

# GROUP THEORY

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Third Semester

# List of Symbols

Placeholder

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## Chapter 1

# INTRODUCTION TO GROUP THEORY

## 1.1 Set Theory

July 22nd.

We begin with some basic assumptions to introduce set theory. The symbol  $\in$  is used to denote membership in a set. A statement using this in set theory may be stated as  $x \in y$ , which can be either true or false. Once we have developed this language to discuss sets, we can introduce some axioms.

**Axiom 1.1.** There exists a set with no elements, the *empty set*  $\emptyset$ .

Formally, the above axiom is  $\exists x(\forall y(y \notin x))$ .

**Axiom 1.2.** Two sets are equal if they have the same elements.

From the above two axioms, we can infer a unique empty set. A notion of subsets may also be declared.

**Definition 1.3.** We say the set  $A$  is a *subset* of the set  $B$ , denoted  $A \subseteq B$ , if every element of  $A$  is also an element of  $B$ .

We also have a bunch of similarity axioms stated below.

**Axiom 1.4** (Similarity axioms). We have the following:

1. If  $x, y$  are sets, then  $\{x, y\} \Rightarrow \{x, \{x, y\}\}$  (not an ordered pair).
2. If  $A$  is a set, then  $\bigcup A = \{x \mid \exists y \in A, x \in y\}$  is a set.
3. There exists a *power set* for every set; given a set  $A$ , there exists a set  $P(A)$  such that for all  $B \subseteq A$ ,  $B \in P(A)$ . Formally,  $\forall A \exists P(A)(\forall B \subseteq A, B \in P(A))$ .
4. The *infinite axiom*: Formally,  $\exists I(\emptyset \in I \wedge \forall y \in I(P(y) \in I))$ .
5. If  $A$  and  $B$  are sets, then  $A \times B = \{(x, y) \mid x \in A, y \in B\}$  is a set.

Before discussing the last axiom, we define a relation on sets.

**Definition 1.5.** A *relation*  $R$  on a set  $A$  is a subset  $R \subseteq A \times A$ . If  $(x, y) \in R$ , we write  $xRy$ .

**Axiom 1.6** (The *axiom of choice*). Let  $A$  be a collection of non-empty and disjoint sets. Then there exists a set  $C$  consisting of exactly one element from each set in  $A$ .

**Definition 1.7.** A relation  $R$  on a set  $A$  is said to be:

- *reflexive* if  $xRx \forall x \in A$ ,
- *symmetric* if  $xRy \Rightarrow yRx$ ,
- *transitive* if  $xRy \wedge yRz \Rightarrow xRz$ ,
- *antisymmetric* if  $xRy \wedge yRx \Rightarrow x = y$ .

**Definition 1.8.** A *partial order* on a set  $A$  is a reflexive, transitive, and antisymmetric relation on  $A$ .

Some examples of partially ordered sets include  $(R, \leq)$ ,  $(P(\mathbb{R}), \subseteq)$ .

**Definition 1.9.** A *total order*  $R$  on a set  $A$  is a partial order such that for all  $x, y \in A$ , either  $xRy$  or  $yRx$ .

Again,  $(R, \leq)$  is a totally ordered set, but not  $(P(\mathbb{R}), \subseteq)$ .

**Definition 1.10.** A total order  $\leq$  on a set  $A$  is said to be a *well-order* if given any non-empty subset  $B \subseteq A$ , there exists  $x \in B$  such that for all  $y \in B$ ,  $x \leq y$ .

The below theorem may be derived from the above definitions and axioms.

**Theorem 1.11** (The *well-ordering principle*). *Every set can be well-ordered.*

We may note that the well-ordering principle and the axiom of choice are equivalent.

**Definition 1.12.** A *chain* in partially ordered set  $A$ , with relation  $\prec$ , is a subset of  $A$  which is totally ordered with respect to  $\prec$ .

**Definition 1.13.** Let  $C \subseteq A$  be a subset in a partially ordered set  $(A, \prec)$ . An element  $x \in A$  is an *upper bound* of  $C$  if for all  $y \in C$ ,  $y \prec x$ .

**Definition 1.14.** An element  $x \in A$  is a *maximal element* of a partially ordered set  $(A, \prec)$  if for all  $y \in A$ ,  $x \prec y \Rightarrow x = y$ .

**Lemma 1.15** (Zorn's lemma). *Let  $A$  be a set and let  $\prec$  be a partial order on  $A$  such that every chain in  $A$  has an upper bound. Then  $A$  has a maximal element.*

**Theorem 1.16.** *The following are equivalent:*

1. *The axiom of choice,*
2. *The well-ordering principle,*
3. *Zorn's lemma.*

*Proof.* We begin with 2. implies 3.; let  $A$  be a non-empty set. Consider

$$\mathcal{C} = \{(B, \leq) \mid B \subseteq A \text{ and } \leq \text{ is a well-order on } B\}. \quad (1.1)$$

We note that  $\mathcal{C}$  is non-empty since if we pick  $B = \{x\}$  for some  $x \in A$ , then  $x \leq x$  and  $(B, \leq) \in \mathcal{C}$ . Let  $(B, \leq), (C, \leq') \in \mathcal{C}$ . We say  $(B, \leq) \preceq (C, \leq')$  if there exists  $y \in C$  such that

$$B = \{x \in C \mid x \leq' y\} (= I(c, y)) \text{ and } \leq = \leq'|_B, \text{ or } (B, \leq) = (C, \leq') \quad (1.2)$$

Note that  $\preceq$  is a partial order on  $\mathcal{C}$  and is clearly reflexive.

For transitivity, if we take  $B \preceq C$  and  $C \preceq D$ , then  $B = C$  or  $B = I(C, y)$  for some  $y \in C$ , and  $C = D$  or  $C = I(D, z)$  for some  $z \in D$ . If equality holds in either case, then clearly  $B \preceq D$ . If  $B = I(C, y)$  and  $C = I(D, z)$ . Clearly,  $B = I(D, y)$ .

Now let  $T = (\{(B_i, \leq_i) \mid i \in I\})$  be a chain in  $\mathcal{C}$ . Let  $B = \bigcup_{i \in I} B_i$ , and  $\leq = \bigcup_{i \in I} \leq_i$ . Note that this makes sense since if  $x \in B_i$  and  $y \in B_j$  with  $B_i \preceq B_j$ , then  $x, y \in B_j$ . So, we assign  $x \leq y$  if  $x \leq_j y$ . Now let  $C \subseteq B$  be non-empty. Also let  $x \in C$ ; then  $x \in B_i$  for some  $i \in I$ . Let  $w = \min(B_i \cap C)$ . We claim that  $w = \min C$ . For  $y \in C$ , if  $y \in B_i$  then  $w \leq y$ . If  $y \notin B_i$  then  $y \in B_j \in T$ . Since  $T$  is a chain, either  $B_i \preceq B_j$  or  $B_j \preceq B_i$ ; the latter is not possible since  $y \notin B_i$ . Thus,  $B_i = I(B_j, z)$ , for some  $z \in B_j$ , and for any  $x \in B_i$ ,  $w \leq x \leq y$ .

So  $(B, \leq) \in \mathcal{C}$  and it is an upper bound of  $T$ ; to realize it is an upper bound, we show that  $B_i \preceq B$  for all valid  $i$ . If  $B_i = B$ , we are done. Otherwise, let  $x = \min(B \setminus B_i)$ . Then  $B_i = I(B, x)$ , and  $B_i \preceq B$ . Thus, by Zorn's lemma,  $\mathcal{C}$  has a maximal element—call it  $(M, \leq)$ .

We now claim that  $M = A$ . If  $M \subsetneq A$ , then let  $a \in A \setminus M$ . If we let  $\hat{M} = (M \cup \{a\}, \leq')$  where  $x \leq' a$  for all  $x \in M$ , then  $M = I(\hat{M}, a)$  but this is a contradiction to the fact that  $(M, \leq)$  is a maximal element. Thus,  $A = M$ .

Next comes 1. implies 3. Let  $X$  be a partially ordered set such that every chain has an upper bound. Suppose  $X$  has no maximal element; we will utilise the axiom of choice to arise at a contradiction. For every chain  $T$  in  $X$ , there exists a strict upper bound  $c_T$ . Define a function  $f$  sending chains  $T$  in  $X$  to  $X$  as  $f(T) = c_T \notin T$ . Such a function  $f$  exists by the axiom of choice. A subset  $A \subseteq X$  is called a *conforming subset* if  $A$  is well-ordered, with respect to order on  $X$ , and for all  $x \in A$ ,  $f(I(A, x)) = x$ . We claim that if  $A$  and  $B$  are conforming subsets of  $X$ , then  $A = B$  or one is the initial segment of the other. For now, let us take this claim to be true. We shall prove it later.

If  $f(\emptyset) = x$  then  $A = \{x\}$ . Note that  $A$  is conforming. But  $I(A, x) = \emptyset \implies f(I(A, x)) = x$ . Let  $U$  be the union of all conforming subsets of  $X$ . Then  $U$  is conforming since if  $x \in U$  then  $x \in B$  for some  $B$  conforming and  $x = f(I(B, x)) = f(I(U, x))$ . Let  $f(U) = w$ . Define a new set  $\tilde{U} = U \sqcup \{w\}$ , which is well-ordered and conforming. Then  $U = I(\tilde{U}, w)$ , which is a contradiction.

Coming back to the claim, suppose  $x \in A \setminus B$ . We wish to show that  $B = I(A, x)$  for some  $x \in A$ . Let  $x = \min(A \setminus B)$ . We claim that this  $x$  works.  $I(A, x) \subseteq B$  holds since if  $y \in A$  and  $y < x$  then  $y \in B$ , or else  $x \neq \min(A \setminus B)$ . Suppose, now, that the equality does not hold. Take  $y = \min(B \setminus I(A, x))$  and  $z = \min(A \setminus I(B, y))$ . We claim that  $I(A, z) = I(B, y)$ . Take  $v \in I(A, z)$ ; then  $v < z$  implies  $v \in I(B, y)$  since  $z = \min(A \setminus I(B, y))$ . Taking  $u \in I(B, y)$ , we have  $u \in I(A, x) \implies u < x$  since  $y = \min(B \setminus I(A, x))$ . If  $z \leq u$ , then  $z \in I(A, x) \subseteq B \implies z \in I(B, y)$  contradicting the fact that  $z = \min(A \setminus I(B, y))$ . Thus,  $z > u$  and  $y \in I(A, z)$ . Finally,  $z = f(I(A, z)) = f(I(B, y)) = y$  implies  $z = x = y$ . But this is a contradiction since  $x \in A \setminus B$  and  $y \in B$ . ■

**Definition 1.17.** A relation  $R$  on a set  $A$  is said to be an *equivalence relation* if it is reflexive, symmetric, and transitive. Let  $x \in A$ . Then  $[x] = \{yRx \mid y \in A\} \subseteq A$  is called the *equivalence class* of  $x$ .

We note that  $\bigcup_{x \in A} [x] = A$  and for  $x, y \in A$ , either  $[x] \cap [y] = \emptyset$  or  $[x] = [y]$ . Thus, we get a partition of  $A$  into equivalence classes.

Let  $I$  be an indexing set, and let  $A_i$  be sets for all  $i \in I$ . Then the existence of  $X_{i \in I} A_i = \{f : I \rightarrow \bigcup A_i \mid f(i) \in A_i \text{ for all } i \in I\}$  is another way of stating the axiom of choice.

**Theorem 1.18** (The *principle of induction*). Let  $S(n)$  be statements about the naturals  $n \in \mathbb{N}$ . Suppose  $S(1)$  holds and for all  $k \in \mathbb{N}$ ,  $S(k) \implies S(k+1)$ . Then  $S(n)$  holds true for all  $n \in \mathbb{N}$ .

Let  $I$  be a well-ordered set and let  $S(i)$  be statements for all  $i \in I$ . Suppose that if  $S(j)$  holds for all  $j < i$ , then  $S(i)$  holds. Then  $S(i)$  holds for all  $i \in I$ . This is the *principle of transfinite induction*, which is also equivalent to the axiom of choice. We now properly introduce the theory of groups.

## 1.2 Groups

We first define a group.

**Definition 1.19.** A *group* is a triple  $(G, \cdot, e)$  where  $G$  is a set,  $\cdot : G \times G \rightarrow G$  is a binary operation on  $G$ , and  $e \in G$  is an element of  $G$  satisfying the following axioms:

- The property of *associativity*: For  $a, b, c \in G$ ,  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ .
- The property of the *identity element*: For all  $a \in G$ ,  $a \cdot e = e \cdot a = a$ .  $e$  is referred to as the identity element.
- The existence and property of the *inverse element*: For all  $a \in G$ , there exists  $b \in G$  such that  $a \cdot b = b \cdot a = e$ .

In addition,  $(G, \cdot, e)$  is also termed an *abelian group* if for all  $a, b \in G$ ,  $a \cdot b = b \cdot a$ , that is, commutativity holds.

A group may also be rewritten as  $(G, \cdot)$ , or just  $G$ . Some examples include  $(\mathbb{Z}, +)$ ,  $(\mathbb{Q}, +)$ ,  $(\mathbb{R}, +)$ ,  $(\mathbb{C}, +)$ . The set  $(\mathbb{Q}, \cdot)$  is not a group since 0 does not have an inverse. However,  $(\mathbb{Q}^*, \cdot)$  is a group, where  $\mathbb{Q}^* = \mathbb{Q} \setminus \{0\}$ . All these groups are also abelian. An example of a non-abelian group is  $S_n$ , the set of all bijections from  $\{1, 2, \dots, n\}$  to itself, under the binary operation of composition of functions. Another non-abelian group is  $(GL_n(\mathbb{R}), \cdot)$ , for  $n \geq 2$ , the set of all invertible real  $n \times n$  matrices.

July 24th.

From the axioms, arise basic properties related to groups.

**Proposition 1.20.** Let  $(G, \cdot, e)$  be a group.

1. Let  $a \in G$  be such that  $a \cdot b = b$  for all  $b \in G$ . Then  $a = e$ ; the identity element is unique.
2. Each element  $a \in G$  has a unique inverse. Thus, the inverse of  $a$  is then termed  $a^{-1}$ .
3.  $(a^{-1})^{-1} = a$  holds for all  $a \in G$ .
4. For all  $a, b \in G$ ,  $(a \cdot b)^{-1} = b^{-1} \cdot a^{-1}$ .
5. Let  $a \in G$  be such that  $a \cdot b = b$  for some  $b \in G$ . Then  $a = e$ .

*Proof.* 1. Choose  $b$  to be  $e$ . Then  $a \cdot e = e$  by hypothesis, and  $a \cdot e = a$  by the property of the identity element. Thus,  $a = e$ .

2. Let  $a \in G$  and  $b \in G$  be such that  $a \cdot b = b \cdot a = e$ . Let  $c \in G$  be also such that  $c \cdot a = e$ . Thus,  $(c \cdot a) \cdot b = e \cdot b \Rightarrow c \cdot (a \cdot b) = e \cdot b \Rightarrow c \cdot e = b \Rightarrow c = b$ .

3. Easy to see since  $a^{-1} \cdot a = a \cdot a^{-1} = e$  which just means that the inverse of  $a^{-1}$  is  $a$ .

4. Also easy since  $(a \cdot b) \cdot (b^{-1} \cdot a^{-1}) = (b^{-1} \cdot a^{-1}) \cdot (a \cdot b) = e$ .

5. Finally, right multiplying  $b^{-1}$  leads to  $a = a \cdot b \cdot b^{-1} = b \cdot b^{-1} = e$ . ■

July 29th.

**Definition 1.21.** The *order* of a group  $G$  is the cardinality of the set  $G$ , and is denoted by  $|G|$ ,  $o(G)$ , or  $\text{ord}(G)$ . If  $|G|$  is finite, we say  $G$  is a *finite group*.

We provide some examples.

**Example 1.22.** • The *trivial group* is  $G = \{e\}$ , with  $e \cdot e = e$ . Here,  $|G| = 1$ , and it is the smallest possible finite group. Similarly, one can form a group with two elements as  $G = \{e, a\}$ , with  $a \cdot a = e$  and  $a \cdot e = e \cdot a = a$ .

- Another important example is the set of all bijections of a set  $X$ , denoted by  $S(X)$ . It forms a group under composition. Here, if  $f, g \in S(X)$ , then  $f \circ g \in S(X)$ . Similarly, the bijection  $\text{id}_X(x) = x$  for all  $x \in X$  is the identity element of  $S(X)$ . Associativity also holds, and the inverse of  $f \in S(X)$  is simply the inverse mapping  $f^{-1} \in S(X)$  to get  $f \circ f^{-1} = f^{-1} \circ f = \text{id}_X$ . If  $X = \{1, 2, \dots, n\}$ , then  $S(X)$  is also denoted by  $S_n$ , with  $|S_n| = n!$ . If the set  $X$  is infinite, then so is  $S(X)$ .
- The set  $\mathbb{Z}/n\mathbb{Z}$  is a group when equipped with the binary operation of addition (+). Here,  $|\mathbb{Z}/n\mathbb{Z}| = n$ .
- The set  $\mu_n = \{e^{2\pi im/n} \mid 1 \leq m \leq n\}$  is a group with respect to multiplication. Again,  $|\mu_n| = n$ .

Order is also defined for elements.

**Definition 1.23.** Let  $(G, \cdot, e)$  be a group. The *order of an element*  $a \in G$ , denoted  $o(a)$ ,  $\text{ord}(a)$ , or  $|a|$ , is the least  $n \geq 1$  such that  $a^n = e$ . If no such  $n$  exists, then we term  $|a| = \infty$ .

Examples follow.

**Example 1.24.** • In  $\mu_n$ ,  $o(e^{2\pi i/n}) = n$ .

- Similarly, in  $\mathbb{Z}/n\mathbb{Z}$ ,  $o([1]_n) = n$ . For a general element  $[a]_n \in \mathbb{Z}/n\mathbb{Z}$ , the order is  $o([a]_n) = \frac{n}{\gcd(a, n)}$ .

**Proposition 1.25.** Let  $G$  be a finite group. For all  $a \in G$ ,  $o(a)$  is finite.

*Proof.* Let  $a \in G$ . We look at  $a, a^2, a^3, \dots \in G$ . Since  $G$  is finite, not all are distinct; there exists  $m > n$  such that  $a^m = a^n$ . Multiplying by  $a^{-n}$ , we have  $a^{m-n} = a^{n-n} = e$ , and the order of  $a$  is finite. ■

### 1.2.1 The $S_n$ Group

To understand the order better, we look specifically at  $S_3$ .

**Example 1.26.** The elements in  $S_3$  are

$$\begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{pmatrix}, \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}, \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix}, \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}, \text{ and } \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix}. \quad (1.3)$$

Alternatively, the elements may be (correspondingly) written as

$$e, (1 \ 2), (2 \ 3), (1 \ 3), (1 \ 2 \ 3), \text{ and } (3 \ 2 \ 1). \quad (1.4)$$

It is easy to see that the orders of  $e, (1 \ 2), (1 \ 2 \ 3)$  are 1, 2, 3, respectively. The elements  $(1 \ 2), (2 \ 3)$ , and  $(1 \ 3)$  are termed *transpositions*. In general, an element  $\sigma \in S_n$  is called a *transposition* if there exists  $1 \leq a \neq b \leq n$  such that  $\sigma(a) = b$  and  $\sigma(b) = a$ , but  $\sigma(x) = x$  for all  $x \notin \{a, b\}$ .

An element  $\sigma \in S_n$  is called a *cycle* if there exists distinct  $1 \leq a_1, a_2, \dots, a_m \leq n$  such that  $\sigma(a_i) = a_{i+1}$  for  $1 \leq i \leq m-1$ ,  $\sigma(a_m) = a_1$ , and  $\sigma(x) = x$  for all  $x \notin \{a_1, a_2, \dots, a_m\}$ . Thus, a transposition is really just a cycle of length 2. If  $\sigma$  is a cycle of length  $m$ , then  $o(\sigma) = m$ .

In the above,  $\sigma^i(a_1) = a_{i+1}$  if  $i < m$ . Thus,  $\sigma^i \neq e$  for  $i < m$ . But for  $m$ -times composition, we have  $\sigma^m(a_i) = a_i$  for all  $1 \leq i \leq m$ . Hence, the order of  $\sigma$  is really  $m$ .

Note that  $S_3$  is non-abelian since  $(1 \ 2)(1 \ 3) = (1 \ 3 \ 2)$ , but  $(1 \ 3)(1 \ 2) = (1 \ 2 \ 3)$ .

**Definition 1.27.** Let  $\sigma, \tau \in S_n$  be cycles. They are called *disjoint cycles* if  $\sigma = (a_1, \dots, a_m)$  and  $\tau = (b_1, \dots, b_k)$ , and  $\{a_1, \dots, a_m\} \cap \{b_1, \dots, b_k\} = \emptyset$ .



If  $\sigma$  and  $\tau$  are disjoint cycles then they commute; that is,  $\sigma \circ \tau = \tau \circ \sigma$ .

**Proposition 1.28.** *Every element of  $S_n$  can be written as a product of disjoint cycles.*

*Proof.* Let  $\sigma \in S_n$ , and let  $k$  be the least positive integer such that  $\sigma^k(1) = 1$ . Then let  $\tau_1 = (1 \ \sigma(1) \ \sigma^2(1) \ \dots \ \sigma^{k-1}(1))$ . Let  $S'_1$  be the *support* of  $\tau_1$ , defined as  $\text{supp}(\tau_1) = \{1, \sigma(1), \dots, \sigma^{k-1}(1)\}$ . If  $S'_1 = \{1, 2, \dots, n\}$ , we are done. Otherwise, let  $a_2 = \min(\{1, 2, \dots, n\} \setminus S'_1)$ . Let  $k_2$  be the least positive integer such that  $\sigma^{k_2}(a_2) = a_2$ , and then let  $\tau_2 = (a_2 \ \sigma(a_2) \ \dots \ \sigma^{k_2-1}(a_2))$ . Then  $\tau_2$  is a cycle of length of  $k_2$ . Again, let  $S'_2 = \text{supp}(\tau_2)$ . We claim that  $S'_1 \cap S'_2 = \emptyset$ .

If  $\sigma(a_2)$  were in  $S'_1$ , then we would have  $\sigma^i(i) = a_2 \in S'_1$ , but  $a_2$  was taken from  $\{1, 2, \dots, n\} \setminus S'_1$ . Similarly, if  $\sigma^j(a_2) \in S'_1$ , then a similar problem arises. Thus, the sets have to be disjoint.

Continue this way to get  $\tau_1, \tau_2, \dots, \tau_l$  until  $S'_1 \cup S'_2 \cup \dots \cup S'_k = \{1, 2, \dots, n\}$ . The process stops since  $S'_1, S'_2, \dots, S'_k$  are non-empty. Thus, we conclude that  $\tau_1 \circ \tau_2 \circ \dots \circ \tau_l$  is the disjoint cycle decomposition of  $\sigma$ . ■

For ease of notation, we will write  $\sigma \circ \tau$  as  $\sigma\tau$ .

**Proposition 1.29.** *Let  $\sigma \in S_n$  and  $\sigma = \tau_1\tau_2 \cdots \tau_k$  be a disjoint cycle decomposition of  $\sigma$ . Then,  $|\sigma| = \text{lcm}(|\tau_1|, |\tau_2|, \dots, |\tau_k|)$ .*

*Proof.* The proof of this proposition is left as an exercise to the reader. ■

## 1.3 Subgroups

We begin with the definition.

**Definition 1.30.** A non-empty subset  $H$  of a group  $(G, \cdot)$  is called a *subgroup* if the following properties hold.

