# Representation Theory and its Applications in Physics

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## Presented by

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#### Outline:

- 1. Introduction to Representation Theory
- 2. Examples in Physics
- 3. The Braid Group
- 4. Physical Applications of the Braid Group



## **Definition of a Representation**

#### **Definition**

Let G be a group. A representation of G is a homomorphism from G to a group of operators on a linear vector space *V*. The dimension of *V* is the *dimension* or *degree* of the representation.

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$$g \in G \xrightarrow{X} X(g),$$

where X(g) is an operator on the V.

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#### Remark

If V is finite-dimensional with basis  $\{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$ , then X can be realized as an  $n \times n$  matrix.

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## **Properties of Representations**

#### **Group Multiplication**

Representations are group morphisms, so they satisfy the group multiplication rule:

$$X(gh) = X(g)X(h), \quad \forall g, h \in G.$$

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- **2.** In the matrix presentation of X, X(g) is invertible for all  $g \in G$ .

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#### **Trivial Representation of a Group**

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For any group G, the trivial representation takes  $g \mapsto 1$  for all  $g \in G$ .

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If a representation is injective, then it is a *faithful representation*.

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The defining representation D of  $S_n$  encodes the action of the symmetric group on the standard basis of  $\mathbb{R}^n$ . If a permutation sends i to i, then place a 1 the i-th column and i-th row of the representation matrix.

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E.g., in  $S_3$ :

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$$D((23)) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \qquad D((123)) = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

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## Example: A Faithful Representation of $S_n$

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- The defining representation of  $S_n$  is *n*-dimensional.
- This representation is faithful.

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Representations also work for continuous groups!

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Let  $G = \{R(\phi), 0 \le \phi < 2\pi\}$  be the group of continuous rotations in the xy-plane ( $V_2$ ) about the origin.

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**Representation:** Let X be a representation of G on  $V_2$  with<sup>1</sup>

$$X(\phi)\mathbf{e}_1 = \mathbf{e}_1 \cdot \cos \phi + \mathbf{e}_2 \cdot \sin \phi$$
  
$$X(\phi)\mathbf{e}_2 = -\mathbf{e}_1 \cdot \sin \phi + \mathbf{e}_2 \cdot \cos \phi$$

 $<sup>{}^{1}\</sup>mathbf{e}_{1}$  and  $\mathbf{e}_{2}$  are orthonormal basis vectors of  $V_{2}$ .

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# **Thoughts**

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### Question

How do we classify representations of a group?

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Two representations are equivalent if they are related by a similarity transformation.

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If two representations are equivalent, then their matrix forms have the same *trace*.

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- If two representations have the same character for all  $q \in G$ , then they are equivalent.
- We can use characters to classify representations.

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A representation X(G) on V is irreducible if there is no non-trivial invariant subspace<sup>2</sup> in V with respect to X(G). Otherwise, X(G) is *reducible*.

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- A reducible representation can be decomposed into a direct sum of irreducible representations.
- The decomposition of a representation into irreducibles is unique up to equivalence.

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# **Example: Irreducible Representation of 2D Rotations**

**Note:** The subspace spanned by  $\mathbf{e}_1$  (or  $\mathbf{e}_2$ ) is *not* invariant under rotations!

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## Invariance of e+

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## Decomposition of X

The span of each  $\mathbf{e}_{\perp}$  is an X-invariant subspace of  $V_2$ . In this basis, we rewrite X as a direct sum of the 1D irreducible representations3:

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$$X(\phi) = \begin{bmatrix} e^{i\phi} & 0 \\ 0 & e^{-i\phi} \end{bmatrix}.$$

<sup>&</sup>lt;sup>3</sup>1-dimensional representations are always irreducible!

Introduction to Representation Theory

Let  $X: G \to V$  and  $Y: G \to W$  be irreducible representations of a group G. If there exists a fixed linear transformation  $T: V \to W$  such that TX(g) = Y(g)T for all  $g \in G$ , then T is either the zero map or invertible.

