

# Representation Theory and its Applications in Physics

June 5, 2024

**Presented by**

Max Varverakis ([mvarvera@calpoly.edu](mailto:mvarvera@calpoly.edu))



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## Outline:

1. Introduction to Representation Theory
2. Examples in Physics
3. The Braid Group
4. Physical Applications of the Braid Group



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## **1 Introduction to Representation Theory**

# Definition of a Representation

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Let  $G$  be a group. A *representation* of  $G$  is a homomorphism from  $G$  to a group of operators on a linear vector space  $V$ . The dimension of  $V$  is the *dimension* or *degree* of the representation.

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If  $X$  is a representation of  $G$  on a vector space  $V$ , then  $X$  is a map

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## Remark

If  $V$  is finite-dimensional with basis  $\{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$ , then  $X$  can be realized as an  $n \times n$  matrix.

# Properties of Representations

## Group Multiplication

Representations are group morphisms, so they satisfy the group multiplication rule:

$$X(gh) = X(g)X(h), \quad \forall g, h \in G.$$

## Invertibility

If  $X$  is a representation of  $G$ , then  $X(g)^{-1} = X(g^{-1})$ ,  $\forall g \in G$ .

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2. In the matrix presentation of  $X$ ,  $X(g)$  is invertible for all  $g \in G$ .

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- ▶ For groups with more than one element, the trivial representation is not injective, so we call it a *degenerate representation*.
- ▶ If a representation is injective, then it is a *faithful representation*.



# Example: A Faithful Representation of $S_n$

## Defining representation of $S_n$

The defining representation  $D$  of  $S_n$  encodes the action of the symmetric group on the standard basis of  $\mathbb{R}^n$ . If a permutation sends  $i$  to  $j$ , then place a 1 the  $i$ -th column and  $j$ -th row of the representation matrix.

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E.g., in  $S_3$ :

$$D((23)) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \quad D((123)) = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

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- ▶ The defining representation of  $S_n$  is  $n$ -dimensional.
- ▶ This representation is faithful.

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**Representation:** Let  $X$  be a representation of  $R$  on  $V_2$  with<sup>1</sup>

$$X(\phi)\mathbf{e}_1 = \mathbf{e}_1 \cdot \cos \phi + \mathbf{e}_2 \cdot \sin \phi$$

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$$\left. \begin{aligned} X(\phi)\mathbf{e}_1 &= \mathbf{e}_1 \cdot \cos \phi + \mathbf{e}_2 \cdot \sin \phi \\ X(\phi)\mathbf{e}_2 &= -\mathbf{e}_1 \cdot \sin \phi + \mathbf{e}_2 \cdot \cos \phi \end{aligned} \right\} \Rightarrow X(\phi) = \begin{bmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{bmatrix}$$

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## Question

How do we classify representations of a group?

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- ▶ If two representations have the same character for all  $g \in G$ , then they are equivalent.
- ▶ We can use characters to classify representations.

# Decomposing Representations

## Definition

A representation  $X(G)$  on  $V$  is *irreducible* if there is no non-trivial invariant subspace<sup>2</sup> in  $V$  with respect to  $X(G)$ . Otherwise,  $X(G)$  is *reducible*.

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- ▶ Irreducible representations are the building blocks of all representations.
- ▶ A reducible representation can be decomposed into a direct sum of irreducible representations.
- ▶ The decomposition of a representation into irreducibles is unique up to equivalence.

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Let  $\mathbf{e}_{\pm} = \frac{1}{\sqrt{2}} (\mp \mathbf{e}_1 + i \mathbf{e}_2)$ . Then,  $X(\phi) \mathbf{e}_{\pm} = e^{\pm i \phi} \mathbf{e}_{\pm}$ .

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## Decomposition of $X$

The span of each  $\mathbf{e}_{\pm}$  is an  $X$ -invariant subspace of  $V_2$ . In this basis, we rewrite  $X$  as a direct sum of the 1D irreducible representations<sup>3</sup>:

$$X(\phi) = \begin{bmatrix} e^{i\phi} & 0 \\ 0 & e^{-i\phi} \end{bmatrix}.$$

---

<sup>3</sup>1-dimensional representations are always irreducible!

