

# **Common Security Advisory Framework Version 2.1**

# **Committee Specification Draft 01**

## 28 May 2025

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#### **Additional artifacts:**

This prose specification is one component of a Work Product that also includes:

- Aggregator JSON schema: https://docs.oasis-open.org/csaf/csaf/v2.1/csd01/schemas/aggregator\_json\_schema.json. Latest stage: https://docs.oasis-open.org/csaf/csaf/v2.1/aggregator\_json\_schema.json.
- CSAF JSON schema: https://docs.oasis-open.org/csaf/csaf/v2.1/csd01/schemas/csaf\_json\_schema.json. Latest stage: https://docs.oasis-open.org/csaf/csaf/v2.1/csaf\_json\_schema.json.
- Provider JSON schema: https://docs.oasis-open.org/csaf/csaf/v2.1/csd01/schemas/provider\_json\_schema.json.
   Latest stage: https://docs.oasis-open.org/csaf/v2.1/provider\_json\_schema.json.

#### **Related work:**

This specification replaces or supersedes:

Common Security Advisory Framework Version 2.0. Edited by Langley Rock, Stefan Hagen, and Thomas Schmidt.
 18 November 2022. OASIS Standard. https://docs.oasis-open.org/csaf/csaf/v2.0/os/csaf-v2.0-os.html.
 Latest stage: https://docs.oasis-open.org/csaf/csaf/v2.0/csaf-v2.0.html.

#### **Declared JSON namespaces:**

- https://docs.oasis-open.org/csaf/csaf/v2.1/aggregator\_json\_schema.json
- https://docs.oasis-open.org/csaf/csaf/v2.1/csaf\_json\_schema.json
- https://docs.oasis-open.org/csaf/csaf/v2.1/provider\_json\_schema.json

### **Abstract:**

The Common Security Advisory Framework (CSAF) Version 2.1 is the definitive reference for the language which supports creation, update, and interoperable exchange of security advisories as structured information on products, vulnerabilities and the status of impact and remediation among interested parties.

#### Status:

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### **Key words:**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] and [RFC8174] when, and only when, they appear in all capitals, as shown here.

## **Citation format:**

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## 1 Introduction

## 1.1 IPR Policy

This specification is provided under the Non-Assertion Mode of the OASIS IPR Policy, the mode chosen when the Technical Committee was established. For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the TC's web page (https://www.oasis-open.org/committees/csaf/ipr.php).

## 1.2 Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] and [RFC8174] when, and only when, they appear in all capitals, as shown here.

For purposes of this document, the following terms and definitions apply:

**advisory** reporting item that describes a condition present in an artifact and that requires action by the consumers **advisory document** artifact in which an analysis tool reports a result

advisory management system software system that consumes the documents produced by analysis tools, produces advisories that enable engineering and operating organizations to assess the quality of these software artifacts at a point in time, and performs functions such as filing security advisories and displaying information about individual advisories. Note: An advisory management system can interact with a document viewer to display information about individual advisories.

advisory matching process of determining whether two advisories are targeting the same products and conditions artifact sequence of bytes addressable via a URI. Examples: A physical file in a file system such as a source file, an object file, a configuration file or a data file; a specific version of a file in a version control system; a database table accessed via an HTTP request; an arbitrary stream of bytes returned from an HTTP request, a product URL, a common product enumeration value.

**CSAF 2.0 to CSAF 2.1 converter** A CSAF producer which takes a CSAF 2.0 document as input and converts it into a valid CSAF 2.1 document.

**CSAF asset matching system** program that connects to or is an asset database and is able to manage CSAF documents as required by CSAF management system as well as matching them to assets of the asset database

**CSAF basic validator** A program that reads a document and checks it against the JSON schema and performs mandatory tests.

CSAF consumer program that reads and interprets a CSAF document

**CSAF content management system** program that is able to create, review and manage CSAF documents and is able to preview their details as required by CSAF viewer.

**CSAF converter** CSAF producer that transforms the output of an analysis tool from its native output format into the CSAF format

**CSAF direct producer** analysis tool which acts as a CSAF producer

**CSAF document** security advisory text document in the format defined by this document.

**CSAF downloader** A program that retrieves CSAF documents in an automated fashion.

**CSAF extended validator** A CSAF basic validator that additionally performs recommended tests.

**CSAF full validator** A CSAF extended validator that additionally performs informative tests.

**CSAF library** A library that implements CSAF data capabilities.

**CSAF library with basic validation** A CSAF library that also satisfies the conformance target "CSAF basic validator".

**CSAF library with extended validation** A CSAF library that also satisfies the conformance target "CSAF extended validator".

CSAF library with full validation A CSAF library that also satisfies the conformance target "CSAF full validator".

**CSAF management system** program that is able to manage CSAF documents and is able to display their details as required by CSAF viewer.

**CSAF modifier** CSAF post-processor which takes a CSAF document as input and modifies the structure or values of properties. The output is a valid CSAF document.

**CSAF post-processor** CSAF producer that transforms an existing CSAF document into a new CSAF document, for example, by removing or redacting elements according to sharing policies.

**CSAF SBOM matching system** A program that connects to or is an SBOM database and is able to manage CSAF documents as required by CSAF management system as well as matching them to SBOM components of the SBOM database.

**CSAF producer** program that emits output in the CSAF format

**CSAF superseder** A CSAF post-processor that transforms a given CSAF into a superseded one.

**CSAF translator** CSAF post-processor which takes a CSAF document as input and translates values of properties into another language. The output is a valid CSAF document.

**CSAF viewer** CSAF consumer that reads a CSAF document, displays a list of the results it contains, and allows an end user to view each result in the context of the artifact in which it occurs.

**CSAF** withdrawer A CSAF post-processor that transforms a given CSAF into a withdrawn one.

**CVRF CSAF converter** CSAF producer which takes a CVRF document as input and converts it into a valid CSAF document.

document output file produced by an analysis tool, which enumerates the results produced by the tool

**driver** tool component containing an analysis tool's or converter's primary executable, which controls the tool's or converter's execution, and which in the case of an analysis tool typically defines a set of analysis rules

**embedded link** syntactic construct which enables a message string to refer to a location mentioned in the document **empty array** array that contains no elements, and so has a length of 0

empty object object that contains no properties

empty string string that contains no characters, and so has a length of 0

(end) user person who uses the information in a document to investigate, triage, or resolve results

**engineering system** software analysis environment within which analysis tools execute. **Note**: An engineering system might include a build system, a source control system, a result management system, a bug tracking system, a test execution system, and so on.

extension tool component other than the driver (for example, a plugin, a configuration file, or a taxonomy)

external property file file containing the values of one or more externalized properties

externalizable property property that can be contained in an external property file

externalized property property stored outside of the CSAF document to which it logically belongs

false positive result which an end user decides does not actually represent a problem

filter refine a list by selecting entries that match given criteria

**fingerprint** stable value that can be used by a result management system to uniquely identify a result over time, even if a relevant artifact is modified

**formatted message** message string which contains formatting information such as Markdown formatting characters **fully qualified logical name** string that fully identifies the programmatic construct specified by a logical location, typically by means of a hierarchical identifier.

**hierarchical string** string in the format <component>{/<component>}\*

**line** contiguous sequence of characters, starting either at the beginning of an artifact or immediately after a newline sequence, and ending at and including the nearest subsequent newline sequence, if one is present, or else extending to the end of the artifact

**line (number)** 1-based index of a line within a file. **Note**: Abbreviated to "line" when there is no danger of ambiguity with "line" in the sense of a sequence of characters.

localizable subject to being translated from one natural language to another

**message string** human-readable string that conveys information relevant to an element in a CSAF document **nested artifact** artifact that is contained within another artifact

**newline sequence** sequence of one or more characters representing the end of a line of text. **Note**: Some systems represent a newline sequence with a single newline character; others represent it as a carriage return character followed by a newline character.

**notification** reporting item that describes a condition encountered by a tool during its execution

**opaque** neither human-readable nor machine-parsable into constituent parts

parent (artifact) artifact which contains one or more nested artifacts

plain text message message string which does not contain any formatting information

plugin tool component that defines additional rules

**policy** set of rule configurations that specify how results that violate the rules defined by a particular tool component are to be treated

**problem** result which indicates a condition that has the potential to detract from the quality of the program. *Examples*: A security vulnerability, a deviation from contractual or legal requirements.

**product** is any deliverable (e.g. software, hardware, specification, or service) which can be referred to with a name. This applies regardless of the origin, the license model, or the mode of distribution of the deliverable.

property attribute of an object consisting of a name and a value associated with the name

**redactable property** property that potentially contains sensitive information that a CSAF direct producer or a CSAF post-processor might wish to redact

reporting item unit of output produced by a tool, either a result or a notification

**reporting configuration** the subset of reporting metadata that a tool can configure at runtime, before performing its scan. *Examples*: severity level, rank

repository container for a related set of files in a version control system

search compile a list of entries that match given criteria

taxonomy classification of analysis results into a set of categories

tag string that conveys additional information about the CSAF document element to which it applies

text artifact artifact considered as a sequence of characters organized into lines and columns

text region region representing a contiguous range of zero or more characters in a text artifact

**tool component** component of an analysis tool or converter, either its driver or an extension, consisting of one or more files

top-level artifact artifact which is not contained within any other artifact

translation rendering of a tool component's localizable strings into another language

triage decide whether a result indicates a problem that needs to be corrected

user see end user.

**VCS** version control system

**vendor** the community, individual, or organization that created or maintains a product (including open source software and hardware providers)

**VEX** Vulnerability Exploitability eXchange - enables a supplier or other party to assert whether or not a particular product is affected by a specific vulnerability, especially helpful in efficiently consuming SBOM data.

viewer see CSAF viewer.

**vulnerability** functional behavior of a product or service that violates an implicit or explicit security policy (conforming to ISO/IEC 29147 [ISO29147])

white space code point used to improve text readability or token separation as defined in section 12.2 of [ECMA-262]

**XML** eXtensible Markup Language - the format used by the predecessors of this standard, namely CVRF 1.1 and CVRF 1.2.

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## 1.5 Typographical Conventions

Keywords defined by this specification use this monospaced font.

Normative source code uses this paragraph style.

Some sections of this specification are illustrated with non-normative examples introduced with "Example" or "Examples" like so:

Example 1:

Informative examples also use this paragraph style but preceded by the text "Example

All examples in this document are informative only.

All other text is normative unless otherwise labeled e.g. like the following informative comment:

This is a pure informative comment that may be present, because the information conveyed is deemed useful advice or common pitfalls learned from implementer or operator experience and often given including the rationale.

# 2 Design Considerations

The Common Security Advisory Framework (CSAF) is a language to exchange Security Advisories formulated in JSON.

The term Security Advisory as used in this document describes any notification of security issues in products of and by providers. Anyone providing a product is considered in this document as a vendor, i.e. developers or maintainers of information system products or services. This includes all authoritative product vendors, Product Security Incident Response Teams (PSIRTs), and product resellers and distributors, including authoritative vendor partners. A security issue is not necessarily constrained to a problem statement, the focus of the term is on the security aspect impacting (or not impacting) specific product-platform-version combinations. Information on presence or absence of workarounds is also considered part of the security issue. This document is the definitive reference for the language elements of CSAF version 2.1. The encompassing JSON schema file noted in the Additional Artifacts section of the title page SHALL be taken as normative in the case a gap or an inconsistency in this explanatory document becomes evident. The following presentation in this section is grouped by topical area, and is not simply derivative documentation from the schema document itself. The information contained aims to be more descriptive and complete. Where applicable, common conventions are stated and known common issues in usage are pointed out informatively to support implementers of document producers and consumers alike.

This minimal required information set does not provide any useful information on products, vulnerabilities, or security advisories. Thus, any real-world Security Advisory will carry additional information as specified in section 3 Schema elements.

Care has been taken, to design the containers for product and vulnerability information to support fine-grained mapping of security advisories onto product and vulnerability and minimize data duplication through referencing. The display of the elements representing Product Tree and Vulnerability information has been placed in the sections named accordingly.

### 2.1 Construction Principles

A Security Advisory defined as a CSAF document is the result of complex orchestration of many players and distinct and partially difficult to play schemas.

The format chosen is [JSONSchema] which allows validation and delegation to sub schema providers. The latter aligns well with separation of concerns and shares the format family of information interchange utilized by the providers of product and vulnerability information which migrated from XML to JSON since the creation of CSAF CVRF version 1.2, the pre-predecessor of this specification.

The acronym CSAF, "Common Security Advisory Framework", stands for the target of concerted mitigation and remediation accomplishment.

Technically, the use of JSON schema allows validation and proof of model conformance (through established schema based validation) of the declared information inside CSAF documents.

The CSAF schema structures its derived documents into three main classes of the information conveyed:

- 1. The frame, aggregation, and reference information of the document
- 2. Product information considered relevant by the creator
- 3. Vulnerability information and its relation to the products declared in 2.

Wherever possible repetition of data has been replaced by linkage through ID elements. Consistency on the content level thus is in the responsibility of the producer of such documents, to link e.g. vulnerability information to the matching product.

A dictionary like presentation of all defined schema elements is given in the section 3. Any expected relations to other elements (linkage) is described there. This linking relies on setting attribute values accordingly (mostly guided by industry best practice and conventions) and thus implies, that any deep validation on a semantic level (e.g. does

the CWE match the described vulnerability) is to be ensured by the producer and consumer of CSAF documents. It is out of scope for this specification.

Proven and intended usage patterns from practice are given where possible.

Delegation to industry best practices technologies is used in referencing schemas for:

- · Classification for Document Distribution
  - Traffic Light Protocol (TLP)
    - \* Default Definition: https://www.first.org/tlp/
- · Exploit Prediction
  - Exploit Prediction Scoring System (EPSS) [EPSS]
- · Platform Data
  - Common Platform Enumeration (CPE) Version 2.3 [CPE23-N]
- Vulnerability Categorization
  - Stakeholder-Specific Vulnerability Categorization [SSVC]
    - \* JSON Schema Reference: https://certcc.github.io/SSVC/data/schema/v1/Decision\_Point\_Value\_Selectio 1-0-1.schema.json
- · Vulnerability Classification
  - Common Weakness Enumeration (CWE) [CWE]
    - \* CWE List: http://cwe.mitre.org/data/index.html
- · Vulnerability Scoring
  - Common Vulnerability Scoring System (CVSS) Version 4.0 [CVSS40]
    - \* JSON Schema Reference: https://www.first.org/cvss/cvss-v4.0.json
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    - \* JSON Schema Reference: https://www.first.org/cvss/cvss-v3.1.json
  - Common Vulnerability Scoring System (CVSS) Version 3.0 [CVSS30]
    - \* JSON Schema Reference: https://www.first.org/cvss/cvss-v3.0.json
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    - \* JSON Schema Reference: https://www.first.org/cvss/cvss-v2.0.json

Even though not all - especially the referenced - JSON schemas prohibit specifically additional properties and custom keywords, it is strongly recommended not to use them. Suggestions for new fields SHOULD be made through issues in the TC's GitHub. The JSON schemas defined in this standard do not allow the use of additional properties and custom keywords.

The standardized fields allow for scalability across different issuing parties and dramatically reduce the human effort and need for dedicated parsers as well as other tools on the side of the consuming parties.

Section 4 defined profiles that are used to ensure a common understanding of which fields are required in a given use case. Additional conventions are stated in section 5. The tests given in section 6 support CSAF producers and consumers to verify rules from the specification which can not be tested by the schema. Section 7 states how to distribute and where to find CSAF documents. Safety, Security and Data Protection are considered in section 8. Finally, a set of conformance targets describes tools in the ecosystem.

### 2.2 Format Validation

The JSON schema 2020-12 dialect per default uses the format keyword just as annotation. To be able to ensure that the format constraints are validated as intended, the following metaschema is defined.

```
{
    "$schema": "https://json-schema.org/draft/2020-12/schema",
    "$id": "https://docs.oasis-open.org/csaf/csaf/v2.1/schema/meta.json",
    "$dynamicAnchor": "meta",
    "$vocabulary": {
        "https://json-schema.org/draft/2020-12/vocab/core": true,
        "https://json-schema.org/draft/2020-12/vocab/format-assertion": true
    },
    "allof": [
        { "$ref": "https://json-schema.org/draft/2020-12/meta/core" },
        { "$ref": "https://json-schema.org/draft/2020-12/meta/format-assertion" }
    ]
}
```

It is then consequently used in all JSON schemas defined in this standard and replaces the reference to the JSON schema 2020-12.

```
{
  "$schema": "https://docs.oasis-open.org/csaf/csaf/v2.1/schema/meta.json",
  // ...
}
```

The format validation is enforced by setting the corresponding vocabulary as required.

If a library used to parse, modify or create CSAF content is unable to deal with this meta schema, it could reach the objective by interpreting the schema as JSON schema 2020-12 dialect and enforcing the format validation via its implementation.

#### 2.3 Date and Time

This standard uses the date-time format as defined in JSON Schema Draft 2020-12 Section 7.3.1. In accordance with [RFC3339] and [ISO8601-1], the following rules apply:

- The letter T separating the date and time SHALL be upper case.
- The separator between date and time MUST be the letter T.
- The letter Z indicating the timezone UTC SHALL be upper case.
- Fractions of seconds are allowed as specified in the standards mention above with the full stop (.) as separator.
- Leap seconds MUST NOT be used. > While a full support of RFC 3339 would be preferred, significant challenges have been mentioned by implementers as most libraries are lacking > the support for leap seconds. > To ensure interoperability, the decision was made to prohibit leap seconds.
- · Empty timezones MUST NOT be used.
- The ABNF of RFC 3339, section 5.6 applies.

## **3 Schema Elements**

The CSAF schema describes how to represent security advisory information as a JSON document.

The CSAF schema Version 2.1 builds on the JSON Schema draft 2020-12 rules extended by the format validation enforcement (see 2.2).

```
"$schema": "https://docs.oasis-open.org/csaf/csaf/v2.1/schema/meta.json"
```

The schema identifier is:

```
"$id": "https://docs.oasis-open.org/csaf/csaf/v2.1/schema/csaf.json"
```

The further documentation of the schema is organized via Definitions and Properties.

- Definitions provide types that extend the JSON schema model
- Properties use these types to support assembling security advisories

Types and properties together provide the vocabulary for the domain specific language supporting security advisories.

The two mandatory properties are \$schema and document. The optional two additional properties are product\_tree and vulnerabilities.

#### 3.1 Definitions

The definitions (\$defs) introduce the following domain specific types into the CSAF language: Acknowledgments (acknowledgments\_t), Branches (branches\_t), Full Product Name (full\_product\_name\_t), Language (lang\_t), Notes (notes\_t), Product Group ID (product\_group\_id\_t), Product Groups (product\_groups\_t), Product ID (product\_id\_t), Products (products\_t), References (references\_t), and Version (version\_t).

```
"$defs": {
    "acknowledgments_t": {
        // ...
    },
    "branches_t": {
        // ...
    },
    "full_product_name_t": {
        // ...
    },
    "lang_t": {
        // ...
    },
    "notes_t": {
        // ...
    },
    "product_group_id_t": {
        // ...
    },
    "product_groups_t": {
         // ...
    },
```

# 3.1.1 Acknowledgments Type

List of Acknowledgments (acknowledgments\_t) type instances of value type array with 1 or more elements contain a list of Acknowledgment elements.

```
"acknowledgments_t": {
    // ...
    "items": {
        // ...
    }
},
```

The value type of Acknowledgment is object with at least 1 and at most 4 properties. Every such element acknowledges contributions by describing those that contributed. The properties are: names, organization, summary, and urls.

### 3.1.1.1 Acknowledgments Type - Names

List of acknowledged names (names) has value type array with 1 or more items holds the names of contributors being recognized. Every such item of value type string with 1 or more characters represents the name of the contributor and contains the name of a single contributor being recognized.

#### Examples 1:

Albert Einstein Johann Sebastian Bach

# 3.1.1.2 Acknowledgments Type - Organization

The contributing organization (organization) has value type string with 1 or more characters and holds the name of the contributing organization being recognized.

Examples 1:

```
CISA
Google Project Zero
Talos
```

## 3.1.1.3 Acknowledgments Type - Summary

Summary of the acknowledgment (summary) of value type string with 1 or more characters SHOULD represent any contextual details the document producers wish to make known about the acknowledgment or acknowledged parties.

Example 1:

First analysis of Coordinated Multi-Stream Attack (CMSA)

### 3.1.1.4 Acknowledgments Type - URLs

List of URLs (urls) of acknowledgment is a container (value type array) for 1 or more string of type URL that specifies a list of URLs or location of the reference to be acknowledged. Any URL of acknowledgment contains the URL or location of the reference to be acknowledged. Value type is string with format URI (uri).

### 3.1.1.5 Acknowledgments Type - Example

Example 1:

```
"acknowledgments": [
    "names": [
      "Johann Sebastian Bach",
      "Georg Philipp Telemann",
      "Georg Friedrich Händel"
    "organization": "Baroque composers",
    "summary": "wonderful music"
  },
    "organization": "CISA",
    "summary": "coordination efforts",
    "urls": [
      "https://cisa.gov"
    1
 },
    "organization": "BSI",
    "summary": "assistance in coordination"
```

```
},
{
    "names": [
        "Antonio Vivaldi"
    ],
    "summary": "influencing other composers"
}
],
```

The example [1] above SHOULD lead to the following outcome in a human-readable advisory:

We thank the following parties for their efforts:

- Johann Sebastian Bach, Georg Philipp Telemann, Georg Friedrich Händel from Baroque composers for wonderful music
- CISA for coordination efforts (see: https://cisa.gov)
- BSI for assistance in coordination
- · Antonio Vivaldi for influencing other composers

#### 3.1.2 Branches Type

List of branches (branches\_t) with value type array contains 1 or more branch elements as children of the current element.

```
"branches_t": {
    //...
    "items": {
        // ...
    }
},
```

Every Branch holds exactly 3 properties and is a part of the hierarchical structure of the product tree. The properties name and category are mandatory. In addition, the object contains either a branches or a product property.

branches\_t supports building a hierarchical structure of products that allows to indicate the relationship of products to each other and enables grouping for simpler referencing. As an example, the structure

MAY use the following levels: vendor -> product\_family -> product\_name -> product\_version. It is recommended to use the hierarchical structure of vendor -> product\_name -> product\_version whenever possible to support the identification and matching of products on the consumer side.

### 3.1.2.1 Branches Type - Branches

List of branches (branches) has the value type branches\_t.

## 3.1.2.2 Branches Type - Category

Category of the branch (category) of value type string and enum describes the characteristics of the labeled branch. Valid enum values are:

```
architecture
host_name
language
legacy
patch_level
platform
product_family
product_name
product_version
product_version_range
service_pack
specification
vendor
```

The value architecture indicates the architecture for which the product is intended.

The value host\_name indicates the host name of a system/service.

The value language indicates the language of the product.

The value legacy indicates an entry that has reached its end of life.

The value patch\_level indicates the patch level of the product.

The value platform indicates the (CPU) platform for which the product is intended.

The value product\_family indicates the product family that the product falls into.

The value product\_name indicates the name of the product.

The value product\_version indicates exactly a single version of the product. The value of the adjacent name property can be numeric or some other descriptor. However, it MUST NOT contain version ranges of any kind.

It is recommended to enumerate versions wherever possible. Nevertheless, the TC understands that this is sometimes impossible. To reflect that in the specification and aid in automatic processing of CSAF documents the value product\_version\_range was introduced. See next section for details.

The value product\_version\_range indicates a range of versions for the product. The value of the adjacent name property SHOULD NOT be used to convey a single version.

The value service\_pack indicates the service pack of the product.

The value specification indicates the specification such as a standard, best common practice, etc.

The value vendor indicates the name of the vendor or manufacturer that makes the product.

## 3.1.2.3 Branches Type - Name

Name of the branch (name) of value type string with 1 or more characters contains the canonical descriptor or 'friendly name' of the branch.

## Examples 1:

10
365
Microsoft
Office
PCS 7
SIMATIC
Siemens
Windows

A leading v or V in the value of name SHOULD only exist for the categories product\_version or product\_version\_range if it is part of the product version as given by the vendor.

### 3.1.2.3.1 Branches Type - Name under Product Version

If adjacent property category has the value product\_version, the value of name MUST NOT contain version ranges of any kind.

Examples 1 (for name when using product\_version):

10 17.4 v3

The product\_version is the easiest way for users to determine whether their version is meant (provided that the given ancestors in the product tree matched): If both version strings are the same, it is a match - otherwise not. Therefore, it is always recommended to enumerate product versions instead of providing version ranges.

Examples 2 (for name when using product\_version which are invalid):

```
8.0.0 - 8.0.1

8.1.5 and later

<= 2

prior to 4.2

All versions < V3.0.29

V3.0, V4.0, V4.1, V4.2
```

All the examples above contain some kind of a version range and are therefore invalid under the category product\_version.

### 3.1.2.3.2 Branches Type - Name under Product Version Range

If adjacent property category has the value product\_version\_range, the value of name MUST contain version ranges. The value of MUST obey to exactly one of the following options:

1. Version Range Specifier (vers)

vers is an ongoing community effort to address the problem of version ranges. Its draft specification is available at [VERS].

vers MUST be used in its canonical form. To convey the term "all versions" the special string vers: all/\*MUST be used.

Examples 1 (for name when using product\_version\_range with vers):

```
vers:gem/>=2.2.0|!=2.2.1|<2.3.0
vers:npm/1.2.3|>=2.0.0|<5.0.0
vers:pypi/0.0.0|0.0.1|0.0.2|0.0.3|1.0|2.0pre1
vers:tomee/>=8.0.0-M1|<=8.0.1</pre>
```

Through the definitions of the vers specification a user can compute whether a given version is in a given range.

### 2. Vers-like Specifier (vls)

This option uses only the <version-constraint> part from the vers specification. It MUST NOT have an URI nor the <versioning-scheme> part. It is a fallback option and SHOULD NOT be used unless really necessary. > The reason for that is, that it is nearly impossible for tools to reliable determine whether a given version is in the range or not.

Tools MAY support this on best effort basis.

Examples 2 (for name when using product\_version\_range with vls):

### 3.1.2.4 Branches Type - Product

Product (product) has the value type Full Product Name (full\_product\_name\_t).

# 3.1.3 Full Product Name Type

Full Product Name (full\_product\_name\_t) with value type object specifies information about the product and assigns the product ID. The properties name and product\_id are required. The property product identification helper is optional.

```
"full_product_name_t": {
    // ...
    "properties": {
        "name": {
            // ...
      },
      "product_id": {
            // ...
      },
      "product_identification_helper": {
```

```
// ...
}
},
```

## 3.1.3.1 Full Product Name Type - Name

Textual description of the product (name) has value type string with 1 or more characters. The value SHOULD be the product's full canonical name, including version number and other attributes, as it would be used in a human-friendly document.

Examples 1:

```
Cisco AnyConnect Secure Mobility Client 2.3.185
Microsoft Host Integration Server 2006 Service Pack 1
```

### 3.1.3.2 Full Product Name Type - Product ID

Product ID (product\_id) holds a value of type Product ID (product\_id\_t).

## 3.1.3.3 Full Product Name Type - Product Identification Helper

Helper to identify the product (product\_identification\_helper) of value type object provides in its properties at least one method which aids in identifying the product in an asset database. Of the given eight properties cpe, hashes, model\_numbers, purls, sbom\_urls, serial\_numbers, skus, and x\_generic\_uris, one is mandatory.

```
"product_identification_helper": {
  "properties": {
    "cpe": {
      // ...
    },
    "hashes": {
      // ...
    },
    "model_numbers": {
      // ...
    },
    "purls": {
      // ...
    "sbom_urls": {
      // ...
    },
    "serial_numbers": {
      // ...
    "skus": {
      // ...
    },
    "x_generic_uris": {
      // ...
```

```
}
}
}
```

A helper to identify the product SHALL identify the product described by the name in its entirety. A matching algorithm has to be able to rely on the product\_identification\_helper information during the matching without having to check e.g. the branches hierarchy leading to the product.

Therefore, it is, for example, prohibited to omit the version from the CPE if a product\_version was given in branches hierarchy leading to the product.

#### 3.1.3.3.1 Full Product Name Type - Product Identification Helper - CPE

Common Platform Enumeration representation (cpe) of value type string of 5 or more characters with pattern (regular expression):

```
^((cpe:2\\.3:[aho\\*\\-](:(((\\?*|\\*?)([a-zA-Z0-9\\-\\._]|(\\\\[\\\\\*\\?!\"#\\$9])){5}(:(([a-zA-Z]{2}|[0-9]{3}))?)|[\\*\\-]))(:(((\\?*|\\*?)([a-zA-Z]{2}|[0-9]{3}))?)|[\\*\\-]))(:(((\\?*|\\*?)([a-zA-Z0-9\\-\\._]|(\\\[\\\\\*\\?!\"#\\$%&'\\(\\)\\+,\\/:;<=>@\\[\\]\\^`\\{\\|\\}~]))+(\\?$])){4})|([c][pP][eE]:\\/[AHOaho]?(:[A-Za-z0-9\\._\\-~%]*){0,6}))$
```

The Common Platform Enumeration (CPE) attribute refers to a method for naming platforms external to this specification. See [CPE23-N] for details.

```
Both, CPE 2.2 and CPE 2.3, are supported in CSAF.
```

## 3.1.3.3.2 Full Product Name Type - Product Identification Helper - Hashes

List of hashes (hashes) of value type array holding at least one item contains a list of cryptographic hashes usable to identify files.

```
"hashes": {
    // ...
    "items": {
        // ...
    }
},
```

Cryptographic hashes of value type object contains all information to identify a file based on its cryptographic hash values. Any cryptographic hashes object has the 2 mandatory properties file\_hashes and filename.

List of file hashes (file\_hashes) of value type array holding at least one item contains a list of cryptographic hashes for this file.

```
"file_hashes": {
    // ...
    "items": {
        // ...
    }
},
```

Each File hash of value type object contains one hash value and algorithm of the file to be identified. Any File hash object has the 2 mandatory properties algorithm and value.

The algorithm of the cryptographic hash representation (algorithm) of value type string with one or more characters contains the name of the cryptographic hash algorithm used to calculate the value. The default value for algorithm is sha256.

## Examples 1:

```
blake2b512
sha256
sha3-512
sha384
sha512
```

These values are derived from the currently supported digests OpenSSL [OPENSSL]. Leading dashes were removed.

```
The command openssl dgst -list (Version 3.4.0 from 2024-10-22) outputs the following:
 Supported digests:
 -blake2b512
                               -blake2s256
                                                             -md4
 -md5
                               -md5-sha1
                                                             -mdc2
 -ripemd
                               -ripemd160
                                                             -rmd160
 -sha1
                               -sha224
                                                             -sha256
 -sha3-224
                               -sha3-256
                                                             -sha3-384
 -sha3-512
                               -sha384
                                                             -sha512
 -sha512-224
                               -sha512-256
                                                             -shake128
                               -sm3
                                                             -ssl3-md5
 -shake256
 -ssl3-sha1
                               -whirlpool
```

The Value of the cryptographic hash representation (value) of value type string of 32 or more characters with pattern (regular expression):

```
^[0-9a-fA-F]{32,}$
```

The Value of the cryptographic hash attribute contains the cryptographic hash value in hexadecimal representation.

#### Examples 2:

```
37df33cb7464da5c7f077f4d56a32bc84987ec1d85b234537c1c1a4d4fc8d09dc29e2e762cb5203677b4775203615d9534a8bfca96a93dc8b461a489f69124a130d786b42204f3341cc
9ea4c8200113d49d26505da0e02e2f49055dc078d1ad7a419b32e291c7afebbb84badfbd46dec42883b
```

The filename representation (filename) of value type string with one or more characters contains the name of the file which is identified by the hash values.

## Examples 3:

```
WINWORD.EXE msotadddin.dll sudoers.so
```

If the value of the hash matches and the filename does not, a user SHOULD prefer the hash value. In such cases, the filename SHOULD be used as informational property.

## 3.1.3.3.3 Full Product Name Type - Product Identification Helper - Model Numbers

The list of models (model\_numbers) of value type array with 1 or more unique items contains a list of model numbers.

A list of models SHOULD only be used if a certain range of model numbers with its corresponding software version is affected, or the model numbers change during update.

This can also be used to identify hardware. If necessary, the software, or any other related part, SHALL be bind to that via a product relationship.

```
"model_numbers": {
      //...
      "items": {
            //...
      }
},
```

Any given model number of value type string with at least 1 character represents a model number of the component to identify - possibly with placeholders.

The terms "model", "model number" and "model variant" are mostly used synonymously. Often it is abbreviated as "MN", M/N" or "model no.".

If a part of a model number of the component to identify is given, it MUST begin at the first and end at the last character position of the string representing the targeted component. The wildcard characters ? (for a single character) and  $\star$  (for zero or more characters) signal exclusion of characters at these positions from matching. This applies also to the first character. An unescaped  $\star$  MUST be the only  $\star$  wildcard in the string. As part of the model number, the special characters ?,  $\star$  and  $\setminus$  MUST be escaped with  $\setminus$ .

Note: A backslash MUST be escaped itself in a JSON string.

### Examples 1:

```
*-G109A/EU?
2024-*
6RA8096-4MV62-0AA0
6RA801?-??V62-0AA0
IC25T060ATCS05-0
```

#### 3.1.3.3.4 Full Product Name Type - Product Identification Helper - purls

List of purls (purls) of value type array with 1 or more unique items contains a list of package URL (purl) identifiers.

```
"purls": {
    //...
    "items": {
        //...
    }
},
```

A package URL representation is a string of 7 or more characters with pattern (regular expression):

```
^pkg:[A-Za-z\\.\\-\\+][A-Za-z0-9\\.\\-\\+]*\\/.+
```

The given pattern does not completely evaluate whether a purl is valid according to the [PURL] specification. It provides a more generic approach and general guidance to enable forward compatibility. CSAF uses only the canonical form of purl to conform with section 3.3 of [RFC3986]. Therefore, URLs starting with pkg://are considered invalid.

The package URL (purl) attribute refers to a method for reliably identifying and locating software packages external to this specification. See [PURL] for details. Multiple purls can be specified to allow for identifiers to locate identical components in different locations.

If multiple purls are specified, they SHALL only differ in their qualifiers. Otherwise, separate product branches SHOULD be used to differentiate between the components.

## 3.1.3.3.5 Full Product Name Type - Product Identification Helper - SBOM URLs

The list of SBOM URLs (sbom\_urls) of value type array with 1 or more items contains a list of URLs where SBOMs for this product can be retrieved.

The SBOMs might differ in format or depth of detail. Currently supported formats are SPDX, CycloneDX, and SWID.

Any given SBOM URL of value type string with format uri contains a URL of one SBOM for this product.

Examples 1:

```
https://raw.githubusercontent.com/CycloneDX/bom-examples/master/SBOM/keycloak-10.0.https://swinslow.net/spdx-examples/example4/main-bin-v2
```

## 3.1.3.3.6 Full Product Name Type - Product Identification Helper - Serial Numbers

The list of serial numbers (serial\_numbers) of value type array with 1 or more unique items contains a list of serial numbers.

A list of serial numbers SHOULD only be used if a certain range of serial numbers with its corresponding software version is affected, or the serial numbers change during update.

```
"serial_numbers": {
     //...
     "items": {
          //...
     }
},
```

Any given serial number of value type string with at least 1 character represents a serial number of the component to identify - possibly with placeholders.

If a part of a serial number of the component to identify is given, it MUST begin at the first and end at the last character position of the string representing the targeted component. The wildcard characters? (for a single character) and  $\star$  (for zero or more characters) signal exclusion of characters at these positions from matching. This applies also to the first character. An unescaped  $\star$  MUST be the only  $\star$  wildcard in the string. As part of the serial number, the special characters?,  $\star$  and  $\setminus$  MUST be escaped with  $\setminus$ .

Note: A backslash MUST be escaped itself in a JSON string.

### Examples 1:

```
*RF8R71YR???
11S45N0249Z1ZS9*
DSEP147100
L15-VM-???
L234.696.30.044.712
```

#### 3.1.3.3.7 Full Product Name Type - Product Identification Helper - SKUs

The list of stock keeping units (skus) of value type array with 1 or more items contains a list of full or abbreviated (partial) stock keeping units.

A list of stock keeping units SHOULD only be used if the list of relationships is used to decouple e.g. hardware from the software, or the stock keeping units change during update. In the latter case the remediations SHALL include the new stock keeping units or a description how it can be obtained.

The use of the list of relationships in the first case is important. Otherwise, the end user is unable to identify which version (the affected or the not affected / fixed one) is used.

Any given stock keeping unit of value type string with at least 1 character represents a full or abbreviated (partial) stock keeping unit (SKU) of the component to identify.

Sometimes this is also called "item number", "article number" or "product number".

If a part of a stock keeping unit of the component to identify is given, it SHOULD begin with the first character of the stock keeping unit and stop at any point. Characters which SHOULD NOT be matched MUST be replaced by either? (for a single character) or  $\star$  (for zero or more characters). Two  $\star$  MUST NOT follow each other.

#### 3.1.3.3.8 Full Product Name Type - Product Identification Helper - Generic URIs

List of generic URIs (x\_generic\_uris) of value type array with at least 1 item contains a list of identifiers which are either vendor-specific or derived from a standard not yet supported.

```
"x_generic_uris": {
    // ...
    "items": {
        // ...
    }
}
```

Any such Generic URI item of value type object provides the two mandatory properties Namespace (namespace) and URI (uri).

The namespace of the generic URI (namespace) of value type string with format uri refers to a URL which provides the name and knowledge about the specification used or is the namespace in which these values are valid.

The URI (uri) of value type string with format uri contains the identifier itself.

These elements can be used to reference a specific component from an SBOM:

Example 1 (linking a component from a CycloneDX SBOM using the bomlink mechanism):

Example 2 (linking a component from an SPDX SBOM):

## 3.1.4 Language Type

Language type (lang\_t) has value type string with pattern (regular expression):

```
^(([A-Za-z]{2,3}(-[A-Za-z]{3})(0,2))?|[A-Za-z]{4,8})(-[A-Za-z]{4})?(-
([A-Za-z]{2}|[0-9]{3}))?(-([A-Za-z0-9]{5,8}|[0-9][A-Za-z0-9]{3}))*(-[A-WY-Za-wy-z0-
9](-[A-Za-z0-9]{2,8})+)*(-[Xx](-[A-Za-z0-9]{1,8})+)?|[Xx](-[A-Za-z0-9]{1,8})+|[Ii]-
[Dd][Ee][Ff][Aa][Uu][Ll][Tt]|[Ii]-[Mm][Ii][Nn][Gg][Oo])$
```

The value identifies a language, corresponding to IETF BCP 47 / RFC 5646. See IETF language registry: https://www.iana.org/assignments/language-subtag-registry/language-subtag-registry

CSAF skips those grandfathered language tags that are deprecated at the time of writing the specification. Even though the private use language tags are supported they should not be used to ensure readability across the ecosystem. It is recommended to follow the conventions for the capitalization of the subtags even though it is not mandatory as most users are used to that.

### Examples 1:

de en fr frc jp

#### 3.1.5 Notes Type

List of notes (notes\_t) of value type array with 1 or more items of type Note contains notes which are specific to the current context.

```
"notes_t": {
    // ...
    "items": {
        // ...
    }
},
```

Value type of every such Note item is object with the mandatory properties category and text providing a place to put all manner of text blobs related to the current context. A Note object MAY provide the optional properties audience, group\_ids, product\_ids and title.

```
"properties": {
  "audience": {
    // ...
 },
  "category": {
   // ...
  "group_ids": {
   // ...
  "product_ids": {
    // ...
  }
  "text": {
   // ...
  },
  "title": {
   // ...
 }
}
```

Audience of note (audience) of value type string with 1 or more characters indicates who is intended to read it.

### Examples 1:

```
all executives operational management and system administrators safety engineers
```

Note category (category) of value type string and enum contains the information of what kind of note this is. Valid enum values are:

```
description
details
faq
general
legal_disclaimer
other
summary
```

The value description indicates the note is a description of something. The optional sibling property title MAY have more information in this case.

The value details indicates the note is a low-level detailed discussion. The optional sibling property title MAY have more information in this case.

The value fag indicates the note is a list of frequently asked questions.

The value general indicates the note is a general, high-level note. The optional sibling property title MAY have more information in this case.

The value legal\_disclaimer indicates the note represents any possible legal discussion, including constraints, surrounding the document.

The value other indicates the note is something that doesn't fit the other categories. The optional sibling attribute title SHOULD have more information to indicate clearly what kind of note to expect in this case.

The value summary indicates the note is a summary of something. The optional sibling property title MAY have more information in this case.

Group IDs (group\_ids) are of value type Product Groups (product\_groups\_t) and contain a list of Product Groups the current note item applies to.

Product IDs (product\_ids) are of value type Products (products\_t) and contain a list of Products the current note item applies to.

Note content (text) of value type string with 1 or more characters holds the content of the note. Content varies depending on type.

Title of note (title) of value type string with 1 or more characters provides a concise description of what is contained in the text of the note.

### Examples 2:

```
Details
Executive summary
Technical summary
Impact on safety systems
```

## 3.1.6 Product Group ID Type

The Product Group ID Type (product\_group\_id\_t) of value type string with 1 or more characters is a reference token for product group instances. The value is a token required to identify a group of products so that it can be referred to from other parts in the document. There is no predefined or required format for the Product Group ID (product\_group\_id) as long as it uniquely identifies a product group in the context of the current document.

```
"product_group_id_t": {
    // ...
},
```

#### Examples 1:

```
CSAFGID-0001
CSAFGID-0002
CSAFGID-0020
```

Even though the standard does not require a specific format it is recommended to use different prefixes for the Product ID and the Product Group ID to support reading and parsing the document.

## 3.1.7 Product Groups Type

List of Product Group ID (product\_groups\_t) of value type array with 1 or more unique items (a set) of type Product Group ID (product\_group\_id\_t) specifies a list of product\_group\_ids to give context to the parent item.

```
"product_groups_t": {
    // ...
    "items": {
         // ...
    }
},
```

# 3.1.8 Product ID Type

The Product ID Type (product\_id\_t) of value type string with 1 or more characters is a reference token for product instances. The value is a token required to identify a full\_product\_name so that it can be referred to from other parts in the document. There is no predefined or required format for the Product ID (product\_id) as long as it uniquely identifies a product in the context of the current document.

```
"product_id_t": {
    // ...
},
```

Examples 1:

CSAFPID-0004 CSAFPID-0008

Even though the standard does not require a specific format it is recommended to use different prefixes for the Product ID and the Product Group ID to support reading and parsing the document.