1. For all  $a, b \in H$ ,  $a \cdot b \in H$ .
2. For all  $a \in H$ ,  $a^{-1} \in H$ .

In such a scenario, we write  $H \leq G$ .

More properties of a subgroup can be inferred.

**Proposition 1.31.** *The following properties hold true for a subgroup  $H \leq G$ , where  $(G, \cdot, e)$  is a group.*

1.  $e \in G$ .
2.  $(H, \cdot, e)$  is a group.

*Proof.* 1.  $H$  is non-empty, so there exists  $a \in G$  such that  $a \in H$ . From the definition,  $a^{-1} \in H$  also. Since  $H$  is closed under the binary operation, we have  $a \cdot a^{-1} = e \in H$ .

2. We show that  $(H, \cdot, e)$  satisfies the group axioms. From definition,  $\cdot$  is an associative binary operation on  $H$ . Also,  $e$  is the identity element in  $H$ . Again, from the definition, each  $a \in H$  has an inverse  $a^{-1} \in H$ . ■

Equivalently,  $H$  is a subgroup if the following holds.

**Theorem 1.32.** *Let  $G$  be a group and  $H \subseteq G$  be non-empty. Then  $H$  is a subgroup of  $G$  if and only if  $a \cdot b^{-1} \in H$  for all  $a, b \in H$ .*

*Proof.* The forward implication is left as an exercise to the reader. If  $a \in H$  then  $a \cdot a^{-1} \in H$  shows that  $e \in H$ . Since  $e, a \in H$ ,  $e \cdot a^{-1} = a^{-1} \in H$ . If  $a, b \in H$ , then  $a, b^{-1} \in H \implies a \cdot (b^{-1})^{-1} \in H \implies ab \in H$  ■

July 31st.

We look at some examples of subgroups.

**Example 1.33.** • For any group  $G$ ,  $\{e\} \subseteq G$  is a subgroup. This is termed the *trivial group*.

- Any group  $G$  is a subgroup of itself.
- We have  $(\mathbb{Z}, +) \leq (\mathbb{Q}, +) \leq (\mathbb{R}, +) \leq (\mathbb{C}, +)$ . Similarly,  $(\{\pm 1\}, \cdot) \leq (\mathbb{Q}^*, \cdot) \leq (\mathbb{R}^*, \cdot) \leq (\mathbb{C}^*, \cdot)$ .
- If  $H \leq G$  and  $K \leq H$ , then  $K \leq G$ .
- $\mu_n \leq (\mathbb{C}^*, \cdot)$  for all natural  $n$ .
- For  $(\mathbb{Z}/6\mathbb{Z}, +) = \{\bar{0}, \bar{1}, \bar{2}, \bar{3}, \bar{4}, \bar{5}\}$ , the only possible subgroups are  $\{\bar{0}\}$ ,  $\{\bar{0}, \bar{3}\}$ ,  $\{\bar{0}, \bar{2}, \bar{4}\}$ , and  $\{\bar{0}, \bar{1}, \bar{2}, \bar{3}, \bar{4}, \bar{5}\}$ .

### 1.3.1 Generation

**Definition 1.34.** Let  $G$  be a group and  $S \subseteq G$  be a subset. We say  $S$  generates a subgroup  $H$  if  $H$  is the smallest subgroup of  $G$  containing  $S$ . We denote this as  $\langle S \rangle = H$ .

**Remark 1.35.** Let  $H_1, H_2 \leq G$ . Then  $H_1 \cap H_2 \leq G$ .

*Proof.* Note that  $e \in H_1, H_2$ , so  $H_1 \cap H_2 \neq \emptyset$ . Also, if  $x, y \in H_1 \cap H_2$ , then  $xy^{-1} \in H_1 \cap H_2$ . We are done. ■

**Proposition 1.36.** For  $S \subseteq G$ ,  $\langle S \rangle$  always exists and is unique.

*Proof.* Let  $\Omega = \{H \leq G \mid S \subseteq H\}$ . Since  $G \in \Omega$ , it is non-empty. Thus, we simply take  $\langle S \rangle = \bigcap_{H \in \Omega} H$ , which is the smallest subgroup containing  $S$ . ■

The above proof is merely of existence, and will be a hassle for constructing the generated group. The following proposition simplifies the construction process.

**Proposition 1.37.** Let  $G$  be a group and  $S \subseteq G$  be a subset. Then

$$\langle S \rangle = H = \{a_1 \cdots a_n \mid a_i \in S \text{ or } a_i^{-1} \in S \text{ for } n \geq 1\} \cup \{e\}. \quad (1.5)$$

*Proof.* Note that  $S \subseteq H$ , so  $H$  is non-empty. Let  $x, y \in H$ . Then,  $x = a_1 \cdots a_n$  with  $a_i \in S$  or  $a_i^{-1} \in S$ . Similarly,  $y = b_1 \cdots b_m$  with  $b_j \in S$  or  $b_j^{-1} \in S$ . We then have

$$a_1 \cdots a_n b_m^{-1} \cdots b_1^{-1} \text{ with } a_i \in S \text{ or } a_i^{-1} \in S, \text{ and } (b_j^{-1})^{-1} \in S \text{ or } b_j^{-1} \in S. \quad (1.6)$$

Thus,  $xy^{-1} \in H$  and  $\langle S \rangle \subseteq H$ . For the converse inclusion, it is enough to show that if  $H'$  is a subgroup such that  $S \subseteq H'$ , then  $H \leq H'$ . Suppose  $H'$  is such a subgroup. Then  $a_1 \cdots a_n \in H'$  for  $a_i \in S$  or  $a_i^{-1} \in S$  since  $a_i \in S \subseteq H' \implies a_i^{-1} \in H'$  and  $x, y \in H' \implies xy \in H'$ . Hence,  $H \leq H'$ . ■

**Definition 1.38.** A group  $G$  is termed a *cyclic group* if there exists  $a \in G$  such that  $\langle \{a\} \rangle = G$ . Usually, we prefer to write it as  $\langle a \rangle = G$ .

**Example 1.39.**  $\mathbb{Z}/n\mathbb{Z} = \langle \bar{1} \rangle$  for all natural  $n$ .

**Proposition 1.40.** *The group  $S_n$  is generated by transpositions, for all  $n \geq 1$ .*

*Proof.* From **Proposition 1.28**, every  $\sigma \in S_n$  can be written as  $\sigma = \tau_1 \cdots \tau_k$  where  $\tau_i \in S_n$  are cycles. So it is enough to show that every cycle is a product of transpositions. Suppose  $(i_1 \ i_2 \ \cdots \ i_l)$  is such a cycle with  $i_1, \dots, i_l$  being distinct elements of  $\{1, 2, \dots, n\}$ . This can be rewritten simply as

$$(i_1 \ i_2 \ \cdots \ i_l) = (i_1 \ i_l)(i_1 \ i_{l-1}) \cdots (i_1 \ i_3)(i_1 \ i_2). \quad (1.7)$$

■

**Example 1.41.** Let us look at  $S_3 = \{e, (1 \ 2), (2 \ 3), (1 \ 3), (1 \ 2 \ 3), (3 \ 2 \ 1)\}$ . Then the only possible subgroups are

- $\{e\}$ ,
- $\{e, (1 \ 2)\}$ ,
- $\{e, (2 \ 3)\}$ ,
- $\{e, (1 \ 3)\}$ ,
- $\{e, (1 \ 2 \ 3), (3 \ 2 \ 1)\}$ , and
- $S_3$ .

An important subgroup of  $S_n$  is  $A_n$ , defined as the set of all permutations in  $S_n$  with even parity; all permutations that can be written as the product of even number of transpositions.  $A_n$  is termed the *alternating group*. Similarly,  $D_n$  is also defined. The *dihedral group*  $D_n$  is the group of symmetries of a  $n$ -regular polygon, which includes rotations and reflections. Labelling the vertices as 1 through  $n$ , the rotations and reflections can really be seen as those permutations in  $S_n$  that leave the  $n$ -regular polygon as itself after permutation. For example,  $D_4$  is the group  $\{\sigma \in S_4 \mid \sigma(\square) \text{ is still a } \square\}$ . If  $n$  is even, we can write

$$D_n = \langle (1 \ 2 \ \cdots \ n), (1 \ n)(2 \ n-1) \cdots (\frac{n}{2} \ \frac{n}{2} + 1) \rangle. \quad (1.8)$$

If  $n$  is odd, we have

$$D_n = \langle (1 \ 2 \ \cdots \ n), (1 \ n-1)(2 \ n-2) \cdots (\frac{n-1}{2} \ \frac{n+1}{2}) \rangle. \quad (1.9)$$

## Chapter 2

# COSETS AND MORPHISMS

## 2.1 Cosets

We start with cosets.

**Definition 2.1.** Let  $H \leq G$  and  $x \in G$ . A *left coset* of  $H$  generated by  $x$  is  $xH = \{xh \mid h \in H\} \subseteq G$ . The left coset need not be a subgroup of  $G$ . Similarly, a *right coset* of  $H$  generated by  $x$  is  $Hx = \{hx \mid h \in H\} \subseteq G$ . Again, the right coset need not be a subgroup

Let  $H \leq G$ . For  $x, y \in G$ , let us write  $x \sim y$  if  $x^{-1}y \in H$ . Then  $\sim$  is an equivalence relation. Moreover,  $[x] = xH$  for all  $x \in G$ . Once we have proved, we will be able to partition our group.

*Proof.* Clearly,  $\sim$  is reflexive since  $x^{-1}x = e \in H$  for all  $x \in G$ .  $\sim$  is symmetric since we have

$$x \sim y \implies x^{-1}y \in H \implies (x^{-1}y)^{-1}H \implies y^{-1}x \in H \implies y \sim x. \quad (2.1)$$

Finally,  $\sim$  is also transitive since

$$x \sim y \text{ and } y \sim z \implies x^{-1}y, y^{-1}z \in H \implies x^{-1}y \cdot y^{-1}z = x^{-1}z \in H \implies x \sim z. \quad (2.2)$$

To show the latter result, we first have

$$y \in [x] \implies x \sim y \implies x^{-1}y \in H \implies xx^{-1}y = y \in xH \implies y \in xH. \quad (2.3)$$

So,  $[x] \subseteq xH$ . For the converse inclusion, we have

$$y \in xH \implies y = xh \text{ for some } h \in H \implies x^{-1}y = h \in H \implies y \in [x]. \quad (2.4)$$

Thus,  $xH \subseteq [x]$  and  $xH = [x]$ . ■

The above results of cosets prove to be useful in the following theorem.

**Theorem 2.2** (*Lagrange's theorem*). Let  $G$  be a finite group with  $H \leq G$ . Then  $|H| \mid |G|$ .

*Proof.* For  $x, y \in G$ , if  $xH \cap yH \neq \emptyset$ , then we must have  $xH = yH$ . Also,  $\bigcup_{x \in G} xH = G$ . We now claim that  $|xH| = |yH|$  for all  $x, y \in G$ . To show this, we let  $f : xH \rightarrow yH$  be defined as  $f(a) = yx^{-1}a$ , and  $g : yH \rightarrow xH$  be defined as  $g(b) = xy^{-1}b$ . Then  $f$  and  $g$  are inverses of each other since

$$(f \circ g)(b) = f(xy^{-1}b) = yx^{-1}xy^{-1}b = b \text{ and } (g \circ f)(a) = g(yx^{-1}a) = xy^{-1}yx^{-1}a = a. \quad (2.5)$$

Let  $S = G / \sim$  (also denoted as  $G/H$ ). Since  $G = \bigcup_{A \in S} A$ , we have  $|A| = |H|$  for all  $A \in S$ , implying  $|G| = |S||H|$ . ■

**Corollary 2.3.** *Let  $G$  be a finite group, with  $a \in G$ . Then  $o(a) \mid |G|$ .*

*Proof.* If  $o(a) = n$ , then  $\langle a \rangle = \{a, a^2, \dots, a^{n-1}, e\}$ . Since this is a subgroup, we have  $|\langle a \rangle| = n \mid |G|$  by Lagrange's theorem. ■

## 2.2 Mappings

August 5th.

We now study important mappings between groups and the types of mappings one can define.

**Definition 2.4.** A function  $f : (G, *) \rightarrow (H, \circ)$ , where  $(G, *)$  and  $(H, \circ)$  are groups, is said to be a (group) *homomorphism* if

$$f(x * y) = f(x) \circ f(y) \text{ for all } x, y \in G. \quad (2.6)$$

The following is a trivial example of a group homomorphism.

**Example 2.5.** For instance, the map  $a \mapsto a$  in  $(\mathbb{Z}, +) \rightarrow (\mathbb{Q}, +)$  is a group homomorphism, trivially. More generally, if  $H \leq G$ , then  $a \mapsto a$ , called the *inclusion map* is a group homomorphism.

Homomorphisms can be classified further if they inherit nicer properties.

**Definition 2.6.** The group homomorphism is also called an injective homomorphism, or a *monomorphism*, if the mapping is also injective. Similarly, it is also called a surjective homomorphism, or a *epimorphism*, if the mapping is also surjective. Finally, the group homomorphism is termed an *isomorphism* if it is bijective.

- Example 2.7.**
1. The map  $q : (\mathbb{Z}, +) \rightarrow (\mathbb{Z}/n\mathbb{Z}, +)$  defined as  $q(a) = [a]_n$  for  $n \geq 1$  is a group homomorphism. Specifically, it is an epimorphism.
  2.  $f : (G, *) \rightarrow (\{e\}, \cdot)$  with  $f(g) = e$  for all  $g \in G$  is another epimorphism. This is also a trivial homomorphism.
  3. The scaling map  $a \mapsto \lambda a$  in  $\mathbb{Z} \rightarrow \mathbb{Z}$  is a monomorphism for  $\lambda \in \mathbb{Z}_{\geq 1}$ . Similarly,  $[a] \mapsto [\lambda a]$  in  $\mathbb{Z}/n\mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z}$  is group homomorphism. If  $\gcd(n, \lambda) = 1$ , then the map is also an isomorphism in this case.
  4. The scaling map  $f : \mathbb{Q} \rightarrow \mathbb{Q}$  with  $f(a) = ca$  with  $c \in \mathbb{Q}^*$  is an isomorphism. For  $c = 0$ , we get the trivial homomorphism.
  5. From linear algebra, the map  $T : (\mathbb{Q}^n, +) \rightarrow (\mathbb{Q}^n, +)$  with  $T \in M_n(\mathbb{Q})$  defined as  $v \mapsto Tv$  is also a group homomorphism. If  $T \in GL_n(\mathbb{Q}) \subseteq M_n(\mathbb{Q})$ , the map is also an isomorphism.
  6. Towards more non-trivial examples, one can confirm that the map  $\exp : (\mathbb{R}, +) \rightarrow (\mathbb{R}_{>0}, \cdot)$  defined as  $x \mapsto e^x$  is a group homomorphism.

### 2.2.1 Properties

Arising from these structure-preserving mappings are some useful properties.

**Proposition 2.8.** *Let  $f : (G, *) \rightarrow (H, \circ)$  be a group homomorphism. Then*

1.  $f(e_G) = e_H$ ,
2.  $f(a^n) = f(a)^n$ , and
3.  $f(a)^{-1} = f(a^{-1})$ .

*Proof.* 1. Simply work as

$$f(e_G) = f(e_G * e_G) = f(e_G) \circ f(e_G) \implies e_H = f(e_G)^{-1} \circ f(e_G) = f_{e_G}. \quad (2.7)$$

2. We show the base case, then induction may be applied.

$$f(a^2) = f(a * a) = f(a) \circ f(a) = f(a)^2. \quad (2.8)$$

3. Again,

$$f(a^{-1}) \circ f(a) = f(a^{-1} * a) = f(e_G) = e_H = f(a)^{-1} \circ f(a) \implies f(a^{-1}) = f(a)^{-1}. \quad (2.9)$$

■

We show further some properties of bijective homomorphisms.

**Proposition 2.9.** *Let  $f : (G, *) \rightarrow (H, \cdot)$  be a group isomorphism. Then*

1.  $f^{-1} : H \rightarrow G$  is a group isomorphism,
2.  $o(x) = o(f(x))$  for all  $x \in G$ ,
3.  $|G| = |H|$ , and
4.  $G$  is abelian if and only if  $H$  is abelian.

*Proof.* 1. Fix  $a, b \in H$ , and let  $x = f^{-1}(a)$  and  $y = f^{-1}(b)$ . We want to show that  $f^{-1}(a \cdot b) = f^{-1}(a) * f^{-1}(b)$ . To this end, we have

$$f(f^{-1}(a) * f^{-1}(b)) = f(x * y) \Rightarrow f(f^{-1}(a)) \cdot f(f^{-1}(b)) = a \cdot b = f(x * y) \Rightarrow f^{-1}(a \cdot b) = x * y. \quad (2.10)$$

2. Let  $o(x) = n$ , where  $x^m \neq e_G$  for  $1 \leq m < n$  and  $x^n = e_G$ . This shows that  $f(x)^n = f(x^n) = e_H$ . Also, since  $x^m \neq e_G$  for  $1 \leq m < n$ , we must have  $f(x^m) \neq e_H$  for  $1 \leq m < n$  as  $f$  is bijective. Thus,  $o(f(x)) = o(x)$ . If  $o(x)$  were not finite, then  $x^n \neq e_G$  for all  $n \geq 1$  implies  $f(x)^n \neq e_H$  for all  $n \geq 1$ .

3. This is trivial.

4. If  $G$  is abelian then  $a * b = b * a$  for all  $a, b \in G$ . Applying  $f$ , we get  $f(a * b) = f(b * a) \Rightarrow f(a) \cdot f(b) = f(b) \cdot f(a)$  for all  $a, b \in G$ . If we take  $a = f^{-1}(x)$  and  $b = f^{-1}(y)$ , we get  $x \cdot y = y \cdot x$  for all  $x, y \in H$ . For the converse implication, simply consider the isomorphism  $f^{-1}$ .

■

Essentially, in group theory, we consider two groups the same if they are isomorphic. Thus, we are equipped to classify groups up to isomorphism seeing as they share basically the same structure and properties. If two groups  $G$  and  $H$  are isomorphic, we denote it as  $G \cong H$ .

**Proposition 2.10.** *Let  $(G, \cdot)$  be a group of order  $p$ , where  $p$  is prime. Then  $G \cong \mathbb{Z}/p\mathbb{Z}$ .*

*Proof.* Let  $x \in G$  be a non-identity element. Then  $o(x) = p$  since  $o(x) \mid p$  and  $o(x) \neq 1$ . Define the map  $f : \mathbb{Z}/p\mathbb{Z} \rightarrow G$  as  $f(a) = x^a$ . We show that this mapping is an isomorphism. For  $\bar{a}, \bar{b} \in \mathbb{Z}/p\mathbb{Z}$ , we have

$$f(\bar{a} + \bar{b}) = f(\overline{a+b}) = x^{a+b} = x^a \cdot x^b = f(\bar{a}) \cdot f(\bar{b}) \quad (2.11)$$

showing  $f$  is a group homomorphism. Moreover,  $G = \langle x \rangle$  as  $o(x) = p$ , so  $G$  is also surjective. Hence,  $f$  is an isomorphism as  $G$  is finite. ■

**Example 2.11.** We find all the groups of order 4 upto isomorphism. The only two possibilities are  $\mathbb{Z}/4\mathbb{Z}$ , and  $(\mathbb{Z}/2\mathbb{Z})^2$  with component-wise addition.

**Example 2.12.** We list down all the groups of order 6 upto isomorphism. Again, the only two possibilities are  $\mathbb{Z}/6\mathbb{Z}$  and  $S_3$ .

*August 7th.*

**Example 2.13.** For groups of order 8, we have  $\mathbb{Z}/8\mathbb{Z}$ ,  $(\mathbb{Z}/2\mathbb{Z})^2$ ,  $\mathbb{Z}/4\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ ,  $D_4$ , and  $Q_8$ , the quaternions.

The *quaternions*  $Q_8$  is the group  $\{\pm 1, \pm i, \pm j, \pm k\}$  equipped with the multiplication operation such that

$$i^2 = -1, \quad j^2 = -1, \quad k^2 = -1, \quad (2.12)$$

$$ij = k, \quad jk = i, \quad ki = j, \quad (2.13)$$

$$ji = -k, \quad kj = -i, \quad ik = -j. \quad (2.14)$$

## 2.2.2 Kernel and Image

**Definition 2.14.** For a group homomorphism  $f : G \rightarrow H$ , we define the *kernel* of  $f$  as  $\ker f = \{g \in G \mid f(g) = e_H\}$ . We also define the *image* of  $f$  as  $f(G) = \operatorname{Im} f = \{f(g) \mid g \in G\}$ .

The image and kernel are both subgroups; this is our proposition.

**Proposition 2.15.** For a group homomorphism  $f : G \rightarrow H$ ,  $\ker f$  and  $\operatorname{Im} f$  are subgroups of  $G$  and  $H$  respectively.

*Proof.* Note that  $\emptyset \neq \operatorname{Im} f \subseteq H$ ; let  $a, b \in \operatorname{Im} f$ . Then  $f(x) = a$  and  $f(y) = b$  for some  $x, y \in G$ . So,  $ab = f(x)f(y) = f(xy) \in \operatorname{Im} f$ , showing  $ab \in \operatorname{Im} f$ . Also,  $a^{-1} = f(x)^{-1} = f(x^{-1}) \in \operatorname{Im} f$ , showing  $a^{-1} \in \operatorname{Im} f$ . Thus,  $\operatorname{Im} f \leq H$ .

For the kernel, note that  $e_g \in \ker f$  since  $f(e_g) = e_H$ . Let  $x, y \in \ker f$ . Then  $f(x) = f(y) = e_H$  implying  $f(xy^{-1}) = f(x)f(y)^{-1} = e_H e_H^{-1} = e_H$ . Thus,  $xy^{-1} \in \ker f$ , showing  $\ker f \leq H$ . ■

**Remark 2.16.** Let  $f$  be a group homomorphism.

1. If  $f$  is an isomorphism, then  $\operatorname{Im} f = H$  and  $\ker f = \{e_G\}$ .
2. If  $f$  is a monomorphism, then  $\ker f = \{e_G\}$ .
3. If  $f$  is an epimorphism, then  $\operatorname{Im} f = H$ .

## 2.3 Normal Subgroups and Quotient Groups

**Proposition 2.17.** Let  $G$  be a group and  $H \leq G$  be a subgroup. Then the following are equivalent.

1.  $gH \subseteq Hg$  for all  $g \in G$ ,
2.  $g^{-1}Hg \subseteq H$  for all  $g \in G$ ,
3.  $gH = Hg$  for all  $g \in G$ ,
4.  $g^{-1}Hg = H$  for all  $g \in G$ .

Such a subgroup satisfying any (all) of the above conditions is termed a *normal subgroup* of  $G$  and is denoted by  $H \trianglelefteq G$ .

*Proof.* For 1. implies 2., we are given  $g^{-1}H \subseteq Hg^{-1}$  for all  $g \in G$ . Let  $x \in g^{-1}Hg$ . Then  $x = g^{-1}hg$  for some  $h \in H$ . Thus,  $g^{-1}h \in g^{-1}H \subseteq Hg^{-1}$  which implies  $g^{-1}h = h'g^{-1}$  for some  $h' \in H$ . But then  $g^{-1}hg = h' \in H$ , showing  $x \in H$ . Therefore,  $g^{-1}Hg \subseteq H$ .