# Schur's Lemmas (pt. 1)

## Lemma

*Let  $X : G \rightarrow V$  and  $Y : G \rightarrow W$  be irreducible representations of a group  $G$ . If there exists a fixed linear transformation  $T : V \rightarrow W$  such that  $TX(g) = Y(g)T$  for all  $g \in G$ , then  $T$  is either the zero map or invertible.*

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1. The kernel of  $T$  is invariant under  $X(G)$ .
2. The image of  $T$  is invariant under  $Y(G)$ .
3. Since  $X$  and  $Y$  are irreducible,  $\ker(T) = \{\mathbf{0}\}$  and  $\text{im}(T) = V$  or  $\ker(T) = V$  and  $\text{im}(T) = \{0\}$ .

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1. The kernel of  $T$  is invariant under  $X(G)$ .
2. The image of  $T$  is invariant under  $Y(G)$ .
3. Since  $X$  and  $Y$  are irreducible,  $\ker(T) = \{0\}$  and  $\operatorname{im}(T) = V$  or  $\ker(T) = V$  and  $\operatorname{im}(T) = \{0\}$ .
4. By the rank-nullity theorem, conclude that  $T$  is either the zero map or invertible.

# Schur's Lemma's (pt. 2)

## Lemma

*Let  $X$  be an irreducible representation of a group  $G$  and  $T$  a linear operator that commutes with all  $X(g)$  for  $g \in G$ . Then  $T$  is a scalar multiple of the identity operator.*

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2. Then  $T - \lambda I$  is not invertible.
3. By assumption,  $(T - \lambda I)X(g) = X(g)(T - \lambda I)$  for all  $g \in G$ .

# Schur's Lemma's (pt. 2)

## Lemma

*Let  $X$  be an irreducible representation of a group  $G$  and  $T$  a linear operator that commutes with all  $X(g)$  for  $g \in G$ . Then  $T$  is a scalar multiple of the identity operator.*

## Proof (sketch)

1. Consider  $\lambda$  to be an eigenvalue of  $T$ .
2. Then  $T - \lambda I$  is not invertible.
3. By assumption,  $(T - \lambda I)X(g) = X(g)(T - \lambda I)$  for all  $g \in G$ .
4. By previous lemma,  $T - \lambda I = 0 \implies T = \lambda I$ .



# Consequence of Schur's Lemmas

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5.  $X(G)$  is equivalent to the representation  $g \mapsto \lambda_g$  for all  $g \in G$ .
6. One-dimensional representations are irreducible.



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## How does this help in physics?

Irreducible representations can describe symmetries of physical systems with remarkably fundamental implications.

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## **2 Examples in Physics**

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- ▶ Equivalent ways to write the same thing:

$$\langle A^\dagger \phi | \psi \rangle = \langle \phi | A | \psi \rangle = \langle \phi | A \psi \rangle .$$

# Orthonormality, Completeness, and Wavefunctions

## Definition

Let  $\{|1\rangle, |2\rangle, |3\rangle, \dots\}$  be an orthonormal basis for some quantum Hilbert space. In the context of physics, the **orthonormality** and **completeness** relations of the basis vectors allow any state vector  $|\psi\rangle$  to be written as a linear combination of the basis vectors:

$$|\psi\rangle = \left( \sum_n |n\rangle \langle n| \right) |\psi\rangle = \sum_n |n\rangle \langle n|\psi\rangle,$$

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## Definition

For a continuous basis labelled by  $|x\rangle$  where  $x$  is a continuous parameter, the **wavefunction**  $\psi(x)$  is the projection:  $\langle x|\psi\rangle = \psi(x)$ .

# Preliminaries: Basic Quantum Mechanics

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$$R(\phi)R^\top(\phi) = \begin{bmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{bmatrix} \begin{bmatrix} \cos \phi & \sin \phi \\ -\sin \phi & \cos \phi \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I$$



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This *special* property is summarized by noting  $\det R(\phi) = 1$  for all  $\phi \in [0, 2\pi)$ .