# 3.1.9 Products Type

List of Product IDs (products\_t) of value type array with 1 or more unique items (a set) of type Product ID (product\_id\_t) specifies a list of product\_ids to give context to the parent item.

```
"products_t": {
    // ...
    "items": {
        // ...
    }
},
```

## 3.1.10 References Type

List of references (references\_t) of value type array with 1 or more items of type Reference holds a list of Reference objects.

```
"references_t": {
    // ...
    "items": {
        // ...
    }
},
```

Value type of every such Reference item is object with the mandatory properties url and summary holding any reference to conferences, papers, advisories, and other resources that are related and considered related to either a surrounding part of or the entire document and to be of value to the document consumer. A reference object MAY provide the optional property category.

Category of reference (category) of value type string and enum indicates whether the reference points to the same document or vulnerability in focus (depending on scope) or to an external resource. Valid enum values are:

```
external self
```

The default value for category is external.

The value external indicates, that this document is an external reference to a document or vulnerability in focus (depending on scope).

The value self indicates, that this document is a reference to this same document or vulnerability (also depending on scope).

This includes links to documents with the same content but different file format (e.g. advisories as PDF or HTML).

Summary of the reference (summary) of value type string with 1 or more characters indicates what this reference refers to.

URL of reference (url) of value type string with format uri provides the URL for the reference.

## 3.1.11 Version Type

The Version (version\_t) type has value type string with pattern (regular expression):

The version specifies a version string to denote clearly the evolution of the content of the document. There are two options how it can be used:

- semantic versioning (preferred; according to the rules below)
- integer versioning

A CSAF document MUST use only one versioning system.

Examples 1:

1 4 0.9.0 1.4.3 2.40.0+21AF26D3

## 3.1.11.1 Version Type - Integer versioning

Integer versioning increments for each version where the /document/tracking/status is final the version number by one. The regular expression for this type is:

The following rules apply:

- 1. Once a versioned document has been released, the contents of that version MUST NOT be modified. Any modifications MUST be released as a new version.
- 2. Version zero (0) is for initial development before the initial\_release\_date. The document status MUST be draft. Anything MAY change at any time. The document SHOULD NOT be considered stable.
- 3. Version 1 defines the initial release to the specified target group. Each new version where /document/tracking/status is final has a version number incremented by one.
- 4. Pre-release versions (document status draft) MUST carry the new version number. Sole exception is before the initial release (see rule 2). The combination of document status draft and version 1 MAY be used to indicate that the content is unlikely to change.
- 5. Build metadata is never included in the version.
- 6. Precedence MUST be determined by integer comparison.

## 3.1.11.2 Version Type - Semantic versioning

Semantic versioning derived the rules from [SemVer]. The regular expression for this type is:

```
^((0|[1-9]\\d*)\\.(0|[1-9]\\d*)\(?:-((?:0|[1-9]\\d*|\\d*[a-zA-Z-][0-9a-zA-Z-]*)(?:\\.(?:0|[1-9]\\d*|\\d*[a-zA-Z-]=(?:9a-zA-Z-]*))*))?(?:\\+([0-9a-zA-Z-]+(?:9a-zA-Z-]+)*))?)$
```

The goal of this structure is to provide additional information to the end user whether a new comparison with the asset database is needed. The "public API" in regards to CSAF is the CSAF document with its structure and content. This results in the following rules:

- 1. A normal version number MUST take the form X.Y.Z where X, Y, and Z are non-negative integers, and MUST NOT contain leading zeroes. X is the major version, Y is the minor version, and Z is the patch version. Each element MUST increase numerically. For instance: 1.9.0 -> 1.10.0 -> 1.11.0.
- 2. Once a versioned document has been released, the contents of that version MUST NOT be modified. Any modifications MUST be released as a new version.
- 3. Major version zero (0.y.z) is for initial development before the initial\_release\_date. The document status MUST be draft. Anything MAY change at any time. The document SHOULD NOT be considered stable. Changes which would increment the major version according to rule 7 are tracked in this stage with (0.y.z) by incrementing the minor version y instead. Changes that would increment the minor or patch version according to rule 6 or 5 are both tracked in this stage with (0.y.z) by incrementing the patch version z instead.
- 4. Version 1.0.0 defines the initial release to the specified target group. The way in which the version number is incremented after this release is dependent on the content and structure of the document and how it changes.
- 5. Patch version Z (x.y.Z  $\mid$  x > 0) MUST be incremented if only backwards compatible bug fixes are introduced. A bug fix is defined as an internal change that fixes incorrect behavior.

In the context of the document this is the case e.g. for spelling mistakes.

- 6. Minor version Y (x.Y.z  $\mid$  x > 0) MUST be incremented if the content of an existing element changes except for those which are covert through rule 7. It MUST be incremented if substantial new information are introduced or new elements are provided. It MAY include patch level changes. Patch version MUST be reset to 0 when minor version is incremented.
- 7. Major version X (X.y.z  $\mid$  X > 0) MUST be incremented if a new comparison with the end user's asset database is required. This includes:
  - changes (adding, removing elements or modifying content) in /product\_tree or elements which contain /product\_tree in their path
  - adding or removing items of /vulnerabilities
  - · adding or removing elements in:
    - /vulnerabilities[]/product\_status/first\_affected
    - /vulnerabilities[]/product\_status/known\_affected
    - /vulnerabilities[]/product\_status/last\_affected
  - · removing elements from:
    - /vulnerabilities[]/product\_status/first\_fixed
    - /vulnerabilities[]/product\_status/fixed
    - /vulnerabilities[]/product\_status/known\_not\_affected

It MAY also include minor and patch level changes. Patch and minor version MUST be reset to 0 when major version is incremented.

8. A pre-release version (document status draft) MAY be denoted by appending a hyphen and a series of dot separated identifiers immediately following the patch version. Identifiers MUST comprise only ASCII alphanumerics and hyphens [0-9A-Za-z-]. Identifiers MUST NOT be empty. Numeric identifiers MUST NOT include leading zeroes. Pre-release versions have a lower precedence than the associated normal version. A pre-release version indicates that the version is unstable and might not satisfy the intended compatibility requirements as denoted by its associated normal version.

# Examples 1:

- 1.0.0-0.3.7
- 1.0.0-alpha
- 1.0.0-alpha.1
- 1.0.0-x-y-z.--
- 1.0.0-x.7.z.92
- 9. Pre-release MUST NOT be included if /document/tracking/status is final.
- 10. Build metadata MAY be denoted by appending a plus sign and a series of dot separated identifiers immediately following the patch or pre-release version. Identifiers MUST comprise only ASCII alphanumerics and hyphens [0-9A-Za-z-]. Identifiers MUST NOT be empty. Build metadata MUST be ignored when determining version precedence. Thus two versions that differ only in the build metadata, have the same precedence.

## Examples 2:

- 1.0.0+20130313144700
- 1.0.0+21AF26D3----117B344092BD
- 1.0.0-alpha+001
- 1.0.0-beta+exp.sha.5114f85
- 11. Precedence refers to how versions are compared to each other when ordered.
  - 1. Precedence MUST be calculated by separating the version into major, minor, patch and pre-release identifiers in that order (Build metadata does not figure into precedence).
  - 2. Precedence is determined by the first difference when comparing each of these identifiers from left to right as follows: Major, minor, and patch versions are always compared numerically.

#### Example 3:

3. When major, minor, and patch are equal, a pre-release version has lower precedence than a normal version:

### Example 4:

$$1.0.0-alpha < 1.0.0$$

- 4. Precedence for two pre-release versions with the same major, minor, and patch version MUST be determined by comparing each dot separated identifier from left to right until a difference is found as follows:
  - 1. Identifiers consisting of only digits are compared numerically.
  - 2. Identifiers with letters or hyphens are compared lexically in ASCII sort order.
  - 3. Numeric identifiers always have lower precedence than non-numeric identifiers.
  - 4. A larger set of pre-release fields has a higher precedence than a smaller set, if all of the preceding identifiers are equal.

#### Example 5:

```
1.0.0-alpha < 1.0.0-alpha.1 < 1.0.0-alpha.beta < 1.0.0-beta < 1.0.0-beta.2 < 1. beta.11 < 1.0.0-rc.1 < 1.0.0
```

Note, that the following values do no conform the semantic versioning described above.

Examples 6 (which are invalid):

```
1.16.13.14-Cor

1.0.0-x-y-z.-

1.0.0+21AF26D3--117B344092BD

2.5.20+3f93da6b+7cc

3.20.0-00
```

## 3.2 Properties

These final four subsections document the four properties of a CSAF document. The two mandatory properties \$schema and document, as well as the optional properties product\_tree and vulnerabilities in that order.

## 3.2.1 Schema Property

JSON schema (\$schema) of value type string and enum with format uri contains the URL of the CSAF JSON schema which the document promises to be valid for. The single valid value for this enum is:

```
https://docs.oasis-open.org/csaf/csaf/v2.1/schema/csaf.json
```

This value allows for tools to identify that a JSON document is meant to be valid against this schema. Tools can use that to support users by automatically checking whether the CSAF adheres to the JSON schema identified by this URL.

## 3.2.2 Document Property

Document level meta-data (document) of value type object with the 6 mandatory properties Category (category), CSAF Version (csaf\_version), Distribution (distribution), Publisher (publisher), Title (title), and Tracking (tracking) captures the meta-data about this document describing a particular set of security advisories. In addition, the document object MAY provide the 7 optional properties Acknowledgments (acknowledgments), Aggregate Severity (aggregate\_severity), Language (lang), License expression (license\_expression), Notes (notes), References (references), and Source Language (source\_lang).

```
"document": {
    // ...
    "properties": {
        "acknowledgments": {
            // ...
      },
      "aggregate_severity" : {
            // ...
      },
      "category": {
            // ...
      },
```

```
"csaf_version": {
    // ...
  },
  "distribution": {
    // ...
  },
  "lang": {
   // ...
  },
  "license_expression": {
   // ...
  },
  "notes": {
    // ...
  },
  "publisher": {
    // ...
  },
  "references": {
    // ...
  },
  "source_lang": {
    // ...
  },
  "title": {
    // ...
  },
  "tracking": {
    // ...
  }
}
```

# 3.2.2.1 Document Property - Acknowledgments

Document acknowledgments (acknowledgments) of value type Acknowledgments Type (acknowledgments\_t) contains a list of acknowledgment elements associated with the whole document.

```
"acknowledgments": {
   // ...
},
```

## **3.2.2.2 Document Property - Aggregate Severity**

Aggregate severity (aggregate\_severity) of value type object with the mandatory property text and the optional property namespace is a vehicle that is provided by the document producer to convey the urgency and criticality with which the one or more vulnerabilities reported should be addressed. It is a document-level metric and applied to the document as a whole — not any specific vulnerability. The range of values in this field is defined according to the document producer's policies and procedures.

```
"aggregate_severity": {
  // ...
```

},

The Namespace of aggregate severity (namespace) of value type string with format uri points to the namespace so referenced.

The Text of aggregate severity (text) of value type string with 1 or more characters provides a severity which is independent of - and in addition to - any other standard metric for determining the impact or severity of a given vulnerability (such as CVSS).

## Examples 1:

```
Critical
Important
Moderate
```

## 3.2.2.3 Document Property - Category

Document category (category) with value type string of 1 or more characters with pattern (regular expression):

```
^[^\\s\\-_\\.](.*[^\\s\\-_\\.])?$
```

Document category defines a short canonical name, chosen by the document producer, which will inform the end user as to the category of document.

It is directly related to the profiles defined in section 4.

```
"category": {
    // ...
}
```

## Examples 1:

```
csaf_base
csaf_security_advisory
csaf_vex
Example Company Security Notice
```

## 3.2.2.4 Document Property - CSAF Version

CSAF version (csaf\_version) of value type string and enum gives the version of the CSAF specification which the document was generated for. The single valid value for this enum is:

## 3.2.2.5 Document Property - Distribution

Rules for sharing document (distribution) of value type object with the mandatory property Traffic Light Protocol (TLP) (tlp) and the optional properties Sharing Group (Sharing Group) and Text (text) describes any constraints on how this document might be shared.

```
"distribution": {
    // ...
    "properties": {
        "sharing_group": {
            // ...
      },
      "text": {
            // ...
      },
      "tlp": {
            // ...
      }
    }
},
```

If multiple values are present, the TLP information SHOULD be preferred as this aids in automation. The Sharing Group SHALL be interpreted as specification to the TLP information. Therefore, the Sharing Group MAY also be used to convey special TLP restrictions:

## Examples 1:

```
E-ISAC members-only
Only releasable to European Energy sector
Releasable to NATO countries
```

Note that for such restrictions the Sharing Group Name MUST exist and all participants MUST know the associated Sharing Group IDs to allow for automation.

## 3.2.2.5.1 Document Property - Distribution - Sharing Group

Sharing Group (sharing\_group) of value type object with the mandatory property Sharing Group ID (id) and the optional property Sharing Group Name (name) contains information about the group this document is intended to be shared with.

```
"sharing_group": {
    // ...
    "properties": {
        "id": {
            // ...
      },
      "name": {
            // ...
      }
    }
},
```

Sharing Group ID (id) of value type string with format uuid and pattern (regular expression):

```
^(([0-9a-f]{8}-[0-9a-f]{4}-4[0-9a-f]{3}-[0-9a-f]{4}-[0-9a-f]{12})|([0]{8}-([0]{4}-){3}[0]{12})|([f]{8}-([f]{4}-){3}[f]{12}))$
```

Sharing Group ID provides the unique ID for the sharing group. This ID is intended to be globally unique and MAY also be used by different issuing parties to share CSAF data within a closed group, e.g. during a Multi-Party Coordinated Vulnerability Disclosure case.

Note, that participants in such cases usually differ. Therefore, it is advised to use one ID per case. Otherwise, the consequences of adding or removing parties from a case and the implications to other cases have to be considered.

The ID SHOULD NOT change throughout different CSAF documents, if the same sharing group is addressed. It MUST differ if a different sharing group is addressed.

It is assumed that the ID is globally unique, if constructed according to the specification for UUID Version 4.

The ID SHALL be valid according to [RFC9562] and recorded in the 8-4-4-4-12 notation in lower case. The ID SHALL be a UUID Version 4 for any closed sharing group, i.e. TLP: GREEN and above.

The following ID values SHOULD NOT be used unless there are technical reasons for them. Therefore, they are reserved for implementation-specific situations:

- A system MAY use the Max UUID for TLP: CLEAR CSAF documents. > For example, the system uses the UUID as an indication whether a user allowed to see the document. > The security considerations from [RFC9562] should be reflected on.
- A system MAY use the Nil UUID for CSAF documents that MUST NOT be shared. > For example, the CSAF document is just being drafted and the accidental leakage should be prevented.

Note, that both values do not indicate a closed sharing group.

A CSAF document with TLP: CLEAR SHOULD NOT contain a sharing group value and SHALL NOT contain any other value for the Sharing Group ID than Max UUID (ffffffff-ffff-ffff-fffffffffff).

If an issuing party distributes multiple versions of a single CSAF document to different sharing groups, the rules for CSAF modifier (cf. section sec) regarding the generation of the value of /document/tracking/id SHALL be applied. This implies that usually the sharing group ID is used as a prefix to the original /document/tracking/id.

Sharing Group Name (name) of value type string with one or more characters contains a human-readable name for the sharing group.

The Sharing Group Name is optional and can be chosen freely by the entity establishing the sharing group. However, the following values are reserved for the conditions below:

- For the Max UUID, the value of name SHALL exist and be Public.
- For the Nil UUID, the value of name SHALL exist and be No sharing allowed.

## 3.2.2.5.2 Document Property - Distribution - Text

The Textual description (text) of value type string with 1 or more characters provides a textual description of additional constraints.

Examples 1:

```
Copyright 2024, Example Company, All Rights Reserved. Distribute freely. Share only on a need-to-know-basis only.
```

## 3.2.2.5.3 Document Property - Distribution - TLP

Traffic Light Protocol (TLP) (tlp) of value type object with the mandatory property Label (label) and the optional property URL (url) provides details about the TLP classification of the document.

```
"tlp": {
    // ...
    "properties": {
        "label": {
            // ...
        },
        "url": {
            // ...
        }
    }
}
```

The Label of TLP (label) with value type string and enum provides the TLP label of the document. Valid values of the enum are:

```
AMBER
AMBER+STRICT
CLEAR
GREEN
RED
```

Note: In the TLP specification there are only 4 labels. The part +STRICT is an extension to TLP: AMBER. To simplify the JSON structure, avoid additional business level tests and aid in parsing, consumption and processing, it is provided as a label to be selected instead of having a separate field.

The default value for label is CLEAR.

Note: This provides the suggested default value for anyone writing CSAF documents as the majority of those are intended to be publicly available.

The URL of TLP version (url) with value type string with format uri provides a URL where to find the textual description of the TLP version which is used in this document. The default value is the URL to the definition by FIRST:

```
https://www.first.org/tlp/
```

## Examples 1:

```
https://www.us-cert.gov/tlp
https://www.bsi.bund.de/SharedDocs/Downloads/DE/BSI/TLP/merkblatt-tlp.pdf
```

# 3.2.2.6 Document Property - Language

Document language (lang) of value type Language Type (lang\_t) identifies the language used by this document, corresponding to IETF BCP 47 / RFC 5646.

### 3.2.2.7 Document Property - License Expression

License expression (license\_expression) of value type string with 1 or more characters contains the SPDX license expression for the CSAF document. It MUST NOT contain a license text. See annex B of [SPDX301] for details. The DocumentRef part given in that ABNF MUST NOT be used in CSAF. Any SPDX license identifier not from the official SPDX license identifier list MUST contain a prefix of the form LicenseRef-license-inventoring-entity>- where license-inventoring-entity> is replaced with a unique name for the entity that provided the database this license identifier was found in. The unique name MAY be a domain name. The same applies for AdditionRef- user defined identifiers.

In addition, the following rules apply:

- License identification:
  - 1. The SPDX License List Version 3.26.0 or later MUST be consulted to identify the appropriate SPDX license identifier or construct an expression based on a SPDX license identifier. Deprecated license identifiers SHOULD NOT be used. SPDX license identifiers that were deprecated before the version listed above MUST NOT be used. > The list is available at https://spdx.org/licenses/. > It includes also the exceptions.
  - 2. If the appropriate license identifier is not found in the SPDX License List or expression been possible to constructed, the license database AboutCode's "ScanCode LicenseDB" MUST be consulted as a next step. License identifiers from this database MUST use the prefix LicenseRef-scancode-. > The database is currently available at https://scancode-licensedb.aboutcode.org/.
    The construction of a license expression with such an identifier is also allowed.
  - 3. If the first two steps did not result in an appropriate license identifier or expression and no extant identifier was found, the issuing party SHALL create their own license identifier following the rules above. The license identifier SHOULD contain the version number of the license. The license text MUST be made available through exactly one document note using the category legal\_disclaimer. The title MUST be License for English or an unspecified document language. For any other language, it SHOULD be the language specific translation of that term.
- · License similarity:
  - Annex C of [SPDX301] applies.

## Examples 1:

```
CC-BY-4.0
LicenseRef-www.example.org-Example-CSAF-License-3.0+
LicenseRef-scancode-public-domain
MIT OR any-OSI
```

#### 3.2.2.8 Document Property - Notes

Document notes (notes) of value type Notes Type (notes\_t) holds notes associated with the whole document.

```
"notes": {
    // ...
},
```

The following combinations of category and title have a special meaning and MUST be used as stated below:

category	title	content of text
description	Product Description	Contains a description of a product given
		in the product_tree in regards to field
		of application and core functionality. This
		SHOULD be bound to the corresponding
		product or product group.
general	General Security	Contains general advise and security
	Recommendations	recommendations that are related, generic
		and might be independently applicable of
		the content of the CSAF document.
legal_disclaimer	License	Contains the only license text of the
		document license.
summary	Summary	Contains a short summary of the content
		of the advisory.

If a note is specific to a product or product group it MUST be bound via the group\_ids respectively product\_ids.

# 3.2.2.9 Document Property - Publisher

Publisher (publisher) has value type object with the mandatory properties Category (category), Name (name) and Namespace (namespace) and provides information on the publishing entity. The 2 other optional properties are: contact\_details and issuing\_authority.

```
"publisher": {
  // ...
  "properties": {
    "category": {
      // ...
    },
    "contact_details": {
      // ...
    "issuing_authority": {
      // ...
    },
    "name": {
      // ...
    "namespace": {
      // ...
},
```

## 3.2.2.9.1 Document Property - Publisher - Category

The Category of publisher (category) of value type string and enum provides information about the category of publisher releasing the document. The valid values are:

coordinator discoverer multiplier other translator user vendor

The value coordinator indicates individuals or organizations that manage a single vendor's response or multiple vendors' responses to a vulnerability, a security flaw, or an incident. This includes all Computer Emergency/Incident Response Teams (CERTs/CIRTs) or agents acting on the behalf of a researcher.

The value discoverer indicates individuals or organizations that find vulnerabilities or security weaknesses. This includes all manner of researchers.

The value multiplier indicates individuals or organizations that use existing CSAF documents or information that could be represented in CSAF, and create their own CSAF documents for distribution to a specific target audience. A single multiplier might have target audiences. > For example, a National CSIRT might create different CSAF documents for the same vulnerability for critical infrastructure companies in different sectors, government agencies, non-critical industry, and the public based on information sharing agreements and threats to the target group.

The creation step can make use of a CSAF modifier that replaces metadata, e.g. the document publisher. Currently, this value includes multipliers, republishers, and forwarders.

The value translator indicates individuals or organizations that translate CSAF documents. This includes all manner of language translators, also those who work for the party issuing the original advisory.

The value other indicates a catchall for everyone else. Currently this includes editors, reviewers, and miscellaneous contributors.

The value user indicates anyone using a vendor's product.

The value vendor indicates developers or maintainers of information system products or services. This includes all authoritative product vendors, product security incident response teams (PSIRTs), open source projects as well as product resellers and distributors, including authoritative vendor partners.

## 3.2.2.9.2 Document Property - Publisher - Contact Details

Contact details (contact\_details) of value type string with 1 or more characters provides information on how to contact the publisher, possibly including details such as web sites, email addresses, phone numbers, and postal mail addresses.

Example 1:

Example Company can be reached at contact\_us@example.com, or via our website at htt

## 3.2.2.9.3 Document Property - Publisher - Issuing Authority

Issuing authority (issuing\_authority) of value type string with 1 or more characters Provides information about the authority of the issuing party to release the document, in particular, the party's constituency and responsibilities or other obligations.

## 3.2.2.9.4 Document Property - Publisher - Name

The Name of publisher (name) of value type string with 1 or more characters contains the name of the issuing party.

# Example 1:

```
BSI
Cisco PSIRT
Siemens ProductCERT
```

## 3.2.2.9.5 Document Property - Publisher - Namespace

The Namespace of publisher (namespace) of value type string with format uri contains a URL which is under control of the issuing party and can be used as a globally unique identifier for that issuing party. The URL SHALL be normalized.

An issuing party can choose any URL which fulfills the requirements state above. The URL MAY be dereferenceable. If an issuing party has chosen a URL, it SHOULD NOT change. Tools can make use of the combination of /document/publisher/namespace and /document/tracking/id as it identifies a CSAF document globally unique.

If an issuing party decides to change its Namespace it SHOULD reissue all CSAF documents with an incremented (patch) version which has no other changes than:

- the new publisher information
- the updated revision history
- the updated item in /document/references[] which points to the new version of the CSAF document
- an added item in /document/references[] which points to the previous version of the CSAF document (if the URL changed)

# Examples 1:

```
https://csaf.io
https://www.example.com
```

#### 3.2.2.10 Document Property - References

Document references (references) of value type References Type (references\_t) holds a list of references associated with the whole document.

```
"references": {
    // ...
},
```

# 3.2.2.11 Document Property - Source Language

Source language (source\_lang) of value type Language Type (lang\_t) identifies if this copy of the document is a translation then the value of this property describes from which language this document was translated.

The property MUST be present and set for any CSAF document with the value translator in /document/publisher/category. The property SHALL NOT be present if the document was not translated.

If an issuing party publishes a CSAF document with the same content in more than one language, one of these documents SHOULD be deemed the "original", the other ones SHOULD be considered translations from the "original". The issuing party can retain its original publisher information including the category.

However, other rules defined in the conformance clause "CSAF translator" SHOULD be applied.

# 3.2.2.12 Document Property - Title

Title of this document (title) of value type string with 1 or more characters SHOULD be a canonical name for the document, and sufficiently unique to distinguish it from similar documents.

Examples 1:

Cisco IPv6 Crafted Packet Denial of Service Vulnerability Example Company Cross-Site-Scripting Vulnerability in Example Generator

## 3.2.2.13 Document Property - Tracking

Tracking (tracking) of value type object with the six mandatory properties: Current Release Date (current\_release\_date), Identifier (id), Initial Release Date (initial\_release\_date), Revision History (revision\_history), Status (status), and Version (version) is a container designated to hold all management attributes necessary to track a CSAF document as a whole. The two optional additional properties are Aliases (aliases) and Generator (generator).

```
"tracking": {
  // ...
  "properties": {
    "aliases": {
      // ...
    },
    "current_release_date": {
      // ...
    },
    "generator": {
      // ...
    },
    "id": {
      // ...
    },
    "initial_release_date": {
      // ...
    },
    "revision history": {
      // ...
    },
    "status": {
      // ...
    },
    "version": {
      // ...
    }
},
```

# 3.2.2.13.1 Document Property - Tracking - Aliases

Aliases (aliases) of value type array with 1 or more unique items (a set) representing Alternate Names contains a list of alternate names for the same document.

```
"aliases": {
    // ...
    "items": {
        // ...
    }
},
```

Every such Alternate Name of value type string with 1 or more characters specifies a non-empty string that represents a distinct optional alternative ID used to refer to the document.

Example 1:

CVE-2019-12345

## 3.2.2.13.2 Document Property - Tracking - Current Release Date

Current release date (current\_release\_date) with value type string with format date-time holds the date when the current revision of this document was released.

# 3.2.2.13.3 Document Property - Tracking - Generator

Document Generator (generator) of value type object with mandatory property Engine (engine) and optional property Date (date) is a container to hold all elements related to the generation of the document. These items will reference when the document was actually created, including the date it was generated and the entity that generated it.

```
"generator": {
    // ...
    "properties": {
        "date": {
            // ...
        },
        "engine": {
            // ...
        }
    }
}
```

Date of document generation (date) of value type string with format date-time SHOULD be the current date that the document was generated. Because documents are often generated internally by a document producer and exist for a nonzero amount of time before being released, this field MAY be different from the Initial Release Date and Current Release Date.

Engine of document generation (engine) of value type object with mandatory property Engine name (name) and optional property Engine version (version) contains information about the engine that generated the CSAF document.

Engine name (name) of value type string with 1 or more characters represents the name of the engine that generated the CSAF document.

## Examples 1:

```
Red Hat rhsa-to-cvrf
Secvisogram
TVCE
```

Engine version (version) of value type string with 1 or more characters contains the version of the engine that generated the CSAF document.

Although it is not formally required, the TC suggests to use a versioning which is compatible with Semantic Versioning as described in the external specification [SemVer]. This could help the end user to identify when CSAF consumers have to be updated.

# Examples 2:

```
0.6.0
1.0.0-beta+exp.sha.a1c44f85
2
```

## 3.2.2.13.4 Document Property - Tracking - ID

Unique identifier for the document (id) of value type string with 1 or more characters with pattern (regular expression):

```
^[\\S](.*[\\S])?$
```

Unique identifier for the document holds the Identifier. It SHALL NOT start or end with a white space and SHALL NOT contain a newline sequence.

The ID is a simple label that provides for a wide range of numbering values, types, and schemes. Its value SHOULD be assigned and maintained by the original document issuing authority. It MUST be unique for that organization.

# Examples 1:

```
Example Company - 2019-YH3234
RHBA-2019:0024
cisco-sa-20190513-secureboot
```

The combination of /document/publisher/namespace and /document/tracking/id identifies a CSAF document globally unique.

This value is also used to determine the filename for the CSAF document (cf. section 5.1).

## 3.2.2.13.5 Document Property - Tracking - Initial Release Date

Initial release date (initial\_release\_date) with value type string with format date-time holds the date when this document was first released to the specified target group.

For TLP: CLEAR documents, this is usually the timestamp when the document was published. For TLP: GREEN and higher, this is the timestamp when it was first made available to the specific group. Note that the initial release date does not change after the initial release even if the document is later on released to a broader audience.

If the timestamp of the initial release date was set incorrectly, it MUST be corrected. This change MUST be tracked with a new entry in the revision history.

# 3.2.2.13.6 Document Property - Tracking - Revision History

The Revision History (revision\_history) with value type array of 1 or more Revision History Entries holds one revision item for each version of the CSAF document, including the initial one.

```
"revision_history": {
    // ...
    "items": {
        // ...
    }
},
```

Each Revision contains all the information elements required to track the evolution of a CSAF document. Revision History Entry items are of value type object with the three mandatory properties: Date (date), Number (number), and Summary (summary). In addition, a Revision MAY expose the optional property legacy\_version.

The Date of the revision (date) of value type string with format date-time states the date of the revision entry.

Legacy version of the revision (legacy\_version) of value type string with 1 or more characters contains the version string used in an existing document with the same content.

This SHOULD be used to aid in the mapping between existing (human-readable) documents which might use a different version scheme and CSAF documents with the same content. It is recommended, to use the CSAF revision number to describe the revision history for any new human-readable equivalent.

The Number (number) has value type Version (version\_t).

The Summary of the revision (summary) of value type string with 1 or more characters holds a single non-empty string representing a short description of the changes.

Each Revision item which has a number of 0 or 0.y.z MUST be removed from the document if the document status is final. Versions of the document which are pre-release SHALL NOT have its own revision item. All changes MUST be tracked in the item for the next release version. Build metadata SHOULD NOT be included in the number of any revision item.

### 3.2.2.13.7 Document Property - Tracking - Status

Document status (status) of value type string and enum defines the draft status of the document. The value MUST be one of the following:

```
draft
final
interim
```

The value draft indicates, that this is a pre-release, intended for issuing party's internal use only, or possibly used externally when the party is seeking feedback or indicating its intentions regarding a specific issue.

The value final indicates, that the issuing party asserts the content is unlikely to change. "Final" status is an indication only, and does not preclude updates. This SHOULD be used if the issuing party expects no, slow or few changes.

The value interim indicates, that the issuing party expects rapid updates. This SHOULD be used if the expected rate of release for this document is significant higher than for other documents. Once the rate slows down it MUST be changed to final. This MAY be done in a patch version.

This is extremely useful for downstream vendors to constantly inform the end users about ongoing investigation. It can be used as an indication to pull the CSAF document more frequently.

### 3.2.2.13.8 Document Property - Tracking - Version

Version has the value type Version (version\_t).

## 3.2.3 Product Tree Property

Product Tree (product\_tree) has value type object with 1 or more properties is a container for all fully qualified product names that can be referenced elsewhere in the document. The properties are Branches (branches), Full Product Names (full\_product\_names), Product Groups (product\_groups), and Relationships (relationships).

```
"product_tree": {
    // ...
    "properties": {
        "branches": {
            // ...
        },
```

## 3.2.3.1 Product Tree Property - Branches

List of branches (branches) has the value type branches\_t.

## 3.2.3.2 Product Tree Property - Full Product Names

List of full product names (full\_product\_names) of value type array with 1 or more items of type full\_product\_name\_t contains a list of full product names.

## 3.2.3.3 Product Tree Property - Product Groups

List of product groups (product\_groups) of value type array with 1 or more items of value type object contains a list of product groups.

```
"product_groups": {
    // ...
    "items": {
        // ...
    }
},
```

The product group items are of value type object with the 2 mandatory properties Group ID (group\_id) and Product IDs (product\_ids) and the optional Summary (summary) property.

The summary of the product group (summary) of value type string with 1 or more characters gives a short, optional description of the group.

## Examples 1:

Products supporting Modbus.
The x64 versions of the operating system.

Group ID (group\_id) has value type Product Group ID (product\_group\_id\_t).

List of Product IDs (product\_ids) of value type array with 2 or more unique items of value type Product ID (product\_id\_t) lists the product\_ids of those products which known as one group in the document.

## 3.2.3.4 Product Tree Property - Relationships

List of relationships (relationships) of value type array with 1 or more items contains a list of relationships.

```
"relationships": {
    // ...
    "items": {
        // ...
    }
}
```

The Relationship item is of value type object and has four mandatory properties: Relationship category (category), Full Product Name (full\_product\_name), Product Reference (product\_reference), and Relates to Product Reference (relates\_to\_product\_reference). The Relationship item establishes a link between two existing full\_product\_name\_t elements, allowing the document producer to define a combination of two products that form a new full\_product\_name entry.

```
"properties": {
    "category": {
        // ...
    },
    "full_product_name": {
        // ...
    },
    "product_reference": {
        // ...
    },
    "relates_to_product_reference": {
        // ...
    }
}
```

The situation where a need for declaring a Relationship arises, is given when a product is e.g. vulnerable only when installed together with another, or to describe operating system components.

Relationship category (category) of value type string and enum defines the category of relationship for the referenced component. The valid values are:

```
default_component_of
external_component_of
installed_on
installed_with
optional_component_of
```

The value default\_component\_of indicates that the entity labeled with one Product ID (e.g. CSAFPID-0001) is a default component of an entity with another Product ID (e.g. CSAFPID-0002). These Product IDs SHOULD NOT be identical to provide minimal redundancy.

The value external\_component\_of indicates that the entity labeled with one Product ID (e.g. CSAFPID-0001) is an external component of an entity with another Product ID (e.g. CSAFPID-0002). These Product IDs SHOULD NOT be identical to provide minimal redundancy.

The value installed\_on indicates that the entity labeled with one Product ID (e.g. CSAFPID-0001) is installed on a platform entity with another Product ID (e.g. CSAFPID-0002). These Product IDs SHOULD NOT be identical to provide minimal redundancy.

The value installed\_with indicates that the entity labeled with one Product ID (e.g. CSAFPID-0001) is installed alongside an entity with another Product ID (e.g. CSAFPID-0002). These Product IDs SHOULD NOT be identical to provide minimal redundancy.

The value optional\_component\_of indicates that the entity labeled with one Product ID (e.g. CSAFPID-0001) is an optional component of an entity with another Product ID (e.g. CSAFPID-0002). These Product IDs SHOULD NOT be identical to provide minimal redundancy.

Full Product Name (full\_product\_name) of value type Full Product Name Type (full\_product\_name\_t).

Product Reference (product\_reference) of value type Product ID (product\_id\_t) holds a Product ID that refers to the Full Product Name element, which is referenced as the first element of the relationship.

Relates to Product Reference (relates\_to\_product\_reference) of value type Product ID (product\_id\_t) holds a Product ID that refers to the Full Product Name element, which is referenced as the second element of the relationship.

## Examples 1:

```
"product_tree": {
  "full_product_names": [
      "product id": "CSAFPID-908070601",
      "name": "Cisco AnyConnect Secure Mobility Client 4.9.04053"
    },
    {
      "product id": "CSAFPID-908070602",
      "name": "Microsoft Windows"
    }
  ],
  "relationships": [
    {
      "product_reference": "CSAFPID-908070601",
      "category": "installed_on",
      "relates_to_product_reference": "CSAFPID-908070602",
      "full_product_name": {
        "product id": "CSAFPID-908070603",
        "name": "Cisco AnyConnect Secure Mobility Client 4.9.04053 installed on Micro
      }
    }
 ]
}
```

The product Cisco AnyConnect Secure Mobility Client 4.9.04053" (Product ID: CSAFPID-908070601) and the product Microsoft Windows (Product ID: CSAFPID-908070602) form together a new product with the separate Product ID CSAFPID-908070603. The latter one can be used to refer to that combination in other parts of the CSAF document. In the preceding example 1, it might be the case that Cisco AnyConnect Secure Mobility Client 4.9.04053" is only vulnerable when installed on Microsoft Windows.

# 3.2.4 Vulnerabilities Property

Vulnerabilities (vulnerabilities) of value type array with 1 or more objects representing vulnerabilities and providing 1 or more properties represents a list of all relevant vulnerability information items.

```
"vulnerabilities": {
    // ...
    "items": {
        // ...
    }
}
```

The Vulnerability item of value type object with 1 or more properties is a container for the aggregation of all fields that are related to a single vulnerability in the document. Any vulnerability MAY provide the optional properties Acknowledgments (acknowledgments), Common Vulnerabilities and Exposures (CVE) (cve), Common Weakness Enumeration (CWE) (cwes), Disclosure Date (disclosure\_date), Discovery Date (discovery\_date), List of first known exploitation dates (first\_known\_exploitation\_dates), Flags (flags), IDs (ids), Involvements (involvements), Metrics (metrics), Notes (notes), Product Status (product\_status), References (references), Remediations (remediations), Threats (threats), and Title (title).

```
"properties": {
  "acknowledgments": {
   // ...
 },
  "cve": {
   // ...
  },
  "cwes": {
   // ...
  "disclosure_date": {
   // ...
  "discovery_date": {
   // ...
  "first_known_exploitation_dates": {
    // ...
  },
  "flags": {
    // ...
  },
  "ids": {
    // ...
```

```
"involvements": {
 // ...
},
"metrics": {
  // ...
},
"notes": {
  // ...
"product_status": {
 // ...
},
"references": {
  // ...
},
"remediations": {
  // ...
"threats": {
  // ...
},
"title": {
 // ...
}
```

# 3.2.4.1 Vulnerabilities Property - Acknowledgments

Vulnerability acknowledgments (acknowledgments) of value type Acknowledgments Type (acknowledgments\_t) contains a list of acknowledgment elements associated with this vulnerability item.

```
"acknowledgments": {
   // ...
},
```

# 3.2.4.2 Vulnerabilities Property - CVE

CVE (cve) of value type string with pattern (regular expression):

```
^CVE-[0-9]{4}-[0-9]{4,}$
```

holds the MITRE standard Common Vulnerabilities and Exposures (CVE) tracking number for the vulnerability.

# 3.2.4.3 Vulnerabilities Property - CWEs

List of CWEs (cwes) of value type array with 1 or more unique items (a set) of value type object contains a list of CWEs.

}

```
"cwes": {
    // ...
    "items": {
        // ...
    }
},
```

It is expected that the list of CWEs is ordered from the most specific weakness ID to the least specific one.

Every CWE item of value type object with the 3 mandatory properties Weakness ID (id), Weakness Name (name), CWE version (version) holds the MITRE standard Common Weakness Enumeration (CWE) for the weakness associated. For more information cf. [CWE].

The Weakness ID (id) has value type string with pattern (regular expression):

```
^CWE-[1-9]\\d{0,5}$
```

It holds the ID for the weakness associated.

Examples 1:

```
CWE-22
CWE-352
CWE-79
```

The Weakness name (name) has value type string of 1 or more characters with pattern (regular expression):

```
^[^\\s\\-_\\.](.*[^\\s\\-_\\.])?$
```

The Weakness name holds the full name of the weakness as given in the CWE specification.

Examples 2:

```
Cross-Site Request Forgery (CSRF)
Improper Limitation of a Pathname to a Restricted Directory ('Path Traversal')
Improper Neutralization of Input During Web Page Generation ('Cross-site Scripting'
```

The CWE version (version) has value type string with pattern (regular expression):

```
^[1-9]\\d*\\.([0-9]|([1-9]\\d+))(\\.\\d+)?$
```

It holds the version string of the CWE specification this weakness was extracted from. When creating or modifying a CSAF document, the latest published version of the CWE specification SHOULD be used.

Examples 3:

```
"1.0",
"3.4.1",
"4.0",
"4.11",
"4.12"
```

## 3.2.4.4 Vulnerabilities Property - Disclosure Date

Disclosure date (disclosure\_date) of value type string with format date-time holds the date and time the vulnerability was originally disclosed to the public.

For vulnerabilities not yet disclosed to the public, a disclosure date in the future SHOULD indicate the intended date for disclosure of the vulnerability. This is also sometimes called embargo date. As disclosure dates may change during a vulnerability disclosure process, an issuing party SHOULD produce an updated CSAF document to confirm that the vulnerability was in fact disclosed to the public at that time or update the disclosure\_date with the new intended date in the future.

## 3.2.4.5 Vulnerabilities Property - Discovery Date

Discovery date (discovery\_date) of value type string with format date-time holds the date and time the vulnerability was originally discovered.

### 3.2.4.6 Vulnerabilities Property - First Known Exploitation Dates

List of first known exploitation dates (first\_known\_exploitation\_dates) of value type array with 1 or more unique items (a set) of value type object contains a list of dates of first known exploitations.

```
"first_known_exploitation_dates": {
    // ...
    "items": {
        // ...
    }
},
```

Every First known exploitation date item of value type object with the 2 mandatory properties Date of the information (date) and Date of the exploitation (exploitation\_date) holds at least 3 properties and contains information on when this vulnerability was first known to be exploited in the wild in the products specified. At least one of the optional elements Group IDs (group\_ids) and Product IDs (product\_ids) MUST be present to state for which products or product groups this date is applicable.

This information can be helpful to determine the risk of compromise. It can also be used to provide an indication for the time frame to be considered in a threat hunt for the exploitation this vulnerability.

Date of the information (date) of value type string with format date-time contains the date when the information was last updated.

Date of the exploitation (exploitation\_date) of value type string with format date-time contains the date when the exploitation happened.

Different document issuers might have different knowledge about exploitations in the wild that happened. Therefore, the exploitation\_date can differ.

Group IDs (group\_ids) are of value type Product Groups (product\_groups\_t) and contain a list of Product Groups the current first known exploitation date item applies to.

Product IDs (product\_ids) are of value type Products (products\_t) and contain a list of Products the current first known exploitation date item applies to.

### 3.2.4.7 Vulnerabilities Property - Flags

List of flags (flags) of value type array with 1 or more unique items (a set) of value type object contains a list of machine readable flags.

```
"flags": {
    // ...
    "items": {
        // ...
    }
},
```

Every Flag item of value type object with the mandatory property Label (label) contains product specific information in regard to this vulnerability as a single machine readable flag. For example, this could be a machine readable justification code why a product is not affected. At least one of the optional elements Group IDs (group\_ids) and Product IDs (product\_ids) MUST be present to state for which products or product groups this flag is applicable.