For 2. implies 3., assume  $g^{-1}Hg \subseteq H$  for all  $g \in G$ . Let  $x \in gH$ , that is,  $x = gh$  for some  $h \in H$ . Write this as  $x = ghg^{-1}g$ . But  $ghg^{-1} \in gHg^{-1} \subseteq H$ , so  $ghg^{-1} = h'$  for some  $h' \in H$ . Thus,  $x = h'g \in Hg$ . Similarly, if  $x \in Hg$ , then  $x \in gH$ . We conclude that  $Hg = gH$  for all  $g \in G$ .

For 3. implies 4., we have  $gH = Hg$  for all  $g \in G$ . Let  $x \in g^{-1}Hg$ , where  $x = g^{-1}hg$  for some  $h \in H$ . Note that  $hg = gh'$  for some  $h' \in H$  since  $gH = Hg$ . Thus,  $x = g^{-1}hg = g^{-1}(gh') = h' \in H$ , giving us  $g^{-1}Hg \subseteq H$ .

Finally, for 4. implies 1., let  $x \in gh$ ; there exists  $h \in H$  such that  $x = gh$ . Thus,  $x = ghg^{-1}g = h'g \in Hg$  since  $gHg^{-1} = H$ . Hence,  $gH \subseteq Hg$ . ■

Note that if  $G$  is abelian, then every subgroup is normal.

**Proposition 2.18.** *The following miscellaneous propositions hold true. Let  $G$  be a group.*

1. If  $g, h \in G$ , then  $\text{ord}(ghg^{-1}) = \text{ord}(h)$ .
2. The mapping  $\varphi_g : G \rightarrow G$  defined as  $\varphi_g(h) = g^{-1}hg$  is an isomorphism for all  $g \in G$ . The inverse isomorphism is given by  $\varphi_g^{-1} = \varphi_{g^{-1}}$ .
3. Both  $G$  and  $\{e\}$  are normal subgroups of  $G$ .

*Proof.* The proofs of these are left as an exercise to the reader. ■

**Proposition 2.19.** *Let  $f : G \rightarrow H$  be a group homomorphism. Then  $\ker f \trianglelefteq G$ .*

*Proof.* For  $g \in G$ , let  $x \in g^{-1}\ker(f)g$ ; that is,  $x = g^{-1}hg$  for some  $h \in \ker f$ . Then,

$$f(x) = f(g^{-1}hg) = f(g^{-1})f(h)f(g) = f(g)^{-1}e_H f(g) = e_H. \quad (2.15)$$

Thus,  $x \in \ker f$ , showing  $g^{-1}\ker(f)g \subseteq \ker f$  for all  $g \in G$ ;  $\ker f \trianglelefteq G$ . ■

**Proposition 2.20.** *Let  $G$  be a group with  $H \leq G$  a subgroup. Then  $H \trianglelefteq G$  if and only if for all  $\varphi_g : G \rightarrow G$ , we have  $\varphi_g|_H : H \rightarrow H$ , an isomorphism.*

Note that an isomorphism from a group to itself is called an *automorphism*. Thus, the above proposition equates to  $\varphi_g$  still remaining an automorphism when restricted to  $H$ .

*Proof.* The statement is simply equivalent to saying  $g^{-1}Hg = H$  for all  $g \in G$ . ■

One also defines the notion of *product of groups*. Let  $G_1, G_2$  be two groups. Then

$$G_1 \times G_2 := \{(g_1, g_2) \mid g_1 \in G_1, g_2 \in G_2\} \quad (2.16)$$

is a group with the equipped operation defined as

$$(g_1, g_2) \cdot (g'_1, g'_2) = (g_1g'_1, g_2g'_2). \quad (2.17)$$

Here, the identity element is  $(e_{G_1}, e_{G_2})$  and the inverse of  $(g_1, g_2)$  is  $(g_1^{-1}, g_2^{-1})$ .

Let  $G$  be a group and  $H, K$  be subgroups of  $G$ . Let  $HK = \{hk \mid h \in H, k \in K\}$ . Then  $HK$  is a group if either  $H$  or  $K$  is a normal subgroup of  $G$ .

*Proof.* Let us assume  $H \trianglelefteq G$ . Take the elements  $h_1k_1, h_2k_2 \in HK$ . Since  $H \trianglelefteq G$ ,  $k_1H = Hk_1$ . So,  $k_1h_2 = h'k_1$  for some  $h' \in H$ . Thus,

$$h_1k_1h_2k_2 = h_1h'k_1k_2 \in HK. \quad (2.18)$$

Similarly,  $(h_1k_1)^{-1} = k_1^{-1}h_1^{-1} = h'k_1^{-1} \in HK$  for some  $h' \in H$ , since  $H \trianglelefteq G$  and  $k_1^{-1}H = Hk_1^{-1}$ . ■

*August 12th.*

We now get familiar with quotient groups.



**Definition 2.21.** Let  $G$  be a group and  $H \trianglelefteq G$  a normal subgroup. The *quotient group* is defined as  $G/H = \{gH \mid g \in G\}$  with the operation defined as  $gH * g'H := (gg')H$  for all  $g, g' \in G$ .

Of course, it still remains to verify that the groups axioms are not violated and the operation is indeed well-defined.

*Proof.* Let  $gH = kH$  and  $g'H = k'H$  for  $k, k' \in G$ . We wish to show that  $gg'H = kk'H$ . Since  $gH = kH$ , we have  $k^{-1}g \in H$ . Similarly,  $k'^{-1}g' \in H$ , and  $k'^{-1}g'(k^{-1}g) \in H$ . Thus,  $(kg')^{-1}gg' \in H$  and  $gg'H = kg'H$ . Hence, the operation is well-defined. We verify the group axioms now.

1. *Associativity:* We have

$$(gH * hH) * (kH) = (ghH) * kH = (gh)kH = g(hk)H = gH * (hkH) = (gH) * (gH * kH). \quad (2.19)$$

2. *Existence of Identity:* The identity here is  $e_{G/H} = H$  since

$$gH * H = (ge)H = gH = (eg)H = H * gH. \quad (2.20)$$

3. *Existence of Inverse:* For  $gH \in G/H$ , we have  $(gH)^{-1} = g^{-1}H$  since

$$(gH) * (g^{-1}H) = (gg^{-1})H = H = (g^{-1}g)H = (g^{-1}H) * (gH). \quad (2.21)$$

■

Note that the map  $q : G \rightarrow G/H$  defined as  $g \mapsto gH$  is a group epimorphism, with  $\ker q = H$ . The proof of showing surjectivity and preservation of group structure is left as an exercise the reader.

**Example 2.22.** • As a familiar example,  $n\mathbb{Z} \trianglelefteq \mathbb{Z}$  is a normal subgroup, and the quotient group  $\mathbb{Z}/n\mathbb{Z}$  is the group of integers modulo  $n$ .

- If one sets  $H = \{e\} \trianglelefteq G$ , then  $G/H = \{\{g\} \mid g \in G\}$  is the group of singletons, and the quotient map  $q : G \rightarrow G/H$  becomes an isomorphism.
- If  $H = G \trianglelefteq G$ , then  $G/H = \{G\}$  is the trivial group.

### 2.3.1 Centre

**Definition 2.23.** The *centre* of a group  $G$ , denoted  $Z(G)$ , is the set of all elements in  $G$  that commute with every element of  $G$ :

$$Z(G) = \{g \in G \mid gx = xg \text{ for all } x \in G\}. \quad (2.22)$$

One can show that  $Z(G) \leq G$  always holds true. In fact, the centre is a subgroup of  $G$ .

**Example 2.24.** • Since  $Z(G)$  is a normal subgroup, the quotient group makes sense. However, in general,  $Z(G/Z(G))$  is not trivial.

- $G$  is abelian if and only if  $Z(G) = G$ .
- $Z(GL_2(\mathbb{R})) = \{\lambda I \mid \lambda \in \mathbb{R}^*\}$ , where  $I$  is the identity matrix.

One can also define a centre for individual elements and subsets in a group.

**Definition 2.25.** The *centre* of a subset  $H \subseteq G$  is defined as

$$C_G(H) = \{g \in G \mid gh = hg \text{ for all } h \in H\}. \quad (2.23)$$

Note that  $Z(G) \subseteq C_G(H)$  and  $C_G(G) = Z(G)$ . For  $g \in G$ , we define the *centralizer* of  $g$  as

$C_G(g) := C_G(\{g\})$ . Additionally, if  $H \leq G$ , then

$$N_G(H) = \{g \in G \mid gH = Hg\} \quad (2.24)$$

is termed the *normalizer* of  $H$  in  $G$ .

**Remark 2.26.** The following may be shown, for a subset  $H \subseteq G$ .

- $C_G(H) = \bigcap_{g \in H} C_G(g)$ .
- $C_G(H) \leq G$  holds.

The following may be shown, for a subgroup  $H \leq G$ .

- $C_G(H) \subseteq N_G(H)$  holds.
- $H \trianglelefteq N_G(H)$  holds.
- $N_G(H) \leq G$  holds.

The proofs of the above are left as an exercise to the reader.

## 2.4 The Isomorphism Theorems

These are important theorems that hold regarding isomorphisms. In particular, they describe the relationships between different quotient groups and subgroups. Before we encounter the actual theorems, we establish a minor result.

**Proposition 2.27.** *Let  $f : G \rightarrow H$  be a group homomorphism and let  $K = \ker f$ . Then  $K \trianglelefteq G$ . Moreover,  $K = \{e\}$  if and only if  $f$  is injective.*

*Proof.* The first part follows from the fact that  $g^{-1}Kg \subseteq K$  for all  $g \in G$ . For the second part, if  $f$  is injective, then  $\ker f = \{e\}$  since  $f(g) = e_H$  implies  $g = e_G$ . Conversely, suppose  $K = \{e\}$  and let  $f(g) = f(g')$  for some  $g, g' \in G$ . Then

$$f(g^{-1}g') = f(g^{-1})f(g') = f(g)^{-1}f(g) = e_H \implies g^{-1}g' = e_G \implies g' = g. \quad (2.25)$$

■

### 2.4.1 First Isomorphism Theorem

**Theorem 2.28** (The first isomorphism theorem). *Let  $f : G \rightarrow H$  be a group homomorphism. Then the map  $\tilde{f} : G/K \rightarrow \text{Im } f$  sending  $gK \mapsto f(g)$  is a well-defined isomorphism where  $K = \ker f$ . Bluntly,*

$$G/\ker f \cong \text{Im } f. \quad (2.26)$$

*Proof.* We first show that  $\tilde{f}$  is well-defined. Suppose  $gK = g'K$  for some  $g, g' \in G$ . Then

$$g^{-1}g' \in K \implies f(g^{-1}g') = e_H \implies f(g) = f(g'). \quad (2.27)$$

To show  $\tilde{f}$  is a homomorphism, let  $aK, bK \in G/K$ . Then

$$\tilde{f}(aK \cdot bK) = \tilde{f}((ab)K) = f(ab) = f(a)f(b) = \tilde{f}(aK) \cdot \tilde{f}(bK). \quad (2.28)$$

Finally, we show  $\tilde{f}$  is bijective. Let  $h \in \text{Im } f$ . Then there exists  $g \in G$  such that  $f(g) = h$ . We claim that  $\tilde{f}(gK) = h$ . Indeed,

$$\tilde{f}(gK) = f(g) = h. \quad (2.29)$$

Thus,  $\tilde{f}$  is surjective. To show injectivity, suppose  $\tilde{f}(gK) = \tilde{f}(g'K)$  for some  $g, g' \in G$ . Then

$$f(g) = f(g') \implies g^{-1}g' \in K \implies gK = g'K. \quad (2.30)$$

Therefore,  $\tilde{f}$  is injective. We conclude that  $\tilde{f}$  is a bijection. ■

*August 14th.*

**Example 2.29.** We discuss the *Heisenberg group*

$$H = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \mid a, b, c \in \mathbb{R} \right\}. \quad (2.31)$$

The group operation here is matrix multiplication, and one can see that  $H \leq SL_3(\mathbb{R})$ . Here, the center of  $H$  is precisely

$$Z(H) = \left\{ \begin{pmatrix} 1 & 0 & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \mid b \in \mathbb{R} \right\}. \quad (2.32)$$

If we look at the map  $f : H \rightarrow \mathbb{R}^2$  that sends

$$\begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \mapsto (a, c) \quad (2.33)$$

then  $f$  is a group homomorphism since

$$f(AA') = (a + a', c + c') = (a, c) + (a', c') = f(A) + f(A'). \quad (2.34)$$

Moreover,  $\text{Im } f = \mathbb{R}^2$  and  $\ker f = Z(H)$ . Hence, by the first isomorphism theorem, we have

$$H/Z(H) \cong \mathbb{R}^2 \quad (2.35)$$

with the map  $\tilde{f} : H/Z(H) \rightarrow \mathbb{R}^2$  given by  $\tilde{f}(AZ(H)) = f(A)$ . Note that  $\mathbb{R}^2$  is an abelian group, and so is  $H/Z(H)$ . Hence,  $Z(H/Z(H)) = H/Z(H)$ .

## Commutator Subgroup

**Definition 2.30.** Let  $G$  be a group. Then

$$(G : G) := \langle \{ghg^{-1}h^{-1} \mid g, h \in G\} \rangle \quad (2.36)$$

is termed the *commutator subgroup* of  $G$ .

Of course, the name suggests that  $(G : G)$  is a subgroup of  $G$ . In fact, it is actually a normal subgroup of  $G$ .

**Proposition 2.31.**  $(G : G)$  is a normal subgroup of  $G$ . Moreover  $G/(G : G)$  is abelian. Let  $f : G \rightarrow A$  be a group homomorphism with an abelian group  $A$ . Then  $(G : G) \subseteq \ker f$  and there exists  $\bar{f} : G/(G : G) \rightarrow A$  such that  $f = \bar{f} \circ q$  where  $q : G \rightarrow G/(G : G)$  is the quotient map.

*Proof.* Let  $x \in G$ . Then we have

$$\begin{aligned} x^{-1}(ghg^{-1}h^{-1})x &= x^{-1}gxx^{-1}hxx^{-1}g^{-1}xx^{-1}h^{-1}x = (x^{-1}gx)(x^{-1}hx)(x^{-1}g^{-1}x)(x^{-1}h^{-1}x) \\ &= aba^{-1}b^{-1} \in (G : G) \text{ where } a = x^{-1}gx \text{ and } b = x^{-1}hx. \end{aligned} \quad (2.37)$$

Thus, if  $S = \{ghg^{-1}h^{-1} \mid g, h \in G\}$ , then  $x^{-1}Sx \subseteq (G : G)$  for all  $x \in G$ . We now show that  $(G : G)$  is a subgroup. Let  $a \in (G : G)$ . Then  $a = b_1 \cdots b_n$ , where  $b_i \in S$ , and

$$x^{-1}ax = x^{-1}b_1xx^{-1}b_2x \cdots x^{-1}b_nx \in (G : G) \quad (2.38)$$

since  $x^{-1}b_ix \in (G : G)$  for all  $i$ . Thus,  $x^{-1}(G : G)x \subseteq (G : G)$  for all  $x \in G$ , showing  $(G : G) \trianglelefteq G$ . Hereforth, in this example, let  $C = (G : G)$ . We now show  $G/C$  is abelian. This is simple enough since  $ghg^{-1}h^{-1} = gh(hg)^{-1} \in C$  implies  $gChC = ghC = hgC = hCgC$ .

Now let  $f : G \rightarrow A$  be a group homomorphism with  $A$  an abelian group. We then have

$$f(ghg^{-1}h^{-1}) = f(g)f(h)f(g^{-1})f(h^{-1}) = f(g)f(h)f(g)^{-1}f(h)^{-1} = e_A. \quad (2.39)$$

Thus,  $S \subseteq \ker f$  implying  $(G : G) \subseteq \ker f$ . Finally, we show that  $\bar{f} : G/C \rightarrow A$  defined as  $gC \mapsto f(g)$  is an isomorphism. To show  $\bar{f}$  is well-defined, we have  $gC = hC \Leftrightarrow gh^{-1} \in C \subseteq \ker f$  showing  $f(gh^{-1}) = e_A$  or  $f(g) = f(h)$ . To show a homomorphism, for  $g, h \in G$ , we have

$$\bar{f}(gChC) = \bar{f}(gh) = f(g)f(h) = \bar{f}(gC)\bar{f}(hC). \quad (2.40)$$

Moreover,  $\bar{f} \circ q(g) = \bar{f}(gC) = f(g)$  for all  $g \in G$ , so  $\bar{f} \circ q = f$ . ■

**Remark 2.32.** A few corollaries, we have

- If  $G$  is abelian then  $(G : G) = \{e\}$ .
- For  $H$ , the Heisenberg group, we have  $(H : H) = Z(H)$ .
- $(G/(G : G) : G/(G : G)) = \{e\}$ .
- If  $H \leq G$  then  $|H| \mid |G|$ . The *index* of  $H$  in  $G$  is defined as  $[G : H] = |G/H|$ . It is also equal to  $\frac{|G|}{|H|}$  if  $|G|$  is finite.

## 2.4.2 Second and Third Isomorphism Theorems

**Theorem 2.33** (The *second isomorphism theorem*). Let  $G$  be a group and  $A, B \leq G$  be subgroups such that  $A \leq N_G(B)$ . Then  $AB \leq G$ ,  $B \trianglelefteq AB$ , and  $A \cap B \trianglelefteq A$ . Moreover,

$$AB/B \cong A/(A \cap B). \quad (2.41)$$

*Proof.* Firstly, we have  $A \leq N_G(B)$  and  $B \trianglelefteq N_G(B) = \{g \in G \mid gB = Bg\}$ . Thus,  $AB \leq N_G(B)$ . Of course, this also means  $AB \leq G$ . Also,  $B \trianglelefteq AB$  since  $B \trianglelefteq N_G(B)$  and  $AB \subseteq N_G(B)$ .

We now define a map  $f : A \rightarrow AB/B$  that maps  $a \mapsto aB$  since  $a \in A \subseteq AB$ .  $f$  is a group homomorphism. Thus, by the first isomorphism theorem,

$$\bar{f} : A/\ker f \rightarrow \text{Im } f \quad (2.42)$$

is an isomorphism. It is enough to show that  $\ker f = A \cap B$  and  $f$  is surjective. Again, simple to see since

$$\ker f = \{a \in A \mid aB = B\} = \{a \in A \mid a \in B\} = A \cap B; \quad (2.43)$$

for surjectivity, let  $x \in AB/B$ . Then  $x = abB$  for some  $a \in A$  and  $b \in B$ . But  $abB$  is simply  $aB$  so we simply have  $abB = aB = f(a)$ . ■

**Corollary 2.34.** For  $G$  a group with  $A, B \leq G$ , we have  $[AB : B] = [A : A \cap B]$ .

Finally, we move on to the third theorem.

**Theorem 2.35** (The *third isomorphism theorem*). Let  $G$  be a group and let  $H, K \trianglelefteq G$  such that

$K \leq H$ . Then  $H/K \trianglelefteq G/K$  and

$$\frac{G/K}{H/K} \cong G/H. \quad (2.44)$$

*Proof.* We define a map  $f : G/K \rightarrow G/H$  via  $gK \mapsto gH$ . This is well-defined since if  $gK = g'K$ , then  $g^{-1}g' \in K \subseteq H$  and hence  $gH = g'H$ . We now show that  $f$  is a group homomorphism. For  $gK, hK \in G/K$ , we have

$$f(gKhK) = f(ghK) = ghH = gHhH = f(gK)f(hK). \quad (2.45)$$

$f$  is also surjective as  $gH = f(gK)$  for all  $g \in G$ . By the first isomorphism theorem, we have

$$\frac{G/K}{\ker f} \cong \text{Im } f = G/H \quad (2.46)$$

where the isomorphism is given by the map  $gK \ker f \mapsto gH$ . Since  $\ker f = \{gK \mid gH = H\} = \{gK \mid g \in H\} = H/K$ , our proof is complete. ■

## Chapter 3

# GROUP ACTIONS

### 3.1 An Overview

Let  $G$  be a group and  $S$  be a set. A *(left) group action* or  $G$ -action on  $S$  is a function  $\theta : G \times S \rightarrow S$  satisfying

1.  $\theta(g_1 g_2, x) = \theta(g_1, \theta(g_2, x))$  for all  $g_1, g_2 \in G$  and  $x \in S$ .
2.  $\theta(e_G, x) = x$  for all  $x \in S$ .

In practice, we prefer to write  $\theta$  simply as  $(g, x) \mapsto gx$ . Thus, the axioms are simply  $g_1(g_2 x) = (g_1 g_2)x$  and  $ex = x$  for all  $g_1, g_2 \in G$  and  $x \in S$ . Unless there are multiple different group actions on  $S$  in context, we will stick with this notation instead. In this case,  $S$  is called a  $G$ -set.

*August 19th.*

**Remark 3.1.** • Let  $\varphi_g : S \rightarrow S$  sending  $x \mapsto gx$  for all  $g \in G$  and  $x \in S$ . Then  $\varphi_g$  is a bijection for each  $g \in G$ . This can be shown simply by considering the maps  $\varphi_{g^{-1}} \circ \varphi_g$ .

- Let  $G$  be a group and  $X$  be a  $G$ -set. Then the map  $\psi_\theta : G \rightarrow \text{Bij}(X)$  given by  $g \mapsto \theta(g, *) = \varphi_g(*)$  is a group homomorphism. Here,  $\text{Bij}(X)$  denotes the set (group) of bijections from  $X$  to itself.
- Let  $\psi : G \rightarrow \text{Bij}(X)$  be a group homomorphism. Also let  $\theta_\psi : G \times X \rightarrow X$  be a map defined as  $\theta_\psi(g, x) = \psi(g)(x)$ . Then  $\theta$  is a  $G$ -action on  $X$ .

One can show that  $\theta_{\psi_\theta} = \theta$  and  $\psi_{\theta_\psi} = \psi$ ; if one starts with the action, the homomorphism can be obtained and vice versa.

$$\theta_{\psi_\theta}(g, x) = \psi_\theta(g)(x) = \theta(g, x) \text{ for all } g \in G, x \in X, \quad (3.1)$$

$$\psi_{\theta_\psi}(g)(x) = \theta_\psi(g, x) = \psi(g)(x) \text{ for all } g \in G, x \in X. \quad (3.2)$$

**Example 3.2.** • Let  $H \leq S_n$ . Then  $H$  acts on  $\{1, 2, \dots, n\}$  as  $(\sigma, i) \mapsto \sigma(i)$  for all  $\sigma \in H$  and  $i \in \{1, 2, \dots, n\}$ .

- Naturally,  $GL_n(\mathbb{R}) \times \mathbb{R}^n \rightarrow \mathbb{R}^n$  acts as  $(A, x) \mapsto Ax$  for all  $A \in GL_n(\mathbb{R})$  and  $x \in \mathbb{R}^n$ .
- More generally, let  $f : G \rightarrow GL_n(\mathbb{R})$  be a homomorphism of groups. Then the induced action of  $G$  on  $\mathbb{R}^n$  is given by  $(g, x) \mapsto f(g)(x)$  for all  $g \in G$  and  $x \in \mathbb{R}^n$ .
- Let  $G$  be a group and  $H \leq G$ . Also let  $X = G/H = \{gH \mid g \in G\}$  be the set of left cosets of  $H$  in  $G$ . Then  $G$  acts on  $X$  as  $(g, g'H) \mapsto gg'H$  for all  $g, g' \in G$ .

### 3.2 Orbits and Stabilizers

**Definition 3.3.** Let  $G$  be a group and  $X$  a  $G$ -set. Then, for  $x \in X$ , the  $G$ -orbit (or *orbit*) of  $x$  is defined as

$$\mathcal{O}(x) = Gx := \{gx \mid g \in G\} = \{\theta(g, x) \mid g \in G\} \subseteq X. \quad (3.3)$$

If  $Gx = X$  for some  $x \in X$ , then the  $G$ -action on  $X$  is said to be a *transitive action*.

