt} \left(m_i \dot{\vec{r}}_i \cdot \frac{\partial \vec{r}_i}{\partial q_j} \right) - m_i \dot{\vec{r}}_i \cdot \frac{d}{dt} \left(\frac{\partial \vec{r}_i}{\partial q_j} \right) \quad (1.56)$$

and

$$\frac{d}{dt} \left(\frac{\partial \vec{r}_i}{\partial q_j} \right) = \frac{\partial}{\partial q_j} \left(\frac{d\vec{r}_i}{dt} \right) = \frac{\partial \vec{v}_i}{\partial q_j}. \quad (1.57)$$

Denoting the time derivative of q_j as \dot{q}_j , we have

$$\frac{\partial \vec{v}_i}{\partial \dot{q}_j} = \frac{\partial}{\partial \dot{q}_j} \left(\frac{d\vec{r}_i}{dt} \right) = \frac{\partial}{\partial \dot{q}_j} \left(\sum_{k=1}^n \frac{\partial \vec{r}_i}{\partial q_k} \dot{q}_k + \frac{\partial \vec{r}_i}{\partial t} \right) = \frac{\partial \vec{r}_i}{\partial q_j}. \quad (1.58)$$

Thus,

$$\frac{d}{dt} \left(m_i \ddot{\vec{r}}_i \cdot \frac{\partial \vec{r}_i}{\partial q_j} \right) = \frac{d}{dt} \left(m_i \vec{v}_i \cdot \frac{\partial \vec{v}_i}{\partial \dot{q}_j} \right) \text{ and } m_i \dot{\vec{r}}_i \cdot \frac{d}{dt} \left(\frac{\partial \vec{r}_i}{\partial q_j} \right) = m_i \vec{v}_i \cdot \frac{\partial \vec{v}_i}{\partial q_j}. \quad (1.59)$$

Note that both these terms are distinct and are summed over i and j . With $T = (\sum_{i=1}^{N_p} m_i v_i^2)/2$, we turn to

$$\sum_{i=1}^{N_p} \dot{\vec{p}}_i \cdot \delta \vec{r}_i = \sum_{i=1}^{N_p} \left(\sum_{j=1}^{N_p} \left(\frac{d}{dt} \left(m_i \vec{v}_i \cdot \frac{\partial \vec{v}_i}{\partial \dot{q}_j} \right) - m_i \vec{v}_i \cdot \frac{\partial \vec{v}_i}{\partial q_j} \right) \delta q_j \right) \quad (1.60)$$

$$= \sum_{j=1}^n \left(\frac{d}{dt} \left(\frac{\partial T}{\partial \dot{q}_j} \right) - \frac{\partial T}{\partial q_j} \right) \delta q_j. \quad (1.61)$$

This is the term that appears from the above. Remember that there was also a term $\vec{F}_i^{(a)} \cdot \delta \vec{r}_i = \sum_{j=1}^n Q_j \delta q_j$. Therefore,

$$\sum_{i=1}^{N_p} (\vec{F}_i^{(a)} - \dot{\vec{p}}_i) \cdot \delta \vec{r}_i = 0 \implies \sum_{j=1}^n \left(\frac{d}{dt} \left(\frac{\partial T}{\partial \dot{q}_j} \right) - \frac{\partial T}{\partial q_j} - Q_j \right) \delta q_j = 0. \quad (1.62)$$

What we have essentially done is transform the equations depending on the non-independent virtual displacements into a linear sum of δq_j 's which are independent of each other. We get the desired result

$$\frac{d}{dt} \left(\frac{\partial T}{\partial \dot{q}_j} \right) - \frac{\partial T}{\partial q_j} - Q_j = 0 \text{ for all } j = 1, 2, \dots, n. \quad (1.63)$$

