

# Representation Theory and its Applications in Physics

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**Presented by**

Max Varverakis ([mvarvera@calpoly.edu](mailto:mvarvera@calpoly.edu))



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## Outline:

1. Introduction to Representation Theory
2. Examples in Physics
3. The Braid Group
4. Physical Applications of the Braid Group



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## **1 Introduction to Representation Theory**

# Definition of a Representation

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Let  $G$  be a group. A *representation* of  $G$  is a homomorphism from  $G$  to a group of operators on a linear vector space  $V$ . The dimension of  $V$  is the *dimension* or *degree* of the representation.

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If  $X$  is a representation of  $G$  on a vector space  $V$ , then  $X$  is a map

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## Remark

If  $V$  is finite-dimensional with basis  $\{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$ , then  $X(g)$  can be realized as an  $n \times n$  matrix.

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2. In the matrix presentation of  $X$ ,  $X(g)$  is invertible for all  $g \in G$ .

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- ▶ For groups with more than one element, the trivial representation is not injective, so we call it a *degenerate representation*.
- ▶ If a representation is injective, then it is a *faithful representation*.



# Example: A Faithful Representation of $S_n$

## Defining representation of $S_n$

The defining representation  $D$  of  $S_n$  encodes the action of the symmetric group on the standard basis of  $\mathbb{R}^n$ . If a permutation sends  $i$  to  $j$ , then place a 1 the  $i$ -th column and  $j$ -th row of the representation matrix.

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E.g., in  $S_3$ :

$$D((23)) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \quad D((123)) = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

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- ▶ The defining representation of  $S_n$  is  $n$ -dimensional.
- ▶ This representation is faithful.

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**Representation:** Let  $X$  be a representation of  $G$  on  $V_2$  with<sup>1</sup>

$$X(\phi)\mathbf{e}_1 = \mathbf{e}_1 \cdot \cos \phi + \mathbf{e}_2 \cdot \sin \phi$$

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## Question

How do we classify representations of a group?

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- ▶ If two representations have the same character for all  $g \in G$ , then they are equivalent.
- ▶ We can use characters to classify representations.

# Decomposing Representations

## Definition

A representation  $X(G)$  on  $V$  is *irreducible* if there is no non-trivial invariant subspace<sup>2</sup> in  $V$  with respect to  $X(G)$ . Otherwise,  $X(G)$  is *reducible*.

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- ▶ Irreducible representations are the building blocks of all representations.
- ▶ A reducible representation can be decomposed into a direct sum of irreducible representations.
- ▶ The decomposition of a representation into irreducibles is unique up to equivalence.

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Let  $\mathbf{e}_{\pm} = \frac{1}{\sqrt{2}} (\mp \mathbf{e}_1 + i \mathbf{e}_2)$ . Then,  $X(\phi) \mathbf{e}_{\pm} = e^{\pm i \phi} \mathbf{e}_{\pm}$ .

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## Decomposition of $X$

The span of each  $\mathbf{e}_{\pm}$  is an  $X$ -invariant subspace of  $V_2$ . In this basis, we rewrite  $X$  as a direct sum of the 1D irreducible representations<sup>3</sup>:

$$X(\phi) = \begin{bmatrix} e^{i\phi} & 0 \\ 0 & e^{-i\phi} \end{bmatrix}.$$

---

<sup>3</sup>1-dimensional representations are always irreducible!

# Schur's Lemmas (pt. 1)

## Lemma

*Let  $X : G \rightarrow V$  and  $Y : G \rightarrow W$  be irreducible representations of a group  $G$ . If there exists a fixed linear transformation  $T : V \rightarrow W$  such that  $TX(g) = Y(g)T$  for all  $g \in G$ , then  $T$  is either the zero map or invertible.*

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3. Since  $X$  and  $Y$  are irreducible,  $\ker(T) = \{\mathbf{0}\}$  and  $\text{im}(T) = V$  or  $\ker(T) = V$  and  $\text{im}(T) = \{0\}$ .

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4. By the rank-nullity theorem, conclude that  $T$  is either the zero map or invertible.

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*Let  $X$  be an irreducible representation of a group  $G$  and  $T$  a linear operator that commutes with all  $X(g)$  for  $g \in G$ . Then  $T$  is a scalar multiple of the identity operator.*

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4. By previous lemma,  $T - \lambda I = 0 \implies T = \lambda I$ .



