Representation Theory and its Applications in Physics

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Presented by

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Outline:

- 1. Introduction to Representation Theory
- 2. Examples in Physics
- 3. The Braid Group
- 4. Physical Applications of the Braid Group



1 Introduction to Representation Theory

Introduction to Representation Theory

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Let G be a group. A representation of G is a homomorphism from G to a group of operators on a linear vector space *V*. The dimension of *V* is the *dimension* or *degree* of the representation.

The Braid Group

Introduction to Representation Theory

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$$g \in G \xrightarrow{X} X(g),$$

where X(g) is an operator on the V.

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where X(a) is an operator on the V.

Remark

If V is finite-dimensional with basis $\{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$, then X(g) can be realized as an $n \times n$ matrix.

Introduction to Representation Theory

Properties of Representations

Group Multiplication

Introduction to Representation Theory

Representations are group morphisms, so they satisfy the group multiplication rule:

$$X(gh) = X(g)X(h), \quad \forall g, h \in G.$$

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- 1. X(e) = I, where e is the identity element of the group and I is the identity operator.
- **2.** In the matrix presentation of X, X(g) is invertible for all $g \in G$.

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If a representation is injective, then it is a *faithful representation*.

Introduction to Representation Theory

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E.g., in S_3 :

Introduction to Representation Theory

$$D((23)) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \qquad D((123)) = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

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Example: A Faithful Representation of S_n

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- The defining representation of S_n is *n*-dimensional.
- This representation is faithful.

Introduction to Representation Theory

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Introduction to Representation Theory

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 $^{{}^{1}\}mathbf{e}_{1}$ and \mathbf{e}_{2} are orthonormal basis vectors of V_{2} .

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Introduction to Representation Theory

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- Irreducible representations are the building blocks of all representations.
- A reducible representation can be decomposed into a direct sum of irreducible representations.
- The decomposition of a representation into irreducibles is unique up to equivalence.

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Note: The subspace spanned by \mathbf{e}_1 (or \mathbf{e}_2) is *not* invariant under rotations!

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Example: Irreducible Representation of 2D Rotations

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Invariance of e+

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Let
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Decomposition of X

The span of each \mathbf{e}_{\perp} is an X-invariant subspace of V_2 . In this basis, we rewrite X as a direct sum of the 1D irreducible representations3:

$$X(\phi) = egin{bmatrix} e^{i\phi} & 0 \ 0 & e^{-i\phi} \end{bmatrix}.$$

³1-dimensional representations are always irreducible!

Schur's Lemmas (pt. 1)

Lemma

Let $X: G \to V$ and $Y: G \to W$ be irreducible representations of a group G. If there exists a fixed linear transformation $T: V \to W$ such that TX(g) = Y(g)T for all $g \in G$, then T is either the zero map or invertible.

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- **1.** The kernel of T is invariant under X(G).
- **2.** The image of T is invariant under Y(G).
- 3. Since X and Y are irreducible, $ker(T) = \{0\}$ and im(T) = V or ker(T) = V and $im(T) = \{0\}.$

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- **2.** The image of T is invariant under Y(G).
- 3. Since X and Y are irreducible, $ker(T) = \{0\}$ and im(T) = V or ker(T) = V and $im(T) = \{0\}.$
- **4.** By the rank-nullity theorem, conclude that T is either the zero map or invertible.

Schur's Lemma's (pt. 2)

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Let X be an irreducible representation of a group G and T a linear operator that commutes with all X(g) for $g \in G$. Then T is a scalar multiple of the identity operator.

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Let X be an irreducible representation of a group G and T a linear operator that commutes with all X(q) for $q \in G$. Then T is a scalar multiple of the identity operator.

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- **4.** By previous lemma, $T \lambda I = 0 \implies T = \lambda I$.

Introduction to Representation Theory

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- 1. Fix $h \in G$.
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- **3.** Schur's second lemma implies $X(h) = \lambda_h I$ for some scalar λ_h .

Consequence of Schur's Lemmas

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- **5.** X(G) is equivalent to the representation $g \mapsto \lambda_g$ for all $g \in G$.

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- **5.** X(G) is equivalent to the representation $g \mapsto \lambda_g$ for all $g \in G$.
- 6. One-dimensional representations are irreducible.