# The $SO(2)$ Group

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The *special orthogonal group* in two dimensions, denoted  $SO(2)$ , is the group of all  $2 \times 2$  orthogonal matrices with determinant equal to  $+1$ .<sup>5</sup>

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- ▶  $SO(2)$  is *reducible* (earlier example with  $\mathbf{e}_{\pm}$ ).

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- ▶ With  $R(0) = I$  boundary condition:  $R(\phi) = e^{-i\phi J}$ .
- ▶ We call  $J$  the *generator* of  $SO(2)$  rotations.

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# Recovering the Rotation Matrix from $J$

To first order in  $d\phi$ :  $R(d\phi) = \begin{bmatrix} 1 & -d\phi \\ d\phi & 1 \end{bmatrix}$

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$$\begin{aligned} R(\phi) &= e^{-iJ\phi} = I - iJ\phi - I\frac{\phi^2}{2!} + iJ\frac{\phi^3}{3!} + \dots \\ &= I \left( \sum_{n=0}^{\infty} (-1)^n \frac{\phi^{2n}}{(2n)!} \right) - iJ \left( \sum_{n=0}^{\infty} (-1)^n \frac{\phi^{2n+1}}{(2n+1)!} \right) \end{aligned}$$

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# Recovering the Rotation Matrix from $J$

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## Theorem

*The single-valued irreducible representations of  $SO(2)$  are defined as*

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## Definition

The *special orthogonal group* in three dimensions, denoted  $SO(3)$ , is the group of all  $3 \times 3$  orthogonal matrices with determinant equal to  $+1$ .  $SO(3)$  rotations are generated by the components of the Hermitian generator  $\mathbf{J} = [J_x, J_y, J_z]^T$ .

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- ▶ This generalizes to other types of angular momentum, such as *spin angular momentum*!

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But that's not all folks!

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*This is the tip of the iceberg!*



**CAL POLY**

### **3 The Braid Group**

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The *braid group*  $B_n$  is the (fundamental) group of all complex-valued  $n$ -tuples  $(M_n)$  up to *homotopy*.

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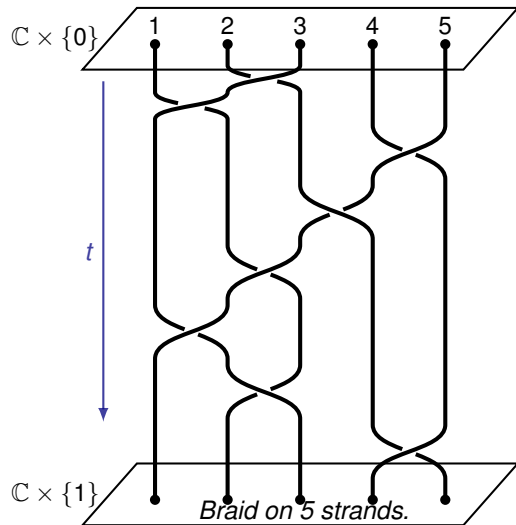
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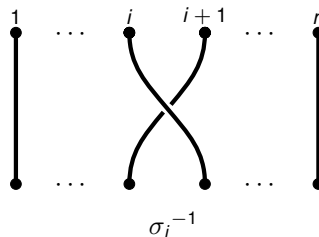
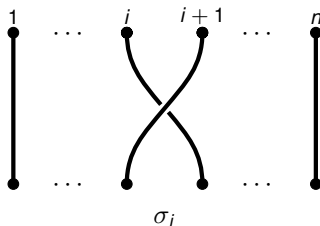
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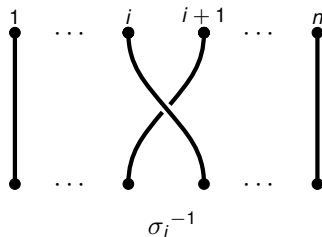
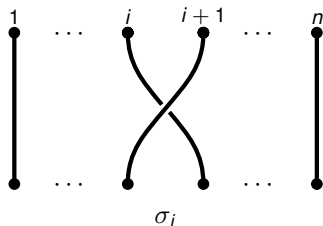
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- ▶ The *degree* of a braid  $\beta \in B_n$  is the sum of the powers of the standard generators in the decomposition of  $\beta$ .