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## Proof (sketch)

**1.** The kernel of T is invariant under X(G).

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- **1.** The kernel of T is invariant under X(G).
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- **1.** The kernel of T is invariant under X(G).
- **2.** The image of T is invariant under Y(G).
- 3. Since X and Y are irreducible,  $ker(T) = \{0\}$  and im(T) = V or ker(T) = V and  $im(T) = \{0\}.$

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- **1.** The kernel of T is invariant under X(G).
- **2.** The image of T is invariant under Y(G).
- 3. Since X and Y are irreducible,  $ker(T) = \{0\}$  and im(T) = V or ker(T) = V and  $im(T) = \{0\}.$
- **4.** By the rank-nullity theorem, conclude that *T* is either the zero map or invertible.

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Let X be an irreducible representation of a group G and T a linear operator that commutes with all X(g) for  $g \in G$ . Then T is a scalar multiple of the identity operator.

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# Schur's Lemma's (pt. 2)

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Let X be an irreducible representation of a group G and T a linear operator that commutes with all X(q) for  $q \in G$ . Then T is a scalar multiple of the identity operator.

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## Proof (sketch)

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- **1.** Consider  $\lambda$  to be an eigenvalue of T.
- **2.** Then  $T \lambda I$  is not invertible.

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- **1.** Consider  $\lambda$  to be an eigenvalue of T.
- **2.** Then  $T \lambda I$  is not invertible.
- **3.** By assumption,  $(T \lambda I)X(g) = X(g)(T \lambda I)$  for all  $g \in G$ .

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- **3.** By assumption,  $(T \lambda I)X(g) = X(g)(T \lambda I)$  for all  $g \in G$ .
- **4.** By previous lemma,  $T \lambda I = 0 \implies T = \lambda I$ .

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- 1. Fix  $h \in G$ .
- **2.** Since *G* is abelian, X(h)X(g) = X(g)X(h) for all  $g \in G$ .
- **3.** Schur's second lemma implies  $X(h) = \lambda_h I$  for some scalar  $\lambda_h$ .

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- **4.** The element h was arbitrary, so  $X(q) = \lambda_q I$  for all  $q \in G$ .

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- **4.** The element h was arbitrary, so  $X(q) = \lambda_q I$  for all  $q \in G$ .
- **5.** X(G) is equivalent to the representation  $g \mapsto \lambda_g$  for all  $g \in G$ .

# Consequence of Schur's Lemmas

## Corollary

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- **4.** The element h was arbitrary, so  $X(q) = \lambda_q I$  for all  $q \in G$ .
- **5.** X(G) is equivalent to the representation  $g \mapsto \lambda_g$  for all  $g \in G$ .
- 6. One-dimensional representations are irreducible.

# **A Note About Irreducibility**

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## How does this help in physics?

Irreducible representations can describe symmetries of physical systems with remarkably fundamental implications.

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2 Examples in Physics

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# **Properties of 2D Rotations**

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Introduction to Representation Theory

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This special property is summarized by noting det  $R(\phi) = 1$  for all  $\phi \in [0, 2\pi)$ .

### **Definition**

The special orthogonal group in two dimensions, denoted SO(2), is the group of all  $2 \times 2$ orthogonal matrices with determinant equal to +1.5

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- We call J the *generator* of SO(2) rotations.

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#### Theorem

The single-valued irreducible representations of SO(2) are defined as

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Introduction to Representation Theory

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### **Definition**

Introduction to Representation Theory

The special orthogonal group in three dimensions, denoted SO(3), is the group of all  $3 \times 3$ orthogonal matrices with determinant equal to +1. SO(3) rotations are generated by the components of the Hermitian generator  $\mathbf{J} = [J_x, J_y, J_z]^{\top}$ .