These flags enable the receiving party to automate the selection of actions to take.

In addition, any Flag item MAY provide the three optional properties Date (date), Group IDs (group\_ids) and Product IDs (product\_ids).

Date of the flag (date) of value type string with format date-time contains the date when assessment was done or the flag was assigned.

Group IDs (group\_ids) are of value type Product Groups (product\_groups\_t) and contain a list of Product Groups the current flag item applies to.

Label of the flag (label) of value type string and enum specifies the machine readable label. Valid enum values are:

```
component_not_present
inline_mitigations_already_exist
vulnerable_code_cannot_be_controlled_by_adversary
vulnerable_code_not_in_execute_path
vulnerable_code_not_present
```

The given values reflect the VEX not affected justifications. See [VEX-Justification] for more details. The values MUST be used as follows:

- component\_not\_present: The software is not affected because the vulnerable component is not in the product.
- vulnerable\_code\_not\_present: The product is not affected because the code underlying the
  vulnerability is not present in the product. > Unlike component\_not\_present, the component in question
  is present, but for whatever reason (e.g. compiler options) > the specific code causing the vulnerability is not
  present in the component.
- vulnerable\_code\_cannot\_be\_controlled\_by\_adversary: The vulnerable component is present, and the component contains the vulnerable code. However, vulnerable code is used in such a way that an attacker cannot mount any anticipated attack.
- vulnerable\_code\_not\_in\_execute\_path: The affected code is not reachable through the execution of the code, including non-anticipated states of the product. > Components that are neither used nor executed by the product.
- inline\_mitigations\_already\_exist: Built-in inline controls or mitigations prevent an adversary from leveraging the vulnerability.

Product IDs (product\_ids) are of value type Products (products\_t) and contain a list of Products the current flag item applies to.

## 3.2.4.8 Vulnerabilities Property - IDs

List of IDs (ids) of value type array with one or more unique ID items of value type object represents a list of unique labels or tracking IDs for the vulnerability (if such information exists).

```
"ids": {
    // ...
    "items": {
        // ...
    }
},
```

Every ID item of value type object with the two mandatory properties System Name (system\_name) and Text (text) contains a single unique label or tracking ID for the vulnerability.

System name (system\_name) of value type string with 1 or more characters indicates the name of the vulnerability tracking or numbering system.

Examples 1:

```
Cisco Bug ID
GitHub Issue
```

Text (text) of value type string with 1 or more characters is unique label or tracking ID for the vulnerability (if such information exists).

Examples 2:

```
CSCso66472
oasis-tcs/csaf#210
```

General examples may include an identifier from a vulnerability tracking system that is available to customers, such as:

- · a Cisco bug ID,
- · a GitHub Issue number,
- · an ID from a Bugzilla system, or
- an ID from a public vulnerability database such as the X-Force Database.

The ID MAY be a vendor-specific value but is not to be used to publish the CVE tracking numbers (MITRE standard Common Vulnerabilities and Exposures), as these are specified inside the dedicated CVE element.

## 3.2.4.9 Vulnerabilities Property - Involvements

List of involvements (involvements) of value type array with 1 or more unique items (a set) of value type object contains a list of involvements.

```
"involvements": {
    // ...
    "items": {
        // ...
    }
},
```

Every Involvement item of value type object with the 2 mandatory properties Party (party), Status (status) and the 5 optional properties Party contact information (contact), Date of involvement (date), Group IDs (group\_ids), Product IDs (product\_ids), and Summary (summary) is a container that allows the document producers to comment on the level of involvement (or engagement) of themselves (or third parties) in the vulnerability identification, scoping, and remediation process. It can also be used to convey the disclosure timeline. The ordered tuple of the values of party and date (if present) SHALL be unique within involvements.

```
"properties": {
  "contact": {
   // ...
 },
  "date": {
    // ...
  },
  "group_ids" {
   // ...
 },
  "party": {
   // ...
  },
  "product_ids": {
   // ...
 },
  "status": {
    // ...
  },
  "summary": {
    // ...
 }
```

Party contact information (contact) contains the contact information of the party that was used in this state.

In many cases, that could be an email address.

Date of involvement (date) of value type string with format date-time holds the date and time of the involvement entry.

Group IDs (group\_ids) are of value type Product Groups (product\_groups\_t) and contain a list of Product Groups the current involvement item applies to.

Party category (party) of value type string and enum defines the category of the involved party. Valid values are:

coordinator discoverer other user vendor

These values follow the same definitions as given for the publisher category (cf. section 3.2.2.9.1).

Product IDs (product\_ids) are of value type Products (products\_t) and contain a list of Products the current involvement item applies to.

Party status (status) of value type string and enum defines contact status of the involved party. Valid values are:

completed
contact\_attempted
disputed
in\_progress
not\_contacted
open

Each status is mutually exclusive - only one status is valid for a particular vulnerability at a particular time. As the vulnerability ages, a party's involvement could move from state to state. However, in many cases, a document producer may choose not to issue CSAF documents at each state, or simply omit this element altogether. It is recommended, however, that vendors that issue CSAF documents indicating an open or in-progress involvement SHOULD eventually expect to issue a document containing one of the statuses disputed or completed as the latest one.

The two vulnerability involvement status states, contact\_attempted and not\_contacted are intended for use by document producers other than vendors (such as research or coordinating entities).

The value completed indicates that the party asserts that investigation of the vulnerability is complete. No additional information, fixes, or documentation from the party about the vulnerability should be expected to be released.

The value contact\_attempted indicates that the document producer attempted to contact the party.

The value disputed indicates that the party disputes the vulnerability report in its entirety. This status SHOULD be used when the party believes that a vulnerability report regarding a product is completely inaccurate (that there is no real underlying security vulnerability) or that the technical issue being reported has no security implications.

The value in\_progress indicates that some hotfixes, permanent fixes, mitigations, workarounds, or patches may have been made available by the party, but more information or fixes may be released in the future. The use of this status by a vendor indicates that future information from the vendor about the vulnerability is to be expected.

The value not\_contacted indicates that the document producer has not attempted to make contact with the party.

The value open is the default status. It doesn't indicate anything about the vulnerability remediation effort other than the fact that the party has acknowledged awareness of the vulnerability report. The use of this status by a vendor indicates that future updates from the vendor about the vulnerability are to be expected.

Summary of involvement (summary) of value type string with 1 or more characters contains additional context regarding what is going on.

# 3.2.4.10 Vulnerabilities Property - Metrics

List of metrics (metrics) of value type array with 1 or more unique items (a set) of value type object Contains metric objects for the current vulnerability.

```
"metrics": {
   // ...
   "items": {
        // ...
   }
},
```

Every Metric item of value type object with the mandatory properties content and products and the optional property source contains all metadata about the metric including products it applies to and the source and the content itself.

## 3.2.4.10.1 Vulnerabilities Property - Metrics - Content

Content (content) of value type object with the optional properties CVSS v2 (cvss\_v2), CVSS v3 (cvss\_v3), CVSS v4 (cvss\_v4), EPSS (epss), and SSVC v1 (ssvc\_v1) specifies information about (at least one) metric or score for the given products regarding the current vulnerability. A Content object has at least 1 property.

```
"properties": {
  "cvss v2": {
    // ...
  },
  "cvss_v3": {
    "oneOf": [
      // ...
    1
  },
  "cvss_v4": {
   // ...
  },
  "epss": {
    // ...
  },
  "ssvc_v1": {
    // ....
  }
```

The property CVSS v2 (cvss\_v2) holding a CVSS v2.0 value abiding by the schema at https://www.first.org/cvss/cvss-v2.0.json.

The property CVSS v3 (cvss\_v3) holding a CVSS v3.x value abiding by one of the schemas at https://www.first.org/cvss/cvss-v3.0.json or https://www.first.org/cvss/cvss-v3.1.json.

The property CVSS v4 (cvss\_v4) holding a CVSS v4.0 value abiding by the schema at https://www.first.org/cvss/cvss-v4.0.json.

The property SSVC v1 (ssvc\_v1) holding an SSVC Decision Point Value Selection v1.0.1 value abiding by the schema at https://certcc.github.io/SSVC/data/schema/v1/Decision\_Point\_Value\_Selection-1-0-1.schema.json.

The property EPSS (epss) of value type object with the 3 mandatory properties Percentile (percentile), Probability (probability) and EPSS timestamp (timestamp) contains the EPSS data.

Percentile (percentile) has value type string with pattern (regular expression):

```
^(([0]\\.([0-9])+)|([1]\\.[0]+))$
```

The value contains the rank ordering of probabilities from highest to lowest.

Probability (probability) with pattern (regular expression):

```
^(([0]\\.([0-9])+)|([1]\\.[0]+))$
```

The value contains the likelihood that any exploitation activity for this Vulnerability is being observed in the 30 days following the given timestamp.

EPSS timestamp (timestamp) of value type string with format date-time holds the date and time the EPSS value was recorded.

# 3.2.4.10.2 Vulnerabilities Property - Metrics - Products

Product IDs (products) of value type products\_t with 1 or more items indicates for which products the given content applies. A metric object SHOULD reflect the associated product's status (for example, a fixed product no longer contains a vulnerability and should have a CVSS score of 0, or simply no score listed; the known affected versions of that product can list the vulnerability score as it applies to them).

## 3.2.4.10.3 Vulnerabilities Property - Metrics - Source

Source (source) of value type string with format uri contains the URL of the source that originally determined the metric. If no source is given, then the metric was assigned by the document author.

For example, this could point to the vendor advisory, discoverer blog post, a multiplier's assessment or other sources that provide metric information.

## 3.2.4.11 Vulnerabilities Property - Notes

Vulnerability notes (notes) of value type Notes Type (notes\_t) holds notes associated with this vulnerability item.

```
"notes": {
    // ...
},
```

The following combinations of category and title have a special meaning and MUST be used as stated below:

category	title	content of text
description	CVE Description	Contains the official and unchanged CVE
		description for this specific vulnerability.
description	Preconditions	Contains a description of the
		preconditions that have to be fulfilled to
		be able to exploit the vulnerability,
		e.g. user account or physical access.
summary	Vulnerability Summary	Contains a summary of the vulnerability
		which is not the official CVE description.

If a note is specific to a product or product group it MUST be bound via the group\_ids respectively product\_ids.

#### 3.2.4.12 Vulnerabilities Property - Product Status

Product status (product\_status) of value type object with 1 or more properties contains different lists of product\_ids which provide details on the status of the referenced product related to the current vulnerability. The nine defined properties are First affected (first\_affected), First fixed (first\_fixed), Fixed (fixed), Known affected (known\_affected), Known not affected (known\_not\_affected), Last affected (last\_affected), Recommended (recommended), Under investigation (under\_investigation) and Unknown (unknown) are all of value type Products (products\_t).

```
"known_not_affected": {
      // ...
    },
    "last affected": {
      // ...
    },
    "recommended": {
      // ...
    },
    "under_investigation": {
      // ...
    },
    "unknown": {
      // ...
    }
  }
},
```

First affected (first\_affected) of value type Products (products\_t) represents that these are the first versions of the releases known to be affected by the vulnerability.

First fixed (first\_fixed) of value type Products (products\_t) represents that these versions contain the first fix for the vulnerability but may not be the recommended fixed versions.

Fixed (fixed) of value type Products (products\_t) represents that these versions contain a fix for the vulnerability but may not be the recommended fixed versions.

Known affected (known\_affected) of value type Products (products\_t) represents that these versions are known to be affected by the vulnerability. Actions are recommended to remediate or address this vulnerability.

This could include for instance learning more about the vulnerability and context, and/or making a risk-based decision to patch or apply defense-in-depth measures. See /vulnerabilities[]/remediations, /vulnerabilities[]/notes and /vulnerabilities[]/threats for more details.

Known not affected (known\_not\_affected) of value type Products (products\_t) represents that these versions are known not to be affected by the vulnerability. No remediation is required regarding this vulnerability.

This could for instance be because the code referenced in the vulnerability is not present, not exposed, compensating controls exist, or other factors. See /vulnerabilities[]/flags and /vulnerabilities[]/threats in category impact for more details.

Last affected (last\_affected) of value type Products (products\_t) represents that these are the last versions in a release train known to be affected by the vulnerability. Subsequently released versions would contain a fix for the vulnerability.

Recommended (recommended) of value type Products (products\_t) represents that these versions have a fix for the vulnerability and are the vendor-recommended versions for fixing the vulnerability.

Under investigation (under\_investigation) of value type Products (products\_t) represents that it is not known yet whether these versions are or are not affected by the vulnerability. However, it is still under investigation the result will be provided in a later release of the document.

Unknown (unknown) of value type Products (products\_t) represents that it is not known whether these versions are or are not affected by the vulnerability. There is also no investigation and therefore the status might never be determined.

The individual properties form the following product status groups:

· Affected:

```
/vulnerabilities[]/product_status/first_affected[]
/vulnerabilities[]/product_status/known_affected[]
/vulnerabilities[]/product_status/last_affected[]
```

· Not affected:

```
/vulnerabilities[]/product_status/known_not_affected[]
```

Fixed:

```
/vulnerabilities[]/product_status/first_fixed[]
/vulnerabilities[]/product_status/fixed[]
```

· Under investigation:

```
/vulnerabilities[]/product_status/under_investigation[]
```

Unknown:

```
/vulnerabilities[]/product_status/unknown[]
```

As the aforementioned product status groups contradict each other, the sets formed by the contradicting groups within one vulnerability item MUST be pairwise disjoint.

Note: An issuer might recommend (/vulnerabilities[]/product\_status/recommended) a product version from any group - also from the affected group, i.e. if it was discovered that fixed versions introduce a more severe vulnerability.

# 3.2.4.13 Vulnerabilities Property - References

Vulnerability references (references) of value type References Type (references\_t) holds a list of references associated with this vulnerability item.

```
"references": {
    // ...
},
```

### 3.2.4.14 Vulnerabilities Property - Remediations

List of remediations (remediations) of value type array with 1 or more Remediation items of value type object contains a list of remediations.

```
"remediations": {
    // ...
    "items": {
        // ...
    }
},
```

Every Remediation item of value type object with the 2 mandatory properties Category (category) and Details (details) specifies details on how to handle (and presumably, fix) a vulnerability. At least one of the optional elements Group IDs (group\_ids) and Product IDs (product\_ids) MUST be present to state for which products or product groups this remediation is applicable.

In addition, any Remediation MAY expose the six optional properties Date (date), Entitlements (entitlements), Group IDs (group\_ids), Product IDs (product\_ids), Restart required (restart\_required), and URL (url).

```
"properties": {
  "category": {
    // ...
  },
  "date": {
    // ...
  },
  "details": {
    // ...
  },
  "entitlements": {
    // ...
  },
  "group_ids": {
   // ...
  },
  "product_ids": {
    // ...
  },
  "restart_required": {
   // ...
  },
  "url": {
   // ...
  }
}
```

#### 3.2.4.14.1 Vulnerabilities Property - Remediations - Category

Category of the remediation (category) of value type string and enum specifies the category which this remediation belongs to. Valid values are:

```
fix_planned
mitigation
no_fix_planned
none_available
optional_patch
vendor_fix
workaround
```

The value workaround indicates that the remediation contains information about a configuration or specific deployment scenario that can be used to avoid exposure to the vulnerability. There MAY be none, one, or more workarounds available. This is typically the "first line of defense" against a new vulnerability before a mitigation or vendor fix has been issued or even discovered.

The value mitigation indicates that the remediation contains information about a configuration or deployment scenario that helps to reduce the risk of the vulnerability but that does not resolve the vulnerability on the affected product. Mitigations MAY include using devices or access controls external to the affected product. Mitigations MAY not be issued by the original author of the affected product, and they MAY or MAY NOT be officially sanctioned by the document producer.

The value vendor\_fix indicates that the remediation contains information about an official fix that is issued by the original author of the affected product. Unless otherwise noted, it is assumed that this fix fully resolves the vulnerability.

The value optional\_patch indicates that the remediation contains information about an patch that is issued by the original author of the affected product. Its application is not necessary, but might be desired by the user, e.g. to calm a security scanner by updating a dependency to a fixed version even though the dependency in the affected version was used in the product in a way that the product itself was not affected. Unless otherwise noted, it is assumed that this does not change the state regarding the vulnerability.

This is sometimes also referred to as a "regulatory compliance patch".

The value none\_available indicates that there is currently no fix or other remediation available. The text in field details SHOULD contain details about why there is no fix or other remediation.

The value  $fix_planned$  indicates that there is a fix for the vulnerability planned but not yet ready. An issuing party might choose to use this category to announce that a fix is currently developed. The text in field details SHOULD contain details including a date when a customer can expect the fix to be ready and distributed.

The value no\_fix\_planned indicates that there is no fix for the vulnerability and it is not planned to provide one at any time. This is often the case when a product has been orphaned, declared end-of-life, or otherwise deprecated. The text in field details SHOULD contain details about why there will be no fix issued.

Some category values contradict each other and thus are mutually exclusive per product. Therefore, such a combination MUST NOT be used in the list of remediations for the same product. This is independent from whether the product is referenced directly or indirectly through a product group. The following tables shows the allowed and prohibited combinations:

category							
value	workarour	mitigatio	vendor_f	optional_pa	none_availa	fix_plann	no_fix_planr
workaround	allowed	allowed	allowed	prohibited	prohibited	allowed	allowed
mitigation	allowed	allowed	allowed	prohibited	prohibited	allowed	allowed
vendor_fix	allowed	allowed	allowed	prohibited	prohibited	prohibited	prohibited
optional_pa	tporto hibited	prohibited	prohibited	allowed	prohibited	prohibited	prohibited
none_availa	bpdr <b>e</b> hibited	prohibited	prohibited	prohibited	allowed	prohibited	prohibited
fix_planned	allowed	allowed	prohibited	prohibited	prohibited	allowed	prohibited
no_fix_plar	neadlowed	allowed	prohibited	prohibited	prohibited	prohibited	allowed

Some category values contradict certain product status groups. Therefore, such a combination MUST NOT exist in a vulnerability item for the same product. This is independent from whether the product is referenced directly or indirectly through a product group. The following tables shows the allowed, discouraged and prohibited combinations:

category value	Affected	Not Affected	Fixed	Under Investigation	Unknown	Recommended
workaround	allowed	prohibited	prohibited	discouraged	discouraged	allowed
mitigation	allowed	prohibited	prohibited	discouraged	discouraged	allowed
vendor_fix	allowed	prohibited	prohibited	discouraged	discouraged	allowed
optional_patc	h prohibited	allowed	discouraged	allowed	allowed	allowed
none_availabl	e allowed	prohibited	prohibited	allowed	allowed	allowed

category value	Affected	Not Affected	Fixed	Under Investigation	Unknown	Recommended
fix_planned	allowed	discouraged	prohibited	discouraged	discouraged	allowed
no_fix_planne	d allowed	discouraged	prohibited	allowed	allowed	allowed

### 3.2.4.14.2 Vulnerabilities Property - Remediations - Date

Date of the remediation (date) of value type string with format date-time contains the date from which the remediation is available.

## 3.2.4.14.3 Vulnerabilities Property - Remediations - Details

Details of the remediation (details) of value type string with 1 or more characters contains a thorough human-readable discussion of the remediation.

### 3.2.4.14.4 Vulnerabilities Property - Remediations - Entitlements

List of entitlements (entitlements) of value type array with 1 or more items of type Entitlement of the remediation as string with 1 or more characters contains a list of entitlements.

```
"entitlements": {
    // ...
    "items": {
        // ...
    }
},
```

Every Entitlement of the remediation contains any possible vendor-defined constraints for obtaining fixed software or hardware that fully resolves the vulnerability.

## 3.2.4.14.5 Vulnerabilities Property - Remediations - Group IDs

Group IDs (group\_ids) are of value type Product Groups (product\_groups\_t) and contain a list of Product Groups the current remediation item applies to.

#### 3.2.4.14.6 Vulnerabilities Property - Remediations - Product IDs

Product IDs (product\_ids) are of value type Products (products\_t) and contain a list of Products the current remediation item applies to.

### 3.2.4.14.7 Vulnerabilities Property - Remediations - Restart Required

Restart required by remediation (restart\_required) of value type object with the 1 mandatory property Category (category) and the optional property Details (details) provides information on category of restart is required by this remediation to become effective.

```
"restart_required": {
    // ...
    "properties": {
        "category": {
            // ...
     }
     "details": {
            // ...
```

```
}
},
```

Category of restart (category) of value type string and enum specifies what category of restart is required by this remediation to become effective. Valid values are:

```
connected
dependencies
machine
none
parent
service
system
vulnerable_component
zone
```

The values MUST be used as follows:

- none: No restart required.
- vulnerable\_component: Only the vulnerable component (as given by the elements of product\_ids or group\_ids in the current remediation item) needs to be restarted.
- service: The vulnerable component and the background service used by the vulnerable component need to be restarted.
- parent: The vulnerable component and its parent process need to be restarted. This could be the case if the parent process has no build-in way to restart the vulnerable component or process values / context is only given at the start of the parent process.
- dependencies: The vulnerable component and all components which require the vulnerable component to work need to be restarted. This could be the case e.g. for a core service of a software.
- connected: The vulnerable component and all components connected (via network or any type of interprocess communication) to the vulnerable component need to be restarted.
- machine: The machine on which the vulnerable component is installed on needs to be restarted. This is the value which SHOULD be used if an OS needs to be restarted. It is typically the case for OS upgrades.
- zone: The security zone in which the machine resides on which the vulnerable component is installed needs to be restarted. This value might be useful for a remediation if no patch is available. If the malware can be wiped out by restarting the infected machines but the infection spreads fast the controlled shutdown of all machines at the same time and restart afterwards can leave one with a clean system.
- system: The whole system which the machine resides on which the vulnerable component is installed needs to be restarted. This MAY include multiple security zones. This could be the case for a major system upgrade in an ICS system or a protocol change.

Additional restart information (details) of value type string with 1 or more characters provides additional information for the restart. This can include details on procedures, scope or impact.

## 3.2.4.14.8 Vulnerabilities Property - Remediations - URL

URL (url) of value type string with format uri contains the URL where to obtain the remediation.

## 3.2.4.15 Vulnerabilities Property - Threats

List of threats (threats) of value type array with 1 or more items of value type object contains information about a vulnerability that can change with time.

```
"threats": {
    // ...
    "items": {
        // ...
    }
},
```

Every Threat item of value type object with the two mandatory properties Category (category) and Details (details) contains the vulnerability kinetic information. This information can change as the vulnerability ages and new information becomes available. In addition, any Threat item MAY expose the three optional properties Date (date), Group IDs (group\_ids), and Product IDs (product\_ids).

Category of the threat (category) of value type string and enum categorizes the threat according to the rules of the specification. Valid values are:

```
exploit_status
impact
target_set
```

The value exploit\_status indicates that the details field contains a description of the degree to which an exploit for the vulnerability is known. This knowledge can range from information privately held among a very small group to an issue that has been described to the public at a major conference or is being widely exploited globally. For consistency and simplicity, this section can be a mirror image of the CVSS exploitMaturity (v4.0), respectively exploitCodeMaturity (v3.1 and v3.0) or exploitability (v2.0) metric. However, it can also contain a more contextual status, such as "Weaponized" or "Functioning Code".

The value impact indicates that the details field contains an assessment of the impact on the user or the target set if the vulnerability is successfully exploited or a description why it cannot be exploited. If applicable, for consistency and simplicity, this section can be a textual summary of the three CVSS impact metrics. These

metrics measure how a vulnerability detracts from the three core security properties of an information system: Confidentiality, Integrity, and Availability.

The value target\_set indicates that the details field contains a description of the currently known victim population in whatever terms are appropriate. Such terms MAY include: operating system platform, types of products, user segments, and geographic distribution.

Date of the threat (date) of value type string with format date-time contains the date when the assessment was done or the threat appeared.

Details of the threat (details) of value type string with 1 or more characters represents a thorough human-readable discussion of the threat.

Group IDs (group\_ids) are of value type Product Groups (product\_groups\_t) and contain a list of Product Groups the current threat item applies to.

Product IDs (product\_ids) are of value type Products (products\_t) and contain a list of Products the current threat item applies to.

# 3.2.4.16 Vulnerabilities Property - Title

Title (title) has value type string with 1 or more characters and gives the document producer the ability to apply a canonical name or title to the vulnerability.

## 4 Profiles

CSAF documents do not have many required fields as they can be used for different purposes. To ensure a common understanding of which fields are required in a given use case the standard defines profiles. Each subsection describes such a profile by describing necessary content for that specific use case and providing insights into its purpose. The value of /document/category is used to identify a CSAF document's profile. The following rules apply:

- 1. Each CSAF document MUST conform the **CSAF Base** profile.
- 2. Each profile extends the base profile "CSAF Base" directly or indirect through another profile from the standard by making additional fields from the standard mandatory. A profile can always add, but never subtract nor overwrite requirements defined in the profile it extends.
- 3. Any optional field from the standard can also be added to a CSAF document which conforms with a profile without breaking conformance with the profile. One and only exempt is when the profile requires not to have a certain set of fields.
- 4. Values of /document/category starting with csaf\_ are reserved for existing, past, upcoming and future profiles defined in the CSAF standard.
- 5. Values of /document/category starting with csaf\_deprecated\_ are used for official profiles that are marked deprecated. Those profiles are mostly there to allow backwards compatibility, e.g. with older CSAF versions. Therefore, they SHOULD NOT be used for newly created CSAF documents.
- 6. Values of /document/category that do not match any of the values defined in section 4 of this standard SHALL be validated against the "CSAF Base" profile.
- 7. Local or private profiles MAY exist and tools MAY choose to support them.
- 8. If an official profile and a private profile exists, tools MUST validate against the official one from the standard.

### 4.1 Profile 1: CSAF Base

This profile defines the default required fields for any CSAF document. Therefore, it is a "catch all" for CSAF documents that do not satisfy any other profile. Furthermore, it is the foundation all other profiles are build on.

A CSAF document SHALL fulfill the following requirements to satisfy the profile "CSAF Base":

- The following elements MUST exist and be valid:
  - /\$schema
  - /document/category
  - /document/csaf\_version
  - /document/distribution/tlp/label
  - /document/publisher/category
  - /document/publisher/name
  - /document/publisher/namespace
  - /document/title
  - /document/tracking/current\_release\_date
  - /document/tracking/id
  - /document/tracking/initial\_release\_date
  - /document/tracking/revision\_history[]/date
  - /document/tracking/revision\_history[]/number
  - /document/tracking/revision\_history[]/summary
  - /document/tracking/status
  - /document/tracking/version
- The value of /document/category SHALL NOT be equal to any value that is intended to only be used by another profile nor to the (case insensitive) name of any other profile from the standard. This does not differentiate between underscore, dash or whitespace. To explicitly select the use of this profile the value csaf\_base SHOULD be used.

Neither CSAF Security Advisory nor csaf security advisory are valid values for /document/category.

An issuing party might choose to set /document/publisher/name in front of a value that is intended to only be used by another profile to state that the CSAF document does not use the profile associated with this value. In this case, the (case insensitive) string "CSAF" MUST be removed from the value. This SHOULD be done if the issuing party is unable or unwilling to use the value csaf\_base, e.g. due to legal or cooperate identity reasons.

Both values Example Company Security Advisory and Example Company security\_advisory in /document/category use the profile "CSAF Base". This is important to prepare forward compatibility as later versions of CSAF might add new profiles. Therefore, the values which can be used for the profile "CSAF Base" might change.

# 4.2 Profile 2: Security incident response

This profile SHOULD be used to provide a response to a security breach or incident. This MAY also be used to convey information about an incident that is unrelated to the issuing party's own products or infrastructure.

Example Company might use a CSAF document satisfying this profile to respond to a security incident at ACME Inc. and the implications on its own products and infrastructure.

A CSAF document SHALL fulfill the following requirements to satisfy the profile "Security incident response":

- The following elements MUST exist and be valid:
  - all elements required by the profile "CSAF Base".
  - /document/notes with at least one item which has a category of description, details, general or summary > Reasoning: Without at least one note item which contains information about response to the event referred to this doesn't provide > any useful information.
  - /document/references with at least one item which has a category of external > The intended use for this field is to refer to one or more documents or websites which provides more details about the incident.
- The value of /document/category SHALL be csaf\_security\_incident\_response.

#### 4.3 Profile 3: Informational Advisory

This profile SHOULD be used to provide information which are **not related to a vulnerability** but e.g. a misconfiguration.

A CSAF document SHALL fulfill the following requirements to satisfy the profile "Informational Advisory":

- The following elements MUST exist and be valid:
  - all elements required by the profile "CSAF Base".
  - /document/notes with at least one item which has a category of description, details, general or summary > Reasoning: Without at least one note item which contains information about the "issue" which is the topic of the advisory it is useless.
  - /document/references with at least one item which has a category of external > The intended use for this field is to refer to one or more documents or websites which provide more details about > the issue or its remediation (if possible). > This could be a hardening guide, a manual, best practices or any other helpful information.
- The value of /document/category SHALL be csaf\_informational\_advisory.
- The element /vulnerabilities SHALL NOT exist. If there is any information that would reside in the element /vulnerabilities the CSAF document SHOULD use another profile, e.g. "Security Advisory".

If the element /product\_tree exists, a user MUST assume that all products mentioned are affected.

## 4.4 Profile 4: Security Advisory

This profile SHOULD be used to provide information which is related to vulnerabilities and corresponding remediations.

A CSAF document SHALL fulfill the following requirements to satisfy the profile "Security Advisory":

- The following elements MUST exist and be valid:
  - all elements required by the profile "CSAF Base".
  - /product\_tree which lists all products referenced later on in the CSAF document regardless of their state.
  - /vulnerabilities which lists all vulnerabilities.
  - /vulnerabilities[]/notes > Provides details about the vulnerability.
  - /vulnerabilities[]/product\_status > Lists each product's status in regard to the vulnerability.
  - /vulnerabilities[]/product\_status/known\_affected > Lists affected products in regard to the vulnerability.
  - For each product given in /vulnerabilities[]/product\_status/fixed, the corresponding affected version SHALL be given. > Corresponding versions are usually in the same branches element.
- The value of /document/category SHALL be csaf\_security\_advisory.
- The following elements SHOULD exist:
  - /vulnerabilities[]/product\_status/fixed > Lists fixed products in regard to the vulnerability.
  - /vulnerabilities[]/remediations > Lists for each affected product in regard to the vulnerability appropriate remediations.

#### 4.5 Profile 5: VEX

This profile SHOULD be used to provide information of the "Vulnerability Exploitability eXchange". The main purpose of the VEX format is to state that and why a certain product is, or is not, affected by a vulnerability. See [VEX] for details.

A CSAF document SHALL fulfill the following requirements to satisfy the profile "VEX":

- The following elements MUST exist and be valid:
  - all elements required by the profile "CSAF Base".
  - /product\_tree which lists all products referenced later on in the CSAF document regardless of their state.
  - /vulnerabilities which lists all vulnerabilities.
  - at least one of
    - \* /vulnerabilities[]/product\_status/fixed
    - \* /vulnerabilities[]/product\_status/known\_affected
    - \* /vulnerabilities[]/product\_status/known\_not\_affected
    - \* /vulnerabilities[]/product\_status/under\_investigation
  - at least one of
    - \* /vulnerabilities[]/cve
    - \* /vulnerabilities[]/ids
  - /vulnerabilities[]/notes > Provides details about the vulnerability.
- · For each item in

- /vulnerabilities[]/product\_status/known\_not\_affected an impact statement SHALL exist as machine readable flag in /vulnerabilities[]/flags or as human readable justification in /vulnerabilities[]/threats. For the latter one, the category value for such a statement MUST be impact and the details field SHALL contain a description why the vulnerability cannot be exploited.
- /vulnerabilities[]/product\_status/known\_affected additional product specific information SHALL be provided in /vulnerabilities[]/remediations as an action statement. Optional, additional information MAY also be provide through /vulnerabilities[]/notes and /vulnerabilities[]/threats. > The use of the categories no\_fix\_planned and none\_available for an action statement is permitted. > Even though Product status lists Product IDs, Product Group IDs can be used in the remediations and threats object. > However, it MUST be ensured that for each Product ID the required information according to its product status as stated > in the two points above is available. This implies that all products with the status known\_not\_affected MUST have an > impact statement and all products with the status known\_affected MUST have additional product specific information > regardless of whether that is referenced through the Product ID or a Product Group ID.
- The value of /document/category SHALL be csaf\_vex.

# 4.6 Profile 6: Deprecated Security Advisory

This profile MAY be used to provide information which is related to vulnerabilities and corresponding remediations, e.g. when converting CSAF documents from older CSAF versions or a human-readable format. It SHOULD NOT be used for newly created documents. The profile "Security Advisory" from section [sec]{profiles-profile-4-security-advisory} SHOULD be used instead.

The definition of the profile "Deprecated Security Advisory" in CSAF 2.1 matches the definition of profile "Security Advisory" in CSAF 2.0.

A CSAF document SHALL fulfill the following requirements to satisfy the profile "Deprecated Security Advisory":

- The following elements MUST exist and be valid:
  - all elements required by the profile "CSAF Base".
  - /product\_tree which lists all products referenced later on in the CSAF document regardless of their state.
  - /vulnerabilities which lists all vulnerabilities.
  - /vulnerabilities[]/notes > Provides details about the vulnerability.
  - /vulnerabilities[]/product\_status > Lists each product's status in regard to the vulnerability.
- The value of /document/category SHALL be csaf\_deprecated\_security\_advisory.

### 4.7 Profile 7: Withdrawn

This profile MUST be used for any CSAF document that is withdrawn. It MUST NOT be used for any superseded document.

A CSAF document SHALL fulfill the following requirements to satisfy the profile "Withdrawn":

- The following elements MUST exist and be valid:
  - all elements required by the profile "CSAF Base".
  - /document[]/notes with exactly one item using the category description describing the original content and the reasons for the withdrawal > Other items, such as a legal disclaimer, may exist alongside the required one.

The title MUST be Reasoning for Withdrawal for English or an unspecified document language. For any other language, it SHOULD be the language specific translation of that term.

- /document/tracking/revision\_history with at least 2 entries. Any previous items MUST NOT be removed. > A CSAF document cannot be withdrawn during the initial release to its specified target group. > In such case, the CSAF document should not be released at all. > If it was shared previously in draft status, then the /document/tracking/status is kept in draft.
- The value of /document/category SHALL be csaf\_withdrawn.
- The elements /product\_tree and /vulnerabilities SHALL NOT exist.

The CSAF document MAY link to additional information through /document/references.

# 4.8 Profile 8: Superseded

This profile MUST be used for any CSAF document that is superseded. It MUST NOT be used for any withdrawn document.

A CSAF document SHALL fulfill the following requirements to satisfy the profile "Superseded":

- The following elements MUST exist and be valid:
  - all elements required by the profile "CSAF Base".
  - /document[]/notes with exactly one item using the category description > Other items, such as a legal disclaimer, may exist alongside the required one.
    - The title MUST be Reasoning for Supersession for English or an unspecified document language. For any other language, it SHOULD be the language specific translation of that term.
  - /document/tracking/revision\_history with at least 2 entries. Any previous items MUST NOT be removed. > A CSAF document cannot be superseded during the initial release to its specified target group. > In such case, the CSAF document should not be released at all. > If it was shared previously in draft status, then the /document/tracking/status is kept in draft.
  - /document/references containing at least one item with category external The summary MUST start with Superseding Document for English or an unspecified document language. For any other language, it SHOULD be the language specific translation of that term.
- The value of /document/category SHALL be csaf\_superseded.
- The elements /product\_tree and /vulnerabilities SHALL NOT exist.

# **5 Additional Conventions**

This section provides additional rules for handling CSAF documents.

### 5.1 Filename

The following rules MUST be applied to determine the filename for the CSAF document:

- 1. The value /document/tracking/id is converted into lower case.
- 2. Any character sequence which is not part of one of the following groups MUST be replaced by a single underscore (\_):
  - Lower case ASCII letters (0x61 0x7A)
  - digits (0x30 0x39)
  - special characters: + (0x2B), (0x2D) > The regex [^+\-a-z0-9] + can be used to find a character sequence which has to be replaced by an underscore. > However, it SHALL NOT be applied before completing the first step. > > Even though the underscore \_ (0x5F) is a valid character in the filename it is replaced to avoid situations > where the conversion rule might lead to multiple consecutive underscores. > As a result, a /document/tracking/id with the value 2022\_#01-A is converted into 2022\_01-a instead of 2022 \_\_01-a.
- 3. The file extension .json MUST be appended.

## Examples 1:

```
cisco-sa-20190513-secureboot.json
example_company_-_2019-yh3234.json
rhba-2019_0024.json
```

It is currently considered best practice to indicate that a CSAF document is invalid by inserting \_invalid into the filename in front of the file extension.

#### Examples 2:

```
cisco-sa-20190513-secureboot_invalid.json
example_company_-_2019-yh3234_invalid.json
rhba-2019_0024_invalid.json
```

## 5.2 Separation in Data Stream

If multiple CSAF documents are transported via a data stream in a sequence without requests inbetween, they MUST be separated by the Record Separator in accordance with [RFC7464].

# 5.3 Sorting

The keys within a CSAF document SHOULD be sorted alphabetically.

## 5.4 Usage of Markdown

The use of GitHub-flavoured Markdown is permitted in the following fields:

```
/document/acknowledgments[]/summary
/document/distribution/text
/document/notes[]/text
/document/publisher/issuing_authority
/document/references[]/summary
/document/tracking/revision_history[]/summary
/product_tree/product_groups[]/summary
/vulnerabilities[]/acknowledgments[]/summary
/vulnerabilities[]/involvements[]/summary
/vulnerabilities[]/notes[]/text
/vulnerabilities[]/references[]/summary
/vulnerabilities[]/remediations[]/details
/vulnerabilities[]/remediations[]/entitlements[]
/vulnerabilities[]/remediations[]/restart_required/details
/vulnerabilities[]/threats[]/details
```

Other fields MUST NOT contain Markdown.

#### 5.5 Branch recursion

The /product\_tree uses a nested structure for branches. Along a single path to a leaf, the recursion of branches is limited to 30 repetitions. Therefore, the longest path to a leaf is:

/product\_tree/branches[]/branches[]/branches[]/branches[]/branches[]/branches[]/branches

## 5.6 Hardware and Software within the Product Tree

If a product consists of hardware and software, the hardware part MUST be presented as one product in the product tree and the software part as another one. To form the overall product, both parts MUST be combined through a relationship.

Examples 1:

```
]
        }
      }
    }
  ],
  "category": "product_name",
  "name": "Controller A"
},
{
  "branches": [
    {
      "category": "product_version",
      "name": "4.1",
      "product": {
        "name": "Example Company Controller A Firmware 4.1",
        "product_id": "CSAFPID-908070602",
        "product_identification_helper": {
          "hashes": [
            {
               "file_hashes": [
                   "algorithm": "sha256",
                   "value": "3fb9d502d096b1dfbcdfe60eed80ddecd98c8771bf21a82bb
              ],
              "filename": "a_4-1.bin"
          ]
        }
      }
    },
      "category": "product_version",
      "name": "4.2",
      "product": {
        "name": "Example Company Controller A Firmware 4.2",
        "product id": "CSAFPID-908070603",
        "product identification helper": {
          "hashes": [
               "file_hashes": [
                   "algorithm": "sha256",
                   "value": "0a853ce2337f0608489ac596a308dc5b7b19d35a52b10bf33
                 }
              ],
              "filename": "a 4-2.bin"
            }
          ]
        }
      }
    }
  ],
```

```
"category": "product_name",
          "name": "Controller A Firmware"
        }
      ],
      "category": "vendor",
      "name": "Example Company"
    }
  ],
  "relationships": [
    {
      "category": "installed_on",
      "full product name": {
        "name": "Example Company Controller A Firmware 4.1 installed on Example Compa
        "product id": "CSAFPID-908070604"
      },
      "product_reference": "CSAFPID-908070602",
      "relates_to_product_reference": "CSAFPID-908070601"
    },
    {
      "category": "installed_on",
      "full_product_name": {
        "name": "Example Company Controller A Firmware 4.2 installed on Example Compa
        "product_id": "CSAFPID-908070605"
      "product_reference": "CSAFPID-908070603",
      "relates_to_product_reference": "CSAFPID-908070601"
  ]
}
```

This requirement is important to allow for correct matching. The serial number 143-D-354 identifies the Example Company Controller A 1.0 which is in this example the hardware in its version 1.0. The hash 3fb9d502d096b1dfbcdfe60eed80ddecd98c8771bf21a82bbe1752735c4dc9e2 identifies the software in the version 4.1; the hash 0a853ce2337f0608489ac596a308dc5b7b19d35a52b10bf31261586ac36 identifies the software in the version 4.2. The relationships combine the software and hardware part and form new products. These are used e.g. to assign the product status in the vulnerability section. A matching tool searches in a first step for the product identification helper, e.g the serial number in an asset database to identify the asset that has this specific hardware and matches the software separately in a second step.

Representing the software version as a child element under elements representing hardware unsettles the consumer whether the version applies to the software or hardware. Also, this would violate the rule regarding the full identification of a product by the product\_identification\_helper from section 3.1.3.3. Based on the CVE statistics up to and including the year 2024, in the majority of cases the vulnerabilities reside in software or are remediated via software. Having multiple products with the same product\_identification\_helper in different product\_status for the same vulnerability would make it undecidable for machines what the product\_status actually is.

# 6 Tests

The first three subsections list a number of tests which all will have a short description and an excerpt of an example which fails the test. The forth subsection groups tests into preset.

## **6.1 Mandatory Tests**

Mandatory tests MUST NOT fail at a valid CSAF document. A program MUST handle a test failure as an error.

### 6.1.1 Missing Definition of Product ID

For each element of type /\$defs/product\_id\_t which is not inside a Full Product Name (type: full\_product\_name\_t) and therefore reference an element within the product\_tree it MUST be tested that the Full Product Name element with the matching product\_id exists. The same applies for all items of elements of type /\$defs/products\_t.