# Consequence of Schur's Lemmas

## Corollary

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6. One-dimensional representations are irreducible.



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## How does this help in physics?

Irreducible representations can describe symmetries of physical systems with remarkably fundamental implications.

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**CAL POLY**

## **2 Examples in Physics**

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This *special* property is summarized by noting  $\det R(\phi) = 1$  for all  $\phi \in [0, 2\pi)$ .

# The $SO(2)$ Group

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The *special orthogonal group* in two dimensions, denoted  $SO(2)$ , is the group of all  $2 \times 2$  orthogonal matrices with determinant equal to  $+1$ .<sup>5</sup>

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- ▶  $SO(2)$  is *reducible* (earlier example with  $\mathbf{e}_{\pm}$ ).

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- ▶ With  $R(0) = I$  boundary condition:  $R(\phi) = e^{-i\phi J}$ .
- ▶ We call  $J$  the *generator* of  $SO(2)$  rotations.

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## Theorem

*The single-valued irreducible representations of  $SO(2)$  are defined as*

$$U^m(\phi) = e^{-im\phi}, \forall m \in \mathbb{Z}.$$

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The *special orthogonal group* in three dimensions, denoted  $\text{SO}(3)$ , is the group of all  $3 \times 3$  orthogonal matrices with determinant equal to  $+1$ .  $\text{SO}(3)$  rotations are generated by the components of the Hermitian generator  $\mathbf{J} = [J_x, J_y, J_z]^T$ .

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But that's not all folks!

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3. Similar arguments can be made for the continuous group of translations in space, leading to the conservation of linear momentum for translationally invariant systems.

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*This is the tip of the iceberg!*



**CAL POLY**

### **3 The Braid Group**



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$$\begin{aligned}\beta &: [0, 1] \rightarrow M_n \\ t &\mapsto \beta(t) = (\beta_1(t), \beta_2(t), \dots, \beta_n(t)),\end{aligned}$$

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The *braid group*  $B_n$  is the (fundamental) group of all complex-valued  $n$ -tuples  $(M_n)$  up to *homotopy*.

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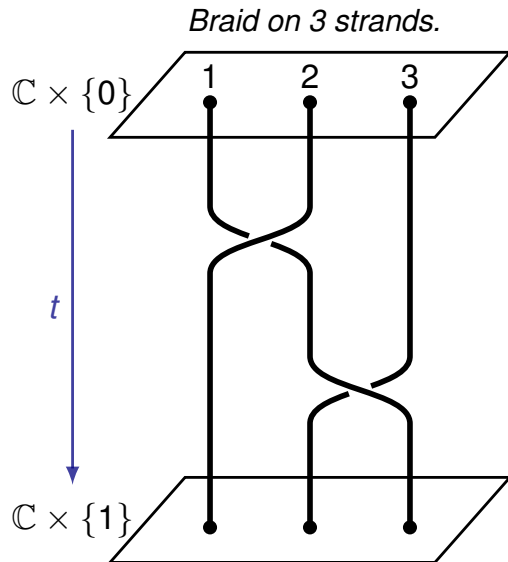
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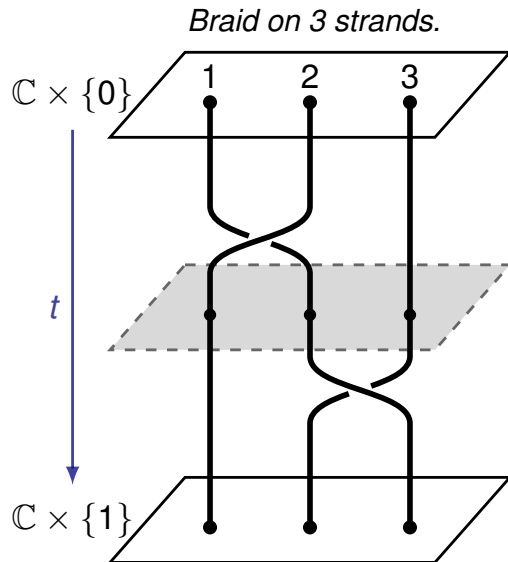
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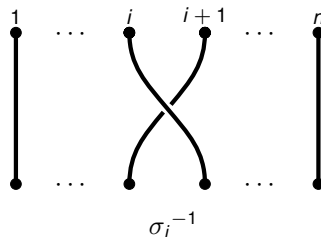
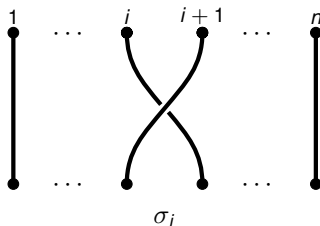
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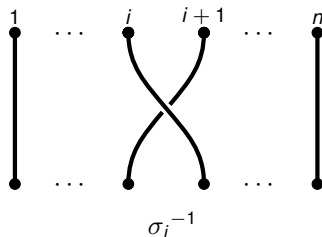
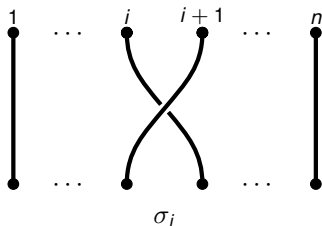
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- ▶ The *degree* of a braid  $\beta \in B_n$  is the sum of the powers of the standard generators in the decomposition of  $\beta$ .

