Representation Theory and its Applications in Physics

June 5, 2024

Presented by

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- 1. Introduction to Representation Theory
- 2. Examples in Physics
- 3. The Braid Group
- 4. Physical Applications of the Braid Group



1 Introduction to Representation Theory

Definition

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The Braid Group

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$$g \in G \xrightarrow{X} X(g),$$

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Remark

If V is finite-dimensional with basis $\{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$, then X(g) can be realized as an $n \times n$ matrix.

Properties of Representations

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- **2.** In the matrix presentation of X, X(g) is invertible for all $g \in G$.

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 $^{{}^{1}\}mathbf{e}_{1}$ and \mathbf{e}_{2} are orthonormal basis vectors of V_{2} .

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- Irreducible representations are the building blocks of all representations.
- A reducible representation can be decomposed into a direct sum of irreducible representations.
- The decomposition of a representation into irreducibles is unique up to matrix similarity.

Schur's Lemmas

Lemma

Let $X: G \to V$ and $Y: G \to W$ be irreducible representations of a group G. If there exists a fixed linear transformation $T: V \to W$ such that TX(q) = Y(q)T for all $q \in G$, then T is either the zero map or invertible.

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Let X be an irreducible representation of a group G and T a linear operator that commutes with all X(g) for $g \in G$. Then T is a scalar multiple of the identity operator.

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Corollary

If G is a finite abelian group, then the irreducible representations of G are one-dimensional.

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How does this help in physics?

The groups corresponding to physical transformations have irreducible representations that lead to fundamental insights in physics.

³If the representation matrices have entries in ℂ.



2 Examples in Physics

The Braid Group

Let *R* denote the familiar rotation matrix representation from before.

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Rotation matrices are orthogonal:

$$R(\phi)R^{\top}(\phi) = \begin{bmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{bmatrix} \begin{bmatrix} \cos \phi & \sin \phi \\ -\sin \phi & \cos \phi \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I$$

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Rotations preserve vector lengths:

$$R(\phi)\mathbf{x} = \begin{bmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} x_1 \cos \phi - x_2 \sin \phi \\ x_1 \sin \phi + x_2 \cos \phi \end{bmatrix} \implies |R(\phi)\mathbf{x}|^2 = |\mathbf{x}|^2.$$

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This special property is summarized by noting det $R(\phi) = 1$ for all $\phi \in [0, 2\pi)$.

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- We call J the *generator* of SO(2) rotations.

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: $R(d\phi) = \begin{bmatrix} 1 & -d\phi \\ d\phi & 1 \end{bmatrix}$

The Braid Group

Recovering the Rotation Matrix from J

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$$= \begin{bmatrix} \cos\phi - \sin\phi \\ \sin\phi & \cos\phi \end{bmatrix} \longleftarrow \text{The rotation matrix!}$$

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Introduction to Representation Theory

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- **2.** Same argument as before: $U(\phi) = e^{-iJ\phi}$, where *J* is not necessarily the same as before.

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Process to obtaining irreducibles:

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The Braid Group

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$$J\ket{m}=m\ket{m}, \ U(\phi)\ket{m}=e^{-iJ\phi}\ket{m}=e^{-im\phi}\ket{m}.$$

5. Periodicity of SO(2) $\implies e^{-i2\pi m} = 1 \implies m \in \mathbb{Z}$.

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5. Periodicity of SO(2) $\implies e^{-i2\pi m} = 1 \implies m \in \mathbb{Z}$.

Theorem

The single-valued irreducible representations of SO(2) are defined as

$$U^m(\phi) = e^{-im\phi}, \ \forall \ m \in \mathbb{Z}.$$

▶ In 3 spatial dimensions, every rotation can be thought of as a rotation in a plane with some perpendicular axis of rotation \mathbf{n} : $R_{\mathbf{n}}(\theta)$.

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Definition

Introduction to Representation Theory

The special orthogonal group in three dimensions, denoted SO(3), is the group of all 3×3 orthogonal matrices with determinant equal to +1. SO(3) rotations are generated by the components of the Hermitian generator $\mathbf{J} = [J_x, J_y, J_z]^{\top}$.

Theorem

Introduction to Representation Theory

The irreducible representations of SO(3) are labeled by $j=0,\frac{1}{2},1,\frac{3}{2},2,\ldots$, and the 2j+1 eigenvectors spanning an invariant subspace are labelled by their eigenvalues: $m=-j,-j+1,\ldots,j-1,j$.