The relevant paths for this test are:

```
/document/notes[]/product_ids[]
/product_tree/product_groups[]/product_ids[]
/product_tree/relationships[]/product_reference
/product_tree/relationships[]/relates_to_product_reference
/vulnerabilities[]/involvements[]/product_ids[]
/vulnerabilities[]/flags[]/product_ids[]
/vulnerabilities[]/metrics[]/products[]
/vulnerabilities[]/notes[]/product_ids[]
/vulnerabilities[]/product_status/first_affected[]
/vulnerabilities[]/product_status/first_fixed[]
/vulnerabilities[]/product_status/fixed[]
/vulnerabilities[]/product status/known affected[]
/vulnerabilities[]/product_status/known_not_affected[]
/vulnerabilities[]/product_status/last_affected[]
/vulnerabilities[]/product_status/recommended[]
/vulnerabilities[]/product_status/under_investigation[]
/vulnerabilities[]/remediations[]/product_ids[]
/vulnerabilities[]/threats[]/product_ids[]
```

Example 1 (which fails the test):

Neither CSAFPID-9080700 nor CSAFPID-9080701 were defined in the product tree.

### **6.1.2 Multiple Definition of Product ID**

For each Product ID (type /\$defs/product\_id\_t) in Full Product Name elements (type: /\$defs/full\_product\_name\_t) it MUST be tested that the product\_id was not already defined within the same document.

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product/product_id
/product_tree/full_product_names[]/product_id
/product_tree/relationships[]/full_product_name/product_id
```

Example 1 (which fails the test):

CSAFPID-9080700 was defined twice.

#### **6.1.3 Circular Definition of Product ID**

For each new defined Product ID (type /\$defs/product\_id\_t) in items of relationships (/product\_tree/relationships) it MUST be tested that the product\_id does not end up in a circle.

The relevant path for this test is:

```
/product_tree/relationships[]/full_product_name/product_id
```

As this can be quite complex a program for large CSAF documents, a program could check first whether a Product ID defined in a relationship item is used as product\_reference or relates\_to\_product\_reference. Only for those which fulfill this condition it is necessary to run the full check following the references.

Example 1 (which fails the test):

CSAFPID-9080701 refers to itself - this is a circular definition.

# 6.1.4 Missing Definition of Product Group ID

/document/notes[]/group\_ids[]

For each element of type /\$defs/product\_group\_id\_t which is not inside a Product Group (/product\_tree/product\_groups[]) and therefore reference an element within the product\_tree it MUST be tested that the Product Group element with the matching group\_id exists. The same applies for all items of elements of type /\$defs/product\_groups\_t.

The relevant paths for this test are:

```
/vulnerabilities[]/flags[]/group_ids[]
  /vulnerabilities[]/involvements[]/group_ids[]
  /vulnerabilities[]/notes[]/group_ids[]
  /vulnerabilities[]/remediations[]/group_ids[]
  /vulnerabilities[]/threats[]/group_ids[]
Example 1 (which fails the test):
  "product_tree": {
    "full product names": [
      {
        "product_id": "CSAFPID-9080700",
        "name": "Product A"
    ]
  },
  "vulnerabilities": [
      "threats": [
        {
          "category": "exploit_status",
          "details": "Reliable exploits integrated in Metasploit.",
          "group_ids": [
            "CSAFGID-1020301"
          1
        }
```

```
}
```

CSAFGID-1020301 was not defined in the Product Tree.

# **6.1.5 Multiple Definition of Product Group ID**

For each Product Group ID (type /\$defs/product\_group\_id\_t) Product Group elements (/product\_tree/product\_groups[]) it MUST be tested that the group\_id was not already defined within the same document.

The relevant path for this test is:

```
/product_tree/product_groups[]/group_id
```

Example 1 (which fails the test):

```
"product_tree": {
  "full_product_names": [
    {
      "product_id": "CSAFPID-9080700",
      "name": "Product A"
    },
      "product_id": "CSAFPID-9080701",
      "name": "Product B"
    },
      "product_id": "CSAFPID-9080702",
      "name": "Product C"
  ],
  "product_groups": [
      "group_id": "CSAFGID-1020300",
      "product_ids": [
        "CSAFPID-9080700",
        "CSAFPID-9080701"
      ]
    },
      "group_id": "CSAFGID-1020300",
      "product_ids": [
        "CSAFPID-9080700",
        "CSAFPID-9080702"
      1
    }
 ]
}
```

CSAFGID-1020300 was defined twice.

## **6.1.6 Contradicting Product Status**

For each item in /vulnerabilities it MUST be tested that the same Product ID is not member of contradicting product status groups. The sets formed by the contradicting groups within one vulnerability item MUST be pairwise disjoint.

The relevant path for this test is:

```
/vulnerabilities[]/product_status
```

Example 1 (which fails the test):

CSAFPID-9080700 is a member of the two contradicting groups "Affected" and "Not affected".

# 6.1.7 Multiple Scores with same Version per Product

For each item in /vulnerabilities it MUST be tested that the same Product ID is not member of more than one CVSS-Vectors with the same version and same source.

Different source might assign different scores for the same product.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]
```

Example 1 (which fails the test):

```
"product_tree": {
  "full_product_names": [
    {
      "product_id": "CSAFPID-9080700",
      "name": "Product A"
  ]
},
"vulnerabilities": [
  {
    "metrics": [
      {
        "content": {
          "cvss_v3": {
            "version": "3.1",
            "vectorString": "CVSS:3.1/AV:N/AC:L/PR:N/UI:N/S:C/C:H/I:H/A:H",
            "baseScore": 10,
            "baseSeverity": "CRITICAL"
         }
        }
        "products": [
          "CSAFPID-9080700"
      },
        "content": {
          "cvss_v3": {
            "version": "3.1",
            "vectorString": "CVSS:3.1/AV:L/AC:L/PR:H/UI:R/S:U/C:H/I:H/A:H",
            "baseScore": 6.5,
            "baseSeverity": "MEDIUM"
          }
        "products": [
          "CSAFPID-9080700"
        7
    ]
  }
٦
```

Two CVSS v3.1 scores are given for CSAFPID-9080700 by the document author.

#### 6.1.8 Invalid CVSS

It MUST be tested that the given CVSS object is valid according to the referenced schema.

The relevant paths for this test are:

```
/vulnerabilities[]/metrics[]/content/cvss_v2
/vulnerabilities[]/metrics[]/content/cvss_v3
/vulnerabilities[]/metrics[]/content/cvss_v4
```

Example 1 (which fails the test):

```
"cvss_v3": {
    "version": "3.1",
    "vectorString": "CVSS:3.1/AV:L/AC:L/PR:H/UI:R/S:U/C:H/I:H/A:H",
    "baseScore": 6.5
}
```

The required element baseSeverity is missing.

A tool MAY add one or more of the missing properties version, baseScore and baseSeverity based on the values given in vectorString as quick fix.

## 6.1.9 Invalid CVSS computation

It MUST be tested that the given CVSS object has the values computed correctly according to the definition.

The vectorString SHOULD take precedence.

The relevant paths for this test are:

```
/vulnerabilities[]/metrics[]/content/cvss_v2/baseScore
/vulnerabilities[]/metrics[]/content/cvss_v2/temporalScore
/vulnerabilities[]/metrics[]/content/cvss_v2/environmentalScore
/vulnerabilities[]/metrics[]/content/cvss_v3/baseScore
/vulnerabilities[]/metrics[]/content/cvss_v3/temporalScore
/vulnerabilities[]/metrics[]/content/cvss_v3/temporalSeverity
/vulnerabilities[]/metrics[]/content/cvss_v3/environmentalScore
/vulnerabilities[]/metrics[]/content/cvss_v3/environmentalSeverity
/vulnerabilities[]/metrics[]/content/cvss_v4/baseScore
/vulnerabilities[]/metrics[]/content/cvss_v4/threatScore
/vulnerabilities[]/metrics[]/content/cvss_v4/threatSeverity
/vulnerabilities[]/metrics[]/content/cvss_v4/environmentalScore
/vulnerabilities[]/metrics[]/content/cvss_v4/environmentalScore
/vulnerabilities[]/metrics[]/content/cvss_v4/environmentalSeverity
```

Example 1 (which fails the test):

```
"cvss_v3": {
   "version": "3.1",
   "vectorString": "CVSS:3.1/AV:L/AC:L/PR:H/UI:R/S:U/C:H/I:H/A:H",
   "baseScore": 10.0,
   "baseSeverity": "LOW"
}
```

Neither baseScore nor baseSeverity has the correct value according to the specification.

A tool MAY set the correct values as computed according to the specification as quick fix.

#### 6.1.10 Inconsistent CVSS

It MUST be tested that the given CVSS properties do not contradict the CVSS vector.

The relevant paths for this test are:

```
/vulnerabilities[]/metrics[]/content/cvss_v2
/vulnerabilities[]/metrics[]/content/cvss_v3
/vulnerabilities[]/metrics[]/content/cvss_v4
```

Example 1 (which fails the test):

```
"cvss_v3": {
    "version": "3.1",
    "vectorString": "CVSS:3.1/AV:N/AC:L/PR:N/UI:N/S:U/C:H/I:H/A:H",
    "baseScore": 9.8,
    "baseSeverity": "CRITICAL",
    "attackVector": "LOCAL",
    "attackComplexity": "LOW",
    "privilegesRequired": "NONE",
    "userInteraction": "NONE",
    "scope": "CHANGED",
    "confidentialityImpact": "HIGH",
    "integrityImpact": "HIGH",
    "availabilityImpact": "LOW"
}
```

The values in CVSS vector differs from values of the properties attackVector, scope and availabilityImpact.

A tool MAY overwrite contradicting values according to the vectorString as quick fix.

### 6.1.11 CWE

For each CWE it MUST be tested that the given CWE exists and is valid in the version provided. Any id that refers to a CWE Category or View MUST fail the test.

The relevant path for this test is:

```
/vulnerabilities[]/cwes[]
```

Example 1 (which fails the test):

```
"cwes": [
     {
        "id": "CWE-79",
        "name": "Improper Input Validation",
        "version": "4.13"
     }
]
```

The CWE-79 exists. However, its name in version 4.13 is Improper Neutralization of Input During Web Page Generation ('Cross-site Scripting').

### 6.1.12 Language

For each element of type /\$defs/lang\_t it MUST be tested that the language code is valid and exists.

The relevant paths for this test are:

```
/document/lang
/document/source_lang
```

Example 1 (which fails the test):

```
"lang": "EZ"
```

EZ is not a valid language. It is the subtag for the region "Eurozone".

For any deprecated subtag, a tool MAY replace it with its preferred value as a quick fix.

#### 6.1.13 PURL

It MUST be tested that all given purls are valid.

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product/product_identification_helper/purls[]
/product_tree/full_product_names[]/product_identification_helper/purls[]
/product_tree/relationships[]/full_product_name/product_identification_helper/purls[]
```

Example 1 (which fails the test):

Any valid purl has a name component.

## **6.1.14 Sorted Revision History**

It MUST be tested that the value of number of items of the revision history are sorted ascending when the items are sorted ascending by date and as a second level criteria number. As the timestamps might use different timezones, the sorting MUST take timezones into account.

The relevant path for this test is:

```
/document/tracking/revision_history
```

Example 1 (which fails the test):

The first item has a higher version number than the second.

#### 6.1.15 Translator

It MUST be tested that /document/source\_lang is present and set if the value translator is used for /document/publisher/category.

The relevant path for this test is:

```
/document/source_lang
```

Example 1 (which fails the test):

```
"document": {
    // ...
    "publisher": {
        "category": "translator",
        "name": "CSAF TC Translator",
        "namespace": "https://csaf.io/translator"
    },
    "title": "Mandatory test: Translator (failing example 1)",
    // ...
}
```

The required element source\_lang is missing.

#### **6.1.16 Latest Document Version**

It MUST be tested that document version has the same value as the number in the last item of the revision history when it is sorted ascending by date and as a second level criteria number. As the timestamps might use different timezones, the sorting MUST take timezones into account. Build metadata is ignored in the comparison. Any pre-release part is also ignored if the document status is draft.

The relevant path for this test is:

```
/document/tracking/version
```

Example 1 (which fails the test):

The value of number of the last item after sorting is 2. However, the document version is 1.

#### **6.1.17 Document Status Draft**

It MUST be tested that document status is draft if the document version is 0 or 0.y.z or contains the pre-release part.

The relevant path for this test is:

```
/document/tracking/status
```

Example 1 (which fails the test):

```
"tracking": {
    // ...
    "status": "final",
    "version": "0.9.5"
}
```

The /document/tracking/version is 0.9.5 but the document status is final.

### **6.1.18 Released Revision History**

It MUST be tested that no item of the revision history has a number of 0 or 0.y.z when the document status is final or interim.

The relevant path for this test is:

```
/document/tracking/revision_history[]/number
```

Example 1 (which fails the test):

```
"tracking": {
  // ...
  "revision_history": [
      "date": "2023-09-17T10:00:00.000Z",
      "number": "0",
      "summary": "First draft"
    },
    {
      "date": "2024-01-21T10:00:00.000Z",
      "number": "1",
      "summary": "Initial version."
    }
  ],
  "status": "final",
  "version": "1"
}
```

The document status is final but the revision history includes an item which has 0 as value for number.

### **6.1.19 Revision History Entries for Pre-release Versions**

It MUST be tested that no item of the revision history has a number which includes pre-release information.

The relevant path for this test is:

```
/document/tracking/revision_history[]/number
```

Example 1 (which fails the test):

The revision history contains an item which has a number that indicates that this is pre-release.

#### **6.1.20 Non-draft Document Version**

It MUST be tested that document version does not contain a pre-release part if the document status is final or interim.

The relevant path for this test is:

```
/document/tracking/version
```

Example 1 (which fails the test):

```
"tracking": {
   // ...
   "status": "interim",
   "version": "1.0.0-alpha"
}
```

The document status is interim but the document version contains the pre-release part -alpha.

## **6.1.21 Missing Item in Revision History**

It MUST be tested that items of the revision history do not omit a version number when the items are sorted ascending by date. As the timestamps might use different timezones, the sorting MUST take timezones into account. In the case of semantic versioning, this applies only to the Major version. It MUST also be tested that the first item in such a sorted list has either the version number 0 or 1 in the case of integer versioning or a Major version of 0 or 1 in the case of semantic versioning.

The relevant path for this test is:

```
/document/tracking/revision_history
```

Example 1 (which fails the test):

The item for version 2 is missing.

## **6.1.22 Multiple Definition in Revision History**

It MUST be tested that items of the revision history do not contain the same version number.

The relevant path for this test is:

```
/document/tracking/revision_history
```

Example 1 (which fails the test):

The revision history contains two items with the version number 1.

# 6.1.23 Multiple Use of Same CVE

It MUST be tested that a CVE is not used in multiple vulnerability items.

The relevant path for this test is:

```
/vulnerabilities[]/cve
```

Example 1 (which fails the test):

The vulnerabilities array contains two items with the same CVE identifier CVE-2017-0145.

### **6.1.24 Multiple Definition in Involvements**

It MUST be tested that items of the list of involvements do not contain the same party regardless of its status more than once at any date.

The relevant path for this test is:

```
/vulnerabilities[]/involvements
```

```
Example 1 (which fails the test):
```

The list of involvements contains two items with the same tuple party and date.

## 6.1.25 Multiple Use of Same Hash Algorithm

It MUST be tested that the same hash algorithm is not used multiple times in one item of hashes.

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product/product_identification_helper/hashes[]
/product_tree/full_product_names[]/product_identification_helper/hashes[]/file_hashes
/product_tree/relationships[]/full_product_name/product_identification_helper/hashes[]
```

Example 1 (which fails the test):

The hash algorithm sha256 is used two times in one item of hashes.

### **6.1.26 Prohibited Document Category Name**

It MUST be tested that the document category is not equal to the (case insensitive) name (without the prefix csaf\_) or value of any other profile than "CSAF Base". Any occurrences of dash, whitespace, and underscore characters are removed from the values on both sides before the match. Also the value MUST NOT start with the reserved prefix csaf\_ except if the value is csaf\_base.

This test does only apply for CSAF documents with the profile "CSAF Base". Therefore, it MUST be skipped if the document category matches one of the values defined for the profile other than "CSAF Base".

```
For CSAF 2.1, the test must be skipped for the following values in /document/category:

csaf_base
csaf_security_incident_response
csaf_informational_advisory
csaf_security_advisory
csaf_vex
csaf_deprecated_security_advisory
csaf_withdrawn
csaf_superseded
```

This is the only mandatory test related to the profile "CSAF Base" as the required fields SHALL be checked by validating the JSON schema.

The relevant path for this test is:

```
/document/category
```

Examples 1 (for currently prohibited values):

```
Csaf_a
Informational Advisory
security-incident-response
Security Advisory
```

```
veX
V_eX
Deprecated Security Advisory
withdrawn
Superseded
```

Example 2 (which fails the test):

```
"category": "Security_Incident_Response"
```

The value Security\_Incident\_Response is the name of a profile where the space was replaced with underscores.

#### 6.1.27 Profile Tests

This subsubsection structures the mandatory tests for the profiles. Not all tests apply for all profiles. Tests SHOULD be skipped if the document category does not match the one given in the test. Each of the following tests SHOULD be treated as they where listed similar to the other tests.

An application MAY group these tests by profiles when providing the additional function to only run one or more selected tests. This results in one virtual test per profile.

#### 6.1.27.1 Document Notes

It MUST be tested that at least one item in /document/notes exists which has a category of description, details, general or summary.

The relevant values for /document/category are:

```
csaf_informational_advisory
csaf_security_incident_response
```

The relevant path for this test is:

```
/document/notes
```

Example 1 (which fails the test):

```
"notes": [
    {
        "category": "legal_disclaimer",
        "text": "The CSAF document is provided to You \"AS IS\" and \"AS AVAILABLE\" and
        "title": "Terms of Use"
    }
]
```

The document notes do not contain an item which has a category of description, details, general or summary.

#### **6.1.27.2 Document References**

It MUST be tested that at least one item in /document/references exists that has links to an external source.

The relevant values for /document/category are:

```
csaf_informational_advisory
  csaf_security_incident_response

The relevant path for this test is:
```

Example 1 (which fails the test):

/document/references

```
"references": [
     {
         "category": "self",
         "summary": "The canonical URL.",
         "url": "https://example.com/security/data/csaf/2024/oasis_csaf_tc-csaf_2_1-2024-6-1-27-02-01.json"
     }
]
```

The document references do not contain any item which has the category external.

#### 6.1.27.3 Vulnerabilities

It MUST be tested that the element /vulnerabilities does not exist.

The relevant values for /document/category are:

```
csaf_informational_advisory
csaf_withdrawn
csaf_superseded
```

The relevant path for this test is:

```
/vulnerabilities
```

Example 1 (which fails the test):

```
"vulnerabilities": [
    {
      "title": "A vulnerability item that SHALL NOT exist"
    }
]
```

The element /vulnerabilities exists.

A tool MAY change the /document/category to csaf\_base as a quick fix.

### 6.1.27.4 Product Tree

It MUST be tested that the element /product\_tree exists.

The relevant values for /document/category are:

```
csaf_security_advisory
csaf_vex
csaf_deprecated_security_advisory
```

The relevant path for this test is:

```
/product_tree
```

Example 1 (which fails the test):

The element /product\_tree does not exist.

## **6.1.27.5** Vulnerability Notes

For each item in /vulnerabilities it MUST be tested that the element notes exists.

The relevant values for /document/category are:

```
csaf_security_advisory
csaf_vex
csaf_deprecated_security_advisory
```

The relevant path for this test is:

```
/vulnerabilities[]/notes
```

Example 1 (which fails the test):

The vulnerability item has no notes element.

#### 6.1.27.6 Product Status

For each item in /vulnerabilities it MUST be tested that the element product\_status exists.

The relevant values for /document/category are:

```
csaf_security_advisory
csaf_deprecated_security_advisory
```

The relevant path for this test is:

```
/vulnerabilities[]/product_status
```

Example 1 (which fails the test):

```
"vulnerabilities": [
    {
      "title": "A vulnerability item without a product status"
    }
]
```

The vulnerability item has no product\_status element.

## 6.1.27.7 VEX Product Status

For each item in /vulnerabilities it MUST be tested that at least one of the elements fixed, known\_affected, known\_not\_affected, or under\_investigation is present in product\_status.

The relevant value for /document/category is:

```
csaf_vex
```

The relevant paths for this test are:

```
/vulnerabilities[]/product_status/fixed
/vulnerabilities[]/product_status/known_affected
/vulnerabilities[]/product_status/known_not_affected
/vulnerabilities[]/product_status/under_investigation
```

Example 1 (which fails the test):

None of the elements fixed, known\_affected, known\_not\_affected, or under\_investigation is present in product\_status.

# 6.1.27.8 Vulnerability ID

For each item in /vulnerabilities it MUST be tested that at least one of the elements cve or ids is present.

The relevant value for /document/category is:

```
csaf_vex
```

The relevant paths for this test are:

```
/vulnerabilities[]/cve
/vulnerabilities[]/ids
```

Example 1 (which fails the test):

```
"vulnerabilities": [
    {
      "title": "A vulnerability item without a CVE or ID"
    }
]
```

None of the elements cve or ids is present.

# 6.1.27.9 Impact Statement

For each item in /vulnerabilities[]/product\_status/known\_not\_affected it MUST be tested that a corresponding impact statement exist in /vulnerabilities[]/flags or /vulnerabilities[]/threats. For the latter one, the category value for such a statement MUST be impact.

The relevant value for /document/category is:

```
csaf_vex
```

The relevant path for this test is:

```
/vulnerabilities[]/flags
/vulnerabilities[]/threats
```

Example 1 (which fails the test):

```
"product_tree": {
  "full_product_names": [
      "product_id": "CSAFPID-9080700",
      "name": "Product A"
    },
      "product_id": "CSAFPID-9080701",
      "name": "Product B"
    },
      "product_id": "CSAFPID-9080702",
      "name": "Product C"
 ],
  "product_groups": [
      "group_id": "CSAFGID-0001",
      "product_ids": [
        "CSAFPID-9080700",
        "CSAFPID-9080701"
    }
  ]
},
"vulnerabilities": [
  {
    // ...
    "product_status": {
      "known_not_affected": [
        "CSAFPID-9080700",
        "CSAFPID-9080701",
        "CSAFPID-9080702"
      ]
    },
    "threats": [
      {
        "category": "impact",
        "details": "The vulnerable code is not present in these products.",
        "group_ids": [
          "CSAFGID-0001"
      }
    ]
  }
]
```

There is no impact statement for CSAFPID-9080702.

Note: The impact statement for CSAFPID-9080700 and CSAFPID-9080701 is given through CSAFGID-0001.

#### 6.1.27.10 Action Statement

For each item in /vulnerabilities[]/product\_status/known\_affected it MUST be tested that a corresponding action statement exist in /vulnerabilities[]/remediations.

The relevant value for /document/category is:

```
csaf vex
The relevant path for this test is:
  /vulnerabilities[]/remediations
Example 1 (which fails the test):
  "product_tree": {
    "full_product_names": [
      {
        "product_id": "CSAFPID-9080700",
        "name": "Product A"
      },
        "product_id": "CSAFPID-9080701",
        "name": "Product B"
      },
        "product_id": "CSAFPID-9080702",
        "name": "Product C"
      }
    ],
    "product_groups": [
        "group_id": "CSAFGID-0001",
        "product_ids": [
          "CSAFPID-9080700",
          "CSAFPID-9080701"
        ],
        "summary": "EOL products"
    1
  "vulnerabilities": [
    {
      // ...
      "product_status": {
        "known_affected": [
           "CSAFPID-9080700",
          "CSAFPID-9080701",
           "CSAFPID-9080702"
        1
      },
      "remediations": [
```

There is no action statement for CSAFPID-9080702.

Note: The action statement for CSAFPID-9080700 and CSAFPID-9080701 is given through CSAFGID-0001.

#### 6.1.27.11 Vulnerabilities

It MUST be tested that the element /vulnerabilities exists.

The relevant values for /document/category are:

```
csaf_security_advisory
csaf_vex
csaf_deprecated_security_advisory
```

The relevant path for this test is:

```
/vulnerabilities
```

Example 1 (which fails the test):

The element /vulnerabilities does not exist.

#### 6.1.27.12 Affected Products

For each item in /vulnerabilities it MUST be tested that the element product\_status/known\_affected exists.

The relevant value for /document/category is:

```
csaf_security_advisory
```

The relevant path for this test is:

```
/vulnerabilities[]/product_status/known_affected
```

Example 1 (which fails the test):

```
"product_status": {
   "under_investigation": [
      "CSAFPID-9080700"
   ]
}
```

The product status does not contain the known\_affected element.

### **6.1.27.13 Corresponding Affected Products**

For each product listed in the product status group fixed in any vulnerability, it MUST be tested that a corresponding version of the product is listed as affected in the same vulnerability.

For a relationship installed\_with the product without any relationship is a corresponding product.

The relevant value for /document/category is:

```
csaf_security_advisory
```

The relevant path for this test is:

```
/vulnerabilities[]/product_status/known_affected
```

```
{
  // ...
  "product tree": {
    "branches": [
      {
        "branches": [
            "branches": [
                "category": "product_version",
                 "name": "4.2",
                "product": {
                  "name": "Example Company Product A 4.2",
                  "product_id": "CSAFPID-9080700"
                }
              }
            "category": "product_name",
            "name": "Product A"
```

The vulnerability just contains the fixed product but does not list corresponding affected products.

#### 6.1.27.14 Document Notes

It MUST be tested that at least one item in /document/notes exists which has a category of description.

The relevant values for /document/category are:

```
csaf_withdrawn
csaf_superseded
```

The relevant path for this test is:

```
/document/notes
```

Example 1 (which fails the test):

The document notes do not contain an item which has a category of description.

#### **6.1.27.15 Product Tree**

It MUST be tested that the element /product\_tree does not exist.

The relevant values for /document/category are:

```
csaf_withdrawn
csaf_superseded
```

The relevant path for this test is:

```
/product_tree
```

Example 1 (which fails the test):

```
"product_tree": [
    // ...
]
```

The element /product\_tree exists.

## 6.1.27.16 Revision History

It MUST be tested that the revision history contains at least two entries.

The relevant values for /document/category are:

```
csaf_withdrawn
csaf_superseded
```

The relevant path for this test is:

```
/document/tracking/revision_history
```

Example 1 (which fails the test):

```
"revision_history": [
     {
        "date": "2024-01-24T10:00:00.000Z",
        "number": "1",
        "summary": "Initial version."
     }
],
```

The revision history contains only one entry.

### 6.1.27.17 Reasoning for Withdrawal

If the document language is English or unspecified, it MUST be tested that exactly one item in document notes exists that has the title Reasoning for Withdrawal. The category of this item MUST be description.

The relevant value for /document/category is:

```
csaf_withdrawn

The relevant path for this test is:

/document/notes

Example 1 (which fails the test):
```

```
"notes": [
    {
        "category": "summary",
        "text": "This CSAF document contained example data and was withdrawn to create te
        "title": "Reasoning for Withdrawal"
    }
],
```

The note has the correct title. However, it uses the wrong category.

### **6.1.27.18** Reasoning for Supersession

If the document language is English or unspecified, it MUST be tested that exactly one item in document notes exists that has the title Reasoning for Supersession. The category of this item MUST be description.

The relevant value for /document/category is:

```
csaf_superseded
```

The relevant path for this test is:

```
/document/notes
```

Example 1 (which fails the test):

```
"notes": [
    {
        "category": "details",
        "text": "This CSAF document contained example data and was withdrawn to create te
        "title": "Reasoning for Supersession"
    }
],
```

The note has the correct title. However, it uses the wrong category.

### **6.1.27.19 Reference to Superseding Document**

If the document language is English or unspecified, it MUST be tested that at least one item in document references exists that has a summary starting with Superseding Document. The category of this item MUST be external.

The relevant value for /document/category is:

```
csaf_superseded
```

The relevant path for this test is:

```
/document/references
```

Example 1 (which fails the test):

The reference summary starts correctly with the string "Superseding Document". However, it uses the wrong category.

#### 6.1.28 Translation

It MUST be tested that the given source language and document language are not the same.

The relevant path for this test is:

```
/document/lang
/document/source_lang
```

Example 1 (which fails the test):

```
"document": {
    // ...
    "lang": "en-US",
    // ...
    "source_lang": "en-US",
    // ...
}
```

The document language and the source language have the same value en-US.

Note: A translation from en-US to en-GB would pass the test.

A tool MAY remove the source language as quick fix.

#### **6.1.29 Remediation without Product Reference**

For each item in /vulnerabilities[]/remediations it MUST be tested that it includes at least one of the elements group\_ids or product\_ids.

The relevant path for this test is:

```
/vulnerabilities[]/remediations[]
```

Example 1 (which fails the test):

```
"remediations": [
    {
      "category": "no_fix_planned",
      "details": "These products are end-of-life. Therefore, no fix will be provide
    }
]
```

The given remediation does not specify to which products it should be applied.

A tool MAY add all products of the affected group of this vulnerability to the remediation as quick fix.

### **6.1.30 Mixed Integer and Semantic Versioning**

It MUST be tested that all elements of type /\$defs/version\_t follow either integer versioning or semantic versioning homogeneously within the same document.

The relevant paths for this test are:

```
/document/tracking/revision_history[]/number
/document/tracking/version
```

The document started with semantic versioning (1.0.0) and switched to integer versioning (2).

A tool MAY assign all items their corresponding value according to integer versioning as a quick fix. In such case, the old number SHOULD be stored in legacy\_version.

#### **6.1.31 Version Range in Product Version**

For each element of type /\$defs/branches\_t with category of product\_version it MUST be tested that the value of name does not contain a version range.

To implement this test it is deemed sufficient that, when converted to lower case, the value of name satisfies the two requirements below:

1. It does not contain any of the following operators:

```
< <= > > =
```

2. If interpreted as a list of individual words separated by whitespace, the list does not contain any of the following keywords:

```
after
all
before
earlier
later
prior
versions
```

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/name
```

Example 1 (which fails the test):

```
"branches": [
    {
      "category": "product_version",
      "name": "prior to 4.2",
      // ...
}
```

The version range prior to 4.2 is given for the branch category product\_version.

### **6.1.32 Flag without Product Reference**

For each item in /vulnerabilities[]/flags it MUST be tested that it includes at least one of the elements group\_ids or product\_ids.

The relevant path for this test is:

```
/vulnerabilities[]/flags[]
```

Example 1 (which fails the test):

```
"flags": [
    {
      "label": "component_not_present"
    }
]
```

The given flag does not specify to which products it should be applied.

#### 6.1.33 Multiple Flags with VEX Justification Codes per Product

For each item in /vulnerabilities[] it MUST be tested that a Product is not member of more than one Flag item with a VEX justification code (see section 3.2.4.7). This takes indirect relations through Product Groups into account.

Additional flags with a different purpose might be provided in later versions of CSAF. Through the explicit reference of VEX justification codes the test is specified to be forward-compatible.

The relevant path for this test is:

```
/vulnerabilities[]/flags
```

```
"product_tree": {
  "full_product_names": [
      "product_id": "CSAFPID-9080700",
      "name": "Product A"
    },
      "product_id": "CSAFPID-9080701",
      "name": "Product B"
    }
  ],
  "product_groups": [
    {
      "group_id": "CSAFGID-0001",
      "product_ids": [
        "CSAFPID-9080700",
        "CSAFPID-9080701"
    }
  ]
"vulnerabilities": [
```

```
"flags": [
      {
        "label": "component_not_present",
        "group_ids": [
          "CSAFGID-0001"
      },
        "label": "vulnerable_code_cannot_be_controlled_by_adversary",
        "product_ids": [
          "CSAFPID-9080700"
      }
    ],
    // ...
    "product_status": {
      "known_not_affected": [
        "CSAFPID-9080700",
        "CSAFPID-9080701"
      1
  }
]
```

There are two flags given for CSAFPID-9080700 - one indirect through CSAFGID-0001 and one direct.

#### **6.1.34 Branches Recursion Depth**

For each product defined under /product\_tree/branches[] it MUST be tested that the complete JSON path does not contain more than 30 instances of branches.

The relevant path for this test is:

```
/product_tree/branches[](/branches[])*/product
```

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```
{
  "branches": [
      "branches": [
        {
          "branches": [
            {
              "branches": [
                   "branches": [
                       "branches": [
                         {
                           "branches": [
                             {
                               "branches": [
                                    "branches": [
                                        "branches": [
                                            "branches": [
                                                 "branches":
                                                   {
                                                     "branch
                                                       {
```

9080700"

```
}
"ca
"na
}
"catego
"name":
}
```

],

```
"name": "ur
                                                     }
                                                   ],
                                                   "category": "pr
                                                   "name": "less"
                                                 }
                                              ],
                                               "category": "produc
                                               "name": "or"
                                            }
                                          ],
                                          "category": "product_fa
                                          "name": "more"
                                        }
                                      ],
                                      "category": "product_family
                                      "name": "and"
                                    }
                                  ],
                                  "category": "product_family",
                                  "name": "unnecessary"
                               }
                             ],
                             "category": "product_family",
                             "name": "seem"
                           }
                         ],
                         "category": "product_family",
                         "name": "which"
                       }
                     ],
                     "category": "product_family",
                     "name": "product"
                   }
                 ],
                 "category": "product_family",
                 "name": "hypothetical"
              }
            ],
            "category": "product_family",
            "name": "this"
        ],
        "category": "product_family",
        "name": "for"
      }
    ],
    "category": "product_family",
    "name": "structure"
  }
],
"category": "product_family",
```

"category":

```
"name": "nested"
                     }
                   ],
                   "category": "product_family",
                   "name": "deeply"
                 }
               ],
               "category": "product_family",
               "name": "a"
            }
          ],
          "category": "product_family",
          "name": "uses"
        }
      ],
      "category": "vendor",
      "name": "Example Company"
    }
 ]
}
```

The complete JSON path contains 31 times branches.

#### **6.1.35 Contradicting Remediations**

For each item in /vulnerabilities[]/remediations it MUST be tested that a product is not member of contradicting remediation categories. This takes indirect relations through product groups into account.

The relevant path for this test is:

```
/vulnerabilities[]/remediations[]
```

The two remediations given for the product with product ID CSAFPID-908070 contradict each other.

A tool MAY apply the conversion rules from the conformance target CSAF 2.0 to CSAF 2.1 converter if applicable or remove the product from the remediation with the lower priority. The priority MAY be defined as follows:  $vendor\_fix > mitigation > workaround > fix\_planned > no\_fix\_planned > optional\_patch > none\_available$ 

#### **6.1.36 Contradicting Product Status Remediation Combination**

For each item in /vulnerabilities[]/remediations it MUST be tested that a product is not member of a contradicting product status group. This takes indirect relations through product groups into account.

The relevant path for this test is:

```
/vulnerabilities[]/remediations[]
```

Example 1 (which fails the test):

For the product with product ID CSAFPID-908070 a vendor\_fix is given but the product was not affected at all.

#### 6.1.37 Date and Time

For each item of type string and format date-time it MUST be tested that it conforms to the rules given in section sec.

The relevant path for this test is:

```
/document/tracking/current_release_date
/document/tracking/generator/date
/document/tracking/initial_release_date
/document/tracking/revision_history[]/date
/vulnerabilities[]/disclosure_date
/vulnerabilities[]/discovery_date
/vulnerabilities[]/first_known_exploitation_dates[]/date
/vulnerabilities[]/first_known_exploitation_dates[]/exploitation_date
```

```
/vulnerabilities[]/flags[]/date
/vulnerabilities[]/involvements[]/date
/vulnerabilities[]/remediations[]/date
/vulnerabilities[]/threats[]/date
```

Example 1 (which fails the test):

```
"current_release_date": "2024-01-24 10:00:00.000Z",
```

The current\_release\_date uses a whitespace as separator instead the letter T.

### 6.1.38 Non-Public Sharing Group with Max UUID

It MUST be tested that a CSAF document using Max UUID as sharing group ID has the TLP label CLEAR.

The relevant path for this test is:

```
/document/distribution/tlp/label
```

Example 1 (which fails the test):

The sharing group uses the Max UUID but the CSAF document is labeled as TLP: RED.

A tool MAY remove the property sharing\_group as a quick fix.

# 6.1.39 Public Sharing Group with no Max UUID

It MUST be tested that a CSAF document with the TLP label CLEAR use the Max UUID as sharing group ID if any. The test SHALL pass if no sharing group is present or the Nil UUID is used and the document status is draft.

The relevant path for this test is:

```
/document/distribution/sharing_group/id
```

```
"distribution": {
    "sharing_group": {
        "id": "5868d6be-b28a-404e-a245-0b5093b31b8b"
    },
    "tlp": {
        "label": "CLEAR"
    }
},
```

The sharing group is present for the TLP: CLEAR document but it differs from the Max UUID.

A tool MAY update the sharing group id as a quick fix.

### 6.1.40 Invalid Sharing Group Name

It MUST be tested that the value of sharing group name does not equal the reserved values from section 3.2.2.5.1 if the precondition is not fulfilled.

The relevant path for this test is:

/document/distribution/sharing\_group/name

Example 1 (which fails the test):

```
"distribution": {
    "sharing_group": {
        "id": "5868d6be-b28a-404e-a245-0b5093b31b8b",
        "name": "Public"
    },
    // ...
},
```

The sharing group name is Public but it does not use the Max UUID.

A tool MAY update the sharing group name as a quick fix.

## **6.1.41 Missing Sharing Group Name**

It MUST be tested that the sharing group name exists and equals the predefined reserved value from section 3.2.2.5.1 if the precondition is fulfilled.

The relevant path for this test is:

/document/distribution/sharing\_group/name

The Max UUID is used but the sharing group name does not exist.

A tool MAY add the corresponding sharing group name as a quick fix.

## 6.1.42 PURL Qualifiers

For each product\_identification\_helper object containing multiple purls it MUST be tested that the purls only differ in their qualifiers.

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product/product_identification_helper/purls[]
/product_tree/full_product_names[]/product_identification_helper/purls[]
/product_tree/relationships[]/full_product_name/product_identification_helper/purls[]
```

Example 1 (which fails the test):

The two purls differ in the name component.

### **6.1.43** Use of Multiple Stars in Model Number

For each model number it MUST be tested that the it does not contain multiple unescaped stars.

Multiple \* that match zero or multiple characters within a model number introduce ambiguity and are therefore prohibited.

The relevant paths for this test are:

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/product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/model\_nu
/product\_tree/full\_product\_names[]/product\_id/product\_identification\_helper/model\_num
/product\_tree/relationships[]/full\_product\_name/product\_id/product\_identification\_helper/model\_num

Example 1 (which fails the test):

```
"model_numbers": [
   "P*A*"
]
```

The model number contains two unescaped stars.

#### 6.1.44 Use of Multiple Stars in Serial Number

For each serial number it MUST be tested that the it does not contain multiple unescaped stars.

Multiple \* that match zero or multiple characters within a serial number introduce ambiguity and are therefore prohibited.

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product/product_identification_helper/serial_r
/product_tree/full_product_names[]/product_id/product_identification_helper/serial_nu
/product_tree/relationships[]/full_product_name/product_id/product_identification_helper/serial_nu
```

Example 1 (which fails the test):

```
"serial_numbers": [
   "P*A*"
]
```

The serial number contains two unescaped stars.

#### **6.1.45 Inconsistent Disclosure Date**

For each vulnerability, it MUST be tested that the disclosure\_date is earlier than or equal to the date of the newest item of the revision\_history if the document is labeled TLP:CLEAR and the document status is final or interim. As the timestamps might use different timezones, the sorting MUST take timezones into account.

The relevant path for this test is:

```
/vulnerabilities[]/disclosure_date
```

```
"document": {
  // ...
  "distribution": {
    "tlp": {
      "label": "CLEAR"
  },
  // ...
  "tracking": {
    // ...
    "revision_history": [
      {
        "date": "2024-01-24T10:00:00.000Z",
        "number": "1",
        "summary": "Initial version."
      }
    ],
    "status": "final",
    // ...
  }
},
"vulnerabilities": [
    "disclosure_date": "2024-02-24T10:00:00.000Z"
1
```

The document is labeled TLP:CLEAR and in status final but the disclosure\_date is newer than the date of newest item in the revision\_history.

#### 6.1.46 Invalid SSVC

It MUST be tested that the given SSVC object is valid according to the referenced schema.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/ssvc_v1
```

Example 1 (which fails the test):

```
"ssvc_v1": {
    "id": "CVE-1900-0001",
    "schemaVersion": "1-0-1",
    "timestamp": "2024-01-24T10:00:00.000Z"
}
```

The required element selections is missing.

A tool MAY add the missing property id based on the values given in cve respectively ids[]/text as quick fix.

#### 6.1.47 Inconsistent SSVC ID

For each ssvc\_v1 object it MUST be tested that id is either the CVE of the vulnerability given in cve or the text of an item in the ids array. The test MUST fail, if the id equals the /document/tracking/id and the CSAF document contains more than one vulnerability.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/ssvc_v1/id
```

Example 1 (which fails the test):

```
"vulnerabilities": [
  {
    "cve": "CVE-1900-0001",
    "metrics": [
      {
        "content": {
          "ssvc_v1": {
            "id": "CVE-1900-0002",
            "schemaVersion": "1-0-1",
             "selections": [
                 "name": "Exploitation",
                 "namespace": "ssvc",
                 "values": [
                   "None"
                 "version": "1.1.0"
               }
            ],
             "timestamp": "2024-01-24T10:00:00.000Z"
          }
        },
        // ...
      }
    ]
  }
1
```

The SSVC ID does not match the CVE ID.