Let us use the above result to derive the equations for three dimensional motion. Here, $q_1 = x$, $q_2 = y$, and $q_3 = z$. Also, $\frac{\partial T}{\partial q_j} = 0$ for all j , where $T = \frac{1}{2}m(\dot{x}^2 + \dot{y}^2 + \dot{z}^2)$. Moreover,

$$\frac{\partial T}{\partial \dot{q}_1} = \frac{\partial T}{\partial \dot{x}} = m\dot{x} \text{ and } \frac{d}{dt} \left(\frac{\partial T}{\partial \dot{q}_1} \right) = \frac{d}{dt}(m\dot{x}) = m\ddot{x} = 0 \quad (1.64)$$

which matches the standard laws of motions. For n particles, we have

$$\frac{d}{dt} \left(\frac{\partial T}{\partial \dot{q}_j} \right) - \frac{\partial T}{\partial q_j} = Q_j \text{ for all } j = 1, 2, \dots, n. \quad (1.65)$$

Since $\vec{F}_i = -\vec{\nabla}_i V$,

$$Q_j = \sum_{i=1}^{N_p} \vec{F}_i \cdot \frac{\partial \vec{r}_i}{\partial q_j} = - \sum_{i=1}^{N_p} \vec{\nabla}_i V \cdot \frac{\partial \vec{r}_i}{\partial q_j} = - \frac{\partial V}{\partial q_j} \quad (1.66)$$

V generally does not depend on \dot{q}_j . So,

$$\frac{d}{dt} \left(\frac{\partial T}{\partial \dot{q}_j} \right) - \frac{\partial(T - V)}{\partial q_j} = 0 \implies \frac{d}{dt} \left(\frac{\partial(T - V)}{\partial \dot{q}_j} \right) - \frac{\partial(T - V)}{\partial q_j} = 0. \quad (1.67)$$

This above equation holds true for any j . The quantity $T - V$ is termed the *Lagrangian* denoted as $L = L(\{q_j\}, \{\dot{q}_j\}, t)$.

Example 1.6. Let us look at a particle moving in 2 dimensions via polar coordinates. The force acting on it is $\vec{F} = F_x \hat{e}_x + F_y \hat{e}_y$. Here, $q_1 = r$ and $q_2 = \theta$. The kinetic energy is $\frac{1}{2}m(\dot{x}^2 + \dot{y}^2)$. The coordinates are changed to polar as $x = r \cos \theta = x(r, \theta)$ and $y = r \sin \theta = y(r, \theta)$, giving us

$$\dot{x} = \dot{r} \cos \theta - r \dot{\theta} \sin \theta \text{ and } \dot{y} = \dot{r} \sin \theta + r \dot{\theta} \cos \theta. \quad (1.68)$$

The kinetic energy then becomes

$$T = \frac{1}{2}m(\dot{x}^2 + \dot{y}^2) = \frac{1}{2}m\dot{r}^2 + \frac{1}{2}mr^2\dot{\theta}^2 \quad (1.69)$$

giving us

$$\frac{\partial T}{\partial \dot{r}} = m\dot{r} \implies \frac{d}{dt} \left(\frac{\partial T}{\partial \dot{r}} \right) = \frac{d}{dt}(m\dot{r}) = m\ddot{r}. \quad (1.70)$$

Along with $\frac{\partial U}{\partial \dot{r}} = 0$, we get

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{r}} \right) = m\ddot{r}. \quad (1.71)$$

Moreover, $\frac{\partial T}{\partial r} = mr\dot{\theta}^2$ and $\frac{\partial U}{\partial r} = -F_r$. Thus, we have

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{r}} \right) - \frac{\partial L}{\partial r} = 0 \implies m\ddot{r} - mr\dot{\theta}^2 - F_r = 0. \quad (1.72)$$

Moving on to $q_2 = \theta$, we first have

$$\frac{\partial T}{\partial \dot{\theta}} = mr^2\dot{\theta} \implies \frac{d}{dt} \left(\frac{\partial T}{\partial \dot{\theta}} \right) = 2mr\dot{r}\dot{\theta} + mr^2\ddot{\theta} = \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{\theta}} \right) \quad (1.73)$$

since $\frac{\partial U}{\partial \theta} = 0$. Moreover, since $\frac{\partial T}{\partial \theta} = 0$, we get $\frac{\partial L}{\partial \theta} = -\frac{\partial U}{\partial \theta} = rF_\theta = r\vec{F} \cdot \hat{e}_\theta$. Thus, we get