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Connection to Quantum Mechanics

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- Quantum spin is labeled by j and has possible spin states $|m\rangle$.

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Introduction to Representation Theory

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Connection to Quantum Mechanics: Punchline

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Discretization of Angular Momentum for Free

Discretization (quantization) of angular momentum follows directly from the irreducible representations of SO(3)!

We can take tensor products of the irreducibles of SO(3) to obtain multi-particle states, arriving at results such as:

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Clebsch-Gordan coefficients

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- Clebsch-Gordan coefficients
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But that's not all folks!

Introduction to Representation Theory

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Conservation of Angular Momentum

Introduction to Representation Theory

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Introduction to Representation Theory

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- **3.** Lorentz invariance \implies conservation of energy and momentum!



The Braid Group

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Definition

Introduction to Representation Theory

The ${\it configuration space}$ of ${\it n}$ ordered distinct points in the complex plane ${\mathbb C}$ is defined as

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$$M_n = \{(z_1, \ldots, z_n) \in \mathbb{C}^n; z_i \neq z_j, \forall i \neq j\}.$$

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- ▶ Note that $(z_1, z_2, z_3, \dots, z_n)$ and $(z_2, z_1, z_3, \dots, z_n)$ are distinct configurations in M_n .
- ▶ A braid β is a loop⁶ in M_n and can be thought of as a configuration that evolves over time:

$$eta: [0,1] o M_n$$

$$t \mapsto eta(t) = ig(eta_1(t), eta_2(t), \dots, eta_n(t)ig),$$

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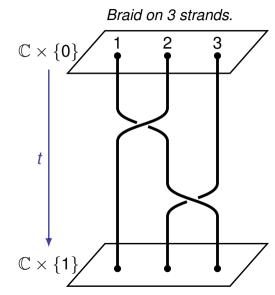
The Braid Group

Definition

The braid group B_n is the fundamental group of M_n/S_n , where S_n is the symmetric group on n elements.

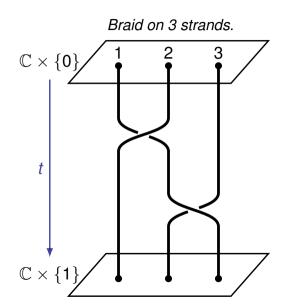
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Visualization of Braids



Visualization of Braids

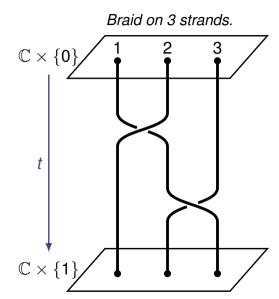
► Each path traced out by a point in the configuration space is a strand.



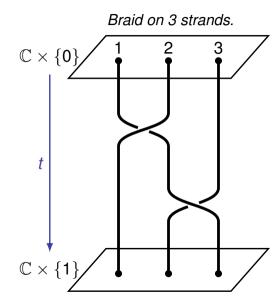
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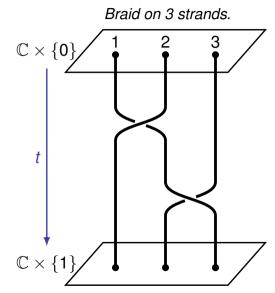
- ► Each path traced out by a point in the configuration space is a *strand*.
- ▶ We can think of a braid on *n* strands as the motion of *n* distinct points in the complex plane over a normalized time interval.



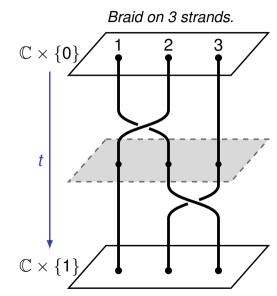
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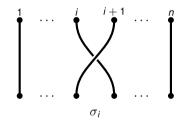
▶ The standard generators of B_n are defined as $\{\sigma_1, \sigma_2, \dots, \sigma_{n-1}\}$, in which:

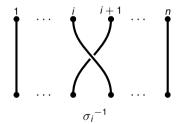
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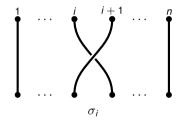


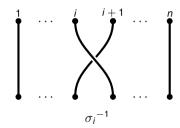
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▶ The <u>degree</u> of a braid $\beta \in B_n$ is the sum of the powers of the standard generators in the decomposition of β .