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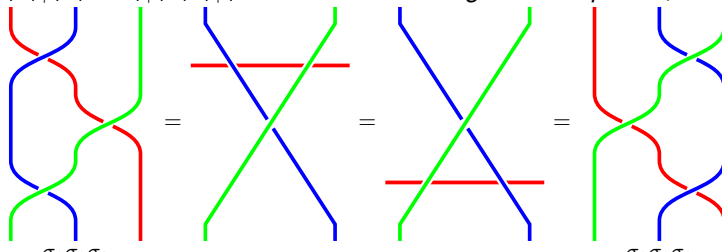
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**Comment:**  $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$  is known as the *Yang-Baxter equation*, visualized below:



# One-Dimensional Representations of the Braid Group

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For  $\theta \in \mathbb{R}$  and  $j = 1, 2, \dots, n-1$ , we define some *one-dimensional representations* of  $B_n$ :

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$$\rho_\theta(\beta) = \rho_\theta(\sigma_1^{m_1} \sigma_2^{m_2} \cdots \sigma_{n-1}^{m_{n-1}}) = e^{i\theta(m_1 + m_2 + \cdots + m_{n-1})} = e^{ik\theta}.$$

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$$\psi_n : B_n \rightarrow \mathrm{GL}_n(\mathbb{Z}[t, t^{-1}])$$
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The Burau representation satisfies the braid relations:

$$\psi_n(\sigma_i)\psi_n(\sigma_j) = \psi_n(\sigma_j)\psi_n(\sigma_i) \text{ for } |i-j| > 1,$$
$$\psi_n(\sigma_i)\psi_n(\sigma_{i+1})\psi_n(\sigma_i) = \psi_n(\sigma_{i+1})\psi_n(\sigma_i)\psi_n(\sigma_{i+1}) \text{ for } i \in \{1, \dots, n-2\}.$$

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$$\implies \text{Burau representation is reducible!}$$

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Define the unitary representation  $\mathcal{U} : B_3 \rightarrow U(2)$  by

$$\mathcal{U}(\sigma_1) = \frac{1}{2}e^{-i\frac{\pi}{6}} \begin{bmatrix} \sqrt{3}e^{i\arctan\left(\frac{1}{\sqrt{2}}\right)} & 1 \\ 1 & -\sqrt{3}e^{-i\arctan\left(\frac{1}{\sqrt{2}}\right)} \end{bmatrix}$$

$$\mathcal{U}(\sigma_2) = \frac{1}{2}e^{-i\frac{\pi}{6}} \begin{bmatrix} -\sqrt{3}e^{-i\arctan\left(\frac{1}{\sqrt{2}}\right)} & 1 \\ 1 & \sqrt{3}e^{i\arctan\left(\frac{1}{\sqrt{2}}\right)} \end{bmatrix}$$

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**Answer:** Unitary matrices preserve inner products, so the unitary representations of the braid group can act on a quantum system by braiding particles!



**CAL POLY**

## **4 Physical Applications of the Braid Group**

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**Braiding action:** For any degree- $k$  braid  $\beta \in B_n$ , we have

$$\psi(r_{1'}, r_{2'}, \dots, r_{n'}) = p_\theta(\beta) \psi(r_1, r_2, \dots, r_n) = \underbrace{e^{ik\theta}}_{\substack{\text{phase} \\ \text{shift}}} \psi(r_1, r_2, \dots, r_n),$$

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## Remark

The action of a nonabelian braid group representation on a quantum system leads to nontrivial rotations in the many-particle Hilbert space that describes the quantum system.