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{\theta}} \right) - \frac{\partial L}{\partial \theta} = 0 \implies 2mr\dot{r}\dot{\theta} + mr^2\ddot{\theta} = rF_\theta. \quad (1.74)$$

The final differential equations we derived are known as the *Euler-Lagrangian equations of motion*.

1.3 Working with the Euler-Lagrangian Equations

August 6th.

For a system of N_p particles, k constraint equations, moving in d dimensions, the number of generalized coordinates is given by $dN_p - k = n$. The kinetic energy T is expressed as

$$T = \sum_{i=1}^{N_p} \frac{1}{2} m_i \left| \dot{\vec{r}}_i \right|^2. \quad (1.75)$$

The Lagrangian is then formed as $L = T - V$, where V is the potential energy. Here, V depends on the generalized coordinates and we take the case of conservative forces. Then Euler-Lagrange equation is then used as

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_i} \right) - \frac{\partial L}{\partial q_i} = 0 \quad \text{for all } i = 1, 2, \dots, n \quad (1.76)$$

where q_i is the i^{th} generalized coordinate. We now express the kinetic energy T in another form.

Denote $\dot{\vec{r}}_i$ by \vec{v}_i , which can be written as

$$\vec{v}_i = \sum_{j=1}^n \frac{\partial \vec{r}_i}{\partial q_j} \dot{q}_j + \frac{\partial \vec{r}_i}{\partial t}. \quad (1.77)$$

Here, \dot{q}_j is the exact derivative, that is, $\frac{dq_j}{dt}$. Plugging this in the kinetic energy formula, we get

$$T = \sum_{i=1}^n \frac{1}{2} m_i \left(\sum_{j=1}^n \frac{\partial \vec{r}_i}{\partial q_j} \dot{q}_j + \frac{\partial \vec{r}_i}{\partial t} \right) \cdot \left(\sum_{k=1}^n \frac{\partial \vec{r}_i}{\partial q_k} \dot{q}_k + \frac{\partial \vec{r}_i}{\partial t} \right). \quad (1.78)$$

T can be written as $T = T_0 + T_1 + T_2$, where the T_i 's are

$$T_0 = \sum_{i=1}^{N_p} \frac{1}{2} m_i \left(\frac{\partial \vec{r}_i}{\partial t} \cdot \frac{\partial \vec{r}_i}{\partial t} \right), \quad (1.79)$$

$$T_1 = \sum_{j=1}^n \dot{q}_j M_j \quad \text{where} \quad M_j = \sum_{i=1}^{N_p} m_i \frac{\partial \vec{r}_i}{\partial q_j} \cdot \frac{\partial \vec{r}_i}{\partial t}, \quad (1.80)$$

$$T_2 = \sum_{1 \leq j < k \leq n} M_{jk} \dot{q}_j \dot{q}_k + \sum_{j=1}^n M_{jj} (\dot{q}_j)^2 \quad \text{where} \quad M_{jk} = \sum_{i=1}^{N_p} m_i \frac{\partial \vec{r}_i}{\partial q_j} \cdot \frac{\partial \vec{r}_i}{\partial q_k}. \quad (1.81)$$

Writing $T = T_0 + T_1 + T_2$ gives us an advantage; if $\frac{\partial \vec{r}_i}{\partial t} = 0$, then the terms T_0 and T_1 , which depend on this factor, drop out.

