

# Representation Theory and its Applications in Physics

June 5, 2024

**Presented by**

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## Outline:

1. Introduction to Representation Theory
2. Examples in Physics
3. The Braid Group
4. Physical Applications of the Braid Group



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## **1 Introduction to Representation Theory**

# Definition of a Representation

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Let  $G$  be a group. A *representation* of  $G$  is a homomorphism from  $G$  to a group of operators on a linear vector space  $V$ . The dimension of  $V$  is the *dimension* or *degree* of the representation.

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If  $X$  is a representation of  $G$  on a vector space  $V$ , then  $X$  is a map

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## Remark

If  $V$  is finite-dimensional with basis  $\{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$ , then  $X$  can be realized as an  $n \times n$  matrix.

# Properties of Representations

## Group Multiplication

Representations are group morphisms, so they satisfy the group multiplication rule:

$$X(gh) = X(g)X(h), \quad \forall g, h \in G.$$

## Invertibility

If  $X$  is a representation of  $G$ , then  $X(g)^{-1} = X(g^{-1})$ ,  $\forall g \in G$ .

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2. In the matrix presentation of  $X$ ,  $X(g)$  is invertible for all  $g \in G$ .

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- ▶ For groups with more than one element, the trivial representation is not injective, so we call it a *degenerate representation*.
- ▶ If a representation is injective, then it is a *faithful representation*.



# Example: A Faithful Representation of $S_n$

## Defining representation of $S_n$

The defining representation  $D$  of  $S_n$  encodes the action of the symmetric group on the standard basis of  $\mathbb{R}^n$ . If a permutation sends  $i$  to  $j$ , then place a 1 the  $i$ -th column and  $j$ -th row of the representation matrix.

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E.g., in  $S_3$ :

$$D((23)) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \quad D((123)) = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

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- ▶ The defining representation of  $S_n$  is  $n$ -dimensional.
- ▶ This representation is faithful.

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**Representation:** Let  $X$  be a representation of  $R$  on  $V_2$  with<sup>1</sup>

$$X(\phi)\mathbf{e}_1 = \mathbf{e}_1 \cdot \cos \phi + \mathbf{e}_2 \cdot \sin \phi$$

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## Question

How do we classify representations of a group?

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- ▶ If two representations have the same character for all  $g \in G$ , then they are equivalent.
- ▶ We can use characters to classify representations.

# Decomposing Representations

## Definition

A representation  $X(G)$  on  $V$  is *irreducible* if there is no non-trivial invariant subspace<sup>2</sup> in  $V$  with respect to  $X(G)$ . Otherwise,  $X(G)$  is *reducible*.

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- ▶ Irreducible representations are the building blocks of all representations.
- ▶ A reducible representation can be decomposed into a direct sum of irreducible representations.
- ▶ The decomposition of a representation into irreducibles is unique up to equivalence.

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## Invariance of $\mathbf{e}_{\pm}$

Let  $\mathbf{e}_{\pm} = \frac{1}{\sqrt{2}} (\mp \mathbf{e}_1 + i \mathbf{e}_2)$ . Then,  $X(\phi) \mathbf{e}_{\pm} = e^{\pm i \phi} \mathbf{e}_{\pm}$ .

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## Decomposition of $X$

The span of each  $\mathbf{e}_{\pm}$  is an  $X$ -invariant subspace of  $V_2$ . In this basis, we rewrite  $X$  as a direct sum of the 1D irreducible representations<sup>3</sup>:

$$X(\phi) = \begin{bmatrix} e^{i\phi} & 0 \\ 0 & e^{-i\phi} \end{bmatrix}.$$

---

<sup>3</sup>1-dimensional representations are always irreducible!

# Schur's Lemmas (pt. 1)

## Lemma

*Let  $X : G \rightarrow V$  and  $Y : G \rightarrow W$  be irreducible representations of a group  $G$ . If there exists a fixed linear transformation  $T : V \rightarrow W$  such that  $TX(g) = Y(g)T$  for all  $g \in G$ , then  $T$  is either the zero map or invertible.*

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1. The kernel of  $T$  is invariant under  $X(G)$ .
2. The image of  $T$  is invariant under  $Y(G)$ .
3. Since  $X$  and  $Y$  are irreducible,  $\ker(T) = \{\mathbf{0}\}$  and  $\text{im}(T) = V$  or  $\ker(T) = V$  and  $\text{im}(T) = \{0\}$ .