### **6.1.48 SSVC Decision Points**

For each SSVC decision point given under selections with a registered namespace, it MUST be tested that given decision point exists, is valid and the items in values are ordered correctly. The test SHALL pass, if a non-registered namespace is used.

```
According to the SSVC project, the following values are currently registered:

cvss
nciss
ssvc
```

A list of all valid decision points including their values is available at the SSVC repository. The items in values need to have the same order as in their definition.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/ssvc_v1/selections[]
```

Example 1 (which fails the test):

```
"vulnerabilities": [
    "cve": "CVE-1900-0001",
    "metrics": [
      {
        "content": {
          "ssvc_v1": {
            "id": "CVE-1900-0001",
            "schemaVersion": "1-0-1",
            "selections": [
              {
                 "name": "Mission Impact",
                 "namespace": "ssvc",
                 "values": [
                   "None",
                   "Degraded"
                 ],
                 "version": "1.0.0"
              }
            ],
            "timestamp": "2024-01-24T10:00:00.000Z"
        },
        //
      }
    ]
  }
1
```

The SSVC decision point Mission Impact doesn't have the value Degraded in version 1.0.0.

If applicable, a tool MAY sort the items in values according to the order of their definition as a quick fix.

#### **6.1.49 Inconsistent SSVC Timestamp**

For each vulnerability, it MUST be tested that the each SSVC timestamp is earlier than or equal to the date of the newest item of the revision\_history if the document status is final or interim. As the timestamps might use different timezones, the sorting MUST take timezones into account.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/ssvc_v1/timestamp
```

## Example 1 (which fails the test):

```
"document": {
  // ...
  "tracking": {
    // ...
    "revision history": [
        "date": "2024-01-24T10:00:00.000Z",
        "number": "1",
        "summary": "Initial version."
    ],
    "status": "final",
    // ...
  }
},
// ...
"vulnerabilities": [
    "cve": "CVE-1900-0001",
    "metrics": [
      {
        "content": {
          "ssvc_v1": {
            "id": "CVE-1900-0001",
            "schemaVersion": "1-0-1",
            "selections": [
                 "name": "Exploitation",
                 "namespace": "ssvc",
                 "values": [
                   "Active"
                 "version": "1.1.0"
              }
            ],
            "timestamp": "2024-07-13T10:00:00.000Z"
        },
        // ...
      }
  }
٦
```

The document is in status final but the SSVC timestamp is newer than the date of newest item in the revision\_history.

### 6.1.50 Product Version Range Rules

For each element of type /\$defs/branches\_t with category of product\_version\_range, it MUST be tested that the value of name complies with the rules given in section 3.1.2.3.2.

The relevant path for this test is:

```
/product_tree/branches[](/branches[])*/name
```

Example 1 (which fails the test):

```
{
  "category": "product_version_range",
  "name": "all versions < 4.2.0",
  // ...
}</pre>
```

The version range given does not comply with the rules given in section 3.1.2.3.2.

### **6.1.51 Inconsistent EPSS Timestamp**

For each vulnerability, it MUST be tested that the each EPSS timestamp is earlier than or equal to the date of the newest item of the revision\_history if the document status is final or interim. As the timestamps might use different timezones, the sorting MUST take timezones into account.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/epss/timestamp
```

```
"document": {
  // ...
  "distribution": {
    "tlp": {
      "label": "CLEAR"
    }
 },
  // ...
  "tracking": {
    // ...
    "revision history": [
        "date": "2024-01-24T10:00:00.000Z",
        "number": "1",
        "summary": "Initial version."
      }
    ],
    "status": "final",
    // ...
  }
```

```
"vulnerabilities": [
  {
    "cve": "CVE-1900-0001",
    "metrics": [
      {
        "content": {
          "epss": {
            "percentile": "0.999990000",
            "probability": "0.975570000",
            "timestamp": "2024-07-13T10:00:00.000Z"
          }
        },
        "products": [
          "CSAFPID-9080700"
      }
    1
  }
1
```

The document is in status final but the EPSS timestamp is newer than the date of newest item in the revision\_history.

#### **6.1.52 Inconsistent First Known Exploitation Dates**

For each First Known Exploitation Dates item, it MUST be tested that the values of its date and exploitation\_date properties are both earlier than or equal to the date of the newest item of the revision\_history if the document status is final or interim. As the timestamps might use different timezones, the sorting MUST take timezones into account.

The relevant paths for this test are:

```
/vulnerabilities[]/first_known_exploitation_dates[]/date
/vulnerabilities[]/first_known_exploitation_dates[]/exploitation_date
```

The document is in status final but the values of the properties date and exploitation\_date of the First Known Exploitation Dates item are newer than the date of newest item in the revision\_history.

#### **6.1.53 Inconsistent Exploitation Date**

For each First Known Exploitation Dates item, it MUST be tested that the value of exploitation\_date is earlier than or equal to value of the sibling element date. As the timestamps might use different timezones, the sorting MUST take timezones into account.

The relevant paths for this test are:

```
/vulnerabilities[]/first_known_exploitation_dates[]/exploitation_date
```

```
"document": {
  // ...
  "tracking": {
    "revision_history": [
        "date": "2024-01-24T10:00:00.000Z",
        "number": "1",
        "summary": "Initial version."
      }
    ],
    "status": "final",
    // ...
 }
},
"vulnerabilities": [
    "first known exploitation dates": [
      {
        "date": "2024-01-24T13:00:00.000Z",
        "exploitation_date": "2024-01-24T12:34:56.789Z",
```

```
// ...
}
]
}
```

The document is in status final but the value of exploitation\_date is newer than the value of date in the same item.

## **6.1.54 License Expression**

It MUST be tested that the license expression is valid.

To implement this test, it it deemed sufficient to check for the ABNF defined in annex B of [SPDX301] and the restriction on the DocumentRef part given in 3.2.2.7

The relevant path for this test is:

```
/document/license_expression
```

Example 1 (which fails the test):

```
"license_expression": "This is a license text that should not be here.",
```

The license expression contains a license text instead of a SPDX license expression.

#### 6.1.55 License Text

If the document language is English or unspecified, and the license\_expression contains license identifiers or exceptions that are not listed in the SPDX license list or Aboutcode's "ScanCode LicenseDB", it MUST be tested that exactly one item in document notes exists that has the title License. The category of this item MUST be legal\_disclaimer.

The relevant path for this test is:

```
/document/notes
```

The note has the correct title. However, it uses the wrong category.

#### **6.2 Recommended Tests**

Recommended tests SHOULD NOT fail at a valid CSAF document without a good reason. Failing such a test does not make the CSAF document invalid. These tests may include information about features which are still supported but expected to be deprecated in a future version of CSAF. A program MUST handle a test failure as a warning.

#### 6.2.1 Unused Definition of Product ID

For each Product ID (type /\$defs/product\_id\_t) in Full Product Name elements (type: /\$defs/full\_product\_name\_t) it MUST be tested that the product\_id is referenced somewhere within the same document.

This test SHALL be skipped for CSAF documents conforming the profile "Informational Advisory".

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product/product_id
/product_tree/full_product_names[]/product_id
/product_tree/relationships[]/full_product_name/product_id
```

Example 1 (which fails the test):

```
"product_tree": {
    "full_product_names": [
        {
            "product_id": "CSAFPID-9080700",
            "name": "Product A"
        }
     ]
}
```

CSAFPID-9080700 was defined but never used.

A tool MAY remove the unused definition as quick fix. However, such quick fix shall not be applied if the test was skipped.

### **6.2.2 Missing Remediation**

For each Product ID (type /\$defs/product\_id\_t) in the Product Status groups Affected and Under investigation it MUST be tested that a remediation exists.

```
The remediation might be of the category none_available or no_fix_planned.
```

The relevant paths for this test are:

```
/vulnerabilities[]/product_status/first_affected[]
/vulnerabilities[]/product_status/known_affected[]
/vulnerabilities[]/product_status/last_affected[]
/vulnerabilities[]/product_status/under_investigation[]
```

Example 1 (which fails the test):

CSAFPID-9080700 has in Product Status last\_affected but there is no remediation object for this Product ID.

#### 6.2.3 Missing Metric

For each Product ID (type /\$defs/product\_id\_t) in the Product Status groups Affected it MUST be tested that a metric object exists which covers this product.

The relevant paths for this test are:

```
/vulnerabilities[]/product_status/first_affected[]
/vulnerabilities[]/product_status/known_affected[]
/vulnerabilities[]/product_status/last_affected[]
```

CSAFPID-9080700 has in Product Status first\_affected but there is no metric object which covers this Product ID.

# 6.2.4 Build Metadata in Revision History

For each item in revision history it MUST be tested that number does not include build metadata.

The relevant path for this test is:

```
/document/tracking/revision_history[]/number
```

Example 1 (which fails the test):

The revision history contains an item which has a number that includes the build metadata +exp.sha.ac00785.

## **6.2.5 Older Initial Release Date than Revision History**

It MUST be tested that the Initial Release Date is not older than the date of the oldest item in Revision History. As the timestamps might use different timezones, the sorting and comparison MUST take timezones into account.

The relevant path for this test is:

```
/document/tracking/initial_release_date
```

The initial release date 2023-08-22T10:00:00.000Z is older than 2023-09-06T10:00:00.000Z which is the date of the oldest item in Revision History.

### **6.2.6 Older Current Release Date than Revision History**

It MUST be tested that the Current Release Date is not older than the date of the newest item in Revision History. As the timestamps might use different timezones, the sorting and comparison MUST take timezones into account.

The relevant path for this test is:

```
/document/tracking/current_release_date
```

Example 1 (which fails the test):

The current release date 2023-09-06T10:00:00.000Z is older than 2023-09-23T1100:00.000Z which is the date of the newest item in Revision History.

## **6.2.7 Missing Date in Involvements**

For each item in the list of involvements it MUST be tested that it includes the property date.

The relevant path for this test is:

```
/vulnerabilities[]/involvements
```

The list of involvements contains an item which does not contain the property date.

### 6.2.8 Use of MD5 as the only Hash Algorithm

It MUST be tested that the hash algorithm md5 is not the only one present.

Since collision attacks exist for MD5 such value should be accompanied by a second cryptographically stronger hash. This will allow users to double check the results.

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product/product_identification_helper/hashes[]
/product_tree/full_product_names[]/product_identification_helper/hashes[]/file_hashes
/product_tree/relationships[]/full_product_name/product_identification_helper/hashes|
```

Example 1 (which fails the test):

```
"product_tree": {
  "full_product_names": [
      "name": "Product A",
      "product_id": "CSAFPID-9080700",
      "product_identification_helper": {
        "hashes": [
          {
            "file_hashes": [
                 "algorithm": "md5",
                "value": "6ae24620ea9656230f49234efd078935"
              }
            ٦,
            "filename": "product_a.so"
        ]
      }
    }
  ]
}
```

The hash algorithm md5 is used in one item of hashes without being accompanied by a second hash algorithm.

## 6.2.9 Use of SHA-1 as the only Hash Algorithm

It MUST be tested that the hash algorithm sha1 is not the only one present.

Since collision attacks exist for SHA-1 such value should be accompanied by a second cryptographically stronger hash. This will allow users to double check the results.

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product/product_identification_helper/hashes[]
/product_tree/full_product_names[]/product_identification_helper/hashes[]/file_hashes
/product_tree/relationships[]/full_product_name/product_identification_helper/hashes|
```

Example 1 (which fails the test):

```
"product_tree": {
  "full_product_names": [
      "name": "Product A",
      "product_id": "CSAFPID-9080700",
      "product_identification_helper": {
        "hashes": [
            "file_hashes": [
                "algorithm": "sha1",
                "value": "e067035314dd8673fe1c9fc6b01414fe0950fdc4"
              }
            ٦,
            "filename": "product_a.so"
        ]
      }
    }
  1
}
```

The hash algorithm sha1 is used in one item of hashes without being accompanied by a second hash algorithm.

# 6.2.10 Missing TLP label (obsolete)

The TLP label is now required by the schema. Therefore, the recommended test is obsolete. This section is kept to document that change and keep the numbering of the remaining sections stable. The test is excluded from any preset and requirement to be executed.

## 6.2.11 Missing Canonical URL

It MUST be tested that the CSAF document has a canonical URL.

To implement this test it is deemed sufficient that one item in /document/references fulfills all of the following:

- It has the category self.
- The url starts with https://.

• The url ends with the valid filename for the CSAF document according to the rules in section 5.1.

The relevant path for this test is:

```
/document/references
```

Example 1 (which fails the test):

```
"document": {
    // ...
    "references": [
        "category": "self",
        "summary": "A non-canonical URL.",
        "url": "https://example.com/security/data/csaf/2024/oasis_csaf_tc-csaf_2.1-
2024-6-2-11-01_1.json"
    ],
    // ...
    "tracking": {
      // ...
      "id": "OASIS_CSAF_TC-CSAF_2.1-2024-6-2-11-01",
      "version": "1"
    },
    // ...
  }
```

The only element where the category is self has a URL that does not fulfill the requirement of a valid filename for a CSAF document.

#### 6.2.12 Missing Document Language

It MUST be tested that the document language is present and set.

The relevant path for this test is:

```
/document/lang
```

```
"document": {
    "category": "csaf_base",
    "csaf_version": "2.1",
    "distribution": {
        "tlp": {
            "label": "CLEAR"
        }
    },
    "publisher": {
        // ...
```

```
},
// ...
```

The document language is not defined.

#### **6.2.13 Sorting**

It MUST be tested that all keys in a CSAF document are sorted alphabetically.

The relevant path for this test is:

/

Example 1 (which fails the test):

```
"document": {
   "csaf_version": "2.1",
   "category": "csaf_base",
   // ...
}
```

The key csaf\_version is not at the right place.

A tool MAY sort the keys as a quick fix.

# 6.2.14 Use of Private Language

For each element of type /\$defs/lang\_t it MUST be tested that the language code does not contain subtags reserved for private use.

The relevant paths for this test are:

```
/document/lang
/document/source_lang
```

Example 1 (which fails the test):

```
"lang": "qtx"
```

The language code qtx is reserved for private use.

A tool MAY remove such subtag as a quick fix.

## 6.2.15 Use of Default Language

For each element of type /\$defs/lang\_t it MUST be tested that the language code is not i-default.

The relevant paths for this test are:

```
/document/lang
/document/source_lang
```

Example 1 (which fails the test):

```
"lang": "i-default"
```

The language code i-default is used.

A tool MAY remove such element as a quick fix.

#### **6.2.16 Missing Product Identification Helper**

For each element of type /\$defs/full\_product\_name\_t it MUST be tested that it includes the property product\_identification\_helper.

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product
/product_tree/full_product_names[]
/product_tree/relationships[]/full_product_name
```

Example 1 (which fails the test):

```
"full_product_names": [
     {
        "product_id": "CSAFPID-9080700",
        "name": "Product A"
     }
]
```

The product CSAFPID-9080700 does not provide any Product Identification Helper at all.

#### 6.2.17 CVE in field IDs

For each item in /vulnerabilities[]/ids it MUST be tested that it is not a CVE ID.

It is sufficient to check, whether the property text matches the regex  $^{\text{CVE}-[0-9]\{4\}-[0-9]\{4,\}}$ \$.

The relevant paths for this test are:

```
/vulnerabilities[]/ids[]
```

```
"ids": [
     {
        "system_name": "CVE Project",
        "text": "CVE-2021-44228"
     }
]
```

The CVE-2021-44228 is listed in an item of the ids array instead under cve.

A tool MAY set such element as value for the cve property as a quick fix, if that didn't exist before. Alternatively, it MAY remove such element as a quick fix.

#### **6.2.18 Product Version Range without vers**

For each element of type /\$defs/branches\_t with category of product\_version\_range it MUST be tested that the value of name conforms the vers specification.

To implement this test it is deemed sufficient that the value of name matches the following regex:

```
^vers:[a-z\\.\\-\\+][a-z0-9\\.\\-\\+]*/.+
```

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/name
```

Example 1 (which fails the test):

```
"branches": [
    {
      "category": "product_version_range",
      "name": ">4.2",
      // ...
}
```

The version range >4.2 is a valid vsl but not valid according to the vers specification.

#### 6.2.19 CVSS for Fixed Products

For each item the fixed products group (first\_fixed and fixed) it MUST be tested that a CVSS applying to this product has an environmental score of 0. The test SHALL pass if none of the Product IDs listed within product status fixed or first\_fixed is found in products of any item of the metrics element.

The relevant path for this test is:

```
/vulnerabilities[]/product_status/first_fixed[]
/vulnerabilities[]/product_status/fixed[]
```

```
"product_tree": {
  "full product names": [
    {
      "product_id": "CSAFPID-9080700",
      "name": "Product A"
  ]
},
"vulnerabilities": [
  {
    "product_status": {
      "fixed": [
        "CSAFPID-9080700"
      ]
    },
    "metrics": [
      {
        "content": {
          "cvss v3": {
            "baseScore": 6.5,
            "baseSeverity": "MEDIUM",
            "vectorString": "CVSS:3.1/AV:L/AC:L/PR:H/UI:R/S:U/C:H/I:H/A:H",
            "version": "3.1"
          }
        }
        "products": [
          "CSAFPID-9080700"
        1
      }
    1
  }
]
```

Neither the environmentalScore nor the properties modifiedAvailabilityImpact, modifiedConfidentialityImpact, modifiedIntegrityImpact nor the corresponding attributes in the vectorString have been set.

A tool MAY remove any Product IDs listed within product status fixed or first\_fixed from products of all items of the metrics element.

Alternatively, a tool MAY set those environmental properties according to the CVSS version used that reduce score to 0 and compute the environmental Score as quick fix. The following environmental properties have been identified:

- CVSS v2: targetDistribution to NONE
- CVSS v3: all of modifiedAvailabilityImpact, modifiedConfidentialityImpact, and modifiedIntegrityImpact to NONE
- · CVSS v4: all of
  - modifiedVulnAvailabilityImpact, modifiedVulnConfidentialityImpact, and modifiedVulnIntegrityImpact to NONE and
  - modifiedSubAvailabilityImpact, modifiedSubConfidentialityImpact, and modifiedSubIntegrityImpact to NEGLIGIBLE

## **6.2.20 Additional Properties**

It MUST be tested that there is no additional property in the CSAF document that was not defined in the CSAF JSON schema. This also applies for referenced schemas.

The relevant path for this test is:

/

To implement this test it is deemed sufficient to validate the CSAF document against a "strict" version schema that has all references integrated and sets additional Properties respectively unevaluated Properties to false at all appropriate places to detect additional properties.

Example 1 (which fails the test):

```
"cvss_v3": {
    "baseScore": 6.4,
    "baseSeverity": "MEDIUM",
    "custom_property": "any",
    "vectorString": "CVSS:3.1/AV:L/AC:H/PR:L/UI:N/S:C/C:N/I:H/A:L",
    "version": "3.1"
}
```

The key custom\_property is not defined in the JSON schema.

A tool MAY remove such keys as a quick fix.

## **6.2.21 Same Timestamps in Revision History**

It MUST be tested that the timestamps of all items in the revision history are pairwise disjoint. As the timestamps might use different timezones, the comparison MUST take timezones into account.

The relevant path for this test is:

/document/tracking/revision\_history[]/date

The first and second revision have the same timestamp.

#### 6.2.22 Document Tracking ID in Title

It MUST be tested that the /document/title does not contain the /document/tracking/id.

The relevant path for this test is:

```
/document/title
```

Example 1 (which fails the test):

```
"title": "OASIS_CSAF_TC-CSAF_2.1-2024-6-2-22-01: Recommended test: Document Tracking
"tracking": {
   // ...
   "id": "OASIS_CSAF_TC-CSAF_2.1-2024-6-2-22-01",
   // ...
}
```

The document title contains the document tracking id.

A tool MAY remove the document tracking id from the document title. It SHOULD also remove any separating characters including whitespace, colon, dash and brackets.

# 6.2.23 Usage of Deprecated CWE

For each item in the CWE array it MUST be tested that the CWE is not deprecated in the given version.

The relevant path for this test is:

```
/vulnerabilities[]/cwes[]
```

Example 1 (which fails the test):

The CWE-596 is deprecated in version 4.13.

A tool MAY suggest to replace the deprecated CWE with its replacement or closest equivalent.

## 6.2.24 Usage of Non-Latest CWE Version

For each item in the CWE array it MUST be tested that the latest CWE version available at the time of the last revision was used. The test SHALL fail if a later CWE version was used.

The relevant path for this test is:

```
/vulnerabilities[]/cwes[]
```

Example 1 (which fails the test):

```
"document": {
  // ...
  "tracking": {
    "current_release_date": "2024-01-21T10:00:00.000Z",
  }
},
"vulnerabilities": [
    "cwes": [
      {
        "id": "CWE-256",
        "name": "Plaintext Storage of a Password",
        "version": "4.12"
      }
    ]
  }
1
```

The CWE version listed is 4.12. However, version 4.13 was most recent version when the document was released on 2024-01-21T10:00:00.000Z.

A tool MAY suggest to use the latest version available at the time of the current\_release\_date. This is most likely also the overall latest CWE version as modifications to a CSAF document lead to a new current\_release\_date.

# 6.2.25 Usage of CWE Not Allowed for Vulnerability Mapping

For each item in the CWE array it MUST be tested that the vulnerability mapping is allowed.

Currently, this includes the two usage state Allowed and Allowed-with-Review.

The relevant path for this test is:

```
/vulnerabilities[]/cwes[]
```

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The usage of CWE-20 is discouraged as "is commonly misused in low-information vulnerability reports when lower-level CWEs could be used instead, or when more details about the vulnerability are available". cite

# 6.2.26 Usage of CWE Allowed with Review for Vulnerability Mapping

For each item in the CWE array it MUST be tested that the vulnerability mapping is allowed without review.

Reasoning: CWEs marked with a vulnerability mapping state of Allowed-with-Review should only be used if a thorough review was done. This test helps to flag such mappings which can be used to trigger processes that ensure the extra review, e.g. by a senior analyst.

The relevant path for this test is:

```
/vulnerabilities[]/cwes[]
```

Example 1 (which fails the test):

```
"cwes": [
      {
          "id": "CWE-1023",
          "name": "Incomplete Comparison with Missing Factors",
          "version": "4.13"
      }
]
```

The usage of CWE-1023 is allowed with review as the "CWE entry is a Class and might have Base-level children that would be more appropriate". cite

#### **6.2.27 Discouraged Product Status Remediation Combination**

For each item in /vulnerabilities[]/remediations it MUST be tested that a Product is not member of a discouraged product status group remediation category combination. This takes indirect relations through Product Groups into account.

The relevant path for this test is:

```
/vulnerabilities[]/remediations[]
```

For the product with product ID CSAFPID-908070 a fix is planned but the product was not affected at all.

# 6.2.28 Usage of Max UUID

It MUST be tested that the Max UUID is not used as sharing group id.

The relevant path for this test is:

```
/document/distribution/sharing_group/id
```

Example 1 (which fails the test):

The sharing group id uses the Max UUID.

A tool MAY remove the property sharing\_group as a quick fix.

#### 6.2.29 Usage of Nil UUID

It MUST be tested that the Nil UUID is not used as sharing group id.

The relevant path for this test is:

```
/document/distribution/sharing_group/id
```

The sharing group id uses the Nil UUID.

A tool MAY remove the property sharing\_group as a quick fix.

## 6.2.30 Usage of Sharing Group on TLP:CLEAR

It MUST be tested that no sharing group is used if the document is TLP:CLEAR.

The relevant path for this test is:

```
/document/distribution/sharing_group
```

Example 1 (which fails the test):

The CSAF document is TLP: CLEAR but a sharing group is given.

A tool MAY remove the property sharing\_group as a quick fix.

## 6.2.31 Hardware and Software

For each product containing at least one of the Product Identification Helpers serial\_numbers or model\_numbers it MUST be tested that a relationship exists referencing this product.

This tests detects a potential situation where hardware and software have been mixed in the product\_tree. Note: This test will fail if the CSAF document contains in its product\_tree only hardware. However, this is expected and considered a good reason for the test to fail. This does not make the CSAF document invalid.

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product/product_id
/product_tree/full_product_names[]/product_id
/product_tree/relationships[]/full_product_name/product_id
```

Example 1 (which fails the test):

```
"product_tree": {
  "branches": [
      "branches": [
          "branches": [
              "category": "product_version",
              "name": "4.1",
              "product": {
                 "name": "Example Company Controller A Firmware 4.1",
                "product_id": "CSAFPID-908070601",
                 "product_identification_helper": {
                   "serial_numbers": [
                     "143-D-354"
                   ]
                }
              }
            }
          ],
          "category": "product_name",
          "name": "Controller A"
        }
      ],
      "category": "vendor",
      "name": "Example Company"
    }
  ]
}
```

The product\_tree mentions the hardware product Example Company Controller A and combines it with the Firmware version 4.1.

#### **6.2.32** Use of same Product Identification Helper for different Products

For each Product Identification Helper category it MUST be tested that the same value is not used for multiple products in this category.

This test detects a potentially incorrect constructed product tree. Note: This test will fail if the CSAF document contains in its product\_tree the old and new name of a product that was renamed. However, this is expected and considered a good reason for the test to fail. This does not make the CSAF document invalid. For the comparison, arrays need to be treated as unordered sets which need to be pairwise disjoint. When comparing two helper-objects' array properties whose items are themselves JSON objects (e.g. hashes or file\_hashes), the array needs to be treated as an unordered set, and each object is compared by deep-equality of its key/value pairs (ignoring key\subseteq order).

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product_identification_helper
/product_tree/full_product_names[]/product_id/product_identification_helper
/product_tree/relationships[]/full_product_name/product_id/product_identification_helper
```

Example 1 (which fails the test):

```
"product_tree": {
  "branches": [
    {
      "branches": [
        {
          "branches": [
            {
              "category": "product_version",
              "name": "1.0",
              "product": {
                "name": "Example Company Product A 1.0",
                "product_id": "CSAFPID-908070601",
                "product_identification_helper": {
                   "serial_numbers": [
                     "143-D-354"
                }
              }
            },
              "category": "product_version",
              "name": "2.0",
              "product": {
                "name": "Example Company Product A 2.0",
                 "product_id": "CSAFPID-908070602",
                 "product_identification_helper": {
                   "serial_numbers": [
                     "143-D-354"
                   ]
                }
              }
            }
          "category": "product_name",
          "name": "Product A"
        }
      ],
      "category": "vendor",
      "name": "Example Company"
    }
  1
}
```

Both products are identified by the same serial number 143-D-354.

## **6.2.33 Disclosure Date newer than Revision History**

For each vulnerability, it MUST be tested that the disclosure\_date is earlier or equal to the date of the newest item of the revision\_history if the disclosure\_date is in the past at the time of the test execution. As the timestamps might use different timezones, the sorting MUST take timezones into account.

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The result of the test is dependent upon the time of the execution of the test - it might change for a given CSAF document over time. However, the latest version of a CSAF document should always pass the test.

The relevant path for this test is:

```
/vulnerabilities[]/disclosure_date
```

Example 1 (which fails the test):

```
"document": {
  // ...
  "distribution": {
    "tlp": {
      "label": "GREEN"
  },
  // ...
  "tracking": {
    "current_release_date": "2024-01-24T10:00:00.000Z",
    "initial_release_date": "2024-01-24T10:00:00.000Z",
    "revision history": [
        "date": "2024-01-24T10:00:00.000Z",
        "number": "1",
        "summary": "Initial version."
      }
    "status": "final",
    "version": "1"
 }
},
"vulnerabilities": [
    "disclosure_date": "2024-02-24T10:00:00.000Z"
  }
]
```

The disclosure\_date is in the past but newer than the date of newest item in the revision\_history.

#### 6.2.34 Usage of Unregistered SSVC Decision Point Namespace

For each SSVC decision point given under selections, it MUST be tested the namespace is one of the case-sensitive registered namespaces.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/ssvc_v1/selections[]/namespace
```

```
"vulnerabilities": [
    "cve": "CVE-1900-0001",
    "metrics": [
      {
        "content": {
          "ssvc_v1": {
            "id": "CVE-1900-0001",
            "schemaVersion": "1-0-1",
            "selections": [
              {
                "name": "Technical Impact",
                "namespace": "some-yet-unknown-or-maybe-private-namespace",
                "values": [
                  "Total"
                ],
                "version": "1.0.0"
              }
            ],
            "timestamp": "2024-01-24T10:00:00.000Z"
        },
        // ...
      }
  }
1
```

The namespace some-yet-unknown-or-maybe-private-namespace is not a registered namespace. Its decision point definitions might therefore not be known to the reader of the document.

#### 6.2.35 Usage of Private SSVC Decision Point Namespace in TLP:CLEAR Document

For each SSVC decision point given under selections, it MUST be tested the namespace is not a private one if the document is labeled TLP:CLEAR.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/ssvc_v1/selections[]/namespace
```

```
{
   "document": {
        // ...
      "distribution": {
            "tlp": {
                  "label": "CLEAR"
            }
        },
        // ...
```

```
"vulnerabilities": [
      "cve": "CVE-1900-0001",
      "metrics": [
        {
          "content": {
            "ssvc_v1": {
               "id": "CVE-1900-0001",
               "schemaVersion": "1-0-1",
               "selections": [
                 {
                   "name": "Technical Impact",
                   "namespace": "x_custom",
                   "values": [
                     "Total"
                   ],
                   "version": "1.0.0"
                 }
               ],
               "timestamp": "2024-01-24T10:00:00.000Z"
            }
          },
          // ...
        }
      1
    }
 ]
}
```

The namespace  $x_{\text{custom}}$  is a private namespace. Its decision point definitions might therefore not be known to the reader of the document.

#### 6.2.36 Usage of SSVC Decision Point Namespace with Extension in TLP:CLEAR Document

For each SSVC decision point given under selections, it MUST be tested the namespace does not use an extension if the document is labeled TLP:CLEAR.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/ssvc_v1/selections[]/namespace
```

```
{
    "document": {
        // ...
        "distribution": {
            "tlp": {
                 "label": "CLEAR"
            }
        }
}
```

```
// ...
  "vulnerabilities": [
      "cve": "CVE-1900-0001",
      "metrics": [
        {
          "content": {
            "ssvc_v1": {
              "id": "CVE-1900-0001",
              "schemaVersion": "1-0-1",
              "selections": [
                 {
                   "name": "Technical Impact",
                   "namespace": "ssvc/additional-technical-impacts",
                   "values": [
                     "Total"
                   "version": "1.0.0"
                }
              "timestamp": "2024-01-24T10:00:00.000Z"
            }
          },
          // ...
        }
      ]
    }
  ]
}
```

The namespace contains the extension additional-technical-impacts. Its decision point definitions might therefore not be known to the reader of the document.

#### 6.2.37 Usage of Unknown SSVC Role

"ssvc\_v1": {

For each SSVC object, it MUST be tested the role is one of the case-sensitive registered roles.

The relevant path for this test is:

```
"id": "CVE-1900-0001",
            "role": "An unregistered role",
            "schemaVersion": "1-0-1",
            "selections": [
                 "name": "Technical Impact",
                 "namespace": "ssvc",
                 "values": [
                   "Total"
                 ],
                 "version": "1.0.0"
              }
            ],
            "timestamp": "2024-01-24T10:00:00.000Z"
          }
        },
        // ...
      }
    ]
  }
1
```

The role An unregistered role is not a registered role. Its structure might therefore not be known to the reader of the document.

#### **6.2.38 Usage of Deprecated Profile**

It MUST be tested that the /document/category does not start with csaf\_deprecated\_.

The relevant path for this test is:

```
/document/category
```

Example 1 (which fails the test):

```
"category": "csaf_deprecated_security_advisory",
```

The document category starts with csaf\_deprecated\_.

### **6.2.39 Profile Tests**

This subsubsection structures the recommended tests for the profiles. Not all tests apply for all profiles. Tests SHOULD be skipped if the document category does not match the one given in the test. Each of the following tests SHOULD be treated as they where listed similar to the other tests.

## 6.2.39.1 Missing Fixed Product

For each product listed in the product status group affected in any vulnerability, it MUST be tested that a corresponding version of the product is listed as fixed in the same vulnerability. The test MUST be skipped if there is a clear indication, that such a version of the product does not exist. Indicators include a remediation item with one of the categories fix\_planned, no\_fix\_planned or none\_available referring to the affected product. The test MUST NOT be skipped, if there is an indication, that such a version of the product might exist. Indicators include an affected product version range with the comparator < in the last version constraint and a remediation item with the categories vendor\_fix referring to the affected product.

The relevant value for /document/category is:

```
csaf_security_advisory
The relevant path for this test is:
  /vulnerabilities[]/product_status
Example 1 (which fails the test):
  "vulnerabilities": [
    {
      // ...
      "product_status": {
        "known_affected": [
           "CSAFPID-9080700"
        1
      },
      "remediations": [
        {
           "category": "vendor_fix",
           "details": "Update to the latest version, at least version 4.2.",
           "product ids": [
             "CSAFPID-9080700"
        }
    }
  1
```

The fixed product is not listed in the advisory but there is a clear indication that such product exists as there is a remediation with category vendor\_fix.

A tool MAY create the missing fixed product based on the data available in the advisory as a quick fix.

#### **6.2.39.2 Language Specific Reasoning for Withdrawal**

If the document language is specified but not English, it MUST be tested that exactly one item in document notes exists that has the language specific translation of the term Reasoning for Withdrawal as title. The category of this item MUST be description. If no language specific translation has been recorded, the test MUST be skipped and output an information to the user that no such translation is known.

A list of the language specific translations is kept at the OASIS CSAFTC.

The relevant value for /document/category is:

```
csaf_withdrawn
```

The relevant path for this test is:

```
/document/notes
```

Example 1 (which fails the test):

```
"notes": [
    {
      "category": "summary",
      "text": "Das CSAF Document enthielt Beispieldaten und wurde zurückgezogen, um Text": "Begründung für die Zurückziehung"
    }
],
```

The note has the correct title. However, it uses the wrong category.

#### **6.2.39.3 Language Specific Reasoning for Supersession**

If the document language is specified but not English, it MUST be tested that exactly one item in document notes exists that has the language specific translation of the term Reasoning for Supersession as title. The category of this item MUST be description. If no language specific translation has been recorded, the test MUST be skipped and output an information to the user that no such translation is known.

A list of the language specific translations is kept at the OASIS CSAF TC.

The relevant value for /document/category is:

```
csaf_superseded
```

The relevant path for this test is:

```
/document/notes
```

```
"notes": [
    {
      "category": "summary",
      "text": "Das CSAF Dokument enthielt Beispieldaten und wurde ersetzt, um Testdat
      "title": "Begründung für die Ersetzung"
    }
],
```

The note has the correct title. However, it uses the wrong category.

#### 6.2.39.4 Language Specific Superseding Document

If the document language is specified but not English, it MUST be tested that at least one item in document references exists that starts with the language specific translation of the term Superseding Document as summary. The category of this item MUST be external. If no language specific translation has been recorded, the test MUST be skipped and output an information to the user that no such translation is known.

A list of the language specific translations is kept at the OASIS CSAFTC.

The relevant value for /document/category is:

```
csaf_superseded
```

The relevant path for this test is:

```
/document/references
```

Example 1 (which fails the test):

The note has the correct title. However, it uses the wrong category.

# **6.2.40 Product Description without Product Reference**

For each product description it MUST be tested that it includes at least one of the elements group\_ids or product\_ids.

If the document language is English or unspecified, the product description can be identified by checking for a note containing the corresponding category and title combination from sec. For other languages, the language specific translation is used.

If no language specific translation has been recorded, the test MUST be skipped and output an information to the user that no translation for product description is known.

The relevant path for this test is:

```
/document/notes[]
```

The given note item does not specify to which products it applies to.

# 6.2.41 Old EPSS Timestamp

For each vulnerability, it MUST be tested that the youngest EPSS timestamp is not more than 15 days older than to the date of the newest item of the revision\_history if the document status is final or interim. As the timestamps might use different timezones, the sorting MUST take timezones into account.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/epss/timestamp
```

```
"document": {
  // ...
  "distribution": {
    "tlp": {
      "label": "CLEAR"
    }
  },
  // ...
  "tracking": {
    // ...
    "revision_history": [
        "date": "2024-01-24T10:00:00.000Z",
        "number": "1",
        "summary": "Initial version."
      }
    "status": "final",
    // ...
  }
},
"vulnerabilities": [
    "cve": "CVE-1900-0001",
    "metrics": [
      {
        "content": {
          "epss": {
```

The document is in status final but the EPSS timestamp is more than 15 days older than the date of newest item in the revision\_history.

## **6.2.42 Inconsistent Product Identification Helper**

For each product identification helper which resides in branches, it MUST be tested that the product identification helper contain at least the same information as the categorized strings. Information that cannot be represented in the specific product identification helper MUST be omitted from the comparison.

To implement this test it is deemed sufficient to follow the process below. It is based on a static mapping of branch categories to the corresponding part of the product identification helpers. The latter one are referred to as counterparts.

- 1. Determine counterpart in the specific product identification helper for all categories used along the path up to the product.
- 2. Check whether the counterparts in the product identification helper are set.
- 3. Check whether each set counterpart does not contain a wildcard unless the value of the categorized string would indicate that.
- 4. Check whether the version part algins between the categorized strings and the product identification helper.
- 5. For CPE only: Check whether extra information is included in the CPE that is not in the categorized string. This might be a counterpart that is set but the corresponding categorized string is missing along th path.

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product/product_identification_helper/cpe
/product_tree/branches[](/branches[])*/product/product_identification_helper/purl
```

```
"name": "Product A",
          "branches": [
            {
              "category": "product_version",
              "name": "2.2.0",
              "product": {
                "product_id": "CSAFPID-9080700",
                "name": "Example Company Product A 2.2.0",
                "product identification helper": {
                  "cpe": "cpe:2.3:h:example:product_a:2.2.0:*:*:de:*:*:*:
              }
            },
              "category": "product_version",
              "name": "2.3.0",
              "product": {
                "product_id": "CSAFPID-9080701",
                "name": "Example Company Product A 2.3.0",
                "product_identification_helper": {
                  "cpe": "cpe:2.3:h:example:product_a:2.3.0:PL17:*:*:*:*:*:*
                }
              }
            }
          1
        }
      ]
    }
}
```

The CPE of the first product contains a language part that is not given through the categorized strings. The CPE of the second product contains an update part that is not given through the categorized strings.

#### **6.2.43 Missing License Expression**

It MUST be tested that the license expression is present and set.

The relevant path for this test is:

```
/document/license_expression
```

```
"document": {
    // ...
    "lang": "en-US",
    "publisher": {
        // ...
    },
    // ...
}
```

The license expression is not defined.

#### **6.2.44 Deprecated License Identifier**

It MUST be tested that all license identifier and exceptions used are not deprecated. This SHALL be tested for the SPDX license list and Aboutcode's "ScanCode LicenseDB". The test MAY be skipped for other license inventoring entities.

The relevant path for this test is:

```
/document/license_expression
```

Example 1 (which fails the test):

```
"license_expression": "GFDL-1.1",
```

The license identifier GFDL-1.1 was deprecated as of version 3.0.

#### 6.2.45 Non-Existing License Identifier

It MUST be tested that all license identifier and exceptions used exist. This SHALL be tested for the SPDX license list and Aboutcode's "ScanCode LicenseDB". The test MAY be skipped for other license inventoring entities.

The relevant path for this test is:

```
/document/license_expression
```

Example 1 (which fails the test):

```
"license_expression": "A-License-Identifier-That-Does-Not-Exist",
```

The license identifier does not exist in the SPDX license list.

## **6.2.46 Language Specific License Text**

If the document language is specified but not English, and the license\_expression contains license identifiers or exceptions that are not listed in the SPDX license list or Aboutcode's "ScanCode LicenseDB", it MUST be tested that exactly one item in document notes exists that has the language specific translation of the term License as title. The category of this item MUST be legal\_disclaimer. If no language specific translation has been recorded, the test MUST be skipped and output an information to the user that no such translation is known.

The relevant path for this test is:

```
/document/notes
```

The note has the correct title. However, it uses the wrong category.

#### **6.3 Informative Tests**

Informative tests provide insights in common mistakes and bad practices. They MAY fail at a valid CSAF document. It is up to the issuing party to decide whether this was an intended behavior and can be ignore or should be treated. These tests MAY include information about recommended usage. A program MUST handle a test failure as a information.

#### 6.3.1 Use of CVSS v2 as the only Scoring System

For each item in the list of metrics which contains the cvss\_v2 object under content it MUST be tested that is not the only scoring item present. The test SHALL pass if a second scoring object is available regarding the specific product.

One source might just provide CVSS v2. As long as at least one different source provides a different scoring system for the same products, the test passes.

The relevant path for this test is:

```
/vulnerabilities[]/metrics
```

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```
"cvss_v2": {
          "version": "2.0",
          "vectorString": "AV:N/AC:L/Au:N/C:C/I:C/A:C",
          "baseScore": 10
        }
    },
    "products": [
        "CSAFPID-9080700"
    ]
    }
}
```

There is only a CVSS v2 score given for CSAFPID-9080700.

#### Recommendation:

It is recommended to (also) use the CVSS v4.0.

#### 6.3.2 Use of CVSS v3.0

For each item in the list of metrics which contains the cvss\_v3 object under content it MUST be tested that CVSS v3.0 is not used.

The relevant paths for this test are:

```
/vulnerabilities[]/metrics[]/content/cvss_v3/version
/vulnerabilities[]/metrics[]/content/cvss_v3/vectorString
```

Example 1 (which fails the test):

```
"cvss_v3": {
   "version": "3.0",
   "vectorString": "CVSS:3.0/AV:L/AC:L/PR:H/UI:R/S:U/C:H/I:H/A:H",
   "baseScore": 6.5,
   "baseSeverity": "MEDIUM"
}
```

The CVSS v3.0 is used.

#### Recommendation:

It is recommended to upgrade to CVSS v3.1.

A tool MAY upgrade to CVSS v3.1 as quick fix. However, if such quick fix is supported the tool SHALL also recompute the baseScore and baseSeverity. The same applies for temporalScore and temporalSeverity respectively environmentalScore and environmentalSeverity if the necessary fields for computing their value are present and set.

# 6.3.3 Missing CVE

It MUST be tested that the CVE number is given.

The relevant path for this test is:

```
/vulnerabilities[]/cve
```

Example 1 (which fails the test):

```
"vulnerabilities": [
     {
        "title": "BlueKeep"
     }
]
```

The CVE number is not given.

#### Recommendation:

It is recommended to provide a CVE number to support the users efforts to find more details about a vulnerability and potentially track it through multiple advisories. If no CVE exists for that vulnerability, it is recommended to get one assigned.

#### 6.3.4 Missing CWE

It MUST be tested that at least one CWE is given.

The relevant path for this test is:

```
/vulnerabilities[]/cwes
```

Example 1 (which fails the test):

```
"vulnerabilities": [
    {
      "cve": "CVE-2019-0708",
      "title": "BlueKeep"
    }
]
```

No CWE number is given.

#### 6.3.5 Use of Short Hash

It MUST be tested that the length of the hash value is not shorter than 64 characters.