Alternative Description of B_n

Definition

The braid group on n strands, denoted B_n , is generated by the standard generators that follow the *braid relations*, summarized below:

$$B_n = \left\langle \sigma_1, \ldots, \sigma_{n-1} \middle| \begin{array}{l} \sigma_i \sigma_j = \sigma_j \sigma_i, \ |i-j| > 1 \\ \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \end{array} \right\rangle.$$

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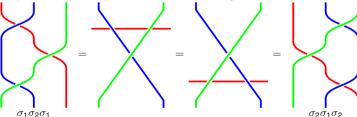
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Comment: $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$ is known as the *Yang-Baxter equation*, visualized below:



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Introduction to Representation Theory

One-Dimensional Representations of the Braid Group

For $\theta \in \mathbb{R}$ and $j = 1, 2, \dots, n-1$, we define some *one-dimensional representations* of B_n :

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$$p_{ heta}: B_n
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Unitary Representation of the Braid Group

Definition

A matrix $M \in GL_n(\mathbb{C})$ is *unitary* if $M^{\dagger} = M^{-1}$.

Definition

Introduction to Representation Theory

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Definition

Define the unitary representation $\mathcal{U}: B_3 \to U(2)$ by

$$\mathcal{U}(\sigma_1) = rac{1}{2}e^{-irac{\pi}{6}}egin{bmatrix} \sqrt{3}\,e^{i\, ext{arctan}\left(rac{1}{\sqrt{2}}
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Introduction to Representation Theory

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The Braid Group

Question

What are the physical implications of this nonabelian unitary representation?

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- **1.** $[\mathcal{U}(\sigma_1), \mathcal{U}(\sigma_2)] \neq 0 \implies \mathcal{U}$ nonabelian.
- **2.** $\mathcal{U}(\sigma_i)^{-1} = \mathcal{U}(\sigma_i)^{\dagger} \neq \mathcal{U}(\sigma_i)$ for i = 1, 2.

Consequence: σ_1^2 and σ_2^2 are not the identity braid, which is in contrast to the permutation group where transpositions are involutory.

The Braid Group

Question

What are the physical implications of this nonabelian unitary representation?

Answer: Unitary matrices preserve inner products, so the unitary representations of the braid group can act on a quantum system by braiding particles!



4 Physical Applications of the Braid Group

(Abelian) Braiding Action on a Quantum System

Introduction to Representation Theory

1D Representation: Let $p_{\theta}: B_n \to \mathbb{C}$ be defined by $\sigma_i \mapsto e^{i\theta}$ for some θ , for all j.

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The Braid Group

Braiding action: For any degree-k braid $\beta \in B_n$, we have

$$\psi(r_{1'}, r_{2'}, \ldots, r_{n'}) = p_{\theta}(\beta) \, \psi(r_1, r_2, \ldots, r_n) = \underbrace{e^{ik\theta}}_{\substack{\text{phase} \\ \text{shift}}} \psi(r_1, r_2, \ldots, r_n),$$

(Nonabelian) Braiding Action on a Quantum System

2D Representation: Consider the 2 \times 2 unitary representation \mathcal{U} from before.

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(Nonabelian) Braiding Action on a Quantum System

Examples in Physics

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Braiding action: The transformed basis states due to the action of σ_1 are

$$egin{aligned} |1'
angle &= \mathcal{U}(\sigma_1)_{1,1} \, |1
angle + \mathcal{U}(\sigma_1)_{1,2} \, |2
angle &= rac{1}{2} e^{-irac{\pi}{6}} \left(\sqrt{3} \, e^{i \, \mathsf{arctan}\left(rac{1}{\sqrt{2}}
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Remark

The action of a nonabelian braid group representation on a quantum system leads to *nontrivial rotations* in the many-particle Hilbert space that describes the quantum system⁷.

⁷Nayak et al., 2008, Non-abelian anyons and topological quantum computation, *Reviews of Modern Physics*

Anyons: A Consequence of Braiding

Definition

Particles that obey the braid group permutation rules are known as *anyons*.

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 - 2. Nonabelian anyons: The braid group representation is nonabelian.
- Edge cases: bosons and fermions.

Recall: A braid is only well-defined if all particle trajectories are known.