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A Note About Irreducibility

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The Braid Group

- Direct sums
- Tensor products
- Complex conjugation⁴
- Similarity transforms

How does this help in physics?

Irreducible representations can describe symmetries of physical systems with remarkably fundamental implications.

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2 Examples in Physics

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Definition

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Rotation matrices are orthogonal:

$$R(\phi)R^{\top}(\phi) = \begin{bmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{bmatrix} \begin{bmatrix} \cos \phi & \sin \phi \\ -\sin \phi & \cos \phi \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I$$

Properties of 2D Rotations

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Rotations preserve vector lengths:

$$R(\phi)\mathbf{x} = \begin{bmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} x_1 \cos \phi - x_2 \sin \phi \\ x_1 \sin \phi + x_2 \cos \phi \end{bmatrix} \implies |R(\phi)\mathbf{x}|^2 = |\mathbf{x}|^2.$$

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This special property is summarized by noting det $R(\phi) = 1$ for all $\phi \in [0, 2\pi)$.

Definition

Introduction to Representation Theory

The special orthogonal group in two dimensions, denoted SO(2), is the group of all 2×2 orthogonal matrices with determinant equal to +1.5

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- ▶ The periodicity condition $R(\phi + 2\pi) = R(\phi)$ is satisfied.
- The *identity element* is R(0) = I.
- ▶ SO(2) is abelian: $R(\phi_1)R(\phi_2) = R(\phi_1 + \phi_2) = R(\phi_2)R(\phi_1)$.

Definition

Introduction to Representation Theory

The special orthogonal group in two dimensions, denoted SO(2), is the group of all 2×2 orthogonal matrices with determinant equal to +1.5

The Braid Group

- ▶ The periodicity condition $R(\phi + 2\pi) = R(\phi)$ is satisfied.
- The *identity element* is R(0) = I.
- ▶ SO(2) is abelian: $R(\phi_1)R(\phi_2) = R(\phi_1 + \phi_2) = R(\phi_2)R(\phi_1)$.
- \triangleright SO(2) is *reducible* (earlier example with \mathbf{e}_{+}).

⁵For all intents and purposes, SO(2) is *R* from before.

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▶ There are two ways to interpret $R(\phi + d\phi)$:

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- ▶ With R(0) = I boundary condition: $|R(\phi)| = e^{-i\phi J}|$.
- We call J the *generator* of SO(2) rotations.

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To first order in
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Introduction to Representation Theory

Recovering the Rotation Matrix from J

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Irreducible Representations of SO(2)

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Introduction to Representation Theory

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Introduction to Representation Theory

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5. Periodicity of SO(2) $\implies e^{-i2\pi m} = 1 \implies m \in \mathbb{Z}$.

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Theorem

The single-valued irreducible representations of SO(2) are defined as

$$U^m(\phi) = e^{-im\phi}, \ \forall \ m \in \mathbb{Z}.$$

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Consequence: Any rotation in Euclidean 3-space can be written in terms of the generators:

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Introduction to Representation Theory

The special orthogonal group in three dimensions, denoted SO(3), is the group of all 3×3 orthogonal matrices with determinant equal to +1. SO(3) rotations are generated by the components of the Hermitian generator $\mathbf{J} = [J_x, J_y, J_z]^{\top}$.

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Introduction to Representation Theory

The irreducible representations of SO(3) are labeled by $j=0,\frac{1}{2},1,\frac{3}{2},2,\ldots$, and the 2j+1eigenvectors spanning an invariant subspace are labelled by their eigenvalues: $m = -i, -i + 1, \ldots, i - 1, j.$

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▶ One can obtain the explicit form of **J** and subsequently its components J_x , J_y , J_z . These are precisely the angular momentum operators in quantum mechanics.

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Connection to Quantum Mechanics

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- ► The eigenvalues of these operators correspond to the measurable angular momenta of the quantum system.
- Quantum spin is a property that is labeled by j and has possible spin states $|m\rangle$.

Connection to Quantum Mechanics: Punchline

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Introduction to Representation Theory

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Discretization of Angular Momentum for Free

Arguably the most defining characteristic of quantum mechanics is that classically measurable quantities become discretized (quantized) when observed on the quantum scale. Without any physical motivation, the irreducible representations of SO(3) gave it to us for free!

