

# Representation Theory and its Applications in Physics

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**Presented by**

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## Outline:

1. Introduction to Representation Theory
2. Examples in Physics
3. The Braid Group
4. Physical Applications of the Braid Group



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## **1 Introduction to Representation Theory**

# Definition of a Representation

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## Remark

If  $V$  is finite-dimensional with basis  $\{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$ , then  $X$  can be realized as an  $n \times n$  matrix.

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2. In the matrix presentation of  $X$ ,  $X(g)$  is invertible for all  $g \in G$ .

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- ▶ For groups with more than one element, the trivial representation is not injective, so we call it a *degenerate representation*.
- ▶ If a representation is injective, then it is a *faithful representation*.



# Example: A Faithful Representation of $S_n$

## Defining representation of $S_n$

The defining representation  $D$  of  $S_n$  encodes the action of the symmetric group on the standard basis of  $\mathbb{R}^n$ . If a permutation sends  $i$  to  $j$ , then place a 1 the  $i$ -th column and  $j$ -th row of the representation matrix.

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E.g., in  $S_3$ :

$$D((23)) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \quad D((123)) = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

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- ▶ The defining representation of  $S_n$  is  $n$ -dimensional.
- ▶ This representation is faithful.

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**Representation:** Let  $X$  be a representation of  $G$  on  $V_2$  with<sup>1</sup>

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## Question

How do we classify representations of a group?

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- ▶ If two representations have the same character for all  $g \in G$ , then they are equivalent.
- ▶ We can use characters to classify representations.

# Decomposing Representations

## Definition

A representation  $X(G)$  on  $V$  is *irreducible* if there is no non-trivial invariant subspace<sup>2</sup> in  $V$  with respect to  $X(G)$ . Otherwise,  $X(G)$  is *reducible*.

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- ▶ Irreducible representations are the building blocks of all representations.
- ▶ A reducible representation can be decomposed into a direct sum of irreducible representations.
- ▶ The decomposition of a representation into irreducibles is unique up to equivalence.

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## Invariance of $\mathbf{e}_{\pm}$

Let  $\mathbf{e}_{\pm} = \frac{1}{\sqrt{2}} (\mp \mathbf{e}_1 + i \mathbf{e}_2)$ . Then,  $X(\phi) \mathbf{e}_{\pm} = e^{\pm i \phi} \mathbf{e}_{\pm}$ .

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## Decomposition of $X$

The span of each  $\mathbf{e}_{\pm}$  is an  $X$ -invariant subspace of  $V_2$ . In this basis, we rewrite  $X$  as a direct sum of the 1D irreducible representations<sup>3</sup>:

$$X(\phi) = \begin{bmatrix} e^{i\phi} & 0 \\ 0 & e^{-i\phi} \end{bmatrix}.$$

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<sup>3</sup>1-dimensional representations are always irreducible!

# Schur's Lemmas (pt. 1)

## Lemma

*Let  $X : G \rightarrow V$  and  $Y : G \rightarrow W$  be irreducible representations of a group  $G$ . If there exists a fixed linear transformation  $T : V \rightarrow W$  such that  $TX(g) = Y(g)T$  for all  $g \in G$ , then  $T$  is either the zero map or invertible.*

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3. Since  $X$  and  $Y$  are irreducible,  $\ker(T) = \{\mathbf{0}\}$  and  $\text{im}(T) = V$  or  $\ker(T) = V$  and  $\text{im}(T) = \{0\}$ .

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4. By the rank-nullity theorem, conclude that  $T$  is either the zero map or invertible.

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## Lemma

*Let  $X$  be an irreducible representation of a group  $G$  and  $T$  a linear operator that commutes with all  $X(g)$  for  $g \in G$ . Then  $T$  is a scalar multiple of the identity operator.*

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4. By previous lemma,  $T - \lambda I = 0 \implies T = \lambda I$ .



# Consequence of Schur's Lemmas

## Corollary

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6. One-dimensional representations are irreducible.



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## How does this help in physics?

Irreducible representations can describe symmetries of physical systems with remarkably fundamental implications.

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## **2 Examples in Physics**

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This *special* property is summarized by noting  $\det R(\phi) = 1$  for all  $\phi \in [0, 2\pi)$ .