Using a similar process to generate SO(3) invariant subspaces that correspond to irreducible representations, we summarize the results in a theorem:

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Introduction to Representation Theory

The irreducible representations of SO(3) are labeled by  $j = 0, \frac{1}{2}, 1, \frac{3}{2}, 2, \dots$ , and the 2j + 1eigenvectors spanning an invariant subspace are labelled by their eigenvalues:  $m = -i, -i + 1, \ldots, i - 1, j.$ 

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- ► This generalizes to other types of angular momentum, such as *spin angular momentum!*

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## **Connection to Quantum Mechanics: Punchline**

## **Discretization of Angular Momentum for Free**

Introduction to Representation Theory

Arguably the most defining characteristic of quantum mechanics is that classically measurable quantities become discretized (quantized) when observed on the quantum scale. Without any physical motivation, the irreducible representations of SO(3) gave it to us for free!

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But that's not all folks!

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- 2. Conservation of angular momentum is a direct result of the radial symmetry of the system.
- 3. Similar arguments can be made for the continuous group of translations in space, leading to the conservation of linear momentum for translationally invariant systems.

1. The j = 1/2 irreducible representation of SO(3) describes fermions. A modified periodicity condition due to the half-integer representation leads to spinors!

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The Braid Group

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# **Additional Applications**

Introduction to Representation Theory

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This is the tip of the iceberg!



The Braid Group

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## Definition

Introduction to Representation Theory

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The Braid Group

#### **Definition**

The braid group  $B_n$  is the (fundamental) group of all complex-valued n-tuples  $(M_n)$  up to homotopy.

<sup>&</sup>lt;sup>8</sup>The topological formalisms that define the braid group are omitted for times sake.

### **Visualization of Braids**

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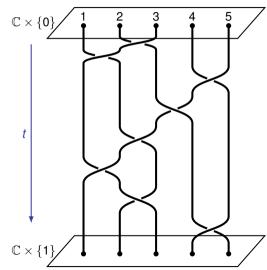
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### Braid on 5 strands.

The Braid Group



### **Standard Generators**

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The Braid Group

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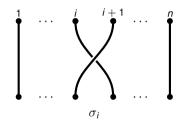
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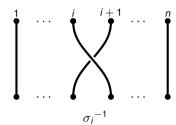
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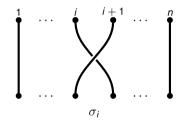


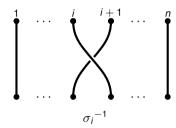
Introduction to Representation Theory

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The Braid Group

▶ The standard generators of  $B_n$  are defined as  $\{\sigma_1, \sigma_2, \dots, \sigma_{n-1}\}$ , in which:





▶ The <u>degree</u> of a braid  $\beta \in B_n$  is the sum of the powers of the standard generators in the decomposition of  $\beta$ .

## Alternative Description of $B_n$

#### **Definition**

The braid group on n strands, denoted  $B_n$ , is generated by the standard generators that follow the *braid relations*, summarized below:

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$$B_n = \left\langle \sigma_1, \ldots, \sigma_{n-1} \middle| \begin{array}{l} \sigma_i \sigma_j = \sigma_j \sigma_i, \ |i-j| > 1 \\ \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \end{array} \right\rangle.$$

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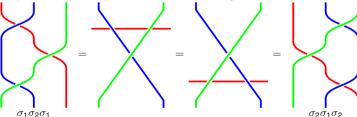
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**Comment:**  $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$  is known as the *Yang-Baxter equation*, visualized below:



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Introduction to Representation Theory

For  $\theta \in \mathbb{R}$  and  $j = 1, 2, \dots, n-1$ , we define some *one-dimensional representations* of  $B_n$ :

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These representations are abelian

The Braid Group

$$p_{ heta}: B_n o \mathbb{C}_{|z|=1}$$
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The Braid Group

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The Braid Group

These representations are *abelian*:

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Hence, for any  $\beta \in B_n$  with degree k:

Examples in Physics

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$$p_{\theta}(\beta) = p_{\theta}(\sigma_1^{m_1}\sigma_2^{m_2}\cdots\sigma_{n-1}^{m_{n-1}}) = e^{i\theta(m_1+m_2+\cdots+m_{n-1})} = e^{ik\theta}.$$

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# **Unitary Representation of the Braid Group**

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A matrix  $M \in GL_n(\mathbb{C})$  is *unitary* if  $M^{\dagger} = M^{-1}$ .