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- ▶ Edge cases: *bosons* and *fermions*.

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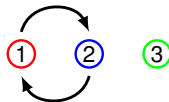
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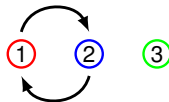
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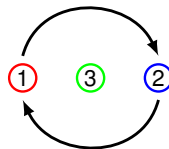
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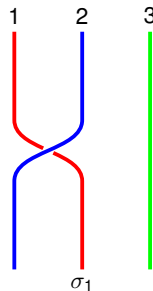
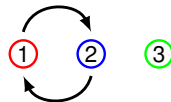
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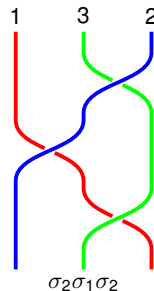
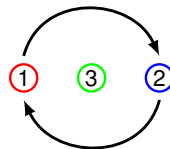
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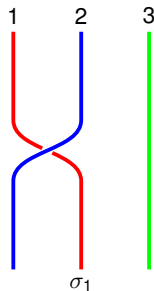
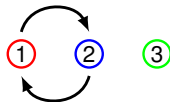
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## 1D representation:

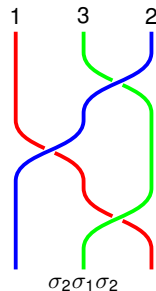
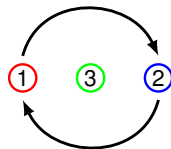
$$\sigma_1 \mapsto e^{i\theta}$$

$$\sigma_2 \sigma_1 \sigma_2 \mapsto e^{3i\theta}$$

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# Nontrivial Braiding Effects in 1D Representations

**Recall:** A braid is only well-defined if all particle trajectories are known.

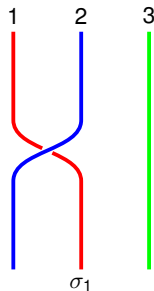
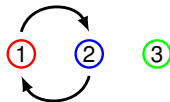
## Consequences:

1. A permutation of two anyons requires the knowledge of the positions of all other anyons in the system.
2. This is a consequence of the so-called *nontrivial braiding effects* of the braid group.

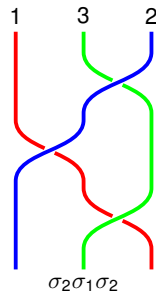
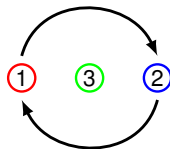
## 1D representation:

$$\left. \begin{array}{l} \sigma_1 \mapsto e^{i\theta} \\ \sigma_2 \sigma_1 \sigma_2 \mapsto e^{3i\theta} \end{array} \right\} \neq \text{if } \theta \notin \pi\mathbb{Z}$$

Trajectory A



Trajectory B



# A Physicists Approach to Anyons (Lagrangian)

Consider two identical non-interacting anyons with positions  $\mathbf{r}_1 = (x_1, y_1)$  and  $\mathbf{r}_2 = (x_2, y_2)$  in a harmonic potential. Let  $\phi = \arctan\left(\frac{y_2 - y_1}{x_2 - x_1}\right)$  be the relative angle between the two anyons and  $\dot{\phi} = \frac{d\phi}{dt}$ .

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**Lagrangian:**

$$\mathcal{L}(r_1, r_2, \dot{\mathbf{r}}_1, \dot{\mathbf{r}}_2, \dot{\phi}) = T + \mathcal{L}_{\text{int}} - V(\mathbf{r}_1, \mathbf{r}_2) = \frac{1}{2}m (\dot{\mathbf{r}}_1^2 + \dot{\mathbf{r}}_2^2) + \hbar\alpha\dot{\phi} - \frac{1}{2}m\omega^2 (\mathbf{r}_1^2 + \mathbf{r}_2^2)$$