For example, if  $H \leq G$ , then the  $G$ -action on  $G/H$  is transitive.

**Proposition 3.4.** Let  $G$  be a group acting on a set  $X$ . For  $x, y \in X$ , we say  $x \sim y$  if there exists  $g \in G$  such that  $gx = y$ . Then  $\sim$  is an equivalence relation on  $X$ , and the equivalence classes are simply the orbits;

$$[x] = \{y \in X \mid y \sim x\} = Gx. \quad (3.4)$$

*Proof.*  $g \sim g$  since  $eg = g$ . If  $x \sim y$ , then  $gx = y$  for some  $g \in G$  implies  $x = g^{-1}y$  or  $y \sim x$ . If  $x \sim y$  and  $y \sim z$ , then  $gx = y$  and  $hy = z$  for some  $g, h \in G$ , so  $(hg)x = z$  showing  $x \sim z$ . ■

These orbits of  $G$ -action on  $X$  give a partition of  $X$ .

**Definition 3.5.** Let a group  $G$  act on a set  $X$ . For  $x \in X$ , the *stabilizer* of  $x$  in  $G$  is defined as

$$\text{Stab}_G(x) = \text{Stab}(x) := \{g \in G \mid gx = x\} = \{g \in G \mid \theta(g, x) = x\} \subseteq G. \quad (3.5)$$

**Proposition 3.6.**  $\text{Stab}_G(x) \leq G$  for all  $x \in X$ .

*Proof.* Clearly,  $e_G \in \text{Stab}_G(x)$  since  $ex = x$ . If  $g, h \in \text{Stab}_G(x)$ , then  $(gh)x = g(hx) = gx = x$ , so  $gh \in \text{Stab}_G(x)$ . Note that if  $g \in \text{Stab}_G(x)$ , then  $gx = x$  implies  $x = g^{-1}x$ , or  $g^{-1} \in \text{Stab}_G(x)$ .  $\text{Stab}_G(x)$  is therefore a subgroup. ■

**Example 3.7.** • If  $G$  acts on  $G/H$ , then  $\text{Stab}(H) = H$  and  $\text{Stab}(gH) = \{x \in G \mid xgH = gH\} = gHg^{-1}$ .

• Regarding the group action  $S_n$  on  $\{1, 2, \dots, n\}$ ,  $\text{Stab}(n) = \{\sigma \in S_n \mid \sigma(n) = n\} = S_{n-1}$ .

**Proposition 3.8.** We state two results.

1. Let a group  $G$  act on a set  $X$ . For  $x \in X$ , the set  $G/\text{Stab}(x)$  is in bijection with  $Gx$ , the orbit of  $x$ .
2. Let  $x, y \in X$  be in the same orbit. Then  $\text{Stab}_G(x) = g\text{Stab}_G(y)g^{-1}$ , where  $g \in G$  is such that  $x = gy$ .

*Proof.* 1. Let  $H = \text{Stab}(x)$ . Let  $gH \in G/H$ . We show that the map  $\psi : G/H \rightarrow Gx$  defined as  $gH \mapsto gx$  is the required bijection.  $\psi$  is well-defined since if  $gH = g'H$  for some  $g, g' \in G$ , then  $g^{-1}g'x = x$  implies  $g^{-1}g' \in H = \text{Stab}(x)$ , so  $g'x = gx$ . The converse argument is also true, showing  $\psi$  is well-defined and one-one. Also, for  $y \in Gx$ , there exists  $g \in G$  such that  $y = gx = \psi(gH)$ ;  $\psi$  is also onto.

2. Let  $x, y$  be in the same orbit; that is, there exists  $g \in G$  such that  $x = gy$ . Suppose  $h \in \text{Stab}(x)$ , or  $hx = x$ . This implies  $hgy = gy \Rightarrow g^{-1}hgy = y$ , so  $g^{-1}hg \in \text{Stab}(y)$ , showing  $h \in g\text{Stab}(y)g^{-1}$ . The converse argument also holds. ■

**Corollary 3.9** (The *orbit-stabilizer theorem*).  $|G/\text{Stab}(x)| = |Gx|$ , that is,  $|G| = |\text{Stab}(x)| \cdot |Gx|$ .

**Definition 3.10.** Let  $G$  be a group acting on a set  $X$ . The action is termed a *faithful action* if  $\{g \in G \mid gx = x \text{ for all } x \in X\} = \{e_G\}$ , that is, the only element of  $G$  that fixes every point in  $X$  is the identity element.

The above definition is equivalent to saying that the induced group homomorphism  $G \rightarrow \text{Bij}(X)$  is injective.

**Definition 3.11.** The *kernel of an action*  $G$  acting on  $X$  is defined as

$$K = \{g \in G \mid gx = x \text{ for all } x \in X\}. \quad (3.6)$$

Note that if the action is  $\theta$ , then  $K = \ker \psi_\theta$ .

One may show that

$$K = \bigcap_{x \in X} \text{Stab}_G(x). \quad (3.7)$$

For example, the kernel of  $G$ -action on  $G/H$  is  $K = \bigcap_{g \in G} gHg^{-1} \trianglelefteq G$ . Note that, here in this example,  $K$  is the largest subgroup of  $G$  contained in  $H$ .

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**Proposition 3.12.** Let  $G$  be a finite group. Let  $H \leq G$  be a subgroup such that  $[G : H]$  is the smallest prime dividing  $|G|$ . Then  $H$  is a normal subgroup of  $G$ .

*Proof.* Suppose  $G$  acts on  $G/H$  via  $(g, xH) \mapsto gxH$ . Letting  $p = [G : H]$ , this induces a group homomorphism  $\varphi : G \rightarrow \text{Bij}(G/H) \cong S_p$ . Also  $K = \ker \varphi \subseteq H$  with  $K \trianglelefteq G$ . By the first isomorphism theorem, we work as

$$G/K \cong \text{Im } \varphi \Rightarrow |\text{Im } \varphi| = |G/K| = \frac{|G|}{|K|} = \frac{|G|}{|H|} \frac{|H|}{|K|} = [G : H][H : K]. \quad (3.8)$$

But  $|\text{Im } \varphi|$  divides  $|S_p| = p!$ , so  $p[H : K] \mid p! \Rightarrow [H : K] \mid (p-1)!$ . Since  $p$  is the smallest prime dividing  $|G|$ , we must have  $[H : K] = 1$ , showing  $K = H$ . ■

One can show a different proof for a proposition we studied earlier.

**Proposition 3.13.** Let  $\sigma \in S_n$ . Then  $\sigma$  is a product of disjoint cycles.

*Proof.* Let  $H = \langle \sigma \rangle \subseteq S_n$ . Then  $H$  acts on  $\{1, 2, \dots, n\}$ . Let  $O_1, \dots, O_r$  be the orbits of  $H$ -action on  $\{1, 2, \dots, n\}$ . We claim that  $O_i = \{x_i, \sigma x_i, \sigma^2 x_i, \dots, \sigma^{d_i-1} x_i\}$ , where  $|O_i| = d_i$  for  $1 \leq i \leq r$ . If it so happens, then

$$\sigma = (x_1 \sigma x_1 \cdots \sigma^{d_1-1} x_1)(x_2 \sigma x_2 \cdots \sigma^{d_2-1} x_2) \cdots (x_r \sigma x_r \cdots \sigma^{d_r-1} x_r). \quad (3.9)$$

Note that  $\{x_i, \sigma x_i, \dots, \sigma^{d_i-1} x_i\} \subseteq O_i$ ; if  $\sigma^a x_i \neq \sigma^b x_i$  for  $a \neq b$ , then the elements are distinct. Otherwise,  $\sigma^a x_i = \sigma^b x_i$  implies  $\sigma^{b-a} x_i = x_i$ . Then  $O_i = \{x_i, \sigma x_i, \dots, \sigma^{b-a-1} x_i\}$  which contradicts  $|O_i| = d_i$  and  $\sigma^{d_i} x_i = x_i$ . The disjoint cycle decomposition then follows since  $\bigcup_{i=1}^n O_i = \{1, 2, \dots, n\}$  and  $O_i \cap O_j = \emptyset$  for  $i \neq j$ . ■



### 3.3 Conjugation

The *conjugation action* of  $G$  on  $\mathcal{P}(G)$ , the power set of  $G$ , is defined as  $G \times \mathcal{P}(G) \rightarrow \mathcal{P}(G)$  given by  $(g, S) \mapsto gSg^{-1} = \{gsg^{-1} \mid s \in S\}$ . This is, indeed, an action since

$$(g_1g_2) \cdot S = (g_1g_2)S(g_1g_2)^{-1} = g_1(g_2Sg_2^{-1})g_1^{-1} = g_1 \cdot (g_2Sg_2^{-1}) = g_1 \cdot (g_2 \cdot S) \text{ and } e_G \cdot S = S. \quad (3.10)$$

This action gives one an action on  $G$  on itself as

$$G \times G \rightarrow G, \quad (g, x) \mapsto gxg^{-1}. \quad (3.11)$$

Orbits of this action are termed *conjugacy classes*. For example, the conjugacy class of  $e_G$  is  $\{e_G\}$ . In general, the conjugacy class of  $x \in G$  is  $\{x\}$  if and only if  $x \in Z(G)$ , that is, it commutes with every element.

**Example 3.14.** In  $S_3$ , the conjugacy classes are as follows:

- The class of the identity:  $\{e_{S_3}\}$ .
- The class of the transpositions:  $\{(1\ 2), (2\ 3), (1\ 3)\}$ .
- The class of the 3-cycles:  $\{(1\ 2\ 3), (1\ 3\ 2)\}$ .

Note that elements belonging to the same conjugacy class have the same order; this is always true for conjugacy classes of any group. A necessary, but not sufficient, condition for a subset to be a conjugacy class is that the cardinality of the subset must divide the order of the group by the orbit-stabilizer theorem.

**Proposition 3.15.** *Two permutations  $\sigma, \tau \in S_n$  belong to the same conjugacy class if their disjoint cycle decompositions are of the same type; that is, they have the same number of cycles and they have the same cycle lengths.*

*Proof.* We may assume  $\sigma = (1 \ \cdots \ d_1)(d_1 + 1 \ \cdots \ d_1 + d_2) \cdots (d_1 + \cdots + d_{r-1} + 1 \ \cdots \ n)$  and  $\tau = (i_1 \ \cdots \ i_{d_1})(i_{d_1+1} \ \cdots \ i_{d_1+d_2}) \cdots (i_{d_1+\cdots+d_{r-1}+1} \ \cdots \ n)$ , where  $1 \leq i_1, \dots, i_n$  are distinct, and the cycle lengths in  $\sigma$  are  $d_1, d_2, \dots, d_r$  such that  $d_1 + d_2 + \cdots + d_r = n$ . Moreover, we may assume that  $d_1 \leq d_2 \leq \cdots \leq d_r$ .

Let  $g \in S$  such that  $g(j) = i_j$  for all  $1 \leq j \leq n$ . Then

$$g\sigma g^{-1}(i_j) = g(\sigma(j)) = \begin{cases} g(j+1) & \text{if } j \notin \{d_1, d_1 + d_2, \dots, d_1 + \cdots + d_{r-1}, n\}, \\ g(d_1 + \cdots + d_{l-1} + 1) & \text{if } j = d_1 + \cdots + d_l \text{ for } l = 1, 2, \dots, r. \end{cases} \quad (3.12)$$

The cases are essentially  $i_{j+1}$  and  $i_{d_1+\cdots+d_{l-1}+1}$ . But this is  $\tau(i_j)$  for every  $i_j$ , showing  $g\sigma g^{-1} = \tau$ . ■

Let  $G$  be a finite group. Then

$$|G| = |Z(G)| + \sum_{\substack{[g] \text{ non-trivial} \\ \text{conjugacy classes}}} [G : C_G(g)]. \quad (3.13)$$

This is known as the *class-equation*.

*Proof.*  $G$  acts on  $G$  via conjugacy. If  $x \in Z(G)$ , then the conjugacy class of  $x$ ,  $[x] = \{x\}$ . If  $x$  is not in the centre, then  $[x]$  is non-trivial. Moreover, by the orbit-stabilizer theorem,  $|[x]| = [G : \text{Stab}(x)]$ . But  $\text{Stab}(x) = \{g \in G \mid gxg^{-1} = x\} = C_G(x)$ , the centralizer of  $x$  in  $G$ . Thus,  $|[x]| = [G : C_G(x)]$ . The class equation follows by summing over all non-trivial conjugacy classes and adding the size of the centre. ■

The above result seem very trivial and straightforward, but proves to be useful in various contexts.

**Remark 3.16.**

Let  $H \leq G$ ; the normalizer is then  $N_G(H) = \{g \in G \mid gHg^{-1} = H\}$ . If  $G$  acts on  $\mathcal{P}(G)$  via

conjugation, then  $N_G(H) = \text{Stab}(H)$  with respect to this action.

Recall that  $G$  acts on  $G$  trivially via  $(g, x) \mapsto gx$ . This is then a transitive action; moreover, it is also a faithful action. This leads to a very important theorem in group theory.

**Theorem 3.17** (*Cayley's theorem*). *Every finite group is isomorphic to a subgroup of  $S_n$  for some  $n$ .*

*Proof.* The above  $G$ -action on itself is faithful; hence, the group homomorphism  $\psi : G \rightarrow \text{Bij}(G) \cong S_{|G|}$  is injective, and  $G \cong \text{Im } \psi \cong H \leq S_{|G|}$ . ■

**Definition 3.18.** Let  $p$  be a prime. A finite group  $G$  is called a  $p$ -group if  $|G| = p^n$  for some  $n \geq 0$ .

Of course, for  $n = 1$ ,  $G$  is isomorphic to  $\mathbb{Z}/p\mathbb{Z}$ . For  $|G| = p^2$ ,  $G$  is also abelian.

**Proposition 3.19.** *If  $G$  is a  $p$ -group then  $Z(G)$  is non-trivial.*

*Proof.* This follows immediately from the class-equation since if  $Z(G)$  is trivial, then  $p$  divides  $|G|$  and the summation, but  $p \nmid |Z(G)| = 1$ , contradicting the class-equation. ■

Thus,  $Z(G)$  contains at least  $p$  elements for a  $p$ -group  $G$ . One can show that if  $G/Z(G)$  is cyclic then  $G$  is abelian.

*August 26th.*

**Proposition 3.20.** *Let  $G$  be a group and  $N \trianglelefteq G$ . Then there is a natural bijection between subgroups of  $G/N$  and subgroups of  $G$  containing  $N$ .*

*Proof.* Define a map  $q : G \rightarrow G/N$ . Let  $H \leq G$  such that  $N \subseteq H$  and  $q(H) \leq G/N$ ; subgroups of  $G$  containing  $N$  are mapped to subgroups of  $G/N$ . Let the image be  $\bar{H} \leq G/N$ . Then  $q^{-1}(\bar{H}) = \{h \in G \mid q(h) \in \bar{H}\}$  is a subgroup of  $G$  containing  $q^{-1}(e_{G/N}) = N$ . Let  $g, g' \in q^{-1}(\bar{H})$ , that is,  $q(g), q(g') \in \bar{H}$ . Then  $q(gg') = q(g)q(g') \in \bar{H}$ , so  $gg' \in q^{-1}(\bar{H})$ . Also, if  $g \in q^{-1}(\bar{H})$ , then  $q(g) \in \bar{H}$  implies  $q(g^{-1}) = q(g)^{-1} \in \bar{H}$ , so  $g^{-1} \in q^{-1}(\bar{H})$ . Thus,  $q^{-1}(\bar{H})$  is a subgroup of  $G$  containing  $N$ . The maps  $H \mapsto q(H)$  (denoted by  $\phi$ ) and  $\bar{H} \mapsto q^{-1}(\bar{H})$  (denoted by  $\psi$ ) are inverses of each other. To show this, let  $N \subseteq H \leq G$ . Then  $\phi(H) = q(H)$ , and  $H \subseteq q^{-1}(q(H)) = \psi(q(H))$ .

Conversely, let  $\bar{H} \leq G/N$ . Then  $\psi(\bar{H}) = q^{-1}(\bar{H})$  is a subgroup of  $G$  containing  $N$ . Applying  $\phi$ , we have  $\phi(\psi(\bar{H})) = q(q^{-1}(\bar{H})) = \bar{H}$ . Similarly, for  $H \leq G$  containing  $N$ ,  $\psi(\phi(H)) = q^{-1}(q(H)) = H$ . Thus,  $\phi$  and  $\psi$  are inverses, establishing the bijection. ■

**Theorem 3.21** (*Cauchy's theorem*). *Let  $p \mid |G|$  for a prime  $p$ . Then there exists  $x \in G$  such that  $|x| = p$ .*

*Proof.* We perform induction on  $|G|$ . If  $G$  is abelian, pick a non-identity  $x \in G$ . If  $|x|$  is  $mp$  for some  $m$ , then  $x^m$  has order  $p$ . If  $|x|$  is not a multiple of  $p$ , then  $H = \langle x \rangle \trianglelefteq G$  and  $p \mid |G/H|$ . By induction hypothesis, there exists  $yH \in G/H$  such that  $|yH| = p$ . Thus,  $(yH)^p = e_{G/H} = H$  or  $y^p H = H$ ;  $y^p$  must belong in  $H$ . This tells us that  $y^p = x^r$  for some  $r$ , and  $m = |x^r|$  is coprime to  $p$  as  $(|x|, p) = 1$ . Thus,  $(y^p)^m = e_G$ . We claim that  $p \mid |y|$ . If not, then  $y^r = e$  for some  $p \nmid r$  and  $(yH)^r = H$ . But  $|yH| = p$ , a contradiction. Hence,  $|y| = pn$  for some  $n$ , and  $|y^n| = p$ .

For the general case, let  $Z(G) \trianglelefteq G$  be the centre of  $G$ . If  $|Z(G)| \neq 1$ , then consider two cases; if  $p \mid |Z(G)|$ , then there exists  $x \in Z(G) \subseteq G$  such that  $|x| = p$ . If  $p \nmid |Z(G)|$ , then  $p \mid |G/Z(G)|$  and there exists  $yZ(G) \in G/Z(G)$  such that  $|yZ(G)| = p$  by induction hypothesis. The same argument as before works leading to the claim that  $|y|$  is a multiple of  $p$  where  $y$  is such that  $q(y) = yZ(G)$ , and that  $|y^n| = p$  for some  $n$ .

If  $Z(G) = \{e\}$ , the class equation gives  $|G| = |Z(G)| + \sum_{[x]: [G:C_G(x)] \neq 1} [G : C_G(x)]$ . SO there exists  $x \in G$  such that  $p \nmid [G : C_G(x)] > 1$  implying that  $p \mid |C_G(x)| < |G|$  since  $|G| = |C_G(x)| [G : C_G(x)]$ . By induction hypothesis there exists  $y \in C_G(x) \leq G$  such that  $|y| = p$ . ■

### 3.4 Sylow's Theorems

**Definition 3.22.** Let  $G$  be a finite group and  $p$  be a prime. Let  $|G| = p^n m$  where  $(m, p) = 1$  and  $n \geq 0$ . A subgroup  $H \leq G$  is called a  $p$ -Sylow subgroup of  $G$  if  $|H| = p^n$ .

In fact, such a subgroup always exists.

**Theorem 3.23** (Sylow's first theorem). Let  $G$  be a finite group and  $p$  be a prime. Then  $G$  has a  $p$ -Sylow subgroup.

*Proof.* We work similar to the proof of Cauchy's theorem; perform induction on  $|G|$ . We may assume  $n \geq 1$ . If  $p \nmid |Z(G)|$ , the class equation tells us

$$|G| = |Z(G)| + \sum_{\substack{[x] \text{ non-trivial} \\ \text{conjugacy classes}}} [G : C_G(x)]. \quad (3.14)$$

So there must exist  $x \in G$  such that  $p \nmid [G : C_G(x)] > 1$  implying that  $|C_G(x)| < |G|$ . Also,  $|C_G(x)| = p^n k$  for some  $k$ . By the induction hypothesis, there exists  $P \leq C_G(x)$  such that  $|P| = p^n$  and  $P \leq G$ .

If  $P \nmid |Z(G)|$ , then there exists  $H \leq Z(G)$  such that  $|H| = p$ . Then  $H \trianglelefteq G$  since  $H \subseteq Z(G)$ , giving us  $|G/H| = p^{n-1}m$ . So by the induction hypothesis there exists subgroup  $\bar{P} \leq G/H$  such that  $|\bar{P}| = p^{n-1}$ . Let  $q : G \rightarrow G/H$  be the quotient map. Let  $P = q^{-1}(\bar{P})$ . then  $|P| = |P/H| |H| = p^{n-1} \cdot p = p^n$ . Thus,  $P \leq G$  and  $|P| = p^n$ . ■

**Remark 3.24.** • Note that  $S_n$  acts on  $\{1, 2, \dots, n\}$  by permuting the elements. Any subgroup  $H \leq S_n$  is termed a *transitive subgroup* if the action of  $H$  on  $\{1, 2, \dots, n\}$  is transitive. For example,  $\langle (1\ 2\ 3) \rangle \leq S_3$  is transitive.  $H$  is termed a *2-transitive subgroup* if given any  $1 \leq i, j \leq n$  with  $i \neq j$ , there exists a permutation  $h \in H$  such that  $h(1) = i$  and  $h(2) = j$ . Since there does not exist  $\sigma \in \langle (1\ 2\ 3) \rangle$  such that  $\sigma(1) = 1$  and  $\sigma(2) = 3$ , the subgroup is not 2-transitive. One can show that  $H \leq S_n$  is 2-transitive if and only if  $\text{Stab}_H(i)$  acts transitively on  $\{1, 2, \dots, n\} \setminus \{i\}$  for all  $i \in \{1, 2, \dots, n\}$ .

- Suppose  $H$  acts on  $A := \{1, 2, \dots, n\}$ . A subset  $B \subseteq A$  is called a *block* if for all  $\sigma \in H$ , we have either  $\sigma(B) = B$  or  $\sigma(B) \cap B = \emptyset$ . Note that  $\{i\}$  is a block for all  $i \in A$ .  $A$  is also a block.  $H$  is termed a *primitive subgroup* if  $\{i\}$  and  $A$  are the only blocks. One can show that 2-transitive subgroups are primitive.

August 28th.

**Theorem 3.25** (Sylow's theorem). Let  $G$  be a finite group and  $P$  be a  $p$ -Sylow subgroup of  $G$  for a prime  $p$ .

- Let  $H$  be a  $p$ -subgroup of  $G$ . Then there exists  $g \in G$  such that  $H \leq g^{-1}Pg$ .
- All  $p$ -Sylow subgroups of  $G$  are conjugate to each other.
- Let  $n_p$  be the number of  $p$ -Sylow subgroups of  $G$ . Then  $n_p \equiv 1 \pmod{p}$  and  $n_p \mid [G : P]$ .