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But that's not all folks!

Introduction to Representation Theory

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Theorem (Ehrenfest)

Introduction to Representation Theory

If a time-independent Hermitian operator commutes with the Hamiltonian, then the physical observable corresponding to the operator is conserved.

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- **1.** Any system with radial symmetry is invariant under SO(3) rotations, so $[\hat{H}, \mathbf{J}] = 0$.
- 2. Conservation of angular momentum is a direct result of the radial symmetry of the system.
- 3. Similar arguments can be made for the continuous group of translations in space, leading to the conservation of linear momentum for translationally invariant systems.

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This is the tip of the icebera!



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Definition

The *configuration space* of *n* ordered distinct points in the complex plane \mathbb{C} is defined as $M_n = \{(z_1, \dots, z_n) \in \mathbb{C}^n; z_i \neq z_i, \forall i \neq j\}.$

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- ▶ A braid β is a loop⁷ in M_n and can be thought of as a configuration that evolves over time:

$$eta: [0,1] o M_n$$

$$t \mapsto eta(t) = ig(eta_1(t), eta_2(t), \dots, eta_n(t)ig),$$

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The Braid Group

Definition

The braid group B_n is the (fundamental) group of all complex-valued n-tuples (M_n) up to homotopy.

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Visualization of Braids

► Each path traced out by a point in the configuration space is a strand.

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Introduction to Representation Theory

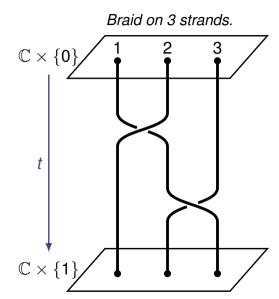
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Introduction to Representation Theory

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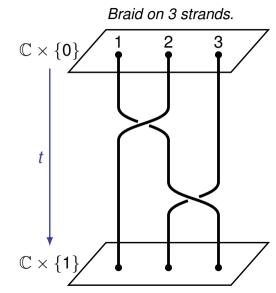
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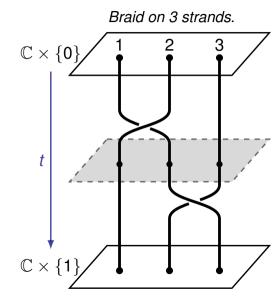
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Standard Generators

▶ Every braid can be decomposed into a finite product of *standard generators* that permute adjacent points.

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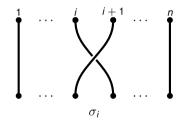
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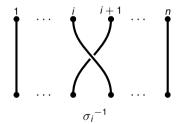
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The Braid Group

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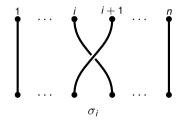


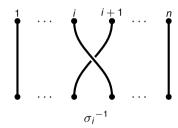


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The Braid Group

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▶ The <u>degree</u> of a braid $\beta \in B_n$ is the sum of the powers of the standard generators in the decomposition of β .

Alternative Description of B_n

Definition

The braid group on n strands, denoted B_n , is generated by the standard generators that follow the *braid relations*, summarized below:

$$B_n = \left\langle \sigma_1, \ldots, \sigma_{n-1} \middle| \begin{array}{l} \sigma_i \sigma_j = \sigma_j \sigma_i, \ |i-j| > 1 \\ \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \end{array} \right\rangle.$$

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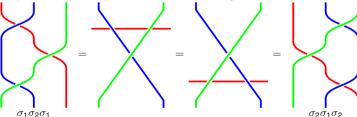
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Comment: $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$ is known as the *Yang-Baxter equation*, visualized below:



One-Dimensional Representations of the Braid Group

The Braid Group

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Introduction to Representation Theory

For $\theta \in \mathbb{R}$ and $j = 1, 2, \dots, n-1$, we define some *one-dimensional representations* of B_n :

The Braid Group

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$$ho_{ heta}: B_n
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$$p_{\theta}(\beta) = p_{\theta}(\sigma_1^{m_1}\sigma_2^{m_2}\cdots\sigma_{n-1}^{m_{n-1}}) = e^{i\theta(m_1+m_2+\cdots+m_{n-1})} = e^{ik\theta}.$$

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Unitary Representation of the Braid Group

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Definition

A matrix $M \in GL_n(\mathbb{C})$ is *unitary* if $M^{\dagger} = M^{-1}$.