# The $SO(2)$ Group

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The *special orthogonal group* in two dimensions, denoted  $SO(2)$ , is the group of all  $2 \times 2$  orthogonal matrices with determinant equal to  $+1$ .<sup>5</sup>

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- ▶  $SO(2)$  is *reducible* (earlier example with  $\mathbf{e}_{\pm}$ ).

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- ▶ With  $R(0) = I$  boundary condition:  $R(\phi) = e^{-i\phi J}$ .
- ▶ We call  $J$  the *generator* of  $SO(2)$  rotations.

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## Theorem

*The single-valued irreducible representations of  $SO(2)$  are defined as*

$$U^m(\phi) = e^{-im\phi}, \forall m \in \mathbb{Z}.$$

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The *special orthogonal group* in three dimensions, denoted  $\text{SO}(3)$ , is the group of all  $3 \times 3$  orthogonal matrices with determinant equal to  $+1$ .  $\text{SO}(3)$  rotations are generated by the components of the Hermitian generator  $\mathbf{J} = [J_x, J_y, J_z]^T$ .

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- ▶ This generalizes to other types of angular momentum, such as *spin angular momentum*!

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But that's not all folks!

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*This is the tip of the iceberg!*



**CAL POLY**

### **3 The Braid Group**

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The *braid group*  $B_n$  is the (fundamental) group of all complex-valued  $n$ -tuples  $(M_n)$  up to *homotopy*.

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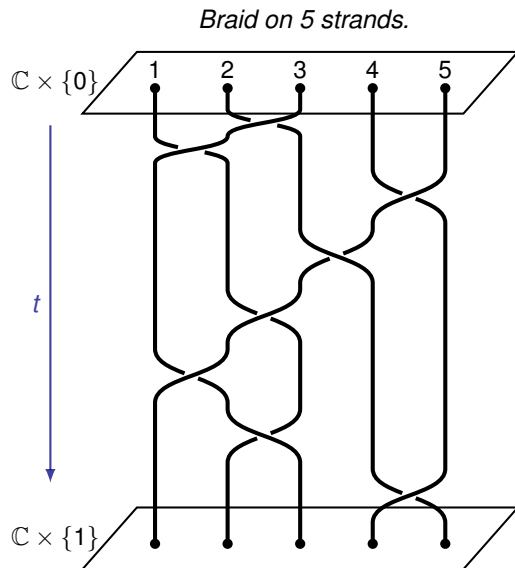
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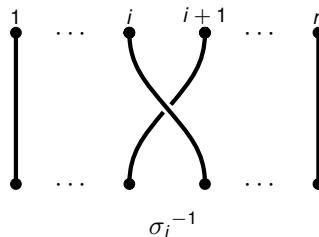
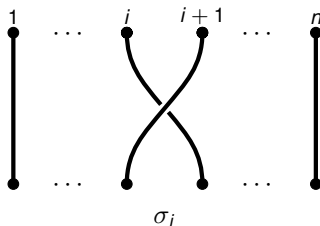
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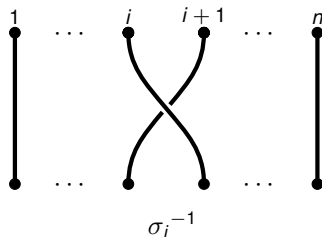
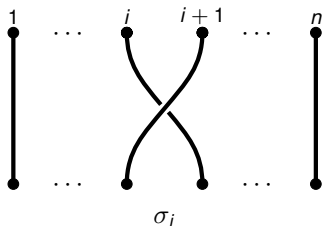
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- ▶ The *degree* of a braid  $\beta \in B_n$  is the sum of the powers of the standard generators in the decomposition of  $\beta$ .

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The braid group on  $n$  strands, denoted  $B_n$ , is generated by the standard generators that follow the *braid relations*, summarized below:

$$B_n = \left\langle \sigma_1, \dots, \sigma_{n-1} \left| \begin{array}{l} \sigma_i \sigma_j = \sigma_j \sigma_i, \quad |i - j| > 1 \\ \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \end{array} \right. \right\rangle.$$



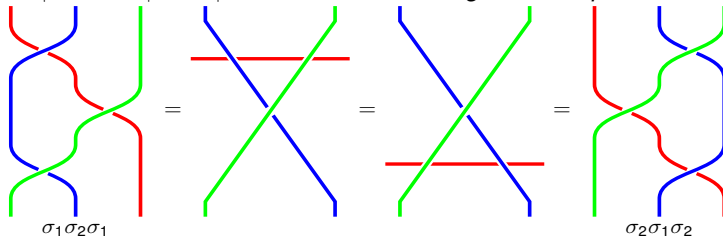
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**Comment:**  $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$  is known as the *Yang-Baxter equation*, visualized below:



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Define the unitary representation  $\mathcal{U} : B_3 \rightarrow U(2)$  by

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## Question

What are the physical implications of this nonabelian unitary representation?