*Proof.* Let  $S = \{g^{-1}Pg \mid g \in G\}$ . Then  $G$  acts on  $S$  via conjugation.  $H$ , being a subgroup of  $G$ , also acts on  $S$ . Let  $Q \in S$ . Then  $\text{Stab}_H(Q) \leq H$ ; if this stabilizer is equal to  $H$ , then  $H \leq N_G(Q)$ . Also  $Q \leq N_G(Q)$ . Noting  $GQ = S$ , the orbit stabilizer theorem gives us

$$|S| |\text{Stab}_G(Q)| = |G| \implies |S| = [G : N_G(Q)] \implies |S| \mid [G : Q] \quad ([G : Q] = [G : P] = m). \quad (3.15)$$

Suppose  $\text{Stab}_H(Q)$  were a proper subgroup of  $H$  for all  $Q \in S$ . Then  $p$  divides the order of  $\{hQh^{-1} \mid h \in H\}$  since  $H$  is a  $p$ -subgroup. Since  $|S|$  is co-prime to  $p$ , the above is not possible; there exists  $P_0 \in S$  such that  $\text{Stab}_H(P_0) = H$ . This shows  $H \leq N_G(P_0)$  and  $P_0 \leq N_G(P_0)$  ( $P_0$  is a normal subgroup, in fact). Thus,  $HP_0 \leq N_G(P_0) \leq G$ .  $[HP_0 : P_0]$  is co-prime to  $p$ , and  $|H/(H \cap P_0)|$  is a power of  $p$ , and

$HP_0/P_0 \cong H/(H \cap P_0)$  leads us to conclude that  $[HP_0 : P_0] = 1$ . Thus,  $H \subseteq P_0$ , or  $H \leq g^{-1}Pg$  for some  $g \in G$ . Second part follows from the first part by taking  $H$  to be a  $p$ -Sylow subgroup. In particular,  $S$  turns out to be the set of all  $p$ -Sylow subgroups.

$P \leq G$  acts on  $S$  via conjugation.  $\{P\}$  is one of the orbits since  $gPg^{-1} = P$  for all  $g \in P$ . Let  $Q \in S$  and  $Q \neq P$ . If  $gQg^{-1} = Q$  for all  $g \in P$ , then  $P \leq N_G(Q)$  and  $PQ \leq N_G(Q) \leq G$ .  $[PQ : Q]$  is co-prime to  $p$  and  $PQ/Q \cong P/(P \cap Q)$ . Since  $P \neq Q$ ,  $|P/(P \cap Q)| \neq 1$  which implies that  $gQg^{-1} \neq Q$  for all  $g \in P$  or  $\text{Stab}_P(Q)$  is a proper subgroup of  $P$ . Thus  $|PQ| = |\{gQg^{-1} \mid g \in P\}|$  is a multiple of  $p$ . So 1  $P$ -orbit is  $\{P\}$  and  $P$ -orbits are of size multiple of  $p$ ; thus the class equation gives us  $n_p = \#S = \sum |\text{orbits}| \equiv 1 \pmod{p}$ . ■

### 3.4.1 Simple Groups

**Definition 3.26.** A group  $G$  is called a *simple group* if the only normal subgroups are  $G$  and  $\{e_G\}$ .

An example is  $\mathbb{Z}/p\mathbb{Z}$  for a prime  $p$ . One can show that  $A_n$  is simple for  $n \geq 5$ .

**Corollary 3.27.** Let  $G$  be a finite group and  $p$  a prime. If  $n_p$ , the number of  $p$ -Sylow subgroups of  $G$ , is unity, then  $P \trianglelefteq G$  where  $P$  is a  $p$ -Sylow subgroup.

$p$ -groups different from  $\mathbb{Z}/p\mathbb{Z}$  are not simple, since their center is non-trivial (and proper).

**Example 3.28.** Let  $G$  be a group of order 12. We show that  $G$  is not simple. For  $p = 3$ , the conditions of  $n_p \equiv 1 \pmod{p}$  and  $n_p \mid [G : P]$  tell us that  $n_3$  is 1 or 4. If  $n_3 = 1$ , then  $P \trianglelefteq G$  where  $P$  is a 3-Sylow subgroup. If  $n_3 = 4$ , then  $P$  is a 3-group and the number of elements of order 3 in  $P$  is 2. So there are 8 elements of order 3. Then the 2-Sylow subgroup is of order 4 containing the remaining 4 elements. Calling this group  $Q$ , we have  $Q \trianglelefteq G$ .

**Proposition 3.29.** Let  $G$  be a group of order  $pq$  or  $p^2q$ , where  $p$  and  $q$  are distinct primes. Then  $G$  is not simple.

*Proof.* We may assume  $p < q$ . If  $|G| = pq$ , then  $n_q \equiv 1 \pmod{q}$  and  $n_q \mid p$  implies  $n_q = 1$ . Thus,  $Q \trianglelefteq G$  where  $Q$  is a  $q$ -Sylow subgroup. For  $|G| = p^2q$ , if  $q < p$ , the above can be used. If  $p < q$ , then  $n_q$  is one of  $1, p, p^2$ . If  $n_q = 1$ , we are done.  $n_q$  cannot be  $p$  since  $n_q \equiv 1 \pmod{q}$ . The third case, where  $n_q = p^2$ , tells us that  $q \mid (p^2 - 1)$  or  $q \mid (p - 1)(p + 1)$ . Since  $q > p$ ,  $q \mid (p + 1)$  or  $q = p + 1$ . The only such case is when  $q = 3$  and  $p = 2$ . It follows from the previous example that a group  $G$  of order  $p^2q = 12$  cannot be simple. ■

**Proposition 3.30.** Let  $G$  be a group of order  $pqr$ , where  $p, q$ , and  $r$  are primes. Then  $G$  is not simple.

*Proof.* We may assume they are distinct; if not, the above proposition works. So we may take  $p < q < r$ . For  $G$  to be simple,  $n_p, n_q, n_r$  must be different from 1. The possibilities, thus, for  $n_r$  are  $p, q$ , or  $pq$ . But  $n_r \equiv 1 \pmod{r}$  implies that  $n_r = pq$ . If  $R$  and  $R'$  are  $r$ -Sylow subgroups, then  $R = R'$  or  $R \cap R' = \{e\}$ . Thus the number of elements of order  $r$  in  $G$  is at least  $pq(r - 1)$ . Also,  $n_q$  is either  $r$  or  $pr$  since  $p < q$ . By the same argument, the number of elements of order  $q$  in  $G$  is at least  $(q - 1)r$ . Similarly, the number of elements of order  $p$  in  $G$  is at least  $(p - 1)q$ . Summing up (and a 1 for the identity), we get

$$pqr - pq + qr - r + pq - q + 1 = pqr + qr - r - q + 1 > pqr \quad (3.16)$$

which is a contradiction to the fact that  $|G| = pqr$ . Hence, (at least) one of  $n_p, n_q$ , or  $n_r$  must be 1, showing  $G$  is not simple. ■

**Example 3.31.** For  $|G| = 24$ ,  $G$  is not simple. Let  $P$  be a 2-Sylow subgroup of  $G$ . Then  $P$  has order 8 and  $n_2$  is either 1 or 3. If  $n_2 = 1$ , we are done, so let us take  $n_2 = 3$  and  $n_3 = 4$ . 8 elements have order 3. Let  $P_1, P_2$ , and  $P_3$  be distinct. Then  $|P_1 \cap P_2| \leq 4$  and  $|P_1 \cup P_2| \geq 12$ .  $G$  acts transitively on

$A = \{P_1, P_2, P_3\}$  via conjugation. This gives a group homomorphism via  $\phi : G \rightarrow S_3$  and  $\text{Im } \phi \neq \{e\}$ . Thus  $\ker \phi$  is not the entirety of  $G$  and it's non-trivial. So  $G$  is not simple.

*September 2nd.*

Recall that the alternating groups  $A_n$  are simple for  $n \geq 5$ ; this is a group of order  $n!/2$ , or 60 and above. One can show that any group of order less than 60 is simple if and only if it is isomorphic to  $\mathbb{Z}/p\mathbb{Z}$  for some prime  $p$ . If the order of  $G$  is  $pqr$ ,  $pq$ , or  $p^n$ , then  $G$  is not simple. We use this to show that the previous statement.

If  $|G| = 2^3 \cdot 3 = 24$ , then we have already shown  $G$  is not simple. If  $|G| = 2^2 \cdot 3^2 = 36$ , then  $n_3$  is 1 or 4 and  $n_2$  is 1, 3, or 9. For simplicity, assume both are non-unity. If  $n_3 = 4$ , then  $G$  acts on 3-Sylow subgroups transitively which produces a non-trivial group homomorphism  $\phi : G \rightarrow S_4$ , with  $\ker \phi$  non-trivial and a proper subgroup of  $G$ , since  $|S_4| = 24$  and  $|G| = 36$ . Thus,  $G$  cannot be simple.

If we then look at  $|G| = 2^3 \cdot 5 = 40$ , then  $n_2 = 1, 5$  and  $n_5 = 1$ . Thus,  $G$  is not simple. For  $|G| = 2^4 \cdot 3 = 48$ , we have  $n_2 = 1$  or 3. If  $n_2 = 3$ , we proceed as before showing  $G$  not simple.  $|G| = 50, 51, 52, 54$  also involves casework. For  $|G| = 2^3 \cdot 7 = 56$ ,  $n_7 = 1$  or 8. If  $n_7 = 8$ , then the number of elements of order 7 in  $G$  is  $8 \cdot 6 = 48$ . This means that the 2-Sylow subgroup  $H$  is made of these remaining 8 elements, showing  $n_2 = 1$ .

### Alternating Groups

**Proposition 3.32.** *Let  $N \trianglelefteq G$ . Then  $N$  is the union of conjugacy classes, that is, if  $C$  is a conjugacy class in  $G$  then  $C \subseteq N$  or  $C \cap N = \emptyset$ .*

*Proof.* This is simple to see since  $g^{-1}Ng = N$  for all  $g \in G$ . ■

We show that  $A_n$ 's are simple for  $n \geq 5$  using the above proposition. For sake of completion, we note that  $A_n$  is defined to be the subgroup of  $S_n$  containing all even permutations, where a permutation is deemed even if it can be written as the product of an even number of transpositions.

**Proposition 3.33.** *Let  $\sigma \in S_n$  be a permutation. If  $\sigma = \tau_1 \tau_2 \cdots \tau_r = \tau'_1 \tau'_2 \cdots \tau'_s$  are two different expressions of  $\sigma$  as a product of transpositions, then  $r \equiv s \pmod{2}$ .*

By the above proposition, the sign of a permutation  $\text{sgn}(\sigma) = (-1)^r$  is well-defined.

*Proof.* Let  $\Delta = \Delta(x_1, \dots, x_n) = \prod_{1 \leq i < j \leq n} (x_i - x_j)$ . For  $\sigma \in S_n$ , define

$$\sigma(\Delta) := \Delta(x_{\sigma(1)}, \dots, x_{\sigma(n)}). \quad (3.17)$$

Let  $\tau = (1 \ 2)$ . Then  $\tau(\Delta) = -\Delta$ . Similarly, for any transposition  $\tau$ , we have  $\tau(\Delta) = -\Delta$ . If  $\sigma \in S_n$  with  $\sigma = \tau_1 \tau_2 \cdots \tau_r = \tau'_1 \tau'_2 \cdots \tau'_s$ , then we have

$$(-1)^r = \tau_1 \cdots \tau_r(\Delta) = \sigma(\Delta) = \tau'_1 \cdots \tau'_s(\Delta) = (-1)^s. \quad (3.18)$$

Thus,  $r \equiv s \pmod{2}$ . ■

**Proposition 3.34.**  *$A_n$  is generated by 3-cycles.*

*Proof.* If  $\sigma \in A_n$ , then  $\sigma = \tau_1 \tau_2 \cdots \tau_{2r-1} \tau_{2r}$  for some  $r$ , where each  $\tau_i$  is a transposition. If  $\tau_1 = (1 \ 2)$  and  $\tau_2 = (1 \ 3)$ , then  $\tau_1 \tau_2 = (1 \ 3 \ 2)$ . If  $\tau_1 = (1 \ 2)$  and  $\tau_2 = (3 \ 4)$ , then  $\tau_1 \tau_2 = (1 \ 2)(3 \ 4) = ((1 \ 2)(2 \ 3))((2 \ 3)(3 \ 4))$ , where each term is a 3-cycle. Thus,  $\sigma$  is a product of 3-cycles. ■

**Proposition 3.35.** *For  $n \geq 5$ , 3-cycles in  $A_n$  form a conjugacy class in  $A_n$ .*

*Proof.* Let  $\tau \in A_n$  be a 3-cycle. Then there exists a  $\sigma \in S_n$  such that  $\sigma^{-1}(1 \ 2 \ 3)\sigma = \tau$ . If  $\sigma \in A_n$ , we are done. If not, let  $\sigma' = (4 \ 5)\sigma$ . Then  $\sigma' \in A_n$  and  $\sigma'^{-1}(1 \ 2 \ 3)\sigma' = \tau$ . ■

**Theorem 3.36.**  $A_5$  is a simple group.

*Proof.* Here,  $|A_5| = 60$ . If  $N \trianglelefteq A_5$ , then it is a union of conjugacy classes. The conjugacy classes in  $A_5$  are  $\{e\}$ , the set of 3-cycles (of size 20),  $[(1\ 2)(3\ 4)]$  (of size 15). Via the orbit-stabilizer theorem,

$$|\text{Stab}_{S_5}((1\ 2)(3\ 4))| = \frac{5!}{15} = 8 \quad (3.19)$$

where  $S_n$  acts on itself via conjugation.  $A_n$  also acts on  $S_n$  via conjugation, and  $\text{Stab}_{A_5}((1\ 2)(3\ 4)) \leq \text{Stab}_{S_5}((1\ 2)(3\ 4))$ ,  $A_5$ . Thus the stabilizer in  $A_5$  can be of order 1 or 2 or 4 or 8. Since  $|A_5(1\ 2)(3\ 4)| \leq 15$ , the stabilizer cannot be 1 or 2, and since  $|A_5| = 60$ , the stabilizer cannot be 8. Thus the stabilizer in  $A_5$  must be of order 4. Thus,  $[(1\ 2)(3\ 4)]$  is a conjugacy class in  $A_5$  of size 15.

The number of 5-cycles in  $A_5$  is  $4! = 24$ . By a similar arguments, there are 2 conjugacy classes in  $A_n$  of size 12 each consisting of 5-cycles.

Now suppose  $N \neq \{e\}$ . If  $N$  contains the 3-cycles, then  $N$  must be  $A_5$  (since 3-cycles generate  $A_5$ ), so we let  $N \cap 3\text{-cycles} = \emptyset$ . Let  $(1\ 2)(3\ 4) \in N$ . Then  $(1\ 2)(3\ 5) \in N$  and  $(1\ 2)(3\ 4)(1\ 2)(3\ 5) = (3\ 5\ 4) \in N$  implies  $N$  contains all 3-cycles and must be  $A_5$ . So we also let the intersection of  $N$  with this conjugacy class be empty. If  $N$  contains half of the 5-cycles, then  $|N| = 13$  which is not possible since  $|N| \mid 60$ . If  $N$  contains all 5-cycles, then  $|N| = 25$ , which is again not possible. The only real possibility left is that  $A_5$  must be simple. ■

**Theorem 3.37.**  $A_n$  is a simple group for  $n \geq 5$ .

*Proof.* Let  $n \geq 6$ , and let  $\{e\} \neq N \leq A_n$ . It is enough to show that  $N$  must contain a 3-cycle. Let  $\sigma \in N$  be a non-identity element. Suppose  $\sigma = \tau_1 \cdots \tau_r$  where  $\tau_i$  are disjoint cycles. We deal with cases.

Case I, where at least two disjoint cycles are transpositions. We can assume that  $\tau_1 = (1\ 2)$  and  $\tau_2 = (3\ 4)$ , and  $\sigma = (1\ 2)(3\ 4)\tau$  and  $\tau$  does not contain any element in  $\{1, 2, 3, 4\}$ . Then

$$N \ni (1\ 2\ 3)\sigma(3\ 2\ 1)\sigma^{-1} = (1\ 2\ 3)(1\ 2)(3\ 4)\tau(3\ 2\ 1)\tau^{-1}(1\ 2)(3\ 4) = (1\ 3)(2\ 4). \quad (3.20)$$

Conjugating by a 3-cycle, we get

$$N \ni (1\ 3\ 5)(1\ 3)(2\ 4)(5\ 3\ 1)(1\ 3)(2\ 4) = (2\ 4)(3\ 5)(1\ 3)(2\ 4) = (1\ 3\ 5). \quad (3.21)$$

Thus,  $N$  contains a 3-cycle, and it must be equal to  $A_n$ . Case II, where exactly one of the cycles is a transposition, say,  $\tau_1$ . This implies that one of  $\tau_1, \dots, \tau_r$  has length at least 4. Thus,  $\sigma = (1\ 2\ 3\ 4 \cdots)\tau = \tau'\tau$  where  $\tau$  does not contain any element from  $\tau'$ . Thus,

$$N \ni (1\ 2\ 3)(1\ 2\ 3\ 4 \cdots)\tau(3\ 2\ 1)\tau^{-1}(1\ 2\ 3\ 4 \cdots)^{-1} = (1\ 2\ 3)(2\ 4\ 3) = (1\ 2\ 4). \quad (3.22)$$

$N$ , again, contains a 3-cycle implying it must be  $A_n$ . Case III, where none of the  $\tau_i$ 's are transpositions. If one of the  $\tau_i$ 's has length at least 4, then the same argument in Case II works. So we may assume  $\sigma$  is a product of disjoint 3-cycles. If  $\sigma$  is a 3-cycle, we are done. Otherwise, let  $\sigma = (1\ 2\ 3)(4\ 5\ 6)\tau$  where  $\tau$  is disjoint from the previous cycles. Then

$$N \ni (1\ 4\ 5)(1\ 2\ 3)(4\ 5\ 6)\tau(5\ 4\ 1)\tau^{-1}(6\ 5\ 4)(3\ 2\ 1) = (1\ 4\ 5)(6\ 5\ 2) = (1\ 4\ 5\ 2\ 6). \quad (3.23)$$

The argument for Case II can now be applied, since we have a cycle of length more than 4 in  $N$ . ■



## Chapter 4

# THE STRUCTURE OF GROUPS

### 4.1 Free Groups

*September 16th.*

Let  $S = \{a_1, a_2, \dots\}$  be a non-empty set of letters. Our objective is to define a (free) group on  $S$ . Note that  $S$  need not be finite or countable. Let  $\bar{S} = \{a_1, a_2, \dots\} \sqcup \{a_1^{-1}, a_2^{-1}, \dots\} \sqcup \{\square\}$  be the set of letters and their formal inverses, and another ‘null’ element  $\square$ . An element of  $\bar{S}$  is called a *letter*. A *word* on  $S$  is a finite sequence of letters from  $\bar{S}$ , i.e., an element of the set  $\bigcup_{n=0}^{\infty} \bar{S}^n$ , where  $\bar{S}^0 = \{\square\}$ . If  $\Omega$  denotes the set of all words, then clearly

$$\Omega = \{\square\} \sqcup \bar{S} \sqcup \bar{S}^2 \sqcup \bar{S}^3 \sqcup \dots = \bigcup_{n=0}^{\infty} \bar{S}^n = \{f : \mathbb{N} \rightarrow \bar{S} \mid f(i) = \square \text{ for all but finitely many } i\}. \quad (4.1)$$

A word  $w \in \Omega$  is said to be a *reduced word* if the associated function  $f : \mathbb{N} \rightarrow \bar{S}$  satisfies the following:

- if there exists  $k$  such that  $f(k) = \square$ , then  $f(i) = \square$  for all  $i > k$ ;
- for all  $i$ , if  $f(i) = a_j$  for some  $j$ , then  $f(i+1) \neq a_j^{-1}$ , and vice versa.

Essentially, null characters do not appear in the middle of a reduced word, and no letter is immediately followed by its formal inverse. The set of all reduced words is denoted by  $\bar{\Omega}$ . For example, if  $S = \{a, b\}$ , then  $ab^{-1}a^{-1}b$  is a reduced word, but  $ab^{-1}\square a^{-1}b$  and  $abb^{-1}a^{-1}$  are not. Note that  $\square$  is a reduced word.

Now define a binary operation  $*$  :  $\bar{\Omega} \times \bar{\Omega} \rightarrow \bar{\Omega}$ , termed *concatenation*, as follows: for  $u, v \in \bar{\Omega}$ , let  $u$  and  $v$  have the associated functions  $f, g : \mathbb{N} \rightarrow \bar{S}$  respectively. Let  $k \in \mathbb{N}$  be the least integer such that  $f(k+1) = \square$ . Then define  $u * v$  to be the reduced word whose associated function  $h : \mathbb{N} \rightarrow \bar{S}$  is given by

$$h(i) = \begin{cases} f(i) & \text{if } 1 \leq i \leq k, \\ g(i-k) & \text{if } i > k \end{cases} \quad (4.2)$$

where  $h$  is reduced to ensure that  $u * v \in \bar{\Omega}$ ; this reduction is ensured by the following lemma.

**Lemma 4.1.** *Given  $w \in \Omega$ , there exists a unique  $w_{\text{red}} \in \bar{\Omega}$  such that  $w_{\text{red}}$  is obtained from  $w$  by repeatedly deleting adjacent pairs of the form  $a_j a_j^{-1}$  or  $a_j^{-1} a_j$ , for some  $j$ , until no such pair exists, and deleting all occurrences of  $\square$  if a non- $\square$  letter appears anywhere after it.*

*Proof.* Define a function  $\text{red} : \Omega \rightarrow \bar{\Omega}$  as follows: if  $w \in \bar{\Omega}$  then  $\text{red}(w) = w$ . Otherwise let  $i_0$  be the least integer such that  $w(i) = \square$  or  $(w(i_0), w(i_0+1)) \in \{(a, a^{-1}), (a^{-1}, a)\}$  for some  $a \in S$ . Then define

$$\text{red}(w)(j) = \begin{cases} w(j) & \text{if } 1 \leq j < i_0, \\ w(j+1) & \text{if } w(i_0) = \square, j \geq i_0, \\ w(j+2) & \text{if } (w(i_0), w(i_0+1)) \in \{(a, a^{-1}), (a^{-1}, a)\}, j \geq i_0. \end{cases} \quad (4.3)$$

Given  $w \in \Omega$ , one can show that there exists  $k \in \mathbb{N}$  less than the length of  $w$  such that  $\text{red}^k(w) \in \bar{\Omega}$ . ■



September 18th.

From the above, we can define a function  $r : \Omega \rightarrow \bar{\Omega}$  by  $r(w) = \text{red}^k(w)$ , where  $k$  is the least integer such that  $\text{red}^k(w) \in \bar{\Omega}$ . Note that if  $w \in \bar{\Omega}$ , then  $r(w) = w$ . The uniqueness of  $w_{\text{red}}$  follows from the fact that any two sequences of deletions must lead to the same reduced word. This can be proved by induction on the length of  $w$ .

**Proposition 4.2.** *Let  $w, w' \in \Omega$ . Then  $r(w * w') = r(r(w) * r(w'))$ .*

*Proof.* The proof is left as an exercise to the reader. ■

We can now ‘fix’ our binary operator by defining  $\bar{*} : \bar{\Omega} \times \bar{\Omega} \rightarrow \bar{\Omega}$  by  $u\bar{*}v = r(u * v)$  for  $u, v \in \bar{\Omega}$ .

**Theorem 4.3.**  *$(\bar{\Omega}, \bar{*}, e)$ , where  $e(i) = \square$  for all  $i$ , is a group.*

The above is termed a *free group* on the set  $S$ , and is denoted by  $F_S$ .