Example 1.7. Let us discuss *Atwood's machine*, which is simply two masses M_1 and M_2 on opposite sides of a weightless pulley. If we assume that total length of the string to be L , then M_1 hangs x length below the pulley, and M_2 hangs $L - x$ length below the pulley. In this case we have

$$T = \frac{1}{2} M_1 \dot{x}^2 + \frac{1}{2} M_2 (\dot{x})^2 = \frac{1}{2} (M_1 + M_2) \dot{x}^2, \quad (1.82)$$

$$V = -M_2 g(L - x) - M_1 g x, \quad (1.83)$$

$$L = \frac{1}{2} (M_1 + M_2) \dot{x}^2 + M_1 g x + M_2 g(L - x). \quad (1.84)$$

Here, our generalized coordinate is only x . The first and second terms in the Euler-Lagrange equation are

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{x}} \right) = (M_1 + M_2) \ddot{x} \quad \text{and} \quad \frac{\partial L}{\partial x} = (M_1 - M_2)g. \quad (1.85)$$

Thus, the equation of motion is

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{x}} \right) - \frac{\partial L}{\partial x} = 0 \implies \ddot{x} = \left(\frac{M_1 - M_2}{M_1 + M_2} \right) g. \quad (1.86)$$

Example 1.8. Suppose a wire is infinitely long and rotates uniformly about a point P on it. Also suppose a point mass (bead) is on the wire, moving about frictionless on the wire due to the rotation. Denote the particle's position with respect to P as $(r(t), \theta(t))$. Since there is no constraint force, we have $V = 0$. Denoting $\dot{\theta} = \omega$ shows us only r is a generalized coordinate. Also noting that T is identically L , we have

$$L = T = \frac{1}{2} m \dot{r}^2 + \frac{1}{2} m r^2 \omega^2. \quad (1.87)$$

Thus,

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{r}} \right) = m \ddot{r} \quad \text{and} \quad \frac{\partial L}{\partial r} = m \omega^2 r. \quad (1.88)$$

The equation of motion tells us

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{r}} \right) - \frac{\partial L}{\partial r} = 0 \implies \ddot{r} = \omega^2 r \implies r(t) = r_0 e^{\omega t}. \quad (1.89)$$

Here, the angular momentum $L(t) = m r^2 \omega = m \omega r_0^2 e^{2\omega t}$ showing us that there is an external torque. This torque is given by

$$\tau(t) = \frac{dL}{dt}(t) = 2m\omega^2 r_0^2 e^{2\omega t} \implies F_\theta(t) = \frac{\tau(t)}{r(t)} = 2m\omega^2 r_0 e^{\omega t}. \quad (1.90)$$

Chapter 2

PLACEHOLDER

2.1 Integral Principle

August 11th.

Recall the assumptions we made prior to deriving the equations of motion:

1. \vec{F}_i is decomposed into $\vec{F}_i^{(a)}$ and \vec{f}_i .
2. We have $\sum_{i=1}^{N_p} \vec{f}_i \cdot \delta \vec{r}_i = 0$.
3. Each \vec{r}_i is expressed as $\vec{r}_i(q_1, q_2, \dots, q_n, t)$, where the δq_k 's are independent.

From our assumptions, there are no longer any constraint forces. Also recall the generalized forces where

$$Q_j = \sum_{i=1}^{N_p} \vec{F}_i^{(a)} \cdot \frac{\partial \vec{r}_i}{\partial q_j}, \quad j = 1, 2, \dots, n, \quad (2.1)$$

satisfying

$$\frac{d}{dt} \left(\frac{\partial T}{\partial \dot{q}_i} \right) - \frac{\partial T}{\partial q_i} - Q_i = 0, \quad i = 1, 2, \dots, n, \quad (2.2)$$

where $T = \sum_{i=1}^{N_p} \frac{1}{2} m_i \left| \dot{\vec{r}}_i \right|^2$ is the kinetic energy. If a V can be defined such that $\vec{F}_i = -\vec{\nabla}_i V$, then $L(q, \dot{q}, t) = T - V$, and

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_j} \right) - \frac{\partial L}{\partial q_j} = 0, \quad j = 1, 2, \dots, n, \quad (2.3)$$

where $-\frac{\partial V}{\partial q_j} = Q_j$ and V does not depend on \dot{q}_j .