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1. The kernel of  $T$  is invariant under  $X(G)$ .
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4. By the rank-nullity theorem, conclude that  $T$  is either the zero map or invertible.

# Schur's Lemma's (pt. 2)

## Lemma

*Let  $X$  be an irreducible representation of a group  $G$  and  $T$  a linear operator that commutes with all  $X(g)$  for  $g \in G$ . Then  $T$  is a scalar multiple of the identity operator.*

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2. Then  $T - \lambda I$  is not invertible.
3. By assumption,  $(T - \lambda I)X(g) = X(g)(T - \lambda I)$  for all  $g \in G$ .

# Schur's Lemma's (pt. 2)

## Lemma

*Let  $X$  be an irreducible representation of a group  $G$  and  $T$  a linear operator that commutes with all  $X(g)$  for  $g \in G$ . Then  $T$  is a scalar multiple of the identity operator.*

## Proof (sketch)

1. Consider  $\lambda$  to be an eigenvalue of  $T$ .
2. Then  $T - \lambda I$  is not invertible.
3. By assumption,  $(T - \lambda I)X(g) = X(g)(T - \lambda I)$  for all  $g \in G$ .
4. By previous lemma,  $T - \lambda I = 0 \implies T = \lambda I$ .



# Consequence of Schur's Lemmas

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5.  $X(G)$  is equivalent to the representation  $g \mapsto \lambda_g$  for all  $g \in G$ .
6. One-dimensional representations are irreducible.



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## How does this help in physics?

Irreducible representations can describe symmetries of physical systems with remarkably fundamental implications.

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**CAL POLY**

## **2 Examples in Physics**

# Preliminaries

**Skip preliminaries?**

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5. Operators that are self-adjoint are called *Hermitian*.

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- ▶ The action of an operator  $A$  on a vector  $|\psi\rangle$  is written as  $|A\psi\rangle = A|\psi\rangle$ .
- ▶ Equivalent ways to write the same thing:

$$\langle A^\dagger \phi | \psi \rangle = \langle \phi | A | \psi \rangle = \langle \phi | A \psi \rangle .$$

# Orthonormality, Completeness, and Wavefunctions

## Definition

Let  $\{|1\rangle, |2\rangle, |3\rangle, \dots\}$  be an orthonormal basis for some quantum Hilbert space. In the context of physics, the **orthonormality** and **completeness** relations of the basis vectors allow any state vector  $|\psi\rangle$  to be written as a linear combination of the basis vectors:

$$|\psi\rangle = \left( \sum_n |n\rangle \langle n| \right) |\psi\rangle = \sum_n |n\rangle \langle n|\psi\rangle,$$

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## Definition

For a continuous basis labelled by  $|x\rangle$  where  $x$  is a continuous parameter, the **wavefunction**  $\psi(x)$  is the projection:  $\langle x|\psi\rangle = \psi(x)$ .

# Preliminaries: Basic Quantum Mechanics

- Talk about probabilities and whatnot? Eigenvalues = observables? Or just mention when connecting later stuff to physics?

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**Rotation matrices are orthogonal:**

$$R(\phi)R^\top(\phi) = \begin{bmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{bmatrix} \begin{bmatrix} \cos \phi & \sin \phi \\ -\sin \phi & \cos \phi \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I$$

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This *special* property is summarized by noting  $\det R(\phi) = 1$  for all  $\phi \in [0, 2\pi)$ .

# The $SO(2)$ Group

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The *special orthogonal group* in two dimensions, denoted  $SO(2)$ , is the group of all  $2 \times 2$  orthogonal matrices with determinant equal to  $+1$ .<sup>5</sup>

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- ▶  $SO(2)$  is *reducible* (earlier example with  $\mathbf{e}_{\pm}$ ).

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- ▶ There are two ways to interpret  $R(\phi + d\phi)$ :

$$R(\phi + d\phi) = R(\phi)R(d\phi) = R(\phi)(I - id\phi J) = R(\phi) - id\phi R(\phi)J,$$

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- ▶ With  $R(0) = I$  boundary condition:  $R(\phi) = e^{-i\phi J}$ .
- ▶ We call  $J$  the *generator* of  $SO(2)$  rotations.