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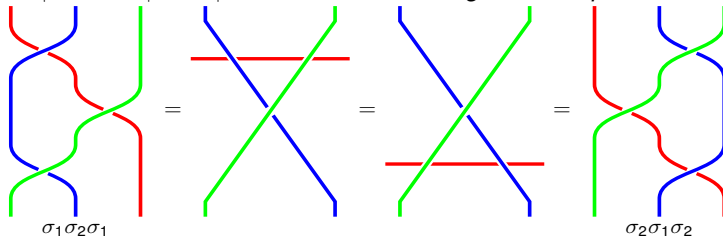
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**Comment:**  $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$  is known as the *Yang-Baxter equation*, visualized below:



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**Consequence:**  $\sigma_1^2$  and  $\sigma_2^2$  are not the identity braid, which is in contrast to the permutation group where transpositions are involutory.

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# Nonabelian Characteristics of the Unitary Representation

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**Answer:** Unitary matrices preserve inner products, so the unitary representations of the braid group can act on a quantum system by braiding particles!



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## **4 Physical Applications of the Braid Group**

# (Abelian) Braiding Action on a Quantum System

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**1D Representation:** Let  $p_\theta : B_n \rightarrow \mathbb{C}$  be defined by  $\sigma_j \mapsto e^{i\theta}$  for some  $\theta$ , for all  $j$ .

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**Braiding action:** For any degree- $k$  braid  $\beta \in B_n$ , we have

$$\psi(r_{1'}, r_{2'}, \dots, r_{n'}) = p_\theta(\beta) \psi(r_1, r_2, \dots, r_n) = \underbrace{e^{ik\theta}}_{\substack{\text{phase} \\ \text{shift}}} \psi(r_1, r_2, \dots, r_n),$$

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## Remark

The action of a nonabelian braid group representation on a quantum system leads to *nontrivial rotations* in the many-particle Hilbert space that describes the quantum system<sup>8</sup>.

<sup>8</sup>Nayak et al., 2008, Non-abelian anyons and topological quantum computation, *Reviews of Modern Physics*

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- ▶ Edge cases: *bosons* and *fermions*.

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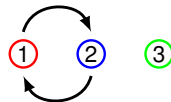
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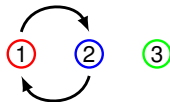
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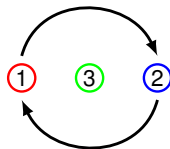
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Trajectory B





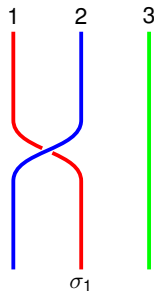
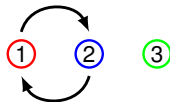
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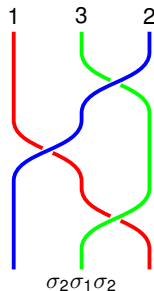
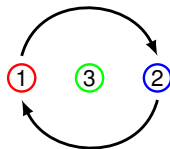
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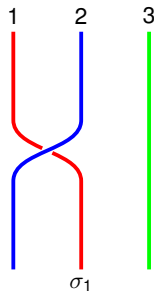
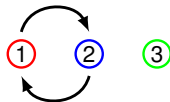
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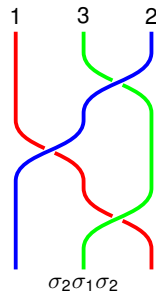
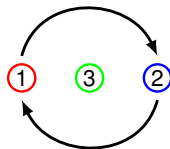
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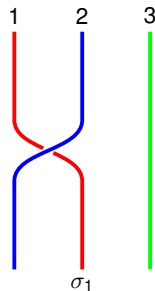
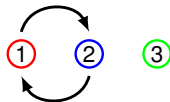
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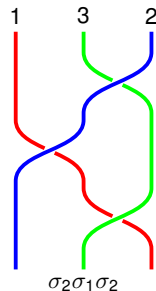
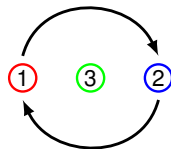
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- ▶ Specific fusion rules + nonabelian anyons = fault-tolerant topological *quantum computer*. This is an ongoing area of research.