# Nonabelian Characteristics of the Unitary Representation

## Observations:

1.  $[\mathcal{U}(\sigma_1), \mathcal{U}(\sigma_2)] \neq 0 \implies \mathcal{U} \text{ nonabelian.}$
2.  $\mathcal{U}(\sigma_i)^{-1} = \mathcal{U}(\sigma_i)^\dagger \neq \mathcal{U}(\sigma_i)$  for  $i = 1, 2$ .

**Consequence:**  $\sigma_1^2$  and  $\sigma_2^2$  are not the identity braid, which is in contrast to the permutation group where transpositions are involutory.

## Question

What are the physical implications of this nonabelian unitary representation?

**Answer:** Unitary matrices preserve inner products, so the unitary representations of the braid group can act on a quantum system by braiding particles!



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## **4 Physical Applications of the Braid Group**

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**Braiding action:** For any degree- $k$  braid  $\beta \in B_n$ , we have

$$\psi(r_{1'}, r_{2'}, \dots, r_{n'}) = p_\theta(\beta) \psi(r_1, r_2, \dots, r_n) = \underbrace{e^{ik\theta}}_{\substack{\text{phase} \\ \text{shift}}} \psi(r_1, r_2, \dots, r_n),$$

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**Braiding action:** The transformed basis states due to the action of  $\sigma_1$  are

$$\begin{aligned} |1'\rangle &= \mathcal{U}(\sigma_1)_{1,1} |1\rangle + \mathcal{U}(\sigma_1)_{1,2} |2\rangle = \frac{1}{2} e^{-i\frac{\pi}{6}} \left( \sqrt{3} e^{i\arctan\left(\frac{1}{\sqrt{2}}\right)} |1\rangle + |2\rangle \right), \\ |2'\rangle &= \mathcal{U}(\sigma_1)_{2,1} |1\rangle + \mathcal{U}(\sigma_1)_{2,2} |2\rangle = \frac{1}{2} e^{-i\frac{\pi}{6}} \left( |1\rangle - \sqrt{3} e^{-i\arctan\left(\frac{1}{\sqrt{2}}\right)} |2\rangle \right). \end{aligned}$$

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## Remark

The action of a nonabelian braid group representation on a quantum system leads to *nontrivial rotations* in the many-particle Hilbert space that describes the quantum system<sup>9</sup>.

<sup>9</sup>Nayak et al., 2008, Non-abelian anyons and topological quantum computation, *Reviews of Modern Physics*



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- ▶ Edge cases: *bosons* and *fermions*.

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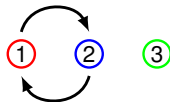
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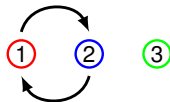
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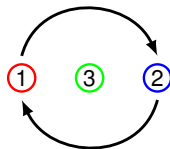
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Trajectory B