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**Generalize to  $N$  anyons:** Let  $\phi_{ij} = \arctan\left(\frac{y_j - y_i}{x_j - x_i}\right)$ ,

$$\mathcal{L} = \sum_{i=1}^N \frac{m}{2} \dot{\mathbf{r}}_i^2 + \hbar\alpha \sum_{i < j}^N \dot{\phi}_{ij} - \frac{m\omega^2}{2} \sum_{i=1}^N \mathbf{r}_i^2$$

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Expand:

$$\mathcal{H} = \frac{1}{2m} \sum_{i=1}^N p_i^2 + \frac{m\omega^2}{2} \sum_{i=1}^N r_i^2 - \frac{\alpha}{2m} \sum_{\substack{i=1 \\ j \neq i}}^N \frac{\ell_{ij}}{r_{ij}^2} + \frac{\alpha^2}{2m} \sum_{\substack{i=1 \\ j, k \neq i}}^N \frac{\mathbf{r}_{ij} \cdot \mathbf{r}_{ik}}{r_{ij}^2 r_{ik}^2}$$



# Interpreting the $N$ -anyon Hamiltonian

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## Question

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- ▶ Certain nonabelian anyons (defined by specific fusion rules) are alleged to enable fault-tolerant quantum computers, and is an ongoing area of research.



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**Thank you for your attention!**

# SO(3) Calculations (pt. 1)

The state  $|\phi\rangle$  can be decomposed into a linear combination of the eigenvectors of  $J$ :

$$|\phi\rangle = \left( \sum_m |m\rangle \langle m| \right) |\phi\rangle = \sum_m \langle m|\phi\rangle |m\rangle,$$

where

$$\langle m|\phi\rangle = \langle m|U(\phi)|\mathcal{O}\rangle = \langle U^\dagger(\phi)m|\mathcal{O}\rangle = \langle e^{im\phi}m|\mathcal{O}\rangle = e^{-im\phi} \langle m|\mathcal{O}\rangle$$

is the projection of  $|\phi\rangle$  onto the eigenvector  $|m\rangle$  of  $J$ .

Thus,

$$\begin{aligned} J|\phi\rangle &= \sum_m e^{-im\phi} J|m\rangle = \sum_m m e^{-im\phi} |m\rangle = \sum_m i \frac{\partial}{\partial \phi} (e^{-im\phi} |m\rangle) = i \frac{\partial}{\partial \phi} |\phi\rangle \\ \implies \langle \phi|J|\psi\rangle &= \langle J^\dagger \phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \langle \phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \psi(\phi). \end{aligned}$$

## SO(3) Calculations (pt. 2)

$$\phi = \arctan\left(\frac{y}{x}\right)$$

$$\implies \frac{\partial}{\partial \phi} = (\mathbf{r} \times \nabla) \cdot \mathbf{e}_z \implies J = -i \frac{\partial}{\partial \phi} = -i (\mathbf{r} \times \nabla) \cdot \mathbf{e}_z = \frac{1}{\hbar} \hat{L}_z \implies \hat{\mathbf{L}} = \hat{\mathbf{r}} \times \hat{\mathbf{p}}$$

$$\hat{\mathbf{p}} = -i\nabla \implies \hat{L}_z = x\hat{p}_y - y\hat{p}_x$$

$$\hat{H} = \frac{\hat{\mathbf{p}}^2}{2m} + \hat{V}(\mathbf{r}), \quad [V(\mathbf{r}), \hat{L}_z] = 0, \quad [\hat{\mathbf{p}}^2, \hat{L}_z] = 0 \implies [\hat{H}, \hat{L}_z] = 0,$$

where the last line easily generalizes to  $\hat{\mathbf{L}}$ .



# Lie Algebra

$$J^2 |j\rangle = (J_- J_+ + J_z + J_z^2) |j\rangle = (0 + j + j^2) |j\rangle = j(j+1) |j\rangle ,$$

$$J^2 |j, m\rangle = j(j+1) |j, m\rangle ,$$

$$J_z |j, m\rangle = m |j, m\rangle ,$$

$$J_{\pm} |j, m\rangle = \sqrt{j(j+1) - m(m \pm 1)} |j, m \pm 1\rangle .$$