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# Recovering the Rotation Matrix from $J$

To first order in  $d\phi$ :  $R(d\phi) = \begin{bmatrix} 1 & -d\phi \\ d\phi & 1 \end{bmatrix}$



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$$\begin{aligned} R(\phi) &= e^{-iJ\phi} = I - iJ\phi - I\frac{\phi^2}{2!} + iJ\frac{\phi^3}{3!} + \dots \\ &= I \left( \sum_{n=0}^{\infty} (-1)^n \frac{\phi^{2n}}{(2n)!} \right) - iJ \left( \sum_{n=0}^{\infty} (-1)^n \frac{\phi^{2n+1}}{(2n+1)!} \right) \end{aligned}$$

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# Irreducible Representations of $SO(2)$

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## Theorem

*The single-valued irreducible representations of  $SO(2)$  are defined as*

$$U^m(\phi) = e^{-im\phi}, \quad \forall m \in \mathbb{Z}.$$

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## Definition

The *special orthogonal group* in three dimensions, denoted  $\text{SO}(3)$ , is the group of all  $3 \times 3$  orthogonal matrices with determinant equal to  $+1$ .  $\text{SO}(3)$  rotations are generated by the components of the Hermitian generator  $\mathbf{J} = [J_x, J_y, J_z]^T$ .



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- ▶ This generalizes to other types of angular momentum, such as *spin angular momentum*!

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But that's not all folks!

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3. Similar arguments can be made for the continuous group of translations in space, leading to the conservation of linear momentum for translationally invariant systems.



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*This is the tip of the iceberg!*



**CAL POLY**

### **3 The Braid Group**



# The Braid Group

## Definition

The *configuration space* of  $n$  ordered distinct points in the complex plane  $\mathbb{C}$  is defined as  $M_n = \{(z_1, \dots, z_n) \in \mathbb{C}^n; z_i \neq z_j, \forall i \neq j\}$ .

- ▶ Note that  $(z_1, z_2, z_3, \dots, z_n)$  and  $(z_2, z_1, z_3, \dots, z_n)$  are distinct configurations in  $M_n$ .
- ▶ A *braid*  $\beta$  is a *loop*<sup>8</sup> in  $M_n$  and can be thought of as a configuration that evolves over time:

$$\begin{aligned}\beta : [0, 1] &\rightarrow M_n \\ t &\mapsto \beta(t) = (\beta_1(t), \beta_2(t), \dots, \beta_n(t)),\end{aligned}$$

## Definition

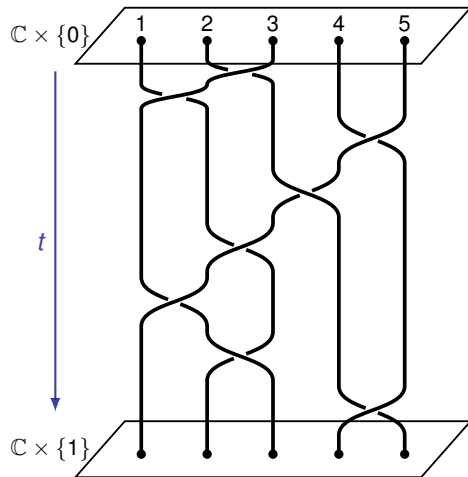
The *braid group*  $B_n$  is the (fundamental) group of all complex-valued  $n$ -tuples  $(M_n)$  up to *homotopy*.

---

<sup>8</sup>The topological formalisms that define the braid group are omitted for times sake.

# Visualization of Braids

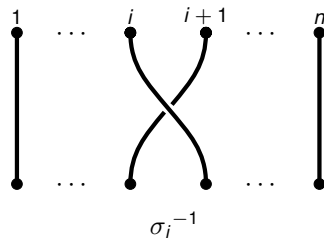
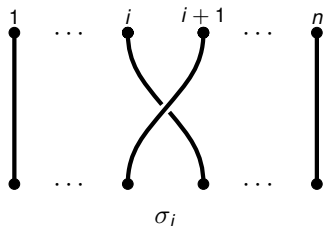
- ▶ Each path traced out by a point in the configuration space is a *strand*.
- ▶ The number of strands of a braid is equal to the number of points in the configuration space tuples.
- ▶ We can think of a braid on  $n$  strands as the motion of  $n$  distinct points in the complex plane over a normalized time interval.
- ▶ Each trajectory is a strand, and the braid is the collection of all strands.
- ▶ A braid is defined up to *homotopy*.
- ▶ Visualized in  $\mathbb{C} \times [0, 1]$ .