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**Thank you for your attention!**

## A Physicists Approach to Anyons (Lagrangian)

Consider two identical non-interacting anyons with positions  $\mathbf{r}_1 = (x_1, y_1)$  and  $\mathbf{r}_2 = (x_2, y_2)$  in a harmonic potential. Let  $\phi = \arctan\left(\frac{y_2 - y_1}{x_2 - x_1}\right)$  be the relative angle between the two anyons and  $\dot{\phi} = \frac{d\phi}{dt}$ .

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**Generalize to  $N$  anyons:** Let  $\phi_{ij} = \arctan\left(\frac{y_j - y_i}{x_j - x_i}\right)$ ,

$$\mathcal{L} = \sum_{i=1}^N \frac{m}{2} \dot{\mathbf{r}}_i^2 + \hbar\alpha \sum_{i < j}^N \dot{\phi}_{ij} - \frac{m\omega^2}{2} \sum_{i=1}^N \mathbf{r}_i^2$$

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# A Physicists Approach to Anyons (Hamiltonian)

**Rewrite  $N$ -anyon  $\mathcal{L}$ :**

$$\mathcal{L} = \frac{m}{2} \sum_{i=1}^N [\dot{\mathbf{r}}_i^2 - \omega^2 \mathbf{r}_i^2] + \alpha \sum_{i < j}^N \dot{\mathbf{r}}_{ij} \cdot \frac{(-y_{ij} \hat{x} + x_{ij} \hat{y})}{r_{ij}^2}$$

**Gauge potential:**

$$\mathbf{A}_i(\mathbf{r}_i) = \alpha \sum_{j \neq i} \frac{\hat{z} \times \mathbf{r}_{ij}}{r_{ij}^2} = \alpha \sum_{j \neq i} \frac{-y_{ij} \hat{x} + x_{ij} \hat{y}}{r_{ij}^2}$$

**$i$ -th anyon Hamiltonian:**

$$\mathcal{H}_i = \frac{1}{2m} \underbrace{(\mathbf{p}_i - \mathbf{A}_i(\mathbf{r}_i))^2}_{\text{canonical momentum}} + \frac{m\omega^2}{2} r_i^2$$

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**Expand:**

$$\mathcal{H} = \frac{1}{2m} \sum_{i=1}^N p_i^2 + \frac{m\omega^2}{2} \sum_{i=1}^N r_i^2 - \frac{\alpha}{2m} \sum_{\substack{i=1 \\ j \neq i}}^N \frac{\ell_{ij}}{r_{ij}^2} + \frac{\alpha^2}{2m} \sum_{\substack{i=1 \\ j, k \neq i}}^N \frac{\mathbf{r}_{ij} \cdot \mathbf{r}_{ik}}{r_{ij}^2 r_{ik}^2}$$

# Interpreting the $N$ -anyon Hamiltonian

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## Question

Why is this useful?

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## Definition

The *Burau representation* of the braid group  $B_n$  is defined on the standard generators:

$$\begin{aligned} \psi_n : B_n &\rightarrow \mathrm{GL}_n(\mathbb{Z}[t, t^{-1}]) \\ \sigma_i &\mapsto \begin{bmatrix} I_{i-1} & 0 & 0 \\ 0 & U & 0 \\ 0 & 0 & I_{n-i-1} \end{bmatrix}. \end{aligned}$$