We now discuss the *integral principle*, also known as *Hamilton's principle*. As a precursor, we have $Q_j = U(\{q_j\}, \{\dot{q}_j\}, t)$ where U is a function of the generalized coordinates, velocities, and time, and $L(\{q_j\}, \{\dot{q}_j\}, t) = T - U$. The *action* is defined as

$$S = \int_{t_i}^{t_f} L(\{q_j\}, \{\dot{q}_j\}, t) dt. \quad (2.4)$$

The principle states that the physical trajectory taken by the system between two times t_i and t_f is the one for which the action S is stationary, usually an extremum.

2.1.1 Variational Calculus

We set up a function $f(y, \frac{dy}{dx}, x)$ where we have the correspondences $f \leftrightarrow x$, $q \leftrightarrow y$, and $L \leftrightarrow f$, which is analogous to writing $L(q, \dot{q}, t)$. We also identify $\frac{dy}{dx}$ with \dot{y} . Then, correspondingly, we have

$$J \equiv \int_{x_1}^{x_2} f(y, \dot{y}, x) dx. \quad (2.5)$$

Setting $y(x_1) = y_1$ and $y(x_2) = y_2$, we aim to minimize J with respect to y . We ask how does one *vary* $y(x)$, a function? To rectify this issue, we introduce a new parameter α in $y(x, \alpha)$ such that $y(x, 0) = y(x)$. In other words,

$$y(x, \alpha) = y(x, 0) + \alpha \eta(x), \quad (2.6)$$

where $\eta(x)$ is an arbitrary function that vanishes at the endpoints: $\eta(x_1) = \eta(x_2) = 0$. It is safe to assume here that every possible smooth path from x_1 to x_2 can be represented in this form; the neighbouring paths are parametrized by α . Thus,

$$J[f] = \int_{x_1}^{x_2} dx \cdot f(y(x, \alpha), \dot{y}(x, \alpha), x). \quad (2.7)$$

We can now differentiate J with respect to α and set it to 0 at $\alpha = 0$.

$$\frac{dJ}{d\alpha} = \int_{x_1}^{x_2} \left(\frac{\partial f}{\partial y} \frac{\partial y}{\partial \alpha} + \frac{\partial f}{\partial \dot{y}} \frac{\partial \dot{y}}{\partial \alpha} \right) dx. \quad (2.8)$$

Using the fact that $\frac{\partial \dot{y}}{\partial \alpha} = \frac{d}{dx} \left(\frac{\partial y}{\partial \alpha} \right) = \frac{\partial^2 y}{\partial x \partial \alpha}$ and noticing the dx term, the integral screams out to use integration by parts. Thus, on the rightmost term of the integral

$$\int_{x_1}^{x_2} dx \cdot \frac{\partial f}{\partial \dot{y}} \frac{d}{dx} \left(\frac{\partial y}{\partial \alpha} \right) = \left[- \int_{x_1}^{x_2} \frac{\partial y}{\partial x} \frac{\partial}{\partial x} \left(\frac{\partial f}{\partial \dot{y}} \right) dx \right] + \left. \frac{\partial f}{\partial \dot{y}} \frac{\partial y}{\partial \alpha} \right|_{x_1}^{x_2}. \quad (2.9)$$

We plug this back in the derivative of J , taking note that $\frac{\partial y}{\partial \alpha} = \eta(x)$.

$$\frac{dJ}{d\alpha} = \int_{x_1}^{x_2} \left(\frac{\partial f}{\partial y} - \frac{d}{dx} \left(\frac{\partial f}{\partial \dot{y}} \right) \right) \left(\frac{\partial y}{\partial \alpha} \right) dx = \int_{x_1}^{x_2} dx \left(\frac{\partial f}{\partial y} - \frac{d}{dx} \left(\frac{\partial f}{\partial \dot{y}} \right) \right) \eta(x) = 0. \quad (2.10)$$