*Proof.* 1. Associativity: Let  $u, v, w \in \bar{\Omega}$ . Then

$$(u\bar{*}v)\bar{*}w = r(r(u * v) * w) = r(u * v * w) = r(u * r(v * w)) = u\bar{*}(v\bar{*}w). \quad (4.4)$$

2. Identity: Let  $u \in \bar{\Omega}$ . Then  $e\bar{*}u = r(e * u) = r(u) = u = r(u * e) = u\bar{*}e$ .

3. Inverses: Let  $u \in \bar{\Omega}$ , and let  $u$  have the associated function  $f : \mathbb{N} \rightarrow \bar{S}$ . Define  $u^{-1} \in \bar{\Omega}$  to be the reduced word whose associated function  $g : \mathbb{N} \rightarrow \bar{S}$  is given by  $g(i) = f(k - i + 1)^{-1}$  if  $f(k) \neq \square$  and  $g(i) = \square$  if  $f(k) = \square$ , where  $k$  is the least integer such that  $f(k + 1) = \square$ . Then  $u\bar{*}u^{-1} = r(u * u^{-1}) = e = r(u^{-1} * u) = u^{-1}\bar{*}u$ . ■

Note that there is a natural mapping  $i : S \rightarrow F_S$  given by  $a \mapsto \bar{a}$  where  $\bar{a}(1) = a$  and  $\bar{a}(k) = \square$  for all  $k > 1$ .

**Theorem 4.4.** *Let  $G$  be a group, and  $S = \{a_1, a_2, \dots, a_n\}$  be a set. Let  $g_1, g_2, \dots, g_n \in G$ . Then there exists a unique group homomorphism  $\phi : F_S \rightarrow G$  such that  $\phi(\bar{a}_i) = g_i$  for all  $i$ . Moreover, if  $S$  is arbitrary and  $\theta : S \rightarrow G$  is a function, then there exists a unique mapping  $\phi : F_S \rightarrow G$  such that  $\theta = \phi \circ i$ , or  $\theta(a) = \phi(i(a))$  for all  $a \in S$ .*

*Proof.* We show the existence via a constructive proof. Let  $w \in F_S$ . Then  $w = a_1^{i_1} \dots a_n^{i_n}$  where  $i_1, \dots, i_n \in \{\pm 1\}$ . Then  $\phi(w) := g_1^{i_1} g_2^{i_2} \dots g_n^{i_n}$ . Note that  $\phi(\text{red}(w)) = \phi(w)$  and  $\phi(r(w)) = \phi(w)$ , so  $\phi$  is well-defined. This tells us, for  $w, w' \in \bar{\Omega}$ ,

$$\phi(w\bar{*}w') = \phi(r(w * w')) = \phi(w * w') = \phi(w)\phi(w') \quad (4.5)$$

showing that  $\phi$  is a group homomorphism. If we have another homomorphism  $\psi : F_S \rightarrow G$  such that  $\psi(i(a)) = \psi(i(a))$  for all  $a \in S$ , then  $\phi$  and  $\psi$  agree on the generators of  $F_S$  since  $i(S)$  generates  $F_S$ , and both are group homomorphisms showing that  $\phi = \psi$ . The second part of the theorem follows from the first part by taking  $g_i = \theta(a_i)$  for all  $i$ . ■

**Proposition 4.5.** *Let  $S$  and  $T$  be sets of the same cardinality. Then  $F_S \cong F_T$ .*

*Proof.* Let  $\tilde{\theta} : S \rightarrow T$  be a bijection, and  $i_T : T \rightarrow F_T$   $i_S : S \rightarrow F_S$  be the natural mappings. Define  $\theta : i \circ \tilde{\theta}$ . Then by the above theorem, there exists a unique group homomorphism  $\phi : F_S \rightarrow F_T$  such that  $\theta = \phi \circ i_S$ . Similarly, since  $\tilde{\theta}^{-1} : T \rightarrow S$  is also a bijection, there exists a unique group homomorphism  $\psi : F_T \rightarrow F_S$  such that  $i_S \circ \tilde{\theta}^{-1} = \psi \circ i_T$ . We claim that  $\psi = \phi^{-1}$ . We have

$$\psi \circ \phi(i_S(a)) = \psi \circ \theta(a) = \psi \circ i_T \circ \tilde{\theta}(a) = \theta^{-1} \circ \tilde{\theta}(a) = i_S \circ \tilde{\theta}^{-1} \circ \tilde{\theta}(a) = i_S(a) \quad (4.6)$$

showing  $\psi \circ \phi|_{i(S)} = \text{id}_{i(S)}$  or  $\psi \circ \phi = \text{id}_{F_S}$ . Similarly,  $\phi \circ \psi = \text{id}_{F_T}$ . ■

## 4.2 Products

### 4.2.1 Automorphisms

Recall that an automorphism of a group  $G$  is simply an isomorphism from  $G$  to itself. The set of all automorphisms of  $G$  is denoted by  $\text{Aut}(G)$ , which also forms a group under composition. Moreover,  $\emptyset \neq \text{Aut}(G) \leq \text{Bij}(G)$ , where  $\text{Bij}(G)$  is the group of all bijections from  $G$  to itself.

- Example 4.6.**
1. One may show that  $\text{Aut}(\mathbb{Z}/n\mathbb{Z}) \cong (\mathbb{Z}/n\mathbb{Z})^*$ , the group of units in  $\mathbb{Z}/n\mathbb{Z}$ , by sending an automorphism  $\phi$  to  $\phi(\bar{1})$ .
  2. Let  $G = (\mathbb{Z}/p\mathbb{Z})^n$ , for a prime  $p$ . Then  $\text{Aut}(G) \cong GL_n(\mathbb{Z}/p\mathbb{Z})$ , the group of invertible  $n \times n$  matrices over  $\mathbb{Z}/p\mathbb{Z}$  since  $G$  can be viewed as an  $n$ -dimensional vector space over the field  $\mathbb{Z}/p\mathbb{Z}$ , and any automorphism of  $G$  is a linear transformation.

**Proposition 4.7.** *Let  $G$  be a group and  $H \trianglelefteq G$  a normal subgroup. Given  $g \in G$ , the map  $\varphi_g : H \rightarrow H$  given by  $\varphi_g(h) = ghg^{-1}$  is an automorphism of  $H$ . Moreover, the map  $X : G \rightarrow \text{Aut}(H)$  given by  $g \mapsto \varphi_g$  is a group homomorphism. The kernel of  $X$  is  $\ker X = C_G(H)$ , the centraliser of  $H$  in  $G$ .*

*Proof.* The proof is left as an exercise to the reader. ■

**Corollary 4.8.** *Let  $H \trianglelefteq G$ . Then the map  $G/C_G(H) \rightarrow \text{Aut}(H)$  given by  $gC_G(H) \mapsto \varphi_g$  is an injective group homomorphism.*

*Proof.* This is easy to see by the first isomorphism theorem applied on the previous proposition. Hence, the map  $gC_G(H) \mapsto \varphi_g$  is an injective group homomorphism from  $G/C_G(H)$  to  $\text{Aut}(H)$ . ■

In particular, taking  $H = G$ , we obtain  $G/Z(G) \rightarrow \text{Aut}(G)$ , an injective homomorphism, where  $Z(G)$  is the centre of  $G$ .

**Definition 4.9.** Let  $G$  be a group. An automorphism of  $G$  given by  $\varphi_g$  for some  $g \in G$  is called an *inner automorphism* of  $G$ . The set of all inner automorphisms of  $G$  is denoted by  $\text{Inn}(G)$ .

This set is precisely the image of the map  $X : G \rightarrow \text{Aut}(G)$  defined in the previous proposition. Note that  $\text{Inn}(G) \leq \text{Aut}(G)$ . Moreover, it is normal in  $\text{Aut}(G)$ . An automorphism  $\psi \in \text{Aut}(G) \setminus \text{Inn}(G)$  is called an *outer automorphism*. We define  $\text{Out}(G) = \text{Aut}(G)/\text{Inn}(G)$  to be the group of outer automorphisms of  $G$ . Note that if  $G$  is abelian, then  $\text{Inn}(G)$  is trivial, and  $\text{Out}(G) = \text{Aut}(G)$ .

- Example 4.10.**
1. For  $n \geq 3$ ,  $\text{Inn}(S_n) \cong S_n$ .
  2. For the quaternions,  $\text{Inn}(Q_8) \cong (Z/2Z)^2$ .
  3. The dihedral group also gives  $\text{Inn}(D_8) \cong (Z/2Z)^2$ .

### 4.2.2 The Direct Product

*September 25th.*

Recall that if  $G_1, \dots, G_n$  are groups, then  $G = G_1 \times \dots \times G_n = \{(x_1, \dots, x_n) \mid x_i \in G_i\}$  is a group under the operation  $(x_1, \dots, x_n)(y_1, \dots, y_n) = (x_1y_1, \dots, x_ny_n)$ . The identity element is  $e = (e_1, \dots, e_n)$  where  $e_i$  is the identity of  $G_i$ , and the inverse of  $(x_1, \dots, x_n)$  is  $(x_1^{-1}, \dots, x_n^{-1})$ . The group  $G$  is called the *direct product* of  $G_1, \dots, G_n$ ; more specifically, it's the *external direct product* of groups.

The map  $p_i : G \rightarrow G_i$  sending  $(x_1, \dots, x_n) \mapsto x_i$  is an epimorphism. One may also consider the map  $i_j : G_j \rightarrow G$  sending  $x \mapsto (e_1, \dots, e_{j-1}, x, e_{j+1}, \dots, e_n)$ , which is a monomorphism. We also have

$$\hat{G}_j := \text{Im } i_j = \{(e_1, \dots, e_{j-1}, x, e_{j+1}, \dots, e_n) \mid x \in G_j\} \trianglelefteq G \text{ for all } 1 \leq j \leq n. \quad (4.7)$$

Let  $\underline{x} \in \hat{G}_j$  and  $\underline{y} \in \hat{G}_k$  for some  $j \neq k$ . Then  $\underline{xy} = \underline{yx}$  since they differ in distinct coordinates. Thus,  $\hat{G}_j$  and  $\hat{G}_k$  commute element-wise for all  $j \neq k$ . Since all these subgroups are normal, we have

$$\hat{G}_j \cap \hat{G}_1 \hat{G}_{j-1} \hat{G}_{j+1} \cdots \hat{G}_n = \{e_G\} \text{ for all } 1 \leq j \leq n. \quad (4.8)$$

Thus,  $G$  is completely determined by the subgroups  $\hat{G}_1, \dots, \hat{G}_n$ .

We move on to the *internal direct product*; we start with two groups for simplicity. Let  $G$  be a group and  $G_1, G_2$  be two normal subgroups of  $G$  such that  $G_1 \cap G_2 = \{e_G\}$  and  $G_1 G_2 = G$ . Then  $G$  is called the internal direct product of  $G_1$  and  $G_2$ .

**Proposition 4.11.** *Let  $G$  be an internal direct product of  $G_1$  and  $G_2$ . Then for  $x_1 \in G_1$  and  $x_2 \in G_2$ ,  $x_1 x_2 = x_2 x_1$ . Moreover, the map  $\phi : G_1 \times G_2 \rightarrow G$  given by  $(x_1, x_2) \mapsto x_1 x_2$  is a group isomorphism.*

*Proof.* Let  $x_1 \in G_1$  and  $x_2 \in G_2$ . Look at the product  $x_1 x_2 x_1^{-1} x_2^{-1}$ . Since  $G_1 \trianglelefteq G$ ,  $x_1 x_2 x_1^{-1} x_2^{-1} \in G_1$ . Similarly, since  $G_2 \trianglelefteq G$ ,  $x_1 x_2 x_1^{-1} x_2^{-1} \in G_2$ . Thus,  $x_1 x_2 x_1^{-1} x_2^{-1} \in G_1 \cap G_2 = \{e\}$ , or  $x_1 x_2 = x_2 x_1$ , showing that  $x_1$  and  $x_2$  commute. Showing that  $\phi$  is a group homomorphism is easy; to show it is bijective, note that if  $\phi(x_1, x_2) = e$ , then  $x_1 x_2 = e$  or  $x_2 = x_1^{-1} \in G_1$ . Since  $x_2 \in G_2$ , we have  $x_2 = e$  and  $x_1 = e$ . Thus,  $\phi$  is injective. For surjectivity, let  $g \in G$ . Then  $g = x_1 x_2$  for some  $x_1 \in G_1$  and  $x_2 \in G_2$  since  $G = G_1 G_2$ , showing that  $\phi$  is surjective. ■

**Proposition 4.12.** *Let  $G$  be a group and  $G_1, G_2, \dots, G_n$  be normal subgroups of  $G$  such that*

$$G_j \cap G_1 G_2 \cdots G_{j-1} G_{j+1} \cdots G_n = \{e\} \text{ for all } 1 \leq j \leq n, \quad (4.9)$$

*and  $G_1 G_2 \cdots G_n = G$ . Then  $G_1 \times \cdots \times G_n \cong G$  via the mapping  $(x_1, \dots, x_n) \mapsto x_1 x_2 \cdots x_n$ .*

The above proposition is the generalization of the inner direct product to more than 2 normal subgroups. However, note that the pairwise trivial intersection condition is not sufficient for more than 2 normal subgroups, as shown in the following example.

**Example 4.13.** For the group  $G = (\mathbb{R}^2, +)$ , the subgroups  $G_1 = \mathbb{R} \times \{0\}$  and  $G_2 = \{0\} \times \mathbb{R}$  are normal with  $G_1 \cap G_2 = \{(0, 0)\}$ , and their internal direct product is the whole group. Thus,  $(\mathbb{R}^2, +) \cong (\mathbb{R}, +) \times (\mathbb{R}, +)$ . Note that if  $G_3 = \{(x, x) \mid x \in \mathbb{R}\}$ , then  $G_3$  is also a normal subgroup of  $G$  with  $G_1 \cap G_3 = G_2 \cap G_3 = \{(0, 0)\}$ , and we have  $G_1 G_2 G_3 = G$ , but  $G_3 \cap G_1 G_2 \neq \{(0, 0)\}$ , so  $G$  is *not* the internal direct product of  $G_1, G_2, G_3$ . Thus, a pairwise trivial intersection condition is not sufficient for more than two normal subgroups.

**Example 4.14.** If  $G$  is any group, then  $G$  is the inner direct product of  $G$  and  $\{e\}$ , or  $\{e\}$  and  $G$ .

Let  $\phi : G_1 \times G_2 \rightarrow G$  be an isomorphism of groups. Then  $G$  is the internal direct product of  $\phi(\hat{G}_1)$  and  $\phi(\hat{G}_2)$ , where  $\hat{G}_1 = G_1 \times \{e_2\}$  and  $\hat{G}_2 = \{e_1\} \times G_2$ . The proof of this is left as an exercise to the reader.

**Example 4.15.** Since  $\mathbb{Z}/6\mathbb{Z} \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z}$ ,  $\mathbb{Z}/6\mathbb{Z}$  is the internal direct product of the subgroups  $\{0, 3\}$  and  $\{0, 2, 4\}$ , via the above statement.

**Example 4.16.** For the permutation group  $S_3$ , the alternating group  $A_3 = \{e, (123), (132)\} \trianglelefteq S_3$  but there is not other normal subgroup  $H$  such that  $S_3$  is the internal direct product of  $A_3$  and  $H$ . This is because the only other normal subgroup of  $S_3$  is  $\{e\}$ , which does not satisfy  $A_3\{e\} = S_3$ , or  $S_3$ , which does not satisfy  $S_3 \cap A_3 = \{e\}$ . Thus,  $S_3$  is not an internal direct product of any two of its normal subgroups, unless one of them is trivial.

We will see, however, that  $S_3$  can be written as something termed an internal semidirect product.

**Theorem 4.17** (The structure theorem for finitely generated abelian groups). *Let  $G$  be a finitely generated abelian group. Then*

$$G \cong \mathbb{Z}^r \times \mathbb{Z}/n_1\mathbb{Z} \times \mathbb{Z}/n_2\mathbb{Z} \times \cdots \times \mathbb{Z}/n_s\mathbb{Z} \quad (4.10)$$

*for some  $r \geq 0$ ,  $s \geq 0$ , and integers  $n_1, \dots, n_s \geq 2$  such that  $n_i \mid n_{i+1}$  for all  $1 \leq i < s$ . This decomposition is unique up to isomorphism.*

*Proof.* The proof is normally done using the theory of modules over a principal ideal domain, which will be covered in a later course. ■

### 4.2.3 The Semidirect Product

September 30th.

**Definition 4.18.** Let  $G$  be a group with  $N, H \leq G$  such that  $N \trianglelefteq G$ ,  $N \cap H = \{e\}$ , and  $NH = G$ . Then  $G$  is called the *internal semidirect product* of  $N$  and  $H$ .

$S_3$  is such an example.

**Example 4.19.** With  $G = S_3$ , take  $N = A_3$  and  $H = \{e, (1\ 2)\}$ . Then  $N \trianglelefteq G$ ,  $N \cap H = \{e\}$ , and  $NH = G$ , so  $S_3$  is the internal semidirect product of  $A_3$  and  $\{e, (1\ 2)\}$ .

One may take the following proposition as the definition of the external semidirect product.

**Proposition 4.20.** Let  $H, N$  be groups and  $\varphi : H \rightarrow \text{Aut}(N)$  be a group homomorphism. Define a binary operation on the set  $N \times H$  by

$$(n_1, h_1) \cdot (n_2, h_2) = (n_1\varphi(h_1)(n_2), h_1h_2) \text{ for all } n_1, n_2 \in N \text{ and } h_1, h_2 \in H. \quad (4.11)$$

Then  $N \times H$  is a group under this operation, called the *external semidirect product* of  $N$  and  $H$  with respect to  $\varphi$ , and is denoted by  $N \rtimes_{\varphi} H$ .

Moreover, the maps  $i_H : H \rightarrow N \rtimes_{\varphi} H$  given by  $h \mapsto (e_N, h)$ ,  $i_N : N \rightarrow N \rtimes_{\varphi} H$  given by  $n \mapsto (n, e_H)$ , and  $p_2 : N \rtimes_{\varphi} H \rightarrow H$  given by  $(n, h) \mapsto h$  are group homomorphisms.  $\text{Im } i_N$  is a normal subgroup of  $N \rtimes_{\varphi} H$  isomorphic to  $N$ . Finally,  $N \rtimes_{\varphi} H$  is the internal semidirect product of  $\text{Im } i_N = i_N(N)$  and  $\text{Im } i_H = i_H(H)$ .

*Proof.* Let us show that the group axioms are, first, satisfied.

1. *Closure:* It is a direct consequence of the definition of the operation.

2. *Associativity:* Let  $(n_1, h_1), (n_2, h_2), (n_3, h_3) \in N \rtimes_{\varphi} H$ . Then

$$\begin{aligned} ((n_1, h_1) \cdot (n_2, h_2)) \cdot (n_3, h_3) &= (n_1\varphi(h_1)(n_2), h_1h_2) \cdot (n_3, h_3) = (n_1\varphi(h_1)(n_2)\varphi(h_1h_2)(n_3), h_1h_2h_3) \\ &= (n_1\varphi(h_1)(n_2\varphi(h_2)(n_3)), h_1h_2h_3) = (n_1, h_1) \cdot (n_2\varphi(h_2)(n_3), h_2h_3) \\ &= (n_1, h_1) \cdot ((n_2, h_2) \cdot (n_3, h_3)). \end{aligned} \quad (4.12)$$

3. *Identity:* Let  $e = (e_N, e_H)$ . Then for all  $(n, h) \in N \rtimes_{\varphi} H$ ,

$$e \cdot (n, h) = (e_N\varphi(e_H)(n), e_Hh) = (n, h) = (n\varphi(h)(e_N), he_H) = (n, h) \cdot e. \quad (4.13)$$

4. *Inverse:* Let  $(n, h) \in N \rtimes_{\varphi} H$ . Then  $(\varphi(h^{-1})(n^{-1}), h^{-1})$  is the inverse of  $(n, h)$  since

$$(n, h) \cdot (\varphi(h^{-1})(n^{-1}), h^{-1}) = (n\varphi(h)(\varphi(h^{-1})(n^{-1})), hh^{-1}) = (n\varphi(e_H)(n^{-1}), e_H) = e. \quad (4.14)$$

The rest of the proposition is left as an exercise to the reader. ■

**Proposition 4.21.** Let  $G$  be a group which is the internal semidirect product of subgroups  $N$  and  $H$  with  $N \trianglelefteq G$ . Set  $\varphi : H \rightarrow \text{Aut}(N)$  by  $\varphi(h)(n)hnh^{-1}$  for all  $h \in H$  and  $n \in N$ . Then  $G \cong N \rtimes_{\varphi} H$ .

*Proof.* Simply look at the mapping  $\theta : N \rtimes_{\varphi} H \rightarrow G$  given by  $(n, h) \mapsto nh$ . Let  $(n_1, h_1), (n_2, h_2) \in N \rtimes_{\varphi} H$ . Then

$$(n_1, h_1) \cdot (n_2, h_2) = (n_1\varphi(h_1)(n_2), h_1h_2) = (n_1h_1n_2h_1^{-1}, h_1h_2) \mapsto n_1h_1n_2h_2 = \theta(n_1, h_1)\theta(n_2, h_2). \quad (4.15)$$

Thus  $\theta$  is a homomorphism. To show that it is bijective, note that if  $\theta(n, h) = e_G$ , then  $nh = e_G$  or  $h = n^{-1} \in N$ . Since  $h \in H$ , we have  $h = e_G$  and  $n = e_G$ , showing that  $\theta$  is injective. For surjectivity, let  $g \in G$ . Then  $g = nh$  for some  $n \in N$  and  $h \in H$  since  $G = NH$ ; use  $(n, h)$  which maps to  $nh = g$ , showing that  $\theta$  is surjective. ■

**Example 4.22.** Suppose  $G$  is a group with  $|G| = 10$ . Then  $G$  has only two possible group structures; either  $G \cong \mathbb{Z}/5\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  or  $G \cong \mathbb{Z}/5\mathbb{Z} \rtimes_{\varphi} \mathbb{Z}/2\mathbb{Z}$ . We need a non-trivial homomorphism  $\varphi : \mathbb{Z}/2\mathbb{Z} \rightarrow \text{Aut}(\mathbb{Z}/5\mathbb{Z}) \cong (\mathbb{Z}/5\mathbb{Z})^*$ . The only other non-trivial homomorphism is given by  $\varphi([1]_2) = m_{[4]_5}$ , where  $m_{[4]_5}$  is the multiplication by 4 map on  $\mathbb{Z}/5\mathbb{Z}$ . Thus, the group  $G \cong \mathbb{Z}/5\mathbb{Z} \rtimes_{\varphi} \mathbb{Z}/2\mathbb{Z}$  works as

$$\begin{aligned} ([a]_5, [b]_2) \cdot ([c]_5, [d]_2) &= ([a]_5 + \varphi([b]_2)([c]_5), [b + d]_2) = \begin{cases} ([a + c]_5, [0]_2) & \text{if } [b]_2 = [0]_2, \\ ([a + 4c]_5, [1]_2) & \text{if } [b]_2 = [1]_2 \end{cases} \\ &= ([a + (-1)^b c]_5, [b + d]_2). \end{aligned} \quad (4.16)$$

We claim that  $G$  is actually the dihedral group  $D_{10}$ . To see this, let the map  $\psi : G \rightarrow D_{10}$  be given by  $\psi([1]_5, [0]_2) = r$  and  $\psi([0]_5, [1]_2) = s$ . One can show that  $\psi$  is, indeed, a bijective homomorphism. More generally, one may show that  $D_{2n} \cong C_n \rtimes_{\varphi} C_2$  where  $\varphi : C_2 \rightarrow \text{Aut}(C_n)$  is given by  $\varphi(x)(y) = y^{-1}$  for all  $x \in C_2$  and  $y \in C_n$ .