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The Braid Group





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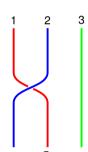
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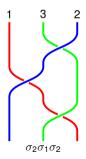
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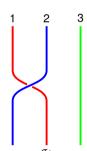
1D representation:

$$\sigma_1 \mapsto e^{i heta} \ \sigma_2 \sigma_1 \sigma_2 \mapsto e^{3i}$$

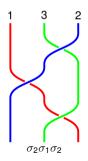
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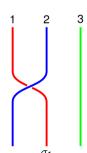
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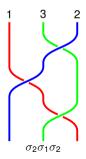
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Physical Implications of Nontrivial Braiding Effects

▶ The fractional quantum Hall effect is a physical manifestation of anyonic braiding in 2D electron systems (fractional charge, fractional statistics).

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The Braid Group

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- Anyons can have different topological flavors, leading to special fusion rules that can be used to describe the behavior of anyonic systems.
- Specific fusion rules + nonabelian anyons = fault-tolerant topological quantum computer. This is an ongoing area of research.

Summary

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 Representation theory is a powerful tool that can be used to obtain fundamental results in quantum mechanics and beyond.

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Thank you for your attention!

A Physicists Approach to Anyons (Lagrangian)

Consider two identical non-interacting anyons with positions $\mathbf{r}_1=(x_1,y_1)$ and $\mathbf{r}_2=(x_2,y_2)$ in a harmonic potential. Let $\phi=\arctan\left(\frac{y_2-y_1}{x_2-x_1}\right)$ be the relative angle between the two anyons and $\dot{\phi}=\frac{d\phi}{dt}$.

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Lagrangian:

$$\mathcal{L}\left(\textit{r}_{1},\textit{r}_{2},\dot{\textbf{r}}_{1},\dot{\textbf{r}}_{2},\dot{\phi}\right) = \textit{T} + \mathcal{L}_{int} - \textit{V}(\textbf{r}_{1},\textbf{r}_{2}) = \frac{1}{2}\textit{m}\left(\dot{\textbf{r}}_{1}^{2} + \dot{\textbf{r}}_{2}^{2}\right) + \hbar\alpha\dot{\phi} - \frac{1}{2}\textit{m}\omega^{2}\left(\textbf{r}_{1}^{2} + \textbf{r}_{2}^{2}\right)$$

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Generalize to *N* anyons: Let $\phi_{ij} = \arctan\left(\frac{y_i - y_i}{x_i - x_i}\right)$,

$$\mathcal{L} = \sum_{i=1}^{N} \frac{m}{2} \dot{\mathbf{r}}_{i}^{2} + \hbar \alpha \sum_{i=1}^{N} \dot{\phi}_{ij} - \frac{m\omega^{2}}{2} \sum_{i=1}^{N} \mathbf{r}_{i}^{2}$$

Rewrite N-anyon \mathcal{L} :

$$\mathcal{L} = \frac{m}{2} \sum_{i=1}^{N} \left[\dot{\mathbf{r}}^2 - \omega^2 \mathbf{r}_i^2 \right] + \alpha \sum_{i < i}^{N} \dot{\mathbf{r}}_{ij} \cdot \frac{\left(-y_{ij}\hat{\mathbf{x}} + x_{ij}\hat{\mathbf{y}} \right)}{r_{ij}^2}$$

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i-th anyon Hamiltonian:
$$\mathcal{H}_i = \frac{1}{2m} \left(\mathbf{p}_i - \mathbf{A}_i(\mathbf{r}_i) \right)^2 + \frac{m\omega^2}{2} r_i^2$$

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$$\mathcal{H} = \left| \frac{1}{2m} \sum_{i=1}^{N} p_i^2 + \frac{m\omega^2}{2} \sum_{i=1}^{N} r_i^2 - \frac{\alpha}{2m} \sum_{\substack{i=1\\j \neq i}}^{N} \frac{\ell_{ij}}{r_{ij}^2} + \frac{\alpha^2}{2m} \sum_{\substack{i=1\\j,k \neq i}}^{N} \frac{\mathbf{r}_{ij} \cdot \mathbf{r}_{ik}}{r_{ij}^2 r_{ik}^2} \right|$$