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Introduction to Representation Theory

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The Braid Group

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Define the unitary representation  $\mathcal{U}: B_3 \to U(2)$  by

$$\mathcal{U}(\sigma_1) = rac{1}{2}e^{-irac{\pi}{6}}egin{bmatrix} \sqrt{3}\,e^{i\,\mathsf{arctan}\left(rac{1}{\sqrt{2}}
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# **Nonabelian Characteristics of the Unitary Representation**

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Introduction to Representation Theory

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#### Question

What are the physical implications of this nonabelian unitary representation?

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Introduction to Representation Theory

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**Answer:** Unitary matrices preserve inner products, so the unitary representations of the braid group can act on a quantum system by braiding particles!



4 Physical Applications of the Braid Group

# (Abelian) Braiding Action on a Quantum System

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**1D Representation:** Let  $p_{\theta}: B_n \to \mathbb{C}$  be defined by  $\sigma_i \mapsto e^{i\theta}$  for some  $\theta$ , for all j.

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Introduction to Representation Theory

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The Braid Group

**Braiding action:** For any degree-k braid  $\beta \in B_n$ , we have

$$\psi(r_{1'}, r_{2'}, \ldots, r_{n'}) = p_{\theta}(\beta) \, \psi(r_1, r_2, \ldots, r_n) = \underbrace{e^{ik\theta}}_{\substack{\text{phase} \\ \text{shift}}} \psi(r_1, r_2, \ldots, r_n),$$

**2D Representation:** Consider the  $2 \times 2$  unitary representation  $\mathcal{U}$  from before.

# (Nonabelian) Braiding Action on a Quantum System

**2D Representation:** Consider the 2  $\times$  2 unitary representation  $\mathcal{U}$  from before.

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Examples in Physics

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$$\begin{split} |1'\rangle &= \mathcal{U}(\sigma_1)_{1,1} \, |1\rangle + \mathcal{U}(\sigma_1)_{1,2} \, |2\rangle = \frac{1}{2} e^{-i\frac{\pi}{6}} \left( \sqrt{3} \, e^{i \operatorname{\mathsf{arctan}}\left(\frac{1}{\sqrt{2}}\right)} \, |1\rangle + |2\rangle \right), \\ |2'\rangle &= \mathcal{U}(\sigma_1)_{2,1} \, |1\rangle + \mathcal{U}(\sigma_1)_{2,2} \, |2\rangle = \frac{1}{2} e^{-i\frac{\pi}{6}} \left( |1\rangle - \sqrt{3} \, e^{-i \operatorname{\mathsf{arctan}}\left(\frac{1}{\sqrt{2}}\right)} \, |2\rangle \right). \end{split}$$

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#### Remark

The action of a nonabelian braid group representation on a quantum system leads to *nontrivial* rotations in the many-particle Hilbert space that describes the quantum system<sup>9</sup>.

<sup>&</sup>lt;sup>9</sup>Nayak et al., 2008, Non-abelian anyons and topological quantum computation, Reviews of Modern Physics

#### **Definition**

Particles that obey the braid group permutation rules are known as *anyons*.

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Introduction to Representation Theory

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The Braid Group

► Two types of anyons:

### **Anyons: A Consequence of Braiding**

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  - 2. Nonabelian anyons: The braid group representation is nonabelian.
- Edge cases: bosons and fermions.