**Example 4.23.** Let us analyze groups of order 8. If such a  $G$  were abelian, then the fundamental theorem of finitely generated abelian groups tells us that  $G$  is isomorphic to one of  $\mathbb{Z}/8\mathbb{Z}$ ,  $\mathbb{Z}/4\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ , or  $(\mathbb{Z}/2\mathbb{Z})^3$ . Suppose  $G$  is non-abelian. The centre  $Z(G)$  cannot be of order 8 or 4; it would be abelian otherwise. Hence  $Z(G) \cong \mathbb{Z}/2\mathbb{Z}$  for  $G$  to be non-abelian. Thus  $G/Z(G)$  is isomorphic to either  $\mathbb{Z}/4\mathbb{Z}$  or  $(\mathbb{Z}/2\mathbb{Z})^2$ .

### 4.3 Normal Series and Solvability

**Definition 4.24.** Let  $G$  be a group. A chain of subgroups

$$\{e\} = G_n \trianglelefteq G_{n-1} \trianglelefteq \cdots \trianglelefteq G_2 \trianglelefteq G_1 \trianglelefteq G_0 = G \quad (4.17)$$

is called a *normal series* for  $G$  if  $G_{i+1} \trianglelefteq G_i$  for all  $0 \leq i \leq n-1$ . A normal series is called a *composition series* if each quotient  $G_i/G_{i+1}$  is simple for all  $0 \leq i \leq n-1$ . Moreover,  $G_i/G_{i+1}$  are called *composition factors* of  $G$ .

**Example 4.25.** A normal series for the cycle group  $C_{12}$  is simply

$$\{e\} \cong 12\mathbb{Z}/12\mathbb{Z} \trianglelefteq 4\mathbb{Z}/12\mathbb{Z} \trianglelefteq 2\mathbb{Z}/12\mathbb{Z} \trianglelefteq \mathbb{Z}/12\mathbb{Z} \cong C_{12}. \quad (4.18)$$

Here, the composition factors are  $\mathbb{Z}/3\mathbb{Z}$ ,  $\mathbb{Z}/2\mathbb{Z}$ , and  $\mathbb{Z}/2\mathbb{Z}$ . Another possible normal series is

$$\{e\} \cong 12\mathbb{Z}/12\mathbb{Z} \trianglelefteq 6\mathbb{Z}/12\mathbb{Z} \trianglelefteq 3\mathbb{Z}/12\mathbb{Z} \trianglelefteq \mathbb{Z}/12\mathbb{Z} \cong C_{12}, \quad (4.19)$$

where the composition factors are  $\mathbb{Z}/2\mathbb{Z}$ ,  $\mathbb{Z}/2\mathbb{Z}$ , and  $\mathbb{Z}/3\mathbb{Z}$ .

Surprisingly, two different normal (composition) series resulted in the same composition factors, up to isomorphism and order. This is not a coincidence, as shown by the following proposition.

**Proposition 4.26.** *For a finite group  $G$ , a composition series always exists. Moreover, any two composition series of  $G$  have the same length and the same composition factors, up to isomorphism and order.*

**Definition 4.27.** A group  $G$  is termed a *solvable group* if all its composition factors are abelian.

*October 7th.*

**Example 4.28.** Consider  $S_n$  for  $n \geq 5$ . Then  $\{e\} \trianglelefteq A_n \trianglelefteq S_n$  is a composition series since  $A_n$  is simple for all  $n \geq 5$ . The composition factors are  $A_n$  and  $\mathbb{Z}/2\mathbb{Z}$ , so  $S_n$  is not solvable. We show that this is the only possible composition series for  $n \geq 5$ . Consider  $\{e\} \neq N \trianglelefteq S_n$  for some normal subgroup  $N$ . Then  $N \cap A_n \trianglelefteq A_n$ , so either  $N \cap A_n = \{e\}$  or  $N \supseteq A_n$  since  $A_n$  is simple. If  $N \cap A_n = \{e\}$ , then the second isomorphism theorem gives  $NA_n/N \cong A_n$ , or  $|N| \leq 2$ . Since  $N$  is non-trivial, there exists a unique  $\sigma \in N$  such that  $\sigma \neq e$ .  $N$  is normal, so  $g\sigma g^{-1} = \sigma$  for all  $g \in S_n$ , or  $\sigma$  is in the centre of  $S_n$ . However, the centre of  $S_n$  is trivial for all  $n \geq 3$ , so  $\sigma = e$ , a contradiction. Thus,  $N \supseteq A_n$ , and the only possible normal subgroups of  $S_n$  are  $\{e\}$ ,  $A_n$ , and  $S_n$ . Hence, the only possible composition series is  $\{e\} \trianglelefteq A_n \trianglelefteq S_n$ .

We now prove the above proposition, which says that a finite group always has a composition series.

*Proof.* We proceed by induction on  $|G|$ . If  $G$  is simple then  $\{e\} \trianglelefteq G$  is a composition series. Thus assume  $G$  is not simple. There then exists a non trivial proper normal subgroup  $N \trianglelefteq G$ . Since  $|G/N| < |G|$ , the induction hypothesis guarantees a composition series for both  $G/N$  and  $N$ , say,

$$\{e\} = \overline{G}_n \trianglelefteq \cdots \trianglelefteq \overline{G}_1 \trianglelefteq \overline{G}_0 = G/N \quad (4.20)$$

and

$$\{e\} = N_m \trianglelefteq \cdots \trianglelefteq N_1 \trianglelefteq N_0 = N \quad (4.21)$$

such that  $\overline{G}_i/\overline{G}_{i+1}$  and  $N_i/N_{i+1}$  are simple for all  $i$ . Note that subgroups of  $G/N$  are in bijection with subgroups of  $G$  containing  $N$ , that is, if  $N \subseteq H \trianglelefteq G$ , then  $H/N \trianglelefteq G/N$ . For  $\overline{H} \trianglelefteq G/N$ , the mapping is given as  $q^{-1}(\overline{H})$  where  $q : G \rightarrow G/N$  is the natural quotient mapping. So set  $G_i = q^{-1}(\overline{G}_i)$  with, in particular,  $G_n = q^{-1}(\{e\}) = N$  to get the first part of the composition series. Here,  $G_i/G_{i+1} \cong (G_i/N)/(G_{i+1}/N) \cong \overline{G}_i/\overline{G}_{i+1}$  which is simple, so this checks out. We now simply append the composition series of  $N$  to get

$$\begin{aligned} \{e\} &= G_{n+m} \trianglelefteq \cdots \trianglelefteq G_{n+1} \trianglelefteq G_n \trianglelefteq \cdots \trianglelefteq G_1 \trianglelefteq G_0 = G, \\ \{e\} &= N_m \trianglelefteq \cdots \trianglelefteq N_1 \trianglelefteq N \trianglelefteq \cdots \trianglelefteq q^{-1}(\overline{G}_1) \trianglelefteq q^{-1}(\overline{G}_0) = G. \end{aligned} \quad (4.22)$$

■

**Proposition 4.29.** *Let  $G$  be a finite group. The following are equivalent.*

1.  $G$  is solvable.
2. The composition factors of  $G$  are all isomorphic to  $\mathbb{Z}/p\mathbb{Z}$  for some prime  $p$ .
3.  $G$  has a normal series  $\{e\} = G_n \trianglelefteq G_{n-1} \trianglelefteq \cdots \trianglelefteq G_1 \trianglelefteq G_0 = G$  such that each quotient  $G_i/G_{i+1}$  is abelian for all  $i$ .
4. If  $C(G) = (G : G)$  denotes the commutator subgroup of  $G$ , then there exists some  $n \geq 0$  such that  $C^n(G) = \{e\}$ , where  $C^0(G) = G$  and  $C^i(G) = C(C^{i-1}(G))$  for all  $i \geq 1$ .

*Proof.* For 1. implies 2., the composition factors are abelian. But abelian simple groups are isomorphic to  $\mathbb{Z}/p\mathbb{Z}$  for some prime  $p$ , so 2. immediately follows. The converse is also trivial. For 2. implies 3., simply

take the composition series. For 4. implies 3., note that  $\{e\} = C^n(G) \trianglelefteq C^{n-1}(G) \trianglelefteq \cdots \trianglelefteq C(G) \trianglelefteq G$  is a normal series. Moreover,  $G_i/G_{i+1} = G_i/C(G_i)$  is abelian for all  $i$ .

For 3. implies 1., let  $\{e\} \trianglelefteq G_n \trianglelefteq \cdots \trianglelefteq G_1 \trianglelefteq G_0 = G$  be a normal series such that each quotient  $G_i/G_{i+1}$  is abelian for all  $i$ . It is left as exercise to the reader to show that every normal series can be refined to a composition series. Using this fact,  $G$  has a composition series whose composition factors are sub-quotients of  $G_i/G_{i+1}$ , that is, if  $F$  is a composition factor then  $F \cong H/K$  where  $H \leq G_i/G_{i+1}$  for some  $i$  and  $K \trianglelefteq H$ . So  $G_1 \trianglelefteq G_0$  or  $G_1 = G_{0,n_0} \trianglelefteq \cdots \trianglelefteq G_{0,2} \trianglelefteq G_{0,1} \trianglelefteq G_0$  where  $G_{0,i}/G_1 \leq G_0/G_1$  for all  $i$ . Moreover,  $G_{0,i}/G_{0,i+1} = (G_{0,i}/G_1)/(G_{0,i+1}/G_1)$ . But  $G_i/G_{i+1}$  is abelian, so every composition factor is abelian, showing that  $G$  is solvable.

For 1. implies 4., we claim that  $C(G) \neq G$ . Consider a composition series where  $G/G_1$  is non-trivial abelian. This implies that  $C(G) \subseteq G_1 \neq G$  since the commutator of  $G$  is the smallest subgroup of  $G$  such that  $G/C(G)$  is abelian. Let  $C_1 = C(G)$ . Then  $C_1$  is also solvable since  $C_1 \leq G$ . Thus,  $C_2 = C(C_1) \trianglelefteq C_1$  and is not equal. Since  $G$  is finite, this process must terminate, so  $C^n(G) = \{e\}$  for some  $n$ . ■

**Lemma 4.30.** *If  $G$  is solvable, then every subgroup of  $G$  is also solvable.*

*Proof.* Let  $\{e\} = G_n \trianglelefteq \cdots \trianglelefteq G_0 = G$ , and let  $H$  be a subgroup of  $G$ . Since  $G_1 \trianglelefteq G_0$ ,  $H \cap G_i \trianglelefteq H$ . Thus,  $\{e\} = H \cap G_n \trianglelefteq \cdots \trianglelefteq H \cap G_0 = H$  is a normal series for  $H$ . Moreover,  $H_i/H_{i+1} \cong (G_i \cap H)/(G_{i+1} \cap H)$  is a subgroup of  $G_i/G_{i+1}$ ; if we look at the natural map  $q : G_i \rightarrow G_i/G_{i+1}$ , then applying the first isomorphism theorem to  $q|_{G_i \cap H} : G_i \cap H \rightarrow G_i/G_{i+1}$  gives the result. Since  $G_i/G_{i+1}$  is abelian, so is  $(G_i \cap H)/(G_{i+1} \cap H)$ , showing that  $H$  is solvable. ■

**Example 4.31.** Let us look at  $S_4$  and find a normal series for it. If  $N = \{(1\ 2)(3\ 4), (1\ 3)(2\ 4), (1\ 4)(2\ 3), e\}$ , then a valid composition series is

$$\{e\} \trianglelefteq \{e, (1\ 2)(3\ 4)\} \trianglelefteq N \trianglelefteq A_4 \trianglelefteq S_4. \quad (4.23)$$

The composition factors are  $\mathbb{Z}/2\mathbb{Z}$ ,  $\mathbb{Z}/2\mathbb{Z}$ ,  $\mathbb{Z}/3\mathbb{Z}$ , and  $\mathbb{Z}/2\mathbb{Z}$ , so  $S_4$  is solvable.



## Chapter 5

# MATRIX GROUPS

### 5.1 Spheres and Linear Groups

We begin with  $GL_n(\mathbb{R}) \subseteq M_n(\mathbb{R})$ , the set of all invertible  $n \times n$  matrices with real entries. If we instead look at  $M_n(\mathbb{R})$  as  $\mathbb{R}^{n^2}$  instead, then  $GL_n(\mathbb{R})$  is the set of all matrices such that the polynomial  $\det(A) \neq 0$ . Thus  $GL_n(\mathbb{R})$  is the complement of the zero set of a polynomial, and hence is open in  $\mathbb{R}^{n^2}$ . Note that  $GL_n$  acts on  $M_n$  via matrix conjugation as

$$GL_n \times M_n \rightarrow M_n, \quad (P, A) \mapsto PAP^t. \quad (5.1)$$

Let us find the stabilizer of the identity. We have

$$\text{Stab}(I_n) = \{P \in GL_n \mid PP^t = I_n\} = O_n, \quad (5.2)$$

the *orthogonal group*. Sylvester's law of inertia states that  $GL_n(\mathbb{R})$  orbits of real symmetric matrices consist of a unique matrix of the form  $\text{diag}(I_p, -I_m, 0_z)$  where  $p + m + z = n$ . One may also look at  $O_{n-1,1}(\mathbb{R})$  is defined as  $\text{Stab}(I_{n-1,1})$  where  $I_{n-1,1} = \text{diag}(I_{n-1}, -1)$ . The group  $O_{3,1}(\mathbb{R})$  is also called the *Lorentz group*.

October 9th.

**Definition 5.1.** A (complex) matrix  $A$  is termed a *normal matrix* if  $AA^* = A^*A$ . It is termed a *Hermitian matrix* if  $A = A^*$ , and a *unitary matrix* if  $AA^* = I$ .

**Theorem 5.2** (The *spectral theorem*). If  $A$  is a normal matrix, then there exists a unitary matrix  $P$  such that  $PAP^*$  is diagonal.

Let  $A$  be a  $n \times n$  real matrix. Then  $A$  defines a bilinear form on  $\mathbb{R}^n$ , that is, a map  $\mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}$  is given as  $(x, y) \mapsto x^t Ay$ . The map is typically denoted by  $\langle \cdot, \cdot \rangle_A$ . If  $A$  is symmetric, then  $\langle \cdot, \cdot \rangle_A$  is a symmetric bilinear form. If  $A$  is skew-symmetric, then  $\langle \cdot, \cdot \rangle_A$  is a skew-symmetric bilinear form. Moreover if  $A$  is non-singular, then  $\langle \cdot, \cdot \rangle_A$  is non-degenerate.

Just like before,  $GL_n(\mathbb{C})$  acts on  $M_n(\mathbb{C})$  via  $PAP^*$ , the conjugation action. In this case, we have

$$\text{Stab}(I_n) = \{P \in GL_n(\mathbb{C}) \mid PP^* = I_n\} = U_n, \quad (5.3)$$

the *unitary group*. We also have  $SL_n = \{A \mid \det A = 1\}$ , the special linear group.

**Definition 5.3.** A subset  $X \subseteq \mathbb{R}^N$  is called a  $n$ -dimensional *manifold* if for every  $x \in X$ , there exists an open neighbourhood  $U \subseteq \mathbb{R}^N$  of  $x$ , which is homeomorphic to  $\mathbb{R}^n$ . That is, there exists a map  $\varphi : U \rightarrow \mathbb{R}^n$  such that  $\varphi$  is continuous, bijective, and  $\varphi^{-1}$  is also continuous.

The group  $SO_n = SL_n \cap O_n$  is termed the special orthogonal group. The group  $SU_n = SL_n \cap U_n$  is termed the special unitary group.



**Example 5.4.** We have  $GL_1(\mathbb{R}) = \mathbb{R}^*$ ,  $GL_1(\mathbb{C}) = \mathbb{C}^* \cong \mathbb{R}^2 \setminus \{0\}$ . One can also infer

$$SL_1(\mathbb{R}) = \{1\} = SL_1(\mathbb{C}), \quad O_1(\mathbb{R}) = \{1, -1\} = O_1(\mathbb{C}), \quad U_1(\mathbb{C}) = S_1, \quad SO_1(\mathbb{R}) = SU_1(\mathbb{C}) = \{1\}. \quad (5.4)$$

**Example 5.5.**  $GL_2(\mathbb{R})$  is a 4-dimensional manifold since it is an open subset of  $\mathbb{R}^4$ .  $SL_2(\mathbb{R})$  is a 3-dimensional manifold since it is the zero set of the polynomial  $\det(A) - 1$ .  $SO_2(\mathbb{R}) = SL_2(\mathbb{R}) \cap O_2(\mathbb{R})$  is the set of matrices of the form

$$SO_2(\mathbb{R}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid AA^t = A^t A = I_2, \det A = 1 \right\} = \left\{ \begin{pmatrix} x_1 & -x_2 \\ x_2 & x_1 \end{pmatrix} \mid (x_1, x_2) \in S^1 \right\} \quad (5.5)$$

**Proposition 5.6.** *There is an isomorphism  $U_1(\mathbb{C}) \rightarrow SO_2(\mathbb{R})$ , which is also a homeomorphism.*

*Proof.* Simply send  $z = x_1 + ix_2 \in U_1(\mathbb{C})$  to the matrix  $\begin{pmatrix} x_1 & -x_2 \\ x_2 & x_1 \end{pmatrix} \in SO_2(\mathbb{R})$ . One may verify that this map is bijective, continuous, homomorphic, with a continuous inverse as well. ■

As above, linear groups of dimension 3 include  $SU_2(\mathbb{C})$ ,  $SO_3(\mathbb{R})$ , and  $SL_3(\mathbb{R})$ . One can show that  $SU_2(\mathbb{C})$  is exactly the set of matrices  $\begin{pmatrix} a & b \\ -\bar{b} & \bar{a} \end{pmatrix}$  with  $|a|^2 + |b|^2 = 1$ . From here, we get

$$SU_2(\mathbb{C}) \cong \{(a, b) \in \mathbb{C}^2 \mid |a|^2 + |b|^2 = 1\} \cong \{(x_1, x_2, x_3, x_4) \in \mathbb{R}^4 \mid x_1^2 + x_2^2 + x_3^2 + x_4^2 = 1\} = S^3. \quad (5.6)$$

Thus,  $S^3$  can be given a group structure via relating by  $SU_2(\mathbb{C})$ . Note that the binary operator induced is also continuous.  $S^2$ , however, cannot be given such a continuous group structure. There is a conjecture that  $S^n$  for  $n \geq 4$  cannot be given a continuous group structure.

**Definition 5.7.** In  $S^3$ , we define latitudes, for  $-1 < c < 1$ , to be the surface cut out by  $x_1 = c$  on  $x_1^2 + x_2^2 + x_3^2 + x_4^2 = 1$ . This surface turns out to be a sphere of radius  $1 - c^2$ .

Thus the latitudes of  $S^3$  are homeomorphisms of  $S^2$ . The north pole  $x_1 = 1$  tells us  $I \in SU_2$ , and the south pole  $x_1 = -1$  tells us  $-I \in SU_2$ .

*October 14th.*

**Proposition 5.8.** *The latitudes of  $S^3$  are conjugacy classes in  $SU_2$ . Conversely, other than  $\pm I$ , all conjugacy classes are latitudes.*

*Proof.* The centre of  $SU_2$  is  $\{\pm I\}$ . Let  $P = \begin{pmatrix} a & b \\ -\bar{b} & \bar{a} \end{pmatrix} \in SU_2$ , that is,  $|a|^2 + |b|^2 = 1$ . The characteristic polynomial of  $P$  is given as

$$\chi_P(t) = (t - a)(t - \bar{a}) + b\bar{b} = t^2 - 2\Re(a)t + 1. \quad (5.7)$$

This is a real polynomial; if  $\lambda$  is a root, then so is  $\bar{\lambda}$ . By spectral theorem,  $P$  is conjugate to  $\begin{pmatrix} \lambda & 0 \\ 0 & \bar{\lambda} \end{pmatrix}$  where  $\lambda + \bar{\lambda} = 2\Re(a)$ , via a unitary  $Q$ . If  $Q \in SU_2$ , then done. Otherwise, let  $\delta = \det Q$  and let  $\epsilon = \sqrt{\delta}$ . Then one can verify that  $\bar{\epsilon}Q \in SU_2$ , and

$$(\bar{\epsilon}Q)P(\bar{\epsilon}Q)^* = QPQ^* = \begin{pmatrix} \lambda & 0 \\ 0 & \bar{\lambda} \end{pmatrix}. \quad (5.8)$$

Note that  $\text{tr } P = \lambda + \bar{\lambda} = 2\Re(a)$ , so  $\Re(a)$  determines the conjugacy class. The latitudes are also determined by  $x_1 = c$ , which is also  $\Re(a)$ . Thus the latitudes are exactly the conjugacy classes. ■

**Definition 5.9.** In  $S^3$ , we define longitudes to be the intersection of  $S^3$  with the hyperplanes containing the  $x_1$ -axis (specifically, containing  $\pm I_3$ ). These are ‘circles’ going through the north and south poles.

Let  $P \in SU_2$  be different from  $\pm I$ . Then  $P$  and  $I$  are linearly independent vectors in  $\mathbb{R}^4$ . Then they span a 2-dimensional subspace  $V$  of  $\mathbb{R}^4$  and  $V \cap S^3$  is the unique longitude containing  $P$ . Consider the longitude  $S^3 \cap W$  where  $W = \{(x_1, x_2, x_3, x_4) \mid x_3 = x_4 = 0\}$ . That is,  $T = SU_2 \cap W = \left\{ \begin{pmatrix} a & 0 \\ 0 & \bar{a} \end{pmatrix} \mid |a|^2 = 1 \right\}$ . Note that  $T$  is a subgroup of  $SU_2$  and  $T \cong U_1(\mathbb{C})$ .

**Proposition 5.10.**  $T \leq SU_2$ . Moreover, longitudes of  $SU_2$  are exactly the conjugates of the subgroup  $T$ .

*Proof.*  $T$  being a subgroup is clear. Let  $T'$  be a different longitude with  $P' \in T'$  and  $P' \neq \pm I$ . Then  $-1 < \text{tr } P' < 1$ . Let  $P \in T$  such that  $\text{tr } P = \text{tr } P'$ . By the previous proposition, there exists  $Q \in SU_2$  such that  $QPQ^* = P'$ . We now show that  $QTQ^*$  is a longitude containing  $P'$ . Let  $Q = \begin{pmatrix} a & b \\ -\bar{b} & \bar{a} \end{pmatrix}$  for some  $a, b \in \mathbb{C}$  with  $|a|^2 + |b|^2 = 1$ , and let  $T = \left\{ \begin{pmatrix} w_1 + iw_2 & 0 \\ 0 & w_1 - iw_2 \end{pmatrix} \mid w_1, w_2 \in \mathbb{R}, w_1^2 + w_2^2 = 1 \right\}$ . If we take such a matrix  $W \in T$  with  $z = w_1 + iw_2$ , then computing  $QWQ^*$  gives a plane viewed in  $\mathbb{R}^4$ . Thus,  $QTQ^* = SU_2 \cap QWQ^*$  since  $T = SU_2 \cap W$ . ■

October 15th.

**Proposition 5.11.** There exists a surjective continuous homomorphic map  $\varphi : SU_2 \rightarrow SO_3(\mathbb{R})$  with kernel  $\{\pm I\}$ .