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$$\mathcal{H} = \underbrace{\frac{1}{2m} \sum_{i=1}^{N} p_{i}^{2}}_{\text{Mechanical momentum}} + \underbrace{\frac{m\omega^{2}}{2} \sum_{i=1}^{N} r_{i}^{2}}_{\text{Potential}} - \underbrace{\frac{\alpha}{2m} \sum_{\substack{i=1 \ j \neq i}}^{N} \frac{\ell_{ij}}{r_{ij}^{2}}}_{\text{Relative angular momentum}} + \underbrace{\frac{\alpha^{2}}{2m} \sum_{\substack{i=1 \ j,k \neq i}}^{N} \frac{\mathbf{r}_{ij} \cdot \mathbf{r}_{ik}}{r_{ij}^{2} r_{ik}^{2}}}_{\text{Long-range interaction}}$$

$$\mathbf{N} = \mathbf{2}: \quad \frac{\alpha^2}{2m} \sum_{\substack{j=1\\j,k\neq i}}^2 \frac{\mathbf{r}_{ij} \cdot \mathbf{r}_{jk}}{r_{ij}^2 r_{ik}^2} = \frac{\alpha^2}{2m} \left(\frac{\mathbf{r}_{12} \cdot \mathbf{r}_{12}}{r_{12}^2 r_{12}^2} + \frac{\mathbf{r}_{21} \cdot \mathbf{r}_{21}}{r_{21}^2 r_{21}^2} \right) = \frac{\alpha^2}{m r_{12}^2}$$

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Nontrivial braiding effects emerge from the *long-range interaction* term when $N \ge 3$.

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Question

Why is this useful?

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- ► If a representation is injective, then it is a faithful representation.

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The defining representation D of S_n encodes the action of the symmetric group on the standard basis of \mathbb{R}^n . If a permutation sends i to j, then place a 1 the i-th column and j-th row of the representation matrix.

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Let
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Decomposition of X

The span of each \mathbf{e}_{\pm} is an X-invariant subspace of V_2 . In this basis, we rewrite X as a direct sum of the 1D irreducible representations⁸:

$$X(\phi) = \begin{bmatrix} e^{i\phi} & 0 \\ 0 & e^{-i\phi} \end{bmatrix}.$$

⁸1-dimensional representations are always irreducible!

Schur's Lemmas (pt. 1)

Lemma

Let $X: G \to V$ and $Y: G \to W$ be irreducible representations of a group G. If there exists a fixed linear transformation $T: V \to W$ such that TX(g) = Y(g)T for all $g \in G$, then T is either the zero map or invertible.

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- **4.** By the rank-nullity theorem, conclude that T is either the zero map or invertible.

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Let X be an irreducible representation of a group G and T a linear operator that commutes with all X(g) for $g \in G$. Then T is a scalar multiple of the identity operator.

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The Burau representation of the braid group B_n is defined on the standard generators:

$$\psi_n: \mathcal{B}_n \to \mathrm{GL}_n(\mathbb{Z}[t, t^{-1}])$$

$$\sigma_i \mapsto \begin{bmatrix} I_{i-1} & 0 & 0 \\ 0 & U & 0 \\ 0 & 0 & I_{n-i-1} \end{bmatrix}.$$

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The Burau representation satisfies the braid relations:

$$\psi_n(\sigma_i)\psi_n(\sigma_j) = \psi_n(\sigma_j)\psi_n(\sigma_i) \text{ for } |i-j| > 1,$$

$$\psi_n(\sigma_i)\psi_n(\sigma_{i+1})\psi_n(\sigma_i) = \psi_n(\sigma_{i+1})\psi_n(\sigma_i)\psi_n(\sigma_{i+1}) \text{ for } i \in \{1, \dots, n-2\}.$$

Notice:
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Block structure of
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⇒ Burau representation is reducible!

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- **5.** Operators that are self-adjoint are called *Hermitian*.