Since this works for *any* η following our initial constraints, we must have

$$\frac{d}{dx} \left(\frac{\partial f}{\partial \dot{y}} \right) - \frac{\partial f}{\partial y} = 0. \quad (2.11)$$

Example 2.1. We show that, without any external conditions, the shortest path between two points (x_1, y_1) and (x_2, y_2) is a straight line. Each path segment is characterized as $(ds)^2 = (dx)^2 + (dy)^2$. Thus,

$$J = \int_{x_1}^{x_2} ds = \int_{x_1}^{x_2} \sqrt{(dx)^2 + (dy)^2} = \int_{x_1}^{x_2} dx \sqrt{1 + \left(\frac{dy}{dx} \right)^2} = \int_{x_1}^{x_2} dx (1 + \dot{y}^2)^{1/2} \quad (2.12)$$

Identifying with our equation, we have $f = \sqrt{1 + \dot{y}^2}$, and $\frac{\partial f}{\partial \dot{y}} = \frac{\dot{y}}{\sqrt{1 + \dot{y}^2}}$ and $\frac{\partial f}{\partial y} = 0$. Thus, the equation tell us

$$\frac{d}{dx} \left(\frac{\dot{y}}{\sqrt{1 + \dot{y}^2}} \right) = 0 \implies \frac{\dot{y}}{\sqrt{1 + \dot{y}^2}} = C \implies \dot{y} = \sqrt{\frac{C^2}{1 - C^2}}. \quad (2.13)$$

Example 2.2. As another example, we show that the shortest path between the north pole ($\theta_1 = 0$) and south pole ($\theta_2 = \pi$) on a sphere is a great circle. Here, the movement in spherical coordinates is

$\vec{dt} = dr\hat{e}_r + r d\theta\hat{e}_\theta + r \sin\theta d\phi\hat{e}_\phi$. For a sphere, R is constant so really we have $\vec{dr} = R d\theta\hat{e}_\theta + R \sin\theta d\phi\hat{e}_\phi$. Thus, the integral becomes

$$J = \int_{\theta_1}^{\theta_2} \sqrt{\vec{dr} \cdot \vec{dr}} = \int_{\theta_1}^{\theta_2} R \sqrt{1 + \sin^2\theta \dot{\phi}^2} d\theta. \quad (2.14)$$

Thus, we identify x with θ , $y(x)$ with $\phi(\theta)$, f with $\sqrt{1 + \sin^2\theta \dot{\phi}^2}$. Plugging the partials in the equation, we get

$$\frac{R \sin^2\theta \dot{\phi}}{\sqrt{1 + \sin^2\theta \dot{\phi}^2}} = C \quad (2.15)$$

This works for any θ ; plugging in $\theta_1 = 0$ shows that $C = 0$. Thus, we must conclude that $\dot{\phi} \equiv 0$; the condition of a great circle. Notice that our choice of north pole and south pole could be arbitrary. Thus, the shortest distance between any two points on a sphere $\theta_1 = 0$ and θ_2 is a great circle.

August 18th.

Remark 2.3. The following may be shown via variational calculus.

1. A geodesic in \mathbb{R}^n is a ‘straight’ line.
2. A geodesic on a sphere S^2 is a ‘great circle’.
3. The minimum time path, with end points fixed, freely falling under Mg is a ‘brachistochrone’ cycloid, starting under rest.