*Braid on 5 strands.*

# Standard Generators

- ▶ Every braid can be decomposed into a finite product of *standard generators* that permute adjacent points.
- ▶ The standard generators of  $B_n$  are defined as  $\{\sigma_1, \sigma_2, \dots, \sigma_{n-1}\}$ , in which:



- ▶ The *degree* of a braid  $\beta \in B_n$  is the sum of the powers of the standard generators in the decomposition of  $\beta$ .

# Alternative Description of $B_n$

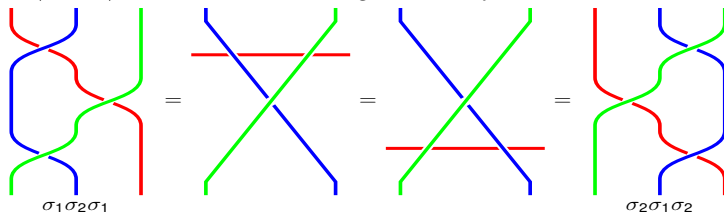
## Definition

The braid group on  $n$  strands, denoted  $B_n$ , is generated by the standard generators that follow the *braid relations*, summarized below:

$$B_n = \left\langle \sigma_1, \dots, \sigma_{n-1} \left| \begin{array}{l} \sigma_i \sigma_j = \sigma_j \sigma_i, \quad |i - j| > 1 \\ \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \end{array} \right. \right\rangle.$$

## Comment:

- $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$  is known as the *Yang-Baxter equation*, visualized below:



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For  $\theta \in \mathbb{R}$  and  $j = 1, 2, \dots, n-1$ , we define some *one-dimensional representations* of  $B_n$ :

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Hence, for any  $\beta \in B_n$  with degree  $k$ :

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$$\begin{aligned} \rho_\theta(\sigma_1 \sigma_2 \sigma_1^{-1} \sigma_2) &= \rho_\theta(\sigma_1) \rho_\theta(\sigma_2) \rho_\theta(\sigma_1^{-1}) \rho_\theta(\sigma_2) \\ &= e^{i\theta_1} e^{i\theta_2} e^{-i\theta_1} e^{i\theta_2} \\ &= e^{i(\theta_1 - \theta_1 + \theta_2 + \theta_2)} \\ &= e^{i \cdot 2\theta_2} = \rho_\theta(\sigma_2^2) \end{aligned}$$

Hence, for any  $\beta \in B_n$  with degree  $k$ :

$$\rho_\theta(\beta) = \rho_\theta(\sigma_1^{m_1} \sigma_2^{m_2} \cdots \sigma_{n-1}^{m_{n-1}}) = e^{i\theta(m_1 + m_2 + \cdots + m_{n-1})} = e^{ik\theta}.$$

# The Burau Representation

- ▶ Go through arguments/motivation for Burau?
- ▶ Show covering space picture/diagrams?
- ▶ Define Burau representation.
- ▶ Note on faithfulness!
- ▶ Quickly show it's reducible with the **1** eigenvector?

# Unitary Representation of the Braid Group

- ▶ Define reduced Burau representation.
- ▶ Obtain unitary representation from reduced Burau. (Not sure how much detail to go into here.)
- ▶ Maybe just jump right to defining the unitary reps in the  $2 \times 2$  case?
- ▶ Comment on why we want a unitary rep!

# Nonabelian Characteristics of the Unitary Representation

- ▶ Compare and contrast  $\mathcal{U}(\sigma_{1,2})$  to their inverses.
- ▶ Note that  $[\mathcal{U}(\sigma_{1,2}), \mathcal{U}(\sigma_{2,1})] \neq 0$  to highlight nonabelian-ness.

## Question

What are the physical implications of this nonabelian representation?





**CAL POLY**

## **4 Physical Applications of the Braid Group**

# (Abelian) Braiding Action on a Quantum System

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**1D Representation:** Let  $p_\theta : B_n \rightarrow \mathbb{C}$  be defined by  $\sigma_j \mapsto e^{i\theta}$  for some  $\theta$ , for all  $j$ .

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**Braiding action:** For any degree- $k$  braid  $\beta \in B_n$ , we have

$$\psi(r_{1'}, r_{2'}, \dots, r_{n'}) = p_\theta(\beta) \psi(r_1, r_2, \dots, r_n) = \underbrace{e^{ik\theta}}_{\substack{\text{phase} \\ \text{shift}}} \psi(r_1, r_2, \dots, r_n),$$

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**Braiding action:** The transformed basis states due to the action of  $\sigma_1$  are

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## Remark

The action of a nonabelian braid group representation on a quantum system leads to nontrivial rotations in the many-particle Hilbert space that describes the quantum system.