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Introduction to Representation Theory

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The Braid Group





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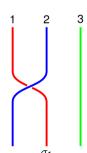
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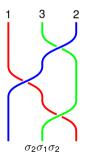
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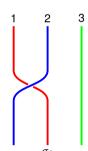
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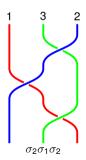
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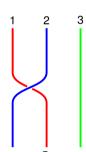
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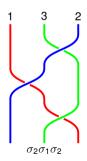
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Introduction to Representation Theory

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$$V(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) = \frac{1}{2}m\omega^2\left(\mathbf{r}_1^2 + \mathbf{r}_2^2 + \dots + \mathbf{r}_N^2\right)$$

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### Interpreting the *N*-anyon Hamiltonian

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The Braid Group

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Examples in Physics

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#### Question

Why is this useful?

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The Braid Group

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The Braid Group

 Certain nonabelian anyons (defined by specific fusion rules) are alleged to enable fault-tolerant quantum computers, and is an ongoing area of research.

# **Summary**

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Thank you for your attention!

### SO(3) Calculations (pt. 1)

The state  $|\phi\rangle$  can be decomposed into a linear combination of the eigenvectors of *J*:

$$|\phi\rangle = \left(\sum_{m} |m\rangle \langle m|\right) |\phi\rangle = \sum_{m} \langle m|\phi\rangle |m\rangle,$$

where

$$\langle m|\phi\rangle = \langle m|U(\phi)|\mathcal{O}\rangle = \langle U^{\dagger}(\phi)m|\mathcal{O}\rangle = \langle e^{im\phi}m|\mathcal{O}\rangle = e^{-im\phi}\langle m|\mathcal{O}\rangle$$

is the projection of  $|\phi\rangle$  onto the eigenvector  $|m\rangle$  of J. Thus.

$$\begin{split} J|\phi\rangle &= \sum_{m} e^{-im\phi} J|m\rangle = \sum_{m} m e^{-im\phi} |m\rangle = \sum_{m} i \frac{\partial}{\partial \phi} \left( e^{-im\phi} |m\rangle \right) = i \frac{\partial}{\partial \phi} |\phi\rangle \\ &\Longrightarrow \langle \phi|J|\psi\rangle = \langle J^{\dagger}\phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \langle \phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \psi(\phi). \end{split}$$

### SO(3) Calculations (pt. 2)

$$\phi = \arctan\left(\frac{y}{x}\right)$$

$$\implies \frac{\partial}{\partial \phi} = (\mathbf{r} \times \nabla) \cdot \mathbf{e}_z \implies J = -i\frac{\partial}{\partial \phi} = -i(\mathbf{r} \times \nabla) \cdot \mathbf{e}_z = \frac{1}{\hbar}\hat{\mathcal{L}}_z \implies \hat{\mathbf{L}} = \hat{\mathbf{r}} \times \hat{\mathbf{p}}$$

$$\hat{\mathbf{p}} = -i\nabla \implies \hat{\mathcal{L}}_z = x\hat{p}_y - y\hat{p}_x$$

$$\hat{\mathcal{H}} = \frac{\hat{\mathbf{p}}^2}{2m} + \hat{V}(\mathbf{r}), \quad [V(\mathbf{r}), \hat{\mathcal{L}}_z] = 0, \quad [\hat{\mathbf{p}}^2, \hat{\mathcal{L}}_z] = 0 \implies [\hat{\mathcal{H}}, \hat{\mathcal{L}}_z] = 0,$$

where the last line easily generalizes to  $\hat{\mathbf{L}}$ .

### Lie Algebra

$$J^{2} |j\rangle = (J_{-}J_{+} + J_{z} + J_{z}^{2}) |j\rangle = (0 + j + j^{2}) |j\rangle = j(j + 1) |j\rangle,$$

$$J^{2} |j, m\rangle = j(j + 1) |j, m\rangle,$$

$$J_{z} |j, m\rangle = m |j, m\rangle,$$

$$J_{\pm} |j, m\rangle = \sqrt{j(j + 1) - m(m \pm 1)} |j, m \pm 1\rangle.$$