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/product/product_identification_helper/hashes[]
/product_tree/full_product_names[]/product_identification_helper/hashes[]/file_hashes
/product_tree/relationships[]/full_product_name/product_identification_helper/hashes|
```

Example 1 (which fails the test):

```
"product_tree": {
  "full_product_names": [
      "name": "Product A",
      "product_id": "CSAFPID-9080700",
      "product_identification_helper": {
        "hashes": [
          {
            "file_hashes": [
                 "algorithm": "md4",
                 "value": "3202b50e2e5b2fcd75e284c3d9d5f8d6"
            ],
            "filename": "product_a.so"
        ٦
      }
    }
  ]
}
```

The length of the hash value is only 32 characters long.

#### 6.3.6 Use of non-self referencing URLs Failing to Resolve

For each URL which is not in the category self it MUST be tested that it resolves with a HTTP status code from the 2xx (Successful) or 3xx (Redirection) class.

This test does not apply for any item in an array of type references\_t with the category self. For details about the HTTP status code classes see [RFC7231].

The relevant paths for this test are:

```
/document/acknowledgments[]/urls[]
/document/aggregate_severity/namespace
/document/distribution/tlp/url
/document/references[]/url
/document/publisher/namespace
/product_tree/branches[]/product/product_identification_helper/sbom_urls[]
```

```
/product_tree/branches[]/product/product_identification_helper/x_generic_uris[]/names/product_tree/branches[]/product/product_identification_helper/x_generic_uris[]/uri/product_tree/branches[](/branches[])*/product/product_identification_helper/sbom_url/product_tree/branches[](/branches[])*/product/product_identification_helper/x_generic/product_tree/branches[](/branches[])*/product_tree/full_product_names[]/product_identification_helper/sbom_urls[]/product_tree/full_product_names[]/product_identification_helper/x_generic_uris[]/name/product_tree/full_product_names[]/product_identification_helper/x_generic_uris[]/uri/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationships[]/full_product_name/product_identification_helper/x_generic/product_tree/relationshi
```

Example 1 (which fails the test):

```
"references": [
    {
        "summary": "A URL that does not resolve with HTTP status code in the interval k
        "url": "https://example.invalid"
    }
]
```

The category is not set and therefore treated as its default value external. A request to that URL does not resolve with a status code from the 2xx (Successful) or 3xx (Redirection) class.

#### 6.3.7 Use of self referencing URLs Failing to Resolve

For each item in an array of type references\_t with the category self it MUST be tested that the URL referenced resolves with a HTTP status code less than 400.

This test will most likely fail if the CSAF document is in a status before the initial release. For details about the HTTP status code classes see [RFC7231].

The relevant paths for this test are:

```
/document/references[]/url
/vulnerabilities[]/references[]/url
```

```
"references": [
    {
        "category": "self",
        "summary": "A URL that does not resolve with HTTP status code in the interval k
        "url": "https://example.invalid"
    }
]
```

The category is self and a request to that URL does not resolve with a status code from the 2xx (Successful) or 3xx (Redirection) class.

#### 6.3.8 Spell Check

If the document language is given it MUST be tested that a spell check for the given language does not find any mistakes. The test SHALL be skipped if the document language is not set. It SHALL fail if the given language is not supported. The value of /document/category SHOULD NOT be tested if the CSAF document does not use the profile "CSAF Base".

The relevant paths for this test are:

```
/document/acknowledgments[]/names[]
/document/acknowledgments[]/organization
/document/acknowledgments[]/summary
/document/aggregate_severity/text
/document/category
/document/distribution/text
/document/notes[]/audience
/document/notes[]/text
/document/notes[]/title
/document/publisher/issuing_authority
/document/publisher/name
/document/references[]/summary
/document/title
/document/tracking/aliases[]
/document/tracking/generator/engine/name
/document/tracking/revision_history[]/summary
/product_tree/branches[](/branches[])*/name
/product_tree/branches[](/branches[])*/product/name
/product_tree/branches[]/name
/product_tree/branches[]/product/name
/product_tree/full_product_names[]/name
/product_tree/product_groups[]/summary
/product_tree/relationships[]/full_product_name/name
/vulnerabilities[]/acknowledgments[]/names[]
/vulnerabilities[]/acknowledgments[]/organization
/vulnerabilities[]/acknowledgments[]/summary
/vulnerabilities[]/involvements[]/summary
/vulnerabilities[]/notes[]/audience
/vulnerabilities[]/notes[]/text
/vulnerabilities[]/notes[]/title
/vulnerabilities[]/references[]/summary
/vulnerabilities[]/remediations[]/details
/vulnerabilities[]/remediations[]/entitlements[]
/vulnerabilities[]/remediations[]/restart_required/details
/vulnerabilities[]/threats[]/details
/vulnerabilities[]/title
```

There is a spelling mistake in Secruity.

# 6.3.9 Branch Categories

For each element of type /\$defs/full\_product\_name\_t in /product\_tree/branches it MUST be tested that ancestor nodes along the path exist which use the following branch categories vendor -> product\_name -> product\_version in that order starting with the Product tree node.

Other branch categories can be used before, after or between the aforementioned branch categories without making the test invalid.

The relevant paths for this test are:

```
/product_tree/branches
```

```
"branches": [
    "category": "vendor",
    "name": "Example Company",
    "branches": [
      {
        "category": "product_name",
        "name": "Product A",
        "branches": [
          {
            "category": "patch_level",
            "name": "91",
            "product": {
              "product id": "CSAFPID-0002",
              "name": "Example Company Product A Update 91"
          }
        ]
     }
    ]
  }
1
```

The product CSAFPID-9080700 does not have any ancestor with the branch category product\_version.

# 6.3.10 Usage of Product Version Range

For each element of type /\$defs/branches\_t it MUST be tested that the category is not product\_version\_range.

It is usually hard decide for machines whether a product version matches a product version ranges. Therefore, it is recommended to avoid version ranges and enumerate versions wherever possible.

The relevant paths for this test are:

```
/product tree/branches[](/branches[])*/category
```

Example 1 (which fails the test):

```
"category": "product_version_range",
```

The category product\_version\_range was used.

#### **6.3.11** Usage of V as Version Indicator

For each element of type /\$defs/branches\_t with category of product\_version it MUST be tested that the value of name does not start with v or V before the version.

To implement this test it is deemed sufficient that the value of name does not match the following regex:

```
^[vV][0-9].*$
```

The relevant paths for this test are:

```
/product_tree/branches[](/branches[])*/name
```

Example 1 (which fails the test):

```
"branches": [
    {
        "category": "product_version",
        "name": "v4.2",
        // ...
}
```

The product version starts with a v.

## 6.3.12 Missing CVSS v4.0

For each item in the list of metrics it MUST be tested that a cvss\_v4 object is present.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content
```

Example 1 (which fails the test):

```
"product_tree": {
  "full_product_names": [
      "product_id": "CSAFPID-9080700",
      "name": "Product A"
  ٦
},
"vulnerabilities": [
  {
    "metrics": [
      {
        "content": {
          "cvss v3": {
            "version": "3.1",
            "vectorString": "CVSS:3.1/AV:N/AC:L/PR:N/UI:N/S:C/C:H/I:H/A:H",
            "baseScore": 10,
            "baseSeverity": "CRITICAL"
          }
        },
        "products": [
          "CSAFPID-9080700"
      }
    ]
  }
]
```

There is no CVSS v4.0 score given for CSAFPID-9080700.

#### 6.3.13 Usage of Non-Latest SSVC Decision Point Version

For each SSVC decision point given under selections with the namespace of ssvc, it MUST be tested the latest decision point version available at the time of the timestamp was used. The test SHALL fail if a later version was used.

A list of all valid decision points including their values is available at the SSVC repository.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/ssvc_v1/selections[]
```

Example 1 (which fails the test):

```
"vulnerabilities": [
  {
    "cve": "CVE-1900-0001",
    "metrics": [
      {
        "content": {
          "ssvc_v1": {
            "id": "CVE-1900-0001",
            "schemaVersion": "1-0-1",
            "selections": [
                 "name": "Mission Impact",
                 "namespace": "ssvc",
                 "values": [
                   "Non-Essential Degraded"
                "version": "1.0.0"
            ],
            "timestamp": "2024-01-24T10:00:00.000Z"
        },
        // ...
      }
    ]
  }
1
```

At the timestamp 2024-01-24T10:00:00.000Z version 2.0.0 of the SSVC decision point Mission Impact was already available.

# 6.3.14 Usage of Private SSVC Decision Point Namespace in non TLP:CLEAR Document

For each SSVC decision point given under selections, it MUST be tested the namespace is not a private one if the document is not labeled TLP:CLEAR.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/ssvc_v1/selections[]/namespace
```

```
{
  "document": {
    // ...
    "distribution": {
       "tlp": {
          "label": "GREEN"
       }
}
```

```
},
  }
  "vulnerabilities": [
      "cve": "CVE-1900-0001",
      "metrics": [
        {
          "content": {
             "ssvc_v1": {
               "id": "CVE-1900-0001",
               "schemaVersion": "1-0-1",
               "selections": [
                 {
                   "name": "Technical Impact",
                   "namespace": "x_custom",
                   "values": [
                     "Total"
                   ],
                   "version": "1.0.0"
                 }
               ],
               "timestamp": "2024-01-24T10:00:00.000Z"
            }
          },
          // ...
        }
      ]
    }
  1
}
```

The namespace  $x_{\text{custom}}$  is a private namespace. Its decision point definitions might therefore not be known to the reader of the document.

# 6.3.15 Usage of SSVC Decision Point Namespace with Extension in non TLP:CLEAR Document

For each SSVC decision point given under selections, it MUST be tested the namespace does not use an extension if the document is not labeled TLP:CLEAR.

The relevant path for this test is:

```
/vulnerabilities[]/metrics[]/content/ssvc_v1/selections[]/namespace

Example 1 (which fails the test):
```

```
{
  "document": {
    // ...
  "distribution": {
      "tlp": {
        "label": "GREEN"
```

```
}
    },
    // ...
  "vulnerabilities": [
      "cve": "CVE-1900-0001",
      "metrics": [
        {
          "content": {
            "ssvc_v1": {
              "id": "CVE-1900-0001",
              "schemaVersion": "1-0-1",
              "selections": [
                 {
                   "name": "Technical Impact",
                   "namespace": "ssvc/additional-technical-impacts",
                   "values": [
                     "Total"
                   ],
                   "version": "1.0.0"
                 }
              ],
              "timestamp": "2024-01-24T10:00:00.000Z"
          },
          // ...
        }
      ]
    }
  ]
}
```

The namespace contains the extension additional-technical-impacts. Its decision point definitions might therefore not be known to the reader of the document.

## 6.3.16 Grammar Check

If the document language is given it MUST be tested that a grammar check for the given language does not find any mistakes. The test SHALL be skipped if the document language is not set. It SHALL fail if the given language is not supported.

The relevant paths for this test are:

```
/document/acknowledgments[]/summary
/document/aggregate_severity/text
/document/distribution/text
/document/notes[]/audience
/document/notes[]/text
/document/notes[]/title
/document/publisher/issuing_authority
/document/references[]/summary
```

```
/document/title
/document/tracking/revision_history[]/summary
/product_tree/product_groups[]/summary
/vulnerabilities[]/acknowledgments[]/summary
/vulnerabilities[]/involvements[]/summary
/vulnerabilities[]/notes[]/audience
/vulnerabilities[]/notes[]/text
/vulnerabilities[]/references[]/summary
/vulnerabilities[]/references[]/summary
/vulnerabilities[]/remediations[]/details
/vulnerabilities[]/remediations[]/restart_required/details
/vulnerabilities[]/threats[]/details
/vulnerabilities[]/title
```

Example 1 (which fails the test):

Multiple grammar mistakes exist:

- · a be is missing between must and followed
- · the for needs to be a to
- · a products is also incorrect as a indicates singular.

## **6.3.17** Use of Unregistered License

It MUST be tested that the all license identifiers and exceptions are listed either in the official SPDX license identifier list or AboutCode's "ScanCode LicenseDB".

The relevant path for this test is:

```
/document/license_expression
```

Example 1 (which fails the test):

```
"license_expression": "LicenseRef-www.example.com-no-work-pd",
```

The license\_expression contains a license identifier that is neither listed in the official SPDX license identifier list nor AboutCode's "ScanCode LicenseDB".

#### 6.4 Presets

A test preset is a predefined set of tests that was given a name. It MAY contain any number of tests. Two presets MAY overlap. The content of a preset MAY vary in different CSAF versions. A CSAF validator MUST support every official preset that solely include tests that are implemented by the CSAF validator. A CSAF validator MAY provide or support additional presets. A CSAF validator MUST implement all tests for any supported preset. Names of presets not defined in this CSAF standard SHALL have the following prefix before their name:

- x\_: for any CSAF validator specific preset. > Multiple CSAF validators might use the same preset name for different sets of tests. > Users are advised to carefully check the documentation of the tools to avoid incorrect assumptions.
- org\_ followed by an organization identifier and an underscore (\_): for any preset specified by an organization as a part of a public definition that can be implemented by different CSAF validators. The organization identifier MUST only use the characters identified by the pattern [0-9a-zA-Z-]. The organization identifier MUST be registered with the OASIS CSAF TC.
- · csaf: for any preset defined later on by the OASIS CSAFTC.

Official presets are defined in different parts of the standard.

## **6.4.1 Presets defined through Test Subsections**

The following presets are defined through subsections of the test section:

- mandatory: all tests given in section 6.1
- recommended: all tests given in section 6.2
- informative: all tests given in section 6.3

## **6.4.2 Presets defined through Conformance Targets**

The following presets are defined through conformance targets:

- schema: Check against the JSON schema (see section sec)
- basic: schema + mandatory (see section sec)
- extended: basic + recommended (see section sec)
- full: extended + informative (see section sec)

As presets are sets, the operator + MUST be interpreted as the union operation.

## **6.4.3 Additional Presets**

Additional presets are defined as follows:

- external-request-free:
  - Description: Any test that can be executed without a request into the Internet or a different network. >
     This is intended to be used for browser-based tools as external requests may result in CORS issues. >
     Request over network to a tool that is delivered with or an install requirement for a CSAF validator are
     not considered external.
  - Set: full excluding tests 6.3.6 and 6.3.7
- consistent-revision-history:
  - Description: Any test that is related to the revision history and ensures consistence within it.
  - Set:
    - \* 6.1.14

- \* 6.1.18
- \* 6.1.19
- \* 6.1.21
- \* 6.1.22
- \* 6.1.37
- \* 6.2.4
- \* 6.2.5
- \* 6.2.6
- \* 6.2.21
- \* 6.2.33
- consistent-date-times:
  - Description: Any test that is related to timestamps in CSAF and avoids invalid situations.
  - Set:
    - \* 6.1.37
    - \* 6.1.45
    - \* 6.1.49
    - \* 6.1.51
    - \* 6.1.52
    - \* 6.1.53

# 7 Distributing CSAF documents

This section lists requirements and roles defined for distributing CSAF documents. The first subsection provides all requirements - the second one the roles. It is mandatory to fulfill the basic role "CSAF publisher". The last section provides specific rules for the process of retrieving CSAF documents.

# 7.1 Requirements

The requirements in this subsection are consecutively numbered to be able to refer to them directly. The order does not give any hint about the importance. Not all requirements have to be fulfilled to conform to this specification - the sets of requirements per conformance clause are defined in section 7.2.

# 7.1.1 Requirement 1: Valid CSAF document

The document is a valid CSAF document (cf. Conformance clause 1).

## 7.1.2 Requirement 2: Filename

The CSAF document has a filename according to the rules in section 5.1.

## 7.1.3 Requirement 3: TLS

The CSAF document is per default retrievable from a website which uses TLS for encryption and server authenticity. The CSAF document MUST NOT be downloadable from a location which does not encrypt the transport when crossing organizational boundaries to maintain the chain of custody.

## 7.1.4 Requirement 4: TLP:CLEAR

If the CSAF document is labeled TLP:CLEAR, it MUST be freely accessible.

This does not exclude that such a document is also available in an access protected customer portal. However, there MUST be one copy of the document available for people without access to the portal.

Reasoning: If an advisory is already in the media, an end user should not be forced to collect the pieces of information from a press release but be able to retrieve the CSAF document.

# 7.1.5 Requirement 5: TLP:AMBER, TLP:AMBER+STRICT and TLP:RED

CSAF documents labeled TLP:AMBER, TLP:AMBER+STRICT or TLP:RED MUST be access protected. If they are provided via a web server this SHALL be done under a different path than for TLP:CLEAR, TLP:GREEN and unlabeled CSAF documents. TLS client authentication, access tokens or any other automatable authentication method SHALL be used.

An issuing party MAY agree with the recipients to use any kind of secured drop at the recipients' side to avoid putting them on their own website. However, it MUST be ensured that the documents are still access protected.

## 7.1.6 Requirement 6: No Redirects

Redirects SHOULD NOT be used. If they are inevitable only HTTP Header redirects are allowed.

Reasoning: Clients should not parse the payload for navigation and some, as e.g. curl, do not follow any other kind of redirects.

If any redirects are used, there SHOULD not be more than 5 and MUST NOT be more than 10 consecutive redirects.

## 7.1.7 Requirement 7: provider-metadata.json

The party MUST provide a valid provider-metadata.json according to the schema CSAF provider metadata for its own metadata. The publisher object SHOULD match the one used in the CSAF documents of the issuing party but can be set to whatever value a CSAF aggregator SHOULD display over any individual publisher values in the CSAF documents themselves.

This information is used to collect the data for CSAF aggregators, listers and end users. The CSAF provider metadata schema ensures the consistency of the metadata for a CSAF provider across the ecosystem. Other approaches, like extracting the publisher object from CSAF documents, are likely to fail if the object differs between CSAF documents.

It is suggested to put the file provider-metadata.json adjacent to the ROLIE feed documents (requirement 15) or in the main directory adjacent to the year folders (requirement 14), changes.csv (requirement 13) and the index.txt (requirement 12). Suggested locations to store the provider-metadata.json are:

- https://www.example.com/.well-known/csaf/provider-metadata.json
- https://domain.tld/security/data/csaf/provider-metadata.json
- https://psirt.domain.tld/advisories/csaf/provider-metadata.json
- https://domain.tld/security/csaf/provider-metadata.json

Example 1 (minimal with ROLIE document):

```
{
  "$schema": "https://docs.oasis-open.org/csaf/csaf/v2.1/schema/provider.json",
  "canonical_url": "https://www.example.com/.well-known/csaf/provider-metadata.json",
  "distributions": [
    {
      "rolie": {
        "feeds": [
          {
            "last_updated": "2024-01-24T20:20:56.169Z",
            "summary": "All TLP:CLEAR advisories of Example Company.",
            "tlp_label": "CLEAR",
            "url": "https://www.example.com/.well-known/csaf/feed-tlp-clear.json"
          }
        ]
      }
    }
  ],
  "last_updated": "2024-01-24T20:20:56.169Z",
  "list_on_CSAF_aggregators": true,
  "metadata_version": "2.1",
  "mirror_on_CSAF_aggregators": true,
  "public_openpgp_keys": [
```

```
{
    "fingerprint": "8F5F267907B2C4559DB360DB2294BA7D2B2298B1",
    "url": "https://keys.example.net/vks/v1/by-fingerprint/8F5F267907B2C4559DB360DE
    }
],
    "publisher": {
        "category": "vendor",
        "name": "Example Company ProductCERT",
        "namespace": "https://psirt.example.com"
    },
    "role": "csaf_trusted_provider"
}
```

The maintained\_until and maintained\_from properties can be used to indicate that the distributions contained in provider-metadata.json at the given canonical\_url are only guaranteed to be maintained until or after the specified date and time. This SHOULD be used to support a transition period between CSAF 2.0 and CSAF 2.1 (cf. section sec). CSAF downloaders (cf. section sec) and programs retrieving or providing a provider-metadata.json SHOULD evaluate this property and emit a warning if the current date is less than 90 days away from maintained\_until and an error if the current date exceeds maintained\_until. The programs MAY provide a non-default option to use the provider-metadata.json anyway. Furthermore, such programs SHOULD evaluate the maintained\_from property and output a warning if the current date is still before the maintained\_from timestamp.

If a CSAF publisher (cf. section 7.2.1) does not provide the provider-metadata.json, an aggregator SHOULD contact the CSAF publisher in question to determine the values for list\_on\_CSAF\_aggregators and mirror\_on\_CSAF\_aggregators. If that is impossible or if the CSAF publisher is unresponsive the following values MUST be used:

```
"list_on_CSAF_aggregators": true,
"mirror_on_CSAF_aggregators": false
```

This prevents that CSAF documents of a CSAF publisher which have been collected by one CSAF aggregator A are mirrored again on a second CSAF aggregator B. Such cascades are prone to outdated information. If the first aggregator A collects the CSAF documents on best effort and B copies the files from A and announces that this is done weekly, one might assume that B's CSAF documents are more recent. However, that is not the case as B's information depends on A.

### 7.1.8 Requirement 8: security.txt

In the security.txt there MUST be at least one field CSAF which points to the provider-metadata.json (requirement 7). If this field indicates a web URI, then it MUST begin with "https://" (as per section 2.7.2 of [RFC7230]). See [SECURITY-TXT] for more details.

The security.txt was published as [RFC9116] in April 2022. The CSAF field was officially added through the IANA registry.

## Examples 1:

```
CSAF: https://www.example.com/.well-known/csaf/provider-metadata.json
CSAF: https://domain.tld/security/data/csaf/provider-metadata.json
CSAF: https://psirt.domain.tld/advisories/csaf/provider-metadata.json
CSAF: https://domain.tld/security/csaf/provider-metadata.json
```

It is possible to advertise more than one provider-metadata.json by adding multiple CSAF fields, e.g. in case of changes to the organizational structure through mergers and acquisitions. However, this SHOULD NOT be done and removed as soon as possible. A valid use case for temporarily including multiple entries would be a transition phase between different CSAF versions, in which documents and provider metadata of both versions are served simultaneously (cf. section sec). If one of the URLs fulfills requirement 9, it MUST be set as the first CSAF entry in the security.txt.

# 7.1.9 Requirement 9: Well-known URL for provider-metadata.json

The URL path /.well-known/csaf/provider-metadata.json under the main domain of the issuing authority serves directly the provider-metadata.json according to requirement 7. That implies that redirects SHALL NOT be used. The use of the scheme "HTTPS" is required. See [RFC8615] for more details.

Example 1:

```
https://www.example.com/.well-known/csaf/provider-metadata.json
```

As specified in sec, the value of canonical\_url MAY differ from the URL that was requested as a part of this requirement. Such state is intended and MUST NOT be reported as error.

## 7.1.10 Requirement 10: DNS path

Assuming that the organization's main domain is domain.tld, the DNS record csaf.data.security.domain.tld SHALL resolve to the IP address of a web server which serves directly the provider-metadata.json according to requirement 7.

The domain.tld is just a placeholder for the organization's main domain. For the organization with the main domain being example.com, the necessary DNS record is csaf.data.security.example.com.

That implies that redirects SHALL NOT be used. The use of the scheme "HTTPS" is required.

## 7.1.11 Requirement 11: One folder per year

The CSAF documents MUST be located within folders named <YYYY> where <YYYY> is the year given in the value of /document/tracking/initial\_release\_date.

Examples 1:

2024

2023

## 7.1.12 Requirement 12: index.txt

The file index.txt MUST contain the list of all filenames of CSAF documents which are located in the sub-directories with their filenames. Each entry SHALL be terminated by a newline sequence. The last entry MAY skip the newline sequence.

If different TLP labels are used, multiple index.txt exist. However, they are located in the corresponding folders and contain only the filenames of files for that TLP label.

Example 1:

```
2023/esa-2023-09953.json
2022/esa-2022-02723.json
2021/esa-2021-31916.json
2021/esa-2021-03676.json
```

This can be used to download all CSAF documents.

## 7.1.13 Requirement 13: changes.csv

The file changes.csv contains a list of CSAF documents in the current TLP level that were changed recently. Therefore, it MUST contain the filename as well as the value of /document/tracking/current\_release\_date for each CSAF document in the sub-directories without a heading; lines MUST be sorted by the current\_release\_date timestamp with the latest one first. The changes.csv SHALL be a valid comma separated values format as defined by [RFC4180] without double quotes.

Note: As a consequence of section sec Requirement 2 for filenames and section sec Requirement for directory names, there must not be any characters within the changes.csv that would require quoting.

### Example 1:

```
2023/esa-2023-09953.json,2023-07-01T10:09:07Z
2021/esa-2021-03676.json,2023-07-01T10:09:01Z
2022/esa-2022-02723.json,2022-04-17T15:08:41Z
2021/esa-2021-31916.json,2022-03-01T06:01:00Z
```

Note: As CSAF 2.0 requires quotes, an [RFC4180] parser can read both format revisions.

## 7.1.14 Requirement 14: Directory listings

Server-side generated directory listing SHALL be enabled to support manual navigation.

As the content of the directory listing is more or less static, there is little to no benefit in using of client-side scripts. Moreover, client-side scripts, like JavaScript, are usually not evaluated in text-based browsers and are also hard to check programmatically.

## 7.1.15 Requirement 15: ROLIE feed

Resource-Oriented Lightweight Information Exchange (ROLIE) is a standard to ease discovery of security content. ROLIE is built on top of the Atom Publishing Format and Protocol, with specific requirements that support publishing security content. All CSAF documents with the same TLP level MUST be listed in a single ROLIE feed (summary feed). Additional ROLIE feeds might exist that contain only a subset of the CSAF documents. The selection criteria SHOULD be described through the summary. At least one of the feeds

- · TLP:CLEAR
- · TLP:GREEN

MUST exist. Each ROLIE feed document MUST be a JSON file that conforms with [RFC8322].

The ROLIE feed document MUST contain a feed category with the registered ROLIE information type csaf. The scheme for this category MUST be urn:ietf:params:rolie:category:information-type.

## Example 1:

```
{
  "feed": {
    "id": "example-csaf-feed-tlp-clear",
    "title": "Example CSAF feed (TLP:CLEAR)",
    "link": [
      {
        "rel": "self",
        "href": "https://psirt.domain.tld/advisories/csaf/feed-tlp-clear.json"
      }
    ],
    "category": [
      {
        "scheme": "urn:ietf:params:rolie:category:information-type",
        "term": "csaf"
      }
    ],
    "updated": "2024-01-01T12:00:00.000Z",
    "entry": [
      {
        "id": "ESA-2024-001",
        "title": "Multiple vulnerabilities in ABC 0.0.2",
        "link": [
          {
            "rel": "self",
            "href": "https://psirt.domain.tld/advisories/csaf/2024/esa-2024-001.json'
          },
            "rel": "hash",
            "href": "https://psirt.domain.tld/advisories/csaf/2024/esa-2024-001.json.
          },
            "rel": "signature",
            "href": "https://psirt.domain.tld/advisories/csaf/2024/esa-2024-001.json.
          }
        ],
        "published": "2024-01-01T11:00:00.000Z",
        "updated": "2024-01-01T12:00:00.000Z",
        "summary": {
          "content": "Multiple vulnerabilities were fixed in ABC 0.0.3"
        },
        "content": {
          "type": "application/json",
          "src": "https://psirt.domain.tld/advisories/csaf/2024/esa-2024-001.json"
        },
        "format": {
          "schema": "https://docs.oasis-open.org/csaf/csaf/v2.1/schema/csaf.json",
          "version": "2.1"
        }
      }
    1
 }
}
```

Any existing hash file (requirement 18) MUST be listed in the corresponding entry of the ROLIE feed as an item of the array link having the rel value of hash. Any existing signature file (requirement 19) MUST be listed in the corresponding entry of the ROLIE feed as an item of the array link having the rel value of signature.

## 7.1.16 Requirement 16: ROLIE service document

The use and therefore the existence of ROLIE service document is optional. If it is used, each ROLIE service document MUST be a JSON file that conforms with [RFC8322] and lists the ROLIE feed documents. Additionally, it can also list the corresponding ROLIE category documents. The ROLIE service document SHOULD use the filename service.json and reside next to the provider-metadata.json.

Example 1:

```
{
  "service": {
    "workspace": [
      {
        "title": "Public CSAF feed",
        "collection": [
          {
            "title": "Example CSAF feed (TLP:CLEAR)",
            "href": "https://psirt.domain.tld/advisories/csaf/feed-tlp-clear.json",
            "categories": {
              "category": [
                {
                   "scheme": "urn:ietf:params:rolie:category:information-type",
                   "term": "csaf"
                 }
              1
            }
          }
        1
      }
    1
 }
}
```

### 7.1.17 Requirement 17: ROLIE category document

The use and therefore the existence of ROLIE category document is optional. If it is used, each ROLIE category document MUST be a JSON file that conforms with [RFC8322]. A ROLIE category document SHOULD reside next to the corresponding ROLIE feed. ROLIE categories SHOULD be used for to further dissect CSAF documents by one or more of the following criteria:

- · document category
- document language
- · values of the branch category within the Product Tree including but not limited to
  - vendor
  - product\_family
  - product\_name
  - product\_version

· type of product

```
Examples 1:
```

CPU Firewall Monitor

PLC

Printer

Router

Sensor

Server

· areas or sectors, the products are used in

```
Examples 2:
```

Chemical
Commercial
Communication
Critical Manufacturing
Dams
Energy
Healthcare
Water

· any other categorization useful to the consumers

# Example 3:

```
{
  "categories": {
    "category": [
        {
             "term": "Example Company Product A"
        },
        {
             "term": "Example Company Product B"
        }
        }
        }
}
```

# 7.1.18 Requirement 18: Integrity

All CSAF documents SHALL have at least one hash file computed with a secure cryptographic hash algorithm (e.g. SHA-512 or SHA-3) to ensure their integrity. The filename is constructed by appending the file extension which is given by the algorithm.

MD5 and SHA1 SHOULD NOT be used.

# Example 1:

```
File name of CSAF document: esa-2022-02723.json
File name of SHA-256 hash file: esa-2022-02723.json.sha256
File name of SHA-512 hash file: esa-2022-02723.json.sha512
```

The file content SHALL start with the first byte of the hexadecimal hash value. Any subsequent data (like a filename) which is optional SHALL be separated by at least one space.

Example 2:

ea6a209dba30a958a78d82309d6cdcc6929fcb81673b3dc4d6b16fac18b6ff38 esa-2022-02723.json

If a ROLIE feed exists, each hash file MUST be listed in it as described in requirement 15.

### 7.1.19 Requirement 19: Signatures

All CSAF documents SHALL have at least one OpenPGP signature file which is provided under the same filename which is extended by the appropriate extension. This signature SHALL be presented as an ASCII armored file. See [RFC4880] for more details.

Example 1:

```
File name of CSAF document: esa-2022-02723.json File name of signature file: esa-2022-02723.json.asc
```

If a ROLIE feed exists, each signature file MUST be listed in it as described in requirement 15.

At all times, signatures MUST remain valid for a minimum of 30 days and ideally for at least 90 days. When executing CSAF document signatures, the signing party SHOULD adhere to or surpass the prevailing best practices and recommendations regarding key length. Tools SHOULD treat the violation of the rules given in the first sentence as:

- · warning if the signature is only valid for 90 days or less at the time of the verification,
- error, which MAY be ignored by the user per option, if the signature is only valid for 30 days or less at the time of the verification and
- error if the signature is expired at the time of the verification.

## 7.1.20 Requirement 20: Public OpenPGP Key

The public part of the OpenPGP key used to sign the CSAF documents MUST be available. This key file SHALL be presented as an ASCII armored file. It SHOULD also be available at a public key server.

For example, the public part of the OpenPGP key could be placed in a directory openpgp adjacent to the provider-metadata.json.

The OpenPGP key SHOULD have a strength that is considered secure.

Guidance on OpenPGP key strength can be retrieved from technical guidelines of competent authorities.

# 7.1.21 Requirement 21: List of CSAF providers

The file aggregator.json MUST be present and valid according to the JSON schema CSAF aggregator. It MUST NOT be stored adjacent to a provider-metadata.json.

Suggested locations to store the aggregator. json are:

- https://www.example.com/.well-known/csaf-aggregator/aggregator.json
- https://domain.tld/security/data/aggregator/csaf/aggregator.json
- https://psirt.domain.tld/advisories/aggregator/csaf/aggregator.json

## https://domain.tld/security/aggregator/csaf/aggregator.json

The file aggregator. ison SHOULD only list the latest version of the metadata of a CSAF provider.

Example 1:

```
{
  "$schema": "https://docs.oasis-open.org/csaf/csaf/v2.1/schema/aggregator.json",
  "aggregator": {
    "category": "lister",
    "contact_details": "Example CSAF Lister can be reached at contact_us@lister.examp
    "issuing_authority": "This service is provided as it is. It is free for everybody
    "name": "Example CSAF Lister",
    "namespace": "https://lister.example"
  },
  "aggregator_version": "2.1",
  "canonical_url": "https://aggregator.example/.well-known/csaf-aggregator/aggregator
  "csaf_providers": [
    {
      "metadata": {
        "last_updated": "2024-01-12T20:20:56.169Z",
        "publisher": {
          "category": "vendor",
          "name": "Example Company ProductCERT",
          "namespace": "https://psirt.example.com"
        "role": "csaf_provider",
        "url": "https://www.example.com/.well-known/csaf/provider-metadata.json"
      }
    },
    {
      "metadata": {
        "last_updated": "2024-01-12T21:35:38.000Z",
        "publisher": {
          "category": "coordinator",
          "name": "Example Coordinator CERT",
          "namespace": "https://cert.example"
        },
        "role": "csaf_trusted_provider",
        "url": "https://cert.example/advisories/csaf/provider-metadata.json"
      }
    }
  ],
  "last_updated": "2024-01-24T22:35:38.978Z"
}
```

## 7.1.22 Requirement 22: Two disjoint issuing parties

The file aggregator.json (requirement 21) lists at least two disjoint CSAF providers (including CSAF trusted providers) or one CSAF publisher and one CSAF provider (including CSAF trusted provider).

## 7.1.23 Requirement 23: Mirror

The CSAF documents for each issuing party that is mirrored MUST be in a different folder. The folder name SHOULD be retrieved from the name of the issuing authority. This folders MUST be adjacent to the aggregator.json (requirement 21). Each such folder MUST at least:

- provide a provider-metadata.json for the current issuing party.
- provide the ROLIE feed document according to requirement 15 which links to the local copy of the CSAF document.

```
Example 1:
```

```
{
    "$schema": "https://docs.oasis-open.org/csaf/csaf/v2.1/schema/aggregator.json",
    "aggregator": {
      "category": "aggregator",
      "contact_details": "Example Aggregator can be reached at contact_us@aggregator.ex
      "issuing_authority": "This service is provided as it is. It is free for everybody
      "name": "Example Aggregator",
      "namespace": "https://aggregator.example"
    },
    "aggregator_version": "2.1",
    "canonical_url": "https://aggregator.example/.well-known/csaf-aggregator/aggregator
    "csaf_providers": [
      {
        "metadata": {
          "last_updated": "2024-01-12T20:20:56.169Z",
          "publisher": {
            "category": "vendor",
            "name": "Example Company ProductCERT",
            "namespace": "https://psirt.example.com"
          },
          "role": "csaf_provider",
          "url": "https://www.example.com/.well-known/csaf/provider-metadata.json"
        },
        "mirrors": [
          "https://aggregator.example/.well-known/csaf-aggregator/Example_Company_Produ
metadata.json"
        1
      },
        "metadata": {
          "last_updated": "2024-01-12T21:35:38.000Z",
          "publisher": {
            "category": "coordinator",
            "name": "Example Coordinator CERT",
            "namespace": "https://cert.example"
          },
          "role": "csaf_trusted_provider",
          "url": "https://cert.example/advisories/csaf/provider-metadata.json"
        },
        "mirrors": [
          "https://aggregator.example/.well-known/csaf-aggregator/Example_Coordinator_0
metadata.json"
```

```
]
],
"last_updated": "2024-01-24T22:35:38.978Z"
}
```

## 7.1.24 Requirement 24: HTTP User-Agent

Access to the CSAF related files and directories provided, for both metadata and documents, MUST be allowed independent of the value of HTTP User-Agent.

Limit the value of HTTP User-Agents to a certain set would hinder adoption of tools retrieving the files.

The only exception is that the temporary blocking of certain HTTP User-Agents is allowed to mitigate an ongoing security incident (e.g. a DoS attack on the web server serving the CSAF files). However, a less severe measure with a similar effect SHOULD be used. CSAF related files and directories SHOULD be exempted from temporary blocking. The temporary blocking SHOULD be removed as soon as possible, at latest two weeks after the security incident process was completed.

Also confer to the TC's guidance on content delivery networks and caching.

# 7.1.25 Requirement 25: Access-Control-Allow-Origin

For any CSAF documents and related metadata, the web server SHOULD set the HTTP header Access-Control-Allow-Origin: \*.

The HTTP header enables users to access the CSAF data with web browser based clients.

The value of the HTTP header MAY be altered to allow just specified domains. In such case, the response SHOULD follow the recommendations of [FETCH] including but not limited to those about the Vary header.

Such restriction may allow the allow-listed domains to send credentials.

## 7.2 Roles

This subsection groups the requirements from the previous subsection into named sets which target the roles with the same name. This allows end users to request their suppliers to fulfill a certain set of requirements. A supplier can use roles for advertising and marketing.

The roles "CSAF publisher", "CSAF provider", and "CSAF trusted provider" are intended directly for issuing parties and form the first group. The second group consists of the roles "CSAF lister" and "CSAF aggregator". They collect data from the aforementioned issuing parties of the first group and provide them in a single place to aid in automation. Parties of the second group can also issue their own advisories. However, they MUST follow the rules for the first group for that.

Both, a CSAF lister and a CSAF aggregator, decide based on their own rules which issuing parties to list respectively to mirror. However, an issuing party MAY apply to be listed or mirrored.

Issuing parties MUST indicate through the value false in list\_on\_CSAF\_aggregators if they do not want to be listed. Issuing parties MUST indicate through the value false in mirror\_on\_CSAF\_aggregators if they do not want to be mirrored.

The values are independent. The combination of the value false in list\_on\_CSAF\_aggregators and true in mirror\_on\_CSAF\_aggregators implies that the issuing party does not want to be listed without having the CSAF documents mirrored. Therefore, a CSAF aggregator can list that issuing party if it mirrors the files.

## 7.2.1 Role: CSAF publisher

A distributing party satisfies the "CSAF publisher" role if the party:

- satisfies the requirements 1 to 4 in section 7.1.
- · distributes only CSAF documents on behalf of its own.

# 7.2.2 Role: CSAF provider

A CSAF publisher satisfies the "CSAF provider" role if the party fulfills the following three groups of requirements:

Firstly, the party:

- satisfies the "CSAF publisher" role profile.
- additionally satisfies the requirements 5 to 7, 24 and 25 in section 7.1.

Secondly, the party:

• satisfies at least one of the requirements 8 to 10 in section 7.1.

Thirdly, the party:

• satisfies the requirements 11 to 14 in section 7.1 or requirements 15 to 17 in section 7.1.

If the party uses the ROLIE-based distribution, it MUST also satisfy requirements 15 to 17. If it uses the directory-based distribution, it MUST also satisfy requirements 11 to 14.

## 7.2.3 Role: CSAF trusted provider

A CSAF provider satisfies the "CSAF trusted provider" role if the party:

- satisfies the "CSAF provider" role profile.
- additionally satisfies the requirements 18 to 20 in section 7.1.

### 7.2.4 Role: CSAF lister

A distributing party satisfies the "CSAF lister" role if the party:

- satisfies the requirements 6, 21, 22, 24 and 25 in section 7.1.
- uses the value lister for /aggregator/category.
- · does not list any mirror pointing to a domain under its own control.

The purpose of this role is to provide a list of URLs where to find CSAF documents. It is not assumed that the list will be complete.

# 7.2.5 Role: CSAF aggregator

A distributing party satisfies the "CSAF aggregator" role if the party:

- satisfies the requirements 1 to 6 and 21 to 25 in section 7.1.
- uses the value aggregator for /aggregator/category.
- lists a mirror for at least two disjoint issuing parties pointing to a domain under its own control.
- links the public part of the OpenPGP key used to sign CSAF documents for each mirrored issuing party in the corresponding provider-metadata.json.
- provides for each CSAF document that is mirrored a signature (requirement 19) and a hash (requirement 18). Both SHALL be listed in the ROLIE feed. If the issuing party provides those files for a CSAF document, they SHOULD be copied as well. If the issuing party does not provide those files, they SHALL be created by the CSAF aggregator. Such a signature does not imply any liability of CSAF aggregator for the content of the corresponding CSAF document. It just confirms that the CSAF document provided has not been modified after being downloaded from the issuing party. A CSAF aggregator MAY add additional signatures and hashes for a CSAF document.

Additionally, a CSAF aggregator MAY list one or more issuing parties that it does not mirror.

The purpose of this role is to provide a single point where CSAF documents can be retrieved. Multiple CSAF aggregators are expected to exist around the world. None of them is required to mirror all CSAF documents of all issuing parties. CSAF aggregators can be provided for free or as a paid service.

To aid in automation, CSAF aggregators MAY mirror CSAF documents from CSAF publishers. Regarding the terms of use they SHOULD consult with the issuing party. The purpose of this option is that a consumer can retrieve CSAF documents from a CSAF publisher as if this issuing party would be a CSAF trusted provider. To reach that goal, a CSAF aggregator collects the CSAF documents from the CSAF publisher and mirrors it. The collection process MAY be automated or manual. CSAF aggregators announce the collection interval through the field update\_interval in the corresponding item of the CSAF publishers list (csaf\_publishers) in their aggregator.json. To minimize the implementation efforts and process overhead, a CSAF aggregator MAY upload the CSAF documents of a CSAF publisher into an internal instance of a CSAF provider software. Such construct is called "CSAF proxy provider" as it can be mirrored by the CSAF aggregator software. However, such a CSAF proxy provider MUST NOT be accessible from anyone else than the CSAF aggregator itself. Otherwise, that would violate the second rule of section 7.2.1. Therefore, it is recommended to expose the CSAF proxy provider only on localhost and allow the access only from the CSAF aggregator software.

## 7.3 Retrieving rules

The retrieving process executes in two phases: Finding the provider-metadata.json (requirement 7 in section 7.1) and retrieving CSAF documents.