Now suppose f is a function as $f(y_1(x), \dots, y_n(x), \dot{y}_1(x), \dots, \dot{y}_n(x), x)$. With $J = \int_{x_1}^{x_2} f dx$ and $y_k(x_1), y_k(x_2)$ fixed for all k , we again parameterize by α to show small changes as

$$y_k(x, \alpha) = y_k(x, 0) + \alpha \eta_k(x) \quad (2.16)$$

with $\eta_k(x_1) = \eta_k(x_2) = 0$ for all $1 \leq k \leq n$. Thus,

$$\delta J = \frac{\partial J}{\partial \alpha} d\alpha = \int_{x_1}^{x_2} \left(\sum_{i=1}^n \left(\frac{\partial f}{\partial y_i} \frac{\partial y_i}{\partial \alpha} + \frac{\partial f}{\partial \dot{y}_i} \frac{\partial \dot{y}_i}{\partial \alpha} \right) dx \right). \quad (2.17)$$

For all i , the second term can be written as $T_2 = \frac{\partial f}{\partial \dot{y}_i} \frac{\partial}{\partial \alpha} \left(\frac{dy_i}{dx} \right)$ giving us the second integral-sum as

$$\sum_{i=1}^n \int_{x_1}^{x_2} dx \frac{\partial f}{\partial \dot{y}_i} \frac{d}{dx} \left(\frac{\partial y_i}{\partial \alpha} \right) = \sum_{i=1}^n \left[\frac{\partial f}{\partial y_i} \frac{\partial y_i}{\partial \alpha} \Big|_{x_1}^{x_2} - \int_{x_1}^{x_2} \frac{d}{dx} \left(\frac{\partial f}{\partial \dot{y}_i} \right) \cdot \frac{\partial y_i}{\partial \alpha} dx \right]. \quad (2.18)$$

Plugging this in, we have

$$\delta J = \frac{\partial J}{\partial \alpha} d\alpha = \int_{x_1}^{x_2} dx \sum_{i=1}^n \left(\frac{\partial f}{\partial y_i} - \frac{d}{dx} \left(\frac{\partial f}{\partial \dot{y}_i} \right) \right) \delta y_i = \sum_{i=1}^n \delta y_i \left[\int_{x_1}^{x_2} dx \left[\frac{\partial f}{\partial y_i} - \frac{d}{dx} \left(\frac{\partial f}{\partial \dot{y}_i} \right) \right] \right] = 0. \quad (2.19)$$

The δy_i ’s are independent, giving the inner integral as zero for all i , resulting in

$$\frac{\partial f}{\partial y_i} - \frac{d}{dx} \left(\frac{\partial f}{\partial \dot{y}_i} \right) = 0. \quad (2.20)$$

2.2 Lagrange Multipliers

Finding the independent q_i ’s is *hard*; for a sphere, its simply the spherical coordinates without radial dependence. For a general surface, the solution is complicated and requires studying differential geometry

and algebraic geometry. We have to introduce a way to introduce the constraints and eliminate the dependent coordinates. Thus introduces the concept of *Lagrange multipliers*.

Suppose we have a nice hemispherical bowl of radius R lying flat on the ground and a particle of mass m rests atop the bowl. Without the loss of generality, we assume the particle only moves in the xz -plane. Thus, $y = 0$, $x = R \sin \theta$, $z = R \cos \theta$. By writing this, we have ensured a constraint $f(x, z) = x^2 + z^2 - R^2 = 0$. Here the kinetic energy T is given by

$$T = \frac{1}{2}m(\dot{x}^2 + \dot{y}^2 + \dot{z}^2) = \frac{1}{2}(R\dot{\theta} \cos \theta)^2 + \frac{1}{2}(-R\dot{\theta} \sin \theta)^2 = \frac{1}{2}mR^2\dot{\theta}^2. \quad (2.21)$$

The potential is

$$V = mgz = mgR \cos \theta. \quad (2.22)$$

The Lagrangian is

$$L = T - V = \frac{1}{2}mR^2\dot{\theta}^2 - mgR \cos \theta. \quad (2.23)$$

The Euler-Lagrange equation is

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{\theta}} \right) - \frac{\partial L}{\partial \theta} = mR^2\ddot{\theta} - (mgR \sin \theta) = 0 \implies \ddot{\theta} = \frac{g}{R} \sin \theta. \quad (2.24)$$

Here, our constraint was fixed throughout; if we wanted to know the angle θ at which the particle leaves the surface, the constraint then would no longer be applicable.