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Introduction to Representation Theory

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The Braid Group 00000000

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The Braid Group

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Definition

Define the unitary representation $\mathcal{U}: B_3 \to U(2)$ by

$$\mathcal{U}(\sigma_1) = rac{1}{2}e^{-irac{\pi}{6}}egin{bmatrix} \sqrt{3}\,e^{i\,\mathsf{arctan}\left(rac{1}{\sqrt{2}}
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Nonabelian Characteristics of the Unitary Representation

The Braid Group

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The Braid Group 0000000

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Nonabelian Characteristics of the Unitary Representation

The Braid Group

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Introduction to Representation Theory

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The Braid Group

Question

What are the physical implications of this nonabelian unitary representation?

Answer: Unitary matrices preserve inner products, so the unitary representations of the braid group can act on a quantum system by braiding particles!



4 Physical Applications of the Braid Group

(Abelian) Braiding Action on a Quantum System

(Abelian) Braiding Action on a Quantum System

Introduction to Representation Theory

1D Representation: Let $p_{\theta}: B_n \to \mathbb{C}$ be defined by $\sigma_i \mapsto e^{i\theta}$ for some θ , for all j.

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The Braid Group

Braiding action: For any degree-k braid $\beta \in B_n$, we have

$$\psi(r_{1'}, r_{2'}, \ldots, r_{n'}) = p_{\theta}(\beta) \, \psi(r_1, r_2, \ldots, r_n) = \underbrace{e^{ik\theta}}_{\substack{\text{phase} \\ \text{shift}}} \psi(r_1, r_2, \ldots, r_n),$$

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(Nonabelian) Braiding Action on a Quantum System

Examples in Physics

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$$\begin{split} |1'\rangle &= \mathcal{U}(\sigma_1)_{1,1} \, |1\rangle + \mathcal{U}(\sigma_1)_{1,2} \, |2\rangle = \frac{1}{2} e^{-i\frac{\pi}{6}} \left(\sqrt{3} \, e^{i \operatorname{\mathsf{arctan}}\left(\frac{1}{\sqrt{2}}\right)} \, |1\rangle + |2\rangle \right), \\ |2'\rangle &= \mathcal{U}(\sigma_1)_{2,1} \, |1\rangle + \mathcal{U}(\sigma_1)_{2,2} \, |2\rangle = \frac{1}{2} e^{-i\frac{\pi}{6}} \left(|1\rangle - \sqrt{3} \, e^{-i \operatorname{\mathsf{arctan}}\left(\frac{1}{\sqrt{2}}\right)} \, |2\rangle \right). \end{split}$$

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The Braid Group

Remark

The action of a nonabelian braid group representation on a quantum system leads to nontrivial rotations in the many-particle Hilbert space that describes the quantum system8.

⁸Nayak et al., 2008, Non-abelian anyons and topological quantum computation, Reviews of Modern Physics

The Braid Group

Definition

Particles that obey the braid group permutation rules are known as *anyons*.

The Braid Group

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Introduction to Representation Theory

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- Edge cases: bosons and fermions.

Recall: A braid is only well-defined if all particle trajectories are known.

The Braid Group

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Consequences:

Introduction to Representation Theory

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The Braid Group





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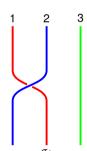
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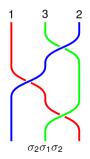
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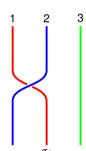
1D representation:

$$\sigma_1 \mapsto e^{i\theta}$$
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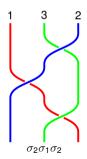
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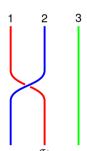
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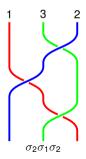
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The Braid Group









Physical Implications of Nontrivial Braiding Effects

Introduction to Representation Theory

▶ The fractional quantum Hall effect is a physical manifestation of anyonic braiding in 2D electron systems (fractional charge, fractional statistics).