A retrieving party SHOULD do the first phase every time. Based on the setup and use case of the retrieving party it MAY choose to do it less often, e.g. only when adding new or updating distributing parties. In that case, it SHOULD to check regularly whether new information is available.

## 7.3.1 Finding provider-metadata.json

**Direct locating**: The following process SHOULD be used to determine the location of a provider-metadata.json (requirement 7 in section 7.1) based on the main domain of the issuing party.

First, an ordered list of possible provider-metadata.json candidates SHOULD be generated in the following way:

- 1. Checking the Well-known URL (requirement 9 in section 7.1)
- 2. Checking the security.txt (requirement 8 in section 7.1)

3. If the above steps fail to produce any candidates: Checking the DNS path (requirement 10 in section 7.1)

Second, select one or more provider-metadata.json to use from the list of valid candidates. If the retrieving party is only able to process one provider-metadata.json, the first one in the list SHOULD be chosen.

The term "checking" used in the listing above SHOULD be understood as follows: Try to access the resource and test whether the response provides an expected result as defined in the requirement in section 7.1. If that is the case, the response is added to the list of candidates - otherwise not. If the resource yields more than one response, the responses are added to the list in the order they are returned from the resource.

**Indirect locating**: A retrieving party MAY choose to determine the location of a provider-metadata.json by retrieving its location from an aggregator.json (requirement 21 in section 7.1) of a CSAF lister or CSAF aggregator.

# 7.3.2 Retrieving CSAF documents

Given a provider-metadata.json, the following process SHOULD be used to retrieve CSAF documents:

- 1. Parse the provider-metadata.json to determine whether the directory-based (requirements 11 to 14 in section 7.1) or ROLIE-based distribution (requirements 15 to 17 in section 7.1) is used. If both are present, the ROLIE information SHOULD be preferred.
- 2. For any CSAF trusted provider, the hash and signature files (requirements 18 to 19 in section 7.1) SHOULD be retrieved together with the CSAF document. They MUST be checked before further processing the CSAF document.
- 3. Test the CSAF document against the schema.
- 4. Execute mandatory tests on the CSAF document.

### 7.4 Transition between CSAF 2.0 and CSAF 2.1

This subsection details the process that SHOULD be followed when transitioning the distribution of documents from CSAF 2.0 to CSAF 2.1. Different scenarios can be encountered:

- Providers will continue to use CSAF 2.0. This SHOULD be avoided, as the CSAF ecosystem greatly profits from adoption of the new standard version.
- Providers will immediately upgrade all documents as well as the provider-metadata.json to CSAF 2.1. While this benefits the adoption of CSAF 2.1, consumers that still rely on CSAF 2.0 are cut off.
- Providers will begin a transition period, in which they continue to serve existing documents in CSAF 2.0, gradually updating the existing document base (e.g. by using a CSAF 2.0 to CSAF 2.1 converter as described in sec) and publishing new documents using CSAF 2.1.

## 7.4.1 Announcing the Transition

In the last scenario, a temporary parallel distribution of CSAF 2.0 and CSAF 2.1 documents and provider metadata is RECOMMENDED. The provider SHOULD announce a transition period containing three points in time: - The begin of the transition period, where the provider is starting to serve CSAF 2.1 documents, while CSAF 2.0 being authoritative. > It is expected that the CSAF 2.1 files can be used in production from this point onward. - The roll-over-date at which CSAF 2.1 becomes authoritative but CSAF 2.0 is still supported. - The end of the transition period, after which CSAF 2.0 is not supported, i.e. maintained, any more.

The announcement MAY contain also the following information:

- The first date, when CSAF 2.1 documents are available and automatically retrievable from the server through a CSAF 2.1 provider-metadata.json. > This might be a public beta prior to the begin of the transition period. > However, those documents SHOULD NOT be used in production as they may be unstable due to their beta status.
- The last date, when CSAF 2.0 documents are available and automatically retrievable from the server through a CSAF 2.0 provider-metadata.json. > This date is usually at the end of the transition period.

### 7.4.2 Transition Process for a CSAF Provider

The following process SHOULD be followed:

- A provider-metadata.json in conformance to CSAF 2.0 SHOULD be placed at /.well-known/csaf/v2.0/provider-metadata.json.
  - Its canonical\_url MUST be set to an URL corresponding to the /.well-known/csaf/v2.0/provider-metadata.json path. > The property maintained\_until was not defined in CSAF 2.0 and therefore cannot be used > otherwise it would be set to the end of the transition period.
  - The URL SHALL also be added as an entry in the security.txt, if used.
- A provider-metadata.json in conformance to CSAF 2.1 SHOULD be placed at /.well-known/csaf/v2.1/provider-metadata.json.
  - Its canonical\_url MUST be set to an URL corresponding to the /.well-known/csaf/v2.1/provider-metadata.json path.
  - Optionally, the property maintained\_from can be set to an appropriate date in the future, if the service
    is not considered stable yet. > The transition officially starts at the date and time noted in the property
    maintained\_from as this is the point in time > when both CSAF 2.0 and CSAF 2.1 documents are
    retrievable in production-grade quality.
  - The URL SHALL also be added to the security.txt, if used.
- At the begin of the transition period, a provider-metadata.json in conformance to CSAF 2.0 SHOULD be placed at /.well-known/csaf/provider-metadata.json.
  - The content of the resource SHALL be equal to the resource accessible at /.well-known/csaf/v2.0/provider-metadata.json.
  - For file-based distribution servers, this MAY be achieved by using a symlink. Redirects SHALL NOT be used (cf. to requirement sec)
- Sometime before the roll-over-date, all existing CSAF 2.0 documents SHOULD be converted to CSAF 2.1.
- A the roll-over-date, a provider-metadata.json in conformance to CSAF 2.1 SHOULD be placed at /.well-known/csaf/provider-metadata.json.
  - The content of the resource SHALL be equal to the resource accessible at /.well-known/csaf/v2.1/provider-metadata.json.
  - For file-based distribution servers, this MAY be achieved by using a symlink. Redirects SHALL NOT be used (cf. to requirement sec)
- At the end of the transition period, the URL of the CSAF 2.0 provider-metadata.json SHOULD be removed from the security.txt.
  - The unmaintained CSAF 2.0 directory structure and files SHOULD be removed or made inaccessible.
  - The CSAF 2.0 documents MAY be archived.

If a DNS path (cf. section sec) is used instead of the well-known URL, the same process SHOULD be followed taking the rules below into account:

- The leading and authoritative provider-metadata.json is always served according to the DNS path requirement (see section sec). > This implies that a CSAF 2.0 provider-metadata.json is served at the beginning and > replaced by the CSAF 2.1 provider-metadata.json at the roll-over-date.
- It is recommended to use the folders v2.0 and v2.1 to differentiate between the CSAF versions.

#### 7.4.3 Archive of CSAF Document from Previous Version

The following rules apply for the archival of CSAF document from a previous version:

- This archive SHOULD be located in /.well-known/csaf/archive/ and use the file name v2.0.tar.zst, v2.0.tar.bz2 or v2.0.tar.xz.
- The CSAF documents within the archive MUST be sorted into folders according to requirement 11 in section sec and be accompanied by a hash according to requirement 18 sec.
- The archive MUST be accompanied by a hash of the same algorithm.
- Existing signatures MAY also be included into the archive. It is NOT RECOMMENDED to renew the signatures in the archive unless the archive is updated with new content.

## 7.4.4 Transition Process for a CSAF Aggregator

Similarly, to the transition process for a CSAF provider, the same process SHOULD be used for a CSAF aggregator. It is RECOMMENDED to use the following URLs during the process:

- · /.well-known/csaf-aggregator/aggregator.json for the currently valid aggregator metadata.
- · /.well-known/csaf-aggregator/v2.0/aggregator.jsonforavalidCSAF2.0aggregator.json
- · /.well-known/csaf-aggregator/v2.1/aggregator.jsonforavalidCSAF2.1 aggregator.json

A CSAF 2.1 aggregator MUST only sync and list CSAF 2.1 publishers and providers.

# 8 Safety, Security, and Data Protection Considerations

CSAF documents are based on JSON, thus the security considerations of [RFC8259] apply and are repeated here as service for the reader: > Generally, there are security issues with scripting languages. JSON is a subset of JavaScript but excludes assignment and invocation. > > Since JSON's syntax is borrowed from JavaScript, it is possible to use that language's eval() function to parse most JSON texts > (but not all; certain characters such as U+2028 LINE SEPARATOR and U+2029 PARAGRAPH SEPARATOR are legal in JSON but not JavaScript). > This generally constitutes an unacceptable security risk, since the text could contain executable code along with data declarations. > The same consideration applies to the use of eval()-like functions in any other programming language in which JSON texts conform to > that language's syntax.

In addition, CSAF documents may be rendered by consumers in various human-readable formats like HTML or PDF. Thus, for security reasons, CSAF producers and consumers SHALL adhere to the following:

- CSAF producers SHOULD NOT emit messages that contain HTML, even though GitHub-flavoured Markdown is permitted. To include HTML, source code, or any other content that may be interpreted or executed by a CSAF consumer, e.g. to provide a proof-of-concept, the issuing party SHALL use Markdown's fenced code blocks or inline code option.
- Deeply nested markup can cause a stack overflow in the Markdown processor [GFMENG]. To reduce this risk, CSAF consumers SHALL use a Markdown processor that is hardened against such attacks. **Note**: One example is the GitHub fork of the cmark Markdown processor [GFMCMARK].
- To reduce the risk posed by possibly malicious CSAF files that do contain arbitrary HTML (including, for example, data:image/svg+xml), CSAF consumers SHALL either disable HTML processing (for example, by using the --safe option in the cmark Markdown processor) or run the resulting HTML through an HTML sanitizer.

• To reduce the risk posed by possibly malicious links within a CSAF document (including, for example, javascript: links), CSAF consumers SHALL either remove all actions from links (for example, by displaying them as standard text) or render only those actionable that are known to be safe (for example, determining that via the media type). CSAF consumers that are not prepared to deal with the security implications of formatted messages SHALL NOT attempt to render them and SHALL instead fall back to the corresponding plain text messages. As also any other programming code can be contained within a CSAF document, CSAF consumers SHALL ensure that none of the values of a CSAF document is run as code. Moreover, it SHALL be treated as unsafe (user) input. > Additional, supporting mitigation measures like retrieving only CSAF documents from trusted sources and check their integrity and > signature before parsing the document SHOULD be in place to reduce the risk further.

The distribution requirements of CSAF data allow to specify domains as the value of the HTTP header Access—Control-Allow-Origin. While a wildcard (\*) as header value usually prevents implementing browsers from sending credentials during the CORS request, the restriction to specified domains often enables sending credentials. Allowing several specified domains results in using dynamics on the server, which can widen the attack surface by using more code and configuration. Furthermore, this might reveal information about internal structures, e.g. which domains are allowed to send credentials, or which tools are used. Given that credentials from a browser are a potent tool in the event of an attack, restricting the origins seems to imply a higher risk and therefore less secure than allowing all domains without credentials.

As setting the Access-Control-Allow-Origin header potentially allows for cross site request forgery, it SHOULD only be served on files and directories containing CSAF data. For any restricted feeds, standard authentication methods SHOULD be used that are not send by web browsers if the wildcard is used as header value.

# 9 Conformance

In the only subsection of this section, the conformance targets and clauses are listed. The clauses, matching the targets one to one, are listed in separate sub-subsections of the targets listing subsection.

## Informative Comments:

The order in which targets, and their corresponding clauses appear is somewhat arbitrary as there is no natural order on such diverse roles participating in the document exchanging ecosystem.

Except for the target **CSAF document**, all other 24 targets span a taxonomy of the complex CSAF ecosystems existing in and between diverse security advisory generating, sharing, and consuming communities.

In any case, there are no capabilities organized in increasing quality levels for targets because the security advisory sharing communities follow the chain link model. Instead, a single minimum capability level for every target is given to maintain important goals of providing a common framework for security advisories:

- · Fast production, sharing, and actionable consumption of security advisories
- Consistent end to end automation through collaborating actors
- · Clear baseline across the communities per this specification
- Additional per-community cooperative extensions which may flow back into future updates of this specification

# 9.1 Conformance Targets

This document defines requirements for the CSAF file format and for certain software components that interact with it. The entities ("conformance targets") for which this document defines requirements are:

- · CSAF document: A security advisory text document in the format defined by this document.
- · CSAF downloader: A program that retrieves CSAF documents in an automated fashion.
- **CSAF producer**: A program which emits output in the CSAF format.
- **CSAF direct producer**: An analysis tool which acts as a CSAF producer.
- **CSAF converter**: A CSAF producer that transforms the output of an analysis tool from its native output format into the CSAF format.
- **CVRF CSAF converter**: A CSAF producer which takes a CVRF document as input and converts it into a valid CSAF document.
- **CSAF content management system**: A program that is able to create, review and manage CSAF documents and is able to preview their details as required by CSAF viewer.
- **CSAF post-processor**: A CSAF producer that transforms an existing CSAF document into a new CSAF document, for example, by removing or redacting elements according to sharing policies.
- **CSAF modifier**: A CSAF post-processor which takes a CSAF document as input and modifies the structure or values of properties. The output is a valid CSAF document.
- **CSAF translator**: A CSAF post-processor which takes a CSAF document as input and translates values of properties into another language. The output is a valid CSAF document.
- **CSAF consumer**: A program that reads and interprets a CSAF document.
- **CSAF viewer**: A CSAF consumer that reads a CSAF document, displays a list of the results it contains, and allows an end user to view each result in the context of the artifact in which it occurs.
- **CSAF management system**: A program that is able to manage CSAF documents and is able to display their details as required by CSAF viewer.
- CSAF asset matching system: A program that connects to or is an asset database and is able to manage CSAF documents as required by CSAF management system as well as matching them to assets of the asset database.
- **CSAF basic validator**: A program that reads a document and checks it against the JSON schema and performs mandatory tests.
- · CSAF extended validator: A CSAF basic validator that additionally performs recommended tests.
- · CSAF full validator: A CSAF extended validator that additionally performs informative tests.

- CSAF SBOM matching system: A program that connects to or is an SBOM database and is able to manage
  CSAF documents as required by CSAF management system as well as matching them to SBOM components
  of the SBOM database.
- **CSAF 2.0 to CSAF 2.1 converter**: A CSAF producer which takes a CSAF 2.0 document as input and converts it into a valid CSAF 2.1 document.
- · CSAF library: A library that implements CSAF data capabilities.
- **CSAF library with basic validation**: A CSAF library that also satisfies the conformance target "CSAF basic validator".
- CSAF library with extended validation: A CSAF library that also satisfies the conformance target "CSAF extended validator".
- CSAF library with full validation: A CSAF library that also satisfies the conformance target "CSAF full validator".
- CSAF withdrawer: A CSAF post-processor that transforms a given CSAF into a withdrawn one.
- **CSAF superseder**: A CSAF post-processor that transforms a given CSAF into a superseded one.

## 9.1.1 Conformance Clause 1: CSAF document

A text file or data stream satisfies the "CSAF document" conformance profile if it:

- conforms to the syntax and semantics defined in section 2.2.
- · conforms to the syntax and semantics defined in section 2.3.
- · conforms to the syntax and semantics defined in section 3.
- · satisfies at least one profile defined in section 4.
- · conforms to the syntax and semantics defined in section 5.
- does not fail any mandatory test defined in section 6.1.

## 9.1.2 Conformance Clause 2: CSAF producer

A program satisfies the "CSAF producer" conformance profile if the program:

- · produces output in the CSAF format, according to the conformance profile "CSAF document".
- satisfies those normative requirements in section 3 and 8 that are designated as applying to CSAF producers.

## 9.1.3 Conformance Clause 3: CSAF direct producer

An analysis tool satisfies the "CSAF direct producer" conformance profile if the analysis tool:

- satisfies the "CSAF producer" conformance profile.
- additionally satisfies those normative requirements in section 3 that are designated as applying to "direct producers" or to "analysis tools".
- does not emit any objects, properties, or values which, according to section 3, are intended to be produced only by converters.

## 9.1.4 Conformance Clause 4: CSAF converter

A converter satisfies the "CSAF converter" conformance profile if the converter:

- satisfies the "CSAF producer" conformance profile.
- additionally satisfies those normative requirements in section 3 that are designated as applying to converters.
- does not emit any objects, properties, or values which, according to section 3, are intended to be produced only by direct producers.

### 9.1.5 Conformance Clause 5: CVRF CSAF converter

A program satisfies the "CVRF CSAF converter" conformance profile if the program fulfills the following two groups of requirements:

Firstly, the program:

- · satisfies the "CSAF producer" conformance profile.
- takes only CVRF documents as input.
- outputs a warning that an additional property was detected and not converted if it detects an additional property in the input. The CVRF CSAF converter converter SHALL ignore that additional property during the conversion.
- additionally satisfies the normative requirements given below.

Secondly, the program fulfills the following for all items of:

- value type string with format date-time: If the value contains a 60 in the seconds place, the CVRF CSAF converter MUST replace the seconds and their fractions with 59.999999. In addition, the converter outputs a warning that leap seconds are now prohibited in CSAF and the value has been replaced. The CVRF CSAF converter SHOULD indicate in such warning message whether the value was a valid leap second or not.
- type /\$defs/branches\_t: If any prod:Branch instance has the type Realm or Resource, the CVRF CSAF converter replaces those with the category product\_name. In addition, the converter outputs a warning that those types do not exist in CSAF and have been replaced with the category product\_name.
- type /\$defs/version\_t: If any element doesn't match the semantic versioning, replace the all elements of type /\$defs/version\_t with the corresponding integer version. For that, CVRF CSAF converter sorts the items of /document/tracking/revision\_history by number ascending according to the rules of CVRF. Then, it replaces the value of number with the index number in the array (starting with 1). The value of /document/tracking/version is replaced by value of number of the corresponding revision item. The match MUST be calculated by the original values used in the CVRF document. If this conversion was applied, for each Revision the original value of cvrf:Number MUST be set as legacy\_version in the converted document.
- /document/acknowledgments[]/organization and /vulnerabilities[]/acknowledgments[]/organization: If more than one cvrf:Organization instance is given, the CVRF CSAF converter converts the first one into the organization. In addition, the converter outputs a warning that information might be lost during conversion of document or vulnerability acknowledgment.
- /document/category:
  - If the cvrf:DocumentType is Security Advisory (case-insensitive), the CVRF CSAF converter MUST try to convert the data into a valid CSAF document in this profile according to CSAF 2.1.

A tool MAY offer rules to create the missing fixed products from version ranges, if applicable.

If the CVRF CSAF converter is unable to create a valid CSAF 2.1 document according to the profile, it SHALL set the category value to csaf\_deprecated\_security\_advisory.

- If one or more CVRF elements containing an xml:lang attribute exist and their value is English or the document language of the CVRF document is unspecified, the following rules apply:
  - \* If the cvrf:DocumentTitle starts with the string Superseded or the cvrf:DocumentType starts with Superseded (case-insensitive), the CVRF CSAF converter MUST try to convert all data into a valid CSAF document in the profile "Superseded" according to CSAF 2.1.
  - \* If the cvrf:DocumentTitle starts with the string Withdrawn or the cvrf:DocumentType starts with Withdrawn (case-insensitive), the CVRF CSAF converter MUST try to convert all data into a valid CSAF document in the profile "Withdrawn" according to CSAF 2.1. > A tool MAY provide a non-default option to remove or transform certain or all elements the hinder the creation of a valid CSAF document according > to the profile.

## A tool MAY support this detection for other languages.

If the CVRF CSAF converter is unable to create a valid CSAF 2.1 document according to the profile, it SHALL set the category according to the conversion rules and output a warning a potentially withdrawn CSAF document was created which would result in an invalid CSAF.

- · /document/lang: If one or more CVRF elements containing an xml:lang attribute exist and contain the exact same value, the CVRF CSAF converter converts this value into lang. If the values of xml:lang attributes are not equal, the CVRF CSAF converter outputs a warning that the language could not be determined and possibly a document with multiple languages was produced. In addition, it SHOULD also present all values of xml:lang attributes as a set in the warning.
- /document/license\_expression: If any cvrf:Note item with Type Legal Disclaimer contains
  a valid SPDX license expression, the CVRF CSAF converter SHALL convert this value into
  license\_expression. In addition, the converter outputs an information that license expression was
  found and set as document license expression.
- · /document/notes: If any cvrf:Note item contains one of the category and title combinations specified in 3.2.2.8, where the title is extended, the CVRF CSAF converter SHALL try to identify whether that extension is a specific product name, version or family. In such case, the CVRF CSAF converter SHALL try to add the corresponding products to the note item and output a warning that a potential product specific note has been discovered and products have been assigned to it. Such warning MUST also include the note and the assigned products. If the CVRF CSAF converter is unable to create a valid object, it MUST remove the reference to the products and output a warning that a potential product specific note has been discovered and no products could been assigned to it.
- /document/publisher/name and /document/publisher/namespace: Sets the value as given in the
  configuration of the program or the corresponding argument the program was invoked with. If values from both
  sources are present, the program SHOULD prefer the latter one. The program SHALL NOT use hard-coded
  values.
- /document/tracking/id: If the element cvrf:ID contains any newline sequence or leading or trailing
  white space, the CVRF CSAF converter removes those characters. In addition, the converter outputs a warning
  that the ID was changed.
- · /product\_tree/relationships[]: If more than one prod: FullProductName instance is given, the CVRF CSAF converter converts the first one into the full\_product\_name. In addition, the converter outputs a warning that information might be lost during conversion of product relationships.
- · /vulnerabilities[]/cwes[]:
  - The CVRF CSAF converter MUST remove all preceding and trailing white space from the name.
  - The CVRF CSAF converter MUST determine the CWE specification version the given CWE was selected from by using the latest version that matches the id and name exactly and was published prior to the value of /document/tracking/current\_release\_date of the source document. If no such version exist, the first matching version published after the value of /document/tracking/current\_release\_date of the source document SHOULD be used. > This is done to create a deterministic conversion.
    - If the CWE does not match at all, the CVRF CSAF converter MUST omit this CWE and output a warning that an invalid CWE was found and has been removed.
  - If a vuln: CWE instance refers to a CWE category or view, the CVRF CSAF converter MUST omit this
    instance and output a warning that this CWE has been removed as its usage is not allowed in vulnerability
    mappings.
- · /vulnerabilities[]/disclosure\_date: If a vuln:ReleaseDate was given, the CVRF CSAF converter MUST convert its value into the disclosure\_date element.
- · /vulnerabilities[]/ids: If a vuln:ID element is given, the CVRF CSAF converter converts it into the first item of the ids array.
- · /vulnerabilities[]/metrics[]:

- For any CVSS v4 element, the CVRF CSAF converter MUST compute the baseSeverity from the baseScore according to the rules of the applicable CVSS standard. (CSAF CVRF v1.2 predates CVSS v4.0.)
- For any CVSS v3 element, the CVRF CSAF converter MUST compute the baseSeverity from the baseScore according to the rules of the applicable CVSS standard.
- If no product\_id is given, the CVRF CSAF converter appends all Product IDs which are listed under ../product\_status in the arrays known\_affected, first\_affected and last\_affected. If none of these arrays exist, the CVRF CSAF converter outputs an error that no matching Product ID was found for this score element.
- If a vectorString is missing, the CVRF CSAF converter outputs an error that the CVSS element could not be converted as the CVSS vector was missing. A CVRF CSAF converter MAY offer a configuration option to delete such elements.
- If there are CVSS v3.0 and CVSS v3.1 Vectors available for the same product, the CVRF CSAF converter discards the CVSS v3.0 information and provide in CSAF only the CVSS v3.1 information.
- To determine, which minor version of CVSS v3 is used and to evaluate a CVSS v4 that was wrongly inserted in a CVSS v3 element, the CVRF CSAF converter uses the following steps:
  - 1. Retrieve the CVSS version from the CVSS vector, if present.

Example 1:

```
CVSS:3.1/AV:N/AC:L/PR:N/UI:N/S:U/C:N/I:N/A:H => 3.1
```

2. Retrieve the CVSS version from the CVSS element's namespace, if present. The CVRF CSAF converter outputs a warning that this value was guessed from the element's namespace.

```
Example 2:
```

```
xmlns:cvssv31="https://www.first.org/cvss/cvss-v3.1.xsd"
  <!-- -->
  <cvssv31:ScoreSetV3>
is handled the same as
```

Example 3:

```
<ScoreSetV3 xmlns="https://www.first.org/cvss/cvss-v3.1.xsd">
```

3. Retrieve the CVSS version from the CVSS namespace given in the root element, if present. The CVRF CSAF converter outputs a warning that this value was guessed from the global namespace. If more than one CVSS namespace is present and the element is not clearly defined via the namespace, this step MUST be skipped without a decision.

Example 4:

```
xmlns:cvssv3="https://www.first.org/cvss/cvss-v3.0.xsd" => 3.0
```

- 4. Retrieve the CVSS version from a config value, which defaults to 3.0. (As CSAF CVRF v1.2 predates CVSS v3.1.) The CVRF CSAF converter outputs a warning that this value was taken from the config.
- · /vulnerabilities[]/metrics/cvss\_v4: If an external reference in the vulnerability linking to the official FIRST.org CVSS v4.0 calculator exists, the CVRF CSAF converter MUST convert the vector given in the fragment into a cvss\_v4 object linked to all affected products of the vulnerability. > A tool MAY implement an option to suppress this conversion. If the CVRF CSAF converter converter is unable to construct a valid object with the information given, the CVRF CSAF converter converter SHALL remove the invalid cvss v4 object and output a warning that the automatic conversion of the CVSS v4.0 reference failed. Such warning SHOULD include the specific error that occurred.
- /vulnerabilities[]/notes: If any vuln:Note item contains one of the category and title combinations specified in 3.2.4.11, where the title is extended, the CVRF CSAF converter SHALL try to identify whether that extension is a specific product name, version or family. In such case, the CVRF CSAF converter SHALL try to add the corresponding products to the note item and output a warning that a potential product specific note has been discovered and products have been assigned to it. Such warning MUST also include the note and the assigned products. If the CVRF CSAF converter is unable to create a valid object, it MUST remove the reference to the products and output a warning that a potential product specific note has been discovered and no products could been assigned to it.

- · /vulnerabilities[]/remediations[]:
  - If neither product\_ids nor group\_ids are given, the CVRF CSAF converter appends all Product IDs which are listed under ../product\_status in the arrays known\_affected, first\_affected and last\_affected into product\_ids. If none of these arrays exist, the CVRF CSAF converter outputs an error that no matching Product ID was found for this remediation element.
  - The CVRF CSAF converter MUST convert any remediation with the type Vendor Fix into the category optional\_patch if the product in question is in one of the product status groups "Not Affected" or "Fixed" for this vulnerability. Otherwise, the category vendor\_fix MUST be set. If multiple products are associated with the remediation either directly or through a product group and the products belong to different product status groups, the CVRF CSAF converter MUST duplicate the remediation, change the category in one instance to optional\_patch and distribute the products accordingly as stated by the conversion rule.
  - The CVRF CSAF converter MUST convert any remediation with the type None Available into the category fix\_planned if the product in question is also listed in a remediation of the type Vendor Fix with a Date in the future or no Date at all. Consequently, the product MUST be removed from the remediation of the category vendor\_fix. If it was the last product in that remediation, the remediation MUST be removed.
  - The CVRF CSAF converter MUST remove any product from a remediation with the type None Available if the product in question is also listed in a remediation of the type Vendor Fix with a Date in the past or to the exact same time. If it was the last product in that remediation, the remediation MUST be removed.
  - In any other case, the CVRF CSAF converter MUST preserve the product in the remediation of the category none\_available.
  - The CVRF CSAF converter MUST output a warning if a remediation was added, deleted or the value of the category was changed, including the products it was changed for.
- The CVRF CSAF converter SHALL provide the JSON path where the warning occurred together with the warning.

## 9.1.6 Conformance Clause 6: CSAF content management system

A CSAF content management system satisfies the "CSAF content management system" conformance profile if the content management system:

- satisfies the "CSAF producer" conformance profile.
- satisfies the "CSAF viewer" conformance profile.
- provides at least the following management functions:
  - create new CSAF documents
  - prefill CSAF documents based on values given in the configuration (see below)
  - create a new version of an existing CSAF document
  - checkout old versions of a CSAF document
  - show all differences between versions of a CSAF document
  - list all CSAF documents within the system
  - delete CSAF documents from the system
  - review CSAF documents in the system
  - approve CSAF documents

- search for CSAF documents by values of required fields at document-level or their children within the system
- search for CSAF documents by values of cve within the system
- search for CSAF documents based on properties of product\_tree
- filter on all properties which it is required to search for
- export of CSAF documents
- show an audit log for each CSAF document
- identify the latest version of CSAF documents with the same /document/tracking/id
- suggest a /document/tracking/id based on the given configuration.
- track of the version of CSAF documents automatically and increment according to the versioning scheme (see also subsections of 3.1.11) selected in the configuration.
- check that the document version is set correctly based on the changes in comparison to the previous version (see also subsections of 3.1.11).
- suggest to use the document status interim if a CSAF document is updated more frequent than the given threshold in the configuration (default: 3 weeks)
- suggest to publish a new version of the CSAF document with the document status final if the document status was interim and no new release has be done during the given threshold in the configuration (default: 6 weeks) > Note that the terms "publish", "publication" and their derived forms are used in this conformance profile independent of whether the specified target group is the public or a closed group.
- support the following workflows:
  - \* "New Advisory": create a new advisory, request a review, provide review comments or approve it, resolve review comments; if the review approved it, the approval for publication can be requested; if granted the document status changes to final (or interim based on the selection in approval or configuration) and the advisory is provided for publication (manual or time-based)
  - \* "Update Advisory": open an existing advisory, create new revision & change content, request a review, provide review comments or approve it, resolve review comments; if the review approved it, the approval for publication can be requested; if granted the document status changes to final (or interim based on the selection in approval or configuration) and the advisory is provided for publication (manual or time-based)
- offers both: publication immediately or at a given date/time.
- automates handling of date/time and version.
- provides an API to retrieve all CSAF documents which are currently in the status published.
- optionally provides an API to import or create new advisories from outside systems (e.g. bug tracker, CVD platform,...).
- provides a user management and support at least the following roles:
  - Registered: Able to see all published CSAF documents (but only in the published version).
  - Author: inherits Registered permissions and also can Create and Edit Own (mostly used for automated creation, see above)
  - Editor: inherits Author permissions and can Edit (mostly used in PSIRT)
  - Publisher: inherits Editor permissions and can Change state and Review any (mostly used as HEAD of PSIRT or team lead)
  - Reviewer: inherits Registered permissions and can Review advisories assigned to him (might be a subject matter expert or management)

- Manager: inherits Publisher permissions and can Delete; User management up to Publisher
- Administrator: inherits Manager permissions and can Change the configuration
- may use groups to support client separation (multitenancy) and therefore restrict the roles to actions within their group. In this case, there MUST be a *Group configurator* which is able to change the values which are used to prefill fields in new advisories for that group. He might also do the user management for the group up to a configured level.
- prefills the following fields in new CSAF documents with the values given below or based on the templates from configuration:
  - /\$schema with the value prescribed by the schema
  - /document/csaf\_version with the value prescribed by the schema
  - /document/lang
  - /document/notes
    - \* legal\_disclaimer (Terms of use from the configuration)
    - \* general (General Security recommendations from the configuration)
  - /document/tracking/current\_release\_date with the current date
  - /document/tracking/generator and children
  - /document/tracking/initial\_release\_date with the current date
  - /document/tracking/revision\_history
    - \* date with the current date
    - \* number (based on the templates according to the versioning scheme configured)
    - \* summary (based on the templates from configuration; default: "Initial version.")
  - /document/tracking/status with draft
  - /document/tracking/version with the value of number the latest
    /document/tracking/revision history[] element
  - /document/publisher and children
  - /document/category (based on the templates from configuration)
- · When updating an existing CSAF document:
  - prefills all fields which have be present in the existing CSAF document
  - adds a new item in /document/tracking/revision\_history[]
  - updates the following fields with the values given below or based on the templates from configuration:
    - \* /\$schema with the value prescribed by the schema
    - \* /document/csaf\_version with the value prescribed by the schema
    - \* /document/lang
    - \* /document/notes
      - legal\_disclaimer (Terms of use from the configuration)
      - · general (General Security recommendations from the configuration)
    - \* /document/tracking/current\_release\_date with the current date
    - \* /document/tracking/generator and children
    - \* the new item in /document/tracking/revision\_history[]
      - · date with the current date
      - · number (based on the templates according to the versioning scheme configured)
    - \* /document/tracking/status with draft
    - \* /document/tracking/version with the value of number the latest
      /document/tracking/revision\_history[] element
    - \* /document/publisher and children

# 9.1.7 Conformance Clause 7: CSAF post-processor

A CSAF post-processor satisfies the "CSAF post-processor" conformance profile if the post-processor:

- satisfies the "CSAF consumer" conformance profile.
- satisfies the "CSAF producer" conformance profile.
- additionally satisfies those normative requirements in section 3 that are designated as applying to postprocessors.

### 9.1.8 Conformance Clause 8: CSAF modifier

A program satisfies the "CSAF modifier" conformance profile if the program fulfills the two following groups of requirements:

### The program:

- satisfies the "CSAF post-processor" conformance profile.
- adds, deletes or modifies at least one property, array, object or value of a property or item of an array.
- does not emit any objects, properties, or values which, according to section 9, are intended to be produced only by CSAF translators.
- · satisfies the normative requirements given below.

### The resulting modified document:

- does not have the same /document/tracking/id as the original document. The modified document can use a completely new /document/tracking/id or compute one by appending the original /document/tracking/id as a suffix after an ID from the naming scheme of the issuer of the modified version. It SHOULD NOT use the original /document/tracking/id as a prefix.
- includes a reference to the original advisory as first element of the array /document/references[].

### 9.1.9 Conformance Clause 9: CSAF translator

A program satisfies the "CSAF translator" conformance profile if the program fulfills the two following groups of requirements:

## The program:

- satisfies the "CSAF post-processor" conformance profile.
- translates at least one value.
- preserves the same semantics and form across translations.
- satisfies the normative requirements given below and does not add or remove other elements than required below.

## The resulting translated document:

- does not use the same /document/tracking/id as the original document. The translated document can use a completely new /document/tracking/id or compute one by using the original /document/tracking/id as a prefix and adding an ID from the naming scheme of the issuer of the translated version. It SHOULD NOT use the original /document/tracking/id as a suffix. If an issuer uses a CSAF translator to publish his advisories in multiple languages they MAY use the combination of the original /document/tracking/id and translated /document/lang as a /document/tracking/id for the translated document. > Note that the term "publish" is used in this conformance profile independent of whether the specified target group is the public or a closed group.
- provides the /document/lang property with a value matching the language of the translation.
- provides the /document/source\_lang to contain the language of the original document (and SHOULD only be set by CSAF translators).

- has the value translator set in /document/publisher/category
- includes a reference to the original advisory as first element of the array /document/references[].
- MAY contain translations for elements in arrays of references\_t after the first element. However, it MUST keep the original URLs as references at the end.

### 9.1.10 Conformance Clause 10: CSAF consumer

A processor satisfies the "CSAF consumer" conformance profile if the processor:

- reads CSAF documents and interprets them according to the semantics defined in section 3 and 5.
- satisfies those normative requirements in section 3, 5 and 8 that are designated as applying to CSAF consumers.

### 9.1.11 Conformance Clause 11: CSAF viewer

A viewer satisfies the "CSAF viewer" conformance profile if the viewer fulfills the two following groups of requirements:

The viewer:

- · satisfies the "CSAF consumer" conformance profile.
- · satisfies the normative requirements given below.

For each CVSS-Score in /vulnerabilities[]/metrics[] the viewer:

- preferably shows the vector if there is an inconsistency between the vector and any other sibling attribute.
- SHOULD prefer the item of metrics[] for each product\_id which originates from the document author (and therefore has no property source) and has the highest CVSS Base Score and newest CVSS version (in that order) if a product\_id is listed in more than one item of metrics[].

## 9.1.12 Conformance Clause 12: CSAF management system

A CSAF management system satisfies the "CSAF management system" conformance profile if the management system:

- satisfies the "CSAF viewer" conformance profile.
- provides at least the following management functions:
  - add new CSAF documents (e.g. from file system or URL) to the system
  - list all CSAF documents within the system
  - delete CSAF documents from the system
  - comment on CSAF documents in the system
  - mark CSAF documents as read in the system
  - search for CSAF documents by values of required fields at document-level or their children within the system
  - search for CSAF documents by values of cve within the system
  - search for CSAF documents based on properties of /product tree
  - filter on all properties which it is required to search for
  - sort on all properties which it is required to search for
  - sort on CVSS scores and /document/aggregate\_severity/text
- identifies the latest version of CSAF documents with the same /document/tracking/id.
- is able to show the difference between 2 versions of a CSAF document with the same /document/tracking/id.

## 9.1.13 Conformance Clause 13: CSAF asset matching system

A CSAF asset matching system satisfies the "CSAF asset matching system" conformance profile if the asset matching system:

- satisfies the "CSAF management system" conformance profile.
- · is an asset database or connects to one.
- matches the CSAF documents within the system to the respective assets. This might be done with a probability which gives the end user the chance to broaden or narrow the results. The process of matching is also referred to as "run of the asset matching module".
- provides for each product of the asset database a list of matched advisories.
- provides for each asset of the asset database a list of matched advisories.
- provides for each CSAF document a list of matched product of the asset database.
- provides for each CSAF document a list of matched asset of the asset database.
- provides for each vulnerability within a CSAF document the option to mark a matched asset in the asset database as "not remediated", "remediation in progress", or "remediation done". A switch to mark all assets at once MAY be implemented.
- does not bring up a newer revision of a CSAF document as a new match if the remediation for the matched product or asset has not changed.
- detects the usage semantic version (as described in section 3.1.11.2).
- is able to trigger a run of the asset matching module:
  - manually:
    - \* per CSAF document
    - \* per list of CSAF documents
    - \* per asset
    - \* per list of assets
  - automatically:
    - \* when a new CSAF document is inserted (for this CSAF document)
    - \* when a new asset is inserted (for this asset)
    - \* when the Major version in a CSAF document with semantic versioning changes (for this CSAF document) > These also apply if more than one CSAF document or asset was added. > To reduce the computational efforts the runs can be pooled into one run which fulfills all the tasks at once (batch mode).
  - Manually and automatically triggered runs SHOULD NOT be pooled.
- provides at least the following statistics for the count of assets:
  - matching that CSAF document at all
  - marked with a given status

## 9.1.14 Conformance Clause 14: CSAF basic validator

A program satisfies the "CSAF basic validator" conformance profile if the program:

- reads documents and performs a check against the JSON schema.
- performs all tests of the preset mandatory as given in section 6.4.1.
- does not change the CSAF documents.
- satisfies those normative requirements in section 6.4 that are designated as applying to CSAF validators.

A CSAF basic validator MAY provide one or more additional functions:

- Only run one or more selected mandatory tests.
- · Apply quick fixes as specified in the standard.
- · Apply additional quick fixes as implemented by the vendor.

### 9.1.15 Conformance Clause 15: CSAF extended validator

A CSAF basic validator satisfies the "CSAF extended validator" conformance profile if the CSAF basic validator:

- satisfies the "CSAF basic validator" conformance profile.
- · additionally performs all tests of the preset recommended as given in section 6.4.1.

A CSAF extended validator MAY provide an additional function to only run one or more selected recommended tests.

#### 9.1.16 Conformance Clause 16: CSAF full validator

A CSAF extended validator satisfies the "CSAF full validator" conformance profile if the CSAF extended validator:

- satisfies the "CSAF extended validator" conformance profile.
- · additionally performs all tests of the preset informative as given in section 6.4.1.
- provides an option to additionally use a custom dictionary for test 6.3.8.

A CSAF full validator MAY provide an additional function to only run one or more selected informative tests.

## 9.1.17 Conformance Clause 17: CSAF SBOM matching system

A CSAF SBOM matching system satisfies the "CSAF SBOM matching system" conformance profile if the SBOM matching system:

- satisfies the "CSAF management system" conformance profile.
- is an SBOM database or connects to one. > A repository or any other location that can be queried for SBOMs and their content is also considered an SBOM database.
- matches the CSAF documents within the system to the respective SBOM components. This might be done with a probability which gives the user the chance to broaden or narrow the results. The process of matching is also referred to as "run of the SBOM matching module".
- provides for each SBOM of the SBOM database a list of matched advisories.
- provides for each SBOM component of the SBOM database a list of matched advisories.
- provides for each CSAF document a list of matched SBOMs of the SBOM database.
- provides for each CSAF document a list of matched SBOM components of the SBOM database.
- provides for each vulnerability within a CSAF document the option to mark a matched SBOM component in the SBOM database as "not remediated", "remediation in progress", or "remediation done". A switch to mark all SBOM component at once MAY be implemented.
- does not bring up a newer revision of a CSAF document as a new match if the remediation for the matched SBOM or SBOM component has not changed.
- detects the usage semantic version (as described in section 3.1.11.2).
- is able to trigger a run of the SBOM matching module:
  - manually:
    - \* per CSAF document
    - \* per list of CSAF documents
    - \* per SBOM component
    - \* per list of SBOM components
  - automatically:
    - \* when a new CSAF document is inserted (for this CSAF document)
    - \* when a new SBOM component is inserted (for this SBOM component)

- \* when the Major version in a CSAF document with semantic versioning changes (for this CSAF document) > These also apply if more than one CSAF document or SBOM component was added. > To reduce the computational efforts the runs can be pooled into one run which fulfills all the tasks at once (batch mode). > Manually and automatically triggered runs should not be pooled.
- provides at least the following statistics for the count of SBOM component:
  - matching that CSAF document at all
  - marked with a given status

#### 9.1.18 Conformance Clause 18: CSAF 2.0 to CSAF 2.1 converter

A program satisfies the "CSAF 2.0 to CSAF 2.1 converter" conformance profile if the program fulfills the following two groups of requirements:

# Firstly, the program:

- satisfies the "CSAF producer" conformance profile.
- · takes only CSAF 2.0 documents as input.
- outputs a warning that an additional property was detected and not converted if it detects an additional property in the input. The CSAF 2.0 to CSAF 2.1 converter SHALL ignore that additional property during the conversion.
- additionally satisfies the normative requirements given below.