In actuality, the particle presses a little on the bowl compressing it a tiny bit. Van der waals forces then react and push back with a normal force. Thus the distance r of the particle from the centre of the bowl changes a little, and we model this $x = r \sin \theta$ and $z = r \cos \theta$, with

$$L = \frac{1}{2}m(\dot{r}^2 + r^2\dot{\theta}^2) - mgR \cos \theta - V(r). \quad (2.25)$$

Here we get $\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{r}} \right) = m\ddot{r}$ and $\frac{\partial L}{\partial r} = mr\dot{\theta}^2 - mg \cos \theta - \frac{dV}{dr}$. The Euler-Lagrange equations are

$$m\ddot{r} - mr\dot{\theta}^2 + mg \cos \theta + \frac{dV}{dr} = 0, \quad (2.26)$$

$$mr^2\ddot{\theta} + 2mr\dot{r}\dot{\theta} - mgr \sin \theta = 0. \quad (2.27)$$

If we now put in the constraints $r(t) = R$ with $\dot{r} = 0$ and $\ddot{r} = 0$, we get

$$mR\dot{\theta}^2 = mg \cos \theta + \frac{dV}{dr} \Big|_R, \quad mR^2\ddot{\theta} = mgr \sin \theta. \quad (2.28)$$

Plugging in the constraint later has given us an additional equation as $-F_N = \frac{dV}{dr} \Big|_R = mR\dot{\theta}^2 - mg \cos \theta$.

August 20th.

We now discuss how exactly one includes the constraints $\{f_\alpha(q_i, t) = 0 \mid \alpha = 1, 2, \dots, k, k < N\}$ in Hamilton's principle. This is where the method of Lagrange multipliers comes in. Suppose we have n generalized coordinates $\{q_i\}$ with m constraint equations, for $n > m$. We can then form the augmented Lagrangian as

$$S = \int_1^2 dt \left(L + \sum_{j=1}^m \lambda_j f_j(\{q_j\}, t) \right) \implies \delta S = 0 \quad (2.29)$$

where $\{\lambda_i\}$, from $i = 1$ to m , are termed *Lagrange multipliers*. This expands as

$$\delta S = \int_1^2 dt \left(\sum_{i=1}^n \left(\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_i} \right) - \frac{\partial L}{\partial q_i} + \sum_{j=1}^m \lambda_j \frac{\partial f_j}{\partial q_i} \right) \delta q_i \right) = 0. \quad (2.30)$$

We choose the m multipliers λ_j 's such that the first m coefficients vanish, and we are left with $n - m$ coordinates that are now linearly independent. With L still being $T - V$, we get

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_i} \right) - \frac{\partial L}{\partial q_i} + \sum_{j=1}^m \lambda_j \frac{\partial f_j}{\partial q_i} = 0, \quad i = 1, 2, \dots, n. \quad (2.31)$$

Example 2.4. Consider the prior example of a point mass atop a hemispherical bowl of radius R . Here, $L = \frac{1}{2}m(\dot{x}^2 + \dot{z}^2) - mgz$. The easier of writing this is to switch to polar coordinates, so $L = \frac{1}{2}m(\dot{r}^2 + r^2\dot{\theta}^2) - mgr \cos \theta$. The only constraint here is $f = r - R$. So the augmented Lagrangian here is

$$\tilde{L} = L + \lambda(r - R) \quad (2.32)$$

The equations can be worked out to get

$$mr\ddot{r} - mr\dot{\theta}^2 + mg \cos \theta + \lambda_1 = 0, \quad (2.33)$$

$$2mrr\dot{\theta} + mr^2\ddot{\theta} - mgr \sin \theta = 0. \quad (2.34)$$

One can then solve and plug in $r(t) = R$.

Example 2.5. For a ball of radius R rolling without slipping, the distance x travelled across the slope is given as $R\dot{\theta} = \dot{x}$. Thus, this constraint is $R\dot{\theta} - \dot{x}$.

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