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The Braid Group

Anyons can have different topological flavors, leading to special fusion rules that can be used to describe the behavior of anyonic systems.

Physical Implications of Nontrivial Braiding Effects

▶ The fractional quantum Hall effect is a physical manifestation of anyonic braiding in 2D electron systems (fractional charge, fractional statistics).

- Anyons can have different topological flavors, leading to special fusion rules that can be used to describe the behavior of anyonic systems.
- Specific fusion rules + nonabelian anyons = fault-tolerant topological quantum computer. This is an ongoing area of research.

Main Takeaways:

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1. Representation theory is a powerful tool that can be used to obtain fundamental results in quantum mechanics and beyond.

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Introduction to Representation Theory

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Thank you for your attention!

Consider two identical non-interacting anyons with positions $\mathbf{r}_1 = (x_1, y_1)$ and $\mathbf{r}_2 = (x_2, y_2)$ in a harmonic potential. Let $\phi = \arctan\left(\frac{y_2 - y_1}{x_2 - x_1}\right)$ be the relative angle between the two anyons and $\dot{\phi} = \frac{d\phi}{dt}$.

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Classical Kinetic Energy: $T = \frac{1}{2}m(\dot{\mathbf{r}}_1^2 + \dot{\mathbf{r}}_2^2)$

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Generalize to *N* anyons: Let $\phi_{ij} = \arctan\left(\frac{y_i - y_i}{x_i - x_i}\right)$,

$$\mathcal{L} = \sum_{i=1}^{N} \frac{m}{2} \dot{\mathbf{r}}_{i}^{2} + \hbar \alpha \sum_{i \neq j}^{N} \dot{\phi}_{ij} - \frac{m\omega^{2}}{2} \sum_{i=1}^{N} \mathbf{r}_{i}^{2}$$

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$$\mathcal{H}_i = \frac{1}{2m} \left(\underbrace{\mathbf{p}_i - \mathbf{A}_i(\mathbf{r}_i)}_{\text{canonical parameter}} \right)^2 + \frac{m\omega^2}{2} r_i^2$$

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$$\mathbf{N} = \mathbf{2}: \quad \frac{\alpha^2}{2m} \sum_{\substack{j=1\\j,k \neq i}}^2 \frac{\mathbf{r}_{ij} \cdot \mathbf{r}_{ik}}{r_{ij}^2 r_{ik}^2} = \frac{\alpha^2}{2m} \left(\frac{\mathbf{r}_{12} \cdot \mathbf{r}_{12}}{r_{12}^2 r_{12}^2} + \frac{\mathbf{r}_{21} \cdot \mathbf{r}_{21}}{r_{21}^2 r_{21}^2} \right) = \frac{\alpha^2}{m r_{12}^2}$$

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Nontrivial braiding effects emerge from the *long-range interaction* term when $N \ge 3$.

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Question

Why is this useful?

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Definition

The Burau representation of the braid group B_n is defined on the standard generators:

$$\psi_n: \mathcal{B}_n \to \mathrm{GL}_n(\mathbb{Z}[t, t^{-1}])$$

$$\sigma_i \mapsto \begin{bmatrix} I_{i-1} & 0 & 0 \\ 0 & U & 0 \\ 0 & 0 & I_{n-i-1} \end{bmatrix}.$$

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The Burau representation satisfies the braid relations:

$$\psi_n(\sigma_i)\psi_n(\sigma_j) = \psi_n(\sigma_j)\psi_n(\sigma_i) \text{ for } |i-j| > 1,$$

$$\psi_n(\sigma_i)\psi_n(\sigma_{i+1})\psi_n(\sigma_i) = \psi_n(\sigma_{i+1})\psi_n(\sigma_i)\psi_n(\sigma_{i+1}) \text{ for } i \in \{1, \dots, n-2\}.$$

Notice:
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⇒ Burau representation is reducible!

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- **5.** Operators that are self-adjoint are called *Hermitian*.