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The Burau representation satisfies the braid relations:

$$\begin{aligned} \psi_n(\sigma_i)\psi_n(\sigma_j) &= \psi_n(\sigma_j)\psi_n(\sigma_i) \text{ for } |i-j| > 1, \\ \psi_n(\sigma_i)\psi_n(\sigma_{i+1})\psi_n(\sigma_i) &= \psi_n(\sigma_{i+1})\psi_n(\sigma_i)\psi_n(\sigma_{i+1}) \text{ for } i \in \{1, \dots, n-2\}. \end{aligned}$$

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$\implies$  **Burau representation is reducible!**

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- ▶ Equivalent ways to write the same thing:

$$\langle A^\dagger \phi | \psi \rangle = \langle \phi | A | \psi \rangle = \langle \phi | A \psi \rangle .$$

# Orthonormality, Completeness, and Wavefunctions

## Definition

Let  $\{|1\rangle, |2\rangle, |3\rangle, \dots\}$  be an orthonormal basis for some quantum Hilbert space. In the context of physics, the **orthonormality** and **completeness** relations of the basis vectors allow any state vector  $|\psi\rangle$  to be written as a linear combination of the basis vectors:

$$|\psi\rangle = \left( \sum_n |n\rangle \langle n| \right) |\psi\rangle = \sum_n |n\rangle \langle n|\psi\rangle,$$

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## Definition

For a continuous basis labelled by  $|x\rangle$  where  $x$  is a continuous parameter, the **wavefunction**  $\psi(x)$  is the projection:  $\langle x|\psi\rangle = \psi(x)$ .

## SO(2) Explicit form of $J$

The state  $|\phi\rangle$  can be decomposed into a linear combination of the eigenvectors of  $J$ :

$$|\phi\rangle = \left( \sum_m |m\rangle \langle m| \right) |\phi\rangle = \sum_m \langle m|\phi\rangle |m\rangle,$$

where

$$\langle m|\phi\rangle = \langle m|U(\phi)|\mathcal{O}\rangle = \langle U^\dagger(\phi)m|\mathcal{O}\rangle = \langle e^{im\phi}m|\mathcal{O}\rangle = e^{-im\phi} \langle m|\mathcal{O}\rangle$$

is the projection of  $|\phi\rangle$  onto the eigenvector  $|m\rangle$  of  $J$ .

Thus,

$$\begin{aligned} J|\phi\rangle &= \sum_m e^{-im\phi} J|m\rangle = \sum_m m e^{-im\phi} |m\rangle = \sum_m i \frac{\partial}{\partial \phi} (e^{-im\phi} |m\rangle) = i \frac{\partial}{\partial \phi} |\phi\rangle \\ \implies \langle \phi|J|\psi\rangle &= \langle J^\dagger \phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \langle \phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \psi(\phi). \end{aligned}$$

# SO(3) Invariance $\implies$ Commute with Hamiltonian

$$\phi = \arctan\left(\frac{y}{x}\right)$$

$$\implies \frac{\partial}{\partial \phi} = (\mathbf{r} \times \nabla) \cdot \mathbf{e}_z \implies J = -i \frac{\partial}{\partial \phi} = -i (\mathbf{r} \times \nabla) \cdot \mathbf{e}_z = \frac{1}{\hbar} \hat{L}_z \implies \hat{\mathbf{L}} = \hat{\mathbf{r}} \times \hat{\mathbf{p}}$$

$$\hat{\mathbf{p}} = -i\nabla \implies \hat{L}_z = x\hat{p}_y - y\hat{p}_x$$

$$\hat{H} = \frac{\hat{\mathbf{p}}^2}{2m} + \hat{V}(\mathbf{r}), \quad [V(\mathbf{r}), \hat{L}_z] = 0, \quad [\hat{\mathbf{p}}^2, \hat{L}_z] = 0 \implies [\hat{H}, \hat{L}_z] = 0,$$

where the last line easily generalizes to  $\hat{\mathbf{L}}$ .

# From Invariant Subspace to the Lie Algebra

$$J^2 |j\rangle = (J_- J_+ + J_z + J_z^2) |j\rangle = (0 + j + j^2) |j\rangle = j(j+1) |j\rangle ,$$

$$J^2 |j, m\rangle = j(j+1) |j, m\rangle ,$$

$$J_z |j, m\rangle = m |j, m\rangle ,$$

$$J_{\pm} |j, m\rangle = \sqrt{j(j+1) - m(m \pm 1)} |j, m \pm 1\rangle ,$$

$$[J_i, J_j] = i\epsilon_{ijk} J_k, \quad [J^2, J_i] = 0.$$