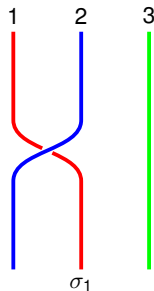
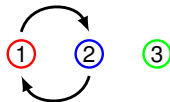
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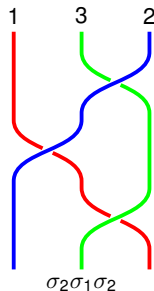
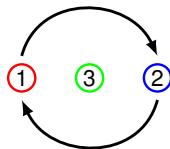
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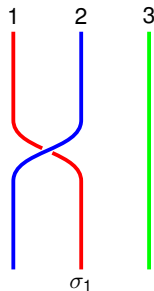
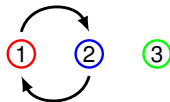
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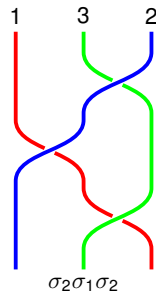
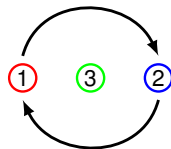
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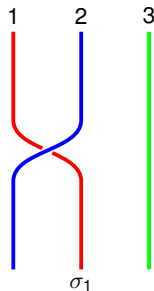
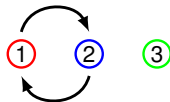
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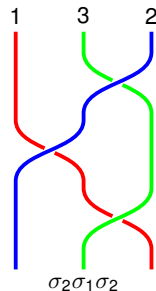
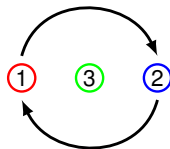
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$$\left. \begin{array}{l} \sigma_1 \mapsto e^{i\theta} \\ \sigma_2 \sigma_1 \sigma_2 \mapsto e^{3i\theta} \end{array} \right\} \neq \text{if } \theta \notin \pi\mathbb{Z}$$

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- ▶ Anyons can have different topological flavors, leading to special *fusion rules* that can be used to describe the behavior of anyonic systems.
- ▶ Specific fusion rules + nonabelian anyons = fault-tolerant topological *quantum computer*. This is an ongoing area of research.

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**Thank you for your attention!**

## SO(3) Calculations (pt. 1)

The state  $|\phi\rangle$  can be decomposed into a linear combination of the eigenvectors of  $J$ :

$$|\phi\rangle = \left( \sum_m |m\rangle \langle m| \right) |\phi\rangle = \sum_m \langle m|\phi\rangle |m\rangle,$$

where

$$\langle m|\phi\rangle = \langle m|U(\phi)|\mathcal{O}\rangle = \langle U^\dagger(\phi)m|\mathcal{O}\rangle = \langle e^{im\phi}m|\mathcal{O}\rangle = e^{-im\phi} \langle m|\mathcal{O}\rangle$$

is the projection of  $|\phi\rangle$  onto the eigenvector  $|m\rangle$  of  $J$ .

Thus,

$$\begin{aligned} J|\phi\rangle &= \sum_m e^{-im\phi} J|m\rangle = \sum_m m e^{-im\phi} |m\rangle = \sum_m i \frac{\partial}{\partial \phi} (e^{-im\phi} |m\rangle) = i \frac{\partial}{\partial \phi} |\phi\rangle \\ \implies \langle \phi|J|\psi\rangle &= \langle J^\dagger \phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \langle \phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \psi(\phi). \end{aligned}$$

## SO(3) Calculations (pt. 2)

$$\phi = \arctan\left(\frac{y}{x}\right)$$

$$\implies \frac{\partial}{\partial \phi} = (\mathbf{r} \times \nabla) \cdot \mathbf{e}_z \implies J = -i \frac{\partial}{\partial \phi} = -i (\mathbf{r} \times \nabla) \cdot \mathbf{e}_z = \frac{1}{\hbar} \hat{L}_z \implies \hat{\mathbf{L}} = \hat{\mathbf{r}} \times \hat{\mathbf{p}}$$

$$\hat{\mathbf{p}} = -i\nabla \implies \hat{L}_z = x\hat{p}_y - y\hat{p}_x$$

$$\hat{H} = \frac{\hat{\mathbf{p}}^2}{2m} + \hat{V}(\mathbf{r}), \quad [V(\mathbf{r}), \hat{L}_z] = 0, \quad [\hat{\mathbf{p}}^2, \hat{L}_z] = 0 \implies [\hat{H}, \hat{L}_z] = 0,$$

where the last line easily generalizes to  $\hat{\mathbf{L}}$ .

# Lie Algebra

$$\mathcal{J}^2 |j\rangle = (J_- J_+ + J_z + \mathcal{J}_z^2) |j\rangle = (0 + j + j^2) |j\rangle = j(j+1) |j\rangle ,$$

$$\mathcal{J}^2 |j, m\rangle = j(j+1) |j, m\rangle ,$$

$$J_z |j, m\rangle = m |j, m\rangle ,$$

$$J_{\pm} |j, m\rangle = \sqrt{j(j+1) - m(m \pm 1)} |j, m \pm 1\rangle .$$