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- ▶ The action of an operator *A* on a vector $|\psi\rangle$ is written as $|A\psi\rangle = A|\psi\rangle$.
- Equivalent ways to write the same thing:

$$\langle \mathbf{A}^{\dagger} \phi | \psi \rangle = \langle \phi | \mathbf{A} | \psi \rangle = \langle \phi | \mathbf{A} \psi \rangle.$$

Orthonormality, Completeness, and Wavefunctions

Definition

Let $\{\ket{1},\ket{2},\ket{3},\ldots\}$ be an orthonormal basis for some quantum Hilbert space. In the context of physics, the orthonormality and completeness relations of the basis vectors allow any state vector $\ket{\psi}$ to be written as a linear combination of the basis vectors:

$$|\psi\rangle = \left(\sum_{n} |n\rangle \langle n|\right) |\psi\rangle = \sum_{n} |n\rangle \langle n|\psi\rangle,$$

where $\sum_{n} |n\rangle \langle n|$ is the identity operator.

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Definition

For a continuous basis labelled by $|x\rangle$ where x is a continuous parameter, the *wavefunction* $\psi(x)$ is the projection: $\langle x|\psi\rangle=\psi(x)$.

SO(2) Explicit form of J

The state $|\phi\rangle$ can be decomposed into a linear combination of the eigenvectors of *J*:

$$|\phi\rangle = \left(\sum_{m} |m\rangle \langle m|\right) |\phi\rangle = \sum_{m} \langle m|\phi\rangle |m\rangle,$$

where

$$\langle m|\phi\rangle = \langle m|U(\phi)|\mathcal{O}\rangle = \langle U^{\dagger}(\phi)m|\mathcal{O}\rangle = \langle e^{im\phi}m|\mathcal{O}\rangle = e^{-im\phi}\langle m|\mathcal{O}\rangle$$

is the projection of $|\phi\rangle$ onto the eigenvector $|m\rangle$ of J.

Thus,

$$\begin{split} J|\phi\rangle &= \sum_{m} e^{-im\phi} J|m\rangle = \sum_{m} m e^{-im\phi} |m\rangle = \sum_{m} i \frac{\partial}{\partial \phi} \left(e^{-im\phi} |m\rangle \right) = i \frac{\partial}{\partial \phi} |\phi\rangle \\ &\Longrightarrow \langle \phi|J|\psi\rangle = \langle J^{\dagger}\phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \langle \phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \psi(\phi). \end{split}$$

SO(3) Invariance ⇒ Commute with Hamiltonian

$$\phi = \arctan\left(\frac{y}{x}\right)$$

$$\implies \frac{\partial}{\partial \phi} = (\mathbf{r} \times \nabla) \cdot \mathbf{e}_z \implies J = -i\frac{\partial}{\partial \phi} = -i(\mathbf{r} \times \nabla) \cdot \mathbf{e}_z = \frac{1}{\hbar}\hat{\mathcal{L}}_z \implies \hat{\mathbf{L}} = \hat{\mathbf{r}} \times \hat{\mathbf{p}}$$

$$\hat{\mathbf{p}} = -i\nabla \implies \hat{\mathcal{L}}_z = x\hat{p}_y - y\hat{p}_x$$

$$\hat{\mathcal{H}} = \frac{\hat{\mathbf{p}}^2}{2m} + \hat{V}(\mathbf{r}), \quad [V(\mathbf{r}), \hat{\mathcal{L}}_z] = 0, \quad [\hat{\mathbf{p}}^2, \hat{\mathcal{L}}_z] = 0 \implies [\hat{\mathcal{H}}, \hat{\mathcal{L}}_z] = 0,$$

where the last line easily generalizes to $\hat{\mathbf{L}}$.

From Invariant Subspace to the Lie Algebra

$$J^2 \ket{j} = (J_-J_+ + J_z + J_z^2)\ket{j} = (0 + j + j^2)\ket{j} = j(j+1)\ket{j},$$
 $J^2 \ket{j}, m\rangle = j(j+1)\ket{j}, m\rangle,$ $J_z \ket{j}, m\rangle = m\ket{j}, m\rangle,$ $J_{\pm} \ket{j}, m\rangle = \sqrt{j(j+1) - m(m\pm 1)}\ket{j}, m\pm 1\rangle,$ $[J_i, J_j] = i\epsilon_{ijk}J_k, \quad [J^2, J_j] = 0.$