Preliminaries: Dirac notation

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- ▶ A *ket* is a column (state) vector, denoted $|\psi\rangle$.
- ▶ A *bra* is a row vector, $\langle \psi |$. This can be thought of as a linear functional on the relevant Hilbert space:

$$\langle \phi | (\psi) = \langle \phi, \psi \rangle.$$

- ▶ Inner product: $\langle \phi | \psi \rangle$
- lacktriangle Outer product: $\ket{\phi}ra{\psi}$
- ▶ The action of an operator *A* on a vector $|\psi\rangle$ is written as $|A\psi\rangle = A|\psi\rangle$.
- Equivalent ways to write the same thing:

$$\langle \mathbf{A}^{\dagger} \phi | \psi \rangle = \langle \phi | \mathbf{A} | \psi \rangle = \langle \phi | \mathbf{A} \psi \rangle.$$

Orthonormality, Completeness, and Wavefunctions

Definition

Let $\{\ket{1},\ket{2},\ket{3},\dots\}$ be an orthonormal basis for some quantum Hilbert space. In the context of physics, the orthonormality and completeness relations of the basis vectors allow any state vector $\ket{\psi}$ to be written as a linear combination of the basis vectors:

$$|\psi\rangle = \left(\sum_{n} |n\rangle \langle n|\right) |\psi\rangle = \sum_{n} |n\rangle \langle n|\psi\rangle,$$

where $\sum_{n} |n\rangle \langle n|$ is the identity operator.

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Definition

For a continuous basis labelled by $|x\rangle$ where x is a continuous parameter, the *wavefunction* $\psi(x)$ is the projection: $\langle x|\psi\rangle=\psi(x)$.

SO(2) Explicit form of J

The state $|\phi\rangle$ can be decomposed into a linear combination of the eigenvectors of J:

$$|\phi\rangle = \left(\sum_{m} |m\rangle \langle m|\right) |\phi\rangle = \sum_{m} \langle m|\phi\rangle |m\rangle,$$

where

$$\langle m|\phi\rangle = \langle m|U(\phi)|\mathcal{O}\rangle = \langle U^{\dagger}(\phi)m|\mathcal{O}\rangle = \langle e^{im\phi}m|\mathcal{O}\rangle = e^{-im\phi}\langle m|\mathcal{O}\rangle$$

is the projection of $|\phi\rangle$ onto the eigenvector $|m\rangle$ of J.

Thus,

$$\begin{split} J|\phi\rangle &= \sum_{m} e^{-im\phi} J|m\rangle = \sum_{m} m e^{-im\phi} |m\rangle = \sum_{m} i \frac{\partial}{\partial \phi} \left(e^{-im\phi} |m\rangle \right) = i \frac{\partial}{\partial \phi} |\phi\rangle \\ &\Longrightarrow \langle \phi|J|\psi\rangle = \langle J^{\dagger}\phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \langle \phi|\psi\rangle = -i \frac{\partial}{\partial \phi} \psi(\phi). \end{split}$$

SO(3) Invariance \implies Commute with Hamiltonian

$$\phi = \arctan\left(\frac{y}{x}\right)$$

$$\implies \frac{\partial}{\partial \phi} = (\mathbf{r} \times \nabla) \cdot \mathbf{e}_z \implies J = -i\frac{\partial}{\partial \phi} = -i(\mathbf{r} \times \nabla) \cdot \mathbf{e}_z = \frac{1}{\hbar}\hat{\mathcal{L}}_z \implies \hat{\mathbf{L}} = \hat{\mathbf{r}} \times \hat{\mathbf{p}}$$

$$\hat{\mathbf{p}} = -i\nabla \implies \hat{\mathcal{L}}_z = x\hat{p}_y - y\hat{p}_x$$

$$\hat{\mathcal{H}} = \frac{\hat{\mathbf{p}}^2}{2m} + \hat{V}(\mathbf{r}), \quad [V(\mathbf{r}), \hat{\mathcal{L}}_z] = 0, \quad [\hat{\mathbf{p}}^2, \hat{\mathcal{L}}_z] = 0 \implies [\hat{\mathcal{H}}, \hat{\mathcal{L}}_z] = 0,$$

where the last line easily generalizes to $\hat{\mathbf{L}}$.

From Invariant Subspace to the Lie Algebra

$$J^2 \ket{j} = (J_-J_+ + J_z + J_z^2)\ket{j} = (0 + j + j^2)\ket{j} = j(j+1)\ket{j},$$
 $J^2 \ket{j}, m\rangle = j(j+1)\ket{j}, m\rangle,$ $J_z \ket{j}, m\rangle = m\ket{j}, m\rangle,$ $J_{\pm} \ket{j}, m\rangle = \sqrt{j(j+1) - m(m\pm 1)}\ket{j}, m\pm 1\rangle,$ $[J_i, J_j] = i\epsilon_{ijk}J_k, \quad [J^2, J_j] = 0.$