*Proof.* We have already recognized the action of  $SU_2$  on latitudes via conjugacy; in particular on  $L = \{(0, x_2, x_3, x_4) \mid x_2^2 + x_3^2 + x_4^2 = 1\} = \left\{ \begin{pmatrix} ix_2 & x_3 + ix_4 \\ -x_3 + ix_4 & -ix_2 \end{pmatrix} \mid x_2^2 + x_3^2 + x_4^2 = 1 \right\}$ . Without the spherical condition, a basis for this 3-dimensional vector space is given as

$$\mathcal{B} = \left\{ A_1 = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, A_2 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, A_3 = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \right\}. \quad (5.9)$$

Let  $V = \text{span } \mathcal{B}$ , and let  $P \in SU_2$  (note that  $SU_2$  is a subset of  $V$ , but *not* a linear subspace). Then  $P$  acts on  $V$  via  $A \mapsto PAP^*$ . Note that for  $A \in V$ ,  $\text{tr } A = 0$  and  $A^* = -A$ ; these two conditions characterise  $V \subseteq M_2(\mathbb{C})$ . One can verify that  $\text{tr}(PAP^*) = \text{tr } A = 0$  and  $(PAP^*)^* = -PAP^*$ , so  $PAP^* \in V$ . Hence we obtain a group homomorphism  $\varphi : SU_2 \rightarrow \text{Bij } V$ . Let  $P \in SU_2$  and suppose  $\varphi(P) : V \rightarrow V$  maps  $A \mapsto PAP^*$ . One can verify that  $\varphi(P)$  is linear, so  $\varphi(P) \in GL(V) \cong GL_3(\mathbb{R})$ . We now claim that  $\varphi(P) \in SO_3(\mathbb{R})$ . If  $\varphi(P)$  preserves an inner product on  $V$ , then it is orthogonal. One can verify that  $\langle A, B \rangle = -\frac{1}{2} \text{tr}(AB)$  is an inner product on  $V$ , and  $\langle \varphi(P)(A), \varphi(P)(B) \rangle = \langle A, B \rangle$  for all  $A, B \in V$ . Thus  $\varphi(P) \in O_3(\mathbb{R})$ . We are now left with showing that  $\det \varphi(P) = 1$ . Let us call the composition map  $SU_2 \xrightarrow{\varphi} GL_3 \xrightarrow{\det} \mathbb{R}$  as  $f = \det \circ \varphi$ . Note that  $f$  is a continuous homomorphism. The only possible image of  $f$  are  $\{1, -1\}$ . So  $f^{-1}(-1)$  and  $f^{-1}(1)$  are both open in  $SU_2$ . If  $f^{-1}(1)$  is a non-empty proper (open) subset of  $S^3$ , then  $S^3 \setminus f^{-1}(1) = f^{-1}(-1)$  is not open, which is a contradiction. Hence  $f^{-1}(1)$  is either empty or  $S^3$ . Since  $f(I) = 1$ , we have  $f^{-1}(1) = S^3$ , so  $\det \varphi(P) = 1$  for all  $P \in SU_2$ . Thus  $\varphi(P) \in SO_3(\mathbb{R})$  for all  $P \in SU_2$ . ■

We shall show the surjectivity and the kernel of  $\varphi$  in the next section.

## 5.2 Basic Topology

Note that in the above, we have used the subspace topological definition.

**Definition 5.12.** For  $X \subseteq \mathbb{R}^n$ , an *open set* in  $X$  is a set of the form  $U \cap X$  where  $U$  is open in  $\mathbb{R}^n$ .

**Definition 5.13.**  $X \subseteq \mathbb{R}^n$  is said to be *disconnected* if there exist non-empty open set  $U, V \subseteq X$  such that  $U \cap V = \emptyset$  and  $U \cup V = X$ . If  $X$  is not disconnected, then it is said to be *connected*.

We show that  $S^3$  is connected. Let  $U \subsetneq S^3$  be open and non-empty. Let  $x \in V = S^3 \setminus U$  such that  $x$  is the limit of a sequence  $\{x_n\} \subseteq U$ . Since  $x \in V$ , which we assume to be open for the sake of contradiction, there exists an open set  $B$  such that  $x \in B \subseteq V$ . But since  $x_n \rightarrow x$ , there are infinitely many  $x_i$ 's contained in  $B$  and hence in  $U_2$ . But then this shows  $U \cap V \neq \emptyset$ , which is a contradiction. Thus  $S^3$  is connected.

**Proposition 5.14.** Let  $f : X \rightarrow Y$  be a continuous map where  $X \subseteq \mathbb{R}^n$  and  $Y \subseteq \mathbb{R}^m$ . If  $X$  is connected, then  $f(X)$  is also connected.

Note that  $A \in SO_3$  implies that it fixes a non-zero vector in  $\mathbb{R}^3$ . One can show that 1 is an eigenvalue of  $A$ . Let  $v$  be a corresponding eigenvector, that is,  $Av = v$ , and let  $\{v, v_1, v_2\}$  be an orthogonal basis of  $\mathbb{R}^3$ . Then  $A$  with respect to this basis is given as  $\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & \sin \theta \\ 0 & -\sin \theta & \cos \theta \end{pmatrix}$  for some  $\theta$ . Thus,  $SO_3(\mathbb{R})$  is the set of all such matrices.

We now continue the proof of **Proposition 5.11**.

*Proof, continued.* We had shown  $\varphi : SU_2 \rightarrow SO_3(\mathbb{R})$  is a continuous homomorphism. Trivially,  $\varphi(I) = I$  and  $\varphi(-I) = I$ , so  $\{\pm I\} \subseteq \ker \varphi$ . Conversely, if  $P \in \ker \varphi$ , then  $PAP^* = A$  for all  $A \in V$ . In particular, for  $A = A_1$ , we have

$$\begin{pmatrix} a & b \\ -\bar{b} & \bar{a} \end{pmatrix} \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \begin{pmatrix} \bar{a} & -b \\ \bar{b} & a \end{pmatrix} = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}. \quad (5.10)$$

We get  $b = 0$ . Similarly, for  $A = A_2$ , we get  $a = \bar{a}$ . Together with  $|a|^2 + |b|^2 = 1$ , we get  $a = \pm 1$ . Thus  $\ker \varphi = \{\pm I\}$ . We now show surjectivity. Let  $H \in SO_3(\mathbb{R})$ , and let its matrix form be given as above with respect to the basis  $\{v, v_1, v_2\}$ . We want to show that there exists  $P \in SU_2$  such that  $\varphi(P) = H$ . Letting  $P = \begin{pmatrix} a & 0 \\ 0 & \bar{a} \end{pmatrix}$ , we have  $\varphi(P)(A_1) = A_1$ , and a linear combination of  $A_2$  and  $A_3$  given as  $\begin{pmatrix} 0 & z \\ -\bar{z} & 0 \end{pmatrix}$  is mapped as  $\varphi(P) \begin{pmatrix} 0 & z \\ -\bar{z} & 0 \end{pmatrix} = \begin{pmatrix} 0 & a^2 z \\ -\bar{a}^2 \bar{z} & 0 \end{pmatrix}$ . Since  $\varphi(P)$  is special orthogonal, we have  $|a|^2 = 1$  or  $a = e^{-i\theta}$  or  $a^2 = e^{-2i\theta}$ . Thus,  $\varphi(P)$  is exactly the rotation by angle  $\theta$  fixing  $v$  given as

$$\varphi(P) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos 2\theta & \sin 2\theta \\ 0 & -\sin 2\theta & \cos 2\theta \end{pmatrix}. \quad (5.11)$$

Thus,  $H \in \text{Im } \varphi$ . ■

October 23rd.

**Proposition 5.15.** Let  $G$  be a closed and connected subgroup of  $GL_n(\mathbb{C})$ . Let  $H \leq G$  such that it contains an open non-empty subset  $U$  of  $G$ . Then  $H = G$ .

*Proof.* We claim that for any  $g \in G$ , the coset  $gH$  is open in  $G$ . Since  $U \subseteq H$  is non-empty and open, there exists some  $y \in U$ . For any  $x \in gH$ , we have  $xH = gH$ . Consider the set  $xy^{-1}U$ , which is an open subset of  $xH$  (and hence of  $gH$ ), and note that  $x \in xy^{-1}U$ . Thus,  $gH$  is open in  $G$ . Now, if  $H$  were a proper subgroup of  $G$ , the collection of cosets  $\{gH \mid g \in G\}$  would contain at least two distinct elements. The union  $\bigcup_{g \in G} gH$  would then be a non-empty open set, and by connectedness of  $G$ , this union must be all of  $G$ . This leads to a contradiction, as a proper subgroup cannot cover the entire group. Therefore,  $H = G$ . ■

**Theorem 5.16.** Let  $N \trianglelefteq SU_2$  be a normal subgroup, and let  $A \in N$  be such that  $A \neq \pm I$ . Then  $N = SU_2$ . In particular,  $SO_3$  is simple.

*Proof.* Let  $P \in N \trianglelefteq SU_2$  with  $P \notin \{\pm I\}$ . Then  $-2 < \text{tr } P < 2$ . Then  $N$  contains the latitude containing  $P$ ; let  $P_0 \neq P$  be another element of the latitude. Now consider the path  $f : [0, 1] \rightarrow SU_2$  such that  $f(t) \in L$  for all  $t$  and  $f(0) = P_0$  and  $f(1) = P$ . Now define  $\theta(t) = P_0^{-1}f(t)$  for  $0 \leq t \leq 1$ . Then  $\theta(0) = I$  and  $\theta(1) = P_0^{-1}P \neq I$ . Note that  $\theta(t)$  is a continuous path in  $N$ , with  $\text{tr } \theta(0) = 2$  and  $(2 - \varepsilon) = \text{tr } \theta(1) < 2$ . Since the trace is continuous,  $N$  contains some matrices of trace  $[2 - \varepsilon, 2]$ . But latitudes are conjugacy classes, so  $N$  contains all matrices of  $SU_2$  with trace in  $[2 - \varepsilon, 2]$ . Thus,  $N$  contains an open neighbourhood of  $I$  in  $SU_2$ . By the previous proposition,  $N = SU_2$ .

Moreover, if  $N_0 \trianglelefteq SO_3(\mathbb{R})$  and is non-trivial, then  $\varphi^{-1}(N_0) \trianglelefteq SU_2$  is non-trivial. By the above,  $\varphi^{-1}(N_0) = SU_2$ , so  $N_0 = SO_3(\mathbb{R})$ . Thus,  $SO_3(\mathbb{R})$  is simple. ■

### 5.2.1 More on Simple Linear Groups

We define  $PSL_n = SL_n/Z(SL_n)$ , where  $Z(SL_n) = \{\lambda I_n \mid \lambda^n = 1\}$  is the centre of  $SL_n$ .

**Theorem 5.17.** *The groups  $PSL_n(\mathbb{C})$  for  $n \geq 2$ ,  $SO_n(\mathbb{C})/Z(SO_n(\mathbb{C}))$  for  $n \geq 5$ , and  $sp_{2n}(\mathbb{C})/Z(sp_{2n}(\mathbb{C}))$  for  $n \geq 1$  are all simple.*

**Theorem 5.18.** *Let  $F$  be a field of characteristic different from  $p$ , and let  $\#F \geq 7$ . Then  $PSL_2(F)$  is simple.*

*Proof.* Let  $N \trianglelefteq SL_2(F)$  such that  $N$  contains  $A \neq \pm I$ . Note here that  $Z(SL_2(F)) = \{\lambda I_2 \mid \lambda^2 = 1\} = \{\pm I_2\}$ . We claim that  $N$  contains an upper-triangular matrix different from  $\pm I$ . Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in N$  with  $A \neq \pm I$ . If  $c = 0$ , then done. Otherwise, consider

$$\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & -x \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a+cx & * \\ c & d-cx \end{pmatrix} \in N. \quad (5.12)$$

There exists  $x \in F$  such that  $a+cx = 0$ . Thus, a matrix of the form  $A = \begin{pmatrix} 0 & b \\ c & d \end{pmatrix} \in N$  exists (these  $b, c, d$  are altered values). Then  $\det A = 1$  implies  $bc = -1$ . Let  $P = \begin{pmatrix} u & 0 \\ 0 & u^{-1} \end{pmatrix}$  for some  $u \in F^\times$ . Then

$$N \ni P^{-1}A^{-1}PA = \begin{pmatrix} u^{-1} & 0 \\ 0 & u \end{pmatrix} \begin{pmatrix} d & -b \\ -c & 0 \end{pmatrix} \begin{pmatrix} u & 0 \\ 0 & u^{-1} \end{pmatrix} \begin{pmatrix} 0 & b \\ c & d \end{pmatrix} = \begin{pmatrix} u^{-2} & bd(1-u^{-2}) \\ 0 & u^2 \end{pmatrix} = A' \in N. \quad (5.13)$$

We have to verify that this matrix is different from  $\pm I$ . If it were, we must have  $u^2 = \pm 1$  or  $u^4 = 1$ . Since  $\#F \geq 7$ , there exists  $u \in F^\times$  such that  $u^4 \neq 1$ . Thus, we have found an upper-triangular matrix  $A' \in N$  different from  $\pm I$ . Our next claim is that  $N$  contains an upper-triangular matrix with 1's on the diagonal and some non-zero  $u$  in the upper-right entry. We have already found a matrix  $A = \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \in N$  different from  $\pm I$ . If  $a = d$  then  $a^2 = 1$  implies  $a = d = \pm 1$ . If  $a = d = 1$ , then  $A$  is of the desired form, and if  $a = d = -1$ , then  $-A$  is of the desired form. If  $a \neq d$ , then consider

$$N \ni A' = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a & b' \\ 0 & d \end{pmatrix} \in N \quad (5.14)$$

where  $b' = b + d - a$ . Since  $a \neq d$ , we have  $b' \neq b$ . Then look at

$$A'^{-1}A = \begin{pmatrix} 1 & ad-d^2 \\ 0 & 1 \end{pmatrix} \in N \quad (5.15)$$

which is of the desired form since  $a \neq d \implies ad - d^2 \neq 0$ .

We make another claim that the conjugacy classes of  $\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix}$  in  $SL_2(\mathbb{F})$  for  $u \in F^\times$  contains matrices of the form  $\begin{pmatrix} 1 & a^2u \\ 0 & 1 \end{pmatrix}$  for all  $a \in F^\times$  and  $\begin{pmatrix} 1 & 0 \\ -u & 1 \end{pmatrix}$ . The latter matrix is easily obtained by conjugating

with  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . The former matrices are obtained by conjugating with  $\begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix}$  for all  $a \in F^\times$ . Before proceeding further, we show a small lemma: that if  $\text{char} F \neq 2$ , then  $(F, +)$  is generated by  $\{a^2 \mid a \in F^\times\}$ . Easy to see since for all  $x \in F$ , we can write  $x = \frac{(x+1)^2 - (x-1)^2}{4}$ .

One last claim is that since  $\text{char} F \neq 2$ , and there is a matrix of the form  $\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \in N$  for some  $u \neq 0$ , then  $N$  contains all matrices of the form  $\begin{pmatrix} 1 & v \\ 0 & 1 \end{pmatrix}$  for all  $v \in F$ . Let  $H = \{x \mid \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \in N\}$ . Then  $H$  is a subgroup of  $(F, +)$  since if  $x, y \in H$ , then  $x - y \in H$  (verify this). Also  $0 \neq u \in H$  by assumption. By the previous claim,  $a^2 u \in H$  for all  $a \in F$ . But since  $\{a^2 \mid a \in F\}$  generates  $(F, +)$ , we have  $H = F$ . Similar to this claim, one can show that  $N$  contains all matrices of the form  $\begin{pmatrix} 1 & 0 \\ u & 1 \end{pmatrix}$  for all  $u \in F$ . We now show that  $SL_2(F)$  is generated by these two types of matrices. Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(F)$ . Look at

$$\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a + uc & b + ud \\ c & d \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 \\ u & 1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a & b \\ c + ua & d + ub \end{pmatrix}. \quad (5.16)$$

If  $c = 0$ , then  $A_1 = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} A = \begin{pmatrix} a_1 & b_1 \\ c_1 & d_1 \end{pmatrix}$ . Then  $c_1 \neq 0$ . Now there exists  $c' \in F$  such that  $c_1 c' = 1 - a_1$ , or  $c' = c_1^{-1}(1 - a_1)$ . Then

$$A_2 = \begin{pmatrix} 1 & c' \\ 0 & 1 \end{pmatrix} A_1 = \begin{pmatrix} a_1 + c'c_1 & b_1 + c'd_1 \\ c_1 & d_1 \end{pmatrix} = \begin{pmatrix} 1 & b_2 \\ c_2 & d_2 \end{pmatrix}. \quad (5.17)$$

Similarly,

$$A_3 = \begin{pmatrix} 1 & 0 \\ -c_2 & 1 \end{pmatrix} A_2 = \begin{pmatrix} 1 & b_3 \\ 0 & d_3 \end{pmatrix}, \quad A_4 = A_3 \begin{pmatrix} 1 & -b_3 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & d_4 \end{pmatrix}. \quad (5.18)$$

Since  $A_1, A_2, A_3, A_4 \in SL_2(F)$ , we must have  $d_3 = d_4 = 1$ . Taking inverses and rearranging, we have expressed  $A$  as a product of the two types of matrices. Thus,  $N = SL_2(F)$ . ■

**Corollary 5.19.**  *$PSL_2(\mathbb{F}_p)$  is simple for all primes  $p \geq 5$ .*

## 5.3 Exponentials

October 28th.

Let  $A \in M_n(\mathbb{R})$  (or  $M_n(\mathbb{C})$ ). We define the *exponential* of  $A$  as

$$e^A := I + A + \frac{1}{2!}A^2 + \frac{1}{3!}A^3 + \cdots = \sum_{k=0}^{\infty} \frac{1}{k!}A^k. \quad (5.19)$$

Of course, the convergence of this series has to be verified. If  $A$  is a diagonal matrix, then  $e^A$  is also diagonal with diagonal entries  $e^{a_{ii}}$ . If  $A$  is diagonalizable, say  $A = PDP^{-1}$  where  $D$  is diagonal, then  $e^A = Pe^DP^{-1}$ . We extend this to make sense for all  $A$ . Let us define, for a fixed  $A$ ,

$$e^{tA} := I + tA + \frac{t^2}{2!}A^2 + \frac{t^3}{3!}A^3 + \cdots = \sum_{k=0}^{\infty} \frac{t^k}{k!}A^k \quad (5.20)$$

for  $t \in \mathbb{R}$ .

**Theorem 5.20.** *The series  $e^A$  converges absolutely and uniformly on bounded sets of complex matrices. The function  $e^{tA}$  is a differentiable function of  $t$  and its derivative is  $Ae^{tA}$ . If  $AB = BA$ , then  $e^{A+B} = e^A e^B$ .*

**Corollary 5.21.**  $e^A \in GL_n(\mathbb{C})$  for all  $A \in M_n(\mathbb{C})$ , and  $(e^A)^{-1} = e^{-A}$ .

We define a norm on  $M_n(\mathbb{C})$  as  $\|A\| = \max_{i,j} |a_{ij}|$ . One can verify that this is indeed a norm.

**Lemma 5.22.** Let  $A, B \in M_n(\mathbb{C})$ . Then  $\|AB\| \leq n \|A\| \|B\|$ . In particular,  $\|A^k\| \leq n^{k-1} \|A\|^k$  for all  $k \geq 1$ .

*Proof.* Let  $A = (a_{ij})$  and  $B = (b_{ij})$ . Then the  $(i, j)$ -th entry of  $AB$  is given as  $\sum_{k=1}^n a_{ik} b_{kj}$ . Thus,

$$\left| \sum_{k=1}^n a_{ik} b_{kj} \right| \leq \sum_{k=1}^n |a_{ik}| |b_{kj}| \leq \sum_{k=1}^n \|A\| \|B\| = n \|A\| \|B\|. \quad (5.21)$$

Taking maximum over all  $i, j$ , we get  $\|AB\| \leq n \|A\| \|B\|$ . The second part follows from induction. ■

We now prove **Theorem 5.20**.

*Proof.* Let  $S \subseteq M_n(\mathbb{C})$  be a bounded subset. Then there exists  $a > 0$  such that  $n \|A\| < a$  for all  $A \in S$ . This also implies that  $\|A^k\| \leq a^k$ . The  $(i, j)$ -th entry of  $e^A$  is given as

$$(e^A)_{ij} = \sum_{k=0}^{\infty} \frac{1}{k!} (A^k)_{ij} \implies |(e^A)_{ij}| \leq \sum_{k=0}^{\infty} \frac{1}{k!} |(A^k)_{ij}| \leq \sum_{k=0}^{\infty} \frac{1}{k!} \|A^k\| \leq \sum_{k=0}^{\infty} \frac{a^k}{k!} = e^a. \quad (5.22)$$

This last convergence is uniform. Thus,  $e^A$  converges absolutely and uniformly on bounded sets. Next, consider  $e^{tA+B}$ . We have

$$e^{tA+B} = \sum_{k=0}^{\infty} \frac{(tA+B)^k}{k!}. \quad (5.23)$$

The derivative of the  $k$ -th term with respect to  $t$  is given as

$$\frac{d}{dt} \frac{(tA+B)^k}{k!} = \frac{1}{k!} \sum_{i=1}^k (tA+B)^{i-1} A (tA+B)^{k-i}. \quad (5.24)$$

If  $AB = BA$ , then this is  $\frac{1}{k!} kA(tA+B)^{k-1} = \frac{A}{(k-1)!} (tA+B)^{k-1}$ . Thus,

$$\sum_{k=1}^{\infty} \frac{d}{dt} \frac{(tA+B)^k}{k!} = A \sum_{k=1}^{\infty} \frac{(tA+B)^{k-1}}{(k-1)!} = Ae^{tA+B}. \quad (5.25)$$

The last equality is due to uniform convergence of the series, shown above. Thus, since  $e^{tA+B}$  converges uniformly and the series of its derivatives also converges uniformly, we have  $\frac{d}{dt} e^{tA+B} = Ae^{tA+B}$ . Setting  $t = 1$ , we get  $\frac{d}{dt} e^{A+B} = Ae^{A+B}$ ; further setting  $B = 0$ , we get  $\frac{d}{dt} e^{tA} = Ae^{tA}$ .

Finally, consider  $e^{-tA} e^{tA+B}$ . Its derivative with respect to  $t$  is given as

$$\frac{d}{dt} (e^{-tA} e^{tA+B}) = -Ae^{-tA} e^{tA+B} + e^{-tA} Ae^{tA+B} = 0. \quad (5.26)$$

Here, we have assumed  $AB = BA$  to make sense of the derivative. Thus,  $e^{-tA} e^{tA+B} = C$  for some constant matrix  $C$ . Setting  $t = 0$ , we get  $C = e^B$ . Thus,  $e^{tA+B} = e^{tA} e^B$ . Setting  $t = 1$ , we get  $e^{A+B} = e^A e^B$ . ■

**Theorem 5.23.** The columns of the matrix  $e^{tA}$  form a bases for the space of solutions of the differential equation  $\frac{dX(t)}{dt} = AX(t)$ .

*Proof.* We already showed that  $\frac{d}{dt} e^{tA} = Ae^{tA}$ . The  $i$ -th column of  $e^{tA}$  is given as  $e^{tA} e_i$ . Note that we simply have  $\frac{d}{dt} (e^{tA} e_i) = Ae^{tA} e_i$ . Thus, the columns of  $e^{tA}$  satisfy the differential equation  $\frac{dX(t)}{dt} = AX(t)$ . So it is enough to show that every solution is a linear combination of these columns. Let  $X(t)$  be any solution of the differential equation. Consider the matrix  $Y(t) = e^{-tA} X(t)$ . Then

$$\frac{d}{dt} (e^{-tA} X(t)) = -Ae^{-tA} X(t) + e^{-tA} AX(t) = 0 \quad (5.27)$$

which implies that  $Y(t) = C$  for some constant vector  $C$ . Thus,  $X(t) = e^{tA} C$ , which is a linear combination of the columns of  $e^{tA}$ . ■



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