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- ▶ Edge cases: *bosons* and *fermions*.

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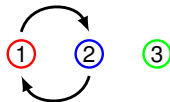
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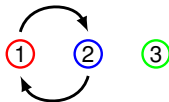
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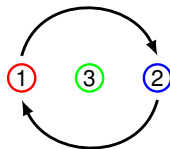
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Trajectory B



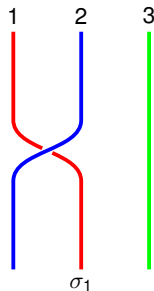
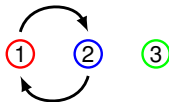
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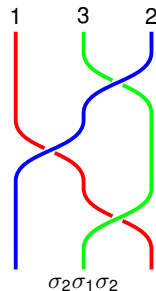
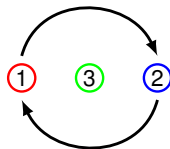
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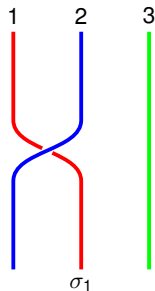
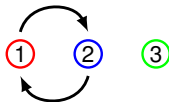
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## 1D representation:

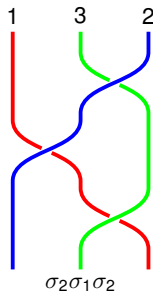
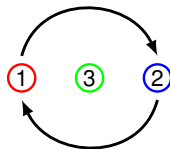
$$\sigma_1 \mapsto e^{i\theta}$$

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### Trajectory B



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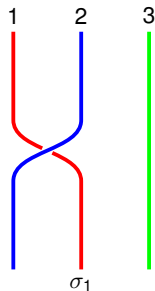
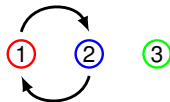
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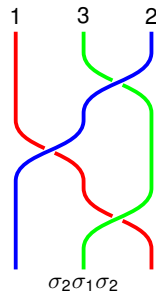
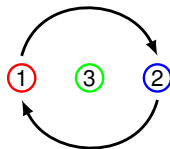
## 1D representation:

$$\left. \begin{array}{l} \sigma_1 \mapsto e^{i\theta} \\ \sigma_2 \sigma_1 \sigma_2 \mapsto e^{3i\theta} \end{array} \right\} \neq \text{if } \theta \notin \pi\mathbb{Z}$$

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# A Physicists Approach to Anyons (Lagrangian)

Consider two identical non-interacting anyons with positions  $\mathbf{r}_1 = (x_1, y_1)$  and  $\mathbf{r}_2 = (x_2, y_2)$  in a harmonic potential. Let  $\phi = \arctan\left(\frac{y_2 - y_1}{x_2 - x_1}\right)$  be the relative angle between the two anyons and  $\dot{\phi} = \frac{d\phi}{dt}$ .

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**Generalize to  $N$  anyons:** Let  $\phi_{ij} = \arctan\left(\frac{y_j - y_i}{x_j - x_i}\right)$ ,

$$\mathcal{L} = \sum_{i=1}^N \frac{m}{2} \dot{\mathbf{r}}_i^2 + \hbar\alpha \sum_{i < j}^N \dot{\phi}_{ij} - \frac{m\omega^2}{2} \sum_{i=1}^N \mathbf{r}_i^2$$



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Expand:

$$\mathcal{H} = \frac{1}{2m} \sum_{i=1}^N p_i^2 + \frac{m\omega^2}{2} \sum_{i=1}^N r_i^2 - \frac{\alpha}{2m} \sum_{\substack{i=1 \\ j \neq i}}^N \frac{\ell_{ij}}{r_{ij}^2} + \frac{\alpha^2}{2m} \sum_{\substack{i=1 \\ j, k \neq i}}^N \frac{\mathbf{r}_{ij} \cdot \mathbf{r}_{ik}}{r_{ij}^2 r_{ik}^2}$$

# Interpreting the $N$ -anyon Hamiltonian

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## Question

Why is this useful?

# Physical Implications of Nontrivial Braiding Effects

- ▶ FQHE
- ▶ Fusion rules?
- ▶ Fault-tolerant quantum computing

# Summary/Conclusion

- ▶ Summary: what did we talk about?
- ▶ What are the main takeaways?
- ▶ Acknowledgements, questions, references (?)