Secondly, the program fulfills the following for all items of:

- value type string with format date-time: If the value contains a 60 in the seconds place, the CSAF 2.0 to CSAF 2.1 converter MUST replace the seconds and their fractions with 59.999999. In addition, the converter outputs a warning that leap seconds are now prohibited in CSAF and the value has been replaced. The CSAF 2.0 to CSAF 2.1 converter SHOULD indicate in such warning message whether the value was a valid leap second or not.
- type /\$defs/full\_product\_name\_t/product\_identification\_helper/cpe: If a CPE is invalid, the CSAF 2.0 to CSAF 2.1 converter SHOULD removed the invalid value and output a warning that an invalid CPE was detected and removed. Such a warning MUST include the invalid CPE.
- type /\$defs/full\_product\_name\_t/model\_number:
  - If a model number is given that does not end on a star, the CSAF 2.0 to CSAF 2.1 converter SHOULD add a \* to the end and output a warning that a partial model number was detected and a star has been added. Such a warning MUST include the model number.
  - If the model number contains a \, the CSAF 2.0 to CSAF 2.1 converter MUST escape it by inserting an additional \ before the character.
  - If the model number contains multiple unescaped \* after the conversion, the CSAF 2.0 to CSAF 2.1 converter MUST remove the entry and output a warning that a model number with multiple stars was detected and removed. Such a warning MUST include the model number.

A tool MAY provide a non-default option to interpret all model numbers as complete and therefore does not add any stars.

A tool MAY provide a non-default option to interpret the ? in all model numbers as part of the model number itself and therefore escape it.

A tool MAY provide a non-default option to interpret the  $\star$  in all model numbers as part of the model number itself and therefore escape it.

- type /\$defs/full\_product\_name\_t/product\_identification\_helper/purls: If a /\$defs/full\_pr
  is given, the CSAF 2.0 to CSAF 2.1 converter MUST convert it into the first item of the corresponding purls
  array.
- type /\$defs/full\_product\_name\_t/serial\_number:
  - If a serial number is given that does not end on a star, the CSAF 2.0 to CSAF 2.1 converter SHOULD add a \* to the end and output a warning that a partial serial number was detected and a star has been added. Such a warning MUST include the serial number.
  - If the serial number contains a \, the CSAF 2.0 to CSAF 2.1 converter MUST escape it by inserting an additional \ before the character.
  - If the serial number contains multiple unescaped \* after the conversion, the CSAF 2.0 to CSAF 2.1 converter MUST remove the entry and output a warning that a serial number with multiple stars was detected and removed. Such a warning MUST include the serial number.

A tool MAY provide a non-default option to interpret all serial numbers as complete and therefore does not add any stars.

A tool MAY provide a non-default option to interpret the ? in all serial numbers as part of the serial number itself and therefore escape it.

A tool MAY provide a non-default option to interpret the  $\star$  in all serial numbers as part of the serial number itself and therefore escape it.

- · /\$schema: The CSAF 2.0 to CSAF 2.1 converter MUST set property with the value prescribed by the schema.
- /document/category:
  - If the category equals csaf\_security\_advisory, the CSAF 2.0 to CSAF 2.1 converter MUST try to convert the data into a valid CSAF document in this profile according to CSAF 2.1. For any version range of affected products that uses the strict <, i.e. not <=, as comparator of the last version constraint, the CSAF 2.0 to CSAF 2.1 converter SHOULD add a new product with the version of the last constraint and add that in the appropriate places as fixed. The CSAF 2.0 to CSAF 2.1 converter MUST output a warning that a product was added to the product\_tree and the corresponding /vulnerabilities[]. Such warning MUST contain the full product name and its path as well as the paths of the /vulnerabilities[] it was added to. If the CSAF 2.0 to CSAF 2.1 converter is unable to create a valid CSAF 2.1 document according to the profile, it SHALL set the category value to csaf\_deprecated\_security\_advisory.</p>
  - If the /document/lang is English or unspecified, the following rules apply:
    - \* If the /document/title starts with the string Superseded or the /document/category has the value Superseded (case-insensitive), the CSAF 2.0 to CSAF 2.1 converter MUST try to convert all data into a valid CSAF document in the profile "Superseded" according to CSAF 2.1.
    - \* If the /document/title starts with the string Withdrawn or the /document/category has the value Withdrawn (case-insensitive), the CSAF 2.0 to CSAF 2.1 converter MUST try to convert all data into a valid CSAF document in the profile "Withdrawn" according to CSAF 2.1. > A tool MAY provide a non-default option to remove or transform certain or all elements the hinder the creation of a valid CSAF document according > to the profile.

A tool MAY support this detection for other languages.

If the CSAF 2.0 to CSAF 2.1 converter is unable to create a valid CSAF 2.1 document according to the profile, it SHALL set the category of the original CSAF document and output a warning a potentially withdrawn CSAF document was created which would result in an invalid CSAF.

/document/csaf\_version: The CSAF 2.0 to CSAF 2.1 converter MUST update the value to 2.1.

 /document/distribution/tlp/label: If a TLP label is given, the CSAF 2.0 to CSAF 2.1 converter MUST convert it according to the table below:

CSAF 2.0 (using TLP v1.0)	CSAF 2.1 (using TLP v2.0)
TLP:WHITE	TLP:CLEAR
TLP:GREEN	TLP:GREEN
TLP:AMBER	TLP:AMBER
TLP:RED	TLP:RED

If /document/distribution/text contains the string TLP v2.0: TLP:<ValidTLPLabel>, the CSAF 2.0 to CSAF 2.1 converter SHOULD provide an option to use this label instead. If the TLP label changes through such conversion in a way that is not reflected in the table above, the the CSAF 2.0 to CSAF 2.1 converter MUST output a warning that the TLP label was taken from the distribution text. Such a warning MUST include both values: the converted one based on the table and the one from the distribution text. > This is a common case for CSAF 2.0 documents labeled as TLP:RED but actually intended to be TLP:AMBER+STRICT.

If no TLP label was given, the CSAF 2.0 to CSAF 2.1 converter SHOULD assign TLP:CLEAR and output a warning that the default TLP has been set.

- /document/license\_expression: If any /document/notes item in with category legal\_disclaim contains a valid SPDX license expression, the CSAF 2.0 to CSAF 2.1 converter SHALL convert this value into license\_expression. In addition, the converter outputs an information that license expression was found and set as document license expression.
- · /document/notes: If any /document/notes item contains one of the category and title combinations specified in 3.2.2.8, where the title is extended, the CSAF 2.0 to CSAF 2.1 converter SHALL try to identify whether that extension is a specific product name, version or family. In such case, the CSAF 2.0 to CSAF 2.1 SHALL try to add the corresponding products to the note item and output a warning that a potential product specific note has been discovered and products have been assigned to it. Such warning MUST also include the note and the assigned products. If the CSAF 2.0 to CSAF 2.1 is unable to create a valid object, it MUST remove the reference to the products and output a warning that a potential product specific note has been discovered and no products could been assigned to it.
- · /document/publisher/category: If the value is other, the CSAF 2.0 to CSAF 2.1 converter SHOULD output a warning that some parties have been regrouped into the new value multiplier. An option to suppress this warning MUST exist. In addition, an option SHOULD be provided to set the value to multiplier.
- /document/title: If the value contains the /document/tracking/id, the CSAF 2.0 to CSAF 2.1 converter MUST remove the /document/tracking/id from the /document/title. In addition, separating characters including but not limited to whitespace, colon, dash and brackets MUST be removed.
- · /vulnerabilities[]/cwes[]:
  - The CSAF 2.0 to CSAF 2.1 converter MUST remove all preceding and trailing white space from the name.
  - The CSAF 2.0 to CSAF 2.1 converter MUST determine the CWE specification version the given CWE was selected from by using the latest version that matches the id and name exactly and was published prior to the value of /document/tracking/current\_release\_date of the source document. If no such version exist, the first matching version published after the value of /document/tracking/current\_release\_date of the source document SHOULD be used. > This is done to create a deterministic conversion.

The tool SHOULD implement an option to use the latest available CWE version at the time of the conversion that still matches.

· /vulnerabilities[]/disclosure\_date: If a release\_date was given, the CSAF 2.0 to CSAF 2.1 converter MUST convert its value as value into the disclosure\_date element.

- · /vulnerabilities[]/metrics/cvss\_v4: If an external reference in the vulnerability linking to the official FIRST.org CVSS v4.0 calculator exists, the CSAF 2.0 to CSAF 2.1 converter MUST convert the vector given in the fragment into a cvss\_v4 object linked to all affected products of the vulnerability. > A tool MAY implement an option to suppress this conversion. If the CSAF 2.0 to CSAF 2.1 converter is unable to construct a valid object with the information given, the CSAF 2.0 to CSAF 2.1 converter SHALL remove the invalid cvss\_v4 object and output a warning that the automatic conversion of the CVSS v4.0 reference failed. Such warning SHOULD include the specific error that occurred.
- · /vulnerabilities[]/metrics/ssvc\_v1: If a SSVC vector or decision points of an SSVC vector are given in an item of notes of the current vulnerability using the title SSVC and the category other, the CSAF 2.0 to CSAF 2.1 converter MUST convert that data into the ssvc\_v1 object within the current vulnerability. If the CSAF 2.0 to CSAF 2.1 converter is able to construct a valid object without loosing any information, the corresponding notes item SHALL be removed. If the CSAF 2.0 to CSAF 2.1 converter is unable to construct a valid object with the information given, the CSAF 2.0 to CSAF 2.1 converter SHALL remove the invalid ssvc\_v1 object, keep the original item of notes and output a warning that the automatic conversion of the SSVC data failed. If the CSAF 2.0 to CSAF 2.1 converter would loose information during the conversion, the CSAF 2.0 to CSAF 2.1 converter SHALL remove the ssvc\_v1 object, keep the original item of notes and output a warning that the automatic conversion of the SSVC data would lead to loosing information.
- · /vulnerabilities[]/notes: If any /vulnerabilities[]/notes item contains one of the category and title combinations specified in 3.2.4.11, where the title is extended, the CSAF 2.0 to CSAF 2.1 converter SHALL try to identify whether that extension is a specific product name, version or family. In such case, the CSAF 2.0 to CSAF 2.1 converter SHALL try to add the corresponding products to the note item and output a warning that a potential product specific note has been discovered and products have been assigned to it. Such warning MUST also include the note and the assigned products. If the CSAF 2.0 to CSAF 2.1 converter is unable to create a valid object, it MUST remove the reference to the products and output a warning that a potential product specific note has been discovered and no products could been assigned to it.
- · /vulnerabilities[]/remediations[]:
  - The CSAF 2.0 to CSAF 2.1 converter MUST convert any remediation with the category vendor\_fix into the category optional\_patch if the product in question is in one of the product status groups "Not Affected" or "Fixed" for this vulnerability. Otherwise, the category vendor\_fix MUST stay the same. If multiple products are associated with the remediation either directly or through a product group and the products belong to different product status groups, the CSAF 2.0 to CSAF 2.1 converter MUST duplicate the remediation, change the category in one instance to optional\_patch and distribute the products accordingly as stated by the conversion rule.
  - The CSAF 2.0 to CSAF 2.1 converter MUST convert any remediation with the category none\_available into the category fix\_planned if the product in question is also listed in a remediation of the category vendor\_fix with a date in the future or no date at all. Consequently, the product MUST be removed from the remediation of the category vendor\_fix. If it was the last product in that remediation, the remediation MUST be removed.
  - The CSAF 2.0 to CSAF 2.1 converter MUST remove any product from a remediation with the category none\_available if the product in question is also listed in a remediation of the category vendor\_fix with a date in the past or to the exact same time. If it was the last product in that remediation, the remediation MUST be removed.
  - In any other case, the CSAF 2.0 to CSAF 2.1 converter MUST preserve the product in the remediation of the category none\_available.
  - The CSAF 2.0 to CSAF 2.1 converter MUST output a warning if a remediation was added, deleted or the value of the category was changed, including the products it was changed for.
- The CSAF 2.0 to CSAF 2.1 converter SHALL provide the JSON path where the warning occurred together with the warning.

A tool MAY implement options to convert other Markdown formats to GitHub-flavored Markdown.

A tool MAY implement an additional, non-default option to output an invalid document that can be fixed afterwards. Solely in this case, any of the rules above MAY be ignored to avoid data loss.

# 9.1.19 Conformance Clause 19: CSAF library

A library satisfies the "CSAF library" conformance profile if the library:

- implements all elements as data structures conforming to the syntax and semantics defined in section 2.3, 3.4 and 5.
- · checks all elements according to the patterns provided in the JSON schema.
- has a function that checks version ranges.
- · has a function that helps to create version ranges.
- provides for each element functions that allow to create, add, modify and delete that element.
- has a function that reads a CSAF document into the data structure from a
  - file system.
  - URL.
  - data stream.
- · provides function for sorting the keys and sorts the keys automatically on output.
- · has a function that outputs the data structure as CSAF document
  - on the file system.
  - as string.
  - into a data stream.
- has a function to determine the filename according to 5.1 and sets the filename per default when saving a CSAF document.
- generates a new product\_id for each new element of type full\_product\_name\_t unless an ID is given during the creation.
- generates a new group\_id for each new element of type product\_group\_id\_t unless an ID is given during the creation.
- provides a function to retrieve all elements of type product\_id\_t with its corresponding full\_product\_name\_t/name and full\_product\_name\_t/product\_identification\_helper.
- provides a function to retrieve all product\_identification\_helper and their mapping to elements of type product\_id\_t.
- provides a function to retrieve a VEX status mapping for all data, which includes the combination of vulnerability, product, product status and, where necessary according to the profile, the impact statement respectively the action statement.
- provides a function to generate a full\_product\_name\_t/name with in branches through concatenating the name values separated by whitespace of the elements along the path towards this leaf.
- calculates the CVSS scores and severities for existing data for all CVSS versions.
- validates the CVSS scores and severities for existing data for all CVSS versions.

The library MAY implement an option to retrieve the keys unsorted.

# 9.1.20 Conformance Clause 20: CSAF library with basic validation

A CSAF library satisfies the "CSAF library with basic validation" conformance profile if the CSAF library:

- satisfies the "CSAF library" conformance profile.
- satisfies the "CSAF basic validator" conformance profile.

- validates the CSAF document before output according to the "CSAF basic validator" and presents the validation result accordingly.
- provide a function to validate the data structure in its current state according to the "CSAF basic validator" and presents the validation result accordingly.

A CSAF library does not satisfies the "CSAF library with basic validation" conformance profile if the CSAF library uses an external library or program for the "CSAF basic validator" part and does not enforce its presence.

# 9.1.21 Conformance Clause 21: CSAF library with extended validation

A CSAF library satisfies the "CSAF library with extended validation" conformance profile if the CSAF library:

- satisfies the "CSAF library" conformance profile.
- satisfies the "CSAF extended validator" conformance profile.
- validates the CSAF document before output according to the "CSAF extended validator" and presents the validation result accordingly.
- provide a function to validate the data structure in its current state according to the "CSAF extended validator" and presents the validation result accordingly.

A CSAF library does not satisfies the "CSAF library with extended validation" conformance profile if the CSAF library uses an external library or program for the "CSAF extended validator" part and does not enforce its presence.

### 9.1.22 Conformance Clause 22: CSAF library with full validation

A CSAF library satisfies the "CSAF library with extended validation" conformance profile if the CSAF library:

- satisfies the "CSAF library" conformance profile.
- satisfies the "CSAF full validator" conformance profile.
- validates the CSAF document before output according to the "CSAF full validator" and presents the validation result accordingly.
- provide a function to validate the data structure in its current state according to the "CSAF full validator" and presents the validation result accordingly.

A CSAF library does not satisfies the "CSAF library with full validation" conformance profile if the CSAF library uses an external library or program for the "CSAF full validator" part and does not enforce its presence.

### 9.1.23 Conformance Clause 23: CSAF downloader

A program satisfies the "CSAF downloader" conformance profile if the program:

- conforms to the process defined in section 7.3 by executing all parts that are applicable to the given role.
- supports directory-based and ROLIE-based retrieval.
- is able to execute both steps from section 7.3 separately.
- uses a program-specific HTTP User Agent, e.g. consisting of the name and version of the program.
- satisfies those normative requirements in section 7 that are designated as applying to CSAF downloaders.

A tool MAY implement an option to store CSAF documents that fail any of the steps in section 7.3.2.

### 9.1.24 Conformance Clause 24: CSAF withdrawer

A program satisfies the "CSAF withdrawer" conformance profile if the program:

- satisfies the "CSAF post-processor" conformance profile.
- keeps the original /document/tracking/id.
- adds a new item to the revision history stating the revision metadata of the withdrawal.
- · adds the reasoning for withdrawal as specified in section sec.
- removes the /product\_tree.
- removes the /vulnerabilities.

A tool MAY implement an option to additionally remove any element that would hinder the production of a valid CSAF.

### 9.1.25 Conformance Clause 25: CSAF superseder

A program satisfies the "CSAF superseder" conformance profile if the program:

- · satisfies the "CSAF post-processor" conformance profile.
- keeps the original /document/tracking/id.
- adds a new item to the revision history stating the revision metadata of the supersession.
- · adds the reasoning for supersession as specified in section sec.
- · adds the reference to the superseding document as specified in section sec.
- removes the /product\_tree.
- · removes the /vulnerabilities.

A tool MAY implement an option to additionally remove any element that would hinder the production of a valid CSAF.

# **Appendix A. Acknowledgments**

The following individuals were members of the OASIS CSAF Technical Committee during the creation of this specification and their contributions are gratefully acknowledged:

# **CSAF TC Members:**

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The following individuals were members of the OASIS CSAF Technical Committee during the creation of the previous version (CVRF v1.2) of this specification and their contributions are gratefully acknowledged:

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# **Appendix B. Revision History**

Revision	Date	Editor	Changes Made
csaf-v2.1-	2024-	Stefan Hagen and	Preparing initial Editor Revision
wd20240124-dev	01-24	Thomas Schmidt	
csaf-v2.1-	2024-	Stefan Hagen and	Next Editor Revision
wd20240228-dev	02-28	Thomas Schmidt	
csaf-v2.1-	2024-	Stefan Hagen and	Next Editor Revision
wd20240327-dev	03-27	Thomas Schmidt	
csaf-v2.1-	2024-	Stefan Hagen and	Next Editor Revision
wd20240424-dev	04-24	Thomas Schmidt	
csaf-v2.1-	2024-	Stefan Hagen and	Next Editor Revision
wd20240529-dev	05-29	Thomas Schmidt	
csaf-v2.1-	2024-	Stefan Hagen and	Next Editor Revision
wd20240626-dev	06-26	Thomas Schmidt	
csaf-v2.1-	2024-	Stefan Hagen and	Next Editor Revision
wd20240731-dev	07-31	Thomas Schmidt	
csaf-v2.1-	2024-	Stefan Hagen and	Next Editor Revision
wd20240828-dev	08-28	Thomas Schmidt	
csaf-v2.1-	2024-	Stefan Hagen and	Next Editor Revision
wd20241030-dev	10-30	Thomas Schmidt	
csaf-v2.1-	2024-	Stefan Hagen and	Next Editor Revision
wd20241127-dev	11-27	Thomas Schmidt	
csaf-v2.1-	2025-	Stefan Hagen and	Next Editor Revision
wd20250129-dev	01-29	Thomas Schmidt	
csaf-v2.1-	2025-	Stefan Hagen and	Next Editor Revision
wd20250226-dev	02-26	Thomas Schmidt	
csaf-v2.1-	2025-	Stefan Hagen and	Next Editor Revision
wd20250326-dev	03-26	Thomas Schmidt	
csaf-v2.1-	2025-	Stefan Hagen and	Next Editor Revision
wd20250430-dev	04-30	Thomas Schmidt	
csaf-v2.1-	2025-	Stefan Hagen and	Next Editor Revision
wd20250528-dev	05-28	Thomas Schmidt	

# **Appendix C. Guidance on the Size of CSAF Documents**

This appendix provides informative guidance on the size of CSAF documents.

The TC carefully considered all known aspects to provide size limits for CSAF documents for this version of the specification with the result that hard limits SHOULD NOT be enforced. However, since there is the need for guidance to ensure interoperability in the ecosystem, the TC provides a set of soft limits. A CSAF document which exceeds those, can still be valid but it might not be processable for some parties.

All CSAF consumers SHOULD be able to process CSAF documents which comply with the limits below. All CSAF producers SHOULD NOT produce CSAF documents which exceed those limits.

If you come across a case where these limits are exceeded, please provide feedback to the TC.

# C.1 File Size

A CSAF document in the specified JSON format encoded in UTF-8 SHOULD conform to known size limits of current technologies parsing JSON content, e.g.: 50 MiB.

The CSAF documents observed in the wild expose strongly varying sizes as per the use cases they serve. At least one database technology in wide use for storing CSAF documents rejects insert attempts when the transformed BSON size exceeds 16 megabytes. The BSON format optimizes for accessibility and not size. So, small integers and small strings may incur more overhead in the BSON format than in JSON. In addition, the BSON format adds length information for the entries inside the document, which adds to the size when storing CSAF document content in a BSON format.

# **C.2 Array Length**

An array SHOULD NOT have more than:

- 10 000 items for
  - /document/acknowledgments
  - /document/acknowledgments[]/names
  - /document/acknowledgments[]/urls
  - /document/tracking/aliases
  - /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/ha
  - /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/ha
  - /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/pu
  - /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/sb
  - /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/x\_
  - /product\_tree/branches[]/product/product\_identification\_helper/hashes
  - /product\_tree/branches[]/product/product\_identification\_helper/hashes[]/file\_ha
  - /product\_tree/branches[]/product/product\_identification\_helper/purls
  - /product\_tree/branches[]/product/product\_identification\_helper/sbom\_urls
  - /product\_tree/branches[]/product/product\_identification\_helper/x\_generic\_uris
  - /product\_tree/full\_product\_names[]/product\_identification\_helper/hashes
  - /product\_tree/full\_product\_names[]/product\_identification\_helper/hashes[]/file\_
  - /product\_tree/full\_product\_names[]/product\_identification\_helper/purls
  - /product\_tree/full\_product\_names[]/product\_identification\_helper/sbom\_urls
  - /product\_tree/full\_product\_names[]/product\_identification\_helper/x\_generic\_uris
  - /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/h
  - /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/h
  - /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/p

- /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/s
- /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/x
- /vulnerabilities[]/acknowledgments
- /vulnerabilities[]/acknowledgments[]/names
- /vulnerabilities[]/acknowledgments[]/urls
- /vulnerabilities[]/cwes
- /vulnerabilities[]/ids
- /vulnerabilities[]/metrics[]/content/ssvc\_v1/selections
- /vulnerabilities[]/metrics[]/content/ssvc\_v1/selections[]/values
- /vulnerabilities[]/remediations[]/entitlements

### · 40 000 items for

- /document/notes
- /document/references
- /vulnerabilities[]/involvements
- /vulnerabilities[]/notes
- /vulnerabilities[]/references

### · 100 000 for

- /document/tracking/revision\_history
- /product\_tree/branches
- /product\_tree(/branches[])\*/branches
- /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/mc
- /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/se
- /product\_tree/branches[](/branches[])\*/product\_jounce\_identification\_helper/sk
- /product\_tree/branches[]/product/product\_identification\_helper/model\_numbers
- /product\_tree/branches[]/product/product\_identification\_helper/serial\_numbers
- /product\_tree/branches[]/product/product\_identification\_helper/skus
- /product\_tree/full\_product\_names
- /product\_tree/full\_product\_names[]/product\_identification\_helper/model\_numbers
- /product\_tree/full\_product\_names[]/product\_identification\_helper/serial\_numbers
- /product\_tree/full\_product\_names[]/product\_identification\_helper/skus
- /product\_tree/product\_groups[]/product\_ids
- /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/m
- /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/s
- /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/s
- /vulnerabilities

# · 10 000 000 for

- /product\_tree/product\_groups
- /product\_tree/relationships
- /vulnerabilities[]/remediations[]/group\_ids

# · 100 000 000 for

- /document/notes[]/group\_ids
- /document/notes[]/product\_ids
- /vulnerabilities[]/first\_known\_exploitation\_dates
- /vulnerabilities[]/first\_known\_exploitation\_dates[]/group\_ids
- /vulnerabilities[]/first\_known\_exploitation\_dates[]/product\_ids
- /vulnerabilities[]/flags
- /vulnerabilities[]/flags[]/group\_ids
- /vulnerabilities[]/flags[]/product\_ids
- /vulnerabilities[]/involvements[]/group\_ids

- /vulnerabilities[]/involvements[]/product\_ids
- /vulnerabilities[]/metrics
- /vulnerabilities[]/metrics[]/products
- /vulnerabilities[]/notes[]/group\_ids
- /vulnerabilities[]/notes[]/product\_ids
- /vulnerabilities[]/product\_status/first\_affected
- /vulnerabilities[]/product\_status/first\_fixed
- /vulnerabilities[]/product\_status/fixed
- /vulnerabilities[]/product\_status/known\_affected
- /vulnerabilities[]/product\_status/known\_not\_affected
- /vulnerabilities[]/product\_status/last\_affected
- /vulnerabilities[]/product\_status/recommended
- /vulnerabilities[]/product\_status/under\_investigation
- /vulnerabilities[]/product\_status/unknown
- /vulnerabilities[]/remediations
- /vulnerabilities[]/remediations[]/product\_ids
- /vulnerabilities[]/threats
- /vulnerabilities[]/threats[]/group\_ids
- /vulnerabilities[]/threats[]/product\_ids

# **C.3 String Length**

A string SHOULD NOT have a length greater than:

- · 1000 for
  - /document/acknowledgments[]/names[]
  - /document/acknowledgments[]/organization
  - /document/aggregate\_severity/text
  - /document/category
  - /document/distribution/sharing\_group/name
  - /document/lang
  - /document/license\_expression
  - /document/notes[]/audience
  - /document/notes[]/group\_ids[]
  - /document/notes[]/product\_ids[]
  - /document/notes[]/title
  - /document/publisher/name
  - /document/source\_lang
  - /document/title
  - /document/tracking/aliases[]
  - /document/tracking/generator/engine/name
  - /document/tracking/generator/engine/version
  - /document/tracking/id
  - /document/tracking/revision\_history[]/legacy\_version
  - /document/tracking/revision\_history[]/number
  - /document/tracking/version
  - /product\_tree/branches[](/branches[])\*/name
  - /product\_tree/branches[](/branches[])\*/product/name
  - /product\_tree/branches[](/branches[])\*/product/product\_id
  - /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/ha
  - /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/ha
  - /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/ha

```
- /product_tree/branches[](/branches[])*/product/product_identification_helper/mc
- /product_tree/branches[](/branches[])*/product/product_identification_helper/se
- /product_tree/branches[](/branches[])*/product/product_identification_helper/sk
- /product_tree/branches[]/name
- /product_tree/branches[]/product/name
- /product_tree/branches[]/product/product_id
- /product_tree/branches[]/product/product_identification_helper/hashes[]/file_ha
- /product_tree/branches[]/product/product_identification_helper/hashes[]/file_ha
- /product_tree/branches[]/product/product_identification_helper/hashes[]/filenam
- /product_tree/branches[]/product/product_identification_helper/model_numbers[]
- /product_tree/branches[]/product/product_identification_helper/serial_numbers[]
- /product_tree/branches[]/product/product_identification_helper/skus[]
- /product_tree/full_product_names[]/name
- /product_tree/full_product_names[]/product_id
- /product_tree/full_product_names[]/product_identification_helper/hashes[]/file_
- /product_tree/full_product_names[]/product_identification_helper/hashes[]/file_
- /product_tree/full_product_names[]/product_identification_helper/hashes[]/filer
- /product_tree/full_product_names[]/product_identification_helper/model_numbers[
- /product_tree/full_product_names[]/product_identification_helper/serial_numbers
- /product_tree/full_product_names[]/product_identification_helper/skus[]
- /product_tree/product_groups[]/group_id
- /product_tree/product_groups[]/product_ids[]
- /product_tree/relationships[]/full_product_name/name
- /product_tree/relationships[]/full_product_name/product_id
- /product_tree/relationships[]/full_product_name/product_identification_helper/h
- /product_tree/relationships[]/full_product_name/product_identification_helper/h
- /product_tree/relationships[]/full_product_name/product_identification_helper/h
- /product_tree/relationships[]/full_product_name/product_identification_helper/m
- /product_tree/relationships[]/full_product_name/product_identification_helper/s
- /product_tree/relationships[]/full_product_name/product_identification_helper/s
- /product_tree/relationships[]/product_reference
- /product_tree/relationships[]/relates_to_product_reference
- /vulnerabilities[]/acknowledgments[]/names[]
- /vulnerabilities[]/acknowledgments[]/organization
- /vulnerabilities[]/cve
- /vulnerabilities[]/cwes[]/id
- /vulnerabilities[]/cwes[]/name
- /vulnerabilities[]/cwes[]/version
- /vulnerabilities[]/flags[]/group_ids[]
- /vulnerabilities[]/flags[]/product_ids[]
- /vulnerabilities[]/ids[]/system_name
- /vulnerabilities[]/ids[]/text
- /vulnerabilities[]/involvements[]/contact
- /vulnerabilities[]/metrics[]/content/cvss_v2/vectorString
- /vulnerabilities[]/metrics[]/content/cvss_v3/vectorString
- /vulnerabilities[]/metrics[]/content/cvss_v4/vectorString
- /vulnerabilities[]/metrics[]/content/epss/percentile
- /vulnerabilities[]/metrics[]/content/epss/probability
- /vulnerabilities[]/metrics[]/content/ssvc_v1/id
- /vulnerabilities[]/metrics[]/content/ssvc_v1/role
- /vulnerabilities[]/metrics[]/content/ssvc_v1/selections[]/name
- /vulnerabilities[]/metrics[]/content/ssvc_v1/selections[]/namespace
```

- /vulnerabilities[]/metrics[]/content/ssvc\_v1/selections[]/values[]

- /vulnerabilities[]/metrics[]/content/ssvc\_v1/selections[]/version
- /vulnerabilities[]/metrics[]/products[]
- /vulnerabilities[]/notes[]/audience
- /vulnerabilities[]/notes[]/group\_ids[]
- /vulnerabilities[]/notes[]/product\_ids[]
- /vulnerabilities[]/notes[]/title
- /vulnerabilities[]/product\_status/first\_affected[]
- /vulnerabilities[]/product\_status/first\_fixed[]
- /vulnerabilities[]/product\_status/fixed[]
- /vulnerabilities[]/product\_status/known\_affected[]
- /vulnerabilities[]/product\_status/known\_not\_affected[]
- /vulnerabilities[]/product\_status/last\_affected[]
- /vulnerabilities[]/product\_status/recommended[]
- /vulnerabilities[]/product\_status/under\_investigation[]
- /vulnerabilities[]/remediations[]/group\_ids[]
- /vulnerabilities[]/remediations[]/product\_ids[]
- /vulnerabilities[]/threats[]/group\_ids[]
- /vulnerabilities[]/threats[]/product\_ids[]
- /vulnerabilities[]/title

# · 10 000 for

- /document/acknowledgments[]/summary
- /document/distribution/text
- /document/publisher/contact\_details
- /document/publisher/issuing\_authority
- /document/references[]/summary
- /document/tracking/revision\_history[]/summary
- /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/cp
- /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/pu
- /product\_tree/branches[]/product/product\_identification\_helper/cpe
- /product\_tree/branches[]/product/product\_identification\_helper/purls[]
- /product\_tree/full\_product\_names[]/product\_identification\_helper/cpe
- /product\_tree/full\_product\_names[]/product\_identification\_helper/purls[]
- /product\_tree/product\_groups[]/summary
- /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/c
- /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/p
- /vulnerabilities[]/acknowledgments[]/summary
- /vulnerabilities[]/involvements[]/summary
- /vulnerabilities[]/references[]/summary
- /vulnerabilities[]/remediations[]/entitlements[]

# · 30 000 for

- /document/notes[]/text
- /vulnerabilities[]/notes[]/text
- · 250 000 for
  - /vulnerabilities[]/remediations[]/details
  - /vulnerabilities[]/remediations[]/restart\_required/details
  - /vulnerabilities[]/threats[]/details

# C.4 Date

- /document/tracking/current\_release\_date
- /document/tracking/generator/date
- /document/tracking/initial\_release\_date
- /document/tracking/revision\_history[]/date
- /vulnerabilities[]/disclosure\_date
- /vulnerabilities[]/discovery\_date
- · /vulnerabilities[]/first\_known\_exploitation\_dates[]/date
- · /vulnerabilities[]/first\_known\_exploitation\_dates[]/exploitation\_date
- /vulnerabilities[]/flags[]/date
- /vulnerabilities[]/involvements[]/date
- · /vulnerabilities[]/metrics[]/content/epss/timestamp
- · /vulnerabilities[]/metrics[]/content/ssvc\_v1/timestamp
- /vulnerabilities[]/remediations[]/date
- /vulnerabilities[]/threats[]/date

### C.5 Enum

A string which is an enum has a fixed maximum length given by its longest value.

Later versions of CSAF might add, modify or delete possible value which could change the longest value. Therefore, this sizes should not be implemented as fixed limits if forward compatibility is desired.

The value of /\$schema is a fixed URL, currently pointing to the JSON schema location. It seems to be safe to assume that the length of this value is not greater than 150. This applies to:

/\$schema (59)

For all other values, it seems to be safe to assume that the length of each value is not greater than 50. This applies to:

- /document/csaf\_version(3)
- /document/distribution/tlp/label (12)
- /document/notes[]/category (16)
- /document/publisher/category (11)
- /document/references[]/category (8)
- /document/tracking/status (7)
- /product\_tree/branches[](/branches[])\*/category(15)
- /product\_tree/branches[]/category (15)
- /product\_tree/relationships[]/category (21)
- /vulnerabilities[]/flags[]/label (49)
- /vulnerabilities[]/involvements[]/party(11)
- /vulnerabilities[]/involvements[]/status (17)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/accessComplexity (6)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/accessVector (16)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/authentication (8)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/availabilityImpact (8)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/availabilityRequirement (11)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/collateralDamagePotential (11)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/confidentialityImpact (8)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/confidentialityRequirement (11)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/exploitability (16)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/integrityImpact (8)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/integrityRequirement (11)
- /vulnerabilities[]/metrics[]/content/cvss\_v2/remediationLevel (13)

```
/vulnerabilities[]/metrics[]/content/cvss_v2/reportConfidence (14)
 /vulnerabilities[]/metrics[]/content/cvss_v2/targetDistribution (11)
/vulnerabilities[]/metrics[]/content/cvss_v2/version(3)
/vulnerabilities[]/metrics[]/content/cvss_v3/attackComplexity (4)
/vulnerabilities[]/metrics[]/content/cvss_v3/attackVector (16)
· /vulnerabilities[]/metrics[]/content/cvss_v3/availabilityImpact (4)
· /vulnerabilities[]/metrics[]/content/cvss_v3/availabilityRequirement (11)
/vulnerabilities[]/metrics[]/content/cvss_v3/baseSeverity (8)
/vulnerabilities[]/metrics[]/content/cvss_v3/confidentialityImpact(4)
 /vulnerabilities[]/metrics[]/content/cvss_v3/confidentialityRequirement (11)
/vulnerabilities[]/metrics[]/content/cvss_v3/environmentalSeverity (8)
/vulnerabilities[]/metrics[]/content/cvss_v3/exploitCodeMaturity (16)
• /vulnerabilities[]/metrics[]/content/cvss_v3/integrityImpact(4)
· /vulnerabilities[]/metrics[]/content/cvss_v3/integrityRequirement (11)
/vulnerabilities[]/metrics[]/content/cvss_v3/modifiedAttackComplexity (11)
· /vulnerabilities[]/metrics[]/content/cvss_v3/modifiedAttackVector (16)
· /vulnerabilities[]/metrics[]/content/cvss_v3/modifiedAvailabilityImpact (11)
· /vulnerabilities[]/metrics[]/content/cvss_v3/modifiedConfidentialityImpact(11)
• /vulnerabilities[]/metrics[]/content/cvss_v3/modifiedIntegrityImpact(11)
• /vulnerabilities[]/metrics[]/content/cvss_v3/modifiedPrivilegesRequired (11)
/vulnerabilities[]/metrics[]/content/cvss_v3/modifiedScope (11)
/vulnerabilities[]/metrics[]/content/cvss_v3/modifiedUserInteraction(11)
/vulnerabilities[]/metrics[]/content/cvss_v3/privilegesRequired (4)
/vulnerabilities[]/metrics[]/content/cvss_v3/remediationLevel (13)
/vulnerabilities[]/metrics[]/content/cvss_v3/reportConfidence (11)
/vulnerabilities[]/metrics[]/content/cvss_v3/scope (9)
/vulnerabilities[]/metrics[]/content/cvss_v3/temporalSeverity (8)
/vulnerabilities[]/metrics[]/content/cvss_v3/userInteraction (8)
/vulnerabilities[]/metrics[]/content/cvss_v3/version(3)
/vulnerabilities[]/metrics[]/content/cvss_v4/attackComplexity (4)
• /vulnerabilities[]/metrics[]/content/cvss_v4/attackRequirements(7)
/vulnerabilities[]/metrics[]/content/cvss_v4/attackVector (8)
• /vulnerabilities[]/metrics[]/content/cvss_v4/Automatable (11)
/vulnerabilities[]/metrics[]/content/cvss_v4/availabilityRequirement (11)
• /vulnerabilities[]/metrics[]/content/cvss_v4/baseSeverity(8)
/vulnerabilities[]/metrics[]/content/cvss_v4/confidentialityRequirement (11)
/vulnerabilities[]/metrics[]/content/cvss_v4/environmentalSeverity (8)
· /vulnerabilities[]/metrics[]/content/cvss_v4/exploitMaturity(16)
• /vulnerabilities[]/metrics[]/content/cvss_v4/integrityRequirement (11)
• /vulnerabilities[]/metrics[]/content/cvss_v4/modifiedAttackComplexity(11)
· /vulnerabilities[]/metrics[]/content/cvss_v4/modifiedAttackRequirements(11)
/vulnerabilities[]/metrics[]/content/cvss_v4/modifiedAttackVector (11)
/vulnerabilities[]/metrics[]/content/cvss_v4/modifiedPrivilegesRequired (11)
/vulnerabilities[]/metrics[]/content/cvss_v4/modifiedSubAvailabilityImpact (11)
/vulnerabilities[]/metrics[]/content/cvss_v4/modifiedSubConfidentialityImpact(11)
/vulnerabilities[]/metrics[]/content/cvss_v4/modifiedSubIntegrityImpact (11)
· /vulnerabilities[]/metrics[]/content/cvss_v4/modifiedUserInteraction(11)
· /vulnerabilities[]/metrics[]/content/cvss_v4/modifiedVulnAvailabilityImpact(11)
• /vulnerabilities[]/metrics[]/content/cvss_v4/modifiedVulnConfidentialityImpact(11)
• /vulnerabilities[]/metrics[]/content/cvss_v4/modifiedVulnIntegrityImpact(11)
· /vulnerabilities[]/metrics[]/content/cvss_v4/privilegesRequired(4)
' /vulnerabilities[]/metrics[]/content/cvss_v4/providerUrgency (11)
```

/vulnerabilities[]/metrics[]/content/cvss\_v4/Recovery (13)

- /vulnerabilities[]/metrics[]/content/cvss\_v4/Safety(11)
- . /vulnerabilities[]/metrics[]/content/cvss\_v4/subAvailabilityImpact(4)
- · /vulnerabilities[]/metrics[]/content/cvss\_v4/subConfidentialityImpact(4)
- /vulnerabilities[]/metrics[]/content/cvss\_v4/subIntegrityImpact (4)
- /vulnerabilities[]/metrics[]/content/cvss\_v4/threatSeverity (8)
- /vulnerabilities[]/metrics[]/content/cvss\_v4/userInteraction (7)
- /vulnerabilities[]/metrics[]/content/cvss\_v4/valueDensity(12)
- /vulnerabilities[]/metrics[]/content/cvss\_v4/version(3)
- /vulnerabilities[]/metrics[]/content/cvss\_v4/vulnAvailabilityImpact(4)
- /vulnerabilities[]/metrics[]/content/cvss\_v4/vulnConfidentialityImpact (4)
- /vulnerabilities[]/metrics[]/content/cvss\_v4/vulnerabilityResponseEffort (11)
- /vulnerabilities[]/metrics[]/content/cvss\_v4/vulnIntegrityImpact(4)
- /vulnerabilities[]/metrics[]/content/ssvc\_v1/schemaVersion (5)
- /vulnerabilities[]/notes[]/category (16)
- /vulnerabilities[]/references[]/category (8)
- · /vulnerabilities[]/remediations[]/category (14)
- /vulnerabilities[]/remediations[]/restart\_required/category (20)
- · /vulnerabilities[]/threats[]/category (14)

# C.6 URI Length

A string with format uri SHOULD NOT have a length greater than 20000. This applies to:

- /document/acknowledgments[]/urls[]
- /document/aggregate\_severity/namespace
- /document/distribution/tlp/url
- /document/publisher/namespace
- /document/references[]/url
- /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/sbom\_u
- ' /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/x\_general
- ' /product\_tree/branches[](/branches[])\*/product/product\_identification\_helper/x\_general
- /product\_tree/branches[]/product/product\_identification\_helper/sbom\_urls[]
- /product\_tree/branches[]/product/product\_identification\_helper/x\_generic\_uris[]/nam
- /product\_tree/branches[]/product/product\_identification\_helper/x\_generic\_uris[]/ur
- /product\_tree/full\_product\_names[]/product\_identification\_helper/sbom\_urls[]
- /product\_tree/full\_product\_names[]/product\_identification\_helper/x\_generic\_uris[]/i
- /product\_tree/full\_product\_names[]/product\_identification\_helper/x\_generic\_uris[]/u
- /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/sbom\_
- /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/x\_gen
- /product\_tree/relationships[]/full\_product\_name/product\_identification\_helper/x\_gen
- /vulnerabilities[]/acknowledgments[]/urls[]
- · /vulnerabilities[]/metrics[]/source
- /vulnerabilities[]/references[]/url
- /vulnerabilities[]/remediations[]/url

# C.7 UUID Length

A string with format uuid SHOULD NOT have a length greater than 50. This applies to:

/document/distribution/sharing\_group/id (36)